

# Certificate-Based Encryption Without Random Oracles

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## Abstract

We present a certificate-based encryption scheme which is fully secure in the standard model. Our scheme owes a lot to the identity-based scheme of Waters [16]. Although there are generic constructions from IBE to CBE, they are not practical in the standard model. The technique used to prove the security of our scheme stem from the paper of Boneh and Katz [9], in which they give a generic construction for a fully secure PKE scheme from an IBE scheme achieving a weak notion of security. Our security proof provides a good example of how this technique also applies in a more general setting.

Part of our security proof can be generalized to provide a generic construction for CBE, whenever another encryption scheme which is very similar to a 2-level tree encryption scheme without key escrow in the first level, exists. The strategy of our proof is the correct one to obtain full security in other settings also closely related to identity-based cryptography.

Finally, we point out a flaw in the security proof of one of the existing generic constructions going from IBE to CBE.

**Keywords:** identity-based encryption, certificate-based encryption, selective-ID security, CCA security.

## 1 Introduction

In traditional public key cryptography the authenticity of the public keys must be certified by a trusted third party, the Certification Authority or CA. The infrastructure required to support traditional PKC is the main difficulty in its deployment. Many of the problems of PKI (public key infrastructure) come from the management of certificates, which should include storage, revocation and distribution.

In 1984, Shamir proposed the concept of Identity-Based Encryption (IBE), which sought to reduce the requirements on the infrastructure by using a well-known aspect of the client's identity as its public key. With this approach, certification becomes implicit, that is, the sender of a message does not need to check whether the client is certified or not. Instead, prior to decryption, the receiver must identify himself to a trusted authority, who will send him his private key. The first practical IBE scheme, was proposed by Boneh and Franklin in 2001, using bilinear maps on elliptic curves and proven secure in the random oracle model.

A different approach to the problem is the concept of Certificate-Based Encryption, proposed by Gentry in 2003 ([14]). In this model, certificates are a part of the secret key, so certification is also implicit. Further, it has two important advantages over IBE: first, there is no key escrow, because certificates are only a part of the secret key, while the other is owned by the user alone and second, the revocation of users is easy in certificate-based encryption, since time is divided into different periods and to revoke a user simply means not sending him the certificate for the next period.

The original scheme of Gentry relied heavily on the original IBE scheme of Boneh and Franklin and then on the Fujisaki Okamoto transform to obtain full security in the random oracle model. Recently [16] presented a new identity-based scheme which is secure against chosen-plaintext attacks in the standard model, improving significantly on previous results [8]. It is natural to try building a CBE scheme on this new scheme, in a parallel way to the construction of Gentry from the scheme of Boneh and Franklin. However, the available techniques for a proof in the standard model are entirely different than in the random oracle model and this alone is enough to motivate this paper.

Previous results ([11],[17]) for constructing a certificate-based encryption scheme in a generic way from an identity-based scheme exist, but are not comparable in efficiency to our scheme.

## 1.1 Our results

We present a certificate-based encryption scheme which is fully secure in the standard model and which is much more efficient than any of the previous schemes in the standard model (coming from the generic constructions of [11],[17]). Further we point out a security flaw in the proof of [17].

The proof is divided in three steps. The first two show how to construct a new encryption scheme called ExtendedCBE from the scheme of Waters. This model satisfies the minimal properties which are necessary to apply a variant of the techniques proposed by [9] to obtain a fully secure CBE scheme. Further we point out that whenever a scheme satisfying these minimal properties exist, a fully secure CBE also exists, that is, that the last step of our proof can be generalized.

## 1.2 Organization

In section 2, we focus on the concept of certificate-based encryption and we give an overview of the existing generic constructions. In section 3, we sketch the security proof and give a brief account of the results that we are going to use. In section 3 we give the necessary formal definitions. In section 4, 5 and 6 we build our scheme and conclude that the last step of the proof can be generalized.

## 2 Certificate-based Encryption

As we noted in the introduction, the interest of certificate-based encryption compared to its predecessor, identity-based encryption, is that it overcomes two of its principal drawbacks, the inherence of the key escrow and the impossibility of revoking the users. Accordingly, the security model considers two types of adversaries, an uncertified client and a dishonest certifier.

The attack of an uncertified client models a client who is not certified for a given period but tries to obtain some kind of information about the encrypted messages for that period. The client may have been certified *before* that period or may be certified *after* that period, so in such an attack, the adversary is allowed to make certification queries and choose the challenge period adaptively. Further, the client is also allowed to choose his pair of public key -secret key adaptively and to make decryption queries for any period, including the challenge one.

The attack of the certifier was weakened by Al-Riyami and Paterson, since the original definition of Gentry was inconsistent with the concrete scheme he presented. The original model also made some assumptions about the underlying IBE scheme which were unnecessarily restrictive.

In a certifier's attack, the adversary is allowed to make decryption queries for any period of its choice (in the original definition, the certifier could choose a part of its parameters adaptively, but not all the IBE schemes allow that). As Al-Riyami and Paterson argue, it is hard to think of an scenario where this security requirement is necessary and the weakened version suffices to model any realistic attack.

In this section we give the formal definitions for CBE, as well as an overview of the generic constructions of [17],[11].

### 2.1 Definitions

A certificate-based encryption scheme is a tuple of six algorithms (*Setup*, *SetKeyPair*, *Certify*, *Consolidate*, *Enc*, *Dec*), where:

-**Setup**<sub>CBE</sub> is a probabilistic algorithm taking as input a security parameter  $k$ . It returns  $SK_{CA}$  (the certifier's master-key) and public parameters  $params$  that include the description of a string space  $\Lambda$ . Usually this algorithm is run by the CA.

-**SetKeyPair** is a probabilistic algorithm that takes  $params$  as input. It returns a pair public key - private key  $(PK, SK)$ .

-**Certify** is a (possibly randomized) algorithm that takes as input  $(SK_{CA}, params, period_i, userinfo PK)$ . It returns  $Cert'_{period_i}$ , which is sent to the client. Here  $period_i$  is a string identifying a time period, while  $userinfo \in \Lambda$  contains other information needed to certify the client such as the client's identifying information, and  $PK$  is a public key.

-**Consolidate** is a (possibly randomized) certificate consolidation algorithm taking as input  $\langle params, period_i, userinfo, Cert'_{period_i} \rangle$  and optionally  $Cert_{period_i-1}$ .

-**Enc** is a probabilistic algorithm taking as inputs  $\langle params, M, period_i, userinfo, PK, \cdot \rangle$  where  $M \in \mathcal{M}$  is a message. It returns a ciphertext  $C \in \mathcal{C}$  for message  $M$  or  $\perp$  if  $PK$  is not a valid public key.

-**Dec** is a deterministic algorithm taking as inputs  $\langle params, Cert_{period_i}, SK, C \rangle$  as input in time period  $period_i$ . It returns either a message  $M \in \mathcal{M}$  or the special symbol  $\perp$  indicating a decryption failure.

Naturally, we require that if  $C$  is the result of applying algorithm  $Enc$  with input  $\langle period_i, userinfo, params, PK, M \rangle$  and  $(PK, SK)$  is a valid key-pair, then  $M$  is the result of applying algorithm  $Dec$  on input  $\langle params, Cert_{period_i}, SK, C \rangle$ , where  $Cert_{period_i}$  is the output of the  $Certify$  and  $Consolidate$  algorithms on input  $\langle SK_{CA}, params, period_i, userinfo, PK \rangle$ . We write:

$$Dec_{Cert_{period_i}, SK}(Enc_{period_i, userinfo, PK}(M)) = M.$$

We note that a concrete CBE scheme need not involve certificate consolidation. In this situation, algorithm  $Consolidate$  will simply output  $Cert_{period_i} = Cert'_{period_i}$

The security model for CBE is defined with the help of two games:

### CBE Game 1. Attack of an uncertified client

**Setup** The challenger runs  $Setup$ , gives  $params$  to the adversary  $\mathcal{A}_I$  and keeps  $SK_{CA}$  to itself.

**Phase 1** The adversary issues queries  $q_1, \dots, q_m$  where each  $q_j$  is one of:

a) Certification query  $\langle period_i, userinfo, PK, SK \rangle$ . To answer this query, the challenger checks that  $userinfo \in \Lambda$  and that  $\langle PK, SK \rangle$  is a valid key-pair. If so, it runs  $Certify$  on input  $\langle SK_{CA}, params, period_i, userinfo, PK \rangle$  and returns  $Cert'_i$ ; else it returns  $\perp$ .

b) Decryption query  $\langle period_i, userinfo, PK, SK, C \rangle$ , the challenger checks that  $\langle PK, SK \rangle$  is a valid key-pair. If so, it generates  $Cert_{period_i}$  by using algorithms  $Certify$  and  $Consolidate$  with inputs  $\langle SK_{CA}, params, period_i, userinfo, PK \rangle$  and outputs  $Dec_{Cert_{period_i}, SK}(C)$ , else it returns  $\perp$ .

These queries may be asked adaptively, that is, they may depend on the answers to previous queries.

**Challenge** On challenge query  $\langle period_i^*, userinfo^*, PK^*, SK^*, M_0, M_1 \rangle$ , where  $M_0, M_1 \in \mathcal{M}$  are of equal length, the challenger checks that  $userinfo^* \in \Lambda$  and that  $\langle PK^*, SK^* \rangle$  is a valid key pair. If so, it chooses a random bit  $b$  and returns  $C^* = Enc_{period_i^*, userinfo^*, PK^*}(M_b)$ ; else it returns  $\perp$ .

**Phase 2** As in phase 1, except that decryption queries  $\langle \text{periodi}^*, \text{userinfo}^*, PK^*, SK^*, C^* \rangle$  are disallowed.

**Guess** The adversary  $\mathcal{A}_I$  outputs a guess  $b' \in \{0, 1\}$ .

The adversary wins the game if  $b = b'$ . We define the advantage of  $\mathcal{A}_I$  as  $\mathcal{A}_I := |\Pr[b = b'] - \frac{1}{2}|$ .

**CBE Game 2. Attack of the certifier**

**Setup** The challenger runs *Setup*, gives *params* and  $SK_{CA}$  to the adversary  $\mathcal{A}_{II}$ . The challenger then runs *SetKeyPair* to obtain a key-pair  $\langle PK, SK \rangle$  and gives  $PK^*$  to the adversary  $\mathcal{A}_{II}$

**Phase 1** The adversary issues decryption queries  $q_1, \dots, q_m$  where each  $q_j$  is a decryption query  $\langle \text{periodi}, \text{userinfo}, PK, C \rangle$ . On this query, the challenger generates  $Cert_{\text{periodi}}$  by using algorithms *Certify* and *Consolidate* with inputs  $\langle SK_{CA}, \text{params}, \text{periodi}, \text{userinfo}, PK \rangle$  and outputs  $Dec_{Cert_{\text{periodi}}, SK}(C)$ , else it returns  $\perp$ .

These queries may be asked adaptively, that is, they may depend on the answers to previous queries.

**Challenge** On challenge query  $\langle \text{periodi}^*, \text{userinfo}^*, M_0, M_1 \rangle$ , where  $M_0, M_1 \in \mathcal{M}$  are of equal length, the challenger checks that  $\text{userinfo}^* \in \Lambda$ . If so, it chooses a random bit  $b$  and returns  $C^* = Enc_{\text{periodi}^*, \text{userinfo}^*, PK}(M_b)$ ; else it returns  $\perp$ .

