

# Preimage Attacks on 3-Pass HAVAL and Step-Reduced MD5\*

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**Abstract.** This paper presents preimage attacks for the hash functions 3-pass HAVAL and step-reduced MD5. Introduced in 1992 and 1991 respectively, these functions underwent severe collision attacks, but no preimage attack. We describe two preimage attacks on the compression function of 3-pass HAVAL. The attacks have a complexity of about  $2^{224}$  compression function evaluations instead of  $2^{256}$ . Furthermore, we present several preimage attacks on the MD5 compression function that invert up to 47 (out of 64) steps within  $2^{96}$  trials instead of  $2^{128}$ . Though our attacks are not practical, they show that the security margin of 3-pass HAVAL and step-reduced MD5 with respect to preimage attacks is not as high as expected.

**Keywords:** cryptanalysis, hash function, preimage attack.

## 1 Introduction

A cryptographic hash function  $h$  maps a message  $M$  of arbitrary length to a fixed-length hash value  $H$  and has to fulfill the following security requirements:

- *Collision resistance:* it is infeasible to find two messages  $M$  and  $M^*$ , with  $M^* \neq M$ , such that  $h(M) = h(M^*)$ .
- *Second preimage resistance:* for a given message  $M$ , it is infeasible to find a second message  $M^* \neq M$  such that  $h(M) = h(M^*)$ .
- *Preimage resistance:* for a given hash value  $H$ , it is infeasible to find a message  $M$  such that  $h(M) = H$ .

The resistance of a hash function to collision and (second) preimage attacks depends in the first place on the length  $n$  of the hash value. Regardless of how a hash function is designed, an adversary will always be able to find preimages or second preimages after trying out about  $2^n$  different messages. Finding collisions requires a much smaller number of trials: about  $2^{n/2}$  due to the birthday paradox. A function is said to achieve *ideal security* if these bounds are guaranteed.

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Recent cryptanalytic results on hash functions mainly focus on collision attacks but only few results with respect to preimages have been published to date. In this article, we analyze the preimage resistance of the hash functions MD5 and HAVAL. Both are iterated hash functions based on the Merkle-Damgård design principle. MD4 and MD5 both underwent critical collision attacks [4, 7, 8, 16–18], and hence should not be used anymore. But in practice MD5 is still widespread and remains secure for applications that do not require collision resistance. While three preimage attacks on MD4 are known [3, 5, 6], the picture is different for MD5: using a SAT-solver De et al. [3] inverted 26 (out of 64) steps of MD5, and no analytical attack is known to date. Idem for HAVAL: while several collision attacks [7, 13, 19, 20] and even a second preimage attack [9] were published, no preimage attack is known.

**Note:** Independently of our work, Sasaki and Aoki also discovered preimage attacks on modified and step-reduced MD5 [14]: their best attack on unmodified MD5 inverts 44 steps of the compression function within  $2^{96}$  trials.

**Our Contribution.** We improve upon the state of the art as follows. First, we invert the compression function of MD5 reduced to 45 steps by using a meet-in-the-middle approach. The attack makes about  $2^{100}$  compression function evaluations and needs negligible memory. Second, we exploit special properties of the permutations used in the compression function to extend this attack to 47 steps (out of 64). The attack has a complexity of  $2^{96}$  compression function evaluations and memory requirements of  $2^{36}$  bytes. Third, we extend the attacks on the compression function to the hash function by using a meet-in-the-middle and tree-based approach. With this method we can construct preimages for MD5 reduced to 45 and 47 steps with a complexity of about  $2^{106}$  and  $2^{102}$  compression function evaluations and memory requirements of  $2^{39}$  bytes.

Similar strategies can be applied to the compression function of HAVAL. We can invert the compression function of 3-pass HAVAL with a complexity of about  $2^{224}$  compression function evaluations and memory requirements of  $2^{69}$  bytes. By combining a standard meet-in-the-middle with a tree-based approach we can turn the attack on the compression function into a preimage attack on the hash function with a complexity of about  $2^{230}$  compression function evaluations and memory requirements of  $2^{70}$  bytes.

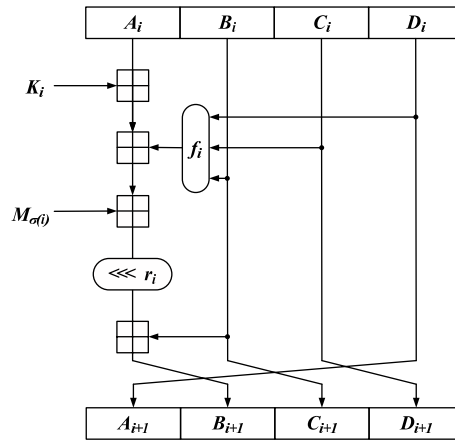
**Outline.** The remainder of this article is structured as follows. §2 presents two methods to invert to compression function of MD5 reduced to 45 and 47 steps. We use the same methods to invert the compression function of 3-pass HAVAL in §3. In §4, we show how the attacks on the compression function of step-reduced MD5 and HAVAL can be extended to preimage attacks on the hash function. Finally, §5 concludes.

