# Polynomial-Time Equivalence of Computing the CRT-RSA Secret Key(s) and Factoring 

Subhamoy Maitra and Santanu Sarkar<br>Applied Statistics Unit, Indian Statistical Institute, 203 B T Road, Kolkata 700 108, India. \{subho, santanu_r\}@isical.ac.in


#### Abstract

Let $N=p q$ be the product of two large primes. Consider CRT-RSA with the public encryption exponent $e$ and private decryption exponents $d_{p}, d_{q}$. We show that given any one of $d_{p}$ or $d_{q}$ (in addition to the public information $e, N$ ) one can factorize $N$ in probabilistic poly $(\log N)$ time with success probability almost equal to 1 . This result has cryptanalytic implication towards the security of both CRT-RSA and RSA. In this direction, we present $\Omega(\sqrt{N})$ many weak decryption exponents in RSA which were not noted earlier. Further, we present a lattice based deterministic poly $(\log N)$ time algorithm that uses both $d_{p}, d_{q}$ (in addition to the public information $e, N$ ) to factorize $N$.


Ketwords: CRT-RSA, Cryptanalysis, Factorization, LLL Algorithm, RSA.

## 1 Introduction

RSA [18] is one of the most popular cryptosystems in the history of cryptology. Let us first briefly describe the idea of RSA as follows:

- primes $p, q$, with $q<p<2 q$;
$-N=p q, \phi(N)=(p-1)(q-1)$;
$-e, d$ are such that $e d=1+k \phi(N), k \geq 1$;
- $N$, e are publicly available and message $M$ is encrypted as $C \equiv M^{e} \bmod N$;
- the secret key $d$ is required to decrypt the cipher as $M \equiv C^{d} \bmod N$.

The study of RSA is one of the most attractive areas in cryptology research as evident from many excellent works (one may refer $[2,10,16]$ and the references therein for detailed information). The paper [18] itself presents a probabilistic polynomial time algorithm that on input $N, e, d$ provides the factorization of $N$; this is based on the technique provided by [17]. One may also have a look at [19, Page 197]. Recently in [15, 7] it has been proved that given $N, e, d$, one can factor $N$ in deterministic polynomial time provided $e d \leq N^{2}$.

Speeding up RSA encryption and decryption is of serious interest and for large $N$ as both $e, d$ cannot be small at the same time. For fast encryption, it is possible to use smaller $e$ and $e$ as small as $2^{16}+1$ is widely believed to be a good candidate. For fast decryption, the value of $d$ needs to be small. However, Wiener [20] showed that for $d<\frac{1}{3} N^{\frac{1}{4}}, N$ can be factor easily. Later, Boneh-Durfee [3] increased this bound up to $d<N^{0.292}$. Thus use of smaller $d$ is in general not recommendable. In this direction, an alternative approach has been proposed by Wiener [20] exploiting the Chinese Remainder Theorem (CRT) for faster decryption. The idea is as follows:

- the public exponent $e$ and the private CRT exponents $d_{p}$ and $d_{q}$ are used satisfying $e d_{p} \equiv 1 \bmod (p-1)$ and $e d_{q} \equiv 1 \bmod (q-1)$;
- the encryption is same as standard RSA;
- to decrypt a ciphertext $C$ one needs to compute $M_{1} \equiv C^{d_{p}} \bmod p$ and $M_{2} \equiv C^{d_{q}} \bmod q$;
- using CRT, one can get the message $M \in \mathbb{Z}^{n}$ such that $M \equiv M_{1} \bmod p$ and $M \equiv$ $M_{2} \bmod q$.

This variant of RSA is popularly known as CRT-RSA.
Now consider the following two problems.

1. Given $N, e$ and any one of $d_{p}, d_{q}$, can we factorize $N$ ? We solve this in probabilistic polynomial time.
2. Given $N, e$ and both $d_{p}, d_{q}$, can we factorize $N$ ? We solve this in deterministic polynomial time.

In practice, solving the first problem is enough and we solve it using some number theoretic results (in a similar fashion that is presented in [19, Page 197], though our technique requires some nontrivial modifications). However, from theoretical point of view, getting a deterministic polynomial time algorithm for factorization of $N$ with the knowledge of $N, e, d_{p}, d_{q}$ is important and we solve it using lattice based technique in the direction of [5].

Let us now present the cryptographic importance of our first result.

1. If by any chance either $d_{p}$ or $d_{q}$ is known, then $p, q$ are known and thus the same prime pair can never be used with other exponents.
2. In CRT-RSA, it is natural to think that knowing both $d_{p}, d_{q}$ is important for attacking the scheme. However, our result shows that knowing any of them is enough. Thus if one tries for an exhaustive search, then attempting for the smaller of $d_{p}, d_{q}$ suffices.
3. In terms of RSA, we find that there are $\Omega(\sqrt{N})$ many weak decryption exponents.

The organization of the paper is as follows. Some preliminaries are discussed in Section 2. In Section 3, we present the probabilistic polynomial time algorithm to factroize $N$ from the knowledge of $N, e$ and any one of $d_{p}, d_{q}$. Then lattice based technique is used in Section 4 to show that one can factorize $N$ in deterministic polynomial time from the knowledge of $N, e, d_{p}, d_{q}$. Section 5 concludes the paper.