**Phase 2** As in phase 1.

**Guess** The adversary  $\mathcal{A}_I$  outputs a guess  $b' \in \{0, 1\}$ . The adversary wins the game if  $b = b'$ .

The adversary wins the game if  $b = b'$ . We define the advantage of  $\mathcal{A}_{II}$  as  $\mathcal{A}_{II} := |\Pr[b = b'] - \frac{1}{2}|$ .

**Definition** A CBE scheme is said to be secure against an adaptive chosen ciphertext attack (or IND-CBE-CCA secure) if no probabilistic polynomially bounded adversary has non-negligible advantage in either CBE Game 1 or CBE Game 2.

## 2.2 Generic constructions

It is clear that the notion of IBE and CBE are very closely related and in fact most of the generic constructions that have been proposed so far start from an IBE scheme IND-ID-CCA secure, except the construction of [?] which goes from certificateless public key cryptography to certificate-based public key cryptography. We will not go into this last construction, since we are not aware of any scheme in this paradigm which is secure in the standard model.

The first remark that one ought to make is that these constructions suffer from the same drawback than ours, namely, that the most efficient IBE scheme secure in the standard model is based on the scheme of Waters [16], in a way that we will detail later. The resulting scheme IND-ID-CCA secure has several problems, mainly that the reduction is far from tight and the parameters are too long (these problems come from the scheme of Waters).

While the scheme we propose does only add one pairing, two exponentiations,

a MAC and an encapsulation to the original encryption process of the resulting IBE, the existing generic constructions it is used in combination with a public key encryption scheme [11] or it is even used twice for double encryption [17], so clearly our construction is much more efficient than these generic ones.

**The proposal of Dodis and Katz:** In [11], Dodis and Katz study the security of double encryption. They point out that double encryption with two different public key scheme (cascade encryption, as they sometimes call it),  $E_{pk_1}(E_{pk_2}(M))$  does not necessarily yield full security, even if the two public key schemes are IND-CCA. We are going to use some remarks of this paper to criticize the proof of Yum and Lee below.

They also give a generic construction for CBE. The certifier generates the parameters for an identity-based encryption scheme IND-ID-CCA and the user chooses a pair public key- secret key for a public key encryption scheme IND-ID-CCA. Messages for *periodi*, *Bob* are divided into two shares  $M_1 \oplus M_2$ .  $M_1$  is encrypted using the public key of the user *Bob* and  $M_2$  is encrypted in the identity-based scheme with respect to identity (*Bob.info||periodi||PK*). The two resulting ciphertexts are then signed using a one-time signature  $\sigma = Sig_{sk}(C_1, C_2)$  to obtain full security.

**The proposal of Yum and Lee** At EuroPKI 2004, Yum and Lee proved the equivalence between identity-based and certificate-based encryption, that is, whenever a fully secure IBE exists (that is IND-ID-CCA), a fully secure IND-CBE-CCA exists, and conversely, the existence of a CBE scheme IND-CBE-CCA implies the existence of an IND-ID-CCA secure IBE scheme.

Briefly, their construction is as follows. They generate the parameters for two different instantiations of the IBE scheme, which yield two pairs,  $(params_{CA}, msk_{CA})$  and  $(params_{user}, msk_{user})$ . Then  $msk_{CA}$  will serve as a the certifier's master secret key in the CBE scheme and the user secret and public key  $(PK, SK)$  will be the public key and the secret key corresponding to identity *userinfo* in the second instantiation of the IBE. Encryption is done by running twice the IBE encryption algorithm  $ID_{Enc}$ , first with inputs  $\langle M, userinfo, params_{user} \rangle$  and output  $C'$ , then with input  $\langle C', (userinfo, periodi, PK), params_{CA} \rangle$ .

We note that this construction does not achieve the required security for certificate-based schemes, at least in the case of an attack of the certifier. We outline how would an attack from a certifier work. Remember that the certifier is equipped with his own secret key and that it is allowed to make decryption queries, with the natural limitation that he cannot ask for the challenge ciphertext. The attack begins once the certifier obtains the challenge ciphertext  $C^*$  for  $userinfo^*, periodi^*, PK^*$ .

1. The certifier generates the certificate for  $userinfo^*, periodi^*, PK^*$ .
2. This certificate is used to decrypt and obtain  $C' = ID_{Enc}(M_b, userinfo^*, params_{user})$ .
3. Reencrypt and  $C'' = ID_{Enc}(C', (userinfo^*, periodi^*, PK), params_{CA})$ .
4. Ask the decryption oracle for the decryption of  $C''$ .

### 3 Our construction

#### 3.1 A powerful tool for obtaining full security in the standard model

In 2004 [10], Canetti, Halevi and Katz introduced a generic construction in the standard model from any IBE IND-sID-CPA secure to a public key encryption scheme.

Briefly, their idea was to take the public key of the user to be the parameters of an IBE scheme, while his secret key was set to be the master secret key of the IBE. A sender must generate a pair  $(sk, vk)$  of a one-time signature scheme, encrypt with respect to identity  $vk$  and send  $\langle C = E_{vk}(M), vk, \sigma = Sig_{sk}(C) \rangle$ . Informally, this works because decryption queries in the PKE scheme become extraction queries in the IBE scheme. Namely, if there is an adversary  $\mathcal{A}$  against the PKE scheme, then, when  $\mathcal{B}$  makes decryption queries  $\langle C, vk, \sigma \rangle$ ,  $\mathcal{A}$  responds by asking the challenger for the secret key corresponding to  $SK_{vk}$ . The only difference between the real game and the simulated game occurs if  $\mathcal{B}$  asks for the decryption of a ciphertext with  $vk^*$ , where  $vk^*$  is the verifier's key of a one-time signature scheme that  $\mathcal{A}$  has chosen as challenge identity in the initialization step. But this would only occur with negligible probability before the challenge phase, and also after, because we assume the one-time signature scheme to be secure in the sense of strong unforgeability.

Boneh and Katz improved this construction and made it much more efficient, specially improving on key generation. Their idea was to use message authentication codes instead of signatures. The key for the MAC cannot then be the identity, though, because the identity must go on the open. The solution is to use also a commitment. In the resulting scheme, then a random value  $r$  is encapsulated to obtain  $(r, com, dec)$  and then the message  $M || dec$  is encrypted with respect to  $com$ . The proof is somewhat trickier because only the receiver can make the verification, but the main idea behind it is the same as in [10]. In our construction we will use this technique [9].

Further the technique of [10] can also be extended to go from a  $l$ -HIBE which is selective identity chosen plaintext secure to an  $(l - 1)$ -HIBE which is selective-identity chosen ciphertext secure (IND-sID-CCA, see for example [7]). In particular this means it is possible to construct a IBE scheme from a 2-HIBE scheme.

#### 3.2 The scheme of Waters

The first IBE fully secure in the standard model was proposed by [8] and has been recently improved by Waters [16]. The scheme of Waters is only IND-ID-CPA secure, but if extended to a 2-HIBE it could proven fully secure in the standard model.

However, since the construction of Boneh and Katz only requires selective-identity chosen plaintext security and the scheme of Waters has a security reduction which is not tight, it is more convenient to extend the level in the

second level using the scheme of Boneh and Boyen [7] which is selective-identity chosen-plaintext secure, an idea which Waters himself suggests in [16].

This yields 2-HIBE satisfying a very unusual definition of security, namely, where the suffix of the challenge identity must be chosen before the beginning of the attack but the prefix is chosen at the challenge step.

### 3.3 The construction of Gentry

As we said, the construction of Gentry relies very much on the scheme of Boneh and Franklin. As an intermediate step in their construction, they build a scheme called BasicIBE, and Gentry introduces a scheme called BasicCBE. Without going into details, the only difference between both schemes is that Boneh and Franklin use a BLS [3] signature as a decryption key and Gentry uses an aggregate BGLS [5] signature.

The scheme of Gentry is then constructed applying the Fujisaki Okamoto transform to BasicCBE, and Boneh and Franklin also obtain the full scheme in this way.

It is reasonable to do the same thing with respect to the scheme of Waters. Thus, it is possible to obtain a CBE scheme which is IND-CBE-CPA secure in a straightforward way. The problem is now to obtain CCA security in the standard model.

### 3.4 Proof's strategy

A first approach would be to try to follow the suggestion of Waters and build an hybrid 2-HIBE using the schemes of Waters and Boneh-Boyen. From this scheme apply the result of [?] to obtain a fully secure IBE and then construct a CBE scheme by using an aggregate BGLS signature. If this proof worked, then we would have proven the full security of our scheme without having to use very unusual cryptographic primitives. However, when building the scheme in this way we only managed to prove a weaker notion of security.

The strategy we follow instead is: we build the same hybrid HIBE as we specified above and then build another scheme by using a BGLS signature instead of a BLS one. Then we adapt the proof of Boneh and Katz to obtain full security.

## 4 Review on Pairings

**Bilinear Diffie-Hellman Parameter Generator** A randomized algorithm  $\mathcal{IG}$  is a BDH parameter generator if it takes as input security parameter  $k \geq 0$ , runs in time polynomial in  $k$  and returns the description of two groups  $\mathbb{G}, \mathbb{G}_1$  of the same prime order  $p$  together with the description of an admissible pairing  $e : \mathbb{G}_1 \times \mathbb{G}_1 \rightarrow \mathbb{G}$ . Formally, the output of  $\mathcal{IG}(1^k)$  is  $\langle \mathbb{G}, \mathbb{G}_1, e \rangle$ .

The BDH problem in  $\mathbb{G}$  is as follows: given a tuple  $g, g^a, g^b, g^c \in \mathbb{G}$  as input,



output  $e(g, g)^{abc}$ . An algorithm  $\mathcal{A}$  has advantage  $\varepsilon$  in solving BDH in  $\mathbb{G}$  if:

$$\Pr[\mathcal{A}(g, g^a, g^b, g^c) = e(g, g)^{abc}] \geq \varepsilon,$$

where the probability is over the random choice of generator  $g$  in  $\mathbb{G}^*$ , the random choice of  $a, b, c$  in  $\mathbb{Z}_p$ , and the random bits used by  $\mathcal{A}$ .

Similarly we say that an algorithm  $\mathcal{B}$  that outputs  $b \in \{0, 1\}$  has advantage  $\varepsilon$  in solving the decision BDH problem in  $\mathbb{G}$  if:  $|\Pr[\mathcal{B}(g, g^a, g^b, g^c, e(g, g)^{abc}) = 0] - \Pr[\mathcal{B}(g, g^a, g^b, g^c, T) = 0]| \geq \varepsilon$ ,

where the probability is over the random choice of generator  $g \in \mathbb{G}^*$ , the random choice of  $T \in \mathbb{G}_1$ , and the random bits consumed by  $\mathcal{B}$ . We refer to the distribution on the left as  $\mathcal{P}_{BDH}$  and the distribution on the right as  $\mathcal{R}_{BDH}$ .

**Definition** The (Decision)  $(t, \varepsilon)$ -Bilinear Diffie Hellman (BDH) assumption holds in  $\mathbb{G}$  if no  $t$ -time algorithm has advantage at least  $\varepsilon$  in solving the (Decision) BDH problem in  $\mathbb{G}$ .