## 2 Preimage Attacks on Step-Reduced MD5

This section presents two techniques to invert the MD5 compression function. The first attack on 45 steps is based on a standard meet-in-the-middle (MITM) and requires about  $2^{100}$  trials. The second attack inverts up to 47 steps, and exploits special properties of the message ordering. Combined with a MITM, we construct a preimage attack with complexity about  $2^{96}$  trials. But prior to that, we provide a brief description of MD5 and illustrate the basic idea of our attacks over 32 steps.

### 2.1 Short Description of MD5

The MD5 compression function takes as input a 512-bit message block and a 128-bit chain value and outputs another 128-bit chain value.



**Fig. 1.** The step function of MD5.

The input chain value  $H_0 \dots H_3$  is first copied into registers  $A_0 \dots D_0$ :

$$(A_0, B_0, C_0, D_0) \leftarrow (H_0, H_1, H_2, H_3). \quad (1)$$

This inner state is then transformed by a series of 64 steps and the output is

$$(H_0^*, H_1^*, H_2^*, H_3^*) = (A_{64} + A_0, B_{64} + B_0, C_{64} + C_0, D_{64} + D_0). \quad (2)$$

where  $A_{64} \dots D_{64}$  are defined by the recursion below.

$$\begin{aligned} A_i &= D_{i-1} \\ B_i &= B_{i-1} + (A_{i-1} + f_i(B_{i-1}, C_{i-1}, D_{i-1}) + M_{\sigma(i)} + K_i) \lll r_i \\ C_i &= B_{i-1} \\ D_i &= C_{i-1} \end{aligned} \quad (3)$$

The  $K_i$ 's and  $r_i$ 's are predefined constants and  $\sigma(i)$ 's are in Table 1. The function  $f_i$  is defined as

$$\begin{aligned}
 f_i(B, C, D) &= (B \wedge C) \vee (\neg B \wedge D) && \text{if } 0 < i \leq 16 \\
 f_i(B, C, D) &= (D \wedge B) \vee (\neg D \wedge C) && \text{if } 16 < i \leq 32 \\
 f_i(B, C, D) &= B \oplus C \oplus D && \text{if } 32 < i \leq 48 \\
 f_i(B, C, D) &= C \oplus (B \vee \neg D) && \text{if } 48 < i \leq 64
 \end{aligned} \tag{4}$$

See Fig. 1 for a schematic view of the step function, and [12] for a complete specification.

**Table 1.** Values of  $\sigma(i)$  in MD5 for  $i = 1, \dots, 64$  (we boldface the  $M_2$  key inputs used in the attacks on 32 and 47 steps, and the  $M_6$  and  $M_9$  key inputs used in the attack on 45 steps).

Step index $i$	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Message word $\sigma(i)$	0	1	<b>2</b>	3	4	5	6	7	8	9	10	11	12	13	14	15
Step index $i$	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
Message word $\sigma(i)$	1	6	11	0	5	10	15	4	9	14	3	8	13	<b>2</b>	7	12
Step index $i$	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
Message word $\sigma(i)$	5	8	11	14	1	4	7	10	13	0	3	<b>6</b>	<b>9</b>	12	15	<b>2</b>
Step index $i$	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
Message word $\sigma(i)$	0	7	14	5	12	3	10	1	8	15	6	13	4	11	2	9

**Fact 1** *At step  $i$  only  $B_i$  is a really new value, the others are just shifted as in a feedback shift register. Hence for  $i = 0, \dots, 60$  we have  $B_i = C_{i+1} = D_{i+2} = A_{i+3}$ .*

**Fact 2** *The step function is invertible, i.e. from  $A_i \dots D_i$  and  $M_{\sigma(i)}$  we can always compute  $A_{i-1} \dots D_{i-1}$ . Removing the feedforward by  $H_0 \dots H_3$  in Eq. (2) would thus make the compression function trivially invertible.*

## 2.2 Preimage Attack on 32 Steps

This attack computes preimages for the 32-step compression function within about  $2^{96}$  trials (instead of  $2^{128}$ ). It introduces two tricks used in the 45- and 47-step attacks: absorption of changes in  $C_0$  and exploitation of the ordering of the message words.

**Key Facts.** Observe in Table 1 that  $M_2$  is only input at the very beginning and the very end of 32-step MD5, namely at steps 3 and 30. Hence, if we could pick a message and freely modify  $M_2$  such that  $B_3$  stays unchanged, we would be able to “choose”  $B_{30} = C_{31} = D_{32}$  (cf. Fact 1). Then, a key observation is

that the function  $f_i$  can either preserve or absorb an input difference: observe indeed that for  $0 < i \leq 16$  and any  $C$  and  $D$  we have

$$f_i(0x00000000, C, D) = (0 \wedge C) \vee (0xffffffff \wedge D) = D \quad (5)$$

$$f_i(0xffffffff, 0, D) = (0xffffffff \wedge 0) \vee (0 \wedge D) = 0 \quad (6)$$

These properties will be used to “absorb” a change in  $C_0 = D_1 = A_2$  at steps 1 and 2. More precisely, we need that  $B_0 = 0$  to absorb the changes of  $C_0$  at step 1. And to absorb the change in  $D_1 = C_0$  we need that  $B_1 = 0xffffffff$ . We can now sketch the attack:

1. pick a chain value  $H_0 \dots H_3 = A_0 \dots D_0$  (with certain constraints)
2. pick a message  $M_0 \dots M_{15}$  (with certain constraints)
3. modify  $M_2$  to choose  $B_{30} = C_{31} = D_{32}$
4. modify  $H_2 = C_0$  such that the change in  $M_2$  doesn’t alter subsequent  $A_i \dots D_i$

Our strategy is inspired from Leurent’s MD4 inversion [6]; the main difference is that while [6] exploits absorption in the second round we use it in the early steps.

