

# Fuzzy Identity-Based Encryption

Preliminary Version

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## Abstract

We introduce a new type of Identity-Based Encryption (IBE) scheme that we call Fuzzy Identity-Based Encryption. A Fuzzy IBE scheme allows for a private key for an identity,  $I$ , to decrypt a ciphertext encrypted with another identity,  $I'$ , if and only if the identities  $I$  and  $I'$  are close to each other as measured by some metric such as Hamming distance or set overlap. A Fuzzy IBE scheme can be applied to enable encryption using biometric measurements as identities. The error-tolerance of a Fuzzy IBE scheme allows for the use of biometric identities, which inherently will have some noise each time they are sampled.

In this paper we present a construction of a Fuzzy IBE scheme that uses groups with efficiently computable bilinear maps. Additionally, our construction does not use random oracles. We prove the security of our scheme under the Selective-ID security model.

## 1 Introduction

There has been recent interest about the challenge of generating cryptographic keys from biometric inputs. The primary difficulty in generating a strong key from a biometric input is that the measured value of a biometric can change slightly upon each sampling. This effect can be explained by differences in sampling devices, environmental noise, or small changes in the human trait itself. This inherent non-determinism makes it difficult to extract a cryptographic key from a biometric input.

Recent work has produced techniques to derive cryptographic keys from biometric inputs for symmetric key applications. For example, Monrose et al. [14, 13, 12] develop techniques to extract secrets from keyboard typing dynamics and, later, voice prints by using a form of error-tolerant secret sharing. Other work by Davida *et al.* [4] and Juels and Wattenberg [9] use error-correcting codes to compensate for the noise in the biometric input.

These techniques are useful for symmetric key cryptography applications such as password authentication and symmetric key encryption. However, there does not seem to be a clear way to move these techniques into the realm of public key cryptography. In particular, the work above seems does not fit into the paradigm of Identity-Based Encryption.

We propose a new type of Identity-Based Encryption that we call *Fuzzy Identity-Based Encryption*. In a Fuzzy Identity-Based Encryption scheme a user with secret key for the identity  $I$  is able to decrypt a ciphertext encrypted with the public key  $I'$  if and only if  $I$  and  $I'$  are within a certain distance of each other as judged by some metric. For the remainder of this paper we view identities as a set of  $n$  elements and we use the set overlap between two identities to measure their similarity. (If we restrict ourselves to equal size sets we could view the set difference as the distance between two identities.)

**Motivating Example** The existence of a practical Fuzzy Identity-Based Encryption scheme would allow for the encryption of data using a biometric input as the public key. This could be useful in the following scenario. Consider a patient that is rushed into an emergency medical visit. A collection of medical data will be created from the visit including possibly the results of tests that will not be ready until days later. The patient would like to be able to conveniently

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access this data later by retrieving it from a public storage server. However, since the privacy of medical information is considered to be very important, the data would need to be encrypted in such a way that only the patient could access it.

Given the urgency of the initial visit it is unrealistic to require that the patient has on his person a device that can store a cryptographic key or to require that he remember a unique identifier (as might be required if we were to use Identity-Based Encryption in the traditional manner). However, the medical staff would be able to measure an inherent biometric identity from the patient. Using a Fuzzy IBE scheme the medical staff could use this biometric identity to encrypt information for the patient so that he could later retrieve at his convenience.

**Advantages of biometric-based IBE over traditional IBE** In many situations, using biometric-based IBE would have a number of important advantages over “traditional” IBE. We argue that the use of biometric identities fits the framework of Identity-Based Encryption very well and is a very valuable application of it.

First, the process of obtaining a secret key from an authority is very natural and straightforward. In traditional Identity-Based Encryption schemes a user with a certain identity, for example “Bob Smith”, will need to go to an authority to obtain the private key corresponding to the identity. In this process the user will need to “prove” to the authority that he is indeed entitled to this identity. This will typically involve presenting supplementary documents or credentials. The type of authentication that is necessary is not always clear and robustness of this process is questionable (the supplementary documents themselves could be subject to forgery) Typically, there will exist a tradeoff between a system that is expensive in this step and one that is less reliable.

In contrast, if a biometric is used as an identity then the verification process for an identity is very clear. The user must demonstrate ownership of the biometric under the supervision of a well trained operator. If the operator is able to detect imitation attacks, for example playing the recording of a voice, then the security of this phase is only limited by the quality of the biometric technique itself. We emphasize that the biometric measurement for an individual need not be kept secret (indeed it won’t be if it is to be used as a public key). We must only guarantee that an attacker cannot fool the human attendee that delegates private keys into believing that an attacker owns a biometric identity that he does not.

Secondly, using a biometric as an identity has the advantage that identities are unique if the underlying biometric is of a good quality. Some types of traditional identities such as the name “Bob Smith” will clearly not be unique and this could lead to problems, although Hierarchical Identity-Based Encryption [6, 8] does alleviate this problem.

Finally, the fact that a biometric identity is an inherent trait and therefore is always with a person is useful. In several situations, such as our medical example, the user might not have the foresight to carry an cryptographic devices or even obtain a unique traditional identity.

**Our Contributions** We formalize the notion of Fuzzy Identity-Based Encryption and provide a construction for a Fuzzy Identity-Based Encryption scheme. Our construction uses groups for which an efficient bilinear map exists, but for which the Computational Diffie-Hellman problem is assumed to be hard. We achieve our result by applying the techniques of Shamir Secret Sharing [17] where a polynomial can be reconstructed in the exponent of a group.

We prove our scheme secure under an adapted version of the Selective-ID security model first proposed by Canetti et al. [3]. Additionally, our construction does not use random oracles. We reduce the security of our scheme to an assumption that is similar to the Decisional Bilinear Diffie-Hellman assumption.

## 1.1 Related Work

Shamir [18] first proposed the concept of Identity-Based Encryption. Boneh and Franklin [2] presented the first Identity-Based Encryption scheme that was both practical and secure. Their solution also made use of groups for which there was an efficiently computable bilinear map, but for which the Computational Diffie-Hellman problem is believed to be hard.