We will make use of bilinear pairings. Admissible pairings are maps  $e : \mathbb{G} \times \mathbb{G} \rightarrow \mathbb{G}_1$  with the following properties:

1. Bilinear:  $e(g_1^a, g_2^b) = e(g_1, g_2)^{ab}$
2. Non-degenerate:  $e(g, g) \neq 1$  for all  $g \in \mathbb{G}$
3. Computable: there exists an efficient algorithm to compute  $e(g_1, g_2)$  for any  $g_1, g_2 \in \mathbb{G}$ .

## 5 Security definitions

The building blocks for our scheme will be the identity-based scheme of Waters [16] only IND-ID-CPA secure, the identity-based scheme of Boneh and Boyen [7] (only IND-sID-CPA secure) and the technique of [9] (which make use of a message authentication code and a encapsulation scheme). For the proof we will need to define some very unusual primitives and their security model, which we hope to motivate in the next section. Here only the definitions are introduced.

### 5.1 Message Authentication

**Definition** A *message authentication code* is a pair of PPT algorithms  $(Mac, Vrfy)$ , where:

1.  $Mac$  is an algorithm which takes as input a message  $M$  and a secret key  $sk$  and outputs a string  $tag$ .
2.  $Vrfy$  takes as input a message  $M$ , a secret key  $sk$ , and a string  $tag$ . It outputs either 1 or 0, in case it succeeds or not.

The security requirement we will need for our construction is the same as in [9], that is, one-time security. More formally,

**Definition** A message authentication code  $(Mac, Vrfy)$  is secure against a *one-time chosen-message attack* if the success probability of any PPT adversary  $\mathcal{A}$  in the following game is negligible in the security parameter  $k$ :

1. A random key  $sk \in \{0, 1\}^k$  is chosen.

2.  $\mathcal{A}$  outputs a message  $M$  and is given in return  $tag = Mac_{sk}(M)$ .
  3.  $\mathcal{A}$  outputs a pair  $(M', tag)$ .
- We say that  $\mathcal{A}$  succeeds if  $(M, tag) \neq (M', tag')$  and  $Vrfy_{sk}(M', tag') = 1$   
 In the above, the adversary succeeds even if  $M = M'$  but  $tag \neq tag'$ .

## 5.2 Encapsulation

**Definition** An encapsulation scheme is a triple of PPT algorithms  $(Setup_{ENC}, \mathcal{S}, \mathcal{R})$  such that:

1.  $Setup_{ENC}$  takes as input the security parameter  $1^k$  and outputs a string  $pub$ .
2.  $\mathcal{S}$  takes as input  $1^k$  and  $pub$ , and outputs  $(r, com, dec)$  with  $r \in \{0, 1\}^k$ . We refer to  $com$  as the public commitment string and  $dec$  as the de-commitment scheme string.
3.  $\mathcal{R}$  takes as input  $(pub, com, dec)$  and outputs an  $r \in \{0, 1\}^k \cup \{\perp\}$ .

**Definition** An encapsulation scheme  $(Setup, \mathcal{S}, \mathcal{R})$  is secure if it satisfies both hiding and binding as follows:

**Hiding** The following is negligible for all PPT  $\mathcal{A}$

$$|Pr[(pub \leftarrow Setup_{ENC}(1^k); r_0 \leftarrow \{0, 1\}^k; (r_1, com, dec) \leftarrow \mathcal{S}(1^k, pub); b \in \{0, 1\}) : \mathcal{A}(1^k, pub, com, r_b) = b] - \frac{1}{2}|$$

**Binding** The following is negligible for all PPT  $\mathcal{A}$

$$|Pr[(pub \leftarrow Setup_{ENC}(1^k); (r, com, dec) \leftarrow \mathcal{S}(1^k, pub)) : dec' \leftarrow \mathcal{A}(1^k, pub, r, com, dec); \mathcal{R}(pub, com, dec') \notin \{\perp, r\}]$$

## 5.3 HIBE

A  $l$ -HIBE consists of four algorithms:  $Setup_{HIBE}, KeyGen, Enc, Dec$ , where:

-**Setup<sub>HIBE</sub>** is a probabilistic algorithm taking as input a security parameter  $k$ . It returns  $msk$  (the Public Key Generator's master secret key) and the public parameters  $params$ .

-**KeyGen** is a possibly randomized algorithm that takes as input an identity  $ID = (I_1, \dots, I_j)$  ( $j \leq l$ ) and outputs the secret key corresponding to  $ID$ ,  $d_{ID}$ .

-**Enc** is a probabilistic algorithm that takes as input  $\langle params, M, ID \rangle$ . It returns a ciphertext  $C = Enc_{params, (I_1^*, I_2^*)}(M)$ .

-**Dec** is a deterministic algorithm taking as input  $\langle params, C, ID, d_{ID} \rangle$ . It returns a plaintext  $M$ .

Naturally, we require that if  $C$  is the result of running algorithm  $Enc$  with input  $\langle params, M, ID \rangle$ , then  $M$  is the result of applying algorithm  $Dec$  with input  $\langle params, C, ID, d_{ID} \rangle$ .

### A new definition of security for a 2-HIBE

A 2-HIBE is secure against *2nd-level selective identity chosen plaintext attacks* if

for all polynomially bounded functions  $l()$  the advantage of any PPT adversary  $\mathcal{A}$  in the following game is negligible in the security parameter  $k$ :

**Init**  $\mathcal{A}$  outputs a suffix  $I2^* \in \{0, 1\}^{l(k)}$  of the identity it wants to attack. (That is, the challenge identity will be of the form  $(I1, I2^*)$ ).

**Setup**  $Setup_{HIBE}(1^k, l(k))$  outputs  $(msk, params)$ . The adversary is given  $PK$ .

**Phase 1** The adversary issues private key or extraction queries  $q_1, \dots, q_m$  for identities  $\langle ID_i \rangle, i = 1 \dots m$ , which can be either in level one  $ID_i = I1$  or level two  $ID_i = (I1, I2)$ , with  $I2 \neq I2^*$ . The challenger responds by running algorithm  $KeyGen$  to generate the private key  $d_{ID_i}$  corresponding to the public key  $\langle ID_i \rangle$ . Then  $d_{ID_i}$  is sent to the adversary.

These queries may be asked adaptively, that is, depending on the answers to preceding queries.

**Challenge** When the adversary decides that phase 1 is over it outputs two messages  $M_0$  and  $M_1$  and a first level identity  $I1^*$  on which it wants to be challenged. This identity should not have been the subject of a private key query in phase 1. The challenger flips a fair coin to obtain a bit  $b$  and sets the challenge ciphertext to be  $C = Enc_{params, (I1^*, I2^*)}(M_b)$ .

**Phase 2** As in phase 1, except with the additional restriction that  $\mathcal{A}$  may not ask for the secret key corresponding to identity  $I1^*$ .

**Guess** The adversary outputs a guess  $b' \in \{0, 1\}$ . The adversary wins the game if  $b = b'$ .

We define the advantage of the adversary  $\mathcal{A}$  in this game as:  
 $Adv_{\mathcal{A}} = |Pr[b = b'] - \frac{1}{2}|$ .

## 5.4 Extended CBE

The concept that we are going to define next is very unusual in identity-based cryptography, but it is motivated by the requirements of the security proof.

Our model is a depth two tree encryption scheme, where a given message  $M$  can be encrypted for a 1st level entity or a 2nd level entity, and where 1st level entities can decrypt any of the messages intended for a 2nd level entity.

In this model there is also a certification authority (CA) and a number of clients, each of whom chooses a pair public key, secret key  $(PK, SK)$ . Each client has also an identifying public information  $userinfo$ . The time is divided into different periods (the number of which does not necessarily have to be specified beforehand). For each time period the certification authority computes a certificate  $Cert'_{periodi}$ , from its own master secret key  $SK_{CA}$  and  $\langle userinfo, periodi, PK \rangle$  and sends it to the authorized clients, who may perform some operations on the certificate to obtain  $Cert_{periodi}$ .

In our scheme, then, the entities in the 1st level are certified clients, that is, messages are encrypted for a certain period, a certain public information identifying the client and a certain public key. To decrypt such a message, both the secret key of the client and the updated certificate  $Cert_{periodi}$  are needed. The

entities in the first level are noted  $(userinfo, period\ i, PK)$ .

The 2nd level entities will also be called sons of certified clients and will be noted  $((userinfo, periodi, PK), I2)$ . When a message is intended for a second level entity, the key necessary to decrypt is derived from both  $Cert_{periodi}$  and  $SK$ . However, this same key will not be useful to decrypt any message for any of its siblings, i.e entities  $((userinfo, periodi, PK), I2')$ , where  $Cert_{periodi}$  is the certificate corresponding to  $(userinfo, periodi, PK)$  and  $I2 \neq I2'$ .

The keys for the sons of the certified clients are computed by the clients and sent to their sons.

For the security model, two types of adversary are considered. Again, these types respond to the needs of the last proof, and it is hard to motivate them otherwise. Type I adversary is a client who can adaptively choose its private/public key pair, its public identifying information and make certification queries for any period and extraction queries for any second level entity (with a suffix different than the second level challenge identity).

Type II adversary has access to the certifier's master secret key and can also make extraction queries for any entity in level 2.

In both types of attack, the entity attacked must be in the second level, since this is the case that will be used in proof C.

**Definition** An extended CBE scheme consists of seven algorithms:  $(Setup_{EXTCBE}, SetKeyPair, Certify, Consolidate, KeyGen2, Enc, Dec)$ , where:

-**Setup**<sub>EXTCBE</sub> is a probabilistic algorithm taking as input a security parameter  $k$ . It returns  $SK_{CA}$  (the certifier's master-key) and public parameters  $params$  that include the description of a string space  $\Lambda$ . Usually this algorithm is run by the CA.

-**SetKeyPair** is a probabilistic algorithm that takes as input  $params$ . It returns a public key PK and a private key SK.

-**Certify** is a (possibly randomized) algorithm that takes as input  $\langle SK_{CA}, params, periodi, userinfo, PK \rangle$ . It returns  $Cert'_{periodi}$ , which is sent to the client. Here  $periodi$  is a string identifying a time period, while  $userinfo \in \Lambda$  contains other information needed to certify the client such as the client's identifying information, and  $PK$  is a public key.

-**Consolidate** is a (possibly randomized) certificate consolidation algorithm taking as input  $\langle params, periodi, userinfo, Cert'_{periodi} \rangle$  and optionally  $Cert_{periodi-1}$ . It returns a ciphertext  $C \in \mathcal{C}$  for message  $M$ .

-**KeyGen2** is a (possibly randomized) algorithm that takes as input  $params$ , a pair  $(PK, SK)$ , a period  $periodi$ , a string  $userinfo \in \Lambda$ , the updated certificate  $Cert'_{periodi}$  corresponding to this input and a second level identity  $I2$ . It then generates the secret key  $SK_{ID}$  necessary corresponding to second level entity to decrypt all ciphertexts intended for identity  $((periodi, userinfo, PK), I2)$ .

-**Enc** is a probabilistic algorithm taking as input  $\langle ID, M \rangle$ , where  $ID$  is the string identifying either a certified client or a son of a certified client and  $M \in \mathcal{M}$  is a message.

