

A Distinguisher for High Rate McEliece Cryptosystems

Jean-Charles Faugère¹, Valérie Gauthier⁴, Ayoub Otmani^{2,3}, Ludovic Perret¹, and Jean-Pierre Tillich²

¹ SALSA Project - INRIA (Centre Paris-Rocquencourt)
UPMC, Univ Paris 06 - CNRS, UMR 7606, LIP6
104, avenue du Président Kennedy 75016 Paris, France
jean-charles.faugere@inria.fr, ludovic.perret@lip6.fr

² SECRET Project - INRIA Rocquencourt
Domaine de Voluceau, B.P. 105 78153 Le Chesnay Cedex - France
ayoub.otmani@inria.fr, jean-pierre.tillich@inria.fr

³ GREYC - Université de Caen - Ensicaen
Boulevard Maréchal Juin, 14050 Caen Cedex, France.

⁴ Department of Mathematics
Technical University of Denmark
Matematiktorvet, Building 303 S, 2800 Kgs. Lyngby, Denmark
v.g.umana@mat.dtu.dk

Abstract. The Goppa Code Distinguishing (GD) problem consists in distinguishing the matrix of Goppa code from a random matrix. Up to now, it was widely believed that this problem is computationally hard. The hardness of this problem was a mandatory assumption to prove the security of code-based cryptographic primitives like McEliece’s cryptosystem. We present a polynomial time distinguisher for alternant and Goppa codes of high rate over any field. The key ingredient is an algebraic technique already used to assess the security of McEliece’s cryptosystem. Our method permits to indeed distinguish public keys of the CFS signature scheme for all parameters considered as secure and some realistic secure parameters of McEliece. The idea is to consider the dimension of the solution space of a linearized system deduced from a polynomial one. It turns out that this dimension depends on the type of code considered. We provide explicit formulas for the value of the dimension for “generic” random, alternant, and Goppa code over any alphabet.

Keywords: public-key cryptography, McEliece cryptosystem, CFS signature, algebraic cryptanalysis, distinguisher, Goppa code distinguishing.

1 Introduction

The purpose of this paper is to investigate the difficulty of the Goppa Code Distinguishing (GD) problem that is encountered in code-based public key cryptography. Note that GD is a variant of the Code Equivalence [24]. This problem appeared in [10] several years after McEliece’s pioneering work [20] where the author proposed to use one-way trapdoor functions based on irreducible binary Goppa codes. The class of Goppa codes represents one of the most important example of linear codes having a polynomial-time decoding algorithm [3, 23]. The first code-based signature scheme came out in [10] almost twenty years after McEliece’s proposal. The only difference between encryption and signature lies in the choice of the parameters of the binary Goppa codes. For signature, Goppa codes have to correct very few errors. This leads to a very high rate $R = k/n$ with n the length and k the dimension of the code. It holds that $k = n - rm$, where r is the number of errors and n is usually chosen equal to 2^m .

These two cryptographic primitives base their security under two computational assumptions: the intractability of decoding random linear codes [2], and the difficulty of recovering the private key or an equivalent one. The problem of decoding an unstructured code is a long-standing problem whose most effective algorithms [16, 17, 26, 7, 4] have an exponential time complexity. Thus, one may reasonably not expect much progress in this direction. On the other hand, no significant breakthrough has been observed during the last thirty years regarding the problem of recovering the private key. Indeed, although some weak keys have been identified in [18], the only known key-recovery attack is the exhaustive search of the secret polynomial T of the Goppa code, and applying the *Support Splitting Algorithm* (SSA) [25] to check whether the Goppa code candidate is *permutation-equivalent* to the code defined by the public generator matrix. The time complexity of this method is $\mathcal{O}(2^{mr})$ assuming that the cost of the SSA algorithm is negligible which is a reasonable assumption for Goppa codes, but not for all linear codes.

The authors of [10] alleviated the McEliece assumption by introducing the *Goppa Code Distinguishing (GD) problem*. They assume that no polynomial time algorithm exists that distinguishes a generator matrix of a Goppa code from a random generator matrix. This is a classical belief in code-based cryptography. For instance, according to [10], proving or disproving the hardness of the GD problem will have a significant impact: “*Classification issues are in the core of coding theory since its emergence in the 50’s. So far nothing significant is known about Goppa codes, more precisely there is no known property invariant by permutation and computable in polynomial time which characterizes Goppa codes. Finding such a property or proving that none exists would be an important breakthrough in coding theory and would also probably seal the fate, for good or ill, of Goppa code-based cryptosystems*”. Currently, the only known algorithm that solves GD problem is based on the enumeration of Goppa codes and the SSA algorithm [25], as explained below.

As a consequence, it is widely believed that distinguishing the public matrix in McEliece from a random matrix is computationally hard. Furthermore, the hardness of the Goppa Code Distinguishing (GD) problem is currently a mandatory assumption to prove the semantic and CCA2 security of McEliece in the random oracle model and in the standard model [22, 13, 5], the security in the random oracle model against existential forgery [10, 11] of the CFS signature scheme [10], the provable security of several primitives such as a threshold ring signatures scheme [12], an identity-based identification scheme [8], which are build upon CFS. Therefore, showing that the Goppa Code Distinguishing problem is easier than expected will “unprove” most of the provable primitives based on McEliece, and more importantly will be the first serious theoretical weakness observed on this scheme since thirty years.

In this paper, we present a deterministic polynomial-time distinguisher for solving it for codes of high rate. Along the way, we also solve the GD problem for alternant codes. The key ingredient is a new algebraic technique introduced in [14] to attack two variants [1, 21] of McEliece. It has been observed [14] that a key recovery attack against these cryptosystems, as well as the genuine McEliece’s system, can be reduced to solving a set of polynomial equations. In the cases of [1, 21], additional structures permit to drastically reduce the number of variables and solve it efficiently using dedicated Gröbner bases techniques [14]. For McEliece’s cryptosystem, solving this polynomial system seems to be out of the scope of such dedicated techniques.

This algebraic approach can be used to construct an efficient *distinguisher*. To do so, we consider the dimension of the solution space of a linear system deduced from the polynomial system by a linearization technique which introduces many new unknowns. It turns out that the linearized system is not of full rank and depends on the kind of code considered. This particular feature permits to construct an efficient distinguisher for alternant codes and Goppa codes over any field by basically computing the rank of the linearized system. Our technique permits to indeed distinguish a public key of the CFS signature scheme for all parameters proposed in [15], and some realistic parameters of McEliece like a 90-bit security scheme based on a binary Goppa code of length $n = 2^{13}$ that corrects $r = 19$ errors. We provide explicit formulas for “generic” random, alternant, and Goppa code over any alphabet. We performed extensive experiments to compare our theoretical results to confirm that the generic formulas are accurate.

2 Algebraic Cryptanalysis of McEliece-like Cryptosystems

The reader who is not aware of basic notions on coding and code-based cryptography can find a brief introduction to the subject in Appendix A. The McEliece cryptosystem relies on Goppa codes which belong to the class of *alternant codes* and inherit an efficient decoding algorithm from this. It is convenient to describe this class through a *parity-check matrix* over an extension field \mathbb{F}_{q^m} of \mathbb{F}_q over which the code is defined. In other words, the parity check matrix is an $r \times n$ matrix \mathbf{H} with coefficients in \mathbb{F}_{q^m} and the associated alternant code \mathcal{A} is the set of vectors of \mathbb{F}_q^n which belong to the right kernel of \mathbf{H} *i.e.*

$$\mathcal{A} = \{\mathbf{c} \in \mathbb{F}_q^n \mid \mathbf{H}\mathbf{c}^T = \mathbf{0}\}. \tag{1}$$

r satisfies in this case the condition $r \geq \frac{n-k}{m}$ where k is the dimension of \mathcal{A} . For alternant codes, there exists a parity-check matrix with a very special form related to Vandermonde matrices of the form:

$$\mathbf{V}_r(\mathbf{x}, \mathbf{y}) \stackrel{\text{def}}{=} \begin{pmatrix} y_1 & \cdots & y_n \\ y_1x_1 & \cdots & y_nx_n \\ \vdots & & \vdots \\ y_1x_1^{r-1} & \cdots & y_nx_n^{r-1} \end{pmatrix} \tag{2}$$

where $\mathbf{x} = (x_1, \dots, x_n) \in (\mathbb{F}_{q^m})^n$ and $\mathbf{y} = (y_1, \dots, y_n)$ in $(\mathbb{F}_{q^m})^n$.

Definition 1 (Alternant code). *The alternant code of order r over \mathbb{F}_q associated to $\mathbf{x} = (x_1, \dots, x_n) \in (\mathbb{F}_{q^m})^n$ where all x_i ’s are distinct and $\mathbf{y} = (y_1, \dots, y_n) \in (\mathbb{F}_{q^m}^*)^n$, denoted by $\mathcal{A}_r(\mathbf{x}, \mathbf{y})$, is*

$$\mathcal{A}_r(\mathbf{x}, \mathbf{y}) = \{\mathbf{c} \in \mathbb{F}_q^n \mid \mathbf{V}_r(\mathbf{x}, \mathbf{y})\mathbf{c}^T = \mathbf{0}\}. \tag{3}$$

We recall a key feature about alternant codes.

Fact 1. *There exists a polynomial time algorithm decoding all errors of Hamming weight at most $\frac{r}{2}$ for an alternant code of order r once a parity-check matrix of the form $\mathbf{H} = \mathbf{V}_r(\mathbf{x}, \mathbf{y})$ is given for it.*

The class of Goppa codes is a subfamily of alternant codes which are given by:

Definition 2 (Goppa codes). *The Goppa code $\mathcal{G}(\mathbf{x}, \Gamma)$ over \mathbb{F}_q associated to a polynomial $\Gamma(x)$ of degree r over \mathbb{F}_{q^m} and a certain n -tuple $\mathbf{x} = (x_1, \dots, x_n)$ of distinct elements of \mathbb{F}_{q^m} satisfying $\Gamma(x_i) \neq 0$ for all $i, 1 \leq i \leq n$, is the alternant code $\mathcal{A}_r(\mathbf{x}, \mathbf{y})$ of order r with y_i being defined by $y_i = \Gamma(x_i)^{-1}$.*

Goppa codes, viewed as alternant codes, naturally inherit a decoding algorithm that corrects up to $\frac{r}{2}$ errors. But in the case of *binary* Goppa codes, we can correct twice as many errors (Fact 2). The starting point is the following result, which is essentially derived from a discussion in a paragraph about Goppa codes in [19, p. 341].

Theorem 1. *A binary Goppa code $\mathcal{G}(\mathbf{x}, \Gamma)$ associated to a Goppa polynomial $\Gamma(X)$ of degree r without multiple roots is equal to the alternant code $\mathcal{A}_{2r}(\mathbf{x}, \mathbf{y})$, with $y_i = \Gamma(x_i)^{-2}$.*

Fact 2 ([23]). *There exists a polynomial time algorithm decoding all errors of Hamming weight at most r in a Goppa code $\mathcal{G}(\mathbf{x}, \Gamma)$ when Γ has degree r and has no multiple roots, if \mathbf{x} and Γ are known.*

We explain now how we can construct an algebraic system for the McEliece cryptosystem [14]. This algebraic system is the main ingredient of the distinguisher. According to Fact 1, the knowledge of $\mathbf{V}_r(\mathbf{x}^*, \mathbf{y}^*)$ permits to efficiently decode the public code, *i.e.* to recover \mathbf{u} from $\mathbf{u}\mathbf{G} + \mathbf{e}$. By the very definition of the public code \mathbf{G} , we have: $\mathbf{V}_r(\mathbf{x}^*, \mathbf{y}^*)\mathbf{G}^T = \mathbf{0}$.