**Description of the attack.** Suppose we seek a preimage of  $\tilde{H} = \tilde{H}_0 \dots \tilde{H}_3$ . The algorithm below first sets  $B_0 = 0$  and  $B_1 = 0xffffffff$ , to guarantee that a change in  $C_0$  will only affect the chaining variable  $A_2$ . Then, from an arbitrarily chosen message, it modifies  $M_2$  in order to “meet in the middle” correctly. Finally,  $C_0$  corrects the change in  $M_2$ , and this new value of  $C_0$  does not affect the initial steps of the function. Our attack is described in Algorithm 1.

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**Algorithm 1** Preimage attack on 32-step MD5.

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1. set  $B_0 = 0$  and  $A_0, C_0, D_0$  to arbitrary values
  2. **repeat**
  3.     pick  $M_0$  such that  $B_1 = 0xffffffff$
  4.     pick arbitrary values for  $M_1 \dots M_{15}$
  5.     compute  $A_{30} \dots D_{30}$
  6.     modify  $M_2$  to get  $B_{30} = D_{32} = \tilde{H} - D_0$
  7.     correct  $C_0$  to keep  $B_1$  unchanged
  8.     compute the final hash value  $H^* = H_0^* \dots H_3^*$
  9.     **if**  $H^* = \tilde{H}$  **then**
  10.     **return**  $A_0 \dots D_0$  and  $M_0 \dots M_{15}$
- 

Algorithm 1 makes about  $2^{96}$  trials by choosing 32 bits in the 128-bit image and bruteforcing the 96 remaining bits. (We denote  $H^* = H_0^* \dots H_3^*$  a final hash value, so our goal is to have in the end  $H^* = \tilde{H}$ .)

**Correctness of the Attack.** We now explain in details why the attack works. First, the operation at line 3 of our algorithm is feasible because it corresponds to setting

$$M_0 = 0xffffffff - A_0 - D_0 - K_0. \quad (7)$$

Then right after line 4 we have for any choice of  $C_0$ :

$$\begin{aligned} - f_1(B_0, C_0, D_0) &= f_1(0, C_0, D_0) = D_0 \\ - f_2(B_1, C_1, D_1) &= f_2(0xffffffff, C_1 = B_0, D_1) = 0 \end{aligned}$$

In other words, the first two steps are independent of  $C_0$ . This will allow us to modify  $C_0 = D_1 = A_2$ —to correct a change in  $M_2$ —without altering  $A_i \dots D_i$  between steps 4 and 30.

Now, at line 6 we set

$$M_2 = (\tilde{H}_3 - D_0 - B_{29}) \ggg 9 - G(B_{29}, C_{29}, D_{29}) - A_{29} - K_{30} \quad (8)$$

With this new value of  $M_2$  we get in the end  $H_3^* = \tilde{H}_3$ .

Finally we “correct” this change by setting

$$C_0 = (B_3 - B_2) \ggg r_3 - f_3(B_2, C_2, D_2) - M_2 - K_2. \quad (9)$$

With this new value of  $C_0 = A_2$  we keep the same  $B_3$  as with the original choice of  $M_2$ .

We can thus choose the output value  $H_3^*$  by modifying  $M_2$  and “correcting”  $C_0$ . However,  $H_0^*$ ,  $H_1^*$  and  $H_2^*$  are random for the attacker. Hence, 96 bits have to be bruteforced to invert the 32-step function. This gives a total cost of  $2^{96}$  trials.

We experimentally verified the correctness of our algorithm by searching for inputs that give  $H_2^* = H_3^* = 0$  (see Appendix A).

### 2.3 Preimage Attack on 45 Steps

We present here an attack that computes 45-step preimages within  $2^{100}$  trials and negligible memory. This combines a MITM with a conditional linear approximation of the step function. In short, the attack is based on the fact that  $M_2$  appears at the very beginning and that  $M_6$  and  $M_9$  appear at the very end of 45-step MD5. Another key observation is that  $M_2$  is used only once in the first 25 steps, and  $M_6$  and  $M_9$  are used only once after step 25. Algorithm 2 describes the attack for finding a preimage of  $\tilde{H}_0 \dots \tilde{H}_3$ .

**Correctness of the Attack.** First, we use again (at line 1) the trick to absorb the modification of  $C_0$ , necessary to keep the forward stage unchanged with the new value of  $M_2$ . Then, observe that

- between steps 25 and 45,  $M_6$  and  $M_9$  are input at steps 44 and 45 (cf. Table 1)

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**Algorithm 2** Preimage attack on 45-step MD5.

