Hierarchical ID-Based Cryptography

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Abstract. We present hierarchical identity-based encryption schemes and signature schemes that have total collusion resistance on an arbitrary number of levels and that have chosen ciphertext security in the random oracle model assuming the difficulty of the Bilinear Diffie-Hellman problem.

1 Introduction

In this paper, we present hierarchical identity-based encryption schemes and signature schemes that have total collusion resistance on an arbitrary number of levels and that have chosen ciphertext security in the random oracle model assuming the hardness of the so-called "Bilinear Diffie-Hellman" (BDH) problem. This answers a current open question in cryptography, namely: can ID-based cryptography be made hierarchical while remaining secure and efficient?

The key idea in identity-based cryptography is that Bob's public key should be equivalent to some aspect of his identity, such as an email address, rather than an arbitrary number. When Alice wants to send a message to Bob, she does not need to fetch Bob's public key from a database; she merely derives the key directly from Bob's identifying information. Databases of public keys are unnecessary. Certificate authorities (CAs) are also unnecessary; there is no need to "bind" Bob's identity to his public key, since his identity is his public key. There are, however, a few drawbacks. Bob gets his private key from a third party called a Private Key Generator (PKG). This requires Bob to authenticate himself to the PKG (in the same way he would authenticate himself to a CA), and it requires a secure channel through which the PKG may send Bob's private key. Also, the PKG knows Bob's private key, i.e., key escrow is inherent in identity-based systems. Finally, Alice must obtain the public parameters of Bob's PKG before sending an encrypted message to Bob (but she will already have these parameters if Alice and Bob use the same PKG). These are not trivial disadvantages, but when you consider them in comparison to PKI, where Alice may need to visit a database of public keys before sending each message she encrypts, identity-based encryption (IBE) seems like a very practical alternative.

The concept of IBE is not new; Shamir [13] proposed the idea in 1984, describing an identity-based signature scheme in the same article. However, practical IBE schemes have not been found until recently with the work of Boneh and Franklin [2,3] and Cocks [5] in 2001. Cocks's scheme is based on the "Quadratic Residuosity Problem," and although encryption and decryption are reasonably fast (about the speed of RSA), there is significant message expansion, i.e., the bit-length of the ciphertext is many times the bit-length of the plaintext. The Boneh-Franklin scheme bases its security on the "Bilinear Diffie-Hellman Problem," and

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it is quite fast and efficient when using Weil or Tate pairings on supersingular elliptic curves or abelian varieties.

However, the above IBE schemes have a significant shortcoming — they are not "hierarchical." In PKI, it is possible to have a hierarchy of CAs in which the root CA can issue certificates for other CAs, who in turn can issue certificates for users in particular domains. This is desirable because it reduces the workload on the root CA. We would like the same sort of setup for an identity-based system — a root PKG gives out private keys to other PKGs, who in turn give out private keys to users in particular domains. Moreover, it should be possible to send an encrypted communication without an online lookup of the recipient's public key or lower level public parameters, even if the sender is not in the system at all, as long as the sender obtains the public parameters of the root PKG. Another advantage of Hierarchical ID-based Encryption (HIDE) schemes would be damage control: disclosure of a domain PKG's secret would not compromise the secrets of higher-level PKGs. The schemes of Cocks and Boneh-Franklin do not have these properties. Instead, they are single level; there is only a root PKG, and Alice may send an encrypted message to Bob only after retrieving the parameters of Bob's PKG. A hierarchical ID-based key sharing scheme with partial collusion-resistance is given in [7,8]. Horwitz and Lynn [9] introduced the first hierarchical identity-based encryption scheme. They focused on a 2-level scheme with total collusion-resistance at the first level and partial collusion-resistance at the second level, i.e., users can collude to obtain the secret of their domain PKG (and thereafter masquerade as the domain PKG). The complexity of the system increases with the collusion-resistance at the second level. Finding a secure and practical hierarchical identity-based encryption scheme was, prior to this paper, an open question.

The scheme in this paper extends the Boneh-Franklin IBE scheme in a natural way. It is a practical, fully scalable, HIDE scheme with total collusion resistance and chosen ciphertext security in the random oracle model, regardless of the number of levels in the hierarchy, assuming the hardness of the same Bilinear Diffie-Hellman (BDH) problem discussed in [3] (see Section 2 below). The scheme is quite efficient — the bit-length of the ciphertext and the complexity of decryption grow only linearly with the level of the message recipient. For example, if Bob is at level 1 (just below the root PKG) and Carol is at level 10, Alice's ciphertext to Carol will be about 10 times as long as Alice's ciphertext to Bob, and Carol will take about 10 times as long as Bob to decrypt the message from Alice. At the top level, our HIDE scheme is as fast and efficient as Boneh-Franklin. The ciphertexts consist of one block the size of the original message, along with a part independent of the message whose length grows linearly with the level of the recipient. However, the scheme can be modified to reduce the ciphertext expansion.

The intuitively surprising aspect of this scheme is that, even though lower-level PKGs generate additional random information, this does not necessitate adding public parameters below the root level. Also, the random information generated by a lower-level PKG does not adversely affect the ability of users not under the lower-level PKG to send encrypted communications to users under the lower-level PKG.

A hierarchical ID-based signature scheme follows naturally from our HIDE scheme (see Section 4). We also introduce the concept of dual-ID-based encryption (where the ciphertext is a function of both the encrypter and decrypter's identities) and show how this concept, in the context of hierarchical ID-based encryption, allows the length of the ciphertext to be minimized and permits the creation of "escrow shelters" that limit the scope of key escrow.

The rest of the paper is organized as follows. Definitions and background information are given in Section 2. Our Hierarchical ID-Based Encryption scheme is presented in Section 3. An associated hierarchical ID-based signature scheme is given in Section 4. Section 5 gives

¹ Contrast this with [9], where the complexity of encryption grows linearly with the *security* against collusion of a domain PKG's secret. Our scheme has total collusion resistance assuming the hardness of BDH.

modifications to minimize the ciphertext expansion. Section 6 discusses how to the restrict the scope of key escrow. Section 7 states results on security, while security proofs, along with variants on the basic schemes, are given in the appendices. Additional extensions and variations are given in Section 8.

2 Definitions

In this section, we give some definitions that are very similar to those given in [2, 3, 9].

Hierarchical Identity-Based Encryption (HIDE): a HIDE scheme is specified by five randomized algorithms: Root Setup, Lower-level Setup, Extraction, Encryption, and Decryption:

ID-tuple: A user has a position in the hierarchy, defined by its tuple of IDs: $(ID_1, ..., ID_t)$. The user's ancestors in the hierarchy tree are the root PKG and the users / lower-level PKGs whose ID-tuples are $\{(ID_1, ..., ID_i) : 1 \le i < t\}$.

Root Setup: The root PKG takes a security parameter k and returns params (system parameters) and a root secret. The system parameters include a description of the message space \mathcal{M} and the ciphertext space \mathcal{C} . The system parameters will be publicly available, while only the root PKG will know the root secret.

Lower-level Setup: Lower-level users retrieve the system parameters from the root PKG. In HIDE schemes, a lower-level user is not permitted to have any "lower-level" parameters of its own. However, this constraint does not necessarily preclude a lower-level PKG from generating its own lower-level secret, which it may use in issuing private keys to its children. In fact, in our HIDE scheme, a lower-level PKG may generate a lower-level secret, or it may generate random one-time secrets for each Extraction.

Extraction: A PKG (whether the root one or a lower-level one) with ID-tuple $(ID_1, ..., ID_t)$ may compute a private key for any of its children (e.g., with ID-tuple $(ID_1, ..., ID_t, ID_{t+1})$) by using the system parameters and its private key (and any other secret information).

Encryption: A sender inputs params, $M \in \mathcal{M}$ and the ID-tuple of the intended message recipient, and computes a ciphertext $C \in \mathcal{C}$.

Decryption: A user inputs params, $C \in \mathcal{C}$, and its private key d, and returns the message $M \in \mathcal{M}$.

Encryption and decryption must satisfy the standard consistency constraint, namely when d is the private key generated by the Extraction algorithm for ID-tuple, then:

 $\forall M \in \mathcal{M} : \text{Decryption}(params, d, C) = M \text{ where } C = \text{Encryption}(params, \text{ID-tuple}, M)$.

