The Design and Analysis of a Hash Ring-iterative Structure

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Abstract

This paper proposes a new type of hash iterative structure ─ the ring-iterative structure with feedback which is subdivided into the single feedback ring iteration and the multiple feedback ring iteration, namely SFRI and MFRI. The authors prove that the security of the MFRI structure is greater than that of the classical MD iterative structure, analyze the resistance of MFRI, which is from the joint event and endless loop of message modification and the incompatibility of the sufficient conditions, to the multi-block differential collision attack, and argue the ineffectiveness of the D-way second preimage attack on MFRI. The paper discusses the time and space expenses of MFRI, and points out the advantage of MFRI over the tree-iterative structure and the zipper-iterative structure.

Keywords: Digital signature, Hash function, Security, Iterative structure, Compression function.

1 Introduction

It is well known that hash functions are predominantly employed for digital signature, data integrity or message authentication code. The security of hash functions is the foundation of security of digital signature.

At present, the hash functions used widely ─ MD5, SHA-0, and SHA-1 [1] for example all adopt the Merkle-Damgård (MD) iterative structure [2][3]. The design principle of this structure is that if there does not exist a computationally collision-free function h mapping a message of arbitrary polynomial length to a k-bit string, then there does not exist a computationally collision-free function f from m bits to k bits, where $k < m$ [2]. Thereby, it has been universally thought that the problem of designing a collision-resistant hash function may be reduced to the problem of designing a collision-resistant compression function, namely iterative function.

However, the multi-block differential collision attack on MD5 SHA-0 and SHA-1 [4][5][6] indicates that a collision-resistant compression function is not a sufficient condition of a collision- resistant hash function, but only a necessary condition[7]. It means that a secure and collision- resistant hash function will be based not only on a collision-resistant compression function, but also on a collision-resistant iteration structure.

Section 2 of this paper designs a new type of hash iteration structure, which is partitioned into the single feedback ring iteration and multiple feedback ring iteration, namely SFRI and MFRI. Section 3 proves that the MFRI structure is more secure than the MD iterative structure, analyzes the security of MFRI against the multi-block differential collision attack and the D-way second preimage attack. Section 4 makes the performance analyses of the MFRI structure in time and space expenses.

2　Design of Hash Ring-iterative Structures

2.1 Single Feedback Ring Iteration

Assume that a message to be hashed is X of l-bit length, and X is partition into n m-bit blocks $X_1, X_2, \ldots, X_n$, where $n = l / m$ and l is exactly divided by m, that is, the padding problem is neglected by us, which does not influence our discussion.

Let IV be the initial value of the chaining variable, f be a compression function, every iterative output be Y_i of k-bit length, where $k \leq m$, and D be the last iterative output, namely the message digest.

For the MD iterative structure, there are $Y_0 = IV$, $Y_i = f(Y_{i-1}, X_i)$, and $D = Y_n$.

The single feedback ring iteration, shortly SFRI, is a simple structure. It feeds back the reverse code of the MD iterative output Y_n into iterative box 1, sends the second output of iterative box 1 to iterative box n, and generates the message digest D last. See Figure 1.
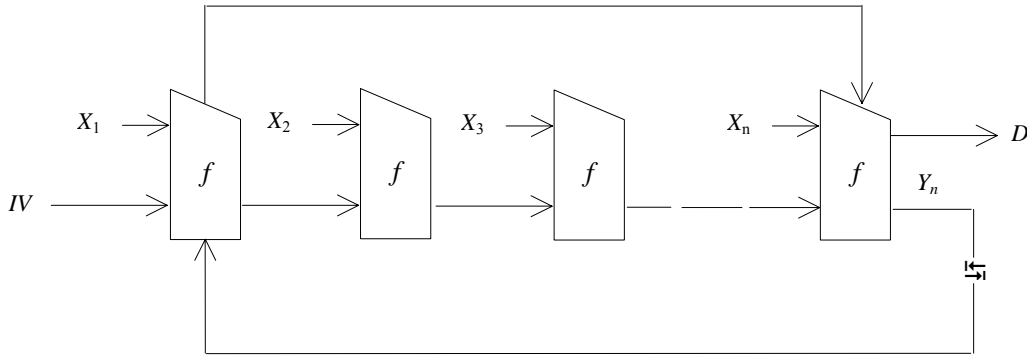