Canetti et al. [3] proposed the first construction for IBE that was provably secure outside the random oracle model. To prove Security they described a slightly weaker model of security

known as the Selective-ID model, in which the adversary declares which identity he will attack before the global public parameters are generated. Since their construction views identities as bit strings a bilinear map computation is required for each bit in the identity.

Boneh and Boyen [1] describe two IBE schemes that are also proven to be secure in the Selective-ID model. Additionally, their two constructions only require respectively two and one pairing computations per decryption and are thus significantly more efficient.

Our work can be viewed as a new method for a standard IBE scheme that is secure without random oracles in the Selective-ID model. Our Fuzzy IBE scheme becomes a standard one when the error-tolerance parameter,  $d$ , is set to 0. However, since the decryption phase requires a pairing computation for every bit of the identity it would serve as a much less efficient standard IBE scheme than that of Boneh and Boyen [1].

Other work in applying biometrics to cryptography has focused on the derivation of a secret from a biometric. This secret can be then used for operations such as encryption or UNIX style password authentication.

Monrose et al. [14, 13, 12] examined the use of keystroke dynamics and later voice prints as biometrics for this purpose. The authors use a type of secret sharing in which the biometric would decide which keyshares from a stored set to use in reconstructing the secret. Some stored shares are bogus to prevent someone with the wrong biometric from unlocking the secret. Their scheme provides error-tolerance by storing two valid secrets for points in the biometric measurement that are not reliable for a particular user.

Davida et al. [4] proposed a scheme where they store the check bits for an error correcting code of a biometric input along with the hash of the input. The check bits are used to compute the original input from one that is reasonably close. Juels and Wattenberg [9] improve upon this work using a novel technique in which the biometric input is treated as a corrupted codeword.

Juels and Sudan [10] presented a scheme that uses set overlap as the measurement between biometric templates. Dodis, Reyzin, and Smith [5] describe a general primitive for extracting uniform randomness from biometric inputs. Additionally, they give constructions for the metrics of Hamming distance, set overlap, and edit distance.

The primary distinguishing feature of our work from the other related work on biometrics above is that we view the biometric input as public identity instead of a secret. Our only physical requirement is that the biometric cannot be imitated such that a trained human operator would be fooled.

## 1.2 Organization

The rest of the paper is organized as follows. In Section 2 we formally define a Fuzzy Identity-Based Encryption scheme including the Selective-ID security model for one. In Section 3 we describe the security assumption our scheme reduces to. In Section 4 we show why two naive approaches do not work. We follow with our description of our construction in Section 5. In Section 6 we prove the security of our scheme. We describe some extensions to our scheme in Section 7. Finally, we conclude in Section 8.

## 2 Definitions

In this section we define two Selective-ID models of security for Fuzzy Identity Based Encryption. The Basic Fuzzy Selective-ID game is very similar to the standard Selective-ID model for Identity-Based Encryption with the exception that the adversary is only allowed to query for secret keys for identities which have less than  $d$  overlap with the target identity.

In the second game, which we call Multiple Fuzzy Selective-ID the adversary chooses several identities that are simultaneously targets. This model of security captures how an attack against a biometric identity will occur in a practice. The attacker will want to target a certain biometric identity, but the identities with which the ciphertexts are encrypted will deviate slightly from the original identity. These deviations in practice will be from environmental noise and therefore will be independent of the public parameters. This game captures the case where a set of target identities are small random deviations from one identity.

We describe the two security games below and show that the security of the Multiple Fuzzy Selective-ID game reduces to the Basic Fuzzy Selective-ID game in Section A of the Appendix.

### Basic Fuzzy Selective-ID

**Init** The adversary declares the identity  $\alpha$  that he wishes to be challenged upon.

**Setup** The challenger runs the setup phase of the algorithm and tells the adversary the public parameters.

**Phase 1** The adversary is allowed to issue queries for private keys for an identity  $\gamma$  where  $|\gamma \cap \alpha| < d$ .

**Challenge** The adversary submits two equal length messages  $m_0, m_1$ . The challenger flips a random coin  $b$  and encrypts  $m_b$  with  $\alpha$ . The ciphertext is passed to the adversary.

**Phase 2** Phase 1 is repeated.

**Guess** The adversary outputs a guess  $b'$  of  $b$ .

The advantage of an adversary  $\mathcal{A}$  in this game is defined as  $\Pr[b' = b] - \frac{1}{2}$ .

**Definition 1 (Basic Fuzzy Selective-ID).** *A scheme is secure in the Basic Fuzzy Selective-ID model of security if all computationally bound adversaries have at most a negligible advantage in the above game.*

### Multiple Fuzzy Selective-ID

**Init** The adversary declares  $l$  identities  $\alpha_1, \dots, \alpha_l$  that he wishes to be challenged upon where  $l$  is bounded by a polynomial of the security parameter  $\kappa$ .

**Setup** The challenger runs the setup phase of the algorithm and tells the adversary the public parameters.

**Phase 1** The adversary is allowed to issue queries for private keys for an identity  $\gamma$  where  $|\gamma \cap \alpha_i| < d$  for all  $i$ .

**Challenge** The adversary submits  $2l$  messages  $m_{1,0}, \dots, m_{l,0}$  and  $m_{1,1}, \dots, m_{l,1}$  where  $m_{i,0}, m_{i,1}$  are of equal length for all  $i$ . The challenger flips a random coin  $b$  and encrypts  $m_{1,b}, \dots, m_{l,b}$  with the respective identities  $\alpha_1, \dots, \alpha_l$ . The ciphertexts are passed to the adversary.

**Phase 2** Phase 1 is repeated.

**Guess** The adversary outputs a guess  $b'$  of  $b$ .

The advantage of an adversary  $\mathcal{A}$  in this game is  $\Pr[b' = b] - \frac{1}{2}$ .

## 3 Complexity Assumption

Let  $\mathcal{G}_1$  be a group of prime order  $p$  with an admissible bilinear map,  $e$ , into  $\mathcal{G}_2$  and  $g$  be a generator of  $\mathcal{G}_1$ . We define two assumptions.