-**Dec** is a deterministic algorithm taking as inputs  $\langle params, ID, SK_{ID}, C \rangle$  as input in time period  $periodi$ , where  $ID$  is a string corresponding either to a first or a second level entity. If  $ID$  identifies a first level entity then  $SK_{ID}$  is the pair  $(Cert'_{periodi}, SK)$ , else it is the output of algorithm  $KeyGen2$  with these inputs. Algorithm  $Dec$  returns either a message  $M \in \mathcal{M}$  or the special symbol  $\perp$  indicating a decryption failure.

Naturally, we require that if  $C$  is the result of applying algorithm  $Enc$  with input  $\langle periodi, userinfo, params, PK, M \rangle$  and  $(PK, SK)$  is a valid key-pair, then  $M$  is the result of applying algorithm  $Dec$  on input  $\langle params, Cert_{periodi}, SK, C \rangle$ , where  $Cert_{periodi}$  is the output of the  $Certify$  and  $Consolidate$  algorithms on input  $\langle SK_{CA}, params, periodi, userinfo \in \Lambda, PK \rangle$ . We write:

$$Dec_{Cert_{periodi}, SK}(Enc_{periodi, userinfo, PK}(M)) = M.$$

We note that a concrete ExtendedCBE scheme need not involve certificate consolidation. In this situation, algorithm  $Consolidate$  will simply output  $Cert_{periodi} = Cert'_{periodi}$

Security for Extended CBE is defined with the help of two different games.

### Extended CBE Game 1

**Init** The adversary  $\mathcal{B}_I$  outputs a second level identity  $I2^*$  it wants to attack.

**Setup**: The challenger runs  $Setup_{EXTCBE}$ , gives  $params$  to the adversary and keeps  $SK_{CA}$  to itself.

**Phase 1** The adversary issues queries  $q_1, \dots, q_m$  where each  $q_j$  is:

a) a certification query  $\langle periodi, userinfo, PK, SK \rangle$ . To answer this query, the challenger checks that  $userinfo \in \Lambda$  and that  $\langle PK, SK \rangle$  is a valid key-pair and runs algorithm  $Certify$  on these inputs. The output  $Cert'_{periodi}$  is the answer to the query.

b) an extraction query  $\langle ID, SK \rangle$ , where  $ID = ((periodi, userinfo, PK), I2)$  is a second level identity. To answer this query, the challenger checks that  $\langle PK, SK \rangle$  is a valid key-pair. Then it runs algorithms  $Certify$ ,  $Consolidate$  and  $KeyGen2$  with the adequate inputs.

These queries may be asked adaptively, that is, they may depend on the answers to previous queries.

**Challenge** On challenge query  $\langle periodi^*, userinfo^*, PK^*, SK^*, M_0, M_1 \rangle$ , where  $M_0, M_1 \in \mathcal{M}$  are of equal length, the challenger checks that  $userinfo^* \in \Lambda$  and that  $\langle PK^*, SK^* \rangle$  is a valid key pair. If so, it chooses a random bit  $b$  and returns  $C^* = Enc_{ID^*}(M_b)$ , where  $ID^* = ((periodi^*, userinfo^*, PK^*), I2^*)$ , else it returns  $\perp$ .

**Phase 2** As in phase 1, except that certification queries  $\langle \text{periodi}^*, \text{userinfo}^*, PK^*, SK^* \rangle$  are no longer allowed, but decryption queries for any identity  $ID = ((\text{periodi}^*, \text{userinfo}^*, PK^*), I2)$ , with  $I2 \neq I2^*$  are.

**Guess** The adversary outputs a guess  $b' \in \{0, 1\}$ .

The adversary wins the game if  $b = b'$ . We define the advantage of  $\mathcal{B}_I$  as  $\mathcal{B}_I := |\Pr[b = b'] - \frac{1}{2}|$ .

### Extended CBE Game 2

**Init** The adversary outputs a second level identity  $I2^*$  it wants to attack.

**Setup:** The challenger runs  $Setup_{EXTCBE}$  and gives  $params$  and  $SK_{CA}$  to the adversary. Then it runs algorithm  $SetKeyPair$  to obtain a challenge pair  $(PK^*, SK^*)$  and gives  $PK^*$  to the adversary.

**Phase 1** The adversary issues queries  $q_1, \dots, q_m$  where each  $q_j$  is an extraction query  $\langle ((\text{periodi}, \text{userinfo}, PK^*), I2) \rangle$  for a second level identity. To answer this query, the challenger checks that  $\text{userinfo} \in \Lambda$ . If so it generates  $Cert_{\text{periodi}}$  by using algorithms  $Certify$  and  $Consolidate$  with these inputs. Then it runs algorithm  $KeyGen2$  with these inputs.

These queries may be asked adaptively, that is, they may depend on the answers to previous queries.

**Challenge** On challenge query  $\langle \text{periodi}^*, \text{userinfo}^*, M_0, M_1 \rangle$ , where  $M_0, M_1 \in \mathcal{M}$  are of equal length, the challenger checks that  $\text{userinfo}^* \in \Lambda$ . If so, it chooses a random bit  $b$  and returns  $C^* = Enc_{ID^*}(M_b)$ , where  $ID^* = ((\text{periodi}^*, \text{userinfo}^*, PK^*), I2^*)$ , else it returns  $\perp$ .

**Phase 2** As in phase 1.

**Guess** The adversary outputs a guess  $b' \in \{0, 1\}$ .

The adversary wins the game if  $b = b'$ . We define the advantage of  $\mathcal{B}_{II}$  as  $\mathcal{B}_{II} := |\Pr[b = b'] - \frac{1}{2}|$ .

**Definition** An Extended CBE scheme is said to be secure against adaptive chosen ciphertext attack (or IND-extCBE-CPA secure) if no probabilistic polynomially bounded adversary has non-negligible advantage in either CBE Game 1 or CBE Game 2.

## 6 First construction: an hybrid 2-HIBE

In the rest of the article, given a string  $\lambda = \lambda_1 \dots \lambda_n \in \{0, 1\}^n$ , let  $\nu_\lambda \subset \{1 \dots n\}$  be the set of indices  $j$  for which  $\lambda_j = 1$ .

Let identities in the first level be  $n$ -bit strings and identities in the second level elements of  $\{0, 1\}^n \times \mathbb{Z}_p$  and note them as  $ID = (I1, I2)$ . As it is obvious, the scheme is the scheme of Waters when restricted to the first level and the scheme of Boneh and Boyen IND-sID-CPA secure in the second. Therefore, an adversary against our scheme has to specify at first which identity in the second level it is going to attack, that is, the suffix of the challenge identity. No identity

with that suffix can be subject to an extraction query.

### New2-HIBE

**Setup**<sub>HIBE</sub> Input:  $1^k$ .

Run  $\mathcal{IG}$  on input  $1^k$  and obtain  $\langle \mathbb{G}, \mathbb{G}_1, e \rangle, \mathbb{G}, \mathbb{G}_1$  of order  $p$ .

Choose  $g, g_2, f_2 \leftarrow \mathbb{G}^*, \alpha \leftarrow \mathbb{Z}_p$ . Set  $g_1 = g^\alpha \in \mathbb{G}$

Choose  $u', u_1, \dots, u_n \leftarrow \mathbb{G}$ . Set  $U = (u', u_1, \dots, u_n)$ .

The space of messages is  $\mathbb{G}_1$  and the system parameters are  $params = (U, p, n, \mathbb{G}, \mathbb{G}_1, e, g, g_1, g_2, f_2)$ . The PKG's master secret key is  $msk = \alpha$ . Define the following function  $F_2 : \mathbb{Z}_p \rightarrow \mathbb{G}$  as  $F_2(x) = g_1^x f_2$ .

**KeyGen** Input:  $\langle params, msk, ID \rangle$ .

To generate the private key corresponding to  $ID, d_{ID}$  do:

(a) if  $ID$  is in level 1, the PKG sets  $r_1 \leftarrow \mathbb{Z}_p$  and sets:  $d_{ID} = (d_0, d_1) = (g_2^\alpha (u' \prod_{j \in \nu_{ID}} u_j)^{r_1}, g^{r_1})$ .

(b) Else, the PKG chooses  $r_1, r_2 \leftarrow \mathbb{Z}_p$  and sets:  $d_{ID} = (d_0, d_1, d_2) = (g_2^\alpha (u' \prod_{j \in \nu_{I1}} u_j)^{r_1} F_2(I_2)^{r_2}, g^{r_1}, g^{r_2})$ .

Obviously, any identity in level 1  $I1$  with secret key  $d_{ID} = (d_0, d_1)$ , can compute the secret key for all of its children by choosing  $r_2 \leftarrow \mathbb{Z}_p$  and computing  $d_{(I1, I2)} = (d_0 F_2(I_2)^{r_2}, d_1, g^{r_2})$ .

**Enc** Input:  $\langle M, ID \rangle$ .

Choose  $t \leftarrow \mathbb{Z}_p$ .

Set  $C = (Me(g_1, g_2)^t, g^t, (u' \prod_{j \in \nu_{ID}} u_j)^t)$  if user is in level 1, else set  $C = (Me(g_1, g_2)^t, g^t, (u' \prod_{j \in \nu_{I1}} u_j)^t, F_2(I_2)^t)$ .

**Dec** Input:  $\langle C, ID \rangle$ .

(a) If  $ID$  is in level 1, compute:

$$\frac{C_1 e(d_1, C_3)}{e(d_0, C_2)} = \frac{Me(g_1, g_2)^t e(g^{r_1}, (u' \prod_{j \in \nu_{I1}} u_j)^t)}{e(g_2^\alpha (u' \prod_{j \in \nu_{ID}} u_j)^{r_1}, g^t)} = \dots = M$$

(b) Else, compute:

$$\frac{C_1 e(d_1, C_3) e(d_2, C_4)}{e(d_0, C_2)} = \frac{Me(g_1, g_2)^t e(g^{r_1}, (u' \prod_{j \in \nu_{I1}} u_j)^t) e(g^{r_2}, F_2(I_2)^t)}{e(g_2^\alpha (u' \prod_{j \in \nu_{I1}} u_j)^{r_1} F_2(I_2)^{r_2}, g^t)} = \dots = M$$

## 6.1 Security Proof

For the security reduction we distinguish between first and second level extraction queries. The number of first level extraction queries is  $q_E$  and the number of extraction queries for the second level  $q_D$ .

**Theorem** The previously defined New 2-HIBE is  $(t, q_E, q_D, \epsilon)$  2nd-level selective identity secure if the  $(t + O(\epsilon^{-2} \ln(\epsilon^{-1}) \lambda^{-1} \ln(\lambda^{-1}) + q_D), \frac{\epsilon}{32(n+1)q_E})$  Decisional Bilinear Diffie Hellman Assumption holds in  $\mathbb{G}$  (where  $\lambda = \frac{1}{8(n+1)q_E}$  and it is assumed that each exponentiation in  $\mathbb{G}$  takes unit time).

**Proof**

Let  $\mathcal{C}$  be an adversary against the 2-HIBE hybrid scheme, then we are going to use  $\mathcal{C}$  to build an adversary  $\mathcal{D}$  against DBDH in  $\mathbb{G}$ .

$\mathcal{D}$  is given as input a 5-tuple  $(g, g^a, g^b, g^c, T)$ , which could be either a random tuple or a BDH-tuple.