Figure 1: The Single Feedback Ring-iterative Structure

According to the above diagram, we define $X_{n+1} = X_1$, $X_{n+2} = X_n$, and the message digest $D = f(X_{n+2}, f(X_{n+1}, \leftrightarrows Y_n))$.

Notice that sign '$\leftrightarrows$' denotes reversal operation, that is, the bits of a variable are rearranged in reverse order. For example, the reverse code of '100110' is '011001'.

2.2 Multiple Feedback Ring Iteration

The multiple feedback ring iteration, shortly MFRI, is a comparatively complex structure. It feeds back the modular sum of reverse codes of all iterative outputs into iterative box 1, sends the second output of iterative box 1 to iterative box n, and generates the message digest D last. See the following Figure 2.
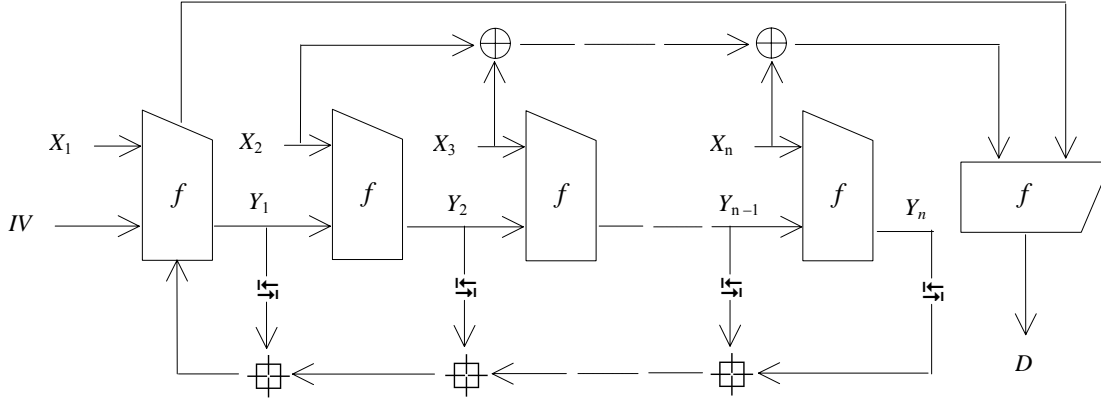

Figure 2: The Multiple Feedback Ring-iterative Structure

According to the above diagram, we define $X_{n+1} = X_1$, $X_{n+2} = X_2 \oplus X_3 \oplus \dots \oplus X_n$, and the message digest $D = f(X_{n+2}, f(X_{n+1}, \overleftarrow{Y_1} \oplus \overleftarrow{Y_2} \oplus \dots \oplus \overleftarrow{Y_n}))$, where sign '$\oplus$' denotes modular addition operation.

Herein, we substitute $X_{n+2} = X_2 \oplus X_3 \oplus \dots \oplus X_n$ for $X_{n+2} = X_n$ in the SFRI structure to make the last D depend relatively uniformly on X_1, X_2, …, and X_n. $(X_2 \oplus X_3 \oplus \dots \oplus X_n)$ may be regarded as a feedforward.

Remark: the single feedback ring-iterative structure is dominantly used to assist in proving the following theorem 1. In practical applications, we should employ the multiple feedback ring-iterative structure.

3   Security Analysis of Ring-iterative Structures

3.1 More Secure than the MD structure

Assume that f is a compression function. It combines with any iteration structure to construct a one-way hash function H.

[**Definition 1**] For a given message M, if we can not find a message M' such that $M' \neq M$ and $H(M') = H(M)$ in polynomial time, then H is called weakly collision-free.