**Definition 2 (Decisional Bilinear Diffie-Hellman (BDH) Assumption).** *Suppose a challenger chooses  $a, b, c, z \in \mathbf{Z}_p$  at random. The Decisional BDH assumption is that no adversary is to be able to distinguish the tuple*

$$(A = g^a, B = g^b, C = g^c, Z = e(g, g)^{abc})$$

from the tuple

$$(A = g^a, B = g^b, C = g^c, Z = e(g, g)^z)$$

with more than a negligible advantage.

**Definition 3 (Decisional Modified Bilinear Diffie-Hellman (BDDH) Assumption).** *Suppose a challenger chooses  $a, b, c, z \in \mathbf{Z}_p$  at random. The Decisional Modified BDH assumption is that no adversary is to be able to distinguish the tuple*

$$(A = g^a, B = g^b, C = g^c, Z = h^{\frac{ab}{c}})$$

from

$$(A = g^a, B = g^b, C = g^c, Z = h^z)$$

with more than a negligible advantage.

## 4 Other Approaches

Before describing our scheme we first show describe two naive approaches to building a Fuzzy Identity-Based Encryption scheme and show why they fall short. This discussion will additionally motivate our approach to the problem.

The first possible method we examine is to “correct” the errors of a biometric measurement and then use standard Identity-Based Encryption to encrypt a message under the corrected input. If the biometric input measured when creating the private key is corrected to the same value then the private key will be able to decrypt the ciphertext.

While, it is possible to correct some errors upon reading an input this approach relies upon the faulty assumption that each biometric input measurement is slightly deviated from some “true” value and that these “true” values are well known. In practice the only reasonable assumption is that two measurements sampled from the same person will be within a certain distance of each other.

This intuition is captured by previous work. Dodis, Rezyin, and Smith [5] use what they call a *fuzzy sketch* that contains information of a first sampling of a biometric that allows subsequent measurements to be corrected to it. If the correction could be done without any other information then we could simply do away with the fuzzy sketch.

The second naive approach we consider is for an authority to give a user an Identity-Based Private key for each of the  $n$  separate attributes that describe the user. Such a system easily falls prey to simple collusion attacks where multiple users combine their keys to form identities that are a combination of their attributes.

## 5 Our Construction

### 5.1 Intuition

Our approach is motivated by providing resistance to collusion attacks of multiple users. When an authority is creating a private key for a user he will associate a random  $d-1$  degree polynomial  $q$  with each user with the restriction that each polynomial have the same valuation at point 0, that is  $q(0) = y$ .

For each of a user’s  $n$  attributes the authority will give him a private key component that is of a generator,  $g$ , of a bilinear group raised to the user’s polynomial at a certain point divided by a secret blinding factor. If the ciphertext components match the private key at at least  $d$  places the user is able to interpolate and decrypt a message. However, due since each user’s private key is derived from a random polynomial, no two users are able to combine their private key components. We now describe our scheme.

### 5.2 Description

Let  $\mathcal{G}_1$  be bilinear group of prime order  $p$  and  $g$  be a generator of  $\mathcal{G}_1$ . Additionally, let  $e : \mathcal{G}_1 \times \mathcal{G}_1 \rightarrow \mathcal{G}_2$  denote the bilinear map. A security parameter,  $\kappa$ , will determine the size of the groups.

We also define the Lagrange coefficient  $\Delta_{i,S}$  for  $i \in \mathbf{Z}_p$  and a set,  $S$ , of elements in  $\mathbf{Z}_p$ :

$$\Delta_{i,S}(x) = \prod_{j \in S, j \neq i} \frac{x - j}{i - j}.$$

Identities will be  $n$  element sets where the elements are members of  $\mathbf{Z}_p^* \in \mathcal{U}$  where  $\mathcal{U}$  is the universe of elements defined by the master-key holder. In practice, a biometric measurement will be a set of attributes and each attribute will be associated with some element of  $\mathcal{U}$ . Our construction follows:

**Setup( $n, d$ )** First, define the Universe,  $\mathcal{U}$  of elements. For simplicity, we can take the first  $|\mathcal{U}|$  elements of  $\mathbf{Z}_p^*$  to be the universe. Namely, the integers  $1, \dots, |\mathcal{U}| \pmod{p}$ .

Next, choose  $t_1, \dots, t_{|\mathcal{U}|}$  uniformly at random from  $\mathbf{Z}_p$ . Finally, choose  $y$  uniformly at random in  $\mathbf{Z}_p$ . The published public parameters are:

$$T_1 = g^{t_1}, \dots, T_{|\mathcal{U}|} = g^{t_{|\mathcal{U}|}}, Y = e(g, g)^y.$$

The master key is:

$$t_1, \dots, t_{|\mathcal{U}|}, y.$$

**Key Generation** To generate a private key for identity  $I \subset \mathcal{U}$  the following steps are taken. A  $d-1$  degree polynomial  $q$  is randomly chosen such that  $q(0) = y$ . The private key consists of  $n$  components,  $D_i$ , for all  $i \in I$ . The private key is:  $\forall i \in I : D_i = g^{\frac{q(i)}{t_i}}$ .

**Encryption** Encryption with the public key  $I'$  and message  $m \in \mathcal{G}_2$  proceeds as follows. First, a random value  $s \in \mathbf{Z}_p$  is chosen. The ciphertext is then published as:

$$E = (I', E' = mY^s, \{E_i = T_i^s\} \forall i \in I').$$

**Decryption** Suppose that a ciphertext,  $E$ , is encrypted with a key for identity  $I'$  and we have a key for identity  $I$ , where  $|I \cap I'| \geq d$ . Choose an arbitrary  $d$ -element subset,  $S$ , of  $I \cap I'$ . Then, the ciphertext can be decrypted as:

$$\begin{aligned} & E' / \prod_{i \in S} (e(D_i, E_i))^{\Delta_{i,S}(0)} \\ &= me(g, g)^y / \prod_{i \in I \cap I'} \left( e\left(g^{\frac{q(i)}{t_i}}, g^{st_i}\right) \right)^{\Delta_{i,S}(0)} \\ &= me(g, g)^y / \prod_{i \in I \cap I'} \left( e(g, g)^{sq(i)} \right)^{\Delta_{i,S}(0)} \\ &= m. \end{aligned}$$

The last equality is derived from using polynomial interpolation in the exponents. Since, the polynomial  $sq(x)$  is of degree  $d-1$  it can be interpolated using  $d$  points.

