Finding Characteristic Polynomials with Jump Indices

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Abstract: Jansen introduced a technique for building LFSRs that can be clocked a large number of times with a single simple operation. These may be useful in the construction of stream ciphers based on clock-controlled LFSRs. However, for LFSR sizes of typical interest, it appears generally hard to find such jumping LFSRs with particular desired parameters. In this note we explain a trick which we used to find the jumping LFSRs in MICKEY and MICKEY-128, and which may be useful for future applications.

Keywords: MICKEY, stream cipher, ECRYPT, irregular clocking.

1. Introduction

In [2], Cees Jansen introduces a technique for use in the construction of keystream generators based on clock-controlled LFSRs. This technique is used in the stream ciphers MICKEY and MICKEY-128 [1].

Using naïve methods, it appears impractical to construct applications of this technique except on very short LFSRs. In this note we explain how the LFSRs in MICKEY and MICKEY-128 were created, using a simple algebraic trick which may be useful for future applications.

2. Jumping LFSRs

Jansen's technique is easy to explain. Suppose that the characteristic polynomial of an *n*-stage binary LFSR is C(x); and suppose that $C(x) | x^J + x + 1$ for some integer J. We call J a *jump index* of C(x).

Then the following two operations produce the same result:

- clocking the LFSR *J* times;
- clocking the LFSR once, and then XORing on the original state.

The following example is taken from [2]. Consider the LFSR shown in Figure 1, with characteristic polynomial $C(x) = x^7 + x^6 + 1$. Now $x^7 + x^6 + 1$ divides $x^{121} + x + 1$, so 121 is a jump index for C(x). The operation shown in Figure 2 is therefore equivalent to clocking the LFSR 121 times.

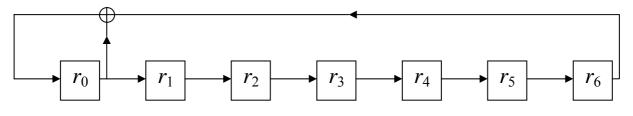


Figure 1: An LFSR with characteristic polynomial $x^7 + x^6 + 1$

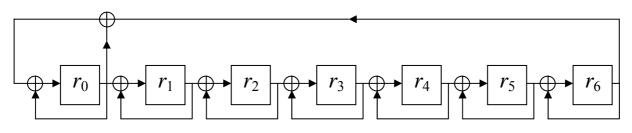


Figure 2: The "clock and XOR" operation equivalent to clocking the same register 121 times

[2] talks only about LFSRs with Fibonacci-style clocking, but it is clear that the same approach is valid with Galois-style clocking (which is used in the MICKEY ciphers).

This construction may be used in stream cipher designs based on clock-controlled LFSRs. By using a clock control bit to select between the types of clocking shown in Figure 1 and Figure 2, we can clock the LFSR either 1 or J times.

It is important to note that:

- (a) not all characteristic polynomials have a jump index;
- (b) for a given characteristic polynomial, it is not generally easy to determine the jump index¹, if it exists (this is essentially a discrete log problem).

3. Finding the characteristic polynomials for the MICKEY ciphers

For MICKEY, we wanted an LFSR of degree 80, whose primitive characteristic polynomial C(x) had a jump index J close to 2^{40} . Using naïve search methods, it would be impossibly time-consuming to find such a polynomial. However, we were able to apply a simple algebraic trick to make the problem much more tractable.

So let $J = 2^{40} - \delta$, where δ is a small positive integer. C(x) must divide $x^{2^{40}-\delta} + x + 1$; hence

$$C(x) \mid x^{2^{40}} + x^{\delta+1} + x^{\delta}$$
 (1)

We want C(x) to be primitive, so it must divide $x^{2^{80}} + x$; so

$$C(x) \left| \left(x^{2^{40}} \right)^{2^{40}} + x \right|$$
 (2)

¹ If *J* is a jump index for C(x), i.e. $C(x) | x^{J} + x + 1$, and if J' > J is another jump index, then necessarily $C(x) | x^{J'} + x^{J}$, and hence $C(x) | x^{J' - J} + 1$. From this we can see that the jump indices for a polynomial C(x) are all congruent modulo *p*, where *p* is the least positive integer such that $C(x) | x^{p} + 1$. *p* is in fact the period of the LFSR with characteristic polynomial C(x). When we talk about *the* jump index (if one exists at all) we mean the unique jump index *J* in the range 1 < J < p.

By (1), we can substitute $x^{\delta+1} + x^{\delta}$ for $x^{2^{40}}$ on the right hand side of this expression, so

$$C(x) | (x^{\delta+1} + x^{\delta})^{2^{40}} + x$$
 (3)

which, using the fact that exponentiation to the power 2^i is linear, we can rewrite as

$$C(x) \mid \left(x^{2^{40}}\right)^{\delta+1} + \left(x^{2^{40}}\right)^{\delta} + x \tag{4}$$

Then we can again substitute $x^{\delta+1} + x^{\delta}$ for $x^{2^{40}}$ to give

$$C(x) \left| \left(x^{\delta+1} + x^{\delta} \right)^{\delta+1} + \left(x^{\delta+1} + x^{\delta} \right)^{\delta} + x$$
(5)

We have thus found a very low degree polynomial (parameterised by δ) which must have C(x) as a factor.

To find a suitable the characteristic polynomial C(x) for MICKEY, we therefore applied the following algorithm, starting at $\delta = \lfloor \sqrt{80} \rfloor - 1 = 8$:

- Construct $G_{\delta}(x) = (x^{\delta+1} + x^{\delta})^{\delta+1} + (x^{\delta+1} + x^{\delta})^{\delta} + x$, and see whether it has any factor F(x) of degree 80
- If it does, check whether F(x) is primitive
- If it is, then check whether F(x) really does divide $x^{2^{40}-\delta} + x + 1$
- If it does, then set C(x) = F(x)
- If C(x) not yet found, then increment δ and start again

With this method we quickly found a degree 80 characteristic polynomial with jump index $J = 2^{40} - 23$. For MICKEY-128, we also found a degree 128 characteristic polynomial with jump index $J = 2^{40} - 55$.

4. Generalisations

Let *n* be the desired degree of a characteristic polynomial.

Although we will not fill in any details here, the trick shown above can easily be adapted to finding:

- a characteristic polynomial with jump index $J = 2^{an/b} \delta$, where *a* and *b* are small positive integers (*b* a divisor of *n*) with a < b;
- a characteristic polynomial with jump index $J = 2^{an/b} + \delta$.

5. References

- [1] S.H.Babbage, M.W.Dodd, stream ciphers MICKEY and MICKEY-128, available at <u>http://www.ecrypt.eu.org/stream</u>.
- [2] C.J.A.Jansen, *Streamcipher Design: Make your LFSRs jump!*, presented at the ECRYPT SASC (State of the Art in Stream Ciphers) workshop, Bruges, October 2004, and in the workshop record at http://www.isg.rhul.ac.uk/research/projects/ecrypt/stvl/sasc-record.zip.