## **Factoring Class Polynomials over the Genus Field**

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#### Abstract

Aimed at computer scientists, this *how to* describes a method based on the one of D. Bernardi presented in [M1,  $\S$  6.2.3]. The resulting method, that can be used when the class number is greater than the genus number, is slightly simpler (no linear system to solve) and also more complete (use of a sign matrix allowing to compute all the factors of a class polynomial) than the one proposed by A. Atkin & F. Morain in [AM,  $\S$  7.3].

Though we only consider class polynomials that have factors over the genus field with real coefficients, it is not difficult to adapt the method so that it works when these coefficients are complex.

Primality proving... Cryptography... As soon as we want to build an elliptic curve with a known order over a  $\mathbb{Z}/p$  field by using the so-called *Complex Multiplication*, we have to find a root of a class polynomial. Depending on the degree of this polynomial (and on the size of the modulus p), this operation might be very, very lengthy. More concretely, suppose we have to find a root of  $H_{-12932920}(x)$  (the degree of this polynomial is 832). Suppose now we can compute a factor of degree 13 more quickly than we can compute the whole polynomial H(x) itself... Of course, it would make the task easier, but how to do that?

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### Introduction

Let h(-D) and g(-D), denoted h and g in the sequel, be the class number and the genus number associated with a negative fundamental discriminant -D. The class number h is the number of primitive reduced forms (a, b, c) of discriminant  $-D = b^2 - 4ac$ . The genus number g is the number of genera associated with -D(see, for instance, [C2, pp. 221–230] or [C3, pp. 53–63]).

Our goal is to build the factors of a class polynomial (when, of course, these factors exist, i.e., when the degree of the polynomial is even) over a compositum of quadratic fields called the *genus field* (the genus field is a field extension of  $K = \mathbb{Q}(\sqrt{-D})$  and is denoted  $K_G$  in the sequel). More precisely, we want to obtain

$$H_{-D}(x) = \prod_{i=0}^{g-1} Q_i(x)$$
  
with  $Q_i(x) = \frac{1}{g} \sum_{j=0}^{\frac{h}{g}-1} \left( \sum_{k=0}^{g-1} S_{i,k} B_k M_{k,j} \right) x^j + x^{\frac{h}{g}}$  (1)

where S is a sign matrix (its coefficients are  $\pm 1$ ), B is a basis and M is a coefficient matrix (each of its column vectors consists of the coefficients of an integer of  $K_G$ multiplied by g).

The matrix S and the basis B could have been merged into a single matrix equal to S \* Diag(B). They were not mainly for computational convenience: not only does the representation used minimize the memory needed to store the values, but B is not the same over  $\mathbb{Z}/p$  as it is over  $\mathbb{C}$  whereas S is the same for both cases.

Before going further, let us see the purpose of the sign matrix S with a small (and artificial) example.

Let  $L = \mathbb{Q}(\sqrt{2}, \sqrt{3})$ . *L* is a field extension of  $\mathbb{Q}$  containing all the numbers of the form  $u = a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6}$  with  $a, b, c, d \in \mathbb{Q}$ . By definition, the Galois group  $\operatorname{Gal}(L/\mathbb{Q})$  consists of all the automorphisms  $\sigma: L \to L$  that fix  $\mathbb{Q}$ , i.e., such that  $\sigma(q) = q$  for any  $q \in \mathbb{Q}$ . *L* being a Galois extension (because *L* is the splitting field of the separable polynomial  $(x^2 - 2)(x^2 - 3) \in \mathbb{Q}[x]$  [<sup>1</sup>]), there are exactly  $\dim_{\mathbb{Q}} L = 4$  such automorphisms:

$$\sigma_0$$
, the identity map on *L*,  
 $\sigma_1$ , that takes  $\sqrt{2}$  to  $-\sqrt{2}$ ,  
 $\sigma_2$ , that takes  $\sqrt{3}$  to  $-\sqrt{3}$ , and  
 $\sigma_3$ , equal to  $(\sigma_1 \circ \sigma_2)$ .

<sup>&</sup>lt;sup>1</sup>A polynomial is *separable* if it has distinct roots.

Let us compute the conjugates of u using these  $\sigma_k$ 's. We get

$$\sigma_0(u) = a + b\sqrt{2} + c\sqrt{3} + d\sqrt{6},$$
  

$$\sigma_1(u) = a - b\sqrt{2} + c\sqrt{3} - d\sqrt{6},$$
  

$$\sigma_2(u) = a + b\sqrt{2} - c\sqrt{3} - d\sqrt{6},$$
  

$$\sigma_3(u) = a - b\sqrt{2} - c\sqrt{3} + d\sqrt{6}.$$

In a matrix form, with u = (a, b, c, d), i.e., with u expressed with respect to the basis  $B = (1, \sqrt{2}, \sqrt{3}, \sqrt{6})$ , the previous equalities can be written

$$\begin{pmatrix} \sigma_0(u) \\ \sigma_1(u) \\ \sigma_2(u) \\ \sigma_3(u) \end{pmatrix} = \begin{pmatrix} + & + & + & + \\ + & - & + & - \\ + & + & - & - \\ + & - & - & + \end{pmatrix} * \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \sqrt{2} & 0 & 0 \\ 0 & 0 & \sqrt{3} & 0 \\ 0 & 0 & 0 & \sqrt{6} \end{pmatrix} * \begin{pmatrix} a \\ b \\ c \\ d \end{pmatrix}.$$

The line vectors of the sign matrix consist of all the conjugates of the number (1, 1, 1, 1) expressed with respect to the basis B. These lines represent the field automorphisms of  $L/\mathbb{Q}$  (this representation depends on the basis used) and they allow to compute all of the conjugates of any number  $(a, b, c, d) \in L$ , expressed with respect to B, simply by doing dot products. For instance,

$$\sigma_2(1,-2,3,-4) = (+,+,-,-) \cdot (1,-2,3,-4) = (1,-2,-3,4).$$

In the equation (1), the  $Q_i(x)$ 's are conjugate polynomials over  $K_G$ , i.e., the g coefficients of degree j of the  $Q_i(x)$ 's are the g conjugates of an integer of  $K_G$ . The line vectors of the matrix S represent the field automorphisms of  $K_G/K$  exactly like the sign matrix of the previous example represents the ones of  $L/\mathbb{Q}$  and they allow to get the conjugates of any integer of  $K_G$ , expressed with respect to some basis B, exactly the same way.