Let X_1, \dots, X_n and Y_1, \dots, Y_n be $2n$ variables corresponding to the x_i^* 's and the y_i^* 's. Observe that such x_i^* 's and y_i^* 's are a particular solution [14] of the following system:

$$\left\{ g_{i,1}Y_1X_1^j + \dots + g_{i,n}Y_nX_n^j = 0 \mid i \in \{1, \dots, k\}, j \in \{0, \dots, r-1\} \right\} \quad (4)$$

where the $g_{i,j}$'s are the entries of the known matrix \mathbf{G} .

Solving this system is equivalent to find a key equivalent to the secret key. For McEliece's scheme, the system is too large. For compact variants of McEliece [1, 21] as described in [1, 21], additional structures permit to drastically reduce the number of variables; allowing to solve (4) for a large set of parameters in polynomial-time using dedicated Gröbner bases techniques [14]. But the general case is still exponential. Note that for binary Goppa codes, it is essential to recover its description as a Goppa code and not only the x_i 's and the y_i 's giving its description as an alternant code. Otherwise, as pointed in Fact 2, the decoder will be able to decode only $\frac{r}{2}$ errors.

3 A Distinguisher of Alternant and Goppa Codes

We present in this part the algebraic distinguisher, which is based on the non-linear system (4). Let $\mathbf{G} = (g_{ij})_{\substack{1 \leq i \leq k \\ 1 \leq j \leq n}}$ be a generator matrix of the public code. We can assume without loss of generality that \mathbf{G} is systematic in its k first positions. Such a form can be easily obtained by a Gaussian elimination and by a suitable permutation of the columns. We describe now a simple way of using this particular form for solving (4). The strategy is as follows. Let $\mathbf{P} = (p_{ij})_{\substack{1 \leq i \leq k \\ k+1 \leq j \leq n}}$ be the submatrix of

\mathbf{G} formed by its last $n - k = mr$ columns. For any $i \in \{1, \dots, k\}$ and $e \in \{0, \dots, r - 1\}$, we can rewrite (4) as

$$Y_i X_i^e = \sum_{j=k+1}^n p_{i,j} Y_j X_j^e. \quad (5)$$

Thanks to the trivial identity $Y_i Y_i X_i^2 = (Y_i X_i)^2$, for all i in $\{1, \dots, k\}$, we get:

$$\sum_{j=k+1}^n p_{i,j} Y_j \sum_{j=k+1}^n p_{i,j} Y_j X_j^2 = \left(\sum_{j=k+1}^n p_{i,j} Y_j X_j \right)^2, \text{ for all } i \in \{1, \dots, k\}.$$

It is possible to reorder this to obtain $\sum_{j=k+1}^{n-1} \sum_{j'>j}^n p_{i,j} p_{i,j'} (Y_j Y_{j'} X_{j'}^2 + Y_{j'} Y_j X_j^2) = 0$. We can now linearize this system by letting $Z_{jj'} \stackrel{\text{def}}{=} Y_j Y_{j'} X_{j'}^2 + Y_{j'} Y_j X_j^2$. We obtain a system $\mathcal{L}_{\mathbf{P}}$ of k linear equations involving the $Z_{jj'}$'s:

$$\mathcal{L}_{\mathbf{P}} \stackrel{\text{def}}{=} \left\{ \sum_{j=k+1}^{n-1} \sum_{j'>j}^n p_{i,j} p_{i,j'} Z_{jj'} = 0 \mid i \in \{1, \dots, k\} \right\}. \quad (6)$$

To solve this system it is necessary that the number of equations is greater than the number of unknowns *i.e.* $k \geq \binom{mr}{2}$ with the hope that the rank of $\mathcal{L}_{\mathbf{P}}$ denoted by $\text{rank}(\mathcal{L}_{\mathbf{P}})$ is almost equal to the number of variables. Observe that the linear systems (6) have coefficients in \mathbb{F}_q whereas solutions are sought in the extension field \mathbb{F}_{q^m} . But the dimension D of the vector space solution of $\mathcal{L}_{\mathbf{P}}$ does not depend on the underlying field because $\mathcal{L}_{\mathbf{P}}$ can always be seen as a system over \mathbb{F}_{q^m} . Remark that we obviously have $D = \binom{mr}{2} - \text{rank}(\mathcal{L}_{\mathbf{P}})$. It appears that D is amazingly large. It even depends on whether or not the code with generator matrix \mathbf{G} is chosen as a (generic) alternant code or as a Goppa code. Interestingly enough, when \mathbf{G} is chosen at random, $\text{rank}(\mathcal{L}_{\mathbf{P}})$ is equal to $\min\{k, \binom{mr}{2}\}$ with very high probability. In particular, the dimension of the solution space is typically 0 when k is larger than the number of variables $\binom{mr}{2}$.

Although this *defect* in the rank is an obstacle to break the McEliece cryptosystem, it can be used to distinguish the public generator from a random code. Moreover, since the linear system $\mathcal{L}_{\mathbf{P}}$ is defined over \mathbb{F}_q , there exist two vector spaces solution depending on whether the underlying field is \mathbb{F}_{q^m} or \mathbb{F}_q . This duality leads to the following definition.

Definition 3. For any integer $r \geq 1$ and $m \geq 1$, let us denote by $N \stackrel{\text{def}}{=} \binom{mr}{2}$ the number of variables in the linear system $\mathcal{L}_{\mathbf{P}}$ as defined in (6) and D the dimension of the vector space solution of $\mathcal{L}_{\mathbf{P}}$. The normalized dimension of $\mathcal{L}_{\mathbf{P}}$ denoted by Δ is defined as:

$$\Delta \stackrel{\text{def}}{=} \frac{D}{m}.$$

Throughout the paper we consider three cases corresponding to the possible choices for the entries $p_{i,j}$'s. We denote by Δ_{random} the normalized dimension when the $p_{i,j}$'s are chosen uniformly and independently at random in \mathbb{F}_q . When \mathbf{G} is chosen as a generator matrix of a random alternant (*resp.* Goppa) code of degree r , we denote the normalized dimension by $\Delta_{\text{alternant}}$ (*resp.* Δ_{Goppa}). Note that in our probabilistic model, a random alternant code is obtained by picking uniformly and independently at random two vectors (x_1, \dots, x_n) and (y_1, \dots, y_n) from $(\mathbb{F}_{q^m})^n$ such that the x_i 's are all different and the y_i 's are all nonzero. A random Goppa code is obtained by also taking in the same way a

random vector (x_1, \dots, x_n) in $(\mathbb{F}_{q^m})^n$ with all the x_i 's different and a random *irreducible* polynomial $\Gamma(z) = \sum_i \gamma_i z^i$ of degree r .

A thorough experimental study (see Appendix E) through intensive computations with Magma [6] by randomly generating alternant and Goppa codes over the field \mathbb{F}_q with $q \in \{2, 4, 8, 16, 32\}$ for values of r in the range $\{3, \dots, 50\}$ and several m revealed that the (normalized) dimension of the vector space over \mathbb{F}_q of the solutions of (6) follows the following formulas. Recall that by definition $N = \binom{mr}{2}$ and $k = n - rm$ where $n \leq q^m$.

Experimental Fact 1 (Alternant Case). *As long as $N - m\Delta_{\text{alternant}} < k$, with very high probability the normalized dimension $\Delta_{\text{alternant}}$ has the following value $T_{\text{alternant}}$:*

$$T_{\text{alternant}} = \frac{1}{2}(r-1) \left((2e+1)r - 2 \frac{q^{e+1} - 1}{q-1} \right) \text{ for } e \stackrel{\text{def}}{=} \lfloor \log_q(r-1) \rfloor. \quad (7)$$

As for the case of random Goppa codes we also obtain formulas different from those of alternant codes. Note however that the Goppa codes are generated by means of a random irreducible $\Gamma(z)$ of degree r and hence $\Gamma(z)$ has no multiple roots. In particular, we can apply Theorem 1 in the binary case.

Experimental Fact 2 (Goppa Case). *As long as $N - m\Delta_{\text{Goppa}} < k$, with very high probability the normalized dimension Δ_{Goppa} has the following value T_{Goppa} :*

$$T_{\text{Goppa}} = \begin{cases} \frac{1}{2}(r-1)(r-2) = T_{\text{alternant}} & \text{for } r < q-1 \\ \frac{1}{2}r \left((2e+1)r - 2q^e + 2q^{e-1} - 1 \right) & \text{for } r \geq q-1 \end{cases} \quad (8)$$

where e is the unique integer such that: $q^e - 2q^{e-1} + q^{e-2} < r \leq q^{e+1} - 2q^e + q^{e-1}$.

Based upon these experimental observations, we are now able to define a *distinguisher* between random codes, alternant codes and Goppa codes. This distinguisher will be in particular useful to distinguish between McEliece public keys and random matrices.

Definition 4 (Random Code Distinguisher). *Let m and r be integers such that $m \geq 1$ and $r \geq 1$. Let \mathbf{G} be a $k \times n$ matrix whose entries are in \mathbb{F}_q with $n \leq q^m$ and $k \stackrel{\text{def}}{=} n - rm$. Without loss of generality, we assume that \mathbf{G} is systematic i.e. $\mathbf{G} = (\mathbf{I}_k \mid \mathbf{P})$. Let $\mathcal{L}_{\mathbf{P}}$ be the linear system associated to \mathbf{G} as defined in (6), and Δ the normalized dimension of $\mathcal{L}_{\mathbf{P}}$. We define the Random Code Distinguisher \mathcal{D} as the mapping which takes in input \mathbf{G} and outputs b in $\{-1, 0, 1\}$ such that:*

$$\mathcal{D}(\mathbf{G}) = \begin{cases} -1 & \text{if } \Delta = T_{\text{alternant}} \\ 0 & \text{if } \Delta = T_{\text{Goppa}} \\ 1 & \text{otherwise.} \end{cases} \quad (9)$$

4 The Random Case

The purpose of this section is to study the behavior of D_{random} , namely the dimension of the solution space of $\mathcal{L}_{\mathbf{P}}$ when the entries of the matrix \mathbf{P} are drawn independently from the uniform distribution over \mathbb{F}_q . In this case, we can show that:

Theorem 2. *Assume that $N \leq k$ and that the entries of \mathbf{P} are drawn independently from the uniform distribution over \mathbb{F}_q . Then for any function $\omega(x)$ tending to infinity as x goes to infinity, we have*

$$\mathbf{prob}(D_{\text{random}} \geq mr\omega(mr)) = o(1),$$

as mr goes to infinity.

This theorem will be proved in Appendix B. Notice that if choose $\omega(x) = \log(x)$ for instance, then asymptotically the dimension D_{random} of the solution space is with very large probability smaller than $mr \log(mr)$. When m and r are of the same order – which is generally chosen in practice – this quantity is smaller than $D_{\text{alternant}}$ or D_{Goppa} which are of the form $\Omega(mr^2)$.

