# Secure Certificateless Public Key Encryption without Redundancy

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**Abstract.** Certificateless public key cryptography was introduced to solve the key escrow problem in identity based cryptography while enjoying the most attractive *certificateless* property. In this paper, we present the first *secure* certificateless public key encryption (CLPKE) scheme without redundancy. Our construction provides optimal bandwidth and a quite efficient decryption process among all the existing CLPKE schemes. It is provably secure against adaptive chosen ciphertext attacks in the random oracle model under a slightly stronger assumption.

**keywords**: certificateless public key encryption, redundancy, short ciphertext, bilinear pairing, random oracle.

## 1 Introduction

Easy key distribution makes public key cryptography attract much attention from the research area. One of the most important topics in the implementation of public key cryptosystems is how to guarantee the authenticity of public keys. In traditional public key cryptography, the authenticity of public keys is ensured by certificates signed by a certificate authority (CA). But the issues associated with certificate management are quite complex and costly. In 1984, Shamir invented a new paradigm called "Identity-based Cryptography" (ID-PKC) [16] which eliminates the need for certificate by deriving public keys for users directly from their human-memorizable information, such as e-mail address and IP address. The private keys are fully generated by a Private Key Generator (PKG) which inevitably introduces the key escrow problem.

In 2003, Al-Riyami and Paterson brought forth the notion of "Certificateless Public Key Cryptography" (CL-PKC) [1] to solve the inherent key escrow problem in ID-PKC and meanwhile keep the attractive *certificateless* property. A subsequent work by Yum-Lee [19] considered a

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generic construction of certificateless encryption. However their construction was insecure against Type II adversaries as showed by Galindo et al. In 2005, Al-Rivani and Paterson gave another CLPKE scheme [2] which was independently broken and modified by Libert-Quisquater [13] and Zhang-Feng [20]. The Cheng-Comley scheme [6], which is very similar to Zhang-Feng scheme [20], was proved to be secure in the weak Type Ib<sup>\*</sup> security model. Papers by Baek et al. [3] and Sun et al. [18] showed certificateless encryption schemes without pairing, which enjoys a better efficiency than pairing-based proposals. But it prevents users from generating their public keys independently from the Key Generator Center (KGC). Among other related results, we mention the paper by Libert-Quisquater [13]. They presented four provably secure CLPKE constructions by applying the improved Fujisaki-Okamoto transformation to weakly secure certificateless schemes. Their forth concrete construction is similar to the Shi-Li [17] scheme but is more efficient. Very recently, Dent [7] surveyed all known security models that had been proposed for CLPKE, and presented a consistent, new nomenclature for these models. In addition, there are some achievements on CLPKE that are provably secure in the standard model [14,11,8]. We may notice that, to resist chosen ciphertext attacks, all the concrete CLPKE schemes use the Fujisaki-Okamoto-style technique [9,10], which introduces redundancies in ciphertexts; and those generic constructions also produce long ciphertexts. Since redundancy will aggravate the load of the cryptosystem, especially in communication cost, which is extremely disliked in the bandwidth limited network, our motivation focuses on removing redundancy of ciphertexts in certificateless setting.

Recently, Libert and Quisquater [12] showed a hybrid variant of the Boneh-Franklin identity based encryption (IBE) [5] without introducing redundancies in ciphertexts that are thus shorter than that in the original IBE scheme. Their construction was realized by combining an identity based Key Encapsulation Mechanism (KEM) and an IND-CCA secure Data Encapsulation Mechanism (DEM). We think their technique is useful for us to construct a certificateless encryption scheme without redundancy. Though this was also mentioned at the end of section 3 in [12], their proposal is fragile against the Type I adversary under adaptive chosen ciphertext attacks. It is because the certificateless encryption scheme they used is not secure as pointed out by Libert-Quisquater [13] and Zhang-Feng [20]. Note that "without redundancy" here means the expanded ciphertext size is zero beyond both the plaintext size and necessary randomness size for CCA security. Our Contributions. In this paper, by extending the technique of Libert and Quisquater [12] to the certificateless setting, we present the first secure CLPKE scheme without redundancy. Our construction enjoys better performances both in ciphertext length and the decryption process than all the existing CLPKE schemes. We also give a formal security proof against adaptive chosen ciphertext attacks in the random oracle model.

Our paper proceeds as follows. Section 2 gives definitions of certificateless public key encryption, the security model and some computational problems. Our CLPKE construction is presented in Section 3 followed by the security discussion in Section 4. Then in Section 5, we compare our scheme with some other existing ones. The last section gives our concluding remarks.

# 2 Preliminaries

In this section, we review the definitions of certificateless public key encryption (CLPKE), the security model of CLPKE, and some computational problems which form the basis of the security for our new scheme.

## 2.1 Definition of Certificateless Public Key Encryption

A certificateless public key encryption scheme is defined by seven probabilistic, polynomial-time algorithms:

- Setup: Taking as input a security parameter k, the key generation center (KGC) runs this algorithm to generate a master key msk and a list of public parameters *params*.
- Partial-Private-Key-Extract: Taking as input msk, params and a user's identity ID, KGC runs this algorithm to generate a partial private key  $D_{ID}$  for the user.
- Set-Secret-Value: Taking as input *params* and ID, the user runs this algorithm to generate a secret value  $x_{ID}$ .
- Set-Private-Key: Taking as input *params*,  $D_{ID}$  and  $x_{ID}$ , the user runs this algorithm to generate a private key  $S_{ID}$ .
- Set-Public-Key: Taking as input *params* and  $x_{ID}$ , the user runs this algorithm to generate a public key  $P_{ID}$ .
- Encrypt: Taking as input a message m, params, a user's identity ID and public key  $P_{ID}$ , a message sender runs this algorithm to return a ciphertext C.
- Decrypt: Taking as input the ciphertext C, params and the private key  $S_{ID}$ , the user runs this algorithm to return a message m.