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1. set  $A_0 = \tilde{H}_0$ ,  $B_0 = 0$ ,  $D_0 = \tilde{H}_3$   
(We thus need  $A_{45} = 0$ ,  $B_{45} = H_1^*$ ,  $D_{45} = 0$ . Note that we'll have  $f_{45}(B_{44}, C_{44}, D_{44}) = f_{45}(C_{45}, D_{45}, A_{45}) = C_{45}$ .)
  2. **repeat**
  3.     pick  $M_0$  such that  $B_1 = 0\text{xffffffff}$
  4.     set arbitrary values to the remaining  $M_i$ 's except  $M_6$  and  $M_9$
  5.     **for** all  $2^{64}$  choices of  $C_0$  and  $(M_6, M_9)$  such that
$$M_9 = -((M_6 \lll 19) + (M_6 \lll 23))$$
  
(Here 23 coincides with  $r_{44}$  and  $19 = r_{44} - r_{45}$ .)
  6.         compute  $A_{25} \dots D_{25}$ , store it in a list  $L$
  7.     **for**  $M_6 = M_9 = 0$  and all  $2^{64}$  choices of  $C_{45}$  and  $M_2$
  8.         compute  $A_{25} \dots D_{25}$
  9.         **if** this  $A_{25} \dots D_{25}$  matches an entry in  $L$  **then**
  10.             correct  $C_0$  to keep  $B_1$  unchanged
  11.         **return**  $A_0 \dots D_0$  and  $M_0 \dots M_{15}$   
(Here the message contains the  $M_2, M_6, M_9$  corresponding to the matching entries.)
- 

– at line 7 we use values of  $M_6$  and  $M_9$  distinct from the ones used in the forward stage (line 5)

Hence, by setting  $M_6$  and  $M_9$  to the values chosen the matching  $L$  entry, we would expect different values of  $B_{44} = C_{45}$  and  $B_{45}$  than the (zero) ones used for the backward computation. Recall (cf. line 1) that we need  $A_{45} = 0$ ,  $B_{45} = \tilde{H}_1$ ,  $D_{45} = 0$ , hence the values of  $C_{45}$  will not matter; we would however expect a random  $B_{45}$  from the new values of  $M_6$  and  $M_9$ .

The trick used here is that the condition imposed on  $(M_6, M_9)$  at line 5 implies that the new  $B_{45}$  equals the original  $H_1^* = \tilde{H}_1$  with probability  $2^{-4}$  instead of  $2^{-32}$  for random values (see below). The attack thus succeeds to find a 96-bit preimage when the MITM succeeds *and*  $B_{45} = \tilde{H}_1$ , that is with probability  $2^{-64} \times 2^{-4} = 2^{-68}$ . Storage for  $2^{68}$  bytes is required for the MITM. For full (128-bit preimage) we bruteforce the 32 remaining bits thus the costs grows to  $2^{100}$  trials.

**Reducing the Memory Requirements.** Note that by using a cycle-finding algorithm (as for instance [11, 15]) the memory requirements of the meet-in-the-middle step of the attack can be significantly reduced. Hence, we can find a preimage for 45-step MD5 with a complexity of about  $2^{100}$  and negligible memory requirements.

**On the choice of  $(M_6, M_9)$ .** We explain here why the condition

$$M_9 = -(M_6 \lll 19 + M_6 \lll 23) \tag{10}$$

gives  $B_{45} = \tilde{H}_1$  with high probability.

Consider the last two steps (44 and 45): because  $A_{45} = D_{45} = 0$  we have  $C_{44} = D_{44} = 0$  and  $B_{43} = C_{43} = 0$ . Hence we have

$$f_i(X, Y, Z) = X \oplus Y \oplus Z = X + Y + Z \quad (11)$$

in these two steps.

Note that  $A_{43}$  and  $D_{43}$  depend on the  $C_{45}$  used for the backward computation. Now we can compute  $B_{44}$  and  $B_{45}$  (note  $r_{44} = 23, r_{45} = 9$ )

$$B_{44} = (A_{43} + D_{43} + K_{43} + M_6) \lll 23 \quad (12)$$

$$B_{45} = (A_{44} + B_{44} + K_{44} + M_9) \lll 4 + B_{44} \quad (13)$$

For simplicity we rewrite

$$B_{44} = (X + M_6) \lll 23 \quad (14)$$

$$B_{45} = ((Y + B_{44} + M_9) \lll 4) + B_{44} \quad (15)$$

Now we can express  $B_{45}$ :

$$B_{45} = ((Y + ((X + M_6) \lll 23) + M_9) \lll 4) + ((X + M_6) \lll 23) \quad (16)$$

Since (cf. line 7 of the algorithm) we chose  $(M_6, M_9) = (0, 0)$  this simplifies to

$$B_{45} = ((Y + (X \lll 23)) \lll 4) + (X \lll 23) \quad (17)$$

Consider now the case  $M_9 = -(M_6 \lll 19 + M_6 \lll 23)$ ; Eq. (16) becomes:

$$B_{45} = ((Y + ((X + M_6) \lll 23) - ((M_6 \lll 19) + (M_6 \lll 23))) \lll 4) + ((X + M_6) \lll 23) \quad (18)$$