In the sequel, the symbol  $\oplus$  indicates the **xor** (bitwise exclusive **or**) operator. For instance,  $5 \oplus 9 = 12$ , i.e.,  $\overline{0101} \oplus \overline{1001} = \overline{1100}$  with a binary representation.

As numeric examples, all along the eight steps (see the contents above) we will use the data obtained with -D = -2184 for which we have h = 24 and g = 8.

### **Step 1: Factoring the discriminant**

A negative discriminant -D is fundamental if D is a positive integer not divisible by the square of an odd prime and if  $D \equiv 3 \pmod{4}$  or  $D \equiv 4,8 \pmod{16}$ .

First of all, we have to compute a small table F containing all the prime factors, possibly signed, of -D. For this operation -1 is regarded as a prime factor. Though this is not the way it is implemented, the factorization is simple: for all q's that are odd prime factors of D, we put  $q^* = (-1)^{(q-1)/2} q$  in F, then we divide -D by the product of all the  $q^*$ 's and the remaining even factor, if any, is divided by 4 and added to F.

For computational convenience, the first part of F, denoted F- in the sequel, contains the negative factors in decreasing order (this part is never empty); the second part contains the positive factors in increasing order.

Algorithm 1.1 (Factoring the discriminant)

```
input
   D, absolute value of a fundamental discriminant (small integer)
outputs
   F, array of factors (small integers)
   g, genus number associated with -D (small integer)
begin
  i \leftarrow 0
   j \leftarrow 0
   if (D \mod 16) = 4 then
      F_0 \leftarrow -1
      i \leftarrow 1
      D \leftarrow D/4
   elseif (D \mod 32) = 8 then
      F_0 \leftarrow -2
      i \leftarrow 1
      D \leftarrow D/8
   elseif (D \mod 32) = 24 then
      T_0 \leftarrow 2 // T is a temporary table
      j \leftarrow 1
      D \leftarrow D/8
   endif
   while D > 1 do // here D is a square-free product of odd primes
      p \leftarrow \text{smallest prime factor of } D
      D \leftarrow D/p
      if (p \mod 4) = 3 then
         F_i \leftarrow -p
         i \leftarrow i + 1
```

```
else

T_j \leftarrow p

j \leftarrow j + 1

endif

endwhile

for k from 0 to j - 1 do F_{i+k} \leftarrow T_k // append T to F

g \leftarrow 2^{i+j-1}

end
```

With -D = -2184, we have -D = -8 \* -3 \* -7 \* 13, so we get g = 8 and F = (-2, -3, -7, 13).

Using F, we can describe the genus field of  $K = \mathbb{Q}(\sqrt{-2184}) = \mathbb{Q}(\sqrt{-546})$ as an extension of  $\mathbb{Q}$ ,  $K_G = \mathbb{Q}(\sqrt{-2}, \sqrt{-3}, \sqrt{-7}, \sqrt{13})$ , as well as an extension of K,  $K_G = K(\sqrt{-3}, \sqrt{-7}, \sqrt{13})$ . In the latter case, there are several possible descriptions. In the sequel, we (implicitly) make use of  $K_G = K(\sqrt{6}, \sqrt{14}, \sqrt{13})$ . The real extensions allow us to build a basis B that has only real parts.

### Step 2: The basis

In order to express the integers of  $K_G$  as tuples of coefficients, we need a basis. For our purposes, this basis consists of the square roots of the g possible positive products  $\prod_{i=0}^{Size(F)-1} F_i^{e_i}$  where the  $e_i$  exponents are in  $\{0, 1\}$ .

The possible values of the exponents suggest to use the binary representation of a small integer to code them. In the algorithm 2.1, we use the local variable x. Since we only want the positive products, we don't take in account the values of x such that  $x \mod 2^{Size(F-)}$  has an odd Hamming weight. With -D = -2184, we have F = (-2, -3, -7, 13), so, for instance, the integer  $x = \overline{1011}$  represents the product  $F_3^1 * F_2^0 * F_1^1 * F_0^1 = 13 * 1 * -3 * -2 = 78$ .

# Algorithm 2.1 (Computing the basis)

```
inputs
   F, factors of -D (array of small integers)
   g, genus number of -D (small integer)
output
   A, dot square of the basis (array[g] of small integers)
begin
  i \leftarrow 0
  for j from 0 to g + g - 1 do
     if the bit parity of (j \mod 2^{Size(F-)}) is even then
         A_i \leftarrow 1
        x \leftarrow j
        k \leftarrow 0
         while x > 0 do
           if odd(x) then A_i \leftarrow A_i * F_k endif
            x \leftarrow x/2
           k \leftarrow k+1
         endwhile
        i \gets i + 1
      endif
   endfor
end
```

With F = (-2, -3, -7, 13), we get A = (1, 6, 14, 21, 13, 78, 182, 273) and the basis is  $B = (1, \sqrt{6}, \sqrt{14}, \sqrt{21}, \sqrt{13}, \sqrt{78}, \sqrt{182}, \sqrt{273})$ .

It is not difficult to check that, with the composition law  $\otimes$  defined as

$$A_i \otimes A_j = \frac{A_i * A_j}{\gcd(A_i, A_j)^2}, \qquad (2)$$

the set of the  $A_k$  values is a group isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^t$  with  $t = \log_2(g)$ . Moreover, due to the one-to-one correspondence between the  $A_k$ 's and the exponents used to build them (these exponents, with the  $\oplus$  operation, are a subgroup of  $(\mathbb{Z}/2\mathbb{Z})^{t+1}$  isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^t$ , A is ordered such that

$$A_i \otimes A_j = A_{i \oplus j} . \tag{3}$$

Since  $A_i * A_j = (A_i \otimes A_j) * gcd(A_i, A_j)^2$  and since  $D \equiv 0 \pmod{A_k}$ , the equality (3) implies

$$\operatorname{Jacobi}(A_i * A_j, n) = \operatorname{Jacobi}(A_{i \oplus j}, n) \tag{4}$$

for any n such that n > 1 and gcd(n, 2D) = 1. These properties will be used at Step 5.