## 2 Preliminaries

We first present the probabilistic polynomial time algorithm for factoring $N$ when $e, d$ are known [18] (one may also refer to [19, Page 197]).
Algorithm 1.
Inputs: $N, e, d$ and a random integer $w$ chosen uniformly at random from $[2, N-1]$;

1. Write $e d-1=2^{s} r$, where $r$ is an odd integer;
2. Calculate $x=\operatorname{gcd}(w, N)$;
3. If $1<x<N$ then terminate with "success";
4. $v=w^{r} \bmod N$
5. if $v \equiv 1 \bmod N$ then return "failure";
6. while $v \not \equiv 1 \bmod N$
(a) $v_{0}=v$;
(b) $v=v^{2} \bmod N$;
7. if $v_{0} \equiv-1 \bmod N$ then return "failure";
8. else return $\operatorname{gcd}\left(v_{0}+1, N\right)$;

It has been shown [19, Page 197] that the probability of success of the above algorithm is at least $\frac{1}{2}$. Further it is clear that the algorithm works in poly $(\log N)$ time. Thus one may choose poly $(\log N)$ many random $w$ and run the algorithm repeatedly to get very high probability of success (almost 1 ).

However, it was left open for long time that whether a deterministic polynomial time algorithm can be discovered in this direction. In [15, 7], this problem has been successfully solved using lattice based techniques. Next we present some basics in this direction. Consider the linearly independent vectors $u_{1}, \ldots, u_{\omega} \in \mathbb{Z}^{n}$, when $\omega \leq n$. A lattice, spanned by $<$ $u_{1}, \ldots, u_{\omega}>$, is the set of all linear combinations of $u_{1}, \ldots, u_{\omega}$, i.e., $\omega$ is the dimension of the lattice. A lattice is called full rank when $\omega=n$. Let $L$ be a lattice spanned by the linearly independent vectors $u_{1}, \ldots, u_{\omega}$, where $u_{1}, \ldots, u_{\omega} \in \mathbb{Z}^{n}$. By $u_{1}^{*}, \ldots, u_{\omega}^{*}$, we denote the vectors obtained by applying the Gram-Schmidt process to the vectors $u_{1}, \ldots, u_{\omega}$.

The determinant of $L$ is defined as $\operatorname{det}(L)=\prod_{i=1}^{w}\left\|u_{i}^{*}\right\|$, where $\|$.$\| denotes the Euclidean$ norm on vectors. Given a polynomial $g(x, y)=\sum a_{i, j} x^{i} y^{j}$, we define the Euclidean norm as $\|g(x, y)\|=\sqrt{\sum_{i, j} a_{i, j}^{2}}$ and infinity norm as $\|g(x, y)\|_{\infty}=\max _{i, j}\left|a_{i, j}\right|$.

It is known that given a basis $u_{1}, \ldots, u_{\omega}$ of a lattice $L$, the LLL algorithm [13] can find a new basis $b_{1}, \ldots, b_{\omega}$ of $L$ with the following properties.

- $\left\|b_{i}^{*}\right\|^{2} \leq 2\left\|b_{i+1}^{*}\right\|^{2}$, for $1 \leq i<\omega$.
- For all $i$, if $b_{i}=b_{i}^{*}+\sum_{j=1}^{i-1} \mu_{i, j} b_{j}^{*}$ then $\left|\mu_{i, j}\right| \leq \frac{1}{2}$ for all $j$.
$-\left\|b_{i}\right\| \leq 2^{\frac{\omega(\omega-1)+(i-1)(i-2)}{4(\omega-i+1)}} \operatorname{det}(L)^{\frac{1}{\omega-i+1}}$ for $i=1, \ldots, \omega$.
In [4], deterministic polynomial time algorithms have been presented to find small integer roots of (i) polynomials in a single variable $\bmod N$, and of (ii) polynomials in two variables over the integers. The idea of [4] extends to more than two variables also, but in that event, the method becomes probabilistic.

Theorem 1. [4] Let $p(x, y)$ be an irreducible polynomial in two variables over $\mathbb{Z}$, of maximum degree $\delta$ in each variable separately. Let $X, Y$ be the bounds on the desired solutions $x_{0}, y_{0}$. Define $p^{\prime}(x, y)=p(x X, y Y)$ and let $W$ be the absolute value of the largest coefficient of $p^{\prime}$. Given $X Y \leq W^{\frac{2}{3 \delta}}$, one can find all integer pairs $\left(x_{0}, y_{0}\right)$ with $p\left(x_{0}, y_{0}\right)=0, x_{0} \leq X$ and $y_{0} \leq Y$ in time polynomial in $\left(\log W, 2^{\delta}\right)$.
In [5], a simpler algorithm than [4] has been presented in this direction, but it was asymptotically less efficient. Later, in [6], a simpler idea than [4] has been presented with the same asymptotic bound as in [4]. Both the works [5, 6] depend on the following theorem.

Theorem 2. [8] Let $f(x, y) \in \mathbb{Z}[x, y]$ which is a sum of at most $w$ monomials. Suppose that $f\left(x_{0}, y_{0}\right) \equiv 0 \bmod (N)$ where $\left|x_{0}\right| \leq X$ and $\left|y_{0}\right| \leq Y$ and $\|f(x X, y Y)\|<\frac{N}{\sqrt{w}}$. Then $f\left(x_{0}, y_{0}\right)$ holds over integer.

The work of [15], in finding the deterministic polynomial time algorithm to factorize $N$ from the knowledge of $e, d$, uses the techniques presented in [4,5]. Further, the work of [7] exploits the technique presented in [9].

## 3 Probabilistic algorithm

In this section we present a probabilistic polynomial time algorithm to factor $N$ where the inputs are $N, e, d_{p}$ (without loss of generality we will consider $d_{p}$ throughout this section).