We will simplify this equation by using the generic approximation:

$$(A + B) \lll k = A \lll k + B \lll k \quad (19)$$

Daum showed [2, §4.1.3] that Eq. (19) holds with probability about  $2^{-2}$  for random A and B. We first use this approximation to replace  $(X + M_6) \lll 23$  by

$$(X \lll 23) + (M_6 \lll 23). \quad (20)$$

Eq. (18) becomes

$$B_{45} = ((Y + (X \lll 23) - (M_6 \lll 19)) \lll 4) + (X \lll 23) + (M_6 \lll 23) \quad (21)$$

Finally we approximate  $(Y + (X \lll 23) - (M_6 \lll 19)) \lll 4$  by

$$((Y + (X \lll 23)) \lll 4) - ((M_6 \lll 19) \lll 4) \quad (22)$$

and Eq. (21) becomes

$$B_{45} = ((Y + X \lll 23) \lll 4) + (X \lll 23) \quad (23)$$

Note that this is the same equation as for  $(M_6, M_9) = (0, 0)$  in Eq. (17). Hence, we get the correct value in  $B_{45}$  with a probability of  $2^{-4}$ , since we used two approximations<sup>3</sup>.

<sup>3</sup>The exact probability is  $2^{-3.9097}$  according to Daum's formulas.



## 2.4 Preimage Attack on 47 Steps

In the following we will show how to construct a preimage for the compression function of 47-step MD5 with a complexity of about  $2^{96}$ . This attack combines the 32-step attack with a meet-in-the-middle (MITM) strategy. The latter is made possible by the invertibility of the step function.

Suppose we want to construct the hash value  $\tilde{H} = \tilde{H}_0 \dots \tilde{H}_3$ . The attack on 47-step MD5 can be summarized as follows:

- set initial state variable to absorb a change in  $C_0$ , as in the 32-step attack
- compute  $A_{29} \dots D_{29}$  for all  $2^{32}$  choices of  $C_0$  and save the result in a list  $L$
- compute  $A_{30} \dots D_{30}$  for all  $2^{32}$  choices of  $C_{47}$  and “meet in the middle” by finding a matching entry in  $L$

Algorithm 3 describes the attack more formally.

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### Algorithm 3 Preimage attack on 47-step MD5.

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1. set  $B_0 = 0$  and  $A_0, C_0, D_0$  to arbitrary values
  2. **repeat**
  3.     pick  $M_0$  such that  $B_1 = 0xffffffff$
  4.     pick arbitrary values for  $M_1 \dots M_{15}$
  5.     **for** all  $2^{32}$  choices of  $C_0$
  6.         compute  $A_{29} \dots D_{29}$ , store it in a list  $L$
  7.     set  $A_{47} = \tilde{H}_0 - A_0, B_{47} = \tilde{H}_1 - B_0, D_{47} = \tilde{H}_3 - D_0$
  8.     **for** all  $2^{32}$  choices of  $C_{47}$
  9.         compute (backwards)  $A_{30} \dots D_{30}$
  10.     **if**  $L$  contains an entry  $A_{30} = D_{29}, C_{30} = B_{29}, D_{30} = C_{29}$  **then**
  11.         modify  $M_2$  to have
 
$$B_{30} = ((A_{29} + f(B_{29}, C_{29}, D_{29}) + M_2 + K_{29}) \lll 9) + B_{29}$$
  12.         correct  $C_0$  to keep  $B_1$  unchanged
  13.         compute the final hash value  $H_0^* \dots H_3^*$
  14.     **return**  $A_0 \dots D_0$  and  $M_1 \dots M_{15}$
- 

Again this attack essentially exploits the “absorption” of 32 bits during the early steps to save a  $2^{32}$  complexity factor. Note that when the MITM succeeds, i.e. when the line 10 predicate holds, we only have a 96-bit preimage because  $H_2^* = C_{47} + C_0$  is random. This is because both  $C_0$  and  $C_{47}$  are random for the attacker.

Each **repeat** loop hence succeeds in finding a 96-bit preimage with probability  $2^{-32}$ , and costs  $2^{32}$  trials. This is respectively because

1. we have  $2^{32} \times 2^{32} = 2^{64}$  candidate pairs that each match with probability  $2^{-96}$
2. the cost of the two **for** loops amounts to  $2^{32}$  computations of the compression function

The total cost for finding a 128-bit preimage is thus  $2^{32} \times 2^{32} \times 2^{32} = 2^{96}$ , with a required storage of  $2^{36}$  bytes (64 Gb) for the MITM. This allows us to find preimages on the 47-step MD5 compression function  $2^{32}$  times faster than brute force. However it doesn't directly give a preimage attack for the hash function because the initial value is here partially random, whereas in the hash function it is fixed.