Set  $g_1 = g^a, g_2 = g^b, g_3 = g^c$ . Adversary  $\mathcal{D}$  will output a guess  $\gamma$  as to whether the challenge tuple is a BDH tuple or not.  $\mathcal{D}$  interacts with  $\mathcal{C}$  as follows:

**Init** Adversary  $\mathcal{C}$  outputs the second level challenge identity  $I2^* \in \mathbb{Z}_p$ . That means that in the challenge,  $\mathcal{C}$  may ask to be challenged on any identity of the form  $ID = (I1, I2^*)$ .

**Setup**<sub>HIBE</sub> Adversary  $\mathcal{D}$  first sets  $m = 4q_E$  and chooses an integer,  $k$ , between 0 and  $n$ . It then chooses a random  $n$ -length vector,  $\vec{x} = (x_i)$ , and a value  $x'$ . The components of the vector and  $x'$  are chosen u.a.r. among the integers between 0 and  $m - 1$ . By  $X^*$ , we denote the pair  $(x', \vec{x})$ . Additionally,  $\mathcal{D}$  also chooses  $y', y_1, \dots, y_n \in \mathbb{Z}_p$ .

Finally,  $\mathcal{D}$  also picks  $\alpha_2 \leftarrow \mathbb{Z}_p$ . These values are all kept internal to adversary  $\mathcal{D}$ .

Given a set  $\nu \subset \{0, \dots, n\}$ , we define the following functions and values:

- (a)  $F(\nu) = (p - mk) + x' + \sum_{i \in \nu} x_i$
- (b)  $J(\nu) = y' + \sum_{i \in \nu} y_i$
- (c)  $K(\nu)$ , where  $K(\nu) = 0$  if  $x' + \sum_{i \in \nu} x_i \equiv 0 \pmod{m}$  and  $K(\nu) = 1$ , otherwise.
- (d)  $F_2 : \mathbb{Z}_p \rightarrow \mathbb{G}$ , defined as  $F_2(x) = g_1^{x - I2^*} g^{\alpha_2}$
- (e)  $f_2 = g_1^{-I2^*} g^{\alpha_2} \in \mathbb{G}$
- (f)  $U = (u', u_1, \dots, u_n)$ , where  $u' = g_2^{p - km + x'} g^{y'}$  and  $u_i = g_2^{x_i} g^{y_i}$  for  $i = 1 \dots n$

Then  $\mathcal{C}$  is given  $params = (U, p, n, \mathbb{G}, \mathbb{G}_1, e, g, g_1, g_2, f_2)$ .

**Phase 1**  $\mathcal{C}$  issues private key queries  $q_l$  for different identities  $ID_l$ , to which  $\mathcal{D}$  responds in the following way:

a) If  $ID_l = I1l$  is in level 1,  $\mathcal{D}$  checks if  $K(\nu_{I1l}) = 0$ . If this is the case, it aborts and outputs a random bit  $b'$ .

Else, it chooses  $r_l \leftarrow \mathbb{Z}_p$  and sets  $d_{I1l} = (d_{0l}, d_{1l}) = (g_1^{-\frac{J(\nu_{I1l})}{F(\nu_{I1l})}} (u' \prod_{j \in \nu_{I1l}} u_j)^{r_l}, g^{r_l})$ . Set  $s_l := r_l - \frac{a}{F(\nu_{I1l})}$ . Note that the following two equalities hold:



$$\begin{aligned}
d_{0l} &= g_1^{-\frac{J(\nu_{I1l})}{F(\nu_{I1l})}} (u' \prod_{j \in \nu_{I1l}} u_j)^{r_l} \\
&= g_1^{-\frac{J(\nu_{I1l})}{F(\nu_{I1l})}} (g_2^{F(\nu_{I1l})} g^{J(\nu_{I1l})})^{r_l} \\
&= g_2^a (g_2^{F(\nu_{I1l})} g^{J(\nu_{I1l})})^{r_l} \\
&= g_2^a (g_2^{F(\nu_{I1l})} g^{J(\nu_{I1l})})^{-\frac{a}{F(\nu_{I1l})}} (g_2^{F(\nu_{I1l})} g^{J(\nu_{I1l})})^{r_l} \\
&= g_2^a (u' \prod_{j \in \nu_{I1l}} u_j)^{r_l - \frac{a}{F(\nu_{I1l})}} \\
&= g_2^a (u' \prod_{j \in \nu_{I1l}} u_j)^{s_l} \\
d_{1l} &= g_1^{\frac{-1}{F(\nu_{I1l})}} g_l^r \\
&= g^{r_l - \frac{a}{F(\nu_{I1l})}} \\
&= g^{s_l}
\end{aligned}$$

Therefore,  $d_{I1l} = (d_{0l}, d_{1l}) = (g_2^a (u' \prod_{i \in \nu_{I1l}} u_j)^{s_l}, g^{s_l})$  is a valid key for identity  $ID_l$ .

b) If it is in level 2, i.e  $ID_l = (I1l, I2l)$ , then  $\mathcal{D}$  checks if  $I2l = I2^*$ , in which case it aborts, else it chooses  $r_{1l}, r_{2l} \leftarrow \mathbb{Z}_p$  and sets  $d_{ID_l} = (d_{0l}, d_{1l}, d_{2l}) = (g_2^{\frac{\alpha_2}{I2l - I2^*}} (u' \prod_{j \in \nu_{I1l}} u_j)^{r_{1l}} F_2(I2l)^{r_{2l}}, (u' \prod_{j \in \nu_{I1l}} u_j)^{r_{1l}}, g_2^{\frac{-1}{I2l - I2^*}} g^{r_{2l}})$ . Let  $s_l = r_{2l} - \frac{b}{I2l - I2^*}$ . Then,  $d_{ID_l}$  is a valid secret key for  $ID_l$ , since the following two equalities hold:

$$\begin{aligned}
d_{0l} &= (u' \prod_{j \in \nu_{I1l}} u_j)^{r_{1l}} g_2^{\frac{-\alpha_2}{I2l - I2^*}} F_2(I2l)^{r_{2l}} \\
&= (u' \prod_{j \in \nu_{I1l}} u_j)^{r_{1l}} g_2^{\frac{-\alpha_2}{I2l - I2^*}} (g_1^{I2l - I2^*} g^{\alpha_2})^{r_{2l}} \\
&= (u' \prod_{j \in \nu_{I1l}} u_j)^{r_{1l}} g_2^a (g_1^{I2l - I2^*} g^{\alpha_2})^{r_{2l} - \frac{b}{I2l - I2^*}} \\
&= g_2^a (u' \prod_{j \in \nu_{I1l}} u_j)^{r_{1l}} F_2(I2l)^{s_l} \\
d_{2l} &= g_2^{\frac{-1}{I2l - I2^*}} g^{r_{2l}} \\
&= g^{r_{2l} - \frac{b}{I2l - I2^*}} \\
&= g^{s_l}
\end{aligned}$$

**Challenge** When  $\mathcal{C}$  decides that Phase 1 is over, it outputs two messages  $M_0, M_1 \in \mathbb{G}_1$  and a level one identity  $I1^*$  on which it wants to be challenged. If  $x' + \sum_{i \in \nu_{I1^*}} \neq 0 \pmod p$ ,  $\mathcal{D}$  aborts and outputs a random bit  $b'$ . Else, it picks a random bit  $b$  and responds with the ciphertext  $C = (M_b T, g_3, g_3^{J(\nu_{I1^*})}, g_3^{\alpha_2})$ . Since  $F_2(I2^*)^c = (g^{\alpha_2})^c = g_3^{\alpha_2}$  and  $g_3^{J(\nu_{I1^*})} = (g^{J(\nu_{I1^*})})^c = (g^{J(\nu_{I1^*})} g_2^{F(\nu_{I1^*})})^c = (u' \prod_{i \in \nu_{I1^*}} u_j)^c$  (because  $F(\nu_{I1^*}) = 0 \pmod p$ , then  $C$  will only be a ciphertext for  $M_b$  if  $T = e(g, g)^{abc}$ .

**Phase 2** As in phase 1, except that queries for identity  $I1^*$  are no longer allowed, while queries for any of its children (except with suffix  $I2^*$ ) are.

**Guess** Finally  $\mathcal{C}$  outputs a guess  $b'$ . The simulator  $\mathcal{D}$  outputs  $\gamma' = 1$  if  $b = b'$ , else it outputs  $\gamma' = 0$ .

**Artificial Abort** The probability of aborting when making first level extraction queries is not necessarily independent of the probability of making a correct guess of the bit  $b$ , since different sets of queries may have a different probability of aborting.

To compute the abort probability, the additional step artificial abort is introduced. If  $\vec{v} = v_1 \dots v_{q_E}$  is the vector of all first level extraction queries made and  $v^*$  is the first level challenge identity, the following function is defined:

$$\tau(X', \vec{v}, v^*) = \begin{cases} 0 & \text{if } (K(v_1) = 1) \wedge \dots \wedge (K(v_{q_E}) = 1) \wedge (x' + \sum_{i \in \nu_{v^*}} x_i = km) \\ 1 & \text{otherwise} \end{cases}$$

Note that the function evaluates to zero for a given set of extraction and challenge queries and simulation values  $X'$  when those choices lead to an abort.

The probability of aborting for a given set of queries  $v^*, \vec{v}$ ,  $\eta = Pr_{X'}[\tau(X', \vec{v}, v^*)]$  is sampled  $O(\epsilon^{-2} \ln(\epsilon^{-1}) \lambda^{-1} \ln(\lambda^{-1}))$  times, by choosing random  $X'$  and evaluating  $\tau(X', \vec{v}, v^*)$  (sampling does not involve running the adversary again). The estimated value is  $\eta'$ , while  $\lambda$  is the lower bound on the probability of not aborting for any set of queries (see [16] on how to compute  $\lambda$ ).

If  $\eta' \geq \lambda$ , adversary  $\mathcal{D}$  will abort with probability  $\frac{\eta' - \lambda}{\eta'}$  and take a random guess  $\gamma'$ . Otherwise, the simulator will not abort.

If  $\mathcal{D}$  has not aborted at this point, it checks whether adversary  $\mathcal{C}$ 's guess  $b'$  is equal to  $b$ . If so it outputs the guess  $\gamma' = 1$ , else it outputs  $\gamma' = 0$ .

**Analysis** When the input tuple is a random tuple, then  $Pr[\gamma' = 1] = \frac{1}{2}$ .

On the other hand, when the input tuple is a Diffie Hellman tuple:

$$Pr[\gamma' = 1] = Pr[\gamma' = 1 | \text{abort}] Pr[\text{abort}] + Pr[\gamma' = 1 | \overline{\text{abort}}] Pr[\overline{\text{abort}}]$$

Clearly, when the adversary does not abort, then  $\mathcal{C}$  makes the correct guess with advantage  $\epsilon$ , so  $Pr[\gamma' = 1 | \overline{\text{abort}}] = \frac{1}{2} + \epsilon$ , while  $Pr[\gamma' = 1 | \text{abort}] = \frac{1}{2}$ , because then the simulator outputs a random guess.

The probability of aborting comes exclusively from the first level extraction queries and the challenge query. In other words, the simulator aborts if and only if the simulator in the security proof of Waters [16] would also abort (making the same choices for  $U, \vec{x}$ , etc). Therefore, the probability of aborting can be calculated exactly in the same way as in the Waters IBE scheme and the theorem follows.