## Algorithm 2.

Inputs: $N, e, d_{p}$ and a random integer $w$ chosen uniformly at random from $[2, N-1]$;

1. Write $e d_{p}-1=2^{s} r, r$ is an odd integer.
2. Calculate $x=\operatorname{gcd}(w, N)$;
3. If $1<x<N$ then terminate with "success";
4. for $(i=0$ to $s$ in step of 1$)\{$
(a) $v=w^{2^{2} r} \bmod N$;
(b) If $v \equiv 1 \bmod N$ then terminate with "failure";
(c) Calculate $x=\operatorname{gcd}(v-1, N)$;
(d) if $(1<x<N)$ then terminate with "success";
(e) $\}$ (end for)
5. \} (end else)

Let us now point out the motivation behind Algorithm 2 and what are its differences with Algorithm 1 [19, Page 197].

- In Algorithm 1, the loop at item 6 will continue at most $s$ many steps as $v$ will be $1 \bmod N$ when $v=w^{2^{s} r}$. It may happen in less than $s$ many steps also. This guarantees the termination of Algorithm 1. On the other hand, in Algorithm 2, it is not guaranteed that $w^{2^{i} r} \equiv 1 \bmod N$ for at least one $i$ in $[0, s]$. Thus for termination of Algorithm 2, we run the loop at item 4 for $s$ many times.
- We can calculate GCD after all the $s$ many steps in the while loop gets completed. In that case GCD will be calculated only once, but the loop will always run for $s$ many steps. To avoid that, we calculate the GCD in every loop.
- Observe that $w^{2^{s} r} \equiv 1 \bmod p$. Hence $p \mid w^{2^{s} r}-1$. So we can find $p$ that by calculating $\operatorname{gcd}\left(w^{2^{s} r}-1, N\right)$ when $w^{2^{s} r}-1$ is not multiple of $q$. As, in general, " $q$ will divide $w^{2^{s} r}-1$ " in very few cases compared to " $q$ will not divide $w^{2^{s} r}-1$ " (see detailed explanation in the proof of Theorem 3), the success probability of Algorithm 2 is almost 1. To elaborate further, in Algorithm 2, $w^{2^{s} r} \equiv 1 \bmod N$ happens in very few cases, providing a very high success probability. In Algorithm $1, w^{2^{s} r} \equiv 1 \bmod N$ is always true and that is why the success probability is not very close to 1 , though it is always $\geq \frac{1}{2}$.

Now we present the complexity and probability estimates of Algorithm 2.
Theorem 3. Let $q-1=2^{j} q_{1}$ and ed $d_{p}-1=2^{s} r$, where $q_{1}$, $r$ are odd. Further, let $d=$ $\operatorname{gcd}\left(q_{1}, r\right)$. Algorithm 2 provides the factorization of $N$ with probability $\geq 1-\frac{d}{q_{1}}$ with worst case time complexity $O\left(\log _{2} N\right)^{4}$.

Proof. The algorithm will fail to factorize $N$ if $w^{2^{t} r} \equiv 1 \bmod N$ for some $t, 0 \leq t \leq s$. Now, $w^{2^{t} r} \equiv 1 \bmod N$ for any $t, 0 \leq t \leq s$ implies $w^{2^{s} r} \equiv 1 \bmod N$, which in turn implies $w^{2^{s} r} \equiv 1 \bmod q$. Now we try to identify this case which gives the upper bound on failure probability.

Let $\mathbb{Z}_{q}^{*}=<g>$, where $g$ is the generator. Then $w=g^{u}$ for some $u \in[1, q-1]$. Thus, $w^{2^{s} r}=g^{u 2^{s} r} \equiv 1 \bmod q$. Hence $q-1 \mid u 2^{s} r$. Note that, $q-1=2^{j} q_{1}$ where $q_{1}$ is an odd positive integer. Also we have $e d_{p}-1=2^{s} r$. We have, $d=\operatorname{gcd}\left(q_{1}, r\right)$. So we can write $q_{1}=d q_{1}^{\prime}$ and $r=d r_{1}^{\prime}$ where $q_{1}^{\prime}, r_{1}^{\prime}$ are coprime to each other. Since $q-1 \mid u 2^{s} r$, we get $2^{j} q_{1} \mid u 2^{s} r$. As $q_{1}$ is odd, we must have $q_{1} \mid u r$. Now putting $q_{1}=d q_{1}^{\prime}, r=d r_{1}^{\prime}$ and using the fact $q_{1}^{\prime}, r_{1}^{\prime}$ are relatively prime, we have $q_{1}^{\prime} \mid u$. So probability of failure $\leq \frac{2^{j} d}{2^{j} q_{1}}=\frac{d}{q_{1}}$. Hence the probability of success of Algorithm 2 is $\geq 1-\frac{d}{q_{1}}$.

The worst case time complexity follows from the complexity of calculating $\operatorname{gcd}(v-1, N)$ and $v \equiv w^{2^{i} r} \bmod N$ that require $O(\log N)^{3}[19$, Page 179] and from the upper bound on $s$ which is $O(\log N)$.

We like to point out a few issues here.

- For large primes the value of $\frac{d}{q_{1}}$ is quite small. The average value of $\frac{d}{q_{1}}$ is $2^{-492}$ for experiment over 100 many runs of Algorithm 2 when we take $p, q$ of the order of 500 bits. Thus, actually one run of the Algorithm 2 is enough to get the factorization.
- If one requires improved probabilities further, then it is possible to run Algorithm 2 more than once with different $w$. If Algorithm 2 is executed poly $(\log N)$ many times, then the success probability will be $\geq 1-\left(\frac{d}{q_{1}}\right)^{\text {poly }(\log N)}$.
- The actual experimental results are much better than the worst case complexity presented in Theorem 3. In the proof of Theorem 3, we have considered the upper bound on $s$ as $O(\log N)$, and the value of the index $i$ can be as high as $s$. However, in practice the average of "the maximum number of times the loop of step 4 in Algorithm 2 runs" is 2.5 only (experiment over 100 many runs when we take $p, q$ of the order of 500 bits). Also we have noted that the GCD calculation takes much less effort than the worst case time complexity.
- Each run of Algorithm 2 requires around 0.003 to 0.004 second when $p, q$ are of the order of 500 bits.

