

# Enhanced Chosen-Ciphertext Security and Applications

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## Abstract

We introduce and study a new notion of *enhanced* chosen-ciphertext security (ECCA) for public-key encryption. Loosely speaking, in ECCA, when the decryption oracle returns a plaintext to the adversary, it also provides *coins* under which the returned plaintext encrypts to the queried ciphertext (when they exist). Our results mainly concern the case where such coins can also be recovered efficiently. We provide constructions of ECCA encryption from adaptive trapdoor functions as defined by Kiltz *et al.* (EUROCRYPT 2010), resulting in ECCA encryption from standard number-theoretic assumptions. We then give two applications of ECCA encryption: (1) We use it as a unifying concept in showing equivalence of adaptive trapdoor functions and tag-based adaptive trapdoor functions (namely, we show that both primitives are equivalent to ECCA encryption), resolving a main open question of Kiltz *et al.* (2) We show that ECCA encryption can be used to securely realize an approach to public-key encryption with non-interactive opening (PKENO) suggested by Damgård and Thorbek (EUROCRYPT 2007), resulting in new and practical PKENO schemes quite different from those in prior work. We believe our results indicate that ECCA is an intriguing notion that may prove useful in further work.

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# 1 Introduction

This paper studies a new notion of security for public-key encryption we call *enhanced* chosen-ciphertext security (ECCA). Recall that in the standard formulation of CCA security [34], the adversary, given a public-key  $pk$ , must guess which of the two possible messages its challenge ciphertext  $c$  encrypts, while being allowed to query a decryption oracle on any ciphertext  $c'$  different from  $c$ . Very informally, our “enhancement” is that the decryption oracle, when queried on a ciphertext  $c'$ , returns not only a decrypted plaintext  $m'$  but also coins  $r'$  such that  $m'$  encrypts to  $c'$  under  $pk$  using coins  $r'$  (in the case that the ciphertext is in the range of the encryption algorithm). ECCA is largely motivated by the related concept of *randomness-recovering encryption* (as highlighted in e.g. [33]), meaning the decryption procedure also recovers such  $r'$  efficiently. Interestingly, however, not every randomness-recovering encryption scheme is ECCA secure, because randomness-recovery refers to the behavior of an honest sender whereas an ECCA adversary can make malicious decryption queries. (In fact, in Section 3 we show how to design a randomness-recovering CCA PKE scheme that is *provably insecure* against an ECCA adversary.<sup>1</sup>)

Besides being interesting in its own right, we find that ECCA plays a fundamental role in contexts where randomness-recovering encryption is important, in particular the contexts of adaptive trapdoor functions [28] and public-key encryption with non-interactive opening [13]. (We also believe ECCA will find future applications given the importance of randomness-recovering encryption.)

Below we describe our results concerning ECCA in more detail; for a pictorial summary, see Figure 1.

## 1.1 ECCA-Secure Constructions and Relation to Adaptive Trapdoor Functions

**BACKGROUND.** A foundational line of work in cryptography examines the relations between public-key encryption (PKE) and trapdoor functions (TDFs). A specific question studied in recent works [33, 35, 28] is: What is the minimal security assumption on a trapdoor function needed to obtain a construction of CCA-secure PKE? Currently, the minimal assumption (in terms of black-box implications) is that of *adaptivity* [28]. Intuitively, adaptivity is a form of CCA security for TDFs, asking that the TDF remain one-way even when the adversary may query an inversion oracle on points other than its challenge.<sup>2</sup>

**RESULTS.** We find that the notion of ECCA security for encryption is very natural to consider in this context. Indeed, while [28] showed that ATDFs imply CCA secure encryption, we show that ATDFs are *equivalent* to randomness-recovering ECCA-secure encryption. This helps us better understand the power of ATDFs and gives us constructions of ECCA secure encryption from a variety of standard number-theoretic assumptions as per [28]. We furthermore show that “tag-based” ATDFs as defined in [28] are also equivalent to randomness-recovering ECCA-secure encryption, a corollary being ATDFs and tag-based ATDFs are themselves equivalent, which resolves a foundational question left open by [28]. We next discuss how we obtain these results in more detail.

**TECHNICAL APPROACH.** It is easy to see that from randomness-recovering ECCA-secure encryption we can get an ATDF, simply by viewing the input to the trapdoor function as consisting of the message and the coins for the encryption scheme. The other direction is more challenging. To see why, recall that [28] constructs CCA-secure encryption from a ATDFs in the following manner: first, they construct, a *one-bit* CCA-secure encryption scheme and then apply a transform of Myers and Shelat [31] from one-bit to many-bit CCA-secure encryption. But the one-bit scheme of [28] — which works by re-sampling a domain point  $x$  until the hardcore bit of  $x$  equals the message — is *not* randomness-recovering, since decryption does not recover the “thrown away”  $x$ 's.<sup>3</sup> Furthermore, even if it was, the construction of many-bit

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<sup>1</sup>Extending this idea into a black-box separation between the two notions is an interesting open question.

<sup>2</sup>In subsequent work, Wee [36] showed that a weaker notion of adaptivity for *trapdoor relations* suffices; however, as this is not an assumption on trapdoor *functions* it does not yield randomness-recovering encryption and won't be useful for our results.

<sup>3</sup>It is randomness-recovering in a weaker sense that the decryption algorithm can re-sample coins consistent with the message, but this will in particular not be sufficient for showing equivalence of adaptive trapdoor functions and the tag-

CCA-secure encryption in [31] does not seem to preserve either randomness-recovery or ECCA-security of the one-bit scheme. (In particular, the construction of [31] uses an “inner,” “ $q$ -bounded” non-malleable encryption scheme, and we do not know how to construct such a scheme that has analogous “enhanced” security.)

We solve both these problems at once via a novel application of *detectable* CCA (DCCA) security, introduced very recently by Hohenberger *et al.* [26]. Informally, DCCA is defined relative to a “detecting” function  $\mathcal{F}$  that determines whether two ciphertexts are related; in the DCCA experiment, the adversary is not allowed to ask for decryptions of ciphertexts related to the challenge ciphertext according to  $\mathcal{F}$ . The work of [26] shows how to construct a CCA-secure encryption from a DCCA-secure one. In particular, bit-by-bit encryption using a 1-bit CCA secure encryption scheme is easily seen to be DCCA secure, is the main application considered in [26]. (It thus encompasses the earlier work of [31] and builds on the techniques of the latter.) Our novelty is that we construct a DCCA secure scheme from ATDFs that also uses bit-by-bit encryption, but where the underlying one-bit encryption scheme is *not* CCA secure—namely, we use a “naïve” one-bit scheme from ATDFs that simply XOR’s the message bit with a hardcore bit of the ATDF. The benefit is that this one-bit scheme *is* randomness recovering. We show that in fact the resulting bit-by-bit scheme now satisfies a notion of DCCA with analogous “enhanced” security. Then, we show that (in contrast to [31]) the construction of CCA from DCCA in [26] preserves both this enhanced security as well as randomness recovery — that is, if we start with a randomness-recovering, enhanced DCCA secure scheme, we end up with a randomness-recovering ECCA secure one. We thus get a randomness-recovering ECCA secure scheme from ATDFs as desired. We note that a by-product of our results is to show that, complementing [31], one-bit encryption is also complete for randomness-recovering CCA and ECCA.