### 5.3 Computational Cost

Encryption of a message will consist of  $n$  exponentiations in the group  $\mathcal{G}_1$ . The cost of decryption will be dominated by  $d$  bilinear map computations.

## 6 Proof of Security

We prove that the security of our scheme in the Selective-ID model reduces to the hardness of the Decisional Modified BDH assumption.

**Lemma 1.** *If an adversary can break our scheme in the Basic Fuzzy Selective ID Model, then a simulator can be constructed to play the Decisional Modified BDH game with a non-negligible advantage.*

*Proof.* Suppose there exists a computationally efficient adversary,  $\mathcal{A}$ , that can attack our scheme in the Selective-ID model with advantage  $\epsilon$ . We build a simulator  $\mathcal{B}$  that can play the Decisional Modified BDH game with advantage  $\frac{\epsilon}{2}$ .

The simulation proceeds as follows:

We first let the challenger set the groups  $\mathcal{G}_1$  and  $\mathcal{G}_2$  with an efficient bilinear map,  $e$  and generator  $g$ . The challenger flips a fair binary coin  $\mu$  outside of  $\mathcal{B}$ 's view. If  $\mu = 0$ , the challenger sets  $(A, B, C, Z) = (g^a, g^b, g^c, e(g, g)^{\frac{ab}{c}})$ ; otherwise it sets  $(A, B, C, Z) = (g^a, g^b, g^c, e(g, g)^z)$  for random  $a, b, c, z$ . The parameters of the universe of elements  $\mathcal{U}$  and size of identities  $n$  are given as the correctness of the proof does not depend upon the sizes of identities nor the universe size.

**Setup** The simulator  $\mathcal{B}$  runs  $\mathcal{A}$  and receive the challenge identity,  $\alpha$ , an  $n$  element subset of  $\mathcal{U}$ .

The simulator assigns the public key parameters as follows. It sets the parameter  $Y = A = g^a$ . For all  $i \in \alpha$  it chooses random  $\beta_i \in \mathbf{Z}_p$  and sets  $T_i = C^{\beta_i} = g^{c\beta_i}$ . For all  $i \in \mathcal{U} - \alpha$  it chooses random  $w_i \in \mathbf{Z}_p$  and sets  $T_i = g^{w_i}$ .

It then gives the public parameters to  $\mathcal{A}$ . Notice that from the view  $\mathcal{A}$  all parameters are chosen at random as in the construction.

**Phase 1**  $\mathcal{A}$  makes requests for private keys where the identity set overlap between the identities for each requested key and  $\alpha$  is less than  $d$ .

Suppose  $\mathcal{A}$  asks a private key  $\gamma$  where  $|\gamma \cap \alpha| < d$ . We first define three sets  $\Gamma, \Gamma', S$  in the following manner:

$$\Gamma = \gamma \cap \alpha,$$

$$\Gamma' \text{ be any set such that } \Gamma \subseteq \Gamma' \subseteq \gamma \text{ and } |\Gamma'| = d - 1, \text{ and}$$

$$S = \Gamma' \cup \{0\}.$$

Next, we define the decryption key components,  $D_i$ , for  $i \in \Gamma'$  as:

$$\text{If } i \in \Gamma : D_i = g^{s_i} \text{ where } s_i \text{ is chosen randomly in } \mathbf{Z}_p.$$

$$\text{If } i \in \Gamma' - \Gamma : D_i = g^{\frac{\lambda_i}{w_i}} \text{ where } \lambda_i \text{ is chosen randomly in } \mathbf{Z}_p.$$

The intuition behind these assignments is that we are implicitly choosing a random  $d - 1$  degree polynomial  $q(x)$  by choosing its value for the  $d - 1$  points randomly in addition to having  $q(0) = a$ . For  $i \in \Gamma$  we have  $q(x_i) = c\beta_i s_i$  and for  $i \in \Gamma' - \Gamma$  we have  $q(x_i) = \lambda_i$ .

The simulator can calculate the other  $D_i$  values where  $i \notin \Gamma'$  since the simulator knows the discrete log of  $T_i$  for all  $i \notin \alpha$ . The simulator makes the assignments as follows:

$$\text{If } i \notin \Gamma' : D_i = \left( \prod_{j \in \Gamma} C^{\frac{\beta_j s_j \Delta_{j,S}(i)}{w_i}} \right) \left( \prod_{j \in \Gamma' - \Gamma} g^{\frac{\lambda_j \Delta_{j,S}(i)}{w_i}} \right) Y^{\frac{\Delta_{0,S}(i)}{w_i}}$$

Using interpolation the simulator is able to calculate  $D_i = g^{\frac{q(x_i)}{w_i}}$  for  $i \notin \Gamma'$  where  $q(x)$  was implicitly defined by the random assignment of the other  $d - 1$  variables  $D_i \in \Gamma'$  and the variable  $Y$ .

Therefore, the simulator is able to construct a private key for the identity  $\gamma$ . Furthermore, the distribution of the private key for  $\gamma$  is identical to that of the original scheme.

**Challenge** The adversary,  $\mathcal{A}$ , will submit two challenge messages  $m_1$  and  $m_0$  to the simulator. The simulator flips a fair binary coin  $\nu$  and returns an encryption of  $m_\nu$ . The ciphertext is output as:

$$E = (\alpha, E' = m_\nu Z, E'' = C, \{E_i = B^{\beta_i}\} \forall i \in \alpha).$$

If  $\mu = 0$ , then  $Z = g^{\frac{ab}{c}}$ . If we let  $r' = \frac{b}{c}$ , then we have  $E_0 = m_\nu Z = m_\nu g^{\frac{ab}{c}} = m_\nu g^{ar'} = m_\nu Y^{r'}$  and  $E_i = B^{\beta_i} = g^{b\beta_i} = g^{\frac{b}{c}c\beta_i} = g^{r'c\beta_i} = (T_i)^{r'}$ . Therefore, the ciphertext is a random encryption of the message  $m_\nu$  under the public key  $\alpha$ .

Otherwise, if  $\mu = 1$ , then  $Z = g^z$ . We then have  $E' = m_\nu g^z$ . Since  $z$  is random,  $E'$  will be a random element of  $\mathcal{G}_2$  from the adversaries view and the message contains no information about  $m_\nu$ .