For experimental results, we have implemented the programs using C and GMP over Linux Ubuntu 7.04 on a computer with Dual CORE $\operatorname{Intel}(R) \operatorname{Pentium}(R) D C P U 2.80 \mathrm{GHz}, 1 \mathrm{~GB}$ RAM and 2 MB Cache.

Next we underline the effect of Theorem 3 on RSA in general.
Theorem 4. There are $\Omega(\sqrt{N})$ many decryption exponents for which RSA is weak.

Proof. We first consider two instances of RSA, where the encryption exponents are $e, e^{\prime}$ and the decryption exponents are $d, d^{\prime}$ respectively, i.e., $e d \equiv 1 \bmod \phi(N)$ and $e^{\prime} d^{\prime} \equiv 1 \bmod \phi(N)$. We also consider $e, d, e^{\prime}, d^{\prime}<\phi(N)$.

Note that $d_{p} \equiv d \bmod (p-1)$ and $d_{q} \equiv d \bmod (q-1)$ and similarly $d_{p}^{\prime} \equiv d^{\prime} \bmod (p-1)$ and $d_{q}^{\prime} \equiv d^{\prime} \bmod (q-1)$. So we can write, $d=u(p-1)+d_{p}$, where $1 \leq u<q-1$, $1<d_{p}<p-1$ and $d^{\prime}=u^{\prime}(p-1)+d_{p}^{\prime}$, where $1 \leq u^{\prime}<q-1,1<d_{p}^{\prime}<p-1$. Now $d=d^{\prime}$, when $\left(u-u^{\prime}\right)(p-1)=d_{p}^{\prime}-d_{p}$. Since, $1<d_{p}, d_{p}^{\prime}<p-1$, this is possible only when $u=u^{\prime}$ and $d_{p}=d_{p}^{\prime}$.

Thus, for each distinct choice of $d_{p}, u$, where
$-d_{p} \leq c, c$ is some constant and
$-1 \leq u<q-1$,
one can get a distinct $d=u(p-1)+d_{p}<\phi(N)$, where $d$ will be coprime to $\phi(N)$, when $d_{p}$ is coprime to $\phi(N)$. Let there are $b$ many integers less than $c$, such that they are coprime to $\phi(N)$. Thus, we will have $b(q-2)$ many decryption exponents. Considering $q>p$, we get that $b(q-2)$ is $\Omega(\sqrt{N})$.

Considering $c$ as a small constant, Algorithm 2 can be invoked $c$ many times to factorize $N$ with very high probability as $d_{p}$ is some integer $\leq c$. This concludes the proof.

We like to highlight a few issues at this point.

1. In [20], it has been shown that for $d<\frac{1}{3} N^{\frac{1}{4}}, N$ can be factored easily. Later, in [3], the bound got improved up to $d<N^{0.292}$. Note that the total number of weak decryption exponents presented by these efforts [20,3] is indeed less than $N^{0.3}$. Our result is much improved in this direction as it shows $\Omega(\sqrt{N})$ many weak decryption exponents.
2. The works of $[20,3]$ identify the weak decryption exponents that are quite small. In our result, most of the decryption exponents are much larger, i.e., of $O(N)$.
3. In $[1,14]$, there are results to show class of weak encryption exponents of size $O\left(N^{\frac{3}{4}-\epsilon}\right)$. However, these results $[1,14]$ cannot directly identify the properties of the decryption exponents. In our result, we clearly characterize a class of weak decryption exponents $d$, where either $d_{p}=d \bmod (p-1)$ or $d_{q}=d \bmod (q-1)$ is of small value.

Another example may be motivating in this regard. In [12], as experimental data, it has been shown that given 1000 -bit $N$, e, one needs at least 13787 seconds (more than three hours) to factorize $N$ when $d_{p}, d_{q}$ are unknown and they are of size 15 bits each. However, if the minimum of $d_{p}, d_{q}$ is 15 bits (the larger one can be as large as 500 bits), then we can solve this in a few seconds by searching all the 15 -bit integers and this requires around two minutes in a computer with the specification given earlier in this section.

## 4 Deterministic Polynomial Time Algorithm

In this section we consider that both $d_{p}, d_{q}$ are known apart from the public information $N, e$. In the next result, we use the idea of [4].

Theorem 5. Let $e<\phi(N), d_{p}<(p-1)$ and $d_{q}<(q-1)$. If $N, e, d_{p}, d_{q}$ are known then $N$ can be factored in deterministic polynomial time in $\log N$.

Proof. We can write $e d_{p}=1+k(p-1)$ and $e d_{q}=1+l(q-1)$ where $k, l$ are positive integers. So we can write $e d_{p}+k-1=k p$ and $e d_{q}+l-1=l q$. Now multiplying these we get $\left(e d_{p}-1\right)\left(e d_{q}-1\right)+k\left(e d_{q}-1\right)+l\left(e d_{p}-1\right)+k l=k p l q$. Substituting $k, l$ by $x, y$ respectively, we get the equation $\left(e d_{p}-1\right)\left(e d_{q}-1\right)+x\left(e d_{q}-1\right)+y\left(e d_{p}-1\right)+x y=N x y$. Thus, we have to find the roots $\left(x_{0}, y_{0}\right)$ of $f(x, y)=(1-N) x y+x\left(e d_{q}-1\right)+y\left(e d_{p}-1\right)+\left(e d_{p}-1\right)\left(e d_{q}-1\right)=0$.