We note that it is much easier to construct a randomness-recovering ECCA-secure scheme from a *tag-based* ATDFs; in fact, we show that the construction of CCA-secure encryption from tag-based ATDFs given in [28], which uses the BCHK transform [7], already works. Indeed, the apparent extra power of tag-based ATDFs makes it surprising that they are equivalent to (non tag-based) ATDFs.

We also point out that part of what makes the above construction challenging is that the ATDF only has a single hardcore bit. Given a linear number of hardcore bits (see [28] for some instantiations), one can use the KEM/DEM paradigm to obtain more efficient constructions of ECCA PKE from ATDFs. Surprisingly, the standard assumption that the DEM component is CCA-secure (or ECCA) is no longer sufficient, but we show that it is enough to assume that the DEM is a one-time *authenticated encryption* (See Appendix B).

## 1.2 Applications to Public-Key Encryption with Non-Interactive Opening

BACKGROUND. Public-key encryption with non-interactive opening (PKENO), introduced by Damgård and Thorbeck [14] and studied in detail by [13, 17, 18], allows a receiver to non-interactively prove to anyone that a ciphertext  $c$  decrypts to a message  $m$ . As discussed by the above-mentioned works, PKENO has applications to multiparty computation (*e.g.*, auctions and elections), secure message transmission, group signatures, and more. But despite numerous applications, such schemes have been difficult to realize. Secure constructions of PKENO currently exist from identity-based encryption [13] and robust non-interactive threshold encryption [18].

AN ALTERNATIVE APPROACH. We show that ECCA encryption can be used to securely realize a simple and natural approach to PKENO originally suggested by [14] but not made to work in the literature. The basic idea is to use a randomness-recovering encryption scheme and have the receiver provide the recovered coins as the proof. However, several issues need to be addressed for this approach to work. One problem already discussed in [18, Section 4.1] is that there must also be a way for the receiver to prove the claimed behavior of the decryption algorithm on ciphertexts that might not even be an output of the

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based variant.

encryption algorithm, and for which no underlying coins necessarily exist. (Note that such ciphertexts may or may not decrypt to  $\perp$  in general.) Moreover, we point out that the encryption scheme *must be ECCA secure* (which was not even defined in prior work); standard chosen-ciphertext security is not enough here, because here the adversary in the corresponding PKENO security game has the ability to see random coins underlying ciphertexts of its choosing. We now describe our results in more detail.

**PKENO-COMPATIBLE ECCA ENCRYPTION.** First, we formalize a notion of *PKENO-compatible ECCA-secure encryption*, for which we can overcome the above problems. There are two requirements for such a scheme. The first is that it is what we call *partial-randomness recovering*. This means that there is a partial-randomness recovery algorithm that, informally, given the secret key does not necessarily recover *all* random coins underlying a ciphertext, but just enough to check that the ciphertext is an encryption of a claimed message. However, this should also be true for ciphertexts *outside* the range of the encryption algorithm (but which do not decrypt to  $\perp$ ).<sup>4</sup> The second requirement is that it has what we call *ciphertext verifiability*, meaning one can check without the secret key (but possibly with the help of some partial random coins) whether the decryption of a ciphertext is  $\perp$ . Note that ECCA security of such schemes is defined with respect to the partial-randomness recovery algorithm. See Section 6.1 for the formal definitions, which are rather delicate.

Given such a PKENO-compatible ECCA scheme, we can safely use the message and the partial randomness as the non-interactive opening of the ciphertext.

**PKENO-COMPATIBLE ECCA ENCRYPTION FROM NIZK.** We next show a generic construction of PKENO-compatible ECCA secure encryption from any (partial) randomness recovering ECCA encryption scheme, namely by adding a non-interactive zero-knowledge (NIZK) proof to a ciphertext that there exist some underlying message and random coins. (Indeed, the idea of adding such a proof of well-formedness to achieve PKENO] comes from [14, 18], although not in connection with ECCA.) We show that in order for the proof to go through, however, the NIZK needs to be simulation-sound.

We also show that the same idea works to construct an analogous notion of PKENO-compatible ECCA *tag-based* encryption scheme from a (partial) randomness recovering ECCA-secure tag-based encryption scheme. One can then efficiently transform a PKENO-compatible tag-based scheme into a standard PKENO using either of the two transforms from [7]. (Interestingly, for the more-efficient transform based on symmetric-key primitives to work, we crucially exploit that ciphertext verifiability as defined above can use partial random coins; the scheme is not “publicly verifiable.” ) As explained next, our most efficient instantiations follow the tag-based approach.

**EFFICIENT SCHEMES FROM TAG-BASED ATDFs.** While it is possible to construct NIZKs efficiently in the random-oracle model (which we prefer to avoid), the only known efficient instantiation of NIZKs without random oracles to date are Groth-Sahai (GS) proofs [22], which allow to prove a certain class of statements over bilinear groups. (Moreover, as shown in [30], GS proofs can be made simulation-sound at a moderate price.) In order to take advantage of this instantiation we need a scheme for which the statement we need to prove falls in this class. We show that this is the case for a certain tag-based encryption scheme from the decision-linear assumption (DLIN). This scheme is built from a tag-based ATDF, which is itself built by instantiating a construction of [28] using the DLIN-based lossy and all-but-one TDFs of Freeman *et al.* [16]. A novelty of our scheme is that the statement we need to prove is that a range point of the tag-based ATDF has a pre-image which is a fundamentally *weaker* statement than one guaranteeing well-formedness of the ciphertext (as used in our generic construction), but (perhaps surprisingly) still suffices in the PKENO construction. See Section 6.2 for details.

Although our DLIN-based instantiation avoids using generic NIZKs or random oracles, it is probably still not efficient enough for practical use. To obtain such a scheme we consider tag-based ATDFs with an additional property we call *range verifiability*. Intuitively, range verifiability allows us to check the same

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<sup>4</sup>For example, consider a randomness-recovering scheme which always outputs ciphertexts whose last bit is “0,” but whose decryption algorithm ignores this last bit. Then clearly we can still recover the randomness underlying ciphertexts whose last bit is “1” despite the fact that such ciphertexts are outside the range of the encryption algorithm.