Note that we can build A using the field description  $K_G = K(\sqrt{6}, \sqrt{14}, \sqrt{13})$  as a seed. First, we set  $A_1 = 6$ ,  $A_2 = 14$  and  $A_4 = 13$  (i.e., we set all the  $A_{2i}$ 's), then, so that the equality (3) holds, we use it to compute the other  $A_k$ 's. In the algorithm 2.1, we made use of the exponent trick because, from a computational point of view, this is more efficient (especially when working over  $\mathbb{Z}/p$  fields as we will have to do at Step 8) but both methods are equivalent.

There are many ways to compute the bit parity of an integer (as it is required by the algorithm 2.1). For instance, if this integer is small enough, a lookup table of booleans might be a good choice. In the software *Primo*  $[^2]$  [E1, ellipsa.net], we make use of the following assembler routine

```
function ParityEven(N: Longword):Boolean; register;
asm
    mov edx, eax // edx := N
    shr eax, 16
    xor eax, edx
    xor al, ah
    setpe al // the result is returned in al
end;
```

 $<sup>^{2}</sup>$ *Primo* was the first ECPP implementation that built class polynomials (and factored them over the genus field) *on the fly.* 

### **Step 3: List of primitive reduced forms**

A binary quadratic form is a polynomial  $ax^2 + bxy + cy^2 \in \mathbb{Z}[x, y]$  denoted (a, b, c) for short. Its discriminant is  $-D = b^2 - 4ac$ .

A form is primitive if gcd(a, b, c) = 1. When its discriminant is negative, a form is positive definite if a > 0 and c > 0 and it is reduced if  $|b| \le a \le c$  and if  $b \ge 0$  whenever a = c or a = |b|.

The set of the *h* positive definite, primitive and reduced binary quadratic forms of negative discriminant -D, with a law called *composition of forms* (see [C1, pp. 247–249]), is a commutative group called the *class group* and denoted C(-D) in the sequel. The *principal form* is the identity element of C(-D). A form is *ambiguous* if it is its own inverse. The ambiguous forms are of the types (a, 0, c), (a, a, c) or (a, b, a).

The algorithm 3.1 fills up a list L with (h + g)/2 positive definite, primitive and reduced forms (a, b, c) of discriminant -D. There are g forms of L that are ambiguous. Since we only store a form (a, b, c) and not its inverse (a, -b, c), the remaining (h - g)/2 forms are half of all the non-ambiguous forms. The ordering of the forms in the list L has no importance.

Algorithm 3.1 (Generating the forms)

#### input

*D*, absolute value of a fundamental discriminant (small integer) **outputs** 

g, genus number (small integer) h, class number (small integer) L, list of primitive reduced forms (a, b, c) (small integers)  $b^2 - 4ac = -D$  for each  $L_i$ 

### begin

```
bmax \leftarrow \left\lfloor \sqrt{D/3} \right\rfloor
b \leftarrow D \mod 2
i \leftarrow 0
if b = 0 \text{ then}
q \leftarrow D/4
a \leftarrow 1
s \leftarrow 1
repeat
if (q \mod a) = 0 \text{ then}
L_i \leftarrow (a, 0, q/a)
i \leftarrow i + 1
endif
s \leftarrow s + a
a \leftarrow a + 1
```

```
s \leftarrow s + a / / s = a^2
       until s > q
       b \leftarrow 2
   endif
   g \leftarrow i
   while b \leq bmax \ do
       a \leftarrow b
       s \leftarrow a * a
       q \leftarrow (s+D)/4
       repeat
          if (q \mod a) = 0 then
              c \leftarrow q/a
              L_i \leftarrow (a, b, c)
              i \leftarrow i + 1
              if (a = b) or (a = c) then q \leftarrow q + 1 endif
          endif
          s \leftarrow s + a
          a \leftarrow a + 1
          s \leftarrow s + a / / s = a^2
       until s > q
       b \leftarrow b + 2
   endwhile
   h \leftarrow i + i - g
end
```

With -D = -2184, we obtain h = 24, g = 8, and the list of the table 1.

In our example, the ambiguous forms are all located at the beginning of the list L. This is not always the case with other discriminants. The produced lists being sorted on b, ambiguous forms of the kind a = b or a = c, if any, might be located anywhere.

To generate the primitive reduced forms one can also make use of the algorithm proposed in [P1363, §A.13.2] but note that, instead of fundamental discriminants -D, they make use of "reduced" discriminants -d that are equal to either -D or -D/4. The forms their algorithm produces are not always the same than the ones produced by the algorithm 3.1. With P1363 forms, the discriminant -d is equal to  $b^2 - ac$ , not to  $b^2 - 4ac$ .

It is easy to get one discriminant knowing the other one:

- if  $((d \mod 4) = 1)$  or  $((d \mod 4) = 2)$  then  $D \leftarrow d * 4$  else  $D \leftarrow d$  endif
- if  $(D \mod 4) = 0$  then  $d \leftarrow D/4$  else  $d \leftarrow D$  endif

$L_i$	(a,b,c)
$L_0$	(1, 0, 546)
$L_1$	(2, 0, 273)
$L_2$	(3, 0, 182)
$L_3$	(6, 0, 91)
$L_4$	(7, 0, 78)
$L_5$	(13, 0, 42)
$L_6$	(14, 0, 39)
$L_7$	(21, 0, 26)
$L_8$	(5, 4, 110)
$L_9$	(10, 4, 55)
$L_{10}$	(11, 4, 50)
$L_{11}$	(22, 4, 25)
$L_{12}$	(15, 6, 37)
$L_{13}$	(17, 14, 35)
$L_{14}$	(19, 18, 33)
$L_{15}$	(23, 22, 29)

Table 1: List of forms

### **Step 4: Weighting the genera**

An integer n is represented by a form (a, b, c) if  $ax^2 + bxy + cy^2 = n$  for some integers (x, y).

A genus is a set of forms. The principal genus, denoted  $\mathcal{G}_0(-D)$  (or simply  $\mathcal{G}_0$ ), is a subgroup of  $\mathcal{C}(-D)$  constituted of all the "squares"  $(a, b, c) \circ (a, b, c)$ , i.e., constituted of all the forms composed with themselves. In particular,  $\mathcal{G}_0$  contains the principal form. The other genera are cosets of  $\mathcal{G}_0$ .