As $p, q$ are not known, we need some estimate of $p, q$. Assume $p=N^{\gamma_{1}}, q=N^{\gamma_{2}}$, where $\gamma_{1}+\gamma_{2}=1$. If $p, q$ are of same bit size, we consider $\gamma_{1}=\gamma_{2}=\frac{1}{2}$. Otherwise, we estimate $p, q$ are of different bit sizes, such that $p q=N$. As the number of bits in $p$ is $\log _{2} p$, we need to try at most $\log N$ many estimates for the bit size of $p$ and run the strategy as described below that many times.

Let $e=N^{\alpha}, d_{p}=N^{\delta_{1}}$ and $d_{q}=N^{\delta_{2}}$. Let us denote $X=N^{\alpha+\delta_{1}-\gamma_{1}}$ and $Y=N^{\alpha+\delta_{2}-\gamma_{2}}$. Clearly one can take $(X, Y)$ as upper bounds of the root $(k, l)$ of $f$ neglecting the constant terms.

Let $W=\|f(x X, y Y)\|_{\infty} \geq\left(e d_{p}-1\right)\left(e d_{q}-1\right) \approx e^{2} d_{p} d_{q}$. Following Theorem 1 [4], one can find the root of $f$ in deterministic polynomial time in $\log N$ (as the degree of the polynomial $f$ is 1 ) if $X Y<W^{\frac{2}{3}}$. Thus we need $k l<\left(e^{2} d_{p} d_{q}\right)^{\frac{2}{3}}$ to get the root of $f$, which is proved below. Thus it guarantees the one can factor $N$ from the knowledge of $N, e, d_{p}, d_{q}$ in deterministic polynomial time in $\log N$.

- We have $e d_{p}>k(p-1)$ and $e d_{q}>l(q-1)$. So $e^{2} d_{p} d_{q}>k l(p-1)(q-1)$, i.e., $\left(e^{2} d_{p} d_{q}\right)^{\frac{2}{3}}>$ $(k l(p-1)(q-1))^{\frac{2}{3}}$.
- Thus, to show that $k l<\left(e^{2} d_{p} d_{q}\right)^{\frac{2}{3}}$, we need to prove, $k l<(k l(p-1)(q-1))^{\frac{2}{3}}$, i.e., $k l<(p-1)^{2}(q-1)^{2}$.
- Since we assume $d_{p}<(p-1), d_{q}<(q-1)$, we have $e>k$ and $e>l$, i.e., $e^{2}>k l$. As we take $\phi(N)=(p-1)(q-1)>e$, we get that $(p-1)^{2}(q-1)^{2}>k l$.

This concludes the proof.
Let us now present some experimental results in Table 1. Our experiments are based on the strategy of [5] as it is easier to implement. According to the formula presented in [5, Theorem 4], the lattice dimension (denote it by LD) is $(\delta+m+1)^{2}$, where $\delta$ is the degree of the polynomial $f$ (here $\delta=1$ ) and $m$ is a non-negative integer related to the shifts of the polynomial (in the proof of [5, Theorem 4], this $m$ is denoted by $k$ ). We have written the programs in SAGE 3.1.1 over Linux Ubuntu 8.04 on a computer with Dual CORE Intel(R) Pentium(R) D CPU 1.83 GHz, 2 GB RAM and 2 MB Cache. We take large primes $p, q$ such that $N$ is of 1000 bits. As we experiment with low lattice dimensions, we cannot demonstrate the success of the experiments when $d_{p}, d_{q}$ are of the order of $p, q$ respectively.

Now we present a more general form of Theorem 5. The constraints in Theorem 5 are $\alpha<1, d_{p}<p-1, d_{q}<q-1$. In Theorem 6 we try to get rid of these constraints, but naturally that impose some other conditions.

| $N$ | $p$ | $q$ | $e$ | $d_{p}$ | $d_{q}$ | LD | $m$ | $L^{3}$-time |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1000 bit | 500 bit | 500 bit | 1000 bit | 240 bit | 240 bit | 16 | 2 | 14.82 sec |
| 1000 bit | 400 bit | 600 bit | 1000 bit | 230 bit | 265 bit | 16 | 2 | 16.09 sec |
| 1000 bit | 500 bit | 500 bit | 1000 bit | 350 bit | 350 bit | 49 | 5 | 5914.08 sec |

Table 1. Experimental results corresponding to Theorem 5.

Theorem 6. Let $e=N^{\alpha}, d_{p} \leq N^{\delta_{1}}, d_{q} \leq N^{\delta_{2}}$. Suppose $p$ is estimated ${ }^{1}$ as $N^{\gamma_{1}}$. Suppose we know an approximation $p_{0}$ of $p$ such that $\left|p-p_{0}\right|<N^{\beta}$. If both $d_{p}, d_{q}$ are known then one can factor $N$ in deterministic poly $(\log N)$ time if $\frac{\alpha^{2}}{2}+\frac{\alpha\left(\delta_{1}+\delta_{2}\right)}{2}+\frac{\delta_{1} \delta_{2}}{2}+\alpha \beta+\frac{\left(\delta_{1}+\delta_{2}\right) \beta}{2}-\frac{3 \beta^{2}}{2}-$ $\alpha \gamma_{1}-\delta_{2} \gamma_{1}+3 \beta \gamma_{1}-2 \gamma_{1}{ }^{2}-\frac{\alpha}{2}-\frac{\delta_{1}}{2}+\frac{3 \beta}{2}-\gamma_{1}-\frac{1}{2}<0$.