# 2.2 Security Model

A general adversary  $\mathcal{A}$  against a CLPKE scheme may carry out actions as follows:

- Partial Private Key Extraction query  $\mathsf{PPK}(ID)$ : On receiving such a query, the challenger  $\mathcal{C}$  responds by running algorithm Partial-Private-Key-Extract to generate the partial private key  $D_{ID}$ .
- **Private Key Extraction query** PrK(ID): On receiving such a query, if the public key has not been replaced, then C can respond by running algorithm Set-Private-Key to generate the private key  $S_{ID}$ . But it is unreasonable to expect C to be able to respond to such a query if the public key has been replaced.
- Public Key request query PK(ID): On receiving such a query, C responds by running algorithm Set-Public-Key to generate the public key  $P_{ID}$  (first running Set-Secret-Value if necessary).
- **Public Key Replacement**  $\mathsf{PKR}(ID)$ : Such a query allows the adversary  $\mathcal{A}$  to replace the public key of a user ID with any value of its choice. The new value will be recorded and will be used by  $\mathcal{C}$  in the coming computations or responses to the adversary's queries.
- **Decryption query**  $D(ID, P_{ID}, C)$ : On receiving such a query, the challenger returns the correct decryption of ciphertext C under identity ID and public key  $P_{ID}$ , even if the corresponding public key for the user ID has been replaced. This is a rather strong property for the security model (after all, the challenger may no longer know the correct private key). However, this capability gives the adversary more power in breaking the scheme. For further discussion of this feature, see [1].

The security model distinguishes two types of adversaries.

A CLPKE Type I Adversary: Such an adversary  $\mathcal{A}_I$  does not have access to the master key, but may replace public keys of users with values of its choice, as well as request public keys, extract partial private and private keys, and make decryption queries. There are some restrictions on this type of adversary:

- $\mathcal{A}_I$  cannot extract the partial private or the private key for the challenge identity  $ID^*$  at any point.
- $\mathcal{A}_I$  cannot request the private key for any identity if the corresponding public key has been replaced.
- In Phase 2,  $\mathcal{A}_I$  cannot make a decryption query on the challenge ciphertext  $C^*$  for the combination  $(ID^*, P_{ID^*})$  that was used to encrypt one of the challenge plaintexts.

A CLPKE Type II Adversary: Such an adversary  $\mathcal{A}_{II}$  does have access to the master key msk, but may not replace public keys of users.  $\mathcal{A}_{II}$  can compute partial private keys for all users thanks to its knowledge of the master key msk. It can also request public keys, make private key extraction queries and decryption queries. The restrictions on this type of adversary are:

- $\mathcal{A}_{II}$  cannot replace public keys at any point.
- $\mathcal{A}_{II}$  cannot extract the private key for the challenge identity  $ID^*$  at any point.
- In Phase 2,  $\mathcal{A}_{II}$  cannot make a decryption query on the challenge ciphertext  $C^*$  for the challenge identity  $ID^*$ .

**Definition 1.** A CLPKE scheme is said to be secure against adaptive chosen ciphertext attack (IND-CCA secure) if no polynomially bounded adversary  $\mathcal{A}$  of Type I or Type II has a non-negligible advantage in the following game:

**Setup:** The challenger C takes a security parameter k as input and runs the Setup algorithm. It gives A the resulting public parameters *params*. If A is of Type I, then C keeps the master key msk to himself. Otherwise, he gives msk to A.

**Phase 1:**  $\mathcal{A}$  issues a sequence of requests described above. These queries may be asked adaptively, but are subject to the restrictions on adversary behavior defined above.

**Challenge Phase:** Once  $\mathcal{A}$  decides that Phase 1 is over, it outputs a challenge identity  $ID^*$  with public key  $P_{ID^*}$  and two plaintexts  $(m_0, m_1)$ . Note that  $\mathcal{A}$  is not allowed to know the private key of  $ID^*$  in any way.  $\mathcal{C}$  now picks a random bit  $\beta \in \{0, 1\}$  and computes  $C^*$ , the encryption of  $m_\beta$  under the current public key  $P_{ID^*}$  for  $ID^*$ . Then  $C^*$  is delivered to  $\mathcal{A}$ .

**Phase 2:** Now  $\mathcal{A}$  issues a second sequence of queries as in Phase 1, again subject to the constraints defined above on the adversary behavior.

**Guess:** Finally,  $\mathcal{A}$  outputs a guess  $\beta'$  for  $\beta$ . The adversary wins the game if  $\beta' = \beta$ . We define  $\mathcal{A}$ 's advantage in this game to be  $\mathsf{Adv}(\mathcal{A}) = 2(\Pr[\beta' = \beta] - \frac{1}{2})$ .

As the construction of an identity-based encryption scheme by Libert and Quisquater [12], our CLPKE scheme makes use of a symmetric cipher that is chosen-ciphertext secure instead of one that only withstands passive attacks.

A symmetric encryption scheme consists of three algorithms (K, E, D). The key generation algorithm K generates a key  $k \to \{0, 1\}^{\lambda}$  for a security parameter  $\lambda$ . The encryption algorithm E takes a key k and a plaintext m to produce a ciphertext  $c = E_k(m)$  while the decryption algorithm takes a key k and a ciphertext c to return  $m/\perp = D_k(c)$ .

For easy reading, we review the notion of chosen ciphertext security for a symmetric encryption scheme described by Libert and Quisquater in [12] as follows:

**Definition 2.** A symmetric encryption scheme is said to be chosen-ciphertext secure if no polynomially bounded adversary  $\mathcal{A}$  has a non-negligible advantage in the following game:

- The challenger chooses a key  $k \in \{0, 1\}^{\lambda}$ .
- $\mathcal{A}$  queries the encryption oracle  $E_k()$  and the decryption oracle  $D_k()$ .
- $\mathcal{A}$  outputs two challenge messages  $(m_0, m_1)$  that were not submitted to the encryption oracle  $E_k()$  or obtained from the decryption oracle  $D_k()$ . The challenger computes  $c^* = E_k(m_\beta), \beta \in \{0, 1\}$  and sends it to  $\mathcal{A}$ .
- $\mathcal{A}$  issues more queries as in the second step, but is not allowed to ask for the decryption of  $c^*$  and the encryption of  $m_0$  or  $m_1$ .
- $\mathcal{A}$  outputs a guess  $\beta'$  for  $\beta$ . We define  $\mathcal{A}$ 's advantage in this game to be  $\mathsf{Adv}(\mathcal{A}) = 2(\Pr[\beta' = \beta] \frac{1}{2}).$

The modes of operations CMC [?] and EME [?] were shown to be chosen-ciphertext secure if the underlying block cipher is a strong pseudorandom permutation.