## 7 Second construction: an Extended CBE scheme

We do not include algorithm *Consolidate* because it is trivial in this scheme, that is, if the outputs of the algorithm are  $\langle \text{params}, \text{periodi}, \text{userinfo}, \text{Cert}'_{\text{periodi}} \rangle$ , it simply outputs  $\text{Cert}_{\text{periodi}} = \text{Cert}'_{\text{periodi}}$  (as it is also the case in [14]). This

will also be the case for our final scheme New CBE.

To make the exposition more compact, we sometimes use the secret key of a first level entity for a given period  $SK_{ID} = (Cert_0 h_2^\beta, Cert_1)$ , instead of the certificate for that period *and* the secret key of the client, although this quantity is not explicitly defined in the execution of the algorithm.

**ExtendedCBE**

**Setup<sub>EXTCBE</sub>**: Input:  $1^k$ .

Run  $\mathcal{IG}$  on input  $1^k$  and obtain  $\langle \mathbb{G}, \mathbb{G}_1, e \rangle, \mathbb{G}, \mathbb{G}_1$  of order  $p$ .

Choose  $g, g_2, f_2 \leftarrow \mathbb{G}^*, \alpha \leftarrow \mathbb{Z}_p$ . Set  $g_1 = g^\alpha \in \mathbb{G}$

Choose  $u', u_1, \dots, u_n \leftarrow \mathbb{G}$ . Set  $U = (u', u_1, \dots, u_n)$  and choose a collision resistance hash function  $H_1 : \{0, 1\}^* \rightarrow \{0, 1\}^n$ .

The space of messages is  $\mathbb{G}_1$  and the system parameters are  $params = (U, p, n, \mathbb{G}, \mathbb{G}_1, e, g, g_1, g_2, f_2, H_1)$ . The CA's master secret key is  $SK_{CA} = \alpha$ .

**SetKeyPair** Input:  $params$ .

Choose  $\beta \leftarrow \mathbb{Z}_p, h_2 \leftarrow \mathbb{G}$  and sets  $h_1 = g^\beta \in \mathbb{G}$ . The user's secret key is  $SK = (\beta, h_2^\beta)$  and his public key is  $PK = (h_1, h_2)$ .

Define the following function  $F_{2, h_1} : \mathbb{Z}_p \rightarrow \mathbb{G}$  as  $F_{2, h_1}(x) = g_1^x h_1^x f_2$ .

**Certify** Input:  $\langle params, SK_{CA}, period_i, userinfo, (h_1, h_2) \rangle$ .

Let  $I1 = H_1(period_i || userinfo || (h_1, h_2))$ . Pick  $r \leftarrow \mathbb{Z}_p$  and output:

$Cert_{(period_i, userinfo, (h_1, h_2))} = (Cert_0, Cert_1) = (g_2^\alpha (u' \prod_{j \in \nu_{I1}} u_j)^r, g^r)$ .

**KeyGen2** Input:  $\langle params, \beta, Cert_{(period_i, userinfo, (h_1, h_2))}, period_i, userinfo, (h_1, h_2), I2 \rangle$ .

Compute  $I1 = H_1(period_i || userinfo || (h_1, h_2))$ . Choose  $r_1, r_2 \leftarrow \mathbb{Z}_p$ . Set:

$SK_{ID} = (d_0, d_1, d_2) = (g_2^\alpha (u' \prod_{j \in \nu_{I1}} u_j)^{r_1} F_{2, h_1}(I2)^{r_2} h_2^\beta, g^{r_1}, g^{r_2})$ .

**Enc** Input:  $\langle params, M, period_i, userinfo, (h_1, h_2) \rangle$  and, optionally  $I2$ .

Choose  $t \leftarrow \mathbb{Z}_p$ .

Set  $C = (Me(g_1, g_2)^t e(h_1, h_2)^t, g^t, (u' \prod_{j \in \nu_{I1}} u_j)^t)$  if user  $i$  is in level 1, else  $C = (Me(g_1, g_2)^t e(h_1, h_2)^t, g^t, (u' \prod_{j \in \nu_{I1}} u_j)^t, F_{2, h_1}(I2)^t)$ .

**Dec** Input:  $\langle params, C, periodi, userinfo, (h_1, h_2), SK_{ID} \rangle$  and optionally  $I2$ , where  $SK_{ID}$  is the secret key for the certified client  $(Cert_0 h_2^\beta, Cert_1)$  or for its son  $(d_0, d_1, d_2)$ . Set  $I1 = H_1(periodi || userinfo || (h_1, h_2))$ .

(a) If  $I2$  is not an input of the algorithm, compute:

$$\frac{C_1 e(d_1, C_3)}{e(d_0, C_2)} = \frac{Me(g_1, g_2)^t e(h_1, h_2)^t e(g^{r_1}, (u' \prod_{j \in \nu_{ID}} u_j)^t)}{e(g_2^\alpha (u' \prod_{j \in \nu_{I1}} u_j)^{r_1} h_2^\beta, g^t)} = \dots = M$$

(b) Else, compute:

$$\frac{C_1 e(d_1, C_3) e(d_2, C_4)}{e(d_0, C_2)} = \frac{Me(g_1, g_2)^t e(h_1, h_2)^t e(g^{r_1}, (u' \prod_{j \in \nu_{I1}} u_j)^t) e(g^{r_2}, F_2(I2)^t)}{e(g_2^\alpha (u' \prod_{j \in \nu_{I1}} u_j)^{r_1} F_2(I2)^{r_2} h_2^\beta, g^t)} = \dots = M$$

## 7.1 Security proof: Adversary in game 1 against Extended CBE

**Theorem** Assuming  $H_1$  to be a collision resistant hash function, if an adversary  $\mathcal{B}_I$  succeeds in Extended CBE-Game 1 against the previously defined ExtendedCBE scheme, in time  $t$ , with advantage at most  $\epsilon$  and making at most  $q_C$  certification queries and  $q_E$  extraction queries for second level identities, then there is an adversary  $\mathcal{C}$  which succeeds in time  $t' \leq t - \Theta(q_C + q_E)$  and with advantage  $\epsilon$  in the game against the New 2-HIBE scheme. (where it is assumed that each evaluation of the hash function  $H_1$  and each exponentiation in  $\mathbb{G}$  take unit time).

### Proof

Algorithm  $\mathcal{C}$  interacts with algorithm  $\mathcal{B}_I$  as follows:

**Init** When  $\mathcal{B}_I$  outputs a second level identity  $I2^*$  it wants to attack,  $\mathcal{C}$  outputs the same identity.

**Setup:** The challenger runs  $Setup_{HIBE}$ , gives  $params_{HIBE}$  to the adversary  $\mathcal{C}$  and keeps  $msk$  to itself. Then  $params_{EXTCBE} = (params_{HIBE}, H_1)$ , where  $H_1$  is a collision resistant hash function  $H_1 : \{0, 1\}^* \rightarrow \{0, 1\}^n$ . are given to the adversary  $\mathcal{B}_I$ .

**Phase 1** The adversary issues queries  $q_1, \dots, q_m$  where each  $q_j$  is:

a) a certification query  $\langle periodi, userinfo, (h_1, h_2), (\beta, h_2^\beta) \rangle$ . To answer this query,  $\mathcal{C}$  checks that  $userinfo \in \Lambda$  and that  $\langle (h_1, h_2), (\beta, h_2^\beta) \rangle$  is a valid key-pair. If so, it asks the challenger for the secret key corresponding to identity  $I1 = H_1((periodi || userinfo || (h_1, h_2)))$ . This same answer is given to  $\mathcal{B}_I$ .

b) an extraction query  $\langle ID, (\beta, h_2^\beta) \rangle$ , where  $ID = ((userinfo, periodi, (h_1, h_2)), I2)$

is a second level identity. To answer this query,  $\mathcal{C}$  checks that  $\langle (h_1, h_2), (\beta, h_2^\beta) \rangle$  is a valid key-pair. If so, it asks the challenger for the secret key corresponding to identity  $(H_1(\text{periodi} \parallel \text{userinfo} \parallel PK), I_2) = (I_1, I_2) = ID$ , and obtains  $d_{ID} = (d_0, d_1, d_2) = (g_2^\alpha (u' \prod_{j \in \nu_{I_1}} u_j)^{r_1} F_2(I_2)^{r_2}, g^{r_1}, g^{r_2})$ .

Then  $\mathcal{C}$  gives  $\mathcal{B}_I$  the tuple  $SK_{ID} = (d_0 h_2^\beta d_2^{\beta I_2}, d_1, d_2)$ . This is a valid secret since the following holds:

$$\begin{aligned} F_{2, h_1}(I_2)^{r_2} &= (f_2 g_1^{I_2} h_1^{I_2})^{r_2} \\ &= F_2(I_2)^{r_2} (h_1^{I_2})^{r_2} \\ &= F_2(I_2)^{r_2} (g^{r_2})^{\beta I_2} \\ &= F_2(I_2)^{r_2} d_2^{\beta I_2} \end{aligned}$$

Therefore,  $SK_{ID} = (d_0 h_2^\beta d_2^{\beta I_2}, d_1, d_2) = (g_2^\alpha h_2^\beta (u' \prod_{j \in \nu_{I_1}} u_j)^{r_1} F_{2, h_1}(I_2)^{r_2}, g^{r_1}, g^{r_2})$  is of the correct form.

These queries may be asked adaptively, that is, they may depend on the answers to previous queries.

**Challenge** On challenge query  $\langle ID^*, (\beta^*, h_2^*)^{\beta^*}, M_0, M_1 \rangle$ , where  $ID^* = (\text{periodi}^*, \text{userinfo}^*, (h_1^*, h_2^*))$  and  $M_0, M_1 \in \mathcal{M}$  of equal length,  $\mathcal{C}$  checks that  $\text{userinfo}^* \in \Lambda$  and that  $\langle (h_1^*, h_2^*), (\beta^*, (h_2^*)^{\beta^*}) \rangle$  is a valid key pair. If not, it outputs  $\perp$ , else it makes a challenge query  $\langle M_0, M_1, I_1^* \rangle$ , where  $I_1^* = H_1(ID^*)$ . The challenger responds by flipping a fair coin to choose a random bit  $b$  and returning the ciphertext  $C = (C_1, C_2, C_3, C_4) = (Me(g_1, g_2)^t, g^t, (u' \prod_{j \in \nu_{I_1^*}} u_j)^t, F_2(I_2^*)^t)$ . Then,  $\mathcal{C}$  gives  $\mathcal{B}_I$  the challenge ciphertext  $C^* = (C_1 e(C_2, h_2^\beta), C_2, C_3, C_4 (C_2)^\beta) = (Me(g_1, g_2)^t e(h_1, h_2)^t, g^t, (u' \prod_{j \in \nu_{I_1^*}} u_j)^t, F_{2, h_1}(I_2^*)^t)$ .

**Phase 2** As in phase 1, except that certification queries  $\langle ID^*, (\beta^*, (h_2^*)^{\beta^*}) \rangle$  are no longer allowed, but decryption queries for any identity  $ID = ((\text{periodi}^*, \text{userinfo}^*, (h_1^*, h_2^*)), I_2)$ , with  $I_2 \neq I_2^*$  are.

**Guess** The adversary  $\mathcal{B}_I$  outputs a guess  $b' \in \{0, 1\}$ , and  $\mathcal{C}$  outputs the same guess.