### 3 Preimage Attacks on 3-Pass HAVAL

HAVAL was proposed with either 3, 4, or 5 passes, i.e. 96, 128, or 160 steps. It has message block and hash values twice as large as MD5, i.e. 256 bits (8 words) and 1024 bits (32 words) respectively. In the following, we present two methods to invert the compression function of 3-pass HAVAL. Both attacks have a complexity of about  $2^{224}$  compression function evaluations. Like in the attacks on step-reduced MD5, we combine a generic MITM with weaknesses in the design of the compression function. In detail, we exploit the properties of the Boolean functions to absorb differences in its input and special properties of the message ordering in 3-pass HAVAL. But before describing both attacks in more detail, we give a short description of 3-pass HAVAL.

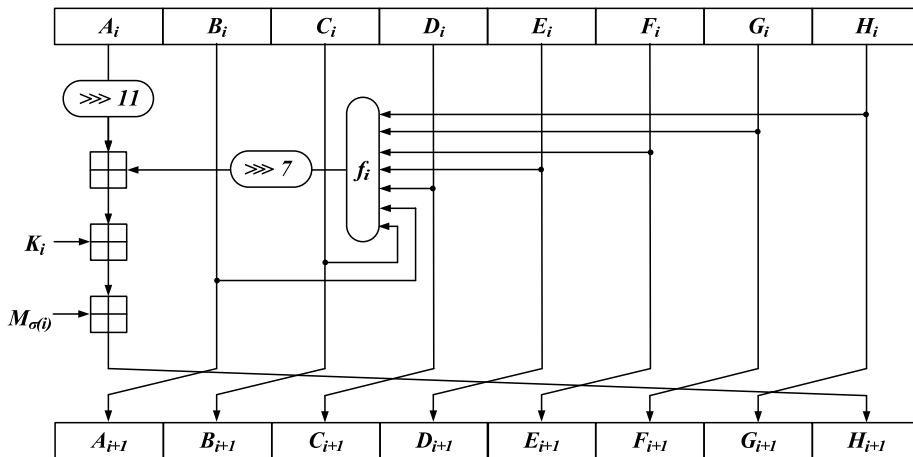


Fig. 2. The step function of HAVAL.

#### 3.1 Short Description of 3-Pass HAVAL

The structure of HAVAL is somewhat similar to that of MD5: registers  $A_0, B_0, \dots, G_0, H_0$  are initialized to the input chain values and finally the function returns

$$(H_0^*, \dots, H_7^*) = (A_{96} + A_0, B_{96} + B_0, \dots, G_{96} + G_0, H_{96} + H_0) \quad (24)$$

after 96 steps that set

$$\begin{aligned}
A_i &= B_{i-1}, \\
B_i &= C_{i-1} \\
&\dots \quad \dots \\
G_i &= H_{i-1} \\
H_i &= A_{i-1} \ggg 11 + f_i(B_{i-1}, C_{i-1}, D_{i-1}, E_{i-1}, F_{i-1}, G_{i-1}, H_{i-1}) \ggg 7 + K_i + M_{\sigma(i)}
\end{aligned} \tag{25}$$

**Table 2.** Values of  $\sigma(i)$  in 3-pass HAVAL for  $i = 1, \dots, 96$  (we boldface the key inputs of  $M_5$  and  $M_6$ ).

Step index $i$	1	<b>2</b>	3	4	5	6	7	8	9	10	11	12	13	14	15	16
Message word $\sigma(i)$	0	1	2	3	4	<b>5</b>	<b>6</b>	7	8	9	10	11	12	13	14	15
Step index $i$	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
Message word $\sigma(i)$	16	17	18	19	20	21	22	23	24	25	26	27	28	29	30	31
Step index $i$	33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
Message word $\sigma(i)$	<b>5</b>	14	26	18	11	28	7	16	0	23	20	22	1	10	4	8
Step index $i$	49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
Message word $\sigma(i)$	30	3	21	9	17	24	29	<b>6</b>	19	12	15	13	<b>2</b>	25	31	27
Step index $i$	65	66	67	68	69	70	77	72	73	74	75	76	77	78	79	80
Message word $\sigma(i)$	19	9	4	20	28	17	8	22	29	14	25	12	24	30	16	26
Step index $i$	81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
Message word $\sigma(i)$	31	15	7	3	1	0	18	27	13	<b>6</b>	21	10	23	11	<b>5</b>	<b>2</b>

We thus have  $H_i = G_{i+1} = F_{i+2} = E_{i+3} = D_{i+4} = C_{i+5} = B_{i+6} = A_{i+7}$  for  $i = 0 \dots 89$ . Like in MD5 the step function is invertible, and uses step-specific constants, Boolean functions  $f_i$ , and message words  $M_{\sigma(i)}$ . The step functions are defined as (with e.g.  $BC = (B \wedge C)$ ):

$$\begin{aligned}
f_i(B, C, \dots, H) &= FE \oplus BH \oplus CG \oplus DF \oplus D && \text{if } 0 < i \leq 32 \\
f_i(B, C, \dots, H) &= ECH \oplus CGH \oplus CE \oplus EG \oplus CD \oplus FH \\
&\quad \oplus GF \oplus BC \oplus B && \text{if } 32 < i \leq 64 \\
f_i(B, C, \dots, H) &= CDE \oplus CF \oplus DG \oplus EB \oplus EH \oplus H && \text{if } 64 < i \leq 96
\end{aligned} \tag{26}$$

The  $\sigma(i)$ 's are in Table 2. See [21] or [19] for a complete specification.