[**Definition 2**] If we can not find any two messages M and M' satisfying $M' \neq M$ and $H(M') = H(M)$ in polynomial time, then H is called strongly collision-free.

Obviously, if a hash function is strongly collision-free, then it must be weakly collision-free.

[***Lemma* 1**] The SFRI structure is equivalent to the MD structure in security.

Proof:

Suppose that f combines with the MD structure to construct the hash function H_1, and f does with the SFRI structure to construct the hash function H_2.

First, suppose that H_1 is strongly collision-free, and we need to infer that H_2 is also strongly collision-free.

Presume that H_2 is not strongly collision-free. Namely can find the two messages M and M' satisfying $M \neq M'$ and $H_2(M) = H_2(M')$ in polynomial time.

Suppose that M is exactly partitioned into n m-bit blocks, and M' is exactly partitioned into n' m-bit blocks.

Then, in terms of the SFRI structure, there are

$$H_2(M) = f(X_n, f(X_1, \circlearrowleft Y_n)), \text{ and } H_2(M') = f(X'_{n'}, f(X'_1, \circlearrowleft Y'_{n'})),$$

where $Y_n = H_1(M)$, and $Y_{n'} = H_1(M')$.

Let $M_1 = M \$ X_1 \$ X_n$, and $M'_1 = M' \$ X'_1 \$ X'_{n'}$, where '$\$$' represents the concatenation of strings. Then

$$H_2(M) = H_1(M_1), \text{ and } H_2(M') = H_1(M'_1).$$

Thereby, can find the two messages M_1, M'_1 meeting $M_1 \neq M'_1$ and $H_1(M_1) = H_1(M'_1)$ in polynomial time. This is contrary to the presupposition, so H_2 is also strongly collision-free.

Secondly, suppose that H_2 is strongly collision-free, and we need to infer that H_1 is also strongly collision-free.

According to reference [5] and [6], define the message block differential as $\Delta X_i = X'_i - X_i$, and the iterative output differential as $\Delta Y_i = Y'_i - Y_i$.

In the SFRI structure, the collision differential characteristics will be $\Delta X_1, \Delta X_2, \ldots, \Delta X_n, \Delta X_{n+1}, \Delta X_{n+2}$ and $0 = \Delta Y_0, \Delta Y_1, \Delta Y_2, \ldots, \Delta Y_n, \Delta Y_{n+1}, \Delta Y_{n+2} = 0$.

Notice that $\Delta X_{n+1} = X'_1 - X_1$ and $\Delta X_{n+2} = X'_n - X_n$. Thus, $\Delta X_1 = \Delta X_{n+1}$ and $\Delta X_n = \Delta X_{n+2}$.

If the attackers set $\Delta X_1 = \Delta X_n = \Delta Y_n = 0$, then the attack on SFRI degenerates to the attack on the MD structure.

Thereby, if H_1 is not strongly collision-free, H_2 is not strongly collision-free. This is contrary to the presupposition, and so H_1 is strongly collision-free.

In summary, we prove lemma 1.

[***Theorem* 1**] The MFRI structure is more secure than the MD structure.

Proof:

If we can find out $X_1, X_2, \ldots, X_n$ such that $(\circlearrowleft Y_1 \oplus \circlearrowleft Y_2 \oplus \ldots \oplus \circlearrowleft Y_n) = \circlearrowleft Y_n$ and $(X_2 \oplus X_3 \oplus \ldots \oplus X_n) = X_n$, namely $(\circlearrowleft Y_1 \oplus \circlearrowleft Y_2 \oplus \ldots \oplus \circlearrowleft Y_{n-1}) = u2^k$ and $(X_2 \oplus X_3 \oplus \ldots \oplus X_{n-1}) = 0$ in polynomial time, the MFRI structure will be reduced to the SFRI structure. Can such $X_1, X_2, \ldots, X_n$ be found? Let $u = 1$, and consider the following simultaneous equations:

$$\begin{cases} Y_1 = f(IV, X_1) \\ Y_2 = f(Y_1, X_2) \\ \ldots\ldots \\ Y_{n-1} = f(Y_{n-2}, X_{n-1}) \\ (X_2 \oplus X_3 \oplus \ldots \oplus X_{n-1}) = 0 \\ (\rightleftarrows_i Y_1 \oplus \rightleftarrows_i Y_2 \oplus \ldots \oplus \rightleftarrows_i Y_{n-1}) = 2^k \end{cases}$$