The view of  $\mathcal{B}_I$  is exactly the same as in the real attack, therefore the theorem follows.

## 7.2 Adversary in game 2 against ExtendedCBE

**Theorem** Assuming  $H_1$  to be a collision resistant hash function, if an adversary  $\mathcal{B}_{II}$  succeeds in Game 2 against the previously defined ExtendedCBE scheme, in time  $t$ , with advantage at most  $\epsilon$  and making at most  $q_E$  extraction queries for second level identities, then there is an adversary  $\mathcal{C}$  which succeeds in time  $t' \leq t - \Theta(q_E)$  and with advantage  $\epsilon$  in the game against the New 2-HIBE scheme. (where it is assumed that every exponentiation in  $\mathbb{G}$  takes unit time).

**Proof**

Algorithm  $\mathcal{C}$  interacts with algorithm  $\mathcal{B}_{II}$  as follows:

**Init** Adversary  $\mathcal{B}_{II}$  outputs a second level identity  $I2^*$  it wants to attack. Then  $\mathcal{C}$  outputs the same identity.

**Setup** The challenger runs  $Setup_{HIBE}$ , gives  $params_{HIBE} = (U, p, n, \mathbb{G}, \mathbb{G}_1, e, g, g'_1, g'_2, f_2)$  to the adversary  $\mathcal{C}$  and keeps  $msk = (g'_2)^{\alpha'}$  to itself.

Adversary  $\mathcal{C}$  runs algorithm  $SetKeyPair$  to obtain a pair public key - secret key  $((h'_1, h'_2), (h'_2)^{\alpha'})$ . Then  $SK_{CA}$  and  $params_{EXTCBE}$  are given to  $\mathcal{B}_{II}$ , where  $SK_{CA} = g_2^\alpha = (h'_2)^{\beta'}$  and  $params_{EXTCBE} = (U, p, n, \mathbb{G}, \mathbb{G}_1, e, g, g_1 = h'_1, g_2 = h'_2, f_2, H_1)$ , where  $H_1 : \{0, 1\}^* \rightarrow \{0, 1\}^n$  is a collision resistant hash function. Finally,  $\mathcal{C}$  gives to  $\mathcal{B}_{II}$ , the challenge public key  $(h_1 = g'_1, h_2 = g'_2)$ .

**Phase 1** The adversary  $\mathcal{B}_{II}$  issues queries  $q_1, \dots, q_m$  where each  $q_j$  is an extraction query for a second level identity  $ID = ((periodi, userinfo, PK), I2)$ . Then  $\mathcal{C}$  asks for the secret key corresponding to  $(H_1(periodi || userinfo || PK), I2) = (I1, I2)$ , and obtains  $d_{(I1, I2)} = (d_0, d_1, d_2) = ((g'_2)^{\alpha'} (u' \prod_{j \in \nu_{I1}} u_j)^{r_1} F_2(I2)^{r_2}, g^{r_1}, g^{r_2}) = (h_2^\beta (u' \prod_{j \in \nu_{I1}} u_j)^{r_1} F_2(I2)^{r_2}, g^{r_1}, g^{r_2})$ . Then  $\mathcal{C}$  gives to  $\mathcal{B}_{II}$  the secret key  $SK_{ID} = (d_0 g_2^\alpha d_2^{\alpha I2}, d_1, d_2)$ . This is a valid secret key, since the following holds:

$$\begin{aligned} F_{2, h_1}(I2)^{r_2} &= (f_2 g_1^{I2} h_1^{I2})^{r_2} \\ &= F_2(I2)^{r_2} (g_1^{I2})^{r_2} \\ &= F_2(I2)^{r_2} (g^{r_2})^{\alpha I2} \\ &= F_2(I2)^{r_2} d_2^{\alpha I2} \end{aligned}$$

These queries may be asked adaptively, that is, they may depend on the answers to previous queries.

**Challenge** On challenge query  $\langle periodi^*, userinfo^*, M_0, M_1 \rangle$ , where  $M_0, M_1 \in \mathcal{M}$  are of equal length,  $\mathcal{C}$  checks that  $userinfo^* \in \Lambda$  and that  $\langle (h_1, h_2), (h_2)^\beta \rangle$  is a valid key pair. If any of these steps fails, it outputs  $\perp$ , else it makes the challenge query  $\langle M_0, M_1, I1^* \rangle$ , where  $I1^* = (periodi^*, userinfo^*, (h_1^*, h_2^*))$ . To respond to this query, the challenger flips a fair coin to obtain a random bit  $b$  and returns  $C = Enc_{params, (I1^*, I2^*)}(M_b) = (C_1, C_2, C_3, C_4) = (Me(g'_1, g'_2)^t, g^t, (u' \prod_{j \in \nu_{I1^*}} u_j)^t, F_2(I2^*)^t) = (Me(h_1, h_2)^t, g^t, (u' \prod_{j \in \nu_{I1^*}} u_j)^t, F_2(I2^*)^t)$ . Then adversary  $\mathcal{C}$  sets the challenge ciphertext to be  $C^* = (C_1 e(C_2, g_2^\alpha), C_2, C_3, C_4 C_2^\alpha) = (Me(g_1, g_2)^t e(h_1, h_2)^t, g^t, (u' \prod_{j \in \nu_{I1^*}} u_j)^t, F_{2, h_1}(I2^*)^t)$ .

**Phase 2** As in phase 1.

**Guess** The adversary  $\mathcal{B}_{II}$  outputs a guess  $b' \in \{0, 1\}$ , and  $\mathcal{C}$  outputs the same guess.

The view of  $\mathcal{B}_{II}$  is exactly the same as in the real attack, therefore the theorem follows.

## 8 A new CBE scheme without random oracles

### NewCBE

**Setup<sub>CBE</sub>**: Input:  $1^k$ .

Run  $\mathcal{IG}$  on input  $1^k$  and obtain  $\langle \mathbb{G}, \mathbb{G}_1, e \rangle, \mathbb{G}, \mathbb{G}_1$  of order  $p$ .

Choose  $g, g_2, f_2 \leftarrow \mathbb{G}^*, \alpha \leftarrow \mathbb{Z}_p$ . Set  $g_1 = g^\alpha \in \mathbb{G}$

Choose  $u', u_1, \dots, u_n \leftarrow \mathbb{G}$ . Set  $U = (u', u_1, \dots, u_n)$ . Let  $H_1 : \{0, 1\}^* \rightarrow \{0, 1\}^n$ ,  $H_2 : \{0, 1\}^* \rightarrow \mathbb{Z}_p$  be two collision resistant hash functions.

Run  $Setup_{ENC}(1^k)$  to generate a string  $pub$  of an encapsulation scheme. The space of messages is  $\mathbb{G}_1$  and the system parameters are  $params = (U, p, n, \mathbb{G}, \mathbb{G}_1, e, g, g_1, g_2, f_2, H_1, H_2, pub)$ . The CA's master secret key is  $SK_{CA} = \alpha$ .

**SetKeyPair** Input:  $params$ .

The user chooses  $\beta \leftarrow \mathbb{Z}_p, h_2 \leftarrow \mathbb{G}$  and sets  $h_1 = g^\beta \in \mathbb{G}$ . The user's secret key is  $SK = (\beta, h_2^\beta)$  and his public key is  $PK = (h_1, h_2)$ .

We define the following function  $F_{2, h_1} : \mathbb{Z}_p \rightarrow \mathbb{G}$  as  $F_{2, h_1}(x) = g_1^x h_1^x f_2$ .

**Certify** Input:  $\langle params, csk, periodi, userinfo, (h_1, h_2) \rangle$ .

Let  $I1 = H_1(periodi || userinfo || (h_1, h_2))$ . Pick  $r \leftarrow \mathbb{Z}_p$  and output:  $Cert_{(periodi, userinfo, (h_1, h_2))} = (Cert_{i0}, Cert_{i1}) = (g_2^\alpha (u' \prod_{j \in \nu_{I1}} u_j)^r, g^r)$ .

**Enc** Input:  $\langle params, M, periodi, userinfo, (h_1, h_2) \rangle$ .

(a) Encapsulate a random value  $r$  by running  $\mathcal{S}(1^k, pub)$  to obtain  $(r, com, dec)$

(b) Let  $I2 = H_2(com)$  and  $I1 = H_1(periodi || userinfo || (h_1, h_2))$ . Choose  $t \leftarrow \mathbb{Z}_p$  and encrypt in the following way:

Set  $C = ((M || dec) e(g_1, g_2)^t e(h_1, h_2)^t, g^t, (u' \prod_{j \in \nu_{I1}} u_j)^t, F_{2, h_1}(I2)^t)$ .

(c) Compute  $tag = Mac_r(C)$ .

(d) Send  $\langle com, C, tag \rangle$ .

**Dec** Input:  $\langle params, Cert_{periodi, userinfo, (h_1, h_2)}, (\beta, h_2^\beta) C, periodi, userinfo, (h_1, h_2) \rangle$ , where  $C = \langle com, (C_1, C_2, C_3, C_4), tag \rangle$ .

Let  $I1 = H_1(periodi || userinfo || (h_1, h_2)), I2 = H_2(com)$

(a) Derive the secret key corresponding to this period and  $com$ , by choosing  $r_2 \leftarrow \mathbb{Z}_p$

$SK_{com, i} = (d_0, d_1, d_2) = (Cert_{i0} h_2^\beta F_{2, h_1}(I2)^{r_2}, Cert_{i1}, g^{r_2})$

(b) Decrypt in the following way:

$$\begin{aligned} & \frac{C_1 e(d_1, C_3) e(d_2, C_4)}{e(d_0, C_2)} = \\ & = \frac{(M || dec) e(g_1, g_2)^t e(h_1, h_2)^t e(g^r, (u' \prod_{j \in \nu_{I1}} u_j)^t) e(g^{r_2}, F_{2, h_1}(I2)^t)}{e(g_2^\alpha (u' \prod_{j \in \nu_{I1}} u_j)^r F_{2, h_1}(I2)^{r_2}, g^t) e(h_2^\beta, g^t)} = \\ & = \dots = M || dec \end{aligned}$$

(c) Obtain the string  $r = \mathcal{R}(pub, com, dec)$  and verify if  $tag = Mac_r(C)$ . If this is the case,  $M$  is the correct decryption of  $C$ , else decryption fails.

## 8.1 Security Proof: Attack of an uncertified client

It is important to use that this and the following proof are generic, since they do not make use of any special properties of the underlying schemes.

**Theorem** Assuming the message authentication code and the encapsulation scheme used in New CBE above satisfy the security definitions given in sections 5.1 and 5.2, if an adversary  $\mathcal{C}_I$  succeeds in time  $t$  and with advantage  $\epsilon$  against the previously defined New CBE, then there is an adversary in game 1 against ExtendedCBE which succeeds with advantage negligibly close to  $\epsilon$  and in time  $t' \leq t - \Theta(q_D)$ , where *Valid1* is the event described below and each evaluation of the hash function  $H_2$ , pairing computation in  $\mathbb{G}$ , and execution of algorithms  $\mathcal{R}$  and  $Vrfy$  takes unit time.