Proof. We have $e d_{p}=1+k(p-1)$ and $e d_{q}=1+k(q-1)$. So $k=\frac{e d_{p}-1}{p-1}$. Let $k_{0}=\frac{e d_{p}}{p_{0}}$. Then

$$
\left|k-k_{0}\right|=\left|\frac{e d_{p}-1}{p-1}-\frac{e d_{p}}{p_{0}}\right| \approx\left|\frac{e d_{p}}{p}-\frac{e d_{p}}{p_{0}}\right|=\frac{e d_{p}\left|p-p_{0}\right|}{p p_{0}} \leq N^{\alpha+\delta_{1}+\beta-2 \gamma_{1}} .
$$

Considering $q_{0}=\frac{N}{p_{0}}$, it can be shown that $\left|q-q_{0}\right|<N^{1+\beta-2 \gamma_{1}}$, neglecting the small constant. Assume, $q=N^{\gamma_{2}}$, where $\gamma_{2}=1-\gamma_{1}$. So if we take $l_{0}=\frac{e d_{q}}{q_{0}}$, then

$$
\left|l-l_{0}\right|=\left|\frac{e d_{q}-1}{q-1}-\frac{e d_{q}}{q_{0}}\right| \approx\left|\frac{e d_{q}}{q}-\frac{e d_{q}}{q_{0}}\right|=\frac{e d_{q}\left|q-q_{0}\right|}{q q_{0}} \leq N^{\alpha+\delta_{2}+1+\beta-2 \gamma_{1}-2 \gamma_{2}}
$$

Let $k_{1}=k-k_{0}$ and $l_{1}=l-l_{0}$. We have $e d_{p}+k-1=k p$. So $e d_{p}+k_{0}+k_{1}-1=$ $\left(k_{0}+k_{1}\right) p$. Similarly, $e d_{q}+l_{0}+l_{1}-1=\left(l_{0}+l_{1}\right) q$. Now multiplying these equations, we get $\left(e d_{p}-1+k_{0}\right)\left(e d_{q}-1+l_{0}\right)+k_{1}\left(e d_{q}-1+l_{0}\right)+l_{1}\left(e d_{p}-1+k_{0}\right)+k_{1} l_{1}=\left(k_{0}+k_{1}\right) p\left(l_{0}+l_{1}\right) q$. Now if we substitute $k_{1}, l_{1}$ by $x, y$ respectively, then we get $\left(e d_{p}-1+k_{0}\right)\left(e d_{q}-1+l_{0}\right)+$ $x\left(e d_{q}-1+l_{0}\right)+y\left(e d_{p}-1+k_{0}\right)+x y=\left(k_{0}+x\right) p\left(l_{0}+y\right) q$. Hence we have to find the solution $k_{1}, l_{1}$ of

$$
\left(e d_{p}-1+k_{0}\right)\left(e d_{q}-1+l_{0}\right)+x\left(e d_{q}-1+l_{0}\right)+y\left(e d_{p}-1+k_{0}\right)+x y=\left(k_{0}+x\right) p\left(l_{0}+y\right) q,
$$

i.e., we have to find the roots of $f(x, y)=0$, where $f(x, y)=(1-N) x y+x\left(e d_{q}-1+l_{0}-\right.$ $\left.l_{0} N\right)+y\left(e d_{p}-1+k_{0}-k_{0} N\right)+\left(e d_{p}-1+k_{0}\right)\left(e d_{q}-1+l_{0}\right)-k_{0} l_{0} N$.

Let $X=N^{\alpha+\delta_{1}+\beta-2 \gamma_{1}}$ and $Y=N^{\alpha+\delta_{2}+1+\beta-2 \gamma_{1}-2 \gamma_{2}}$. Clearly $X, Y$ are the upper bounds of $\left(k_{1}, l_{1}\right)$, the root of $f$. Thus, $W=\|f(x X, y Y)\|_{\infty} \geq\left(e d_{p}-1+k_{0}\right)\left(e d_{q}-1+l_{0}\right)-k_{0} l_{0} N \approx e^{2} d_{p} d_{q}$.

In the "Basic Strategy" of [11, Page 273], the set $S$ is the set of all monomials of $f^{m-1}$ for a given positive integer $m$. The set $M$ is defined as the set of all monomials that appear in $x^{i} y^{j} f$, with $x^{i} y^{j} \in S$. This strategy will work well when $k_{1}$ and $l_{1}$ are of the same order, that is not significantly different in magnitude.

Otherwise, without loss of generality, let us assume that $k_{1}$ is significantly smaller than $l_{1}$. Following the "Extended Strategy" of [11, Page 274], we exploit extra $t$ many shifts of

[^0]$x$ where $t$ is a non-negative integer (in the "Basic Strategy", $t=0$ ). Our aim is to find a polynomial $f_{0}$ that share the root $\left(k_{1}, l_{1}\right)$ over the integers.

From [11], we know that these polynomials can be found by lattice reduction if $X^{s_{1}} Y^{s_{2}}<$ $W^{s}$ for $s_{j}=\sum_{x^{i_{1} y^{i_{2} \in M \backslash S}}} i_{j}$ where $s=|S|, j=1,2$ and $W=\|f(x X, y Y)\|_{\infty}$. One can check that $s_{1}=\frac{3}{2} m^{2}+\frac{7}{2} m+\frac{t^{2}}{2}+\frac{5}{2} t+2 m t+2, s_{2}=\frac{3}{2} m^{2}+\frac{7}{2} m+t+m t+2$, and $s=(m+1)^{2}+m t+t$.