**Phase 2** The simulator acts exactly as it did in Phase 1.

**Guess**  $\mathcal{A}$  will submit a guess  $\nu'$  of  $\nu$ . If  $\nu = \nu'$  the simulator will output  $\mu' = 0$  to indicate that it was given a Modified BDDH-tuple otherwise it will output  $\mu' = 1$  to indicate it was given a random 4-tuple.

As shown in the construction the simulator's generation of public parameters and private keys is identical to that of the actual scheme.

In the case where  $\mu = 1$  the adversary gains no information about  $\nu$ . Therefore, we have  $\Pr[\nu \neq \nu' | \mu = 1] = \frac{1}{2}$ . Since the simulator guesses  $\mu' = 1$  when  $\nu \neq \nu'$ , we have  $\Pr[\mu' = \mu | \mu = 1] = \frac{1}{2}$ .

If  $\mu = 0$  then the adversary sees an encryption of  $m_\nu$ . The adversary's advantage in this situation is  $\epsilon$  by definition. Therefore, we have  $\Pr[\nu = \nu' | \mu = 0] = \frac{1}{2} + \epsilon$ . Since the simulator guesses  $\mu' = 0$  when  $\nu = \nu'$ , we have  $\Pr[\mu' = \mu | \mu = 0] = \frac{1}{2} + \epsilon$ .

The overall advantage of the simulator in the Modified Decisional BDH game is  $\frac{1}{2}\Pr[\mu' = \mu | \mu = 0] + \frac{1}{2}\Pr[\mu' = \mu | \mu = 1] - \frac{1}{2} = \frac{1}{2}(\frac{1}{2} + \epsilon) + \frac{1}{2}\frac{1}{2} - \frac{1}{2} = \frac{1}{2}\epsilon$ .  $\square$

**Theorem 1.** *Our scheme is Secure in the Multiple Fuzzy Selective-ID model.*

*Proof.* The proof follows immediately from Lemmas 2 and 1.  $\square$

## 6.1 Chosen-Ciphertext Security

Our security definitions and proofs have been in the chosen-plaintext model. Our scheme can be extended to the chosen-ciphertext model by applying the technique of using simulation-sound NIZK proofs to achieve chosen-ciphertext security [15, 16, 11] as described by Canetti et al. [3].

## 6.2 Security in Standard IBE Model

While our main security proof is in the slightly weaker Selective-ID model we conjecture that our scheme is secure in the standard IBE model of security. In this model the adversary commits to the identity that he will attack after seeing the public parameters and receiving chosen private keys. We assert that our scheme can be reduced to a much stronger (non-standard) assumption in which the adversary makes interactive queries to an oracle.

## 7 Extensions

In this section we discuss how our scheme can be extended to allow for a variable number of attributes, have more error-tolerance flexibility, and have draw attributes from a large universe.

**Variable Number of Attributes** Up to this point for simplicity we restricted all identities to be of consist of the same number of attributes. However, we observe that this need not be the case. Our proof technique only relied on the fact that the set overlap between the challenge identity and any given private keys was less than some parameter  $d$ . Our scheme could easily accommodate identities of varying sizes for both encryption identities and private key identities.

**Flexible Error-Tolerance** In our scheme the error-tolerance is set to a value  $d$ . However, in practice a party constructing a ciphertext might want more flexibility. For example, if a biometric input device happens to be less reliable it might be desirable to relax the set overlap parameters.

The most obvious way to allow flexible error-tolerance is for the master secret holder to create multiple systems, each one with a different error-tolerance parameter. A party encrypting a message can choose which system he wants to encrypt under.

Unfortunately, for  $m$  different systems the size of the public parameters and private keys both increase by a factor of  $m$ . A more clever way to allow for flexible error-tolerance would be for the private key holder to reserve some attributes that it will issue to every key-holder. The party encrypting the message can increase the error-tolerance by increasing the number of these "default" attributes it includes in the encryption identity.



**Large Universe Sizes** In the construction we provided the size of the public parameters grow linearly with the number of possible attributes in the universe. We provide a more advanced version of our scheme which uses all elements of  $\mathbf{Z}_p^*$  as the universe, yet the public parameters only grow linearly in,  $n$ , the size of identities.

Besides the obvious efficiency benefits, having a large universe allows us to apply a collision-resistant hash function  $H : \{0, 1\}^* \rightarrow \mathbf{Z}_p^*$  and use arbitrary strings as attributes. This in turn has the advantage that attributes can be used that were not necessarily considered during the public key setup. For example, suppose instead of a biometric we were to describe people by some general set of criteria. Then we could use add any verifiable attribute such as “Ran in N.Y. Marathon 2005” to some user’s private key.

Our large universe construction is built using similar concepts to the ones provided and uses an algebraic technique of Boneh and Boyen [1]. This scheme also has the advantage that we reduce the security of this scheme to the more standard Decisional BDH problem. Due to space restrictions we placed the scheme and its proof in the Appendices B and C.

**Using Other Distance Metrics** A Fuzzy Identity-Based Encryption scheme could in principal be built from other distance metrics. With many of these metrics, it is difficult to see how a to construct a Fuzzy IBE scheme that supports them and leave the construction of new schemes with different distance metrics as future work. However, we note that a construction that uses the Hamming Distance metric is implied by a construction that uses the set overlap metric.

## 8 Conclusion

We introduced the concept of Fuzzy Identity Based Encryption, which allows for error-tolerance between the identity of a private key and the public key used to encrypt a ciphertext. Fuzzy Identity Based Encryption has a direct application to Identity Based Encryption using a biometric as a public key.

We presented a construction of a Fuzzy IBE scheme that uses Hamming distance as the distance metric between identities. Finally, we proved our scheme under the Selective-ID model by reducing it to an assumption that can be viewed as a modified version of the Bilinear Decisional Diffie-Hellman assumption.