Concretely, for each form (a, b, c) of L, we compute an integer n such that n > 1, gcd(n, 2D) = 1 and n is represented by the form (with non-ambiguous forms, we compute n only for (a, b, c) since n is also represented by (a, -b, c) [<sup>3</sup>]). Then we compute  $\Phi(a, b, c) = (\text{Jacobi}(F_i, n))$  ( $\Phi$  is a tuple of Size(F) signs identifying the genus of (a, b, c), see [C3, pp. 53–54]) and we give it a weight w such that  $0 \le w < g$  and  $w(\mathcal{G}_0) = 0$ .

#### Algorithm 4.1 (Weighting the genera)

#### inputs

F, factors of -D (array of small integers) g, genus number of -D (small integer) h, class number of -D (small integer) L, list of (h+q)/2 primitive reduced forms (3-tuples of small integers) output W, weights (array[(h+g)/2]) of small integers) begin for *i* from 0 to (h + q) / 2 - 1 do  $n \leftarrow \text{integer represented by } L_i$  $W_i \leftarrow 0$ for j from Size(F) - 1 downto Size(F-) do  $W_i \leftarrow W_i * 2$ if  $Jacobi(F_i, n) < 0$  then  $W_i \leftarrow W_i + 1$  endif endfor if Size(F-) > 1 then  $u \leftarrow Jacobi(F_0, n)$ for j from Size(F-) - 1 downto 1 do  $W_i \leftarrow W_i * 2$ if  $Jacobi(F_i, n) \neq u$  then  $W_i \leftarrow W_i + 1$  endif endfor endif endfor end

With F = (-2, -3, -7, 13), we obtain the values reported in the table 2.

<sup>&</sup>lt;sup>3</sup>If n is represented by (a, b, c) with (x, y), it is represented by (a, -b, c) with (x, -y) or (-x, y).

$L_i$	(a,b,c)	(x,y)	n	J(-2,n)	J(-3,n)	J(-7,n)	J(13,n)	W
$L_0$	(1, 0, 546)	(1, 1)	547	+	+	+	+	0
$L_1$	(2, 0, 273)	(1,1)	275	+	_	+	_	5
$L_2$	(3, 0, 182)	(1,1)	185	+	_	—	+	3
$L_3$	(6, 0, 91)	(1, 1)	97	+	+	—	—	6
$L_4$	(7, 0, 78)	(1, 1)	85	—	+	+	—	7
$L_5$	(13, 0, 42)	(1,1)	55	—	+	—	+	1
$L_6$	(14, 0, 39)	(1, 1)	53	—	_	+	+	2
$L_7$	(21, 0, 26)	(1, 1)	47	—	—	—	—	4
$L_8$	(5, 4, 110)	(1, 0)	5	—	—	—	—	4
$L_9$	(10, 4, 55)	(0, 1)	55	—	+	—	+	1
$L_{10}$	(11, 4, 50)	(1, 0)	11	+	_	+	—	5
$L_{11}$	(22, 4, 25)	(0,1)	25	+	+	+	+	0
$L_{12}$	(15, 6, 37)	(0, 1)	37	—	+	+	—	7
$L_{13}$	(17, 14, 35)	(1, 0)	17	+		_	+	3
$L_{14}$	(19, 18, 33)	(1, 0)	19	+	+	—	_	6
$L_{15}$	(23, 22, 29)	(1,0)	23	_	_	+	+	2

Table 2: List of weighted forms

In the table 2, we see that each genus (the forms having the same weight w are in the same genus) contains exactly one ambiguous form. This is not always the case with other discriminants. In fact, there is one ambiguous form in each genus if and only if h/g is odd (see [R1, p. 44]).

J(6,n)	J(14,n)	J(13,n)	bit #0	bit #1	bit #2	weight
+	+	+	0	0	0	0
-	+	_	1	0	1	5
_	—	+	1	1	0	3
+	—	_	0	1	1	6
_	—	_	1	1	1	7
_	+	+	1	0	0	1
+	_	+	0	1	0	2
+	+	_	0	0	1	4

#### Table 3: Weights

The table 3 shows how the genera are weighted. In the table 2, the first Jacobi symbol column, which is always associated with a negative  $F_i$ , is combined (dot product) with all other columns associated with negative  $F_i$ 's. If, for some discriminant, there is only one negative  $F_i$ , the first column is simply ignored. Doing so, the J(\*, n)'s of the table 3 header are always  $J(A_{2^0}, n), \ldots, J(A_{2^k}, n), \ldots, J(A_{\frac{g}{2}}, n)$  with the  $A_i$ 's computed at Step 2. Then we replace the value x of each cell by (1 - x)/2 and we get the binary expressions of the weights.

Let  $\mathcal{G}_w$  be the genus of weight w and let  $N_w$  be any n such that gcd(n, 2D) = 1, n > 1 and n is represented by any form of  $\mathcal{G}_w$  (for instance, using the table 2,  $N_3$  could be equal to 185 or to 17). By construction, we have defined the weight w of a genus as being

$$w = \bigoplus_{i=0}^{\log_2(g)-1} \left(\frac{1 - \text{Jacobi}(A_{2^i}, N_w)}{2} * 2^i\right).$$
 (5)

So, we have

$$\operatorname{Jacobi}(A_{2^{i}}, N_{2^{j}}) = \begin{cases} -1, & \text{if } i = j \\ +1, & \text{if } i \neq j \end{cases}$$
(6)

and

$$\operatorname{Jacobi}(A_{2^i}, N_m * N_n) = \operatorname{Jacobi}(A_{2^i}, N_{m \oplus n}).$$
(7)

To show (5)  $\Rightarrow$  (6), it is sufficient to replace w by  $2^j$  in (5). All the terms of the right hand side should be equal to 0 except the one for which i = j.

To show (5)  $\Rightarrow$  (7), one can use the equality  $\frac{(1-\alpha\beta)}{2} = \frac{(1-\alpha)}{2} \oplus \frac{(1-\beta)}{2}$  that always holds with  $(\alpha, \beta) \in \{\pm 1\}^2$ .