Let $t=\tau m$. Neglecting the lower order terms we get that $X^{s_{1}} Y^{s_{2}}<W^{s}$ is satisfied when $\left(\frac{3}{2}+\frac{\tau^{2}}{2}+2 \tau\right)\left(\alpha+\delta_{1}+\beta-2 \gamma_{1}\right)+\left(\frac{3}{2}+\tau\right)\left(\alpha+\delta_{2}+1+\beta-2 \gamma_{1}-2 \gamma_{2}\right)<(1+\tau)\left(2 \alpha+\delta_{1}+\delta_{2}\right)$, i.e., when
$\left(\frac{\alpha}{2}+\frac{\delta_{1}}{2}+\frac{\beta}{2}-\gamma_{1}\right) \tau^{2}+\left(\alpha+\delta_{1}+3 \beta-4 \gamma_{1}-1\right) \tau+\left(\alpha+\frac{\delta_{1}+\delta_{2}}{2}+3 \beta-3 \gamma_{1}-\frac{3}{2}\right)<0$.
In this case the value of $\tau$ for which the left hand side of the above inequality is minimum is $\tau=\frac{1+4 \gamma_{1}-3 \beta-\delta_{1}-\alpha}{\alpha+\delta_{1}+\beta-2 \gamma_{1}}$. Putting this value of $\tau$ we get the required condition as $\frac{\alpha^{2}}{2}+\frac{\alpha\left(\delta_{1}+\delta_{2}\right)}{2}+$ $\frac{\delta_{1} \delta_{2}}{2}+\alpha \beta+\frac{\left(\delta_{1}+\delta_{2}\right) \beta}{2}-\frac{3 \beta^{2}}{2}-\alpha \gamma_{1}-\delta_{2} \gamma_{1}+3 \beta \gamma_{1}-2 \gamma_{1}{ }^{2}-\frac{\alpha}{2}-\frac{\delta_{1}}{2}+\frac{3 \beta}{2}-\gamma_{1}-\frac{1}{2}<0$.

The strategy presented in [11] works in polynomial time in $\log N$. As we follow the same strategy, $N$ can be factored from the knowledge of $N, e, d_{p}, d_{q}$ in deterministic polynomial time in $\log N$.

For practical purposes, $p, q$ are same bit size and if we consider that no information about the bits of $p$ is known, then we have $\gamma_{1}=\gamma_{2}=\beta=\frac{1}{2}$. In this case the required condition is $\frac{\alpha^{2}}{2}+\frac{1}{2} \alpha\left(\delta_{1}+\delta_{2}\right)+\frac{\delta_{1} \delta_{2}}{2}-\frac{\alpha}{2}-\frac{\delta_{1}+\delta_{2}}{4}-\frac{3}{8}<0$.

As the condition given in Theorem 6 is quite involved, we present a few numerical values in Table 2. What we like to identify here is to show that the bound of $e$ can indeed exceed $\phi(N)$ (and also $N$ ) for which deterministic polynomial time equivalence of computing the CRT-RSA secret keys and factoring can be proved. This is also true when $d_{p}, d_{q}$ exceeds the bound of $\max \{p-1, q-1\}$. Indeed, in some cases, the knowledge of a few most significant bits (MSBs) of one prime may be required.

| $\alpha$ | $\delta_{1}$ | $\delta_{2}$ | $\beta$ | $\gamma_{1}$ |
| :---: | :---: | :---: | :---: | :---: |
| 1.02 | 0.5 | 0.5 | 0.49 | 0.5 |
| 1.0 | 0.5 | 0.5 | 0.49 | 0.49 |
| 1.02 | 0.45 | 0.5 | 0.5 | 0.5 |
| 1.01 | 0.51 | 0.51 | 0.49 | 0.5 |
| 0.98 | 0.51 | 0.51 | 0.5 | 0.5 |
| 1.02 | 0.47 | 0.47 | 0.5 | 0.5 |

Table 2. Numerical values of $\alpha, \delta_{1}, \delta_{2}, \beta, \gamma_{1}$ following Theorem 6 for which $N$ can be factored in poly $(\log N)$ time.

Now we present the experimental results corresponding to Theorem 6 in the set-up that has already mentioned earlier in this section. Once again, we like to point out that the experimental results cannot reach the theoretical bounds due to the small lattice dimensions. However, the values in Table 3 clearly demonstrates the cases where

- e exceeds $N$,
- $d_{p}$ exceeds $p-1$.

| $N$ | $p$ | $q$ | $e$ | $d_{p}$ | $d_{q}$ | LD | $(m, t)$ | MSBs of $p$ to be known | $L^{3}$-time |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1000 bit | 500 bit | 500 bit | 1001 bit | 100 bit | 500 bit | 20 | $(2,1)$ | 5 | 63.40 sec |
| 1000 bit | 500 bit | 500 bit | 1001 bit | 100 bit | 502 bit | 30 | $(3,1)$ | 5 | 187.49 sec |
| 1000 bit | 500 bit | 500 bit | 1010 bit | 100 bit | 510 bit | 20 | $(2,1)$ | 15 | 63.55 sec |
| 1000 bit | 500 bit | 500 bit | 1020 bit | 100 bit | 550 bit | 35 | $(3,2)$ | 10 | 269.58 sec |
| 1000 bit | 500 bit | 500 bit | 1050 bit | 100 bit | 550 bit | 35 | $(3,2)$ | 20 | 275.81 sec |
| 1000 bit | 500 bit | 500 bit | 1070 bit | 100 bit | 550 bit | 35 | $(3,2)$ | 30 | 281.14 sec |
| 1000 bit | 400 bit | 600 bit | 1020 bit | 100 bit | 520 bit | 35 | $(3,2)$ | 10 | 262.03 sec |
| 1000 bit 500 bit | 500 bit | 1070 bit | 100 bit | 550 bit | 48 | $(4,2)$ | 10 | 1227.20 sec |  |
| 1000 bit 500 bit | 500 bit | 1001 bit | 200 bit | 502 bit | 35 | $(3,2)$ | 20 | 266.52 sec |  |
| 1000 bit 500 bit | 500 bit | 1020 bit | 200 bit | 520 bit | 48 | $(4,2)$ | 10 | 1217.45 sec |  |