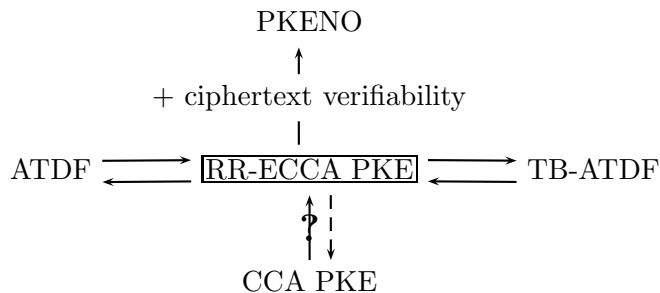


Figure 1: Relations between various primitives studied in this paper. Dashed implications are trivial. Implications with question mark are unknown. “RR-CCA PKE” is randomness-recovering chosen-ciphertext secure PKE. “ATDF” is adaptive trapdoor function. “TB-ATDF” is tag-based adaptive trapdoor function. “PKENO” is public-key encryption with non-interactive opening.

property that the Groth-Sahai statement in our above construction is intended to prove, hence eliminating the need for any NIZK proof at all. We show that the tag-based ATDF constructed in [28] from the instance-independent RSA assumption (II-RSA) has this property. Although the assumption is quite strong, the resulting PKENO scheme is extremely practical: it requires only one 512-bit exponentiation to encrypt, whereas the previous DLIN-based scheme of [18] requires several (though it is based on a more standard assumption). We note that the resulting scheme (assuming we use the more-efficient version of the BCHK [7] transform) is also fully randomness recovering, showing that it is in fact possible to achieve fully (rather than partial) randomness recovering PKENO-compatible ECCA encryption.

### 1.3 Related Work

ECCA is similar in spirit to *coin-revealing selective opening attack* (SOA-C) [8, 15, 2, 6]. In the latter setting, there are say  $n$  ciphertexts encrypting related (but unknown) messages under independent random coins, and the adversary requests the plaintexts and random coins corresponding to some subset of them; the question is whether the “unopened” ciphertexts remain secure. However, it seems to us that SOA-C is neither implied by, nor implies, ECCA. On the one hand, in ECCA the adversary does not “open” ciphertexts whose messages are sampled jointly with the challenge message, but, on the other hand, in SOA-C the adversary does not “open” maliciously-formed ciphertexts (this is true even when considering SOA-C in context of CCA security as in [23, 24]). It is an interesting question whether ECCA has any applications in the domain of SOA-C.

An analogue of ECCA has been previously defined for *commitment schemes* by Canetti *et al.* [10], which they call CCA-secure commitments, in the context of secure multiparty computation. These are commitment schemes that remain secure when the adversary has access to an *unbounded decommitment oracle* that it can call on commitments other than the challenge. They are interested in such schemes that are interactive but in the plain model, meaning there are no public keys. Thus, our setting seems incomparable (as we disallow interaction but allow public keys). However, we view their work as supporting the claim that ECCA is a natural notion of security to consider for encryption.

Other variants of CCA-security for encryption considered before include *replayable* CCA security [9], *constrained* CCA security [25], and *detectable* CCA security [26]. Notably, these are all *relaxations* of CCA security, whereas we consider a strengthening. Another strengthening of CCA security previously considered is *plaintext awareness* [5, 1, 4].

## 2 Preliminaries

NOTATION AND CONVENTIONS. If  $A$  is an algorithm then  $y \leftarrow A(x_1, \dots, x_n; r)$  means we run  $A$  on inputs

$x_1, \dots, x_n$  and coins  $r$  and denote the output by  $y$ . By  $y \leftarrow^s A(x_1, \dots, x_n)$  we denote the operation of picking  $r$  at random and letting  $y \leftarrow A(x_1, \dots, x_n; r)$ . Unless otherwise indicated, an algorithm may be randomized. ‘‘PPT’’ stands for ‘‘probabilistic polynomial time’’ and ‘‘PT’’ stands for ‘‘polynomial time.’’ The security parameter is denoted  $k \in \mathbb{N}$ . If we say that an algorithm is efficient we mean that it is PPT (in the security parameter). All algorithms we consider are efficient unless indicated otherwise.

STANDARD PRIMITIVES. We recall the definitions of standard primitives such as trapdoor functions and public-key encryption in Appendix A.

### 3 Enhanced Chosen-Ciphertext Security

RANDOMNESS RECOVERY. We start with a definition of *randomness recovery* for public-key encryption. For any public-key encryption scheme  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec})$  we specify an additional *randomness recovery* algorithm that takes a secret key  $sk$  and ciphertext  $c$  to return coins  $r$ ; that is, we write  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec}, \text{Rec})$ . To our knowledge, this notion has been discussed informally in the literature (*e.g.* in [33]) but our formalization is novel. Suppose  $\text{Enc}$  draws its coins from  $\text{Coins}$ . We require that for all messages  $m \in \text{MsgSp}(1^k)$

$$\Pr[\text{Enc}(pk, m; r') \neq c : (pk, sk) \leftarrow^s \text{Kg}; r \leftarrow^s \text{Coins}(1^k); c \leftarrow \text{Enc}(pk, m; r); r' \leftarrow \text{Rec}(sk, c)]$$

is negligible. Note that we do not require  $r = r'$ ; that is, the randomness recovery algorithm need not return the *same* coins used for encryption; indeed, it may not be possible, information theoretically, to determine  $r$  from  $sk$  and  $c$ . We also do *not* require  $\text{Rec}$  to be efficient. This means that having such  $\text{Rec}$  is *not* an additional assumption; we can always assume  $\text{Rec}$  is the canonical, inefficient randomness recovery algorithm that exhaustively searches  $\text{Coins}(1^k)$  until it finds such  $r'$ . But in the special case that  $\text{Rec}$  is PT we say that  $\text{PKE}$  is *randomness recovering*. If in addition the forgoing condition on  $\text{Rec}$  holds for  $r = r'$  we say that  $\text{PKE}$  is *uniquely* randomness recovering. In the general definition that follows randomness recovering  $\text{PKE}$  is an important special case, but it is not assumed by the definition.

In the case of tag-based public-key encryption,  $\text{Rec}$  also takes a *tag* as input. In this case we require that for all  $m \in \text{MsgSp}(1^k)$  and  $t \in \text{TagSp}(1^k)$

$$\Pr[\text{Enc}(pk, t, m; r') \neq c : (pk, sk) \leftarrow^s \text{Kg}; r \leftarrow^s \text{Coins}(1^k); c \leftarrow \text{Enc}(pk, t, m; r); r' \leftarrow \text{Rec}(sk, t, c)]$$

is negligible.