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## A Security Game Reduction

**Lemma 2.** *If a Fuzzy IBE scheme is secure according to the Multiple Fuzzy Selective-ID model of security then it is also secure in the Basic Fuzzy Selective-ID model of security.*

*Proof.* Suppose we have an adversary  $\mathcal{A}$  that is successful with advantage  $\epsilon$  in the Multiple Fuzzy Selective-ID game for a certain scheme. Furthermore, suppose  $\mathcal{A}$  picks at most  $l$  target identities in the first phase. We can then build a simulator  $\mathcal{B}$  that is successful with advantage at least  $\frac{\epsilon}{2l}$  in the Basic Fuzzy Selective-ID game.

We first define hybrid experiments for the Multiple Fuzzy Selective-ID game.

For  $0 \leq i \leq l$  we define  $H_i$  as a game in which the adversary  $\mathcal{A}$  is given an encryption of a random message for the first  $i$  ciphertexts (encrypted under the respective first  $i$  identities) and the rest of the ciphertexts are determined by the coin flip  $b$  as in the defined game.  $\mathcal{A}$ 's advantage in  $H_0$  is  $\epsilon$  by our assumption and  $\mathcal{A}$ 's advantage in  $H_l$  is 0 since the ciphertexts will be completely independent of  $b$  from the adversary's point of view. Therefore, by the triangle inequality there exists a  $0 \leq j < l$  such that  $\mathcal{A}$  has a greater advantage in  $H_j$  than  $H_{j+1}$  by at least  $\frac{\epsilon}{l}$ .

We construct an adversary  $\mathcal{B}$  that plays the Basic Fuzzy Selective-ID game in the following way:

**Setup**  $\mathcal{B}$  will first run  $\mathcal{A}$  and get  $l' \leq l$  target identities  $\alpha_1, \dots, \alpha_{l'}$ .  $\mathcal{B}$  will then submit  $\alpha_{j+1}$  as the target identity for its game.  $\mathcal{B}$  then receives the public parameters for its scheme and passes these on to  $\mathcal{A}$ .

**Phase 1**  $\mathcal{B}$  responds to private key queries of  $\mathcal{A}$  by passing the same private key queries to the challenger and passing the results back to  $\mathcal{A}$ . The only private key queries that  $\mathcal{B}$  is not allowed to make are within  $d$  Hamming distance of  $\alpha_{j+1}$ . These are also not allowed by  $\mathcal{A}$ . Therefore,  $\mathcal{B}$  can respond to all legal queries made by  $\mathcal{A}$ .

**Challenge** In the challenge phase  $\mathcal{A}$  will submit  $m_{1,0}, \dots, m_{l',0}$  and  $m_{1,1}, \dots, m_{l',1}$ .  $\mathcal{B}$  will select a random message,  $R$ , and flip a coin  $b$ .  $\mathcal{B}$  then submits  $m_0 = R$  and  $m_1 = m_{j+1,b}$  as the ciphertexts it wishes to be challenged on in its game. The challenger will flip a coin  $\beta$  outside  $\mathcal{B}$ 's view.  $\mathcal{B}$  will then receive back the ciphertext  $C$  which is an encryption of  $m_\beta$  with the key  $\alpha_{j+1}$  from the challenger.

$\mathcal{B}$  then assigns ciphertexts  $c_1, \dots, c_{l'}$  in the following way. It creates  $c_1, \dots, c_j$  as the message  $R$  encrypted under the respective identities  $\alpha_1, \dots, \alpha_j$ . It assigns  $c_{j+1} = C$ . Finally, it creates  $c_{j+2}, \dots, c_{l'}$  by encrypting  $m_{j+2,b}, \dots, m_{l',b}$  under  $\alpha_{j+2}, \dots, \alpha_{l'}$  respectively. (If  $j \geq l'$  then only the first step needs to be taken.) The ciphertexts are then passed to  $\mathcal{A}$ .

**Phase 2** Subsequent private key queries are then satisfied as in Phase 1.

**Guess**  $\mathcal{A}$  then outputs its guess  $b'$ . If  $b = b'$  then  $\mathcal{B}$  outputs its guess  $\beta' = 1$ , otherwise it outputs  $\beta' = 0$ .

If  $\beta = 1$  then  $C$  is an encryption of  $m_{j+1,b}$  and  $\mathcal{A}$  will be playing the hybrid game  $H_j$ . Otherwise, if  $\beta = 0$  then  $C$  is an encryption of  $R$  and  $\mathcal{A}$  is playing the hybrid game  $H_{j+1}$ . It follows that  $\Pr[b' = b | \beta = 1] - \Pr[b' = b | \beta = 0] \geq \frac{\epsilon}{l}$ . Since  $\beta' = 1$  iff  $b = b'$ , we have  $\Pr[\beta' = 1 | \beta = 1] - \Pr[\beta' = 1 | \beta = 0] \geq \frac{\epsilon}{l}$ . Therefore,  $\mathcal{B}$  has an advantage of at least  $\frac{\epsilon}{2l}$  in the Basic Fuzzy Selective-ID game.  $\square$

## B Large Universe Construction

### B.1 Description

Let  $\mathcal{G}_1$  be bilinear group of prime order  $p$  and  $g$  be a generator of  $\mathcal{G}_1$ . Additionally, let  $e : \mathcal{G}_1 \times \mathcal{G}_1 \rightarrow \mathcal{G}_2$  denote the bilinear map. A security parameter,  $\kappa$ , will determine the size of the groups.

We also define the Lagrange coefficient  $\Delta_{i,S}$  for  $i \in \mathbf{Z}_p$  and a set,  $S$ , of elements in  $\mathbf{Z}_p$ :

$$\Delta_{i,S}(x) = \prod_{j \in S, j \neq i} \frac{x - j}{i - j}.$$

Identities will be  $n$  element sets where the elements are members of  $\mathbf{Z}_p^*$ . Alternatively, we can describe an identity as a collection of  $n$  strings of arbitrary length and use a collision resistant hash function,  $H$ , to hash strings into members of  $\mathbf{Z}_p^*$ . Our construction follows:

**Setup**( $n, d$ ) First, choose  $g_1 = g^y, g_2 \in \mathcal{G}_1$ .

Next, choose  $t_1, \dots, t_{n+1}$  uniformly at random from  $\mathcal{G}_1$ . Let  $N$  be the set  $\{1, \dots, n+1\}$  and we define a function,  $T$ , as:

$$T(x) = g_2^{x^n} \prod_{i=1}^{n+1} t_i^{\Delta_{i,N}(x)}.$$

We can view  $T$  as the function  $g_2^{x^n} g^{\omega(x)}$  for some  $n$  degree polynomial  $\omega$ .