Now, since  $\operatorname{Jacobi}(A_i * A_j, N_k) = \operatorname{Jacobi}(A_{i \oplus j}, N_k)$  (see Step 2) and since for any integer *i* such that  $0 \leq i < 2^t$ ,  $i = \bigoplus_{s=0}^{t-1} (\alpha_s * 2^s)$  with  $\alpha_s \in \{0, 1\}$  $(\overline{\alpha_{t-1} \dots \alpha_1 \alpha_0}$  is simply the binary representation of *i*), we have

$$\operatorname{Jacobi}(A_i, N_m * N_n) = \operatorname{Jacobi}(A_i, N_{m \oplus n})$$
(8)

for any i in 0..g - 1 (and not only for i equal to a power of 2 less than g). All these properties will be used at Step 5.

Note that, in order to obtain the  $\Phi$  tuples, by using the *Kronecker symbol* (see [C1, pp. 28–30] for a description of this symbol) instead of the Jacobi symbol in the algorithm 4.1, we could avoid to compute the integers *n* represented by the forms since, for any *i* such that  $0 \le i < \text{Size}(F)$ ,

$$\Phi_{F_i}(a, b, c) = \begin{cases} \operatorname{Kronecker}(F_i, a), & \text{if} \begin{cases} ((F_i = -1) \text{ and } (a \text{ is odd})) \\ \operatorname{or} \\ (a \neq 0 \pmod{F_i}) \end{cases} \\ \operatorname{Kronecker}(F_i, c), & \text{if} \begin{cases} ((F_i = -1) \text{ and } (c \text{ is odd})) \\ \operatorname{or} \\ (c \neq 0 \pmod{F_i}) \end{cases} \end{cases}$$

The form (a, b, c) being primitive, if  $F_i = -1$ , a and c cannot be both even, and, if  $F_i \neq -1$ , a and c cannot be both divisible by  $F_i$ .

### **Step 5: The sign matrix**

Let U = (1, 1, ..., 1) be an integer of  $K_G$  expressed with respect to the basis B, then the line vector of the matrix S associated with the genus  $\mathcal{G}_k$  is

$$\sigma_k(U) = (\operatorname{Jacobi}(A_0, N_k), \operatorname{Jacobi}(A_1, N_k), \dots, \operatorname{Jacobi}(A_{q-1}, N_k)) [^4]$$

(the  $\sigma_k$ 's are the field automorphisms of  $K_G/K$ ), so, building the matrix S is straightforward. We set the table 4 using our example -D = -2184. The most left column of the table contains the weights w. The four next columns reproduce the  $\Phi$  tuples obtained at Step 4 for each genus  $\mathcal{G}_w$ . The sign matrix (the 8 \* 8 matrix on the right of the table) was obtained by means of dot products. For instance, the column  $A_5 = 78 = -2 * -3 * 13$  is simply the product of the columns -2, -3 and 13.

w	-2	-3	-7	13	1	6	14	21	13	78	182	273
0	+	+	+	+	+	+	+	+	+	+	+	+
1	-	+	_	+	+	_	+	_	+	_	+	_
2	-	-	+	+	+	+	_	_	+	+	-	_
3	+	_	_	+	+	_	_	+	+	_	_	+
4	-	-	—	—	+	+	+	+	—	—	—	—
5	+	-	+	—	+	-	+	_	-	+	—	+
6	+	+	_	—	+	+	—	_	_	_	+	+
7	-	+	+	_	+	_	_	+	_	+	+	_

#### Table 4: Sign matrix

The sign matrix has an important property:  $g * S^{-1} = {}^{t}S$  (the transposed of S). 1. Since the lines of S represent the conjugates of U = (1, 1, ..., 1) in  $K_G$ , their sum is equal to  $\operatorname{Trace}(U)_{K_G/K} = (g, 0, ..., 0)$ . 2.  $\operatorname{Jacobi}(A_i * A_j, N_w) = \operatorname{Jacobi}(A_{i \oplus j}, N_w)$  (see Step 2). So, if  $c_{i,j}$  is a cell of  ${}^{t}S * S$ , we have

$$c_{i,j} = \sum_{k=0}^{g-1} {}^{t}S_{i,k} * S_{k,j} = \sum_{k=0}^{g-1} S_{k,i} * S_{k,j} = \sum_{k=0}^{g-1} \operatorname{Jacobi}(A_i * A_j, N_k)$$
$$= \sum_{k=0}^{g-1} \operatorname{Jacobi}(A_{i \oplus j}, N_k) = \begin{cases} g, & \text{if } i = j. \\ 0, & \text{if } i \neq j. \end{cases}$$

Thus  ${}^{t}S * S = g * I_{g}$ .

<sup>&</sup>lt;sup>4</sup>This can be shown using the so-called Artin symbol.

The main consequence of the previous equality is that we have no matrix to inverse. The inverse we will use at Step 7 is given for free. As claimed in the abstract, there is no linear system to solve. It is already solved.

At this point, we could build S using the  $\Phi$  tuples as explained above but we can do a little better. With our orderings on the weights and on the  $A_k$ 's [<sup>5</sup>], the sign matrix we get is particularly nice: it is symmetric, it depends only on g and it can be recursively built for any q starting with  $S^{(1)} = (+)$ .

In order to prove the previous claim, it is sufficient to show that, with  $0 \le i < 2^k$ and  $0 \le j < 2^k$ , we always have  $S_{i, j+2^k} = S_{i+2^k, j} = S_{i, j}$  and  $S_{i+2^k, j+2^k} = -S_{i, j}$ .

Identifying  $S_{r,s}$  with  $\text{Jacobi}(A_s, N_r)$  and using the equations (4) and (8), it comes

- $S_{r,s\oplus t} = \operatorname{Jacobi}(A_{s\oplus t}, N_r) = \operatorname{Jacobi}(A_s * A_t, N_r) = S_{r,s} * S_{r,t},$   $S_{r\oplus s,t} = \operatorname{Jacobi}(A_t, N_{r\oplus s}) = \operatorname{Jacobi}(A_t, N_r * N_s) = S_{r,t} * S_{s,t}.$

Since  $(0 \le a < 2^b) \Rightarrow (a = \bigoplus_{n=0}^{b-1} (\alpha_n * 2^n)$  with  $\alpha_n \in \{0,1\}$ ), using the equations (4), (6) and (8), we get

- $S_{i,2^k}$  =  $\operatorname{Jacobi}(A_{2^k}, N_i)$  =  $\prod_{n=0}^{k-1} \operatorname{Jacobi}(A_{2^k}, N_{\alpha_n * 2^n})$  = 1,  $S_{2^k,j}$  =  $\operatorname{Jacobi}(A_j, N_{2^k})$  =  $\prod_{n=0}^{k-1} \operatorname{Jacobi}(A_{\alpha_n * 2^n}, N_{2^k})$  = 1,  $S_{2^k,2^k}$  =  $\operatorname{Jacobi}(A_{2^k}, N_{2^k})$  = -1.