Table 3. Experimental results corresponding to Theorem 6. LD is the lattice dimension and $m, t$ are the parameters as explained in the proof of Theorem 6.

## 5 Conclusion

In this paper we first present a probabilistic poly $(\log N)$ time algorithm to show that $N$ can be factored from the knowledge of the public encryption exponent $e$ and any one of $d_{p}, d_{q}$, the secret decryption exponents of CRT-RSA. The technique used here exploits basic number theoretic ideas. This result has implications to the security of CRT-RSA as well as RSA itself. Based on our result, it is clear that CRT-RSA is not secure when either of $d_{p}, d_{q}$ is small. Further, for RSA, one should note that the secret decryption exponent $d$ will make RSA weak if either $d \bmod (p-1)$ or $d \bmod (q-1)$ becomes small. This, in turn, identifies $\Omega(\sqrt{N})$ many weak decryption exponents, that are not identified earlier. Next, towards theoretical interest, we present a deterministic poly $(\log N)$ time algorithm that can factorize $N$ given $e, d_{p}, d_{q}$. This algorithm is based on lattice reduction techniques.

## References

1. J. Blömer and A. May. A generalized Wiener attack on RSA. PKC 2004, LNCS 2947, pp. 1-13, 2004.
2. D. Boneh. Twenty Years of Attacks on the RSA Cryptosystem. Notices of the AMS, 46(2):203-213, February, 1999.
3. D. Boneh and G. Durfee. Cryptanalysis of RSA with Private Key $d$ Less Than $N^{0.292}$. IEEE Trans. on Information Theory, 46(4):1339-1349, 2000.
4. D. Coppersmith. Small Solutions to Polynomial Equations and Low Exponent Vulnerabilities. Journal of Cryptology, 10(4):223-260, 1997.
5. J. -S. Coron. Finding Small Roots of Bivariate Integer Equations Revisited. Eurocrypt 2004, LNCS 3027, pp. 492-505, 2004.
6. J. -S. Coron. Finding Small Roots of Bivariate Integer Equations: a Direct Approach. Crypto 2007, LNCS 4622, pp. 379-394, 2007.
7. J. -S. Coron and A. May. Deterministic polynomial-time equivalence of computing the RSA secret key and factoring. Journal of Cryptology, 20(1):39-50, 2007.
8. N. Howgrave-Graham. Finding Small Roots of Univariate Modular Equations Revisited. Proceedings of Cryptography and Coding, LNCS 1355, pp. 131-142, 1997.
9. N. Howgrave-Graham. Approximate integer common divisors. Proceedings of CaLC'01, LNCS 2146, pp. 51-66, 2001.
10. E. Jochemsz. Cryptanalysis of RSA Variants Using Small Roots of Polynomials. Ph. D. thesis, Technische Universiteit Eindhoven, 2007.
11. E. Jochemsz and A. May. A Strategy for Finding Roots of Multivariate Polynomials with new Applications in Attacking RSA Variants. Asiacrypt 2006, LNCS 4284, pp. 267-282, 2006.
12. E. Jochemsz and A. May. A Polynomial Time Attack on RSA with Private CRT-Exponents Smaller Than $N^{0.073}$. Crypto 2007, LNCS 4622, pp. 395-411, 2007.
13. A. K. Lenstra, H. W. Lenstra and L. Lovász. Factoring Polynomials with Rational Coefficients. Mathematische Annalen, 261:513-534, 1982.
14. S. Maitra, S. Sarkar. A New Class of Weak Encryption Exponents in RSA. Indocrypt 2008, LNCS 5365, pp. 337-349, 2008.
15. A. May. Computing the RSA secret key is deterministic polynomial time equivalent to factoring. Crypto 2004, LNCS 3152, pp. 213-219, 2004.
16. A. May. Using LLL-Reduction for Solving RSA and Factorization Problems: A Survey. LLL+25 Conference in honour of the 25th birthday of the LLL algorithm, 2007. Available at http://www.informatik.tudarmstadt.de/KP/alex.html [last accessed 23 December, 2008].
17. G. L. Miller. Riemann's hypothesis and test of primality. 7th Annual ACM Symposium on the Theory of Computing, pp. 234-239, 1975.
18. R. L. Rivest, A. Shamir and L. Adleman. A Method for Obtaining Digital Signatures and Public Key Cryptosystems. Communications of ACM, 21(2):158-164, Feb. 1978.
19. D. R. Stinson. Cryptography - Theory and Practice. 2nd Edition, Chapman \& Hall/CRC, 2002.
20. M. Wiener. Cryptanalysis of Short RSA Secret Exponents. IEEE Transactions on Information Theory, 36(3):553-558, 1990.

[^0]:    ${ }^{1}$ As described in the proof of Theorem 5 , the bit size of $p$ can be correctly estimated in $\log N$ many attempts.