The main ingredient for proving Theorem 2 consists in analyzing a certain (partial) Gaussian elimination process on the matrix $M \stackrel{\text{def}}{=} (p_{ij}p_{ij'})_{\substack{1 \leq i \leq k \\ j, j': k+1 \leq j < j' \leq n}}$. Basically it amounts to view the matrix M in block form, each block consisting in the matrix $B_j = (p_{ij}p_{ij'})_{\substack{1 \leq i \leq k \\ j < j' \leq n}}$. Each block B_j is of size $k \times (rm - j)$. Notice that in B_j , the rows for which $p_{i, k+j} = 0$ consist only of zeros.

To start the Gaussian elimination process with B_1 , we will therefore pick up $rm - 1$ rows for which $p_{i, k+1} \neq 0$. This gives a square matrix M_1 . We perform Gaussian elimination on M by adding rows involved in M_1 to put the first block B_1 in standard form. We carry on this process with B_2 by picking now $rm - 2$ rows which have not been chosen before and which correspond to $p_{i, k+2} \neq 0$. This yields a square submatrix M_2 of size $rm - 2$ and we continue this process until reaching the last block. The key observation is that:

$$\text{rank}(M) \geq \text{rank}(M_1) + \text{rank}(M_2) + \cdots + \text{rank}(M_{rm-1}).$$

A rough analysis of this process yields the theorem above. The important point is what happens for different blocks are independent processes, it corresponds to looking at different rows of the matrix \mathbf{P} . A more detailed analysis would probably yield a stronger result that $\mathbf{prob}(D_{\text{random}} \geq \omega(mr)) = o(1)$, for any function ω going to infinity with mr or allowing to treat the case $N \geq k$ where we would like to show that $\mathbf{prob}(D_{\text{random}} \geq N - k + \omega(mr)) = o(1)$. But, this is beyond the scope of this paper.

5 Interpretation of the Normalized Dimension – The Alternant Case

We first consider the case of alternant codes over \mathbb{F}_q of degree r . The goal of this section is to identify a set of vectors which, after decomposition according to a basis of \mathbb{F}_{q^m} over \mathbb{F}_q , provides a basis of the solution space of $\mathcal{L}_{\mathbf{P}}$. First observe that to set up the linear system $\mathcal{L}_{\mathbf{P}}$ as defined in (6), we have used the trivial identity $Y_i Y_i X_i^2 = (Y_i X_i)^2$. Actually, we can use any identity $Y_i X_i^a Y_i X_i^b = Y_i X_i^c Y_i X_i^d$ with $a, b, c, d \in \{0, 1, \dots, r-1\}$ such that $a + b = c + d$. It is straightforward to check that we obtain the same algebraic system $\mathcal{L}_{\mathbf{P}}$ with:

$$\sum_{j=k+1}^n \sum_{j'>j} p_{i,j} p_{i,j'} \left(Y_j X_j^a Y_{j'} X_{j'}^b + Y_{j'} X_{j'}^a Y_j X_j^b + Y_j X_j^c Y_{j'} X_{j'}^d + Y_{j'} X_{j'}^c Y_j X_j^d \right) = 0. \quad (10)$$

So, the fact that *there are many different ways of combining the equations of the algebraic system together yielding the same linearized system $\mathcal{L}_{\mathbf{P}}$* explains why the dimension of the vector space solution V_{q^m} is large.

For larger values of r , the automorphisms of \mathbb{F}_{q^m} of the kind $x \mapsto x^{q^\ell}$ for some $\ell \in \{0, \dots, m-1\}$ can be used to obtain the identity $Y_i^{q^{\ell'}} X_i^{a q^{\ell'}} Y_i^{q^\ell} X_i^{b q^\ell} = Y_i^{q^{\ell'}} X_i^{c q^{\ell'}} Y_i^{q^\ell} X_i^{d q^\ell}$ for any integers $a, b, c,$

d, ℓ, ℓ' such that $aq^{\ell'} + bq^{\ell} = cq^{\ell'} + dq^{\ell}$. We get again the linear system $\mathcal{L}_{\mathbf{P}}$ but the decomposition over \mathbb{F}_q of the entries of vectors obtained from such equations give vectors that are dependent of those coming from the identity $Y_i X_i^a Y_i^{q^{\ell-\ell'}} X_i^{bq^{\ell-\ell'}} = Y_i X_i^c Y_i^{q^{\ell-\ell'}} X_i^{dq^{\ell-\ell'}}$ if we assume $\ell' \leq \ell$. Therefore, we are only interested to vectors that satisfy equations obtained with $0 \leq a, b, c, d < r, 0 \leq \ell < m$ and $a + q^{\ell}b = c + q^{\ell}d$.

Definition 5. Let a, b, c and d be integers in $\{0, \dots, r-1\}$ and an integer ℓ in $\{0, \dots, \lfloor \log_q(r-1) \rfloor\}$ such that $a + q^{\ell}b = c + q^{\ell}d$. We define $\mathbf{Z}_{a,b,c,d,\ell} \stackrel{\text{def}}{=} \left(\mathbf{Z}_{a,b,c,d,\ell}[j, j'] \right)_{k+1 \leq j < j' \leq n}$ where

$$\mathbf{Z}_{a,b,c,d,\ell}[j, j'] \stackrel{\text{def}}{=} Y_j X_j^a Y_{j'}^{q^{\ell}} X_{j'}^{q^{\ell}b} + Y_{j'} X_{j'}^a Y_j^{q^{\ell}} X_j^{q^{\ell}b} + Y_j X_j^c Y_{j'}^{q^{\ell}} X_{j'}^{q^{\ell}d} + Y_{j'} X_{j'}^c Y_j^{q^{\ell}} X_j^{q^{\ell}d},$$

for any j and j' satisfying $k+1 \leq j < j' \leq n$.

Without loss of generality, we can assume that $d > b$ and set $\delta = d - b$. Moreover, as we have $a + q^{\ell}b = c + q^{\ell}d$, it implies that $a = c + q^{\ell}\delta$. Note that any vector $\mathbf{Z}_{a,b,c,d,\ell}$ is uniquely described by the tuple (b, c, δ, ℓ) by setting $d = b + \delta$ and $a = c + q^{\ell}\delta$ provided that $1 \leq \delta \leq r-1-b$ and $0 \leq c + q^{\ell}\delta \leq r-1$.

The next proposition shows that some vectors $\mathbf{Z}_{c+q^{\ell}\delta, b, c, b+\delta, \ell}$ can be expressed as a linear combination of vectors defined with $\delta = 1$. All the proofs of this section are gathered in Appendix C.

Proposition 1. Let ℓ, δ, b and c be integers such that $\ell \geq 0, \delta \geq 1, 1 \leq b + \delta \leq r-1$ and $1 \leq c + q^{\ell}\delta \leq r-1$. Let us assume that $\delta \geq 2$ and let $b_i \stackrel{\text{def}}{=} b + i - 1$ and $c_i \stackrel{\text{def}}{=} c + q^{\ell}(\delta - i)$. We have

$$\mathbf{Z}_{c+q^{\ell}\delta, b, c, b+\delta, \ell} = \sum_{i=1}^{\delta} \mathbf{Z}_{c_i+q^{\ell}, b_i, c_i, b_i+1, \ell}. \quad (11)$$

From Proposition 1, we deduce that the set of vectors $\mathbf{Z}_{c+q^{\ell}\delta, b, c, b+\delta, \ell}$ i.e. $\delta = 1$ form a spanning set for the vector space generated by all the vectors $\mathbf{Z}_{c+q^{\ell}\delta, b, c, b+\delta, \ell}$. We can characterize more precisely this set.

Definition 6. Let \mathcal{B}_r be the set of nonzero vectors $\mathbf{Z}_{c+q^{\ell}\delta, b, c, b+\delta, \ell}$ obtained with tuples (δ, b, c, ℓ) such that $\delta = 1$ and satisfying the following conditions:

$$\begin{cases} 0 \leq b \leq r-2 \text{ and } 0 \leq c \leq r-1-q^{\ell} & \text{if } 1 \leq \ell \leq \lfloor \log_q(r-1) \rfloor \\ 0 \leq b < c \leq r-2 & \text{if } \ell = 0. \end{cases}$$

Proposition 2. Let r be an integer such that $r \geq 3$. The cardinality of \mathcal{B}_r is equal to $T_{\text{alternant}}$.

Proposition 2 gives an explanation of the value of $D_{\text{alternant}}$. To see this, let us define

Definition 7. Consider a certain decomposition of the elements of \mathbb{F}_{q^m} in a \mathbb{F}_q basis. Let $\pi_i : \mathbb{F}_{q^m} \mapsto \mathbb{F}_q$ be the function giving the i -th coordinate in this decomposition. By extension we denote for a vector $\mathbf{z} = (z_j)_{1 \leq j \leq n} \in \mathbb{F}_{q^m}^n$ by $\pi_i(\mathbf{z})$ the vector $(\pi_i(z_j))_{1 \leq j \leq n} \in \mathbb{F}_q^n$.

We have the following heuristic.

Heuristic 1. For random choices of x_i 's and y_i 's with $1 \leq i \leq n$ the set $\{\pi_i(\mathbf{Z}) \mid 1 \leq i \leq m, \mathbf{Z} \in \mathcal{B}_r\}$ forms a basis of $\mathcal{L}_{\mathbf{P}}$.

6 Interpretation of the Normalized Dimension – The Binary Goppa Case

In this section we will explain Experimental Fact 2 in the case of a binary Goppa code. We denote by r the degree of the Goppa polynomial. In this case, it is readily seen that the theoretical expression T_{Goppa} has a simpler expression given by

Proposition 3. *Let us define $e \stackrel{\text{def}}{=} \lceil \log_2 r \rceil + 1$ and $N \stackrel{\text{def}}{=} \binom{mr}{2}$. When $q = 2$, the formula in Equation (8) can be simplified to $T_{\text{Goppa}} = \frac{1}{2}r((2e + 1)r - 2^e - 1)$.*

Theorem 1 shows that a binary Goppa code of degree r can be regarded as a binary alternant code of degree $2r$. This seems to indicate that we should have

$$D_{\text{Goppa}}(r) = mT_{\text{alternant}}(2r).$$

This is not the case however. It turns out that $D_{\text{Goppa}}(r)$ is significantly smaller than this. In our experiments, we have found out that the vectors of \mathcal{B}_{2r} still form a generating set for $\mathcal{L}_{\mathbf{P}}$, but that they are not independent anymore. Our goal is here to identify the dependencies between the elements of \mathcal{B}_{2r} .

We are really interested in the dependencies over the binary field \mathbb{F}_2 , but we are first going to find linear relations over the extension field \mathbb{F}_{2^m} . There are many of them, as shown by the following proposition which exploits that the Y_i 's are derived from the Goppa polynomial $\Gamma(z)$ by $Y_i = \Gamma(X_i)^{-1}$. Again, the proofs of this section are postponed to Appendix D.

Proposition 4. *Let t, ℓ and c be integers such that $0 \leq t \leq r - 2$, $1 \leq \ell \leq \lfloor \log_2(2r - 1) \rfloor$ and $0 \leq c \leq 2r - 2^\ell - 1$. We set $c^* \stackrel{\text{def}}{=} c + 2^{\ell-1}$. It holds that:*

$$\sum_{b=0}^r \gamma_b^{2^\ell} \mathbf{Z}_{c+2^\ell, t+b, c, t+b+1, \ell} = \mathbf{Z}_{c^*+2^{\ell-1}, 2t, c^*, 2t+1, \ell-1} + \mathbf{Z}_{c+2^{\ell-1}, 2t+1, c, 2t+2, \ell-1}. \quad (12)$$

As a consequence of Proposition 4, \mathcal{B}_{2r} can not be a basis of the linearized system in the Goppa case. We count the number of such equations in the following proposition.