Now, since  $(0 \le a < 2^b) \Rightarrow (a + 2^b = a \oplus 2^b)$ , with the previous results, we finally get

So, assuming the table A is built as explained at Step 2 and assuming the genera are weighted as indicated at Step 4, for any discriminant -D, the sign matrix can be built with

$$S^{(2m)} = \left( egin{array}{cc} S^{(m)} & S^{(m)} \ S^{(m)} & -S^{(m)} \end{array} 
ight) \quad ext{and} \quad S^{(1)} = (+).$$

Since we are interested in  $S^{(8)}$  (for -D = -2184), here it is

$$S^{(8)} = \begin{pmatrix} S^{(4)} & S^{(4)} \\ S^{(4)} & -S^{(4)} \end{pmatrix} = \begin{pmatrix} S^{(2)} & S^{(2)} & S^{(2)} \\ S^{(2)} & -S^{(2)} & S^{(2)} & -S^{(2)} \\ S^{(2)} & S^{(2)} & -S^{(2)} & -S^{(2)} \\ S^{(2)} & -S^{(2)} & -S^{(2)} & S^{(2)} \end{pmatrix} =$$

<sup>&</sup>lt;sup>5</sup>These orderings are possible because the group of the  $\sigma_k$  automorphisms, i.e., the Galois group  $\operatorname{Gal}(K_G/K)$ , is isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^t$ .

	( +	+	+	+	+	+	+	$+ \rangle$
	$\begin{pmatrix} + \\ + \end{pmatrix}$	—	+	—	+	—	+	_
	+	+	_	_	+	+	_	_
_	+	—	- +	+	+	—	—	+
_	+	+	+	+	—	—	—	—
	+	_	+	_	_	+	_	+
	+	+	_	_	_	_	+	+
	+	_	_	+	_	+	+	_ /

Of course, the 8 \* 8 matrix is equal to the sign matrix of the table 4.

```
Algorithm 5.1 (Computing the sign matrix)
input
   g, genus number (small integer)
output
   S, sign matrix (array[g,g] of small integers (\pm 1))
begin
   S_{0,0} \leftarrow 1
   k \leftarrow 1
   while k < g do
      for i from 0 to k-1 do
         for j from 0 to k - 1 do
            S_{i+k,j} \leftarrow S_{i,j}
           S_{i,j+k} \leftarrow S_{i,j}S_{i+k,j+k} \leftarrow -S_{i,j}
         endfor
      endfor
      k \gets k * 2
   endwhile
end
```

Note that  $S_{i,j} = (-1)^{W(i,j)}$  where W(i,j) is the Hamming weight of  $(i \wedge j)$  [<sup>6</sup>]. It is not difficult to show it since, with  $0 \leq i < 2^k$  and  $0 \leq j < 2^k$ , we always have

•  $W(i+2^k,j) = W(i,j+2^k) = W(i,j),$ •  $W(i+2^k,j+2^k) = W(i,j) + 1.$ 

<sup>&</sup>lt;sup>6</sup>The symbol  $\wedge$  indicates the bitwise **and** operator.

### **Step 6: Building the factors (floating point approximations)**

There are no ways to know in advance the exact precision required in order to compute the floating point approximations of the roots of a class polynomial  $H_{-D}(x)$ but, fortunately, there are rules to (over)estimate it. Concerning the invariants described in [P1363, §A.13.3], we use the rules proposed in [KSZ, §4]. Since we are not computing the whole class polynomial but only factors, we can lower the precision and we use half the value found with their rules [<sup>7</sup>].

$L_i$	(a,b,c)	Associated root
$L_0$	(1, 0, 546)	$+33012526.575181343490717679407\ldots$
$L_{11}$	$(22, \pm 4, 25)$	$+0.078563664817619751654349053359\ldots$
		$\pm 0.53094269739398157019503618033\ldots i$

#### Table 5: Roots

We compute the roots [<sup>8</sup>] associated with all the forms of L. Then, we build g polynomials of degree h/g by regrouping the roots according to the genus of their associated forms. These polynomials, that always have real coefficients [<sup>9</sup>], are stored in a matrix T[0..g-1, 0..h/g-1]. We don't store the leading coefficients of the polynomials since they are always equal to 1. In the matrix T, the polynomial T[k, ...] is simply the polynomial built with the roots associated with the forms belonging to  $\mathcal{G}_k$  (the genus of weight k). For instance, with -D = -2184, using the roots associated with the 3 forms of  $\mathcal{G}_0$  (see Table 5), we get

 $T_0(x) = T_{0,0} + T_{0,1} x + T_{0,2} x^2 + x^3$ 

where

 $T_{0,0} = -9509997.6729469079588896213936...$   $T_{0,1} = +5187170.4333430205234837861004...$  $T_{0,2} = -33012526.732308673125957182715...$ 

<sup>&</sup>lt;sup>7</sup>As a matter of fact, most of the time, we could lower it much more than that but it remains to find a rule depending on -D, on the invariant used, on g and on h/g, and that yields a correct precision for any discriminant.

<sup>&</sup>lt;sup>8</sup>For our example, we made use of the P1363 class invariant.

<sup>&</sup>lt;sup>9</sup>Assuming that, like here, we use a class invariant such that two conjugate roots are associated with two forms belonging to a same genus. With, for instance, the double  $\eta$  invariants of A. Enge and R. Schertz, this is not always the case.

### **Step 7: The coefficient matrix**

When expressed with respect to a basis B as computed at Step 2, the integers of  $K_G$  have coefficients [<sup>10</sup>] that are not in  $\mathbb{Z}$  but in  $(\frac{1}{g})\mathbb{Z}$ , so we can write them as  $\frac{1}{g}\sum_{i=0}^{g-1}a_iB_i$  where the  $a_i$ 's are in  $\mathbb{Z}$ . It is the reason why there is a  $\frac{1}{g}$  factor in the equation (1). The column vectors of M are coefficients of integers of  $K_G$  multiplied by g so that they are integers and not fractions.