Hierarchical ID-based Signature (HIDS): a HIDS scheme is specified by five randomized algorithms: Root Setup, Lower-level Setup, Extraction, Signing, and Verification. For Root Setup, the system parameters are supplemented to include a description of the signature space S. Lower-level Setup and Extraction are as above.

Signing: A signer inputs params, its private key d, and $M \in \mathcal{M}$ and outputs a signature $S \in \mathcal{S}$.

Verification: A user inputs params, the ID-tuple of the signer, $M \in \mathcal{M}$, and $S \in \mathcal{S}$ and outputs "valid" or "invalid."

Signing and verification must also satisfy a consistency constraint, namely when d is the private key generated by the Extraction algorithm for ID-tuple, then:

 $\forall M \in \mathcal{M} : \text{Verification}(params, \text{ID-tuple}, M, S) = \text{"valid"} \text{ where } S = \text{Signing}(params, d, M) .$

The security of our HIDE scheme is based on the difficulty of the Bilinear Diffie-Hellman (BDH) Problem. Let \mathbb{G}_1 and \mathbb{G}_2 be two cyclic groups of some large prime order q. We write

 \mathbb{G}_1 additively and \mathbb{G}_2 multiplicatively. Like Boneh-Franklin's IBE scheme, our HIDE scheme makes use of a "bilinear" pairing.

Admissible pairings: We will call \hat{e} an admissible pairing if $\hat{e}: \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2$ is a map with the following properties:

- 1. Bilinear: $\hat{e}(aQ, bR) = \hat{e}(Q, R)^{ab}$ for all $Q, R \in \mathbb{G}_1$ and all $a, b \in \mathbb{Z}$.
- 2. Non-degenerate: The map does not send all pairs in $\mathbb{G}_1 \times \mathbb{G}_1$ to the identity in \mathbb{G}_2 .
- 3. Computable: There is an efficient algorithm to compute $\hat{e}(Q,R)$ for any $Q,R \in \mathbb{G}_1$.

We will also need the mapping \hat{e} to be symmetric, i.e., $\hat{e}(Q,R) = \hat{e}(R,Q)$ for all $Q,R \in \mathbb{G}_1$, but this follows immediately from the bilinearity and the fact that \mathbb{G}_1 is a cyclic group. We note that the Weil and Tate pairings associated with supersingular elliptic curves or abelian varieties can be modified to create such bilinear maps, as in [10, 2, 4].

BDH Parameter Generator: As in [2], we say that a randomized algorithm \mathcal{IG} is a BDH parameter generator if \mathcal{IG} takes a security parameter k > 0, runs in time polynomial in k, and outputs the description of two groups \mathbb{G}_1 and \mathbb{G}_2 of the same prime order q and the description of an admissible pairing $\hat{e}: \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2$.

Bilinear Diffie-Hellman (BDH) Problem: Given a randomly chosen $P \in \mathbb{G}_1$, as well as aP, bP, and cP (for unknown randomly chosen $a, b, c \in \mathbb{Z}/q\mathbb{Z}$), compute $\hat{e}(P, P)^{abc}$.

For the BDH problem to be hard, \mathbb{G}_1 and \mathbb{G}_2 must be chosen so that there is no known algorithm for efficiently solving the Diffie-Hellman problem in either \mathbb{G}_1 or \mathbb{G}_2 . Note that if the BDH problem is hard for a pairing \hat{e} , then it follows that \hat{e} is non-degenerate.

Bilinear Diffie-Hellman Assumption: As in [2], if \mathcal{IG} is a BDH parameter generator, the advantage $Adv_{\mathcal{IG}}(\mathcal{B})$ that an algorithm \mathcal{B} has in solving the BDH problem is defined to be the probability that the algorithm \mathcal{B} outputs $\hat{e}(P,P)^{abc}$ when the inputs to the algorithm are $\mathbb{G}_1, \mathbb{G}_2, \hat{e}, P, aP, bP, cP$ where $(G_1, \mathbb{G}_2, \hat{e})$ is the output of \mathcal{IG} for sufficiently large security parameter k, P is a random generator of \mathbb{G}_1 , and a, b, c are random elements of $\mathbb{Z}/q\mathbb{Z}$. The Bilinear Diffie-Hellman assumption is that $Adv_{\mathcal{IG}}(\mathcal{B})$ is negligible for all efficient algorithms \mathcal{B} .

3 Hierarchical ID-Based Encryption Schemes

We describe our scheme in a format similar to that used in [3]. We begin by describing a basic scheme, and then extend it to a full scheme that is secure against adaptive chosen ciphertext attack in the random oracle model, assuming the difficulty of the BDH problem.

We may sometimes refer to elements of \mathbb{G}_1 as "points," which may suggest that \hat{e} is a modified Weil or Tate pairing, but we note again that any admissible pairing \hat{e} will work.

3.1 BasicHIDE

Let Level_i be the set of entities at level i, where Level₀ = {Root PKG}. Let K be the security parameter given to the setup algorithm, and let \mathcal{IG} be a BDH parameter generator.

Root Setup: The root PKG:

- 1. runs \mathcal{IG} on input K to generate groups $\mathbb{G}_1, \mathbb{G}_2$ of some prime order q and an admissible pairing $\hat{e}: \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2$;
- 2. chooses an arbitrary generator $P_0 \in \mathbb{G}_1$;
- 3. picks a random $s_0 \in \mathbb{Z}/q\mathbb{Z}$ and sets $Q_0 = s_0 P_0$;
- 4. chooses cryptographic hash functions $H_1: \{0,1\}^* \to \mathbb{G}_1$ and $H_2: \mathbb{G}_2 \to \{0,1\}^n$ for some n. The security analysis will treat H_1 and H_2 as random oracles.

The message space is $\mathcal{M} = \{0,1\}^n$. The ciphertext space is $\mathcal{C} = \mathbb{G}_1^t \times \{0,1\}^n$ where t is the level of the recipient. The system parameters are $params = (\mathbb{G}_1, \mathbb{G}_2, \hat{e}, P_0, Q_0, H_1, H_2)$. The root PKG's secret is $s_0 \in \mathbb{Z}/q\mathbb{Z}$.

Lower-level Setup: Entity $E_t \in \text{Level}_t$ picks a random $s_t \in \mathbb{Z}/q\mathbb{Z}$, which it keeps secret.

Extraction: Let E_t be an entity in Level_t with ID-tuple (ID₁,...,ID_t), where (ID₁,...,ID_t) for $1 \le i \le t$ is the ID-tuple of E_t 's ancestor at Level_i. Set S_0 to be the identity element of \mathbb{G}_1 . Then E_t 's parent:

- 1. computes $P_t = H_1(\mathrm{ID}_1, \ldots, \mathrm{ID}_t) \in \mathbb{G}_1$;
- 2. sets E_t 's secret point S_t to be $S_{t-1} + s_{t-1}P_t = \sum_{i=1}^t s_{i-1}P_i$; 3. also gives E_t the values of $Q_i = s_i P_0$ for $1 \le i \le t-1$.

Encryption: To encrypt $M \in \mathcal{M}$ with the ID-tuple $(\mathrm{ID}_1, \ldots, \mathrm{ID}_t)$, do the following:

- 1. Compute $P_i = H_1(\mathrm{ID}_1, \ldots, \mathrm{ID}_i) \in \mathbb{G}_1$ for $1 \leq i \leq t$.
- 2. Choose a random $r \in \mathbb{Z}/q\mathbb{Z}$.
- 3. Set the ciphertext to be:

$$C = [rP_0, rP_2, \dots, rP_t, M \oplus H_2(g^r)]$$
 where $g = \hat{e}(Q_0, P_1) \in \mathbb{G}_2$.

Decryption: Let $C = [U_0, U_2, \dots, U_t, V] \in \mathcal{C}$ be the ciphertext encrypted using the ID-tuple $(\mathrm{ID}_1,\ldots,\mathrm{ID}_t)$. To decrypt C, E_t computes:

$$V \oplus H_2(\frac{\hat{e}(U_0, S_t)}{\prod_{i=2}^t \hat{e}(Q_{i-1}, U_i)}) = M.$$

This concludes the description of our BasicHIDE scheme.