ECCA DEFINITION. We are now ready to state our new notion of enhanced chosen-ciphertext security. Let  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec})$  be a public-key encryption scheme. We associate to  $\text{PKE}$  and an adversary  $A = (A_1, A_2)$  an *enhanced chosen-ciphertext attack* experiment,

<p><b>Experiment</b> <math>\text{Exp}_{\text{PKE}, A}^{\text{ind-ecca}}(k)</math></p> <p><math>b \leftarrow^s \{0, 1\}; (pk, sk) \leftarrow^s \text{Kg}(1^k)</math></p> <p><math>(m_0, m_1, St) \leftarrow^s A_1^{\text{Dec}^*(sk, \cdot)}(pk)</math></p> <p><math>c \leftarrow^s \text{Enc}(pk, m_b)</math></p> <p><math>d \leftarrow^s A_2^{\text{Dec}^*(sk, \cdot)}(pk, c, St)</math></p> <p>If <math>d = b</math> then return 1 else return 0</p>	<p><b>Oracle</b> <math>\text{Dec}^*(sk, c)</math></p> <p><math>m \leftarrow \text{Dec}(sk, c)</math></p> <p><math>r' \leftarrow \text{Rec}(sk, c)</math></p> <p>Return <math>(m, r')</math></p>
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Above we require that the output of  $A_1$  satisfies  $|m_0| = |m_1|$  and that  $A_2$  does not query  $c$  to its oracle. Define the *ind-ecca advantage* of  $A$  against  $\text{PKE}$  as

$$\text{Adv}_{\text{PKE}, A}^{\text{ind-ecca}}(k) = 2 \cdot \Pr \left[ \text{Exp}_{\text{PKE}, A}^{\text{ind-ecca}}(k) \text{ outputs } 1 \right] - 1.$$

We say that  $\text{PKE}$  is *enhanced chosen-ciphertext secure* (ECCA-secure) if  $\text{Adv}_{\text{PKE}, A}^{\text{ind-ecca}}(\cdot)$  is negligible for every efficient  $A$ .

Note that when  $\text{PKE}$  is randomness recovering, the ECCA experiment is efficient. Additionally, the case of randomness-recovering ECCA public-key encryption will be important in the applications we consider.

In general, however, one can still ask whether a scheme meets the notion of ECCA even when it is not randomness recovering. Indeed, Canetti *et al.* [10] did so in the context of commitments. In this case, it may still be possible to simulate the ECCA experiment efficiently since in the proof of security we are additionally given the code of the adversary  $A$  (and so, for example, the randomness for encryption might be efficiently extractable from the code of  $A$  using non-black-box techniques). However, we do not currently have any constructions of ECCA without randomness recoverability or applications of such a scheme.

IS ECCA STRONGER THAN CCA? A natural question to ask is whether ECCA security is a strictly stronger requirement than CCA security or not. In fact, one can ask the same question when the CCA scheme is also randomness-recovering. We answer this question by describing a randomness recovering CCA encryption scheme that provably does not satisfy ECCA security. We note that our example works in the random oracle model. It would be interesting to provide a similar construction in the standard model. It is also an interesting open question to extend these ideas into a full-fledged separation between the two notions of CCA and ECCA security.

Consider a randomness-recovering CCA-secure scheme  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec})$ , and let  $H : \{0, 1\}^k \rightarrow \{0, 1\}^{|sk|}$  be a hash function modeled as a random oracle. We transform  $\text{PKE}$  to a new scheme  $\text{PKE}_{new} = (\text{Kg}_{new}, \text{Enc}_{new}, \text{Dec}_{new})$  that is not ECCA secure. The idea is to encrypt the  $sk$  using the randomness of a ciphertext  $c$  and to embed  $c$  and the encryption of  $sk$  in the public key of the  $\text{PKE} + new$ . Querying  $c$  to the decryption oracle in the ECCA game would allow the attacker to recover  $sk$  and break the scheme. the  $\text{PKE}_{new}$  is constructed as follows:

$$\begin{array}{l} \mathbf{Alg} \text{Kg}_{new}(1^k) \\ (pk, sk) \leftarrow_s \text{Kg}(1^k) \\ r \leftarrow_s \{0, 1\}^k \\ \text{Return} ((pk, \text{Enc}(pk, 0; r), H(r) \oplus sk), sk) \end{array} \quad \left| \quad \begin{array}{l} \mathbf{Alg} \text{Enc}_{new}(pk, m) \\ \text{Return} \text{Enc}(pk, m) \end{array} \quad \left| \quad \begin{array}{l} \mathbf{Alg} \text{Dec}_{new}(sk, c) \\ \text{Return} \text{Dec}(sk, c) \end{array} \right.$$

**Claim 3.1**  $\text{PKE}_{new}$  is a randomness-recovering CCA encryption schemes but is not ECCA secure.

*Sketch.* It is easy to see that  $\text{PKE}_{new}$  is not ECCA secure. In particular, consider the following simple attack: the public key consists of  $(pk, \text{Enc}(pk, 0; r), H(r) \oplus sk)$ . Adversary makes a decryption query for  $\text{Enc}(pk, 0; r)$  in the ECCA security game and receives  $(0, r)$  back. He then computes  $H(r)$ , and XORs with the third component of the public key to obtain  $sk$ .

We now need to show that  $\text{PKE}_{new}$  remains CCA secure. But this argument easily follows from the CCA security of the  $\text{PKE}$  and the fact that given a ciphertext  $\text{Enc}(pk, 0; r), H(r)$  is uniformly random if  $H$  is a random oracle. The latter is the case since the randomness used in encryption needs to remain unpredictable given the ciphertext for the scheme to be secure. We omit the standard hybrid argument that formalizes this intuition.

**TAG-BASED DEFINITION.** Let  $\text{TB-PKE} = (\text{Kg}, \text{Enc}, \text{Dec})$  be a tag-based public-key encryption scheme with tag-space  $\text{TagSp}$ . We associate to  $\text{TB-PKE}$  and an adversary  $A = (A_1, A_2, A_3)$  a *tag-based enhanced chosen-ciphertext attack* experiment,

$$\begin{array}{ll} \mathbf{Experiment} \text{Exp}_{\text{TB-PKE}, A}^{\text{ind-tb-ecca}}(k) & \mathbf{Oracle} \text{Dec}^*(sk, t, c) \\ b \leftarrow_s \{0, 1\}; (pk, sk) \leftarrow_s \text{Kg}(1^k) & m \leftarrow \text{Dec}(sk, t, c) \\ t \leftarrow_s A_1(1^k) & r' \leftarrow \text{Rec}(sk, t, c) \\ (m_0, m_1, St) \leftarrow_s A_2^{\text{Dec}^*(sk, \cdot, \cdot)}(pk, t) & \text{Return} (m, r') \\ c \leftarrow_s \text{Enc}(pk, t, m_b) & \\ d \leftarrow_s A_3^{\text{Dec}^*(sk, \cdot, \cdot)}(pk, t, c, St) & \\ \text{If } d = b \text{ then return 1 else return 0} & \end{array}$$

Above we require that the output of  $A_2$  satisfies  $|m_0| = |m_1|$  and that  $A_3$  does not make a query of the form  $\text{Dec}^*(sk, t, \cdot)$  to its oracle. Define the *ind-tb-ecca advantage* of  $A$  against  $\text{PKE}$  as

$$\mathbf{Adv}_{\text{PKE}, A}^{\text{ind-tb-ecca}}(k) = 2 \cdot \Pr \left[ \mathbf{Exp}_{\text{PKE}, A}^{\text{ind-tb-ecca}}(k) \text{ outputs } 1 \right] - 1.$$



We say that TB-PKE is *tag-based enhanced chosen-ciphertext secure* (TB-ECCA-secure) if  $\text{Adv}_{\text{PKE},A}^{\text{ind-tb-ecca}}(\cdot)$  is negligible for every efficient  $A$ .

## 4 Instantiations

We now detail several constructions of ECCA secure encryption. They are based on notions of adaptivity for trapdoor functions introduced in [28] so accordingly we recall those first.