Proposition 5. *The number N_L of equations of the form (12) is equal to $2(r-1)(ru + 1 - 2^u)$ where $u \stackrel{\text{def}}{=} \lfloor \log_2(2r - 1) \rfloor$.*

Notice that each equation of the form (12) involves one vector of \mathcal{B}_{2r} that does not satisfy the other equations. These equations are therefore independent and by denoting by $\langle \mathcal{B}_{2r} \rangle_{\mathbb{F}_{2^m}}$ the vector space over \mathbb{F}_{2^m} generated by the vectors of \mathcal{B}_{2r} we should have

$$\dim \langle \mathcal{B}_{2r} \rangle_{\mathbb{F}_{2^m}} \leq |\mathcal{B}_{2r}| - N_L.$$

The experiments we have made indicate that actually equality holds here. However, this does not mean that the dimension of the vector space over \mathbb{F}_2 generated by the set $\{\pi_i(\mathbf{Z}), \mathbf{Z} \in \mathcal{B}_{2r}, 1 \leq i \leq m, \mathbf{Z} \in \mathcal{B}_{2r}\}$ is equal to $m \dim \langle \mathcal{B}_{2r} \rangle_{\mathbb{F}_{2^m}}$. It turns out that there are still other dependencies among the $\pi_i(\mathbf{Z})$'s. The following proposition gives an explanation of how such dependencies occur.

Proposition 6. *Let $\mathbf{Q}_{a,b,c,d,\ell} \stackrel{\text{def}}{=} (\mathbf{Q}_{a,b,c,d,\ell}[j, j'])_{k+1 \leq j < j' \leq n}$, with $\mathbf{Q}_{a,b,c,d,\ell}[j, j'] = (\mathbf{Z}_{a,b,c,d,\ell}[j, j'])^2$. For any integers $b \geq 0$, $t \geq 0$, $\delta \geq 1$ and ℓ such that $0 \leq \ell \leq \lfloor \log_2(2r - 1) \rfloor - 1$, $b + \delta \leq 2r - 1$ and $t + 2^\ell \delta \leq r - 1$, we have*

$$\mathbf{Z}_{2t+2^{\ell+1}\delta, b, 2t, b+\delta, \ell+1} = \sum_{c=0}^r \gamma_c^2 \mathbf{Q}_{c+2^\ell\delta, b, t+c, b+\delta, \ell}. \quad (13)$$

Proposition 7. *The number N_Q of vectors of \mathcal{B}_{2r} satisfying Equation (13) is equal to $(2r - 1)(ru - 2^u + 1)$ where $u \stackrel{\text{def}}{=} \lfloor \log_2(2r - 1) \rfloor$.*

Each of such equation gives rise to m linear equations over \mathbb{F}_2 involving the $\pi_i(\mathbf{Z})$ for \mathbf{Z} in \mathcal{B}_{2r} . Therefore, it could be expected that $\Delta_{\text{Goppa}} = |\mathcal{B}_{2r}| - N_L - N_Q$. But, some vectors in \mathcal{B}_{2r} appear both in linear relations of the form (12) and “quadratic” equations of the form (13). More precisely, let $\mathcal{B}_{2r}^{\text{quad}}$ be the subset of vectors of \mathcal{B}_{2r} which are involved in an Equation of type (13). There are equations of type (12) which involve only vectors of $\mathcal{B}_{2r}^{\text{quad}}$. Let N_1 be their numbers. Moreover, it is possible by adding two equations of type (12) involving at least one vector which is not in $\mathcal{B}_{2r}^{\text{quad}}$ to obtain an equation which involves only vectors of $\mathcal{B}_{2r}^{\text{quad}}$. Let N_0 be the number of such sums. Finally, let $N_{L \cap Q} \stackrel{\text{def}}{=} N_1 + N_0$. It is possible to count such equations to obtain

Proposition 8. $N_{L \cap Q} = (r - 1) \left((u - \frac{1}{2})r - 2^u + 2 \right)$ where $u \stackrel{\text{def}}{=} \lfloor \log_2(2r - 1) \rfloor$.

Proposition 9. *For any integer $r \geq 2$, we have $T_{\text{Goppa}}(r) = |\mathcal{B}_{2r}| - N_L - N_Q + N_{L \cap Q}$.*

7 Conclusion and Cryptographic Implications

The existence of a distinguisher for the specific case of binary Goppa codes has consequences for code-based cryptographic primitives because it represents, and by far, the favorite choice in such primitives. We focus in this part on secure parameters that are within the range of validity of our distinguisher. The simple expression given in Proposition 3 is not valid for any value of r and m but tends to be true for codes that have a code rate $\frac{n-mr}{n}$ that is close to one. This kind of codes are mainly encountered with the public keys of the CFS signature scheme [10]. If we assume that the length n is equal to 2^m and we denote by r_{\min} the smallest integer r such that $N - mT_{\text{Goppa}} \geq 2^m - mr$ then any binary Goppa code defined of degree $r \geq r_{\min}$ cannot be distinguished from a random linear code by our technique. This value is gathered in Table 1. One can notice for instance that the binary

Table 1. Smallest order r of a binary Goppa code of length $n = 2^m$ for which our distinguisher does not work.

m	8	9	10	11	12	13	14	15	16	17	18	19	20	21	22	23
r_{\min}	5	8	8	11	16	20	26	34	47	62	85	114	157	213	290	400

Goppa code obtained with $m = 13$ and $r = 19$ corresponding to a McEliece public key of 90 bits of security, fits in the range of validity of our distinguisher. The values of r_{\min} in Table 1 are checked by experimentations for $m \leq 16$ whereas those for $m \geq 17$ are obtained by solving the equation $\frac{mr}{2} \left((2e + 1)r - 2^e - 1 \right) = \frac{1}{2}mr(mr - 1) - 2^m + mr$. Eventually, all the keys proposed in [15] (See therein Table 4) for the CFS scheme can be distinguished.

References

1. T. P. Berger, P.L. Cayrel, P. Gaborit, and A. Otmani. Reducing key length of the McEliece cryptosystem. In Bart Preneel, editor, *Progress in Cryptology - Second International Conference on Cryptology in Africa (AFRICACRYPT 2009)*, volume 5580 of *Lecture Notes in Computer Science*, pages 77–97, Gammarth, Tunisia, June 21–25 2009.

2. E. Berlekamp, R. McEliece, and H. van Tilborg. On the inherent intractability of certain coding problems. *IEEE Transactions on Information Theory*, 24(3):384–386, May 1978.
3. E. R. Berlekamp. Factoring polynomials over finite fields. In E. R. Berlekamp, editor, *Algebraic Coding Theory*, chapter 6. McGraw-Hill, 1968.
4. D. J. Bernstein, T. Lange, and C. Peters. Attacking and defending the McEliece cryptosystem. In *PQCrypto*, volume 5299 of *LNCS*, pages 31–46, 2008.
5. Bhaskar Biswas and Nicolas Sendrier. McEliece cryptosystem implementation: Theory and practice. In *PQCrypto*, pages 47–62, 2008.
6. W. Bosma, J. J. Cannon, and Catherine Playoust. The Magma algebra system I: The user language. *J. Symb. Comput.*, 24(3/4):235–265, 1997.
7. A. Canteaut and F. Chabaud. A new algorithm for finding minimum-weight words in a linear code: Application to McEliece’s cryptosystem and to narrow-sense BCH codes of length 511. *IEEE Transactions on Information Theory*, 44(1):367–378, 1998.
8. Pierre-Louis Cayrel, Philippe Gaborit, David Galindo, and Marc Girault. Improved identity-based identification using correcting codes. *CoRR*, abs/0903.0069, 2009.
9. Colin Cooper. On the distribution of rank of a random matrix over a finite field. *Random Struct. Algorithms*, 17(3-4):197–212, 2000.
10. N. T. Courtois, M. Finiasz, and N. Sendrier. How to achieve a McEliece-based digital signature scheme. *Lecture Notes in Computer Science*, 2248:157–174, 2001.
11. Léonard Dallot. Towards a concrete security proof of Courtois, Finiasz and Sendrier signature scheme. In *WEWoRC*, pages 65–77, 2007.
12. Léonard Dallot and Damien Vergnaud. Provably secure code-based threshold ring signatures. In *IMA Int. Conf.*, pages 222–235, 2009.
13. Rafael Dowsley, Jörn Müller-Quade, and Anderson C. A. Nascimento. A CCA2 secure public key encryption scheme based on the McEliece assumptions in the standard model. In *CT-RSA*, pages 240–251, 2009.
14. Jean-Charles Faugère, Ayoub Otmani, Ludovic Perret, and Jean-Pierre Tillich. Algebraic cryptanalysis of mceliece variants with compact keys. In Henri Gilbert, editor, *EUROCRYPT*, volume 6110 of *Lecture Notes in Computer Science*, pages 279–298. Springer, 2010.
15. M. Finiasz and N. Sendrier. Security bounds for the design of code-based cryptosystems. In M. Matsui, editor, *Asiacrypt 2009*, volume 5912 of *LNCS*, pages 88–105. Springer, 2009.
16. P. J. Lee and E. F. Brickell. An observation on the security of McEliece’s public-key cryptosystem. In *Advances in Cryptology - EUROCRYPT’88*, volume 330/1988 of *Lecture Notes in Computer Science*, pages 275–280. Springer, 1988.
17. J. S. Leon. A probabilistic algorithm for computing minimum weights of large error-correcting codes. *IEEE Transactions on Information Theory*, 34(5):1354–1359, 1988.
18. P. Loidreau and N. Sendrier. Weak keys in the mceliece public-key cryptosystem. *IEEE Transactions on Information Theory*, 47(3):1207–1211, 2001.
19. F. J. MacWilliams and N. J. A. Sloane. *The Theory of Error-Correcting Codes*. North-Holland, Amsterdam, fifth edition, 1986.
20. R. J. McEliece. *A Public-Key System Based on Algebraic Coding Theory*, pages 114–116. Jet Propulsion Lab, 1978. DSN Progress Report 44.
21. R. Misoczki and P. S. L. M. Barreto. Compact McEliece keys from Goppa codes. In *Selected Areas in Cryptography (SAC 2009)*, Calgary, Canada, August 13-14 2009.
22. Ryo Nojima, Hideki Imai, Kazukuni Kobara, and Kirill Morozov. Semantic security for the McEliece cryptosystem without random oracles. *Des. Codes Cryptography*, 49(1-3):289–305, 2008.
23. N. Patterson. The algebraic decoding of Goppa codes. *IEEE Transactions on Information Theory*, 21(2):203–207, 1975.
24. Erez Petrank and Ron M. Roth. Is code equivalence easy to decide? *IEEE Transactions on Information Theory*, 43(5):1602–1604, 1997.
25. N. Sendrier. Finding the permutation between equivalent linear codes: The support splitting algorithm. *IEEE Transactions on Information Theory*, 46(4):1193–1203, 2000.
26. J. Stern. A method for finding codewords of small weight. In G. D. Cohen and J. Wolfmann, editors, *Coding Theory and Applications*, volume 388 of *Lecture Notes in Computer Science*, pages 106–113. Springer, 1988.