Remark 1. Each lower-level PKG — say, in Level_t — has a secret $s_t \in \mathbb{Z}/q\mathbb{Z}$, just like the root PKG. A lower-level PKG uses this secret to generate a secret point for each of its children, just as the root PKG does. An interesting fact, however, is that lower-level PKGs need not always use the same s_t for each private key extraction. Rather, s_t could be generated randomly for each of the PKG's children.

Remark 2. H_1 can be chosen to be an iterated hash function so that, for example, P_i may be computed as $H_1(P_{i-1}, ID_i)$ rather than $H_1(ID_1, ..., ID_i)$.

3.2HIDE with Chosen Ciphertext Security

In [3], Fujisaki-Okamoto padding [6] is used to convert a basic IBE scheme to an IBE scheme that is chosen ciphertext secure in the random oracle model. In the same way, BasicHIDE can be converted to FullHIDE, a HIDE scheme that is chosen ciphertext secure in the random oracle model. Next we describe the scheme FullHIDE.

Setup: As in the BasicHIDE scheme, but in addition choose hash functions $H_3: \{0,1\}^n \times$ $\{0,1\}^n \to \mathbb{Z}/q\mathbb{Z} \text{ and } H_4: \{0,1\}^n \to \{0,1\}^n.$

Extraction: As in the BasicHIDE scheme.

Encryption: To encrypt $M \in \mathcal{M}$ with the ID-tuple $(\mathrm{ID}_1, \ldots, \mathrm{ID}_t)$, do the following:

- 1. compute $P_i = H_1(\mathrm{ID}_1, \ldots, \mathrm{ID}_i) \in \mathbb{G}_1$ for $1 \leq i \leq t$,
- 2. choose a random $\sigma \in \{0,1\}^n$,
- 3. set $r = H_3(\sigma, M)$, and
- 4. set the ciphertext to be:

$$C = [rP_0, rP_2, \dots, rP_t, \sigma \oplus H_2(g^r), M \oplus H_4(\sigma)]$$

where $g = \hat{e}(Q_0, P_1) \in \mathbb{G}_2$ as before.

Decryption: Let $C = [U_0, U_2, \dots, U_t, V, W] \in \mathcal{C}$ be the ciphertext encrypted using the ID-tuple (ID₁, ..., ID_t). If $(U_0, U_2, \dots, U_t) \notin \mathbb{G}_1^t$, reject the ciphertext. To decrypt C, E_t does the following:

1. computes

$$V \oplus H_2(\frac{\hat{e}(U_0, S_t)}{\prod_{i=2}^t \hat{e}(Q_{i-1}, U_i)}) = \sigma,$$

- 2. computes $W \oplus H_4(\sigma) = M$,
- 3. sets $r = H_3(\sigma, M)$ and tests that $U_0 = rP_0$ and $U_i = rP_i$ for i = 2, ..., t. If not, it rejects the ciphertext.
- 4. outputs M as the decryption of C.

Note that M is encrypted as $W = M \oplus H_4(\sigma)$. This can be replaced by $W = E_{H_4(\sigma)}(M)$ where E is a semantically secure symmetric encryption scheme (see [6] and Section 4.2 of [3]).

4 Hierarchical ID-based Signature Schemes

ID-based encryption, whether hierarchical or not, has a clear advantage over PKI; it does not require online public key lookup. On the other hand, it is not so clear that ID-based signatures have any advantage over traditional signature schemes using PKI. Indeed, any public-key signature scheme may be transformed into an ID-based signature scheme merely by using certificates, since certificates "bind" an identity to a public key.

Using this analogy between ID-based signatures and public-key signatures with certificates, we see that any ID-based signature scheme can easily be extended to a HIDS scheme in essentially the same way that certification is made hierarchical in PKI: A user in Level_t generates system parameters $params_t$ for the ID-based signature scheme, and issues to itself the private key for $params_t$ corresponding to its ID-tuple. The user then asks its parent to sign $params_t$ using its private key. The parent signs $params_t$, and sends this signature S_{t-1} to the user, along with similar signatures, S_i for $0 \le i < t-1$, that the parent has obtained from its ancestors. The parent also sends $params_i$ for $1 \le i < t$. To sign, the user uses $params_t$, its private key, and the signature procedure for the ID-based signature scheme. It sends its signature S_t together with S_{i-1} and $params_i$ for $1 \le i \le t$. The verifier verifies S_t using $params_t$ and the ID-tuple of the signer, and checks that, for $0 < i \le t$, S_{i-1} is a signature of $params_i$ using $params_{i-1}$ for the appropriate ID-tuple. This complete the description of the HIDS scheme. Notice that it is not really any more "ID-based" than PKI using a hierarchy of CAs.

The previous comments notwithstanding, we present a HIDS scheme in this section based on the difficulty of solving the Diffie-Hellman problem in the group \mathbb{G}_1 . When viewed in isolation, this HIDS scheme is not especially useful for the reasons stated above (though it may be more efficient). However, as will be explained later, the HIDS scheme becomes quite useful when viewed in combination with the HIDE scheme as a complete package.

4.1 A HIDS Scheme

As noted by Moni Naor (see Section 6 of [3]), an IBE scheme can be immediately converted into a public key signature scheme as follows: the signer's private key is the master key in the IBE scheme. The signer's signature on M is the IBE decryption key d corresponding to the "public key" $H_1(ID) = H_1(M)$. The verifier checks the signature by choosing a random message M', encrypting M' with $H_1(M)$, and trying to decrypt the resulting ciphertext with d. If the ciphertext decrypts correctly, the signature is considered valid.

This observation can be extended to a hierarchical context: a HIDE scheme can be immediately converted to a hierarchical ID-based signature (HIDS) scheme. Suppose the signer has ID-tuple (ID₁,...,ID_t). To sign M, the signer computes a private key d for the ID-tuple (ID₁,...,ID_t, M), and sends d to the verifier. As before, the verifier checks the signature by choosing a random message M', encrypting M' with the "public key" (ID₁,...,ID_t, M), and trying to decrypt the resulting ciphertext with d. The security of this HIDS scheme follows immediately from the security of our HIDE scheme, since forging a signer's signature is equivalent to recovering the private key of one of the signer's children. In fact, the security of the HIDS scheme is based on the difficulty of solving the Diffie-Hellman problem in the group \mathbb{G}_1 .

An obvious pitfall in the HIDS scheme just described is that an attacker might try to get the signer to sign $M = \mathrm{ID}_{t+1}$ where ID_{t+1} represents an actual identity. In this case, the signer's signature will actually be a private key, which thereafter may be used to decrypt messages and forge signatures. The easy solution to this problem is to use some expedient — such as a bit prefix — that distinguishes between signing and private key extraction. Below we describe our HIDS scheme in more detail.

Let Level_i be the set of entities at level i, where Level₀ = {Root PKG}. Let K be the security parameter given to the setup algorithm, and let \mathcal{IG} be a BDH parameter generator.

Root Setup: The root PKG:

- 1. runs \mathcal{IG} on input K to generate groups $\mathbb{G}_1, \mathbb{G}_2$ of prime order q and an admissible pairing $\hat{e}: \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2$;
- 2. chooses an arbitrary generator $P_0 \in \mathbb{G}_1$;
- 3. picks a random $s_0 \in \mathbb{Z}/q\mathbb{Z}$ and sets $Q_0 = s_0 P_0$;
- 4. chooses cryptographic hash functions $H_1: \{0,1\}^* \to \mathbb{G}_1$ and $H_3: \{0,1\}^* \to \mathbb{G}_1$. The security analysis will treat H_1 and H_3 as random oracles.

The signature space is $S = \mathbb{G}_1^{t+1}$ where t is the level of the recipient. The system parameters are $params = (\mathbb{G}_1, \mathbb{G}_2, \hat{e}, P_0, Q_0, H_1, H_3)$. The root PKG's secret is $s_0 \in \mathbb{Z}/q\mathbb{Z}$.

Lower-level Setup: As in BasicHIDE.

Extraction: As in BasicHIDE.