### 4.1 Adaptivity for Trapdoor Functions

ADAPTIVE TRAPDOOR FUNCTIONS. Let  $\text{TDF} = (\text{Tdg}, \text{Eval}, \text{Inv})$  be a trapdoor function family. We associate to TDF and an inverter  $I$  an *adaptive one-way* experiment,

**Experiment  $\text{Exp}_{\text{TDF},I}^{\text{aow}}(k)$**   
 $(ek, td) \leftarrow_{\$} \text{Tdg}(1^k); x \leftarrow_{\$} \{0, 1\}^k$   
 $y \leftarrow \text{Eval}(ek, x)$   
 $x' \leftarrow_{\$} I^{\text{Inv}(td, \cdot)}(ek, y)$   
 If  $x = x'$  then return 1 else return 0

Above we require that  $I$  does not query  $y$  to its oracle. Define the *aow-advantage* of  $A$  against TDF as

$$\text{Adv}_{\text{TDF},I}^{\text{aow}}(k) = \Pr [\text{Exp}_{\text{TDF},I}^{\text{aow}}(k) \text{ outputs } 1] .$$

We say that TDF is *adaptive one-way* (or is an ATDF) if  $\text{Adv}_{\text{TDF},I}^{\text{aow}}(\cdot)$  is negligible for every efficient  $I$ .

TAG-BASED ADAPTIVITY. Let  $\text{TB-TDF} = (\text{Tdg}, \text{Eval}, \text{Inv})$  be a tag-based trapdoor function family. We associate to TDF and an inverter  $I = (I_1, I_2)$  a *tag-based adaptive one-way* experiment,

**Experiment  $\text{Exp}_{\text{TB-TDF},I}^{\text{tb-aow}}(k)$**   
 $(ek, td) \leftarrow_{\$} \text{Tdg}(1^k)$   
 $t \leftarrow_{\$} I_1(1^k); x \leftarrow_{\$} \{0, 1\}^k$   
 $y \leftarrow \text{Eval}(ek, t, x)$   
 $x' \leftarrow_{\$} I^{\text{Inv}(td, \cdot, \cdot)}(ek, t, y)$   
 If  $x = x'$  then return 1 else return 0

Above we require that  $I_2$  does not make a query of the form  $\text{Inv}(td, t, \cdot)$  to its oracle. Define the *tb-aow-advantage* of  $A$  against TB-TDF as

$$\text{Adv}_{\text{TB-TDF},I}^{\text{tb-aow}}(k) = \Pr [\text{Exp}_{\text{TB-TDF},I}^{\text{tb-aow}}(k) \text{ outputs } 1] .$$

We say that TDF is *tag-based adaptive one-way* (or is a TB-ATDF) if  $\text{Adv}_{\text{TB-TDF},I}^{\text{tb-aow}}(\cdot)$  is negligible for every efficient  $I$ .

REALIZATIONS. In [28] it is shown that ATDFs and tag-based ATDFs can be realized from lossy TDFs [33] and correlated-product secure TDFs [35], which can be realized from a variety of standard number-theoretic and lattice-based assumptions. Furthermore, tag-based ATDFs were constructed from a strong but non-decisional (*i.e.*, *search*) problem on RSA in [28].

### 4.2 ECCA Security from Adaptive Trapdoor Functions

Here we construct ECCA-secure public-key encryption from adaptive TDFs. Our construction draws heavily on the recent work of Hohenberger *et al.* [26] and their notion of *detectable* CCA security (DCCA). This should be contrasted with the approach of [28] used to obtain CCA-secure encryption from ATDFs. Our approach allows us to obtain “enhanced” security and also unique randomness recoverability. (See the Introduction for further elaboration.) We note that our construction applies to general ATDFs; in the case of ATDFs with a linear number of hardcore bits we obtain a much more efficient construction, see Appendix B for details.

### 4.2.1 Enhanced DCCA Security

The notion of Detectable Chosen Ciphertext (DCCA) security was recently introduced by [26]. We define here the notion of *enhanced* DCCA (EDCCA) security, which parallels the notion of enhanced CCA security. In our definition, we require that the DCCA scheme be both enhanced and randomness-recovering. This is due to the fact that our application of DCCA requires both properties. However, the more general notion of enhanced DCCA security (with no efficient randomness-recovering property) may also be of interest.

**DETECTABLE ENCRYPTION SCHEMES.** A *detectable encryption scheme* is a tuple of probabilistic polynomial time algorithms  $(\text{Kg}, \text{Enc}, \text{Dec}, \mathcal{F})$  such that: (1)  $(\text{Kg}, \text{Enc}, \text{Dec})$  constitute a public-key encryption scheme, and (2)  $\mathcal{F}(pk, c', c) \rightarrow \{0, 1\}$ : the detecting function  $\mathcal{F}$  takes as input a public key  $pk$  and two ciphertexts  $c', c$  and outputs a bit.

Additionally, the detecting function  $\mathcal{F}$  must have the following property: Informally, given the description of  $\mathcal{F}$  and a public key  $pk$ , it should be hard to find a second ciphertext  $c'$  that is related to a “challenge” ciphertext  $c$ , i.e. such that  $\mathcal{F}(pk, c', c) = 1$ , *before being given*  $c$ . See [26] for the formal definition of the unpredictability experiment.

**EDCCA DEFINITION.** We are now ready to define enhanced, detectable chosen ciphertext security. Let  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec}, \text{Rec}, \mathcal{F})$  be a randomness-recovering public-key encryption scheme. We associate to  $\text{PKE}$  and an adversary  $A = (A_1, A_2)$  an *enhanced detectable chosen-ciphertext attack* experiment,

<p><b>Experiment</b> <math>\text{Exp}_{\text{PKE}, A}^{\text{ind-edcca}}(k)</math>  <math>b \leftarrow_{\\$} \{0, 1\}</math>; <math>(pk, sk) \leftarrow_{\\$} \text{Kg}(1^k)</math>  <math>(m_0, m_1, St) \leftarrow_{\\$} A_1^{\text{Dec}^*(sk, \cdot)}(pk)</math>  <math>c^* \leftarrow_{\\$} \text{Enc}(pk, m_b)</math>  <math>d \leftarrow_{\\$} A_2^{\text{Dec}^*(c^*, sk, \cdot)}(pk, c, St)</math>          If <math>d = b</math> then return 1 else return 0</p>	<p><b>Oracle</b> <math>\text{Dec}^*(c^*, sk, c)</math>          If <math>\mathcal{F}(pk, c^*, c) = 1</math>, Return <math>\perp</math>          Else <math>m \leftarrow \text{Dec}(sk, c)</math>  <math>r' \leftarrow \text{Rec}(sk, c)</math>          Return <math>(m, r')</math></p>
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Above we require that the output of  $A_1$  satisfies  $|m_0| = |m_1|$  and that  $A_2$  does not query  $c$  to its oracle. Define the *ind-edcca advantage* of  $A$  against  $\text{PKE}$  as

$$\text{Adv}_{\text{PKE}, A}^{\text{ind-edcca}}(k) = 2 \cdot \Pr \left[ \mathbf{Exp}_{\text{PKE}, A}^{\text{ind-edcca}}(k) \text{ outputs } 1 \right] - 1.$$

We say that  $\text{PKE}$  is *enhanced detectable chosen-ciphertext secure* (EDCCA-secure) if

- Encryptions are indistinguishable:  $\text{Adv}_{\text{PKE}, A}^{\text{ind-edcca}}(\cdot)$  is negligible for every efficient  $A$  AND
- $\mathcal{F}$  is unpredictable: Every efficient adversary  $A$  has negligible probability of succeeding in the unpredictability experiment (see [26]).