### Proof

Algorithm  $\mathcal{B}_I$  interacts with algorithm  $\mathcal{A}_I$  as follows:

**Init**  $\mathcal{B}_I$  runs  $\text{Setup}_{ENC}(1^k, l(k))$  to generate  $pub$ , and runs  $\mathcal{S}(1^k, pub)$  to obtain  $(r^*, com^*, dec^*)$ .  $\mathcal{B}_I$  outputs  $com^*$  as the second level identity it wants to attack.

**Setup** The challenger runs  $\text{Setup}_{EXTCBE}(1^k)$  to generate  $SK_{CA}$  and  $params_{EXTCBE}$ . Then  $params_{EXTCBE}$  are given to  $\mathcal{B}_I$ . Then  $\mathcal{A}_I$  is given  $params_{CBE} = (params_{EXTCBE}, H_2, pub)$ , where  $H_2 : \{0, 1\} \rightarrow \mathbb{Z}_p$  is a collision resistant hash function.

**Phase 1**  $\mathcal{A}_I$  outputs queries  $q_1, \dots, q_m$  where each of the  $q_i$  is:

- a) Certification query  $\langle \text{periodi}, \text{userinfo}, (h_1, h_2), (\beta, h_2^\beta) \rangle$ . To answer this query,  $\mathcal{B}_I$  checks that  $\text{userinfo} \in \Lambda$  and that  $\langle (h_1, h_2), (\beta, h_2^\beta) \rangle$  is a valid key-pair. If so, it makes this same certification query to the challenger.
- b) Decryption queries  $\langle \text{periodi}, \text{userinfo}, (h_1, h_2), (\beta, h_2^\beta), com, C, tag \rangle$ .  $\mathcal{B}_I$  checks that  $com \neq com^*$  and that  $\langle (h_1, h_2), (\beta, h_2^\beta) \rangle$  is a valid key-pair. If this is not the case it outputs  $\perp$ , else it makes a second level extraction query for  $\langle ID, (\beta, h_2^\beta) \rangle = \langle (\text{periodi}, \text{userinfo}, (h_1, h_2)), I2, (\beta, h_2^\beta) \rangle$ , where  $I2 = H_2(com)$ . Then  $\mathcal{B}_I$  obtains the corresponding secret key  $SK_{ID}$  and uses it to decrypt  $C$ , obtain  $M || dec$  and  $r = \mathcal{R}(pub, com, dec)$  and  $Vrfy_r(C, tag) = 1$ . If any of this steps fails,  $\mathcal{C}$  outputs  $\perp$ .

**Challenge** On challenge query  $\langle I1^*, SK^*, M_0, M_1 \rangle = \langle \text{periodi}^*, \text{userinfo}^*, (h_1^*, h_2^*), (\beta^*, (h_2^*)^{\beta^*}), M_0, M_1 \rangle$ , where  $M_0, M_1 \in \mathcal{M}$  are of equal length,  $\mathcal{B}_I$  checks that  $\text{userinfo}^* \in \Lambda$  and that  $SK^*$  is a valid key pair. If so, it submits to the challenger the challenge query:  $\langle I1^*, SK^*, M_0 || dec^*, M_1 || dec^* \rangle$ . The challenger chooses a random bit  $b$  and returns  $C = \text{Enc}_{(I1^*, I2^*)}(M_b || dec^*)$ ; else it returns  $\perp$ . Finally,  $\mathcal{B}_I$  computes  $tag^* = \text{Mac}_{r^*}(C)$  and sets the challenge ciphertext to be  $C^* = \langle com^*, C^*, tag^* \rangle$ .



**Phase 2** As in Phase 1, except that certification queries for  $\langle \text{periodi}^*, \text{userinfo}^*, (h_1^*, h_2^*), (\beta^*, (h_2^*)^{\beta^*}) \rangle$ , are no longer allowed (but decryption queries for  $\langle \text{periodi}^*, \text{userinfo}^*, (h_1^*, h_2^*), (\beta^*, (h_2^*)^{\beta^*}) \rangle$  are).

**Guess** Finally,  $\mathcal{A}_I$  outputs a guess  $b' \in \{0, 1\}$ . This same guess is output by  $\mathcal{B}_I$ .

A ciphertext is *valid* if it does not lead the simulator to abort in either CBE-game 1 or CBE-game 2 against NewCBE. Valid1 is the event that  $\mathcal{A}_I$  ever makes a decryption query  $\langle I1, (\beta, h_2^\beta), \text{com}^*, C, \text{tag} \rangle$  which is valid where  $I1 = (\text{periodi}, \text{userinfo}, (h_1, h_2))$ . We implicitly assume that  $\langle \text{com}^*, C, \text{tag} \rangle \neq \langle \text{com}^*, C^*, \text{tag}^* \rangle$ , since it occurs with only negligible probability before the challenge and it is disallowed after it).

Note that the only difference between the real game and the simulated game is when event Valid1 occurs.

**Claim**  $\Pr[\text{Valid1}]$  is negligible.

We omit the proof here since it is a paraphrase of the proof of Boneh and Katz, except that now, to answer decryption queries the simulator is going to make second level extraction queries to the challenger instead of extraction queries as in the original proof of [9]. We just point out that this follows because of the security of the encapsulation and the commitment schemes.

Therefore, the theorem follows since:

$$\Pr[b' = b] = \Pr[b' = b | \text{abort}] \Pr[\text{abort}] + \Pr[b' = b | \text{not abort}] \Pr[\text{not abort}] = (\frac{1}{2} + \epsilon)(1 - \Pr[\text{Valid1}]) + \frac{1}{2} \Pr[\text{Valid1}] = \frac{1}{2} + \epsilon(1 - \Pr[\text{Valid1}])$$

## 8.2 Attack of the certifier

**Theorem** Assuming the message authentication code and the encapsulation scheme used in New CBE satisfy the security definitions given in sections 5.1 and 5.2, if an adversary  $\mathcal{C}_{II}$  succeeds in time  $t$  and with advantage  $\epsilon$  against the previously defined New CBE, then there is an adversary in game 2 against Extended-CBE which succeeds with advantage negligibly close to  $\epsilon$  in time  $t' \leq t - \Theta(q_D)$ , where *Valid2* is the event described below and where it is assumed that every evaluation of  $H_2$ , pairing computation in  $\mathbb{G}$ , execution of algorithm  $\mathcal{R}$  and *Vrfy* take unit time.

### Proof

Algorithm  $\mathcal{B}_{II}$  interacts with algorithm  $\mathcal{A}_{II}$  as follows:

**Init**  $\mathcal{B}_{II}$  runs  $\text{Setup}_{ENC}(1^k, l(k))$  to generate *pub*, and runs  $\mathcal{S}(1^k, \text{pub})$  to obtain  $(r^*, \text{com}^*, \text{dec}^*)$ .  $\mathcal{B}_{II}$  outputs  $\text{com}^*$  as the second level identity it wants to

attack.

**Setup** The challenger runs  $Setup_{EXTCBE}(1^k)$  to generate  $SK_{CA} = g_1^\alpha$  and  $params_{EXTCBE}$ . It also runs algorithm  $SetKeyPair$  to obtain a challenge public key - secret key pair  $((h_1, h_2), (\beta, h_2^\beta))$ . Then  $params_{CBE} = (params_{EXTCBE}, H_2, pub)$  are given to  $\mathcal{A}_{II}$ , where where  $H_2 : \{0, 1\} \rightarrow \mathbb{Z}_p$  is a collision resistant hash function. The user's public key  $PK = (h_1, h_2)$  is also given to  $\mathcal{A}_{II}$ .

**Phase 1**  $\mathcal{A}_{II}$  outputs queries  $q_1, \dots, q_m$  where each of the  $q_i$  is a decryption query  $\langle period_i, userinfo, com, C, tag \rangle$ .  $\mathcal{B}_{II}$  checks that  $com \neq com^*$ . If this is not the case it outputs  $\perp$ , else it makes a second level extraction query for  $ID = ((period_i, userinfo, (h_1, h_2)), I2)$ , where  $I2 = H_2(com)$ . The challenger responds to this query with the secret key  $SK_{ID}$  and  $\mathcal{B}_{II}$  uses it to decrypt  $C$ , obtain  $M || dec$  and  $r = \mathcal{R}(pub, com, dec)$ . Then  $\mathcal{B}_{II}$  checks that  $Vrfy_r(C, tag) = 1$ . If any of this steps fails,  $\mathcal{C}$  outputs  $\perp$ , else  $\mathcal{B}_{II}$  responds to this query with  $M$ .

**Challenge** On challenge query  $\langle period_i^*, userinfo^*, M_0, M_1 \rangle$ , where  $M_0, M_1 \in \mathcal{M}$  are of equal length,  $\mathcal{B}_{II}$  checks that  $userinfo^* \in \Lambda$ . If so, it submits to the challenger the challenge query:  $\langle period_i^*, userinfo^*, M_0 || dec^*, M_1 || dec^* \rangle$ . The challenger chooses a random bit  $b$  and returns  $C^* = Enc_{(I1^*, I2^*)}(M_b || dec^*)$ , where  $(I1^*, I2^*) = ((userinfo^*, period_i^*, (h_1^*, h_2^*)), H_2(com^*))$ . If any of these steps, fails it returns  $\perp$ . Finally,  $\mathcal{B}_{II}$  computes  $tag^* = Mac_{r^*}(C)$  and sets the challenge ciphertext to be  $\langle com^*, C^*, tag^* \rangle$ .

**Phase 2** As in Phase 1.

**Guess** Finally,  $\mathcal{A}_{II}$  outputs a guess  $b' \in \{0, 1\}$ . This same guess is output by  $\mathcal{B}_{II}$ .

A ciphertext is *valid* if it does not lead the simulator to abort in either CBE-game 1 or CBE-game 2 against NewCBE. Valid2 is the event that  $\mathcal{A}_{II}$  ever makes a decryption query  $\langle I1, (\beta, h_2^\beta), com^*, C, tag \rangle$  which is valid where  $I1 = (period_i^*, userinfo^*)$ . We implicitly assume that  $\langle com^*, C, tag \rangle \neq \langle com^*, C^*, tag^* \rangle$ , since it occurs with only negligible probability before the challenge and it is disallowed after it).

Note that the only difference between the real game and the simulated game is when event Valid2 occurs.

**Claim**  $\Pr[\text{Valid2}]$  is negligible.

We omit the proof here since it is again a paraphrase of the proof of Boneh

and Katz, except that now, to answer decryption queries the simulator is going to make second level extraction queries to the challenger instead of extraction queries as in the original proof of [9]. As before, the theorem follows from the preceding claim.

## 9 Conclusion

In this paper we show how to use the techniques of Boneh and Katz in to obtain full security for a CBE scheme. We reduce the problem to building an ExtendedCBE scheme, which seems a reasonable goal. If the result of Water is improved and more practical IBE scheme is proposed, the strategy for constructing a CBE would most probably be the same if the improved scheme made use of BLS signatures and it could extend to a 2-HIBE. (We note that the notion of second level IND-sID-CPA security is weaker than IND-ID-CPA security so it is just necessary to extend the hypothetical new IBE scheme to a 2-HIBE to follow our proof, in case the scheme of Boneh and Boyen [7] could not be used in the second level). Given the previous existing HIBE or IBE schemes, the fact that an improved IBE satisfies these requirements is not an unlikely event at all.

Further, the strategy of our proof can be also used in other settings. For instance, it would yield a fully secure SKIE-OT [4] scheme in the standard model in a straightforward way.

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