Signing: To sign M with ID-tuple (ID₁,..., ID_t) (using the secret point $S_t = \sum_{i=1}^t s_{i-1}P_i$ and the points $Q_i = s_i P_0$ for $1 \le i \le t$), do the following:

- 1. Compute $P_i = H_1(\mathrm{ID}_1, \ldots, \mathrm{ID}_i) \in \mathbb{G}_1$ for $1 \le i \le t$. (Preferably, these are precomputed.)
- 2. Compute $P_M = H_3(\mathrm{ID}_1, \dots, \mathrm{ID}_t, M) \in \mathbb{G}_1$. (As suggested above, we might use a bit-prefix or some other method, instead of using a totally different hash function.)
- 3. Compute $Sig(ID\text{-tuple}, M) = S_t + s_t P_M$.
- 4. Send Sig(ID-tuple, M) and $Q_i = s_i P_0$ for $1 \le i \le t$.

Verification: Let $[Sig, Q_1, \ldots, Q_t] \in \mathcal{S}$ be the signature for (ID-tuple, M). The verifier confirms that:

$$\hat{e}(P_0, Sig) = \hat{e}(Q_0, P_1)\hat{e}(Q_t, P_M) \prod_{i=2}^t \hat{e}(Q_{i-1}, P_i).$$

5 Shortening the Ciphertext and Signatures

In the HIDE scheme, the length of the ciphertext is proportional to the depth of the recipient in the hierarchy. Similarly, in the hierarchical ID-based signature scheme, the length of the signature is proportional to the depth of the signer in the hierarchy, unless the verifier already has the signer's Q_i values. This section discusses ways in which this ciphertext expansion problem may be avoided.

5.1 Dual-Identity-Based Encryption

In 2000, Sakai, Ohgishi and Kasahara [12] presented a "key sharing scheme" based on the Weil pairing. The idea was quite simple: suppose a PKG has a master secret s, and it issues private keys to users of the form sP_y , where $P_y = H_1(\mathrm{ID}_y)$ and ID_y is the ID of user y (as in Boneh-Franklin). Then users y and z have a shared secret that only they (and the PKG) may compute, namely, $\hat{e}(sP_y, P_z) = \hat{e}(P_y, P_z)^s = \hat{e}(P_y, sP_z)$. They may use this shared secret to encrypt their communications. Notice that this "key sharing scheme" does not require any interaction between the parties. We can view Sakai, Ohgishi and Kasahara's discovery as a type of "dual-identity-based encryption," where the word "dual" indicates that the identities of both the sender and the recipient (rather than just the recipient) are required as input into the encryption and decryption algorithms. The main practical difference between this scheme and the Boneh-Franklin IBE scheme is that the sender must obtain its private key from the PKG before sending encrypted communications, as opposed to merely obtaining the public parameters of the PKG.

In the non-hierarchical context, Dual-IBE does not appear to have any substantial advantages over IBE. In the hierarchical context, however, Dual-HIDE may be more efficient than HIDE if the sender and recipient are close to each other in the hierarchy tree. Suppose two users, y and z, have the ID-tuples $(\mathrm{ID}_{y1},\ldots,\mathrm{ID}_{yl},\ldots,\mathrm{ID}_{ym})$ and $(\mathrm{ID}_{z1},\ldots,\mathrm{ID}_{zl},\ldots,\mathrm{ID}_{zn})$, where $(\mathrm{ID}_{y1},\ldots,\mathrm{ID}_{yl})=(\mathrm{ID}_{z1},\ldots,\mathrm{ID}_{zl})$. In other words, user y is in Level_m, user z is in Level_m, and they share a common ancestor in Level_l. User y may use Dual-HIDE to encrypt a message to user z as follows:

Encryption: To encrypt $M \in \mathcal{M}$, user y:

- 1. Computes $P_{zi} = H_1(\mathrm{ID}_{z1}, \dots, \mathrm{ID}_{zi}) \in \mathbb{G}_1$ for $l+1 \leq i \leq n$.
- 2. Chooses a random $r \in \mathbb{Z}/q\mathbb{Z}$.
- 3. Sets the ciphertext to be:

$$C = [rP_0, rP_{z(l+1)}, \dots, rP_{zn}, M \oplus H_2(g_{yl}^r)]$$

where

$$g_{yl} = \frac{\hat{e}(P_0, S_y)}{\prod_{i=l+1}^m \hat{e}(Q_{y(i-1)}, P_{yi})} = \hat{e}(P_0, S_{yl}) ,$$

 S_y is y's secret point, S_{yl} is the secret point of y's and z's common ancestor at level l, and $Q_{yi} = s_{yi}P_0$ where s_{yi} is the secret number chosen by y's ancestor at level i.

Decryption: Let $C = [U_0, U_{l+1}, \dots, U_n, V]$ be the ciphertext. To decrypt C, user z computes:

$$V \oplus H_2(\frac{\hat{e}(U_0, S_z)}{\prod_{i=l+1}^n \hat{e}(Q_{z(i-1)}, U_i)}) = M.$$

Note that if y and z have a common ancestor below the root PKG, then the ciphertext is shorter with Dual-HIDE than with non-dual HIDE. Further, using Dual-HIDE, the encrypter y computes m-l+1 pairings while the decrypter z computes n-l+1 pairings. (Note that m+n-2l is the "length" of the path between y and z in the hierarchy tree.) In the non-dual HIDE scheme, the encrypter computes one pairing (or receives it as a pre-computed value) while the decrypter computes n pairings. Thus when m < 2l - 1, the total work is less with Dual-HIDE than with non-dual HIDE. The relative computing power of the sender and recipient can also be taken into account. In Appendix B, we show how to decrease the number of pairings that y and z must compute to m+n-2l+1 if their common ancestor in Levell always uses the same s_l rather than generating this number randomly with each private key extraction.

Dual-HIDE also makes domain-specific broadcast encryption possible. Suppose user y wants to encrypt a message to everyone having the same ancestor in Level_l. Everyone in this common ancestor's domain may compute the shared secret $\hat{e}(P_0, S_{yl})$, and so this secret may be used as a shared key of everyone in this domain. Users outside of this domain, other than the parent of the common ancestor, will be unable to compute this pairing. (In Section 6.1, we describe how to exclude even the parent.) Note that Dual-HIDE broadcast is not fully compatible with the HIDS scheme. If Dual-HIDE broadcast and the HIDS scheme use the same parameters, everyone outside the domain who receives a signature from someone in the domain will also be able to compute $\hat{e}(P_0, S_{yl})$.

Fujisaki-Okamoto padding turns Dual-HIDE into FullDual-HIDE, a dual-identity encryption scheme with adaptive chosen ciphertext security.

5.2 Dual-Identity-Based Signatures

Dual hierarchical identity-based signatures (Dual-HIDS) are much easier to explain. If users y and z, as above, have a common ancestor in Level_l, then y only needs to send Q_{yi} for $l+1 \le i \le m$. This makes the length of the signature proportional to m-l rather than m.

5.3 Authenticated Lower-level Root PKGs

Suppose that user y often sends mail to people at a certain university — say, Cryptography State University (CSU) — but that CSU is deep in the hierarchy, and that y is not close to CSU in the hierarchy. How do we solve the ciphertext expansion problem? One solution, of course, is for CSU to set up its own root PKG with its own system parameters, unassociated with the "actual" root PKG. After y obtains CSU's system parameters, its ciphertext to CSU recipients will be shorter. However, we would prefer not to have "rogue" root PKGs.

A better solution is for CSU to set up a root PKG that is "authenticated" by the actual root PKG. For this purpose, the actual root PKG may have an additional parameter, a random message M'. To set up its authenticated root PKG, CSU "signs" M', generating the signature $Sig = S_t + s_t P_{M'}$, where S_t is CSU sprivate point, and s_t is its lower-level secret. CSU also publishes Q_i for $1 \le i \le t$.

Let $(\mathrm{ID}_1, \ldots, \mathrm{ID}_t, \ldots, \mathrm{ID}_v)$ be the ID-tuple of user z at CSU having point-tuple $(P_1, \ldots, P_t, \ldots, P_v)$. Then y may send an encrypted message to z, using the parameters for CSU 's authenticated root PKG, as follows:

Encryption: To encrypt $M \in \mathcal{M}$, user y:

- 1. Computes $P_i = H_1(\mathrm{ID}_1, \dots, \mathrm{ID}_i) \in \mathbb{G}_1$ for $t+1 \leq i \leq v$.
- 2. Chooses a random $r \in \mathbb{Z}/q\mathbb{Z}$.
- 3. Sets the ciphertext to be:

$$C = [rP_0, rP_{t+1}, \dots, rP_v, M \oplus H_2(g_t^r)] \text{ where } g_t = \frac{\hat{e}(P_0, Sig)}{\hat{e}(s_t P_0, P_{M'})} = \hat{e}(P_0, S_t) .$$

Decryption: Let $C = [U_0, U_{t+1}, \dots, U_v, V]$ be the ciphertext. To decrypt C, user z computes:

$$V \oplus H_2(\frac{\hat{e}(U_0, S_v)}{\prod_{i=t+1}^v \hat{e}(Q_{i-1}, U_i)}) = M$$

where S_v is z's private key. The number of pairings computed by the decrypter is v - t + 1, one more than its depth below CSU, not its depth below the actual root PKG.