Transparently, this equation system contains only two equations substantially, and has $n-1$ variables X_1, X_2, …, X_{n-1}. If assume that the values of X_1, X_2, …, X_{n-3}, then need to seek X_{n-2} and X_{n-1}.

X_{n-2} can be described with X_{n-1} according to $(X_2 \oplus X_3 \oplus \ldots \oplus X_{n-1}) = 0$. Substitution for Y_1, Y_2, …, Y_{n-1} yields

$$(\rightleftarrows_i f(IV, X_1) \oplus \rightleftarrows_i f(Y_1, X_2) \oplus \ldots \oplus \rightleftarrows_i f(Y_{n-2}, X_{n-1})) = 2^k.$$

Seeking X_{n-1} from the above equation contains at least the solving preimage problem. By the property of the one-way compression function f, it is infeasible in polynomial time to compute X_{n-1}. If assume that the values of other $n-3$ unknown variables, then there is a similar result, which shows that we can not find X_1, X_2, …, X_n such that $(\rightleftarrows_i Y_1 \oplus \rightleftarrows_i Y_2 \oplus \ldots \oplus \rightleftarrows_i Y_n) = \rightleftarrows_i Y_n$ and $(X_2 \oplus X_3 \oplus \ldots \oplus X_n) = X_n$ in polynomial time, namely the MFRI structure can not be reduced to the SFRI structure in polynomial time.

Therefore, the MFRI structure is more secure than the SFRI structure. By transitivity, the MFRI structure is also more secure than the MD structure.

3.2 Resistance to the Multi-block Differential Attack

3.2.1 Brief Presentation of the Multi-block Differential Attack

Reference [4], [5] and [6] manifest the multi-block near differential attack on the hash functions MD4, MD5, SHA-0 and SHA-1. This attack consists of the following three steps:

(1) Find out a set of collision differential characteristics for M and M' which are expected to produce a collision.

(2) Derive a set of sufficient conditions which are described by the bits of the chaining variables, and ensure that the collision differential characteristics hold.

(3) Modify the random message M through the single-step / multi-step or single-message / multi-message method in order to make almost all the sufficient conditions be satisfied.

Assume that M is partitioned into n m-bit blocks X_1, X_2, …, X_n, and the iterative outputs are Y_1, Y_2, …, $Y_n = D$ in order.

Assume that M' is partitioned into n m-bit blocks X'_1, X'_2, …, X'_n, and the iterative outputs are Y'_1, Y'_2, …, $Y'_n = D'$, where $n \geq 2$.

According to reference [5] and [6], define the message differential as $\Delta X_i = X'_i - X_i$, and the iterative output differential as $\Delta Y_i = Y'_i - Y_i$.

Notice that a differential is computed by modular integer subtraction '$-$' in reference [5] and [6] while it is

computed by exclusive or '$\oplus$' in other references. Obviously, the combination of these two sorts of differentials can bring more information to attackers.

For the MD structure, assume that the collision differential characteristics are $\Delta X_1, \Delta X_2, \ldots, \Delta X_n$ and $0 = \Delta Y_0, \Delta Y_1, \Delta Y_2, \ldots, \Delta Y_n = 0$.

It is should be noted that because the same compression function f is used when two different messages are hashed, the initial values of iteration are the same, namely $\Delta Y_0 = 0$. The $\Delta Y_n = 0$ indicates that the collision (M, M') is found out, and it is a goal which the attackers try to achieve.