A Code-Based Public-Key Cryptography

The main cryptographic primitives in code-based public-key cryptography are the McEliece encryption and the CFS signature [10]. We recall that a linear *code* over a finite field \mathbb{F}_q of q elements defined by a $k \times n$ matrix \mathbf{G} (with $k \leq n$) over \mathbb{F}_q is the vector space \mathcal{C} spanned by its rows *i.e.* $\mathcal{C} \stackrel{\text{def}}{=} \{\mathbf{uG} \mid \mathbf{u} \in \mathbb{F}_q^k\}$. \mathbf{G} is chosen as a full-rank matrix, so that the code is of dimension k . The *rate* of the code is given by the ratio $\frac{k}{n}$. Code-based public-key cryptography focuses on linear codes that have a polynomial time decoding algorithm. The role of decoding algorithms is to correct errors of prescribed weight. We say that a decoding algorithm corrects r errors if it recovers \mathbf{u} from the knowledge of $\mathbf{uG} + \mathbf{e}$ for all possible $\mathbf{e} \in \mathbb{F}_q^n$ of weight at most r .

Secret key: the triplet $(\mathbf{S}, \mathbf{G}_s, \mathbf{P})$ of matrices defined over a finite field \mathbb{F}_q over q elements, with q being a power of two, that is $q = 2^s$. \mathbf{G}_s is a full rank matrix of size $k \times n$, with $k < n$, \mathbf{S} is of size $k \times k$ and is invertible. \mathbf{P} is a permutation matrix of size $n \times n$. \mathbf{G}_s is chosen in such a way that its associated linear code (that is the set of all possible \mathbf{uG}_s with \mathbf{u} ranging over \mathbb{F}_q^k) has a decoding algorithm which corrects in polynomial time r errors.

Public key: the matrix $\mathbf{G} = \mathbf{SG}_s\mathbf{P}$.

Encryption: A plaintext $\mathbf{u} \in \mathbb{F}_q^k$ is encrypted by choosing a random vector \mathbf{e} in \mathbb{F}_q^n of weight at most r . The corresponding ciphertext is $\mathbf{c} = \mathbf{uG} + \mathbf{e}$.

Decryption: $\mathbf{c}' = \mathbf{cP}^{-1}$ is computed from the ciphertext \mathbf{c} . Notice that $\mathbf{c}' = (\mathbf{uSG}_s\mathbf{P} + \mathbf{e})\mathbf{P}^{-1} = \mathbf{uSG}_s + \mathbf{eP}^{-1}$ and that \mathbf{eP}^{-1} is of Hamming weight at most r . Therefore the aforementioned decoding algorithm can recover in polynomial time \mathbf{uS} and therefore the plaintext \mathbf{u} by multiplication by \mathbf{S}^{-1} .

What is generally referred to as the McEliece cryptosystem is this scheme together with a particular choice of the code, which consists in taking a binary Goppa code. This class of codes belongs to a more general class of codes, namely the alternant code family ([19, Chap. 12, p. 365]). The main feature of this last class of codes is that they can be decoded in polynomial time.

Another important code-based cryptographic primitive is the CFS scheme [10], which is the first signature scheme based on the security of the McEliece cryptosystem. In this kind of scheme, a user whose public key is \mathbf{G} and who wishes to sign a message $\mathbf{x} \in \mathbb{F}_2^k$ has to compute a string \mathbf{u} such that the Hamming weight of $\mathbf{x} - \mathbf{uG}$ is at most r . Anyone (a *verifier*) can publicly check the validity of a signature. Unfortunately, this approach can only provide signatures for messages \mathbf{x} that are within distance t from a codeword \mathbf{uG} . The CFS scheme suggests to modify the message by appending a counter incremented until the decoding algorithm can find such a signature. The efficiency of this scheme heavily depends on the number of trials. It is suggested in [10] to choose as in the McEliece cryptosystem, binary Goppa codes for this purpose with the following parameters $n = 2^m$ and $k = n - mr$. The number of trials is of order $r!$ in this case, which leads to choose a very small t and therefore to take a very large n in order to be secure. Notice that the code rate is then equal to $\frac{2^m - rm}{2^m} = 1 - \frac{mr}{2^m}$ which is for large n (that is for large values of 2^m) and moderate values of r quite close to 1. Thus, the major difference between the McEliece cryptosystem and the CFS scheme lies in the choice of the parameters. An 80-bit security CFS scheme requires $n = 2^{21}$ and $r = 10$ whereas the McEliece cryptosystem for the same security needs $n = 2^{11}$ and $r = 32$ ([15]). The code of the CFS scheme is of rate $1 - \frac{10 \times 21}{2^{21}} \approx 0.9999$. We see here that the CFS scheme depends on very high rate binary Goppa codes.

B Proof of Theorem 2

It will be convenient to assume that the columns of M are ordered lexicographically. The index of the first column is $(j, j') = (k + 1, k + 2)$, the second one is $(j, j') = (k + 1, k + 3)$, while the last one is $(j, j') = (n - 1, n)$. The matrices M_i 's which are involved in the Gaussian elimination process mentioned in Section 4 are defined inductively as follows.

Let E_1 be the subset of $\{1, \dots, k\}$ of indices s such that $p_{s, k+1} \neq 0$. Let F_1 be the subset of E_1 formed by its first $rm - 1$ elements (if these elements exist). Now, we set

$$M_1 \stackrel{\text{def}}{=} (p_{s, k+1} p_{s, j})_{\substack{s \in F_1 \\ k+1 < j \leq n}}. \quad (14)$$

Let r_1 be the rank of M_1 . To simplify the discussion, we assume that:

1. $F_1 = \{1, 2, \dots, rm - 1\}$,
2. the submatrix N_1 of M_1 formed by its first r_1 rows and columns is of full rank.

Note that we can always assume this by performing suitable row and column permutations. In other words M has the following block structure:

$$M = \begin{pmatrix} N_1 & B_1 \\ A_1 & C_1 \end{pmatrix}.$$

We denote:

$$M^{(1)} \stackrel{\text{def}}{=} \begin{pmatrix} N_1^{-1} & \mathbf{0} \\ -A_1 N_1^{-1} & \mathbf{I} \end{pmatrix} M,$$

where $\mathbf{0}$ is a matrix of size $r_1 \times (k - r_1)$ with only zero entries and \mathbf{I} is the identity matrix of size $k - r_1$. Notice that $M^{(1)}$ takes the block form:

$$M^{(1)} = \begin{pmatrix} \mathbf{I} & B'_1 \\ \mathbf{0} & C'_1 \end{pmatrix}.$$

This basically amounts to perform Gaussian elimination on M to put the first r_1 columns in standard form. We then define inductively the $E_i, F_i, M_i, M^{(i)}$ and N_i as follows:

$$E_i \stackrel{\text{def}}{=} \{s | 1 \leq s \leq k, p_{s, k+i} \neq 0\} \setminus F_{i-1},$$

$$F_i \stackrel{\text{def}}{=} \text{the first } rm - i \text{ elements of } E_i.$$

M_i is the submatrix of $M^{(i-1)}$ obtained from the rows in F_i and the columns associated to the indices of the form $(k + i, j')$ where j' ranges from $k + i + 1$ to n . $M^{(i)}$ is obtained from $M^{(i-1)}$ by first choosing a square submatrix N_i of M_i of full rank and with the same rank as M_i and then by performing Gaussian elimination on the rows in order to put the columns of $M^{(i-1)}$ involved in N_i in standard form (i.e. the submatrix of $M^{(i-1)}$ corresponding to N_i becomes the identity matrix while the other entries in the columns involved in N_i become zero). It is clear that the whole process leading to $M^{(rm-1)}$ amounts to perform (partial) Gaussian elimination to M . Hence:

Lemma 1. *When $|E_i| \geq rm - i$, for all $i \in \{1, \dots, rm - 1\}$, we have:*

$$\text{rank}(M) \geq \sum_{i=1}^{rm-1} \text{rank}(M_i).$$

Another observation is that M_i is equal to the sum of the submatrix $(p_{s,k+i}p_{s,j})_{\substack{s \in F_i \\ k+i < j \leq n}}$ of M and a certain matrix which is some function on the entries $p_{t,k+i}p_{t,j}$ where t belongs to $F_1 \cup \dots \cup F_{i-1}$ and j ranges over $\{k+i+1, n\}$. Since by definition of F_i , $p_{s,k+i}$ is different from 0 for s in F_i . In addition, the rank of M_i does not change by multiplying each row of index s by $p_{s,k+i}^{-1}$. Then, it turns out that the rank of M_i is equal to the rank of a matrix which is the sum of the matrix $(p_{s,j})_{\substack{s \in F_i \\ k+i < j \leq n}}$, another matrix depending on the $p_{t,k+i}p_{t,j}$'s (where t ranges over $F_1 \cup \dots \cup F_{i-1}$) and the $p_{s,k+i}$'s with $s \in F_i$. This proves that:

Lemma 2. *Assume that $|E_i| \geq rm - i$ for all $i \in \{1, \dots, rm - 1\}$. Then, the random variables $\text{rank}(M_i)$ are independent and $\text{rank}(M_i)$ is distributed as the rank of a square matrix of size $rm - i$ with entries drawn independently from the uniform distribution on \mathbb{F}_q .*

Another essential ingredient for proving Theorem 2 is the following well known lemma. (see for instance [9][Theorem 1])

Lemma 3. *There exist two positive constants K_1 and K_2 depending on q such that the probability $p(s, \ell)$ that a random $\ell \times \ell$ matrix over \mathbb{F}_q is of rank $\ell - s$ (where the coefficients are drawn independently from each other from the uniform distribution on \mathbb{F}_q) satisfies*

$$\frac{A}{q^{s^2}} \leq p(s, \ell) \leq \frac{B}{q^{s^2}}.$$

This enables to control the exponential moments of the defect of a random matrix. For a square matrix M of size $\ell \times \ell$, we define the defect $d(M)$ by $d(M) \stackrel{\text{def}}{=} \ell - \text{rank}(M)$.

Lemma 4. *If M is random square matrix whose entries are drawn independently from the uniform distribution over \mathbb{F}_q , then there exists some constant K such that for every $\lambda > 0$,*

$$\mathbb{E} \left(q^{\lambda d(M)} \right) \leq K q^{\frac{\lambda^2}{4}},$$

$\mathbb{E}(\cdot)$ denoting the expectation.