#### 2.3 Computational Problems

Let  $\mathbb{G}_1$  denote an additive group of prime order q and  $\mathbb{G}_2$  a multiplicative group of the same order. We let P denote a generator of  $\mathbb{G}_1$ . For us, a pairing is a map  $e : \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2$  with the following properties:

- 1. Bilinear: given  $Q, W, Z \in \mathbb{G}_1$ , we have  $e(Q, W+Z) = e(Q, W) \cdot e(Q, Z)$ and  $e(Q + W, Z) = e(Q, Z) \cdot e(W, Z)$ .
- 2. Non-degenerate:  $e(P, P) \neq 1_{\mathbb{G}_2}$ .
- 3. Computable: for any  $Q, W \in \mathbb{G}_1, e(Q, W)$  can be computed efficiently.

Next, we introduce some computational problems that form the basis of the security for our CLPKE scheme.

**Definition 3 (Computational Diffie-Hellman (CDH) problem).** Let  $G_1$  be as above. The CDH problem in  $G_1$  is that given  $\langle P, aP, bP \rangle$ with uniformly random choices of  $a, b \in \mathbb{Z}_a^*$ , compute  $abP \in \mathbb{G}_1$ . Let  $\mathcal{B}$  be a CDH attacker.  $\mathcal{B}$ 's advantage to solve the CDH problem is defined as  $\mathsf{Adv}(\mathcal{B}) = \Pr[\mathcal{B}(P, aP, bP) = abP]$ . Here the probability is measured over the random choices of  $a, b \in \mathbb{Z}_q^*$  and the random bits of  $\mathcal{B}$ .

**Definition 4 (Bilinear Diffie-Hellman (BDH) problem).** Let  $G_1, G_2, e$ be as above. The BDH problem in  $\langle \mathbb{G}_1, \mathbb{G}_2, e \rangle$  is that given  $\langle P, aP, bP, cP \rangle$ with uniformly random choices of  $a, b, c \in \mathbb{Z}_a^*$ , compute  $e(P, P)^{abc} \in \mathbb{G}_2$ .

**Definition 5 (Decision Bilinear Diffie-Hellman (DBDH) problem).** Let  $G_1, G_2, e$  be as above. The DBDH problem in  $\langle \mathbb{G}_1, \mathbb{G}_2, e \rangle$  is that given  $\langle P, aP, bP, cP, w \rangle$  with uniformly random choices of  $a, b, c \in \mathbb{Z}_q^*$  and a random element  $w \in \mathbb{G}_2$ , decide whether  $e(P, P)^{abc} = w$ .

**Definition 6 (Gap Bilinear Diffie-Hellman (Gap-BDH) problem).** Let  $G_1, G_2, e$  be as above. The Gap-BDH problem in  $\langle \mathbb{G}_1, \mathbb{G}_2, e \rangle$  is that given (P, aP, bP, cP), compute  $e(P, P)^{abc}$  with the help of a DBDH oracle, which, given (P, aP, bP, cP, w), output 1 if  $w = e(P, P)^{abc}$  and 0 otherwise.

Let  $\mathcal{B}$  be a Gap-BDH attacker.  $\mathcal{B}$ 's advantage to solve the Gap-BDH problem is defined as  $\mathsf{Adv}(\mathcal{B}) = \Pr[\mathcal{B}^{\mathcal{O}_{\mathcal{D}\mathcal{B}\mathcal{D}\mathcal{H}}}(P, aP, bP, cP) = e(P, P)^{abc}]$ . Here the probability is measured over the random choices of  $a, b, c \in \mathbb{Z}_q^*$  and the random bits of  $\mathcal{B}$ .

## 3 Our scheme

In this section, we present a redundancy-free certificateless public key encryption scheme that provides optimal bandwidth and more efficient decryption process than all known certificateless encryption schemes.

- Setup:
  - 1. On input a security parameter k, this algorithm outputs a pair of bilinear groups  $(\mathbb{G}_1, \mathbb{G}_2)$  of prime order q and a bilinear pairing  $e: \mathbb{G}_1 \times \mathbb{G}_1 \to \mathbb{G}_2$ .
  - 2. Choose a generater  $P \in \mathbb{G}_1$ .
  - 3. Select a master key  $s \in_R \mathbb{Z}_q^*$  and set  $P_0 = sP$ .
  - 4. Choose cryptographic hash functions  $H_1 : \{0,1\}^* \to \mathbb{G}_1^*, H_2 : \mathbb{G}_1^3 \times \mathbb{G}_2 \to \{0,1\}^{\lambda}$ , as well as a chosen-ciphertext secure cipher (K, E, D) of keylength  $\lambda$ , where  $\lambda$  is polynomial in k.