ΔY_i is also the chaining variable difference. In terms of a concrete compression function, the attackers may set more detailed step-chaining variable differentials and round-chaining variable differentials [5][6][8].

3.2.2 MFRI Leading Block Modification to a Joint Event

In the MFRI structure, let the collision differential characteristics be $\Delta X_1, \Delta X_2, \ldots, \Delta X_n$, $0 = \Delta Y_0, \Delta Y_1, \Delta Y_2, \ldots, \Delta Y_n$, and the input chaining variable of the $(n + 1)$-th iteration be Y_n^{d}, then $Y_n^{\mathrm{d}} = \circlearrowleft Y_1 \oplus \circlearrowleft Y_2 \oplus \ldots \oplus \circlearrowleft Y_n$, where the superscript 'd' signifies timedelay. Therefore,

$$\Delta Y_n^{\mathrm{d}} = (\circlearrowleft Y'_1 \oplus \circlearrowleft Y'_2 \oplus \ldots \oplus \circlearrowleft Y'_n) - (\circlearrowleft Y_1 \oplus \circlearrowleft Y_2 \oplus \ldots \oplus \circlearrowleft Y_n)$$

$$= \Delta \circlearrowleft Y_1 \oplus \Delta \circlearrowleft Y_2 \oplus \ldots \oplus \Delta \circlearrowleft Y_n$$

$$\neq \circlearrowleft \Delta Y_1 \oplus \circlearrowleft \Delta Y_2 \oplus \ldots \oplus \circlearrowleft \Delta Y_n.$$

For example, when $A = 11010100$, $A' = 00101011$, and $\Delta A = 10101001$, there are $\circlearrowleft A = 00101011$, $\circlearrowleft A' = 11010100$, and $\Delta \circlearrowleft A = 01010111$, and so $\Delta \circlearrowleft A \neq \circlearrowleft \Delta A$.

This brings extra difficulties to the attackers who employ the differential analysis method.

From reference [5], [6] and [8], it is not difficult to understand that if there are not the multiple feedbacks, the modification to every block X_i is an independent event, and when the modification is made, it is feasible to consider ΔX_i and ΔY_i only relevant to the block X_i but not to other blocks. However, when the multiple feedbacks exist, due to

$$\Delta Y_n^{\mathrm{d}} = (\circlearrowleft Y'_1 \oplus \circlearrowleft Y'_2 \oplus \ldots \oplus \circlearrowleft Y'_n) - (\circlearrowleft Y_1 \oplus \circlearrowleft Y_2 \oplus \ldots \oplus \circlearrowleft Y_n),$$

the modification to every block X_i will influence the corresponding Y_i, and further influences ΔY_n^{d}. Thereby, the modification to every block X_i changes into an joint event from an individual independent event.

Assume that through message modification techniques the attackers can decrease the time complexity of a block near collision $O(2^{k_i})$. In terms of reference [5], [6] and [8], in the MD structure, the modification to every block is an independent event, and hence, the complexity of producing the n-block message collision is $O(2^{k_1} + 2^{k_2} + \ldots + 2^{k_n})$. However, in the MFRI structure, the modification to every block become a part of the joint event, and hence, the probability that two n-block messages produce a collision is $1 / (2^{k_1} 2^{k_2} \ldots 2^{k_n})$, namely, the complexity of producing the message collision increases to $O(2^{k_1 + k_2 + \ldots + k_n})$.

3.2.3 MFRI Leading Message Modification to an Endless Loop

To ensure that the differential characteristics being set holds, every block has a set of sufficient conditions derived from f and ΔY_i, where ΔY_i is a chaining variable differential. For the hash functions MD4 and MD5,

the length of every chaining variable is 128 bits, is exactly one of four 32-bit words. Therefore, in fact, every chaining variable consists of the four word variables a, b, c, and d. Because every block-iteration consists of several round-iterations, and every round-iteration consists of several step-iterations, the variables a, b, c, d may be further divided into a_1, b_1, c_1, d_1, a_2, b_2, c_2, d_2, …, a_s, b_s, c_s, d_s in every block-iteration process. For example, in MD4, $s = 12$, and in MD5, $s = 16$. The values of a_i, b_i, c_i, d_i in the sufficient conditions are expressed with 0, 1 or those prior to a_i, b_i, c_i, d_i. For SHA-1, its chaining variable is composed of a, b, c, d, and e five word variables. The values of the sufficient conditions for a block collision are expressed with 0, 1 or those prior to a_i.