Identifying the coefficients (except the leading ones) of the factors  $Q_i(x)$  with the matrix T computed at Step 6, let us rewrite the equation (1) in a matrix form. It comes

$$\frac{1}{g} * S * \operatorname{Diag}(B) * M = T$$
$$\frac{1}{g} * M = \operatorname{Diag}(B)^{-1} * S^{-1} * T$$
$$M = \operatorname{Diag}(B)^{-1} * {}^{t}S * T \quad (\text{using } g * S^{-1} = {}^{t}S)$$

and, since we are working with floating point approximations and not with exact values, we finally get

$$M_{i,j} = \operatorname{Round}\left(\frac{1}{B_i}\sum_{k=0}^{g-1}S_{k,i}T_{k,j}\right)$$

Algorithm 7.1 (Computing the matrix M)

inputs

C, basis over  $\mathbb{C}$  (inversed, i.e.,  $C_i = 1/\sqrt{A_i}$ ) (array[g] of big reals)

g, genus number (small integer)

- h, class number (small integer)
- S, sign matrix (array[g,g] of small integers  $(\pm 1)$ )
- T, matrix computed at Step 6 (array[g,h/g] of big reals)

### output

*M*, coefficient matrix (array[g,h/g] of big integers)

begin

```
for i from 0 to g - 1 do
for j from 0 to h/g - 1 do
x \leftarrow T_{0,j} // we know that S_{0,i} = 1
for k from 1 to g - 1 do x \leftarrow x + S_{k,i} * T_{k,j} endfor
M_{i,j} \leftarrow \text{Round}(x * C_i)
endfor
endfor
```

end

<sup>&</sup>lt;sup>10</sup>The  $Q_i(x)$  polynomials having real coefficients, we only need the real integers of  $K_G$ , so, since the basis B has no imaginary parts, the coefficients of these integers are in  $K \cap \mathbb{R}$ , i.e., they are real.

Of course, all the operations with the floating point numbers x and  $C_i$ 's should be done using the precision found at Step 6.

With our example, -D = -2184, we get a matrix M equal to

/ -9509688	5187192	-33012360
-3882456	2117808	-13477368
-2541664	1386432	-8823008
-2075184	1131936	-7203888
-2637584	1438560	-9155984
-1076832	587328	-3737952
-704952	384496	-2447064
-575568	313920	-1998000 /

Here, even if we did not multiply (implicitly) the coefficients by g, they would have been integers since they are all divisible by g = 8 but this is not always the case with other discriminants.

At this point, we have all we need in order to express the  $Q_i(x)$ 's of (1). For instance

$$Q_4(x) = \frac{1}{8} \sum_{j=0}^{2} \left( \sum_{k=0}^{7} S_{4,k} B_k M_{k,j} \right) x^j + x^3$$
$$= Q_{4,0} + Q_{4,1} x + Q_{4,2} x^2 + x^3$$

where

$$\begin{aligned} Q_{4,0} &= -1188711 - 485307\sqrt{6} - 317708\sqrt{14} - 259398\sqrt{21} \\ &+ 329698\sqrt{13} + 134604\sqrt{78} + 88119\sqrt{182} + 71946\sqrt{273} \\ Q_{4,1} &= + 648399 + 264728\sqrt{6} + 173304\sqrt{14} + 141492\sqrt{21} \\ &- 179820\sqrt{13} - 73416\sqrt{78} - 48062\sqrt{182} - 39240\sqrt{273} \\ Q_{4,2} &= - 4126545 - 1684671\sqrt{6} - 1102876\sqrt{14} - 900486\sqrt{21} \\ &+ 1144498\sqrt{13} + 467244\sqrt{78} + 305883\sqrt{182} + 249750\sqrt{273} \end{aligned}$$

## **Step 8: Working over** $\mathbb{Z}/p$ fields

The factorization (1) is also valid over any  $\mathbb{Z}/p$  field assuming p is an odd prime such that gcd(p, D) = 1 and  $4p = x^2 + Dy^2$  for some  $(x, y) \in \mathbb{Z}^2$ . In the sequel, to go on with our example -D = -2184, we will use the prime  $p = \frac{1418446^2 + 2184 * 809283^2}{2} = 358099677116323$ .

Computing the basis over  $\mathbb{Z}/p$  is not as straightforward as computing it over  $\mathbb{C}$ . We have to count the number of negative factors, except  $F_0$ , used for each  $B_i$  and to apply the rule  $\sqrt{-1} * \sqrt{-1} = -1$  in order to select the right root.

```
Algorithm 8.1 (Computing the basis over \mathbb{Z}/p)
input
   F, factors of -D (array of small integers)
   g, genus number of -D (small integer)
   p, odd prime such that 4p = x^2 + Dy^2 for some (x, y) \in \mathbb{Z}^2 (big integer)
output
   B, basis over \mathbb{Z}/p (array[g] of big integers)
begin
   for i from 0 to Size(F) - 1 do
      R_i \leftarrow \text{Sqrt}(F_i) \mod p // any of the 2 possible roots is ok
   endfor
   i \leftarrow 0
   for j from 0 to g + g - 1 do
      if the bit parity of (j \mod 2^{Size(F-)}) is even then
         B_i \leftarrow 1
         x \leftarrow j
         k \leftarrow 0
         n \leftarrow 0
         while x > 0 do
            if odd(x) then
               B_i \leftarrow (B_i * R_k) \mod p
               // count the negative factors but F_0
               if (k > 0) and (k < Size(F-)) then n \leftarrow n+1 endif
            endif
            x \leftarrow x/2
            k \leftarrow k+1
         endwhile
         if odd(n/2) then B_i \leftarrow p - B_i endif // "negate" the root
         i \leftarrow i + 1
      endif
   endfor
end
```

With -D = -2184 and p = 358099677116323, we obtain the basis  $B_0 = 1$   $B_1 = 138579447850272$   $B_2 = 195858486873162$   $B_3 = 206988590703680$   $B_4 = 345798145618681$   $B_5 = 203082986192536$   $B_6 = 316722244248718$  $B_7 = 289064347795142$ 

At Step 7, we implicitly multiplied the coefficients of the matrix M by g. We can now cancel this operation, done in order to get integers and not fractions, by dividing the coefficients of the basis by g modulo p (we divide the coefficients of the basis and not the ones of the matrix M simply because this is generally faster).