### 4.2.2 EDCCA Security from ATDFs

We construct an EDCCA scheme from ATDFs as follows: Let  $\text{TDF} = (\text{Tdg}, \text{Eval}, \text{Inv})$  be a trapdoor function with hardcore bit  $\text{hc}$ , for example the Goldreich-Levin bit [20]. Define the following multi-bit public-key encryption scheme  $\text{EDCCA}[\text{TDF}] = (\text{Kg}_D, \text{Enc}_D, \text{Dec}_D)$ :

<p><b>Alg</b> <math>\text{Kg}_D(1^k)</math>  <math>(ek, td) \leftarrow_{\\$} \text{Tdg}(1^k)</math>          Return <math>(ek, td)</math></p>	<p><b>Alg</b> <math>\text{Enc}_D(ek, m = m_1, \dots, m_\ell)</math>          Choose <math>x_1, \dots, x_\ell</math> uniformly at random          Return <math>C = (\text{Eval}(ek, x_1), \text{hc}(x_1) \oplus m_1,</math>  <math>\dots, \text{Eval}(ek, x_\ell), \text{hc}(x_\ell) \oplus m_\ell)</math></p>	<p><b>Alg</b> <math>\text{Dec}(td, C)</math>          Parse <math>C = (y_1, \beta_1, \dots, y_\ell, \beta_\ell)</math>          For <math>1 \leq i \leq \ell</math>              Compute <math>m_i = \text{hc}(\text{Inv}(td, y_i)) \oplus \beta_i</math>          Return <math>m_1, \dots, m_\ell</math></p>
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THE DETECTING FUNCTION  $\mathcal{F}_D$ : On input  $pk, C^* = (y_1^*, \beta_1^*, \dots, y_\ell^*, \beta_\ell^*)$ ,  $C = (y_1, \beta_1, \dots, y_\ell, \beta_\ell)$ , we define:

$$\mathcal{F}_D(pk, C^*, C) = \begin{cases} 1 & \text{if for some } i, j \in [\ell], y_i^* = y_j \\ 0 & \text{otherwise} \end{cases}$$

**Claim 4.1** Suppose TDF is adaptive one-way. Then EDCCA[TDF] defined above is a multi-bit EDCCA-secure encryption scheme.

We give some intuition for why the claim holds. Assume towards contradiction that we have an efficient adversary  $A = (A_1, A_2)$  breaking EDCCA[TDF]. Using a standard hybrid argument, we have that there must be some index  $1 \leq i \leq \ell$  such that  $A$  successfully distinguishes encryptions of messages  $m_0, m_1$  which differ only in the  $i$ -th bit. Now assuming the existence of  $A$ , we construct an efficient adversary  $A'$  breaking adaptive one-wayness of TDF.

More specifically,  $A'$  receives  $\tilde{y} = \text{Eval}(ek, \tilde{x})$  externally and uses  $A$  to learn  $\text{hc}(\text{Inv}(td, y_i))$ .  $A'$  does this by simulating the EDCCA decryption oracle for  $A = (A_1, A_2)$  using its inversion oracle  $\text{Inv}$ .

First, we consider simulating responses to queries made by  $A_1$ . In this case, we have that with overwhelming probability, for every decryption query  $C = (y_1, \beta_1, \dots, y_\ell, \beta_\ell)$  made by  $A_1$ , it is the case that  $y_j \neq \tilde{y}$  for all  $1 \leq j \leq \ell$ . Thus,  $A'$  can decrypt correctly using oracle access to  $\text{Inv}$ .

At the end of the first phase,  $A_1$  chooses two messages  $m^0 = m_1^0, \dots, m_\ell^0$  and  $m^1 = m_1^1, \dots, m_\ell^1$  which differ only in the  $i$ -th bit.  $A'$  prepares the challenge ciphertext  $C^*$  by choosing  $x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_\ell$  and a bit  $b$  uniformly at random and setting

$$C^* = (\text{Eval}(ek, x_1), \text{hc}(x_1) \oplus m_1, \dots, \tilde{y}, b, \dots, \text{Eval}(ek, x_\ell), \text{hc}(x_\ell) \oplus m_\ell).$$

Now, to answer EDCCA decryption queries  $C$  submitted by  $A_2$ ,  $A'$  checks whether  $\mathcal{F}_D(pk, C^*, C) = 1$ . If yes,  $A'$  perfectly simulates the decryption oracle by returning  $\perp$ . If not, then this implies in particular that on input  $C = (y_1, \beta_1, \dots, y_\ell, \beta_\ell)$ , we have that  $y_j \neq \tilde{y}$  for all  $1 \leq j \leq \ell$ . In this case,  $A'$  can use its access to the  $\text{Inv}$  oracle in order to respond correctly to the decryption query.

We omit the technical details of the proof, since they are standard.

**Remark 4.2** Scheme EDCCA[TDF] defined above is also uniquely randomness-recovering. This will be crucial for our application to adaptive trapdoor functions in Section 5.

We also wish to stress that it gives a novel example of a DCCA secure scheme; our scheme is *not* the concatenation of ciphertexts for a 1-bit CCA-secure scheme. Indeed, a ciphertext of the form  $(\text{Eval}(ek, x), \text{hc}(x) \oplus m)$  is trivially malleable by flipping the second component.

### 4.2.3 From EDCCA to ECCA Security

We next show that the construction of [26] designed to build a CCA-secure scheme from a DCCA secure one allows us to go from EDCCA to ECCA. That is, beyond what was already shown in [26] we show that the construction preserves “enhanced” security; it also preserves (unique) randomness-recoverability. Specifically, we instantiate the construction of [26] with the above randomness recovering EDCCA scheme, a CPA-secure scheme with perfect correctness, and a 1-bounded CCA-secure<sup>5</sup> scheme with perfect correctness (note that all these components can be constructed in a black-box manner from ATDFs):

**The EDCCA scheme, EDCCA[TDF]:** We instantiate the EDCCA scheme with the scheme given in Section 4.2.2. We note that for simplicity, we sometimes refer to the detecting function  $\mathcal{F}_D$  as checking for a “quoting” attack on the challenge ciphertext.

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<sup>5</sup>By 1-bounded CCA security, we mean an encryption scheme that is secure under an indistinguishability attack when the adversary may make only a single decryption query to its oracle either before or after receiving the challenge ciphertext.