Proof. By using Lemma 3, we obtain:

$$\mathbb{E} \left(q^{\lambda d(M)} \right) \leq \sum_{d=0}^{\infty} q^{\lambda d} \frac{B}{q^{d^2}} \leq B \sum_{d=0}^{\infty} q^{\lambda d - d^2}.$$

Observe that the maximum of the function $d \mapsto q^{\lambda d - d^2}$ is reached for $d_0 = \frac{\lambda}{2}$ and is equal to $q^{\frac{\lambda^2}{4}}$. Then, we can write the sum above as:

$$\sum_{d=0}^{\infty} q^{\lambda d - d^2} = \sum_{d \leq d_0} q^{\lambda d - d^2} + \sum_{d > d_0} q^{\lambda d - d^2}$$

Finally, we notice that:

$$\frac{q^{\lambda(d+1) - (d+1)^2}}{q^{\lambda d - d^2}} \geq \frac{q^{\lambda(d_0+1) - (d_0+1)^2}}{q^{\lambda d_0 - d_0^2}} = \frac{1}{q} \text{ for } d > d_0,$$

$$\frac{q^{\lambda(d-1) - (d-1)^2}}{q^{\lambda d - d^2}} \geq \frac{q^{\lambda(d_0-1) - (d_0-1)^2}}{q^{\lambda d_0 - d_0^2}} = \frac{1}{q} \text{ for } d \leq d_0.$$

This leads to:

$$\begin{aligned} \sum_{d=0}^{\infty} q^{\lambda d - d^2} &\leq \sum_{d \leq d_0} q^{d - \lfloor d_0 \rfloor} q^{\frac{\lambda^2}{4}} + \sum_{d \geq d_0} q^{\lceil d_0 \rceil - d} q^{\frac{\lambda^2}{4}} \\ &= O\left(q^{\frac{\lambda^2}{4}}\right). \end{aligned}$$

We can use now the previous lemma together with Lemma 1 and Lemma 2 to derive

Lemma 5. *Assuming that $|E_i| \geq rm - i$ for all $i \in \{1, \dots, t\}$, we get:*

$$\mathbf{prob}\left(\sum_{i=1}^t d(M_i) \geq u\right) \leq K^t q^{-\frac{u^2}{t}}$$

where K is the constant appearing in the previous lemma.

Proof. Let $D \stackrel{\text{def}}{=} \sum_{i=1}^t d(M_i)$. Using Markov's inequality:

$$\mathbf{prob}(D \geq u) \leq \frac{\mathbb{E}(q^{\lambda D})}{q^{\lambda u}} \quad (15)$$

for some well chosen $\lambda > 0$. The exponential moment appearing at the numerator is upper-bounded with the help of the previous lemma and by using the independence of the random variables $q^{\lambda d(M_i)}$, i.e.:

$$\begin{aligned} \mathbb{E}(q^{\lambda D}) &= \mathbb{E}\left(q^{\lambda \sum_{i=1}^t d(M_i)}\right) \\ &= \prod_{i=1}^t \mathbb{E}\left(q^{\lambda d(M_i)}\right) \\ &\leq K^t q^{\frac{t\lambda^2}{4}}. \end{aligned} \quad (16)$$

Using now (16) in (15), we obtain $\mathbf{prob}(D \geq \alpha t) \leq K^t q^{\frac{t\lambda^2}{4} - \lambda \alpha t}$. We choose $\lambda = \frac{2u}{t}$ to minimize this upper-bound, leading to:

$$\mathbf{prob}(D \geq u) \leq K^t q^{-\frac{u^2}{t}}.$$

□

The last ingredient for proving theorem 2 is a bound on the probability that E_i is too small to construct F_i .

Lemma 6. *Let $u_i \stackrel{\text{def}}{=} \binom{mr}{2} - \frac{(2rm-i)(i-1)}{2}$, then*

$$\mathbf{prob}(|E_i| < rm - i \mid |F_1| = rm - 1, \dots, |F_{i-1}| = rm - i + 1) \leq e^{-2 \frac{\left(\frac{q-1}{q} u_i - rm - i + 1\right)^2}{u_i}}$$

Proof. When all the sets F_j are of size $rm - j$ for j in $\{1, \dots, i-1\}$, it remains $N - \sum_{j=1}^{i-1} (rm - j) = N - \frac{(2rm-i)(i-1)}{2} = u_i$ rows which can be picked up for E_i . Let S_t be the sum of t Bernoulli variables of parameter $\frac{q-1}{q}$. We obviously have

$$\mathbf{prob}(|E_i| < rm - i \mid |F_1| = rm - 1, \dots, |F_{i-1}| = rm - i + 1) = \mathbf{prob}(S_{u_i} < rm - i).$$

It remains to use the Hoeffding inequality on the binomial tails to finish the proof. □

We are ready now to prove Theorem 2

Proof (of Theorem 2). Let $u = \lceil \sqrt{mr\omega(mr)} \rceil$. We observe now that if all E_j 's are of size at least $rm - j$ for $j \in \{1, \dots, u\}$, we can write

$$\begin{aligned}
D &= N - \text{rank}(\mathbf{M}) \\
&\leq N - \sum_{i=1}^{rm-u} \text{rank}(\mathbf{M}_i) \text{ (by Lemma 1)} \\
&= \sum_{i=1}^{rm-1} (rm - i) - \sum_{i=1}^{rm-u} \text{rank}(\mathbf{M}_i) \\
&= \sum_{i=1}^{rm-u} d(\mathbf{M}_i) + \sum_{i=rm-u+1}^{rm-1} (rm - i) \\
&= \sum_{i=1}^{rm-u} d(\mathbf{M}_i) + \frac{u(u-1)}{2} \\
&< \sum_{i=1}^{rm-u} d(\mathbf{M}_i) + \frac{mr\omega(mr)}{2}.
\end{aligned}$$

From this we deduce that

$$\mathbf{prob}(D_{\text{random}} \geq mr\omega(mr)) \leq \mathbf{prob}(A \cup B) \leq \mathbf{prob}(A) + \mathbf{prob}(B)$$

where A is the event “ $\sum_{i=1}^{rm-u} d(\mathbf{M}_i) \geq \frac{mr\omega(mr)}{2}$ ” and B is the event “for at least one E_j with $j \in \{1, \dots, rm - u\}$ we have $|E_j| < rm - j$ ”. We use now Lemma 5 to prove that $\mathbf{prob}(A) = o(1)$ as rm goes to infinity. We finish the proof by noticing that the probability of the complementary set of B satisfies

$$\begin{aligned}
\mathbf{prob}(\bar{B}) &= \mathbf{prob} \left(\bigcap_{i=1}^{rm-u} |E_i| \geq rm - i \right) \\
&= \prod_{i=1}^{rm-u} \mathbf{prob} (|E_i| \geq rm - i \mid |F_1| = rm - 1, \dots, |F_{i-1}| = rm - i + 1) \\
&= 1 - o(1) \text{ (by Lemma 6)}.
\end{aligned}$$

□

C The Alternant Case

In this appendix, we are going to prove Proposition 1 and Proposition 2.

C.1 Proof of Proposition 1

We require the following lemma.

Lemma 7. *For any integers a, b, c, d, e, f in $\{0, \dots, r-1\}$, and an integer ℓ in $\{0, \dots, \lfloor \log_q(r-1) \rfloor\}$ such that $a + q^\ell b = c + q^\ell d$ we have:*

$$\mathbf{Z}_{a,b,c,d,\ell} + \mathbf{Z}_{c,d,e,f,\ell} = \mathbf{Z}_{a,b,e,f,\ell} \quad (17)$$

Proof (Proposition 1). Let $b^* \stackrel{\text{def}}{=} b + 1$, $\delta^* \stackrel{\text{def}}{=} \delta - 1$ and $c^* \stackrel{\text{def}}{=} c + q^\ell \delta^*$. Then c^* is the integer such that $c^* + q^\ell = c + q^\ell \delta$, one can see that $c + q^\ell \delta^* = c + q^\ell(\delta - 1) = c^*$ and by Lemma 7 we have:

$$\mathbf{Z}_{c^*+q^\ell,b,c^*,b+1,\ell} + \mathbf{Z}_{c+q^\ell\delta^*,b^*,c,b^*+\delta^*,\ell} = \mathbf{Z}_{c^*+q^\ell,b,c,b^*+\delta^*,\ell} = \mathbf{Z}_{c+q^\ell\delta,b,c,b+\delta,\ell}$$

which means that

$$\mathbf{Z}_{c+q^\ell\delta,b,c,b+\delta,\ell} = \mathbf{Z}_{c^*+q^\ell,b,c^*,b+1,\ell} + \mathbf{Z}_{c+q^\ell\delta^*,b^*,c,b^*+\delta^*,\ell} \quad (18)$$

The proof follows by induction. \square

C.2 Proof of Proposition 2

Proof (Proposition 2). Let us set $e \stackrel{\text{def}}{=} \lfloor \log_q(r-1) \rfloor$. Then the number of elements in \mathcal{B}_r is given by the number of tuples (b, c, ℓ) . Therefore we get:

$$\begin{aligned} |\mathcal{B}_r| &= \frac{1}{2}(r-1)(r-2) + \sum_{\ell=1}^e \sum_{b=0}^{r-2} (r - q^\ell) = \frac{1}{2}(r-1) \left(r-2 + 2er - 2 \sum_{\ell=1}^e q^\ell \right) \\ &= \frac{1}{2}(r-1) \left((2e+1)r - 2 \sum_{\ell=0}^e q^\ell \right) = T_{\text{alternant}} \end{aligned}$$

\square

D The Binary Goppa Case

D.1 Proof of Proposition 4

Proposition 4 which needs Lemma 8 is actually a particular case of Proposition 10.

Lemma 8. *Let ℓ, δ, b and c be integers such that $\ell \geq 0, \delta \geq 1, 1 \leq b + \delta \leq r - 1, 1 \leq c + q^\ell \delta \leq r - 1$. We have for any j and j' such that $k + 1 \leq j < j' \leq n$:*

$$\mathbf{Z}_{c+q^\ell \delta, b, c, b+\delta, \ell}[j, j'] = \left(X_j^\delta + X_{j'}^\delta \right)^{q^\ell} \left(Y_j X_j^c \left(Y_{j'} X_{j'}^b \right)^{q^\ell} + Y_{j'} X_{j'}^c \left(Y_j X_j^b \right)^{q^\ell} \right) \quad (19)$$

Proof. Let $d = b + \delta$ and $a = c + q^\ell \delta$. We can write that:

$$\begin{aligned} \mathbf{Z}_{c+q^\ell \delta, b, c, b+\delta, \ell}[j, j'] &= \mathbf{Z}_{a, b, c, d, \ell}[j, j'] = Y_j Y_{j'}^{q^\ell} \left(X_j^a X_{j'}^{q^\ell b} + X_j^c X_{j'}^{q^\ell d} \right) + Y_{j'} Y_j^{q^\ell} \left(X_{j'}^a X_j^{q^\ell b} + X_{j'}^c X_j^{q^\ell d} \right) \\ &= Y_j Y_{j'}^{q^\ell} X_{j'}^{q^\ell b} \left(X_j^a + X_j^c X_{j'}^{q^\ell \delta} \right) + Y_{j'} Y_j^{q^\ell} X_j^{q^\ell b} \left(X_{j'}^a + X_{j'}^c X_j^{q^\ell \delta} \right) \end{aligned}$$

Using the identity $a = c + q^\ell \delta$, we also have:

$$\begin{aligned} \mathbf{Z}_{c+q^\ell \delta, b, c, b+\delta, \ell}[j, j'] &= Y_j Y_{j'}^{q^\ell} X_{j'}^{q^\ell b} X_j^c \left(X_j^{q^\ell \delta} + X_{j'}^{q^\ell \delta} \right) + Y_{j'} Y_j^{q^\ell} X_j^{q^\ell b} X_{j'}^c \left(X_{j'}^{q^\ell \delta} + X_j^{q^\ell \delta} \right) \\ &= \left(X_j^{q^\ell \delta} + X_{j'}^{q^\ell \delta} \right) \left(Y_j Y_{j'}^{q^\ell} X_{j'}^{q^\ell b} X_j^c + Y_{j'} Y_j^{q^\ell} X_j^{q^\ell b} X_{j'}^c \right) \end{aligned}$$

□

Proposition 10. *Let t, ℓ, δ and c be integers such that $t \geq 0, \ell \geq 1, \delta \geq 1, t + \delta \leq r - 1, c \geq 0$ and $c + 2^\ell \delta \leq 2r - 1$. We have:*

$$\sum_{b=0}^r \gamma_b^{2^\ell} \mathbf{Z}_{c+2^\ell \delta, t+b, c, t+b+\delta, \ell} = \mathbf{Z}_{c'+2^\ell \delta', b', c', b'+\delta', \ell'} \quad (20)$$

where $\ell' = \ell - 1, \delta' = 2\delta, b' = 2t, c' = c$.