In the MFRI structure, because of feedback and $X_{n+1} = X_1$, the second modification to X_1 is needed. However, the second modification will surely influence the result of the first modification, that is, break the sufficient conditions satisfied and change the value of the chaining variable Y_1, and further, cause Y_2, Y_3, …, Y_n and the feedback Y_n^d to produce alteration. Thus, the attackers need to modify X_1 once more. In this way, the MFRI structure will lead the modification to X_1 and other blocks to an endless loop.

3.2.4 MFRI Leading Sufficient Conditions for Collision to Incompatibility

Due to $X_{n+1} = X_1$, for the MFRI structure, a key problem is whether the two sets of sufficient conditions described with the chaining variables respectively in the 1-st iteration and $(n + 1)$-th iteration are compatible or not. If they are compatible, the two sets of sufficient conditions can be deduced theoretically. If they are contrary to each other, the two sets of sufficient conditions is radically impossibly deduced, and thus it is not ensured that the differential characteristics will hold and that the collision for two messages can be found.

Suppose that $\Lambda = \{a_{1,1}, a_{1,2}, …, a_{1,32}\}$ is the set of 32 bits of the variable a_1, then the size of its power set is $|P(\Lambda)| = 2^{32}$. Let $P_{\cap NE}$ denote the probability that the intersection of any two nonempty subsets of Λ is empty, then

$$P_{\cap NE} = (C_{32}^1 (2^{31} - 1) + C_{32}^2 (2^{30} - 1) + … + C_{32}^{31}(2^1 - 1)) / (2C_{|P(\Lambda)|}^2)$$

$$< (2^{23} - 1) (C_{32}^1 + C_{32}^2 + … + C_{32}^{31}) / (2^{31} 2^{32} - 1)$$

$$= (2^{23} - 1) (2^{32} - 2) / (2^{31} 2^{32} - 1) \approx 1/2^{12}.$$

Thereby, the probability that the intersection of any two nonempty subsets of Λ is nonempty is greater than $(1 - 1/2^{12})$, which means that probability at least 1 bit of the condition variable a_1 produces overlap in the 1-st iteration and $(n + 1)$-th iteration is greater than $(1 - 1/2^{12})$.

We may as well suppose that $a_{1,1}$ overlaps, let $a_{1,1}^1$ denote the condition value of $a_{1,1}$ in the 1-th iteration, and let $a_{1,1}^{n+1}$ denote the condition value of $a_{1,1}$ in the $(n + 1)$-th iteration. If $a_{1,1}^1 = a_{1,1}^{n+1} = 0$ or 1, it indicates the two sets of sufficient conditions are compatible; otherwise the two sets of sufficient conditions are incompatible, that is, such two sets of sufficient conditions may impossibly exist simultaneously. Obviously, if the intersection contains only 1 bit, the probability of being incompatible is 1/2. If the intersection contains 2 bits, the probability of being incompatible is $(1 - 1/4)$. Suppose that P_{a1}, P_{b1}, P_{c1}, $P_{d1} = 1/2$ or 1 represent respectively the probabilities that the intermediate chaining variables a_1, b_1, c_1, d_1 are condition-compatible in the 1-th iteration and $(n + 1)$-th iteration. Then, for a_1, b_1, c_1, and d_1, the probability that the conditions are incompatible is $(1 - 1 / (P_{a1}P_{b1}P_{c1}P_{d1}))$. For the other intermediate chaining variables

$a_2, b_2, c_2, d_2 \ldots$, there exist similar conclusions.