The public key is published as:  $g_1, g_2, t_1, \dots, t_{n+1}$  and the private key is  $g_2^y$ .

**Key Generation** To generate a private key for identity  $I$  the following steps are taken. A  $d - 1$  degree polynomial  $q$  is randomly chosen such that  $q(0) = y$ . The private key will consist of two sets. The first set,  $D = \{D_i\} \forall i \in I$ , where the elements are constructed as

$$D_i = g_2^{q(i)} T(i)^{r_i},$$

where  $r_i$  is a random member of  $\mathbf{Z}_p$  defined for all  $i \in I$ .

The other set is  $d = \{d_i\} \forall i \in I$  where the elements are constructed as

$$d_i = g^{r_i}.$$

**Encryption** Encryption with the public key  $I'$  and message  $m \in \mathcal{G}_2$  proceeds as follows.

First, a random value  $s \in \mathbf{Z}_p$  is chosen. The ciphertext is then published as:

$$E = (I', E' = me(g_1, g_2)^s, E'' = g^s, \{E_i = T(i)^s\} \forall i \in I').$$

**Decryption** Suppose that a ciphertext,  $E$ , is encrypted with a key for identity  $I'$  and we have a key for identity  $I$ , where  $|I \cap I'| \geq d$ . Choose an arbitrary  $d$ -element subset,  $S$ , of  $I \cap I'$ .

Then, the ciphertext can be decrypted as:

$$\begin{aligned} M &= E' \prod_{i \in S} \left( \frac{e(d_i, E_i)}{e(D_i, E'')} \right)^{\Delta_{i,S}(0)} \\ &= me(g_1, g_2)^s \prod_{i \in I \cap I'} \left( \frac{e(g^{r_i}, T(i)^s)}{e(g_2^{q(i)} T(i)^{r_i}, g^s)} \right)^{\Delta_{i,S}(0)} \\ &= me(g, g_2)^{y^s} \prod_{i \in S} \frac{1}{e(g, g_2)^{q(i)s \Delta_{i,S}(0)}} \\ &= m. \end{aligned}$$

The last equality is derived from using polynomial interpolation in the exponents. Since, the polynomial  $sq(x)$  is of degree  $d - 1$  it can be interpolated using  $d$  points.

## B.2 Computational Cost

Encryption of a message will consist of  $n + 2$  exponentiations in the group  $\mathcal{G}_1$ . The cost of decryption will be dominated by  $2d$  bilinear map computations.

## C Proof of Security

We prove that the security of our scheme in the Selective-ID model reduces to the hardness of the Decisional BDH assumption.

**Lemma 3.** *If an adversary can break our scheme in the Basic Fuzzy Selective ID Model, then a simulator can be constructed to play the Decisional BDH game with a non-negligible advantage.*

*Proof.* Suppose there exists a computationally efficient adversary,  $\mathcal{A}$ , that can attack our scheme in the Selective-ID model with advantage  $\epsilon$ . We build a simulator  $\mathcal{B}$  that can play the Decisional BDH game with advantage  $\frac{\epsilon}{2}$ .

The simulation proceeds as follows:

We first let the challenger set the groups  $\mathcal{G}_1$  and  $\mathcal{G}_2$  with an efficient bilinear map,  $e$  and generator  $g$ . The challenger flips a fair binary coin  $\mu$  outside of  $\mathcal{B}$ 's view. If  $\mu = 0$ , the challenger sets  $(A, B, C, Z) = (g^a, g^b, g^c, e(g, g)^{abc})$ ; otherwise it sets  $(A, B, C, Z) = (g^a, g^b, g^c, e(g, g)^z)$  for random  $a, b, c, z$ .

**Setup**  $\mathcal{B}$  will run  $\mathcal{A}$  and receive the challenge identity,  $\alpha$ , an  $n$  element set of members of  $\mathbf{Z}_p$ .

The simulator assigns the public parameters  $g_1 = A$  and  $g_2 = B$ . It then chooses a random  $n$  degree polynomial  $f(x)$  and calculates an  $n - 1$  degree polynomial  $u(x)$  such that  $u(x) = -x^n$  for all  $x \in \alpha$  and where  $u(x) \neq -x^n$  for some other  $x$ . Since  $-x^n$  and  $u(x)$  are two  $n$  degree polynomials they will either agree on at most  $n$  points or they are the same polynomial. Our construction assures that  $\forall x u(x) = -x^n$  if and only if  $x \in \alpha$ .

Then, for  $i$  from 1 to  $n$  the simulator sets  $t_i = g_1^{u(x)} g_2^{f(x)}$ . Note that since  $f(x)$  is a random  $n - 1$  degree polynomial all  $t_i$  will be chosen at random from the adversaries view as in the construction.

**Phase 1**  $\mathcal{A}$  makes requests for private keys where the identity set overlap between the identities for the requested keys and  $\alpha$  is less than  $d$ .

Suppose  $\mathcal{A}$  asks a private key  $\gamma$ . We first define three sets  $\Gamma, \Gamma', S$  in the following manner:

$$\Gamma = \gamma \cap \alpha,$$

$\Gamma'$  be any set such that  $\Gamma \subseteq \Gamma' \subseteq \gamma$  and  $|\Gamma'| = d - 1$ , and

$$S = \Gamma' \cup \{0\}.$$

Next, we define the decryption key components  $D_i$  and  $d_i$  for  $i \in \Gamma'$  as:

$$D_i = g_2^{\lambda_i} T(i)^{r_i} \text{ where } s_i \text{ is chosen randomly in } \mathbf{Z}_p$$

and we let

$$d_i = g^{r_i}.$$

The intuition behind these assignments is that we are implicitly choosing a random  $d - 1$  degree polynomial  $q(x)$  by choosing its value for the  $d - 1$  points randomly in addition to having  $q(0) = a$ .