**The CPA scheme, CPA[TDF]:** We instantiate the CPA scheme with the same scheme EDCCA[TDF] as above. Note that this scheme has perfect correctness since the Inv algorithm of the ATDF is required to invert correctly with probability 1.

**The 1-bounded CCA scheme, 1-CCA[TDF]:** Since we have already observed above that we can construct a multi-bit CPA scheme with perfect correctness from ATDF, we may now use any construction of a multi-bit 1-bounded CCA scheme with perfect correctness from a multi-bit CPA scheme with perfect correctness. This can be done in a black-box manner via the [11] construction. It is not hard to see that the construction of [11] preserves the perfect correctness property.

**THE MULTI-BIT (UNIQUELY RANDOMNESS RECOVERING) ECCA SCHEME.** We present a multi-bit, uniquely randomness recovering, ECCA-secure encryption scheme  $\text{PKE}[\text{TDF}] = (\text{Kg}_{\text{ECCA}}, \text{Enc}_{\text{ECCA}}, \text{Dec}_{\text{ECCA}})$  using the schemes  $\text{EDCCA}[\text{TDF}] = (\text{Kg}_{\text{D}}, \text{Enc}_{\text{D}}, \text{Dec}_{\text{D}})$ ,  $1\text{-CCA}[\text{TDF}] = (\text{Kg}_{1\text{b}}, \text{Enc}_{1\text{b}}, \text{Dec}_{1\text{b}})$ , and  $\text{CPA}[\text{TDF}] = (\text{Kg}_{\text{CPA}}, \text{Enc}_{\text{CPA}}, \text{Dec}_{\text{CPA}})$  defined above.

<b>Alg</b> $\text{Kg}_{\text{ECCA}}(1^\lambda)$ $(pk_{in}, sk_{in}) \leftarrow_s \text{Kg}_{\text{D}}(1^\lambda)$ $(pk_A, sk_A) \leftarrow_s \text{Kg}_{1\text{b}}(1^\lambda)$ $(pk_B, sk_B) \leftarrow_s \text{Kg}_{\text{CPA}}(1^\lambda)$ $pk \leftarrow (pk_{in}, pk_A, pk_B)$ $sk \leftarrow (sk_{in}, sk_A, sk_B)$ Return $(pk, sk)$	<b>Alg</b> $\text{Enc}_{\text{ECCA}}(pk, m)$ $(r_A, r_B) \leftarrow_s \{0, 1\}^\lambda$ $CT_{in} \leftarrow_s \text{Enc}_{\text{D}}(pk_{in}, (r_A, r_B, m))$ $CT_A \leftarrow \text{Enc}_{1\text{b}}(pk_A, CT_{in}; r_A)$ $CT_B \leftarrow \text{Enc}_{\text{CPA}}(pk_B, CT_{in}; r_B)$ Return $CT = (CT_A, CT_B)$	<b>Alg</b> $\text{Dec}_{\text{ECCA}}(sk, CT)$ $CT_{in} \leftarrow_s \text{Dec}_{1\text{b}}(sk_A, CT_A)$ $(r_A, r_B, m) \leftarrow \text{Dec}_{\text{D}}(sk_{in}, CT_{in})$ $r_{in} \leftarrow \text{Rec}_{\text{D}}(sk_{in}, CT_{in})$ If $CT_A = \text{Enc}_{1\text{b}}(pk_A, CT_{in}; r_A)$ and $CT_B = \text{Enc}_{\text{CPA}}(pk_B, CT_{in}; r_B)$ return $(r_A, r_B, m, r_{in})$ Else return $\perp$
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**Theorem 4.3**  $\text{PKE}[\text{TDF}]$  is enhanced CCA-secure and uniquely randomness recovering under the assumptions that  $\text{EDCCA}[\text{TDF}]$  is enhanced DCCA-secure and uniquely randomness recovering,  $1\text{-CCA}[\text{TDF}]$  is 1-bounded CCA secure with perfect correctness, and  $\text{CPA}[\text{TDF}]$  is CPA-secure with perfect correctness.

Note that Theorem 4.3 implies that there is a black-box construction of multi-bit, uniquely randomness recovering, enhanced CCA-secure encryption from ATDF.

**Proof:** The proof is based on [26]. We begin by defining a game which is slightly different than the regular enhanced CCA game, but will be useful in our analysis of  $\text{PKE}[\text{TDF}]$ :

**ENHANCED NESTED INDISTINGUISHABILITY GAME FOR SCHEME  $\text{PKE}[\text{TDF}]$ :** We associate to the scheme  $\text{PKE}[\text{TDF}]$  and to an adversary  $A = (A_1, A_2)$  an *enhanced nested indistinguishability under chosen ciphertext attack* experiment,

<b>Experiment</b> $\text{Exp}_{\text{PKE}[\text{TDF}], A}^{\text{nested-ind-ecca}}(k)$ $b, z \leftarrow_s \{0, 1\}$ ; $(pk, sk) \leftarrow_s \text{Kg}_{\text{ECCA}}(1^k)$ $(m_0, m_1, St) \leftarrow_s A_1^{\text{Dec}_{\text{ECCA}}^*(sk, \cdot)}(pk)$ $r_A, r_B \leftarrow_s \{0, 1\}^\lambda$ If $z = 0$ $CT_{in}^* \leftarrow_s \text{Enc}_{\text{D}}(pk_{in}, (r_A, r_B, m_b))$ Else if $z = 1$ $CT_{in}^* \leftarrow_s \text{Enc}_{\text{D}}(pk_{in}, 0^{ r_A + r_B + m_b })$ $CT_A^* \leftarrow \text{Enc}_{1\text{b}}(pk_A, CT_{in}^*; r_A)$ $CT_B^* \leftarrow \text{Enc}_{\text{CPA}}(pk_B, CT_{in}^*; r_B)$ $CT^* \leftarrow (CT_A^*, CT_B^*)$ $z' \leftarrow_s A_2^{\text{Dec}_{\text{ECCA}}^*(sk, \cdot)}(pk, CT^*, St)$ If $z' = z$ then return 1 else return 0	<b>Oracle</b> $\text{Dec}_{\text{ECCA}}^*(sk, c)$ $m \leftarrow \text{Dec}_{\text{ECCA}}(sk, c)$ $r' \leftarrow \text{Rec}_{\text{ECCA}}(sk, c)$ Return $(m, r')$
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Above we require that the output of  $A_1$  satisfies  $|m_0| = |m_1|$  and that  $A_2$  does not query  $CT^*$  to its oracle. In the following, we refer to decryption queries made by  $A_1$  as “Phase 1 queries” and to decryption queries made by  $A_2$  as “Phase 2 queries.”

Define the *nested-ind-ecca advantage* of  $A$  against  $\text{PKE}[\text{TDF}]$  as

$$\text{Adv}_{\text{PKE}[\text{TDF}],A}^{\text{nested-ind-ecca}}(k) = 2 \cdot \Pr \left[ \mathbf{Exp}_{\text{PKE}[\text{TDF}],A}^{\text{nested-ind-ecca}}(k) \text{ outputs } 1 \right] - 1.$$

We say that  $\text{PKE}[\text{TDF}]$  has *enhanced nested indistinguishable encryptions under a chosen ciphertext attack* if  $\text{Adv}_{\text{PKE}[\text{TDF}],A}^{\text{nested-ind-ecca}}(\cdot)$  is negligible for every efficient  $A$ .