The above analysis manifests that in two different iterations of the same block, the probability that the condition bits produce overlap is close to 1, and the probability that the values of the overlapping bits are incompatible is greater than 1/2.

3.3 Ineffectiveness of the D-way Second Preimage Attack

Joux puts forward a attack method called D-way which is employed for seeking the second preimage of an output of a hash function based on the MD structure in reference [9]. For a given hash target value $Y = H(M) \in \{0, 1\}^k$, the attackers first find 2^d collisions on d-block messages $M_1, M_2, \ldots, M_{2^d}$ making $H_d = H(M_1) = H(M_2) = \ldots = H(M_{2^d})$. Then, find the block X_{d+1} such that $f(H_d, X_{d+1}) = Y$. In this way, the attackers succeed in seeking the second preimage with the message M. In terms of reference [9], the time complexity of this attack is $O(d\,2^{k/2} + 2^k)$.

For a hash function based on the MFRI structure, because there are $X_{d+1} = X_1$, $X_{d+2} = X_2 \oplus X_3 \oplus \ldots \oplus X_d$, and $X'_{d+1} = X'_1$, $X'_{d+2} = X'_2 \oplus X'_3 \oplus \ldots \oplus X'_d$, even though $\Delta Y_1 = \Delta Y_2 = \ldots = \Delta Y_d = 0$, it can not be ensured that $\Delta Y_{d+1} = 0$ and $\Delta Y_{d+2} = 0$. That is, it is intractable to find out two d-block messages M_1 and M_2 for collision by the birthday attack [9]. Therefore, the D-way method is ineffective on hash functions based on the MFRI structure.

4   Performance Analysis of the Hash Ring-iterative Structure

For the same message M, MFRI is two f mapping operations, n reverse code operations, n modular addition operations and $(n-1)$ exclusive OR operations more than the MD structure. Reverse code, modular addition and exclusive OR are fundamental operations, and they can not expend too much time. Hence, the MFRI structure has comparatively fast operation speed. The two extra variables in memory space need to be increased respectively for the feedforward and feedback values. The initial values of these two variables may be set to zero. Then, the feedforward   variable admit $X_2, X_3, \ldots$, and X_n one by one by exclusive OR, and the feedback variable admit $\overleftrightarrow{\leftarrows} Y_1$, $\overleftrightarrow{\leftarrows} Y_2, \ldots$ and $\overleftrightarrow{\leftarrows} Y_n$ one by one by modular addition.

At present, the tree structure and zipper structure for hash functions are also believed to be more secure than the MD structure [10][11]. However, the MFRI structure is more applicable than the tree structure since the compression mapping f in any existing hash function may be transplanted into the MFRI structure with no change, and is more efficient than the zipper structure since the number of time of operation on the mapping f in the MFRI structure is roughly half as many as in the zipper structure.

5   Conclusions

In this paper, we have proposed the ring-iterative structures with feedback, and proved that the MFRI structure is more secure than the MD structure.

At the time every iteration output is fed back, first to do a reversal transform is important, which makes it impossible that ΔY_n^d is derived directly from $\Delta Y_1, \Delta Y_2, \ldots$, and ΔY_n.

It is known from section 3.1 that there is some comparability between the MFRI structure and the MD structure. The last two extra blocks in MFRI may be regarded as an extension of the MD padding.

Therefore, for the same compression *f*, if the hash output of the MD structure is uniform, independent and random, the hash output of the MFRI structure is also uniform, independent and random. If the MD structure can cause the avalanche effect of the hash output, the MFRI structure can also cause the avalanche effect of the hash output.

It is should be noted that for the input message *X*, only if it has at least two blocks does the MFRI structure take effect.

For the existing hash functions ─ MD5 and SHA-1 for example, if their compression functions are extracted and transplanted into the MFRI structure, the preceding analysis shows that the existing attack methods will be ineffective on the newly forming hash functions.

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