Interestingly, if y obtains any signature from CSU, not necessarily on a particular point $P_{M'}$, then y may use that signature to shorten its ciphertext in the same way. In effect, y's possession of a signature from CSU allows y to use Dual-HIDE as if y's position in the hierarchy is just below CSU. Thus, y may use CSU's signature to shorten its ciphertext not only to entities below CSU in the hierarchy, but also to any entity that is close to CSU in the hierarchy. In general, one could have an "optimized" HIDE scheme in which the sender stores a list of signatures that it has obtained, and, upon each encryption, searches through that list (which may be put in lexicographic order) to find the signer that is closest in the hierarchy to the intended message recipient, and then uses that signer's signature, in combination with Dual-HIDE, to minimize the length of the ciphertext.

6 Restricting Key Escrow

In IBE schemes, key escrow is "inherent" because the PKG knows the private key of each user. Even in the hierarchical scheme of Horwitz and Lynn, every ancestor of a given user in the hierarchy knows that user's private key. Although this key escrow property may be useful in some contexts, it is certainly not desirable for all applications.

In our HIDE scheme, since the private point of a user depends on a secret number known only to the parent of that user, no ancestor other than the parent may compute the user's particular private point. However, the user's ancestors can still decrypt the user's mail; they may simply compute a different (but equally effective) private key for the user based on different lower-level Q_i values. Using these different Q_i values, they may also forge the user's signature. In this section, we discuss how Dual-HIDE and/or key agreement protocols can be used to restrict this key escrow property.

6.1 Dual-HIDE

Consider again users y and z from Section 5.1 who have a common ancestor in Level_l. Let's say their common ancestor is Cryptography State University, and suppose that user y uses Dual-HIDE to encrypt its messages to z. As stated above, CSU's parent knows CSU's private point. From CSU's perspective, this may be an undesirable situation. However, CSU can easily change its private point S_l by setting $S_l := S_l + bP_l$ and setting $Q_{l-1} := Q_{l-1} + bP_0$ for some random $b \in \mathbb{Z}/q\mathbb{Z}$. This new private key is just as effective, and is unknown to CSU's parent. Assuming that CSU uses its new private key to issue private keys to its children, none of CSU's ancestors will be able to decrypt y's message to z encrypted using Dual-HIDE. More specifically, only ancestors of z that are within CSU's domain will be able to decrypt.

6.2 Authenticated Key Agreement with no Session Key Escrow

HIDS provides a convenient platform on which key agreement may be authenticated (see also [1] for authenticated three-party (non-ID based) key agreement protocols using pairings). A simple explicit authenticated key agreement protocol is as follows:

- 1. Alice chooses a random $a \in \mathbb{Z}/q\mathbb{Z}$ and sends aP_0 and $Sign(aP_0)$ to Bob.
- 2. Bob chooses a random $b \in \mathbb{Z}/q\mathbb{Z}$ and sends bP_0 and $Sign(bP_0)$ to Bob.
- 3. Alice and Bob verify the received signatures and compute the shared secret: abP_0 .

Here, there is no session key escrow. However, there is still an attack scenario: an ancestor of Alice and an ancestor of Bob could collude to mount a man-in-the-middle attack. This attack has an analogue in PKI: CAs could collude in a similar way. Dual-HIDE can be used in combination with key agreement to minimize the possible scope of such collusion among ancestors.

Implicit authentication based on Sakai-Ohgishi-Kasahara key agreement can be done as follows. Alice and Bob first perform a standard (or elliptic curve) Diffie-Hellman exchange, after which Alice thinks the shared Diffie-Hellman value is g_A and Bob thinks it is g_B . Then Alice computes the shared secret as $H(g_A, S_{AB})$ and Bob computes it as $H(g_B, S_{AB})$, where H is a one-way collision-resistant hash function and $S_{AB} = \hat{e}(P_A, P_B)^s = \hat{e}(S_A, P_B) = \hat{e}(S_B, P_A)$, where $P_A = H_1(\mathrm{ID}_A)$ is Alice's public point and $S_A = sP_A$ is her private point, $P_B = H_1(\mathrm{ID}_B)$ is Bob's public point and $S_B = sP_B$ is Bob's private point, and s is their PKG's master secret. Unless the man-in-the-middle is the PKG, it will not be able to compute Alice's or Bob's version of the shared secret, since it does not know S_{AB} . However, it can prevent Alice and Bob from computing the same shared secret. Alice and Bob will not know that their key agreement protocol has been disrupted until one sends an undecipherable message to the other. A passive PKG will not know Alice's and Bob's shared Diffie-Hellman value, and is therefore unable to compute the session key.

7 Security

The security of BasicHIDE and Dual-HIDE (see Appendix A for security proofs and definitions of terminology) is based on the difficulty of the BDH problem, as stated in the following theorem (which is analogous to Theorem 4.1 in [3]):

Theorem 1. Let the hash functions H_1, H_2 be random oracles. Suppose there is an NHID-OWE adversary \mathcal{A} that has advantage ϵ against the BasicHIDE or Dual-HIDE scheme for some ID-tuple and that makes $q_{H_2} > 0$ hash queries to H_2 and a finite number of private key extraction queries. Then there is an algorithm \mathcal{B} that solves the BDH in groups generated by \mathcal{IG} with advantage at least $(\epsilon - \frac{1}{2^n})/q_{H_2}$. The running time of \mathcal{B} is $O(time(\mathcal{A}))$.

With Fujisaki-Okamoto padding, these schemes can be made chosen ciphertext secure if BDH is hard in the groups generated by \mathcal{IG} . The proof follows from Theorem 1 analogously to the way that Theorem 4.4 of [3] follows from Lemma 4.3 of [3]. Further, the security of the HIDS scheme depends only on the difficulty of the Diffie-Hellman problem in the group \mathbb{G}_1 , and not on BDH. We will give additional security proofs (e.g., for HID-OWE adversaries) in the full version of the paper.

8 Extensions and Observations

Improving efficiency of encryption: Levels 0 and 1 can be merged into a single (combined levels 0 and 1) root PKG. In that case, $g = \hat{e}(Q_0, P_1)$ is included in the system parameters.

This saves encrypters the task of computing the value of this pairing. However, decrypters must compute an extra pairing (as a result of being one level lower down the tree).

Distributed PKGs: As in Section 6 of [3], the secrets s_i and private keys can be distributed using techniques of threshold cryptography, in order to, respectively, protect the secrets and make the scheme robust against dishonest PKGs.

Concrete Schemes: The same elliptic curves that were used to give IBE schemes in [3], or the elliptic curves that were used to give short signature schemes in [4], or the abelian varieties given in [11], give HIDE schemes.

9 Conclusion

The novel aspect of our HIDE schemes over the identity-based encryption schemes in [3] and [5] is that they are hierarchical. This allows functionality that would otherwise be impossible. Unlike the scheme in [9], they are also practical and totally collusion-resistant. They are secure against chosen-ciphertext attacks, and the message expansion factor and complexity of decryption grow only linearly with the number of levels in the hierarchy. We have also introduced a related hierarchical ID-based signature (HIDS) scheme that is especially effective when used in combination with HIDE and Dual-HIDE. This also appears to be the first paper related to ID-based cryptography that gives methods for circumventing key escrow.

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A Proofs of Security

A.1 Security Definitions

We first give some definitions that are very similar to those given in [2,3,9]. Their similarity should not be surprising because, at a high level, the security issues involved in hierarchical ID-based cryptography are substantially identical to those in non-hierarchical ID-based cryptography; we are merely adding new levels.