Proof. By Lemma 8, we have that:

$$\mathbf{Z}_{c+2^\ell \delta, t+b, c, t+b+\delta, \ell}[j, j'] = \left(X_j^\delta + X_{j'}^\delta \right)^{2^\ell} \left(Y_j X_j^c Y_{j'}^{2^{\ell-1}} X_{j'}^{2^\ell t} \left(Y_{j'} X_{j'}^{2b} \right)^{2^{\ell-1}} + Y_{j'} X_{j'}^c Y_j^{2^{\ell-1}} X_j^{2^\ell t} \left(Y_j X_j^{2b} \right)^{2^{\ell-1}} \right)$$

Using the fact that $Y_j \sum_{b=0}^r \gamma_b^2 X_j^{2b} = 1$ and $Y_{j'} \sum_{b=0}^r \gamma_b^2 X_{j'}^{2b} = 1$ we also have:

$$\begin{aligned} &\sum_{b=0}^r \gamma_b^{2^\ell} \mathbf{Z}_{c+2^\ell \delta, t+b, c, t+b+\delta, \ell}[j, j'] = \\ &\left(X_j^\delta + X_{j'}^\delta \right)^{2^\ell} \left(Y_j X_j^c Y_{j'}^{2^{\ell-1}} X_{j'}^{2^\ell t} \left(Y_{j'} \sum_{b=0}^r \gamma_b^2 X_{j'}^{2b} \right)^{2^{\ell-1}} + Y_{j'} X_{j'}^c Y_j^{2^{\ell-1}} X_j^{2^\ell t} \left(Y_j \sum_{b=0}^r \gamma_b^2 X_j^{2b} \right)^{2^{\ell-1}} \right) \\ &= \left(X_j^{2^\ell \delta} + X_{j'}^{2^\ell \delta} \right)^{2^{\ell-1}} \left(Y_j X_j^c \left(Y_{j'} X_{j'}^{2t} \right)^{2^{\ell-1}} + Y_{j'} X_{j'}^c \left(Y_j X_j^{2t} \right)^{2^{\ell-1}} \right) = \mathbf{Z}_{c'+2^\ell \delta', b', c', b'+\delta', \ell'}[j, j'] \end{aligned}$$

with $\ell' = \ell - 1, \delta' = 2\delta, b' = 2t, c' = c$. Since $c' + 2^\ell \delta' = c + 2^\ell \delta$ and $c + 2^\ell \delta \leq 2r - 1$ we have $c' + 2^\ell \delta' \leq 2r - 1$. Moreover, we require $b' + \delta' \leq 2r - 1$ which means $2(t + \delta) \leq 2r - 1$. This last inequality implies $t + \delta \leq r - 1$. □

Proof (of Proposition 4). By Proposition 10 when $\delta = 1$ we have the following equality:

$$\sum_{b=0}^r \gamma_b^{2^\ell} \mathbf{Z}_{c+2^\ell, t+b, c, t+b+1, \ell} = \mathbf{Z}_{c+2^\ell, 2t, c, 2(t+1), \ell-1}$$

Moreover by Proposition 1, we also have:

$$\mathbf{Z}_{c+2^\ell, 2t, c, 2(t+1), \ell-1} = \mathbf{Z}_{c^*+2^{\ell-1}, 2t, c^*, 2t+1, \ell-1} + \mathbf{Z}_{c+2^{\ell-1}, 2t+1, c, 2t+2, \ell-1}$$

where by definition c^* is equal to $c + 2^{\ell-1}$. \square

D.2 Proof of Proposition 5

Proof (of Proposition 5). Each equation is defined by a triple (t, c, ℓ) . As $0 \leq t \leq r-2$, $1 \leq \ell \leq u$ and $0 \leq c \leq 2r - 2^\ell - 1$, we therefore have:

$$N_L = \sum_{t=0}^{r-2} \sum_{\ell=1}^u (2r - 2^\ell).$$

One can easily check that this expression is exactly the same as given in the proposition. \square

D.3 Proof of Proposition 6 and Proposition 7

Proof (of Proposition 6). For any j and j' such that $k+1 \leq j < j' \leq n$, we have:

$$\begin{aligned} & \sum_{c=0}^r \gamma_c^2 (\mathbf{Z}_{c+2^\ell, \delta, b, t+c, b+\delta, \ell})^2 [j, j'] = \\ & (X_j^\delta + X_{j'}^\delta)^{2^{\ell+1}} \left((Y_{j'} X_{j'}^b)^{2^{\ell+1}} X_j^{2t} Y_j^{2t} \sum_{c=0}^r \gamma_c^2 X_j^{2c} + (Y_j X_j^b)^{2^{\ell+1}} X_{j'}^{2t} Y_{j'}^{2t} \sum_{c=0}^r \gamma_c^2 X_{j'}^{2c} \right) \\ & = (X_j^\delta + X_{j'}^\delta)^{2^{\ell+1}} \left((Y_{j'} X_{j'}^b)^{2^{\ell+1}} Y_j X_j^{2t} + (Y_j X_j^b)^{2^{\ell+1}} Y_{j'} X_{j'}^{2t} \right) = \mathbf{Z}_{c'+2^{\ell'} \delta, b', c', b'+\delta', \ell'} [j, j'] \end{aligned}$$

with $\ell' = \ell + 1$, $\delta' = \delta$, $b' = b$, $c' = 2t$ and $c' + 2^{\ell'} \delta' = 2t + 2^{\ell+1} \delta$. In particular, one can easily check that the necessary conditions are $b + \delta \leq 2r - 1$ and $t + 2^\ell \delta \leq r - 1$ in order for this equation to hold. \square

Proof (of Proposition 7). By Proposition 6 we know that N_Q is the number of vectors $\mathbf{Z}_{2t+2^{\ell+1}\delta, b, 2t, b+\delta, \ell+1}$ obtained with $\delta = 1$, $b \geq 0$, $t \geq 0$ and satisfying $0 \leq \ell \leq u-1$, $b + \delta \leq 2r - 1$ and $t + 2^\ell \delta \leq r - 1$. Therefore we have:

$$N_Q = \sum_{l=0}^{u-1} \sum_{t=0}^{r-1-2^\ell} (2r - 1) \quad (21)$$

which is equal to the desired expression. \square

D.4 Proof of Proposition 8

Proof (of Proposition 8). We will consider vectors $\mathbf{Z}_{c+2^\ell, b, c, b+1, \ell}$ of \mathcal{B}_{2r} that satisfy Equation (13) and such that there exists a linear relation that link them. In other words, we consider all the linear relations of the form $\sum_i \alpha_i \mathbf{Z}_{c_i+2^{\ell_i}, b_i, c_i, b_i+1, \ell_i} = 0$ with α_i in \mathbb{F}_{2^m} and where each $\mathbf{Z}_{c_i+2^{\ell_i}, b_i, c_i, b_i+1, \ell_i}$ is equal to a linear relation of the form (13). We will see that the number of *independent* equations is equal to $N_{L \cap Q}$. Firstly, one can observe that for any such vectors we necessary have c_i even and $1 \leq \ell_i \leq u$. We also know by Proposition 4 that for any integers t, ℓ and c such that $0 \leq t \leq r-2$, $1 \leq \ell \leq u$ and $0 \leq c \leq 2r-2^\ell-1$, we have the following linear relation:

$$\sum_{b=0}^r \gamma_b^{2^\ell} \mathbf{Z}_{c+2^\ell, t+b, c, t+b+1, \ell} = \mathbf{Z}_{c^*+2^{\ell-1}, 2t, c^*, 2t+1, \ell-1} + \mathbf{Z}_{c+2^{\ell-1}, 2t+1, c, 2t+2, \ell-1}$$

where by definition $c^* = c + 2^{\ell-1}$. Note in particular that whenever c is even then c^* is also even and if $\ell \geq 2$ then we obtain a linear relation between some vectors that also satisfy quadratic equations of the form (13). Each equation enables to remove one quadratic equation. So if we denote by N_1 the number of equations of the form (12) with c even and $\ell \geq 2$, we have then:

$$N_1 = \sum_{t=0}^{r-2} \sum_{\ell=2}^u \left(\frac{1}{2} (2r-2^\ell) \right) = (r-1) \sum_{\ell=1}^{u-1} (r-2^\ell) = (r-1) \left((u-1)r - 2^u + 2 \right). \quad (22)$$

Moreover in the case $\ell = 1$ Equation (20) becomes

$$\sum_{b=0}^r \gamma_b^2 \mathbf{Z}_{c+2, t+b, c, t+b+1, 1} = \mathbf{Z}_{c+2, 2t, c, 2t+2, 0}.$$

In particular when c is even, say for instance $c = 2t'$ for some integer, then this last equation can be rewritten as:

$$\sum_{b=0}^r \gamma_b^2 \mathbf{Z}_{2t'+2, t+b, 2t', t+b+1, 1} = \mathbf{Z}_{2t'+2, 2t, 2t', 2t+2, 0}. \quad (23)$$

We know that when $t' = t$ then $\mathbf{Z}_{2t'+2, 2t, 2t', 2t+2, 0}$ is zero. In that case we obtain new relations between vectors satisfying quadratic equations that are independent even from those obtained with $\ell \geq 2$. As for the case when $t \neq t'$ we also have $\mathbf{Z}_{2t'+2, 2t, 2t', 2t+2, 0} = \mathbf{Z}_{2t+2, 2t', 2t, 2t'+2, 0}$. From this identity and from Equation (23) we then obtain new relations of the following form:

$$\sum_{b=0}^r \gamma_b^2 \mathbf{Z}_{2t'+2, t+b, 2t', t+b+1, 1} = \sum_{b=0}^r \gamma_b^2 \mathbf{Z}_{2t+2, t'+b, 2t, t'+b+1, 1} \quad (24)$$

This last equation involve only vectors that satisfy also quadratic equations. So the number N_0 of equations of the form (24) is given by the number of sets $\{t, t'\}$. But by assumption t and t' should satisfy $0 \leq t \leq r-2$ and $c = 2t'$ with $0 \leq c \leq 2r-3$, which implies that $0 \leq t' \leq r-2$. Therefore, N_0 is equal to the number (t, t') such that $t \leq t'$ and thus we get:

$$N_0 = \sum_{t=0}^{r-2} \sum_{t'=t}^{r-2} = \frac{1}{2} (r-1)r. \quad (25)$$

Finally, by gathering all the cases we therefore obtain that:

$$N_{L \cap Q} = N_1 + N_0 = (r-1) \left((u-1)r - 2^u + 2 \right) + \frac{1}{2} (r-1)r.$$