# **Algorithm 8.2** (Dividing the basis by $g \mod p$ )

inputs *B*, basis over  $\mathbb{Z}/p$  (array[g] of big integers) g, genus number of -D (small integer) p, odd prime (big integer) output *B*, basis over  $\mathbb{Z}/p$  divided by *g* modulo *p* (array[*g*] of big integers) begin  $k \leftarrow g$ while k > 1 do for i from 0 to g - 1 do if  $odd(B_i)$  then  $B_i \leftarrow B_i + p$  endif  $B_i \leftarrow B_i/2$ endfor  $k \leftarrow k/2$ endwhile end With -D = -2184 and p = 358099677116323, we obtain  $B_0 = 223812298197702$  $B_1 = 17322430981284$  $B_2 = 114007230138226$  $B_3 = 25873573837960$  $B_4 = 267037066400037$  $B_5 = 25385373274067$  $B_6 = 308165038368332$ 

```
B_7 = 304707801311635
```

At last!

Algorithm 8.3 (Computing one factor of  $H_{-D}(x)$  over  $\mathbb{Z}/p$ ) inputs B, basis over  $\mathbb{Z}/p$  divided by q modulo p (array of big integers) g, genus number of -D (small integer) h, class number of -D (small integer) *i*, index of the wished factor (small integer in 0..q - 1) *M*, coefficient matrix (array[q,h/q]) of big integers) p, odd prime such that  $4p = x^2 + Dy^2$  for some  $(x, y) \in \mathbb{Z}^2$  (big integer) S, sign matrix (array[g,g] of small integers  $(\pm 1)$ ) output Q, polynomial of degree h/g, factor of  $H_{-D}(x)$  over  $\mathbb{Z}/p$ begin  $Q_{h/g} \leftarrow 1$ for j from 0 to h/g - 1 do  $Q_i \leftarrow B_0 * M_{0,i}$  // we know that  $S_{i,0} = 1$ for k from 1 to g - 1 do  $Q_j \leftarrow Q_j + S_{i,k} * B_k * M_{k,j}$  endfor  $Q_j \leftarrow Q_j \mod p$ endfor end

Called with *i* running through 0..7, the algorithm 8.3 produces the following  $Q_i(x)$  polynomials modulo p

 $\begin{array}{l} Q_0(x) = x^3 + 349411664140631\,x^2 + 236118815942277\,x + 121688504601529\\ Q_1(x) = x^3 + 168320784679033\,x^2 + 99689071127264\,x + 274269421593516\\ Q_2(x) = x^3 + 280369563518210\,x^2 + 206498150577522\,x + 114371282890567\\ Q_3(x) = x^3 + 43259257063184\,x^2 + 213239562466426\,x + 19424900783462\\ Q_4(x) = x^3 + 142239847045079\,x^2 + 70353977608422\,x + 104800940627475\\ Q_5(x) = x^3 + 337091300899581\,x^2 + 128594135500790\,x + 251124699723139\\ Q_6(x) = x^3 + 221712819911823\,x^2 + 91086453164646\,x + 217088197522536\\ Q_7(x) = x^3 + 248093115311714\,x^2 + 28718870148814\,x + 329630751213380 \end{array}$ 

And each of these 8 polynomials is a factor, over  $\mathbb{Z}/p$ , of

$$\begin{split} H_{-2184}(x) &= x^{24} - 33012360 \, x^{23} - 5499066444 \, x^{22} - 38191097592 \, x^{21} \\ &- 860945475774 \, x^{20} + 2860345968552 \, x^{19} + 7390791596004 \, x^{18} \\ &+ 18071068156632 \, x^{17} + 49152082910703 \, x^{16} + 73526500711728 \, x^{15} \\ &+ 80616276081768 \, x^{14} + 104922626382288 \, x^{13} + 137712813694364 \, x^{12} \\ &+ 104922626382288 \, x^{11} + 80616276081768 \, x^{10} + 73526500711728 \, x^{9} \\ &+ 49152082910703 \, x^{8} + 18071068156632 \, x^{7} + 7390791596004 \, x^{6} \\ &+ 2860345968552 \, x^{5} - 860945475774 \, x^{4} - 38191097592 \, x^{3} \\ &- 5499066444 \, x^{2} - 33012360 \, x + 1 \end{split}$$

Of course, when only one factor of  $H_{-D}(x)$  is needed (for instance, in the context of an ECPP implementation), by merging the algorithms 7.1 and 8.3, we compute and make use of the coefficients of the matrix M without storing them. Note that, when g > h/g, it is better to divide by g not the basis but the coefficients (up to the degree h/g - 1) of the returned polynomial.

**Algorithm 8.4** (Computing a factor of  $H_{-D}(x)$  over  $\mathbb{Z}/p$  without matrix M) inputs

*B*, basis over  $\mathbb{Z}/p$  divided by *g* modulo *p* (array of big integers) C, basis over  $\mathbb{C}$  (inversed, i.e.,  $C_i = 1/\sqrt{A_i}$ ) (array of big reals) g, genus number of -D (small integer) h, class number of -D (small integer) p, odd prime such that  $4p = x^2 + Dy^2$  for some  $(x, y) \in \mathbb{Z}^2$  (big integer) S, sign matrix (array[g,g] of small integers  $(\pm 1)$ ) T, matrix computed at Step 6 (array[g,h/g] of big reals) output Q, polynomial of degree h/g, factor of  $H_{-D}(x)$  over  $\mathbb{Z}/p$ begin  $Q_{h/g} \leftarrow 1$ for j from 0 to h/g - 1 do  $Q_i \leftarrow 0$ for i from 0 to g - 1 do  $x \leftarrow T_{0,j}$  // we know that  $S_{0,i} = 1$ for k from 1 to g - 1 do  $x \leftarrow x + S_{k,i} * T_{k,j}$  end for  $Q_i \leftarrow Q_i + B_i * \text{Round}(x * C_i)$ endfor  $Q_j \leftarrow Q_j \bmod p$ endfor

end

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### Acknowledgments

We are grateful to Michael Scott for his helpful comments on a preliminary version of this *how to*.

Draft 0.8 First publication (draft 0.1) February 20, 2006 Copyright © 2006, Marcel Martin