The public parameters are params =  $\langle \mathbb{G}_1, \mathbb{G}_2, e, q, P, P_0, H_1, H_2, \lambda, E, D, n \rangle$ and the master key msk is s. The plaintext space is  $\mathcal{M} = \{0, 1\}^n$ , and the ciphertext space is  $\mathcal{C} = \mathbb{G}_1 \times \{0, 1\}^n$ 

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- Partial-Private-Key-Extract: This algorithm takes as input params, msk and ID. It computes  $Q_{ID} = H_1(ID)$  and outputs  $D_{ID} = sQ_{ID}$  as the partial private key for the user with identity ID.
- Set-Secret-Value: This algorithm takes as input *params* and *ID*. It selects  $x_{ID} \in_R \mathbb{Z}_q^*$  and outputs  $x_{ID}$  as the secret value.
- Set-Private-Key: This algorithm takes as input *params*, the partial private key  $D_{ID}$  and secret value  $x_{ID}$ . It returns  $S_{ID} = (x_{ID}, D_{ID})$  as the private key.
- Set-Public-Key: This algorithm takes as input *params* and the secret value  $x_{ID}$  and returns  $P_{ID} = x_{ID}P$  as the public key.
- Encrypt: To encrypt  $m \in \mathcal{M}$  for the user with identity ID and public key  $P_{ID}$ , this algorithm performs as follows:
  - 1. Choose  $r \in_R \mathbb{Z}_q^*$  and compute U = rP.
  - 2. Compute  $Q_{ID} = H_1(ID)$ .
  - 3. Compute  $SK = H_2(Q_{ID}, U, rP_{ID}, e(Q_{ID}, P_0)^r)$  and  $V = E_{SK}(m)$ . Output  $C = \langle U, V \rangle$  as the ciphertext.
- Decrypt: To decrypt the ciphertext  $C = \langle U, V \rangle$  using private key  $S_{ID} = (x_{ID}, D_{ID})$ , this algorithm computes  $SK = H_2(Q_{ID}, U, x_{ID}U, e(D_{ID}, U))$  and returns  $m = D_{SK}(V)$ .

# 4 The Security

**Theorem 1.** Our CLPKE scheme is IND-CCA secure in the random oracle model, assuming that the Gap-BDH problem and the CDH problem are intractable.

This theorem follows from two lemmas below depending on the type of adversaries.

**Lemma 1.** Suppose  $H_1, H_2$  are random oracles and there exists a Type I IND-CCA adversary  $\mathcal{A}_I$  against our scheme with advantage  $\epsilon$  within time t, making  $q_{par}$  partial private key extraction queries,  $q_{pub}$  public key requests,  $q_D$  decryption queries and  $q_i$  random oracle queries to  $H_i$  (i = 1, 2). Then, for any  $0 \leq \nu \leq \epsilon$ , there exists

- an algorithm  $\mathcal{B}$  that can solve the Gap-BDH problem with advantage  $\epsilon' \geq \frac{1}{q_1}(\epsilon \nu)$  within time  $t' \leq t + (q_1 + q_{par} + q_{pub})\tau_{mul} + q_2\phi + q_D(\tau_{sym} + 2\varphi).$
- or an attacker that breaks the chosen-ciphertext security of the symmetric encryption scheme (K, E, D) with advantage ν within time t'.

where  $\tau_{mul}$  denotes the time for a scalar multiplication in  $\mathbb{G}_1$ ,  $\phi$  is the time for a call to the DBDH oracle, while  $\tau_{sym}$  and  $\varphi$  respectively denote the time for a symmetric decryption and the one for a pairing computation.

*Proof.* We assume that the symmetric encryption scheme used here is chosen-ciphertext secure.

Let  $\mathcal{A}_I$  be a Type I IND-CCA adversary against our CLPKE scheme and  $(P, aP, bP, cP, \mathcal{O}_{DBDH})$  be an input of a random instance of the Gap-BDH problem, where  $\mathcal{O}_{DBDH}$  is a decision oracle that on input (P, aP, bP, cP, w), answers 1 if  $w = e(P, P)^{abc}$  and 0 otherwise. We show how to construct from  $\mathcal{A}_I$  an algorithm  $\mathcal{B}$  to solve the Gap-BDH problem.

 $\mathcal{B}$  begins by choosing an index I uniformly at random with  $1 \leq I \leq q_1$ and then gives  $\mathcal{A}_I \ params = \langle \mathbb{G}_1, \mathbb{G}_2, e, q, P, P_0 = aP, H_1, H_2, \lambda, E, D, n \rangle$ , where  $H_1, H_2$  are random oracles controlled by  $\mathcal{B}$ .

 $\mathcal{A}_I$  may make hash queries to random oracles  $H_1, H_2$  at any time during its attack and  $\mathcal{B}$  responds as follows:

 $H_1$  queries:  $\mathcal{B}$  maintains a  $H_1$  List of tuples  $\langle ID_i, Q_i, d_i \rangle$ . On receiving a  $H_1$  query on  $ID_i$ ,  $\mathcal{B}$  does the following:

- 1. If there is a tuple of the form  $\langle ID_i, Q_i, d_i \rangle$  on the  $H_1$  list, then  $\mathcal{B}$  returns  $Q_i$  as answer.
- 2. Otherwise, if  $i \neq I$ , choose  $d_i \in_R \mathbb{Z}_q^*$ , compute  $Q_i = d_i P$ , add  $\langle ID_i, Q_i, d_i \rangle$  to the  $H_1$  list and return  $Q_i$  as answer.
- 3. If i = I, add  $\langle ID_i, Q_i = cP, ? \rangle$  to the  $H_1$  list and return cP as answer.

 $H_2$  queries: These queries are processed using three lists  $L_{21}, L_{22}$  and  $L_{23}$  which are initially empty.

- $L_{21}$  contains tuples  $(Q_i, U_j, u_j, w_j, h_{2j})$ .
- $L_{22}$  contains tuples  $(Q_i, U_j, u_j, w_j)$  such that  $(Q_i, U_j, u_j, w_j, h_{2j})$  exists in  $L_{21}$  and  $\mathcal{O}_{DBDH}(Q_i, P_0, U_j, w_j) = 1$ .
- $L_{23}$  contains tuples  $(Q_i, U_j, u_j, h_{2j})$  for which  $\mathcal{B}$  has implicitly assigned a value  $h_{2i} \in \{0, 1\}^{\lambda}$  to  $H_2(Q_i, U_j, u_j, w_j)$ , though the value  $w_j$  such that  $\mathcal{O}_{DBDH}(Q_i, P_0, U_j, w_j) = 1$  is unknown.