Chosen-ciphertext security: As Boneh and Franklin noted in the context of (non-hierarchical) ID-based cryptography, the standard definition of chosen-ciphertext security must be strengthened for ID-based systems, since one should assume that an adversary can obtain the private key associated with any identity of its choice (other than the particular identity being attacked). The same applies to hierarchical ID-based cryptography. Thus, we allow an attacker to make "private key extraction queries." Also, as in [3], we allow the adversary to choose the identity on which it wishes to be challenged.

One subtlety that bears mentioning is that an adversary may choose the identity of its target adaptively or nonadaptively. An adversary that chooses its target adaptively will first make hash queries and extraction queries, and then choose its target based on the results of these queries. Such an adversary might not have a particular target in mind when it begins the attack; rather, it is successful if it is able to hack somebody. A nonadaptive adversary, on the other hand, chooses its target independently from results of hash queries and extraction queries. For example, such an adversary might target a personal enemy. The adversary may still make hash queries and extraction queries, but its target choice is based strictly on the target's identity, not on query results. Obviously, security against an adaptively-chosen-target adversary is the stronger, and therefore preferable, notion of security. However, we will address both types of security, since our security proofs against adaptively-chosen-target adversaries are slightly weaker.

We say that a HIDE scheme is semantically secure against adaptive chosen ciphertext and adaptive (resp., nonadaptive) chosen target attack (IND-HID-CCA (resp. IND-NHID-CCA)) if no polynomially bounded adversary $\mathcal A$ has a non-negligible advantage against the challenger in the following game. (Note: for IND-NHID-CCA, Phase 1 is omitted.)

Setup: The challenger takes a security parameter k and runs the Root Setup algorithm. It gives the adversary the resulting system parameters params. It keeps the root key to itself.

Phase 1: The adversary issues queries q_1, \ldots, q_m where q_i is one of:

- 1. Public-key query (ID-tuple_i): The challenger runs a hash algorithm on ID-tuple_i to obtain the public key $H(\text{ID-tuple}_i)$ corresponding to ID-tuple_i.
- 2. Extraction query (ID-tuple_i): The challenger runs the Extraction algorithm to generate the private key d_i corresponding to ID-tuple_i, and sends d_i to the adversary.
- 3. Decryption query (ID-tuple_i, C_i): The challenger runs the Extraction algorithm to generate the private key d_i corresponding to ID-tuple_i, runs the Decryption algorithm to decrypt C_i using d_i , and sends the resulting plaintext to the adversary.

These queries may be asked adaptively. Note, also, that the queried ID-tuple_i may correspond to a position at any level in the hierarchy.

Challenge: Once the adversary decides that Phase 1 is over, it outputs two equal length plaintexts $M_0, M_1 \in \mathcal{M}$ and an ID-tuple on which it wishes to be challenged. The only constraints are that neither this ID-tuple nor its ancestors appear in any private key extraction query in Phase 1. Again, this ID-tuple may correspond to a position at any level in the hierarchy.

The challenger picks a random bit $b \in \{0, 1\}$ and sets $C = \text{Encryption}(params, \text{ID-tuple}, M_b)$. It sends C as a challenge to the adversary.

Phase 2: The adversary issues more queries q_{m+1}, \ldots, q_n where q_i is one of:

- 1. Public-key query (ID-tuple_i): Challenger responds as in Phase 1.
- 2. Extraction query (ID-tuple $i \neq ID$ -tuple or ancestor): Challenger responds as in Phase 1.
- 3. Decryption query ((ID-tuple_i, C_i) \neq (ID-tuple or ancestor,C)): Challenger responds as in Phase 1.

Guess: The adversary outputs a guess $b' \in \{0, 1\}$. The adversary wins the game if b = b'. We define its advantage in attacking the scheme to be $|Pr[b = b'] - \frac{1}{2}|$.

One way identity-based encryption: As in [2], we define one-way encryption (OWE) for a public key encryption scheme as follows. The adversary \mathcal{A} is given a random public key K_{pub} and a ciphertext C that is the encryption of a random message M using K_{pub} , and outputs a guess for the plaintext. The adversary is said to have advantage ϵ against the scheme if ϵ is the probability that \mathcal{A} outputs M. The scheme is said to be a one-way encryption (OWE) scheme if no polynomial time adversary has a non-negligible advantage in attacking the scheme.

We say that a HIDE scheme is one-way (HID-OWE or NHID-OWE, depending on whether the target is chosen adaptively or not) if no polynomial time adversary has a non-negligible advantage against the challenger in the following game. (Phase 1 is omitted for NHID-OWE.)

Setup: The challenger takes a security parameter k and runs the Root Setup algorithm. It gives the adversary the resulting system parameters params. It keeps the root key to itself.

Phase 1: The adversary makes public-key and/or extraction queries as in Phase 1 above.

Challenge: Once the adversary decides that Phase 1 is over, it outputs a new ID-tuple ID on which it wishes to be challenged.

The challenger picks a random $M \in \mathcal{M}$ and sets C = Encryption(params, ID-tuple, M). It sends C as a challenge to the adversary.

Phase 2: The adversary issues more public-key queries and more extraction queries on identities other than ID, and the challenger responds as in Phase 1.

Guess: The adversary outputs a guess $M' \in \mathcal{M}$. The adversary wins the game if M = M'. We define the adversary's advantage in attacking the scheme to be Pr[M = M'].

Security against Existential Forgery on Adaptively Chosen Messages: An adversary should be unable to forge its target's signature on a message that the target has not signed previously, even after (adaptively) obtaining the target's signature on messages of the adversary's choosing. A HIDS adversary will also have the ability to make public key queries and private key extraction queries on entities other than the target and its ancestors, and the ability to choose its target. As with HIDE, the adversary's choice of target may be adaptive or nonadaptive.

A.2 BasicPub

To analyze the security of BasicHIDE, we first define a related public-key encryption scheme called BasicPub. This scheme is exactly the same as the "BasicPub" presented in [3], but we present it again here because our notation is slightly different. We will then use two lemmas — one proving that breaking BasicHIDE is as hard as breaking BasicPub, the other proving that breaking BasicPub is as hard as solving an instance of the BDH problem — to show that the security of BasicHIDE is based on the difficulty of the BDH problem.

BasicPub is a public-key encryption scheme, specified by three algorithms: Key Generation, Encryption and Decryption:

Key Generation:

1. Run \mathcal{IG} on input k to generate two groups $\mathbb{G}_1, \mathbb{G}_2$ of the same prime order q and a bilinear map $\hat{e}: \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2$. Choose an arbitrary generator $P_0 \in \mathbb{G}_1$.

- 2. Pick random $s_0 \in \mathbb{Z}/q\mathbb{Z}$ and set $Q_0 = s_0 P_0$.
- 3. Pick a random point $P_1 \in \mathbb{G}_1$.
- 4. Choose a cryptographic hash function $H_2: \mathbb{G}_2 \to \{0,1\}^n$.

The message space is $\mathcal{M} = \{0,1\}^n$. The ciphertext space is $\mathcal{C} = \mathbb{G}_1 \times \{0,1\}^n$. The public key is $(\mathbb{G}_1, \mathbb{G}_2, \hat{e}, P_0, Q_0, P_1, H_2)$. The private key is $S_1 = s_0 P_1$.

Encryption: To encrypt $M \in \mathcal{M}$, do the following:

- 1. Choose a random $r \in \mathbb{Z}/q\mathbb{Z}$.
- 2. Set the ciphertext to be:

$$C = [rP_0, M \oplus H_2(g^r)]$$
 where $g = \hat{e}(Q_0, P_1) \in \mathbb{G}_2$.

Decryption: Let $C = [U, V] \in \mathcal{C}$ be the ciphertext. To decrypt C, compute:

$$V \oplus H_2(\hat{e}(U, S_1)) = M.$$

A.3 HIDE: Targeting a Specific User

Lemma 1. Let H_1 be a random oracle from $\{0,1\}^*$ to \mathbb{G}_1 . Let \mathcal{A} be an NHID-OWE adversary that makes a finite number of private key extraction queries and has advantage ϵ against BasicHIDE for some ID-tuple. Then there is an OWE adversary \mathcal{B} that has advantage at least ϵ against BasicPub. Its running time is $O(time(\mathcal{A}))$.