The simulator also needs to calculate the decryption key values for all  $i \in \gamma - \Gamma'$ . To produce these we use an algebraic method from Boneh and Boyen [1]. The key components are calculated as:

$$D_i = \left( \prod_{j \in \Gamma'} g_2^{\lambda_j \Delta_{j,S}(i)} \right) \left( g_1^{\frac{-f(i)}{i^n + u(i)}} (g_2^{i^n + u(i)} g^{f(i)})^{r'_i} \right)^{\Delta_{0,S}(i)}$$

and

$$d_i = (g_1^{\frac{-1}{i^n + u(i)}} g^{r'_i})^{\Delta_{0,S}(i)}.$$

The value  $i^n + u(i)$  will be non-zero for all  $i \notin \alpha$ , which includes all  $i \in \gamma - \Gamma'$ . This follows from the our construction of  $u(x)$ .

To show that these are valid keys let  $r_i = (r'_i - \frac{a}{i^n + u(i)}) \Delta_{0,S}(i)$  and let  $q(x)$  be the  $d - 1$  degree polynomial for which  $q(0) = a$  and  $q(i) = \lambda_i \forall i \in \Gamma'$ . We then have:

$$\begin{aligned} D_i &= \left( \prod_{j \in \Gamma'} g_2^{\lambda_j \Delta_{j,S}(i)} \right) \left( (g_1^{\frac{-f(i)}{i^n + u(i)}}) (g_2^{i^n + u(i)} g^{f(i)})^{r'_i} \right)^{\Delta_{0,S}(i)} \\ D_i &= \left( \prod_{j \in \Gamma'} g_2^{\lambda_j \Delta_{j,S}(i)} \right) \left( (g_1^{\frac{-af(i)}{i^n + u(i)}}) (g_2^{i^n + u(i)} g^{f(i)})^{r'_i} \right)^{\Delta_{0,S}(i)} \\ &= \left( \prod_{j \in \Gamma'} g_2^{\lambda_j \Delta_{j,S}(i)} \right) \left( (g_2^a (g_2^{i^n + u(i)} g^{f(i)})^{\frac{-a}{i^n + u(i)}}) (g_2^{i^n + u(i)} g^{f(i)})^{r'_i} \right)^{\Delta_{0,S}(i)} \\ &= \left( \prod_{j \in \Gamma'} g_2^{\lambda_j \Delta_{j,S}(i)} \right) \left( g_2^a (g_2^{i^n + u(i)} g^{f(i)})^{r'_i - \frac{a}{i^n + u(i)}} \right)^{\Delta_{0,S}(i)} \\ &= \left( \prod_{j \in \Gamma'} g_2^{\lambda_j \Delta_{j,S}(i)} \right) g_2^{a \Delta_{0,S}(i)} (T(i))^{r_i} \\ &= g_2^{q(x)} T(i)^{r_i} \end{aligned}$$

Additionally, we have:

$$\begin{aligned} d_i &= (g_1^{\frac{-1}{i^n + u(i)}} g^{r'_i})^{\Delta_{0,S}(i)} \\ &= (g^{r'_i - \frac{a}{i^n + u(i)}})^{\Delta_{0,S}(i)} \\ &= g^{r_i} \end{aligned}$$

Therefore, the simulator is able to construct a private key for the identity  $\gamma$ . Furthermore, the distribution of the private key for  $\gamma$  is identical to that of the original scheme.

**Challenge** The adversary,  $\mathcal{A}$ , will submit two challenge messages  $m_1$  and  $m_0$  to the simulator. The simulator flips a fair binary coin  $\nu$  and returns an encryption of  $m_\nu$ . The ciphertext is output as:

$$E = (\alpha, E' = m_\nu Z, E'' = C, \{E_i = C^{f(i)}\} \forall i \in \alpha).$$

If  $\mu = 0$ , then  $Z = g^{abc}$ . Then the ciphertext is:

$$E = (\alpha, E' = m_\nu e(g, g)^{abc}, E'' = g^c, \{E_i = (g^c)^{f(i)} = T(i)^c\} \forall i \in \alpha).$$

This is a valid ciphertext for the message  $m_\nu$  under the identity  $\alpha$ .

Otherwise, if  $\mu = 1$ , then  $Z = g^z$ . We then have  $E' = m_\nu g^z$ . Since  $z$  is random,  $E'$  will be a random element of  $\mathcal{G}_2$  from the adversary's view and the message contains no information about  $m_\nu$ .

**Phase 2** The simulator acts exactly as it did in Phase 1.

**Guess**  $\mathcal{A}$  will submit a guess  $\nu'$  of  $\nu$ . If  $\nu = \nu'$  the simulator will output  $\mu' = 0$  to indicate that it was given a BDH-tuple otherwise it will output  $\mu' = 1$  to indicate it was given a random 4-tuple.

As shown in the construction the simulator's generation of public parameters and private keys is identical to that of the actual scheme.

In the case where  $\mu = 1$  the adversary gains no information about  $\nu$ . Therefore, we have  $\Pr[\nu \neq \nu' | \mu = 1] = \frac{1}{2}$ . Since the simulator guesses  $\mu' = 1$  when  $\nu \neq \nu'$ , we have  $\Pr[\mu' = \mu | \mu = 1] = \frac{1}{2}$ .

If  $\mu = 0$  then the adversary sees an encryption of  $m_\nu$ . The adversary's advantage in this situation is  $\epsilon$  by definition. Therefore, we have  $\Pr[\nu = \nu' | \mu = 0] = \frac{1}{2} + \epsilon$ . Since the simulator guesses  $\mu' = 0$  when  $\nu = \nu'$ , we have  $\Pr[\mu' = \mu | \mu = 0] = \frac{1}{2} + \epsilon$ .

The overall advantage of the simulator in the Decisional BDH game is  $\frac{1}{2}\Pr[\mu' = \mu | \mu = 0] + \frac{1}{2}\Pr[\mu' = \mu | \mu = 1] - \frac{1}{2} = \frac{1}{2}(\frac{1}{2} + \epsilon) + \frac{1}{2}\frac{1}{2} - \frac{1}{2} = \frac{1}{2}\epsilon$ .  $\square$

**Theorem 2.** *Our scheme is Secure in the Multiple Fuzzy Selective-ID model.*

*Proof.* The proof follows immediately from Lemmas 2 and 3.  $\square$