More precisely, when  $\mathcal{B}$  receives a  $H_2$  query on (Q, U, u, w),

- $\mathcal{B}$  first checks if  $L_{21}$  contains a tuple of the form  $(Q, U, u, w, h_2)$ . If it does,  $h_2$  is returned to  $\mathcal{A}_I$ .
- Otherwise,  $\mathcal{B}$  submits  $(Q, P_0, U, w)$  to the oracle  $\mathcal{O}_{DBDH}$  which decides whether it is a valid BDH tuple.
  - If it is, then
    - \* If U = bp and  $Q = Q_I$ , we output w as the searched solution.

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  - \* Otherwise,  $\mathcal{B}$  adds (Q, U, u, w) to the list  $L_{22}$ .
  - \* If  $L_{23}$  contains a tuple  $(Q, U, u, h_2)$ ,  $\mathcal{B}$  adds  $(Q, U, u, w, h_2)$  to the list  $L_{21}$  and  $h_2$  is returned to  $\mathcal{A}_I$ .
  - Otherwise,  $\mathcal{B}$  selects  $h_2 \in_R \{0, 1\}^{\lambda}$ , inserts the tuple  $(Q, U, u, w, h_2)$  to the list  $L_{21}$  and  $h_2$  is returned to  $\mathcal{A}_I$ .

**Phase 1:**  $\mathcal{A}_I$  launches Phase 1 of its attack by making a series of requests, each of which is either a partial private key extraction, a private key extraction, a request of a public key, a public key replacement or a decryption query. We assume that  $\mathcal{A}_I$  always makes the appropriate  $H_1$  query before making one of these requests.

**Partial Private Key Extraction:**  $\mathcal{B}$  maintains a partial private key list of tuples  $\langle ID_i, D_i \rangle$ . On receiving a partial private key extraction query  $\mathsf{PPK}(ID_i)$ ,  $\mathcal{B}$  responds as follows:

- 1. If there is a tuple of the form  $\langle ID_i, D_i \rangle$  on the partial private key list, return  $D_i$  as answer.
- 2. Otherwise, if  $i \neq I$ , search the  $H_1$  list for a tuple  $\langle ID_i, Q_i, d_i \rangle$ , compute  $D_i = d_i P_0$ , add  $\langle ID_i, D_i \rangle$  to the partial private key list and return  $D_i$  as answer.
- 3. If i = I,  $\mathcal{B}$  aborts.

**Private Key Extraction:**  $\mathcal{B}$  maintains a private key list of tuples  $\langle ID_i, (x_i, D_i) \rangle$ . On receiving a private key extraction query  $\mathsf{PrK}(ID_i)$ ,  $\mathcal{B}$  responds as follows:

- 1. If there is a tuple of the form  $\langle ID_i, (x_i, D_i) \rangle$  on the private key list, return  $(x_i, D_i)$  as answer.
- 2. Otherwise, if  $i \neq I$ , run the simulation algorithm of public key request to get a tuple  $\langle ID_i, P_i, x_i \rangle$  and the simulation algorithm of partial private key extraction to get a tuple  $\langle ID_i, D_i \rangle$ , add  $\langle ID_i, (x_i, D_i) \rangle$  to the private key list and return  $(x_i, D_i)$  as answer.
- 3. If i = I,  $\mathcal{B}$  aborts.

**Public Key request:**  $\mathcal{B}$  maintains a public key list of tuples  $\langle ID_i, P_i, x_i \rangle$ . On receiving a query on  $\mathsf{PK}(ID_i)$ ,  $\mathcal{B}$  responds as follows:

- 1. If there is a tuple of the form  $\langle ID_i, P_i, x_i \rangle$  on the public key list, return  $P_i$  as answer.
- 2. Otherwise, choose  $x_i \in_R \mathbb{Z}_q^*$ , compute  $P_i = x_i P$ , add  $\langle ID_i, P_i, x_i \rangle$  to the public key list and return  $P_i$  as answer.

**Public Key Replacement:**  $\mathcal{A}$  may replace public keys with new values of its choices and  $\mathcal{B}$  records all these changes.

**Decryption queries:** Suppose the decryption query is  $D(ID_i, P_{ID_i}, C = \langle U, V \rangle)$ . If the public key has not been replaced,  $\mathcal{B}$  searches the public key list for  $\langle ID_i, P_{ID_i}, x_i \rangle$  and computes  $u_i = x_i U$ . Then  $\mathcal{B}$  does the following:

- It checks if  $(Q_i, U, u_i, w_i)$  exists in  $L_{22}$  for some  $w_i \in \mathbb{G}_2$  (satisfying  $e(U, P_{ID_i}) = e(u_i, P)$  if the public key has been replaced). If it does,  $\mathcal{B}$  retrieves the tuple  $(Q_i, U, u_i, w_i, h_{2i})$  from  $L_{21}$  and computes  $m = D_{h_{2i}}(V)$ .
- Otherwise, it tests whether  $L_{23}$  contains a tuple  $(Q_i, U, u_i, h_{2i})$  (satisfying  $e(U, P_{ID_i}) = e(u_i, P)$  if the public key has been replaced) for some  $h_{2i} \in \{0, 1\}^{\lambda}$ . If it does,  $h_{2i}$  is used to do the decryption  $m = D_{h_{2i}}(V)$  which is returned as a result. Otherwise,  $\mathcal{B}$  chooses a random  $h_2 \in \{0, 1\}^{\lambda}$  and adds  $(Q_i, U, u_i, h_2)$  (or  $(Q_i, U, ?P_{ID_i}, h_2)$ when the public key has been replaced) to  $L_{23}$ , while  $m = D_{h_2}(V)$  is returned to  $\mathcal{A}_I$ .