Proof: We show how to construct an OWE adversary \mathcal{B} that uses \mathcal{A} to gain advantage ϵ against BasicPub. The game between the challenger and the adversary \mathcal{B} starts with the challenger first generating a random public key by running the key generation algorithm of BasicPub. The result is a public key $K_{pub} = (\mathbb{G}_1, \mathbb{G}_2, \hat{e}, P_0, Q_0, P_1, H_2)$, with $Q_0 = s_0 P_0$, and a private key $S_1 = s_0 P_1$. The challenger then picks a random plaintext $M \in \mathcal{M}$ and encrypts M using the encryption algorithm of BasicPub. It gives K_{pub} and the resulting ciphertext C = [U, V] to algorithm \mathcal{B} . Algorithm \mathcal{B} is supposed to output a guess for M. Let ID-tuple₀ = (ID₀₁, ..., ID_{0t0}) be an ID-tuple for which \mathcal{A} has an advantage against BasicHIDE. Then \mathcal{A} and \mathcal{B} agree to use this ID-tuple, and they interact as follows:

Setup: \mathcal{B} gives \mathcal{A} the BasicHIDE system parameters $params = (\mathbb{G}_1, \mathbb{G}_2, \hat{e}, P_0, Q_0, H_1, H_2)$. Here, H_1 is a random oracle controlled by \mathcal{B} .

 H_1 -queries: At any time, algorithm \mathcal{A} can query the random oracle H_1 . Essentially, this oracle will be used to determine the Point-tuple_i = $\{T_{ik} = H_1(\mathrm{ID}_{i1}, \ldots, \mathrm{ID}_{ik}) : 1 \leq k \leq t_i\}$ corresponding to ID -tuple_i = $(\mathrm{ID}_{i1}, \ldots, \mathrm{ID}_{it_i})$. In responding to these queries, algorithm \mathcal{B} maintains a list H_1^{list} containing tuples of the form (ID -tuple_i, Point-tuple_i, Scalar-tuple_i, Secret-tuple_i). This list is initially empty. Algorithm \mathcal{B} first adds ID -tuple₀ to H_1^{list} as follows:

For $1 \le k \le t_0$, algorithm \mathcal{B} :

- 1. picks a random $s_{0k} \in \mathbb{Z}/q\mathbb{Z}$;
- 2. picks a random $b_{0k} \in \mathbb{Z}/q\mathbb{Z}$;
- 3. sets $T_{01} = b_{01}P_1$ and $T_{0k} = b_{0k}P_0$ for k > 1.

Algorithm \mathcal{B} then puts $((\mathrm{ID}_{01},\ldots,\mathrm{ID}_{0t_0}),(T_{01},\ldots,T_{0t_0}),(b_{01},\ldots,b_{0t_0}),(s_{01},\ldots,s_{0t_0}))$ in H_1^{list} .

When \mathcal{A} queries H_1 about $\text{ID-tuple}_i = (\text{ID}_{i1}, \dots, \text{ID}_{it_i})$, algorithm \mathcal{B} responds as follows:

Let y be maximal such that $(\mathrm{ID}_{i1},\ldots,\mathrm{ID}_{iy})=(\mathrm{ID}_{j1},\ldots,\mathrm{ID}_{jy})$ for some tuple $(\mathrm{ID\text{-tuple}}_j,\mathrm{Point\text{-tuple}}_j,\mathrm{Scalar\text{-tuple}}_j,\mathrm{Secret\text{-tuple}}_j)$ already in H_1^{list} . Let $w\leq y$ be maximal such that $(\mathrm{ID}_{i1},\ldots,\mathrm{ID}_{iw})=(\mathrm{ID}_{01},\ldots,\mathrm{ID}_{0w})$. Then:

- 1. For $1 \le k \le y$, \mathcal{B} sets $T_{ik} = T_{jk}$, $s_{ik} = s_{jk}$, and $b_{ik} = b_{jk}$. (Note: this is independent of j).
- 2. If 0 < w = y < r, then for $y < k \le r$, algorithm \mathcal{B} :
 - (a) picks a random $s_{ik} \in \mathbb{Z}/q\mathbb{Z}$;
 - (b) picks a random $b_{ik} \in \mathbb{Z}/q\mathbb{Z}$;
 - (c) sets $T_{i(w+1)} = b_{i(w+1)}P_0 s_{iw}^{-1}b_{i1}P_1$ and sets $T_{ik} = b_{ik}P_0$ if $y+1 < k \le r$.
- 3. If w < y or w = 0, then for $y < k \le r$, algorithm \mathcal{B} :
 - (a) picks a random $s_{ik} \in \mathbb{Z}/q\mathbb{Z}$;
 - (b) picks a random $b_{ik} \in \mathbb{Z}/q\mathbb{Z}$;
 - (c) sets $T_{ik} = b_{ik}P_0$.

Algorithm \mathcal{B} then puts $((\mathrm{ID}_{i1}, \ldots, \mathrm{ID}_{it_i}), (T_{i1}, \ldots, T_{it_i}), (b_{i1}, \ldots, b_{it_i}), (s_{i1}, \ldots, s_{it_i}))$ in H_1^{list} and returns $(T_{i1}, \ldots, T_{it_i})$ to \mathcal{A} . Note that T_{ik} is always chosen uniformly in \mathbb{G}_1 and is independent of \mathcal{A} 's view as required.

Extraction Queries: At any time, algorithm \mathcal{A} may make a private key extraction query on any ID-tuple_i, other than ID-tuple₀ and its ancestors. Algorithm \mathcal{B} responds to this query as follows:

- 1. Run the above algorithm for responding to H_1 -queries to obtain the appropriate tuple (ID-tuple_i, Point-tuple_i, Scalar-tuple_i, Secret-tuple_i) in H_1^{list} .
- 2. Let w be defined as above. Define

$$S_{it_i} = s_{iw} s_0 T_{i(w+1)} + \sum_{\substack{k=1\\k \neq w+1}}^{t_i} s_{i(k-1)} T_{ik}$$

if w > 0 and $S_{it_i} = \sum_{k=1}^{t_i} s_{i(k-1)} T_{ik}$ otherwise, where $s_{i0} := s_0$ for all i. This is the private point. Algorithm \mathcal{B} also gives \mathcal{A} the points $\{Q_{ik} = s_{ik} P_0 : 1 \leq k \leq t_i - 1, k \neq w\}$ and $Q_{iw} = s_{iw} Q_0$ (if w > 0). These are the Q_k values for the private point.

We leave it to the reader to verify that this is a valid private key for ID-tuple_i that is always computable by \mathcal{B} . Note that \mathcal{B} does not know s_0 or s_0P_1 . That S_{it_i} is computable by \mathcal{B} follows from the definition of $T_{i(w+1)}$ above.

Challenge: At any time, algorithm \mathcal{A} may request a challenge ciphertext from \mathcal{B} on ID-tuple₀. Let C = [U, V] be the challenge ciphertext given to algorithm \mathcal{B} . Algorithm \mathcal{B} sets the BasicHIDE ciphertext C' to be $[b_{01}^{-1}U, b_{01}^{-1}b_{02}U, \dots, b_{01}^{-1}b_{0r}U, V]$. Algorithm \mathcal{B} responds to \mathcal{A} with the challenge C'. Note that C' is a BasicHIDE encryption of M under ID-tuple₀ as required. To see this, first observe that the private key corresponding to ID-tuple₀ is $S = s_0T_{01} + \sum_{k=2}^r s'_{k-1}T_{0k}$ — along with the additional information $\{s'_{k-1}P_0 : 2 \leq k \leq r\}$ — for some set $\{s'_{k-1} : 2 \leq k \leq r\}$. Second, observe that:

$$\frac{\hat{e}(b_{01}^{-1}U, S)}{\prod_{k=2}^{r} \hat{e}(b_{01}^{-1}b_{0k}U, s_{k-1}'P_0)} = \hat{e}(b_{01}^{-1}U, s_0T_{01}) = \hat{e}(U, s_0P_1) .$$

Since the values of the above pairings are the same, the correct decryption of C' is M. (Recall that \hat{e} is symmetric.)

Guess: Eventually, algorithm \mathcal{A} will produce a guess M'. Algorithm \mathcal{B} outputs M' as its guess

for the decryption of C.

Claim: Algorithm \mathcal{A} 's view is identical to its view in the real attack. Furthermore, $\Pr[M = M'] \geq \epsilon$. The probability is over the random bits used by \mathcal{A} , \mathcal{B} and the challenger.