### 3.2 Preimage Attack A

Suppose we seek a preimage of  $\tilde{H}_0 \dots \tilde{H}_7$  with an arbitrary value for  $\tilde{H}_6$ ; that is, we only want a 224-bit preimage. In the attack below we exploit the properties of the Boolean function  $f_i$  to absorb a difference in the input, and combine it with a MITM to improve on bruteforce search. Algorithm 4 describes the attack in detail.

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**Algorithm 4** Preimage attack A on 3-pass HAVAL.

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1. set  $C_0 = 0$ ,  $D_0 = \tilde{H}_3 - 0\text{xffffffff}$ ,  $E_0 = F_0$ ,  $H_0 = 0$ , and arbitrary  $A_0B_0G_0$   
(We need to assume  $D_{96} = 0\text{xffffffff}$  for our attack to work.)
  2. **repeat**
  3.     choose an arbitrary message for which  $H_1 = 0\text{xffffffff}$  and  $H_3 = H_5 = 0$   
(This guarantees that differences in  $G_0$  will be absorbed in the first 6 rounds.)
  4.     **for** all  $2^{64}$  choices of  $G_0$  and  $M_5$   
(A difference in  $M_5$  only changes  $G_{96}$  after step 48.)
  5.         compute  $A_{48} \dots H_{48}$  and store it in a list  $L$ .
  6.     set  $A_{96} = \tilde{H}_0 - A_0, \dots, H_{96} = \tilde{H}_7 - H_0$
  7.     **for** all  $2^{64}$  choices of  $G_{96}$  and  $M_6$
  8.         compute  $A_{48} \dots H_{48}$  by going backwards
  9.         **if** this  $A_{48} \dots H_{48}$  matches an entry in  $L$  **then**
  10.             correct  $G_0$  such that  $A_7 \dots H_7$  remains unchanged
  11.     **return**  $A_0 \dots H_0$  and  $M_0 \dots M_{31}$
- 

In the end the computed image  $H^*$  is the same as the image sought  $\tilde{H}$  except (with probability  $1 - 2^{-32}$ ) for  $H_6^* = G_{96} + G_0$ . Here  $M_5$  and  $M_6$  are used as “neutral words”, respectively in the second and the first part of the attack. If the MITM condition at line 8 is satisfied then we directly get a 224-bit preimage, because at line 6 we choose  $A_{96} \dots F_{96}H_{96}$ .

Indeed we have  $2^{64}$  candidates for  $A_{48}, \dots, H_{48}$  resulting from the forward computation and  $2^{64}$  candidates resulting from the backward computation, so we will find a match and hence a partial pseudo-preimage with probability  $2^{-128}$ . Hence, by repeating the attack  $2^{128}$  we will find a 224-bit preimage with a complexity of about  $2^{128} \times 2^{64} = 2^{192}$  compression function evaluations. We need storage for  $2^{69}$  bytes to perform the MITM. Note that a full (256-bit) preimage is obtained by bruteforcing the 32 remaining bits, which increases the cost to  $2^{224}$  trials.

### 3.3 Preimage Attack B

This attack exploits the fact that  $M_2$  appears at the very beginning in the first pass and at the very end in the last pass. By combining this with absorption of the Boolean function in the early steps (similarly to our attack on 47-step MD5), we can construct a 192-bit preimage within about  $2^{160}$  trials. By repeating the attack about  $2^{64}$  times we can construct a preimage for the compression function with complexity of about  $2^{224}$  instead of the expected  $2^{256}$  compression function evaluations. Algorithm 5 computes a preimage of  $\tilde{H}_0 \dots \tilde{H}_7$  where all  $\tilde{H}_i$ 's are fixed but  $\tilde{H}_2$  and  $\tilde{H}_6$  (i.e. a 192-bit preimage):

The MITM will succeed (line 8 of Algorithm 5) with probability  $2^{-96} = 2^{64} \times 2^{64}/2^{224}$ , hence  $2^{96} \times 2^{64} = 2^{160}$  trials are required to get a 192-bit preimage

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**Algorithm 5** Preimage attack B on 3-pass HAVAL.

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1. set  $A_0 = \tilde{H}_0, B_0 = \tilde{H}_1, D_0 = \tilde{H}_3, E_0 = \tilde{H}_4, F_0 = \tilde{H}_5, G_0 = 0$ .  
(To get a 192-bit preimage we thus need  $A_{96} = B_{96} = 0, D_{96} = E_{96} = F_{96} = 0, G_{96} = \tilde{H}_6$ .)
  2. **repeat**
  3.     pick an arbitrary message for which the state variable  $H_1 = 0$ .  
(This guarantees that a change in  $C_0$  will only affect  $A_2$ .)
  4.     **for** all  $2^{64}$  choices of  $C_0$  and  $H_0$
  5.         compute  $A_{60} \dots H_{60}$  and store it in a list  $L$ .
  6.     **for** all  $2^{64}$  choices of  $C_{96}$  and  $H_{96}$
  7.         compute  $A_{61} \dots H_{61}$
  8.         **if**  $L$  contains a tuple such that  $A_{61} = B_{60}, \dots, G_{61} = H_{60}$  **then**
  9.             modify  $M_2$  to have
$$H_{61} = (A_{60} \ggg 11) + (f_{61}(\dots) \ggg 7) + M_2 + K_{61}$$
  10.         correct  $C_0$  and  $H_{96}$  accordingly
  11.         **return**  $A_0 \dots H_0$  and  $M_0 \dots M_{15}$
- 

(and storage  $2^{69}$  bytes). A full (256-bit) preimage is obtained by bruteforcing the 64 remaining bits, which increases the cost to  $2^{224}$  trials.