**Challenge Phase:**  $\mathcal{A}_I$  picks  $ID^*$  with public key  $P_{ID^*}$  and two messages  $m_0, m_1$  on which it wishes to be challenged. If  $ID^* \neq ID_I$ ,  $\mathcal{B}$  aborts. Otherwise,  $\mathcal{B}$  performs the following:

- 1. Set  $U^* = bP$ .
- 2. If the public key has not been replaced,  $\mathcal{B}$  searches the public key list for  $\langle ID^*, P_{ID^*}, x^* \rangle$  and computes  $u^* = x^*U^*$ . Then  $\mathcal{B}$  checks if  $(Q_{ID^*}, U^*, u^*, h_2^*)$  exists in  $L_{23}$  for some  $h_2^* \in \{0, 1\}^{\lambda}$ . (If the public key has been replaced,  $e(U^*, P_{ID^*}) = e(u^*, P)$  should be satisfied.)
  - (a) If it does,  $\mathcal{B}$  computes  $V^* = E_{h_2^*}(m_\beta), \beta \in_R \{0, 1\}.$
  - (b) Otherwise,  $\mathcal{B}$  chooses a random  $h_2^* \in \{0, 1\}^{\lambda}$ , inserts  $(Q_{ID^*}, U^*, u^*, h_2^*)$ (or  $(Q_{ID^*}, U^*, ?P_{ID^*}, h_2^*)$  when the public key has been replaced) to  $L_{23}$  and then computes  $V^* = E_{h_2^*}(m_\beta), \beta \in_R \{0, 1\}.$
- 3. Return  $C^* = (U^*, V^*)$  as the challenge ciphertext.

**Phase 2:**  $\mathcal{B}$  continues to respond to  $\mathcal{A}_I$ 's requests in the same way as it did in Phase 1. Note that  $\mathcal{A}$  can not make queries on the partial private or the private key for  $ID^*$ . Also, no decryption query should be made on  $C^*$  for the combination of  $ID^*$  and  $P_{ID^*}$ .

**Guess:** Eventually,  $\mathcal{A}_I$  should make a guess  $\beta'$  for  $\beta$ .

Analysis. We let  $AskH_2$  denote the event that  $(Q_{ID^*}, U^*, u^*, w^*)$  is queried to  $H_2$  and  $\neg Abort$  the event that  $\mathcal{B}$  does not abort during the simulation game. Suppose  $\mathsf{E} = AskH_2 |\neg Abort$ . Then

$$\Pr[\beta' = \beta] = \Pr[\beta' = \beta | \mathsf{E}] \Pr[\mathsf{E}] + \Pr[\beta' = \beta | \neg \mathsf{E}] \Pr[\neg \mathsf{E}]$$

By the definition, we know that  $\Pr[\beta' = \beta] \ge \frac{1+\epsilon}{2}$ . If the event  $\mathsf{E}$  does not occur, the probability of correct guess for  $\mathcal{A}_I$  relies on the security level of the symmetric encryption scheme we adopted here, so we have  $\Pr[\beta' = \beta | \neg \mathsf{E}] \le \frac{1+\nu}{2}$ , where  $\nu$  denotes the advantage of the symmetric encryption attacker. Hence, it is not difficult for us to obtain

$$\frac{1+\epsilon}{2} \leqslant \Pr[\mathsf{E}] + \frac{1+\nu}{2} \Pr[\neg\mathsf{E}] = \frac{1+\nu}{2} + \frac{1-\nu}{2} \Pr[\mathsf{E}]$$

then

$$\Pr[\mathsf{E}] \geqslant \frac{\epsilon - \nu}{1 - \nu} \geqslant \epsilon - \nu$$

Finally, we get

$$\begin{split} \epsilon - \nu \leqslant \Pr[\mathsf{E}] \leqslant \frac{\Pr[\mathsf{AskH}_2]}{\Pr[\neg\mathsf{Abort}]} \leqslant \frac{\Pr[\mathsf{AskH}_2]}{\frac{1}{q_1}}\\ \Pr[\mathsf{AskH}_2] \geqslant \frac{1}{q_1}(\epsilon - \nu) \end{split}$$

If  $AskH_2$  happens,  $\mathcal{B}$  can give his solution to the Gap-BDH problem directly. That is to say  $\epsilon' = \Pr[AskH_2]$ . Hence, we obtain

$$\epsilon' \ge \frac{1}{q_1}(\epsilon - \nu)$$

The running time is  $t' \leq t + (q_1 + q_{par} + q_{pub})\tau_{mul} + q_2\phi + q_D(\tau_{sym} + 2\varphi)$ , where  $\tau_{mul}$  denotes the time for a scalar multiplication in  $\mathbb{G}_1$ ,  $\phi$  is the time for a call to the DBDH oracle, while  $\tau_{sym}$  and  $\varphi$  respectively denote the time for a symmetric decryption and the one for a pairing computation.

**Lemma 2.** Suppose  $H_1, H_2$  are random oracles and there exists a Type II IND-CCA adversary  $\mathcal{A}_{II}$  against our CLPKE scheme with advantage  $\epsilon$  within time t, making  $q_{pri}$  private key extraction queries,  $q_{pub}$  public key requests,  $q_D$  decryption queries and  $q_i$  random oracle queries to  $H_i$  (i = 1, 2). Then, for any  $0 \leq \nu \leq \epsilon$ , there exists

- an algorithm  $\mathcal{B}$  that can solve the CDH problem with advantage  $\epsilon' \geq \frac{1}{q_1}(\epsilon \nu)$  within time  $t' \leq t + (q_{pri} + q_{pub})\tau_{mul} + 2q_2\varphi + q_D\tau_{sym}$ .
- or an attacker that breaks the chosen-ciphertext security of the symmetric encryption scheme (K, E, D) with advantage  $\nu$  within time t'.

where  $\tau_{mul}$  denotes the time for a scalar multiplication in  $\mathbb{G}_1$ , while  $\varphi$  and  $\tau_{sym}$  respectively denote the time for a pairing computation and the one for a symmetric decryption.

*Proof.* We assume that the symmetric scheme used here is chosen-ciphertext secure with advantage  $\nu$ .

Let  $\mathcal{A}_{II}$  be a Type II IND-CCA adversary against our CLPKE scheme and (P, aP, bP) be an instance of the CDH problem. We show how to construct from  $\mathcal{A}_{II}$  an algorithm  $\mathcal{B}$  to solve the CDH problem.