□

D.5 Proof of Proposition 9

Proof (of Proposition 9). Set $u \stackrel{\text{def}}{=} \lceil \log_2(2r - 1) \rceil$. From Equation (7), we have $|\mathcal{B}_{2r}| = (2r - 1) \left((2u + 1)r - 2^{u+1} + 1 \right)$ which implies from Proposition 7

$$|\mathcal{B}_{2r}| - N_Q = (2r - 1) \left((2u + 1)r - 2^{u+1} + 1 - (ru - 2^u + 1) \right) \quad (26)$$

$$= (2r - 1) \left((u + 1)r - 2^u \right). \quad (27)$$

Moreover, from Proposition 5 and Proposition 8, we can write:

$$N_L - N_{L \cap Q} = (r - 1) \left(2ur + 2 - 2^{u+1} - \left(ur - \frac{r}{2} - 2^u + 2 \right) \right) \quad (28)$$

$$= (r - 1) \left(\left(u + \frac{1}{2} \right) r - 2^u \right) \quad (29)$$

Therefore by gathering all these equalities we obtain:

$$|\mathcal{B}_{2r}| - (N_L + N_Q - N_{L \cap Q}) = r \left(\left(u + \frac{3}{2} \right) r - 2^u - \frac{1}{2} \right) \quad (30)$$

On the other hand from Proposition 3, we have $T_{\text{Goppa}}(r) = \frac{1}{2}r \left((2e + 1)r - 2^e - 1 \right)$ where $e = \lceil \log_2 r \rceil + 1$. Using the basic inequality $2r - 1 < 2r < 2(2r - 1)$, we have therefore $\log_2(2r - 1) < \log_2(r) + 1 < \log_2(2r - 1) + 1$ which finally implies $\lceil \log_2 r \rceil = u$. Thus, $T_{\text{Goppa}}(r) = \frac{1}{2}r \left((2u + 3)r - 2^{u+1} - 1 \right)$ and the proposition is proved. \square

E Experimental Results

We gathered samples of results we obtained through intensive computations with the Magma system [6] in order to confirm the formulas. We randomly generated alternant and Goppa codes over the field \mathbb{F}_q with $q \in \{2, 4, 8, 16, 32\}$ for values of r in the range $\{3, \dots, 50\}$ and several m . The Goppa codes are generated by means of an irreducible $\Gamma(z)$ of degree r and hence $\Gamma(z)$ has no multiple roots. In particular, we can apply Theorem 1 in the binary case. We compare the dimensions of the solution space against the dimension D_{random} of the system derived from a random linear code. Table 2 and Table 3 give figures for the binary case with $m = 14$. We define $T_{\text{alternant}}$ and T_{Goppa} respectively as the expected normalized dimensions for an alternant and a Goppa code deduced from the formulas (7) and (8). We can check that D_{random} is equal to 0 for $r \in \{3, \dots, 12\}$ and $D_{\text{random}} = N - k$ as expected. We remark that $D_{\text{alternant}}$ is different from D_{random} whenever $r \leq 15$, and D_{Goppa} is different from D_{random} as long as $r \leq 25$. Finally we observe that our formulas for $T_{\text{alternant}}$ fit as long as $k \geq N - mT_{\text{alternant}}$ which correspond to $r \leq 15$. This is also the case for binary Goppa codes since we have $mT_{\text{Goppa}} = D_{\text{Goppa}}$ as long as $k \geq N - mT_{\text{Goppa}}$ *i.e.* $r \leq 25$. We also give in Table 10 and Table 11 the examples we obtained for $q = 4$ and $m = 6$ to check that the arguments also apply. We also compare binary Goppa codes and random linear codes for $m = 15$ in Table 4-6 and $m = 16$ in Table 7-9. We see that D_{random} and D_{Goppa} are different for $r \leq 33$ when $m = 15$ and for $m = 16$ they are different even beyond our range of experiment $r \leq 50$.

Table 2. $q = 2$ and $m = 14$

r	3	4	5	6	7	8	9	10	11	12	13	14	15	16
N	861	1540	2415	3486	4753	6216	7875	9730	11781	14028	16471	19110	21945	24976
k	16342	16328	16314	16300	16286	16272	16258	16244	16230	16216	16202	16188	16174	16160
D_{random}	0	0	0	0	0	0	0	0	0	0	269	2922	5771	8816
$D_{\text{alternant}}$	42	126	308	560	882	1274	1848	2520	3290	4158	5124	6188	7350	8816
$mT_{\text{alternant}}$	42	126	308	560	882	1274	1848	2520	3290	4158	5124	6188	7350	8610
D_{Goppa}	252	532	980	1554	2254	3080	4158	5390	6776	8316	10010	11858	13860	16016
mT_{Goppa}	252	532	980	1554	2254	3080	4158	5390	6776	8316	10010	11858	13860	16016

Table 3. $q = 2$ and $m = 14$

r	17	18	19	20	21	22	23	24	25	26	27	28	29	30
N	28203	31626	35245	39060	43071	47278	51681	56280	61075	66066	71253	76636	82215	87990
k	16146	16132	16118	16104	16090	16076	16062	16048	16034	16020	16006	15992	15978	15964
D_{random}	12057	15494	19127	22956	26981	31202	35619	40232	45041	50046	55247	60644	66237	72026
$D_{\text{alternant}}$	12057	15494	19127	22956	26981	31202	35619	40232	45041	50046	55247	60644	66237	72026
$mT_{\text{alternant}}$	10192	11900	13734	15694	17780	19992	22330	24794	27384	30100	32942	35910	39004	42224
D_{Goppa}	18564	21294	24206	27300	30576	34034	37674	41496	45500	50046	55247	60644	66237	72026
mT_{Goppa}	18564	21294	24206	27300	30576	34034	37674	41496	45500	49686	54054	58604	63336	68250

Table 4. $q = 2$ and $m = 15$

r	3	4	5	6	7	8	9	10	11	12	13	14	15	16
N	990	1770	2775	4005	5460	7140	9045	11175	13530	16110	18915	21945	25200	28680
k	32723	32708	32693	32678	32663	32648	32633	32618	32603	32588	32573	32558	32543	32528
D_{random}	0	0	0	0	0	0	0	0	0	0	0	0	0	0
D_{Goppa}	270	570	1050	1665	2415	3300	4455	5775	7260	8910	10725	12705	14850	17160
mT_{Goppa}	270	570	1050	1665	2415	3300	4455	5775	7260	8910	10725	12705	14850	17160

Table 5. $q = 2$ and $m = 15$

r	17	18	19	20	21	22	23	24	25	26	27	28	29	30
N	32385	36315	40470	44850	49455	54285	59340	64620	70125	75855	81810	87990	94395	101025
k	32513	32498	32483	32468	32453	32438	32423	32408	32393	32378	32363	32348	32333	32318
D_{random}	0	3817	7987	12382	17002	21847	26917	32212	37732	43477	49447	55642	62062	68707
D_{Goppa}	19890	22815	25935	29250	32760	36465	40365	44460	48750	53235	57915	62790	67860	73125
mT_{Goppa}	19890	22815	25935	29250	32760	36465	40365	44460	48750	53235	57915	62790	67860	73125

Table 6. $q = 2$ and $m = 15$

r	31	32	33	34	35	36	37	38	39	40	41	42	43	44
N	107880	114960	122265	129795	137550	145530	153735	162165	170820	179700	188805	198135	207690	217470
k	32303	32288	32273	32258	32243	32228	32213	32198	32183	32168	32153	32138	32123	32108
D_{random}	75577	82672	89992	97537	105307	113302	121522	129967	138637	147532	156652	165997	175567	185362
D_{Goppa}	78585	84240	90585	97537	105307	113302	121522	129967	138637	147532	156652	165997	175567	185362
mT_{Goppa}	78585	84240	90585	97155	103950	110970	118215	125685	133380	141300	149445	157815	166410	175230

Table 7. $q = 2$ and $m = 16$

r	3	4	5	6	7	8	9	10	11	12	13	14	15	16
N	1128	2016	3160	4560	6216	8128	10296	12720	15400	18336	21528	24976	28680	32640
k	65488	65472	65456	65440	65424	65408	65392	65376	65360	65344	65328	65312	65296	65280
D_{random}	0	0	0	0	0	0	0	0	0	0	0	0	0	0
D_{Goppa}	288	608	1120	1776	2576	3520	4752	6160	7744	9504	11440	13552	15840	18304
mT_{Goppa}	288	608	1120	1776	2576	3520	4752	6160	7744	9504	11440	13552	15840	18304

Table 8. $q = 2$ and $m = 16$

r	17	18	19	20	21	22	23	24	25	26	27	28	29	30
N	36856	41328	46056	51040	56280	61776	67528	73536	79800	86320	93096	100128	107416	114960
k	65264	65248	65232	65216	65200	65184	65168	65152	65136	65120	65104	65088	65072	65056
D_{random}	0	0	0	0	0	0	2360	8384	14664	21200	27992	35040	42344	49904
D_{Goppa}	21216	24336	27664	31200	34944	38896	43056	47424	52000	56784	61776	66976	72384	78000
mT_{Goppa}	21216	24336	27664	31200	34944	38896	43056	47424	52000	56784	61776	66976	72384	78000

Table 9. $q = 2$ and $m = 16$

r	31	32	33	34	35	36	37	38	39	40	41	42	43
N	122760	130816	139128	147696	156520	165600	174936	184528	194376	204480	214840	225456	236328
k	65040	65024	65008	64992	64976	64960	64944	64928	64912	64896	64880	64864	64848
D_{random}	57720	65792	74120	82704	91544	100640	109992	119600	129464	139584	149960	160592	171480
D_{Goppa}	83824	89856	96624	103632	110880	118368	126096	134064	142272	150720	159408	168336	177504
mT_{Goppa}	83824	89856	96624	103632	110880	118368	126096	134064	142272	150720	159408	168336	177504

Table 10. $q = 4$ and $m = 6$

r	3	4	5	6	7	8	9	10	11	12	13	14	15	16
N	153	276	435	630	861	1128	1431	1770	2145	2556	3003	3486	4005	4560
k	4078	4072	4066	4060	4054	4048	4042	4036	4030	4024	4018	4012	4006	4000
D_{random}	0	0	0	0	0	0	0	0	0	0	0	0	0	560
$D_{\text{alternant}}$	6	18	60	120	198	294	408	540	690	858	1044	1248	1470	1710
$mT_{\text{alternant}}$	6	18	60	120	198	294	408	540	690	858	1044	1248	1470	1710
D_{Goppa}	18	60	120	198	294	408	540	750	990	1260	1560	1890	2250	2640
mT_{Goppa}	18	60	120	198	294	408	540	750	990	1260	1560	1890	2250	2640

Table 11. $q = 4$ and $m = 6$

r	17	18	19	20	21	22	23	24	25	26	27	28	29	30
N	5151	5778	6441	7140	7875	8646	9453	10296	11175	12090	13041	14028	15051	16110
k	3994	3988	3982	3976	3970	3964	3958	3952	3946	3940	3934	3928	3922	3916
D_{random}	1157	1790	2459	3164	3905	4682	5495	6344	7229	8150	9107	10100	11129	12194
$D_{\text{alternant}}$	2064	2448	2862	3306	3905	4682	5495	6344	7229	8150	9107	10100	11129	12194
$mT_{\text{alternant}}$	2064	2448	2862	3306	3780	4284	4818	5382	5976	6600	7254	7938	8652	9396
D_{Goppa}	3060	3510	3990	4500	5040	5610	6210	6840	7500	8190	9107	10100	11129	12194
mT_{Goppa}	3060	3510	3990	4500	5040	5610	6210	6840	7500	8190	8910	9660	10440	11250