## 4 Extension to the Hash Functions

In this section, we will show how to extend the preimage attacks on the compression of step-reduced MD5 and 3-pass HAVAL to the hash function. Basically the extension of the attacks to the hash function is constrained by the padding rule and the predefined  $IV$ . The padding rule of MD5 and HAVAL forces the last bits of the message to encode its length. Hence, the choice of the last message words is constraint. However, in our attacks we have no restrictions on the last message words and hence the padding rule is no problem. However, the  $IV$  of our preimages for the compression function is different from the fixed one; e.g. in the attack on MD5 reduced to 47-steps we require  $B_0 = 0$ , and get a random value for  $C_0$ . However, there are several methods to turn our attacks into preimage attacks starting from the predefined  $IV$  as described in the next two sections.

### 4.1 Basic Meet-in-the-Middle

This attack first computes forward  $2^{n-x}$  random images  $f(H, M)$  for random  $M$ 's and the fixed  $IV$ . Then it computes backward  $2^x$  preimages for a message block with convenient padding.

For reduced-step MD5 with the optimal  $x$  we compute forward  $2^{112}$  random chain values and compute backward  $2^{16}$  preimages within  $2^{96} \times 2^{16} = 2^{112}$  trials. The total cost of the 47-step preimage attack is thus about  $2^{113}$  trials and memory for a preimage attack. For 3-pass HAVAL we compute forward  $2^{240}$  chain

values and backward  $2^{16}$  preimages within  $2^{224} \times 2^{16} = 2^{240}$  trials. The total cost is  $2^{241}$  trials plus memory for a preimage attack.

## 4.2 Tree Approach

Due to the special structure of our preimage attacks on the compression function of 3-pass HAVAL and step-reduced MD5, this attack complexities can be improved by combining the meet-in-the-middle attack with a tree-based approach.

This is exactly the technique described in [6], namely a MITM combined with a tree of multi-block preimages (a similar approach was published before by Mendel and Rijmen in [10]). To summarize, we proceed in two stages

1. Backward: use a tree-based technique to compute a set  $S$  of multi-block preimages
2. Forward: compute images of random message blocks with the predefined IV until one lies in  $S$

For MD5 the forward stage constructs costs  $2^{96}$  trials and the backward stages costs  $32 \times 2^{97} = 2^{102}$  trials to compute 32-block preimages, plus storage for  $2^{33}$  message blocks (i.e.  $2^{39}$  bytes). Applied to 3-pass HAVAL we get a preimage attack that makes  $2^{230}$  trials and needs  $2^{71}$  bytes of storage.

## 5 Conclusion

We presented the first preimage attacks for the hash functions 3-pass HAVAL and step-reduced MD5: we described several preimage attacks on the MD5 compression function that invert up to 47 (out of 64) steps within  $2^{96}$  compression function evaluations, instead of the expected  $2^{128}$ , and two preimage attacks on the 3-pass HAVAL compression function that cost  $2^{224}$  compression function evaluations instead of  $2^{256}$ . We extended our best attacks to the hash functions (with padding and fixed IV) for a cost of  $2^{230}$  and  $2^{102}$  trials, respectively. Even though these attacks are not practical (notably due to large memory requirements), they show that the security margin of 3-pass HAVAL and step-reduced MD5 with respect to preimage attacks is not as high as expected.

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## A Partial Preimage for 32-Step MD5

With the IV

$$\begin{array}{ll} H_0 = 0x67452301 & H_2 = 0x382ca539 \\ H_1 = 0x00000000 & H_3 = 0x10325476 \end{array}$$

and the message

$$\begin{array}{ll} M_0 = 0xb11de410 & M_8 = 0x6d32a030 \\ M_1 = 0x5c0cd1ec & M_9 = 0x16b2e752 \\ M_2 = 0xd7d35ac7 & M_{10} = 0x3b70c422 \\ M_3 = 0x5704c13b & M_{11} = 0x685cb2aa \\ M_4 = 0x792a351e & M_{12} = 0x1dd5ec6d \\ M_5 = 0x420582b7 & M_{13} = 0x4794f768 \\ M_6 = 0x77v8de3d & M_{14} = 0x04fef18f \\ M_7 = 0x2476b43b & M_{15} = 0x00000000 \end{array}$$

we get the image

$$\begin{array}{ll} H_0^* = 0xb4df93c9 & H_1^* = 0x00000000 \\ H_1^* = 0x3348e3f2 & H_3^* = 0x00000000 \end{array}$$

We found this in less than five minutes on our 2.4 GHz Core 2 Duo, whereas brute force would take about  $2^{64}$  trials (thousands of years on the same computer).