 $\mathcal{B}$  begins by choosing an index I uniformly at random with  $1 \leq I \leq q_1$ .  $\mathcal{B}$  selects  $s \in_R \mathbb{Z}_q^*$ , computes  $P_0 = sP$  and supplies  $\mathcal{A}_{II}$  with the public parameters params =  $\langle \mathbb{G}_1, \mathbb{G}_2, e, q, P, P_0, H_1, H_2, \lambda, E, D, n \rangle$  together with the master key s, where  $H_1$  and  $H_2$  are random oracles controlled by  $\mathcal{B}$ .

 $\mathcal{A}_{II}$  may make queries to random oracles  $H_i(i = 1, 2)$  at any time during its attack and  $\mathcal{B}$  responds as follows:

 $H_1$  queries:  $\mathcal{B}$  maintains a  $H_1$  list of tuples  $\langle ID_i, Q_i \rangle$ . On receiving a  $H_1$  query on  $ID_i$ ,  $\mathcal{B}$  does the following:

- 1. If there is a tuple of the form  $\langle ID_i, Q_i \rangle$  on the  $H_1$  list, then  $\mathcal{B}$  returns  $Q_i$  as answer.
- 2. Otherwise,  $\mathcal{B}$  chooses  $Q_i \in_R \mathbb{G}_1^*$ , adds  $\langle ID_i, Q_i \rangle$  to the  $H_1$  list and returns  $Q_i$  as answer.

 $H_2$  queries: these queries are processed using three lists  $L_{21}$ ,  $L_{22}$  and  $L_{23}$  which are initially empty.

- $L_{21}$  contains tuples  $(Q_i, U_j, u_j, w_j, h_{2j})$ .
- $L_{22}$  contains tuples  $(Q_i, U_j, u_j, w_j)$  such that  $(Q_i, U_j, u_j, w_j, h_{2j})$  exists in  $L_{21}$  and  $e(P_i, U_j) = e(u_j, P)$ .
- $L_{23}$  contains tuples  $(Q_i, U_j, w_j, h_{2j})$  for which  $\mathcal{B}$  has implicitly assigned a value  $h_{2j} \in \{0, 1\}^{\lambda}$  to  $H_2(Q_i, U_j, u_j, w_j)$ , though the value  $u_j$  such that  $e(P_i, U_j) = e(u_j, P)$  is unknown.

More precisely, when  $\mathcal{B}$  receives a  $H_2$  query on (Q, U, u, w),

- $\mathcal{B}$  first checks if  $L_{21}$  contains a tuple of the form  $(Q, U, u, w, h_2)$ . if it does,  $h_2$  is returned to  $\mathcal{A}_{II}$ .
- Otherwise,  $\mathcal{B}$  checks whether  $e(P_{ID}, U) = e(u, P)$ .
  - If it is, then
    - \* If U = bp and  $Q = Q_I$ , we output u as the searched solution.
    - \* Otherwise,  $\mathcal{B}$  adds (Q, U, u, w) to the list  $L_{22}$ .
    - \* If  $L_{23}$  contains a tuple  $(Q, U, w, h_2)$ ,  $\mathcal{B}$  adds  $(Q, U, u, w, h_2)$  to the list  $L_{21}$  and  $h_2$  is returned to  $\mathcal{A}_{II}$ .
  - Otherwise,  $\mathcal{B}$  selects  $h_2 \in_R \{0, 1\}^{\lambda}$ , inserts the tuple  $(Q, U, u, w, h_2)$  to the list  $L_{21}$  and  $h_2$  is returned to  $\mathcal{A}_{II}$ .

**Phase 1:**  $\mathcal{A}_{II}$  launches Phase 1 of its attack by making a series of requests, each of which is either a private key extraction, a request of a public key or a decryption query. We assume that  $\mathcal{A}_{II}$  always makes the appropriate  $H_1$  query before making one of these requests.

**Private Key Extraction:**  $\mathcal{B}$  maintains a private key list of tuples  $\langle ID_i, (x_i, D_i) \rangle$ . On receiving a private key extraction query  $\mathsf{PrK}(ID_i)$ ,  $\mathcal{B}$  responds as follows:

- 1. If there is a tuple of the form  $\langle ID_i, (x_i, D_i) \rangle$  on the private key list, return  $(x_i, D_i)$  as answer.
- 2. Otherwise, if  $i \neq I$ , compute  $D_i = sQ_i$ , run the simulation algorithm of public key request to get a tuple  $\langle ID_i, P_i, x_i \rangle$ , add  $\langle ID_i, (x_i, D_i) \rangle$ to the private key list and return  $(x_i, D_i)$  as answer.
- 3. If i = I,  $\mathcal{B}$  aborts.

**Public Key request:**  $\mathcal{B}$  maintains a public key list of tuples  $\langle ID_i, P_i, x_i \rangle$ . On receiving a public key request query  $\mathsf{PK}(ID_i)$ ,  $\mathcal{B}$  responds as follows:

- 1. If there is a tuple of the form  $\langle ID_i, P_i \rangle$  on the public key list, return  $P_i$  as answer.
- 2. Otherwise, if  $i \neq I$ , choose  $x_i \in_R \mathbb{Z}_q^*$ , compute  $P_i = x_i P$ , add  $\langle ID_i, P_i, x_i \rangle$  to the public key list and return  $P_i$  as answer.
- 3. If i = I, set  $P_I = aP$ , add  $\langle ID_i, P_i, ? \rangle$  to the public key list and return aP as answer.

**Decryption queries:** Suppose the decryption query is  $D(ID_i, P_{ID_i}, C = \langle U, V \rangle)$ .

- If  $i \neq I$ ,  $\mathcal{B}$  runs the simulation algorithm of private key extraction to get the private key  $(x_i, D_i)$  for  $ID_i$ . Then  $\mathcal{B}$  decrypts C by using  $(x_i, D_i)$ .
- Otherwise,  $\mathcal{B}$  computes  $w_i = e(U, D_i)$  and then does the following:
  - It checks if  $(Q_i, U, u_i, w_i)$  exists in  $L_{22}$  for some  $u_i$ . If it does,  $\mathcal{B}$  retrieves the tuple  $(Q_i, U, u_i, w_i, h_{2i})$  from  $L_{21}$  and computes  $m = D_{h_{2i}}(V)$ .
  - Otherwise, it tests whether  $L_{23}$  contains a tuple  $(Q_i, U, w_i, h_{2i})$ . In this case,  $h_{2i}$  is used to do the decryption  $m = D_{h_{2i}}(V)$  which is returned as a result. Otherwise,  $\mathcal{B}$  chooses a random  $h_2 \in \{0, 1\}^{\lambda}$ and adds  $(Q_i, U, w_i, h_2)$  to  $L_{23}$ , while  $m = D_{h_2}(V)$  is returned to  $\mathcal{A}_{II}$ .