Proof of Claim: All responses to H_1 -queries are as in the real attack since each response is uniformly and independently distributed in \mathbb{G}_1 . All responses to private key extraction queries are valid. Finally, the challenge ciphertext C' given to \mathcal{A} is the BasicHIDE encryption of the random plaintext M under the ID-tuple chosen by \mathcal{A} . Therefore, by the definition of algorithm \mathcal{A} , it will output M' = M with probability at least ϵ .

A.4 Security Proofs for BasicHIDE, FullHIDE, Dual-HIDE, and FullDual-HIDE

The following result, which is Lemma 4.3 of [3], says that solving the BDH problem reduces to breaking BasicPub.

Lemma 2. Let H_2 be a random oracle from \mathbb{G}_2 to $\{0,1\}^n$. Let \mathcal{A} be an OWE adversary that has advantage ϵ against BasicPub that makes a total of q_{H_2} queries to H_2 . Then there is an algorithm \mathcal{B} that solves the BDH problem for \mathcal{IG} with advantage at least $(\epsilon - \frac{1}{2^n})/q_{H_2}$ and running time $O(\operatorname{time}(A))$.

Theorem 1 for BasicHIDE follows by combining Lemmas 1 and 2.

The proof of Theorem 1 for Dual-HIDE is the same as for BasicHIDE, except that algorithms \mathcal{B} and \mathcal{A} agree on both an ID-tuple that \mathcal{A} will attack and a Sender-tuple that will send an encrypted message to that identity using Dual-HIDE. If the lowest-level common ancestor of the ID-tuple and the Sender-tuple is in Level_m, then m-1 is viewed as the root. Algorithm \mathcal{B} sets $T_{0k} = b_{0k}P_1$ if k = m and $T_{0k} = b_{0k}P_0$ otherwise, sets $T_{ik} = b_{ik}P_0$ if w < m and $y < k \le t_i$, and sets $T_{i(w+1)} = b_{i(w+1)}P_0 - s_{iw}^{-1}b_{0m}P_1$ if $y = w < t_i$ and $w \ge m$. Algorithm \mathcal{A} may make private key extraction queries on any ID-tuple, other than the one it is attacking and its ancestors in Level_m or lower.

B Dual-HIDE with One Fewer Pairing Computation

As mentioned in Section 5.1, it is possible to decrease by one the number of values of the pairing that the encrypter must compute, while keeping constant the number of values of the pairing that the decrypter must compute, in Dual-HIDE. Here, each PKG E_t has a fixed random secret $s_t = \mathbb{Z}/q\mathbb{Z}$, which it uses, along with their identity points, to construct the private keys for its children, rather than generating a different random secret for each child.

Encryption and decryption proceed as follows:

Encryption: To encrypt $M \in \mathcal{M}$, user y:

- 1. Computes $P_{zi} = H_1(\mathrm{ID}_{z1}, \dots, \mathrm{ID}_{zi}) \in \mathbb{G}_1$ for $l+1 \leq i \leq n$.
- 2. Chooses a random $r \in \mathbb{Z}/q\mathbb{Z}$.
- 3. Sets the ciphertext to be:

$$C = [rP_0, r(P_{y(l+1)} - P_{z(l+1)}), rP_{z(l+2)}, \dots, rP_{zn}, M \oplus H_2(g^r_{y(l+1)})]$$
where $g_{y(l+1)} = \frac{\hat{e}(P_0, S_y)}{\prod_{i=l+2}^m \hat{e}(Q_{y(i-1)}, P_{yi})} = \hat{e}(P_0, S_{y(l+1)})$,

where S_y is y's secret point and $S_{y(l+1)}$ is the secret point of y's ancestor at level l+1.

Decryption: Let $C = [U_0, U_{l+1}, \dots, U_n, V]$ be the ciphertext. To decrypt C, user z computes:

$$V \oplus H_2(\frac{\hat{e}(U_0, S_z)\hat{e}(U_{l+1}, Q_{zl})}{\prod_{i=l+2}^n \hat{e}(Q_{z(i-1)}, U_i)}) = M.$$

\mathbf{C} An alternative HIDS Scheme

Next, we modify the HIDS scheme in Section 4 so that an entity's point-tuple (P_1, \ldots, P_t) is computed as a function not only of its ID-tuple (ID_1, \ldots, ID_t) , but also as a function of the points $Q_i = s_i P_0$ for $1 \le i \le t$, where s_i is the secret number of the entity's ancestor in Level_i. This commits the entity to its entire chain of secrets. However, this HIDS scheme is not very compatible with HIDE, because an encrypter would not know the entity's Q_i -values without an online lookup.

Root Setup: The root PKG:

- 1. runs \mathcal{IG} on input k to generate groups $\mathbb{G}_1, \mathbb{G}_2$ of some prime order q and an admissible pairing $\hat{e}: \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2;$
- 2. chooses an arbitrary generator $P_0 \in \mathbb{G}_1$;
- 3. picks a random $s_0 \in \mathbb{Z}/q\mathbb{Z}$ and sets $Q_0 = s_0 P_0$;
- 4. chooses cryptographic hash functions $H_1:\{0,1\}^*\times\mathbb{G}_1^x\to\mathbb{G}_1$ and $H_3:\{0,1\}^*\times\mathbb{G}_1^x\to\mathbb{G}_1$ (where x may be arbitrary). The security analysis will treat H_1 and H_3 as random oracles.

The signature space is $S = \mathbb{G}_1^{t+1}$ where t is the level of the recipient. The system parameters are $params = (\mathbb{G}_1, \mathbb{G}_2, \hat{e}, P_0, Q_0, H_1)$. The root PKG's secret is $s_0 \in \mathbb{Z}/q\mathbb{Z}$.

Lower-level Setup: Entity $E_t \in \text{Level}_t$ picks a random $s_t \in \mathbb{Z}/q\mathbb{Z}$ and computes $Q_t = s_t P_0$.

Extraction: Let E_t be an entity in Level_t with ID-tuple (ID_1, \ldots, ID_t) , where (ID_1, \ldots, ID_i) for $1 \le i \le t$ is the ID-tuple of E_t 's ancestor at Level_i. Set S_0 to be the identity element of \mathbb{G}_1 . First, E_t sends Q_t to its parent (or, alternatively, s_t). Then E_t 's parent:

- 1. computes $P_t = H_1(\mathrm{ID}_1, \dots, \mathrm{ID}_t, Q_1, \dots, Q_t) \in \mathbb{G}_1;$ 2. sets \mathcal{E}_t 's secret point S_t to be $S_{t-1} + s_{t-1}P_t = \sum_{i=1}^t s_{i-1}P_i;$ 3. also gives \mathcal{E}_t the values $Q_i = s_i P_0$ for $1 \leq i \leq t-1$.

Signing: To sign M with ID-tuple (ID₁,...,ID_t) (using the secret point S_t and the points $Q_i = s_i P_0$ for $1 \le i \le t$) do the following:

- 1. Compute $P_i = H_1(\mathrm{ID}_1, \ldots, \mathrm{ID}_i, Q_1, \ldots, Q_i) \in \mathbb{G}_1$ for $1 \leq i \leq t$. (Preferably, these are precomputed.)
- 2. Compute $P_M = H_3(\mathrm{ID}_1, \dots, \mathrm{ID}_t, M, Q_1, \dots Q_t) \in \mathbb{G}_1$. (Again, H_3 need not be a totally different hash function.)
- 3. Compute Sig(ID-tuple, $M) = S_t + s_t P_M$.
- 4. Send Sig(ID-tuple, M) and $Q_i = s_i P_0$ for $1 \le i \le t$.

Verification: Let $[Sig, Q_1, \ldots, Q_t] \in \mathcal{S}$ be the signature for (ID-tuple, M). The verifier computes $P_i = H_1(ID_1, ..., ID_i, Q_1, ..., Q_i)$ for $1 \le i \le t$ and $P_M = H_1(ID_1, ..., ID_t, M, Q_1, ..., Q_t)$ and confirms that:

$$\hat{e}(P_0, Sig) = \hat{e}(Q_0, P_1)\hat{e}(Q_t, P_M) \prod_{i=2}^t \hat{e}(Q_{i-1}, P_i).$$