**Challenge Phase:**  $\mathcal{A}_{II}$  picks  $ID^*$  and two messages  $m_0, m_1$  on which it wishes to be challenged. If  $ID^* \neq ID_I$ ,  $\mathcal{B}$  aborts. Otherwise,  $\mathcal{B}$  does the following:

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- 1. Set  $U^* = bP$ .
- 2. Check whether  $L_{23}$  contains a tuple  $(Q_{ID^*}, U^*, w^*, h_2^*)$  for some  $h_2^* \in \{0, 1\}^{\lambda}$ , where  $w^* = e(U^*, D_{ID^*})$ .
  - (a) If it does,  $\mathcal{B}$  computes  $V^* = E_{h_2^*}(m_\beta), \beta \in_R \{0, 1\}.$
  - (b) Otherwise,  $\mathcal{B}$  chooses a random  $\tilde{h}_2^* \in \{0, 1\}^{\lambda}$ , inserts  $(Q_{ID^*}, U^*, w^*, h_2^*)$  to  $L_{23}$  and then computes  $V^* = E_{h_2^*}(m_\beta), \beta \in_R \{0, 1\}.$
- 3. Return  $C^* = (U^*, V^*)$  as the challenge ciphertext.

**Phase 2:**  $\mathcal{B}$  continues to respond to  $\mathcal{A}_{II}$ 's requests in the same way as it did in Phase 1. Note that  $\mathcal{A}_{II}$  can not make private key extraction queries on  $ID^*$ . If any decryption query is equal to  $C^*$  for  $ID^*$ , then  $\mathcal{B}$  aborts. **Guess:** Eventually,  $\mathcal{A}_{II}$  should make a guess  $\beta'$  for  $\beta$ .

Analysis. It is identical to the Analysis for Lemma 1, i.e. the success probability for  $\mathcal{B}$  is  $\epsilon' \ge \frac{1}{q_1}(\epsilon - \nu)$ . The running time is  $t' \le t + (q_{pri} + q_{pub})\tau_{mul} + 2q_2\varphi + q_D\tau_{sym}$ , where  $\tau_{mul}$  denotes the time for a scalar multiplication in  $\mathbb{G}_1$ , while  $\varphi$  and  $\tau_{sym}$  respectively denote the time for a pairing computation and the one for a symmetric decryption.

## 5 Performance Comparison of the CLPKE schemes

In this section, we show that our scheme has the best performance in both bandwidth and decryption efficiency compared with all the existing CLPKE schemes. All the schemes have three major operations, i.e., Pairing (p), Scalar multiplication(s) and Exponentiation (e). When tabulating the computation efficiency, hash function and block cipher evaluations are ignored. The performance of the CLPKE schemes are listed in Table 1, where we compare the schemes on security model (Sec-Mod), computation complexity of encryption (Enc) and decryption (Dec), ciphertext length (Cipher-Len), security level (Sec-Lev) and security assumption (Sec-Asm). Note that we do not list all the CLPKE schemes but some representative ones.

| Scheme | Sec-Mod | Enc      | Dec           | Cipher-Len  | Sec-Lev     | Sec-Asm    |
|--------|---------|----------|---------------|-------------|-------------|------------|
| [1]    | RO      | 3p+1s+1e | 1p+1s         | $L_r + 2n$  | Strong T-I  | GBDHP[1]   |
| [6]    | RO      | 1p+2s+1e | 1p+2s         | $L_r + 2n$  | Weak T-Ib*  | BDH        |
| [17]   | RO      | 3s+1e    | 1p+3s         | $L_r + 2n$  | Strong T-I* | k-BDHI[17] |
| [13]   | RO      | 1s+2e    | 1p+1s+1e      | $L_r + 2n$  | Strong T-I  | k-BDHI     |
| [14]   | ST      | 1p+4e    | 3p+1e         | $3L_r + 2n$ | Weak T-Ia   | DBDH-1[14] |
| [18]   | RO      | 6e       | $3\mathrm{e}$ | $L_r + 2n$  | Strong T-I* | CDH        |
| [8]    | ST      | 1p+3s+1e | 4p            | $3L_r + n$  | Strong T-I  | 3-DDH[8]   |
| Ours   | RO      | 1p+2s+1e | 1p+1s         | $L_r + n$   | Strong T-I* | Gap-BDH    |

 Table 1. Comparison of the CLPKE schemes

In the table, "RO" means random oracle model and "ST" is standard model;  $L_r$  and n denote the randomness size and the plaintext size, respectively. we can see that our scheme enjoys an excellent efficiency for the receiver who only needs one pairing and one scalar multiplication on decryption. The most attractive, of course, is the optimal bandwidth: the ciphertext only has  $L_r$  more bits than the plaintext which is necessary for an encryption scheme to reach the CCA security level. As to the security level for Type I adversary (Strong T-I, Weak T-Ia, Weak T-Ib\*, etc.) and Type II adversary, we refer the readers to [7].

# 6 Concluding Remarks

We have constructed the first *secure* certificateless public key encryption scheme *without redundancy* by extending the technique of Libert and Quisquater [12] to the certificateless setting. Our scheme outperforms all the existing CLPKE schemes in both bandwidth and the decryption process. In the random oracle model, we have proved that the new scheme is strongly secure against adaptive chosen ciphertext attacks. However, the security relies on the slightly strong Gap-BDH assumption.

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