It should be clear that enhanced nested indistinguishability of  $\text{PKE}[\text{TDF}]$  under a chosen ciphertext attack implies enhanced CCA security of  $\text{PKE}[\text{TDF}]$  (via a simple hybrid argument).

Consider the following event:

**Definition 4.4 (The Bad Query Event)** *We say that a bad query event has occurred during an execution of this experiment if in Phase 2, the adversary  $A$  makes a decryption query of the form  $CT = (CT_A, CT_B)$  such that*

- (Quoting attack on inner ciphertext:)  $\mathcal{F}_D(pk_{in}, CT_{in}^*, \text{Dec}_{1b}(sk_A, CT_A)) = 1$  AND
- (Query ciphertext differs from challenge ciphertext in first half:)  $CT_A^* \neq CT_A$ .

We will show that Bad Query Event occurs with at most negligible probability when  $z = 1$  and when  $z = 0$ . Once we have shown this, Nested Indistinguishability of  $\text{PKE}[\text{TDF}]$  will follow in a straightforward manner.

**Lemma 4.5** *Bad Query Event occurs with negligible probability when  $z = 1$ .*

We prove Lemma 4.5 via a sequence of hybrids:

**Hybrid  $H_0$ :** Proceeds exactly as the nested indistinguishability game for the case where  $z = 1$ .

**Hybrid  $H_1$ :** Proceeds exactly like  $H_0$  except that  $CT_B^*$  is set to be:  $CT_B^* = \text{Enc}_{\text{CPA}}(pk_B, 1^k; r_B)$ .

**Claim 4.6** The probability of a Bad Query Event in  $H_1$  and  $H_0$  differs by a negligible amount.

Since  $sk_B$  is never used by the decryption oracle and since the decryption oracle can detect all Bad Query Events, the claim follows immediately by a reduction to the semantic security of  $\text{CPA}[\text{TDF}]$ .

**Hybrid  $H_2$ :** Proceeds exactly like  $H_1$  except  $CT_A^*$  is set to be  $CT_A^* = \text{Enc}_{1b}(pk_A, 1^k; r_A)$ .

**Claim 4.7** The probability of Bad Query Event in  $H_2$  is negligible.

The claim follows due to the fact that the challenge ciphertext in  $H_2$  contains no information about  $CT_{in}^*$  and since the detecting function  $\mathcal{F}_D$  is unpredictable.

**Claim 4.8** The probability of a Bad Query Event in  $H_2$  and  $H_1$  differs by a negligible amount.

Intuitively, Claim 4.8 will reduce to the 1-bounded CCA security of 1-CCA[TDF].

**Proof:** Assume towards contradiction that there is some efficient adversary  $A$  which causes Bad Query Event to occur with negligible probability in  $H_2$  and non-negligible probability in  $H_1$ . We denote by  $q$  the (polynomial) number of Phase 2 queries made by  $A$ . By standard hybrid argument, there must be some index  $i \in [q]$  such that the  $i$ -th Phase 2 query made by  $A$  in  $H_1$  causes Bad Query Event to occur with non-negligible probability. On the other hand, for every index  $i$ , the  $i$ -th Phase 2 query made by  $A$  in  $H_2$  causes Bad Query Event to occur with at most negligible probability.

Fix such  $i$ . We construct an adversary  $B$  which breaks the security of 1-CCA[TDF].  $B$  will receive a challenge ciphertext that is either an encryption of  $CT_{in}^*$  or of  $1^n$ . In case the challenge ciphertext was an encryption of  $CT_{in}^*$ ,  $B$  will perfectly simulate the adversary's view in  $H_1$ . In case the challenge ciphertext was an encryption of  $1^n$ ,  $B$  will perfectly simulate the adversary's view in  $H_2$ .

Moreover,  $B$  will be able to detect whether Bad Query Event occurred in the  $i$ -th Phase 2 query of the experiment. Thus, if Bad Query Event occurs in the  $i$ -th query with non-negligible probability in  $H_1$  and negligible probability in  $H_2$ , then  $B$  will be able to break security of 1-CCA[TDF].

Formally, consider the following Simulated Decryption Oracle:

**Simulated Decryption Oracle:**

- Decrypt  $CT_B$  using  $sk_B$  to retrieve  $CT_{in}$ .
- Decrypt  $CT_{in}$  using  $sk_{in}$  to retrieve  $(r_A, r_B, m)$ .
- Use the randomness recovering algorithm  $\text{Rec}_D$  and  $sk_{in}$  to retrieve  $r_{in} = \text{Rec}_D(sk_{in}, CT_{in})$ .
- Check that  $CT_A$  and  $CT_B$  were formed correctly with respect to  $(r_A, r_B, CT_{in})$ . If not, output  $\perp$ . Otherwise, output  $(m, r_A, r_B, r_{in})$ .

Note that for every possible string  $CT$  submitted to the oracle, the output of the Simulated Decryption Oracle and the real decryption oracle is identical since 1-CCA[TDF] and CPA[TDF] have perfect correctness.

Now,  $B$  does the following:  $B$  receives  $pk_A$  from its external 1-bounded CCA challenger and generates  $(sk_B, pk_B), (sk_{in}, pk_{in})$  honestly.

$B$  and  $A$  interact in Phase 1 (while  $B$  uses the Simulated Decryption Oracle to respond to decryption queries). At some point  $A$  outputs  $m_0$  and  $m_1$ .  $B$  computes  $CT_{in}^* = \text{Enc}_D(pk_{in}, 0^\ell)$  and  $CT_B^* = \text{Enc}_{\text{CPA}}(pk_B, 1^k)$ . It outputs  $CT_{in}^*$  and  $1^k$  as messages  $m_0, m_1$  to its external 1-bounded CCA challenger and receives a ciphertext  $CT_A^*$ .  $B$  then outputs  $CT^* = (CT_A^*, CT_B^*)$  to  $A$ .  $B$  continues interacting with  $A$  during Phase 2, while using the Simulated Decryption Oracle as above. When  $B$  receives the  $i$ -th Phase 2 query of  $A$ , denoted by  $(CT_A^i, CT_B^i)$ ,  $B$  checks for the Bad Query Event by doing the following:

- $B$  checks if  $CT_A^i \neq CT_A^*$ .
- If so,  $B$  submits  $CT_A^i$  to its external 1-bounded CCA decryption oracle and receives  $CT_{in}^i$  in response.
- $B$  checks whether  $\mathcal{F}_D(pk_{in}, CT_{in}^*, CT_{in}^i) = 1$  (i.e. whether a quoting attack occurred). If yes,  $B$  outputs 0. Otherwise,  $B$  outputs 1.

Since by assumption we have that Bad Query Event occurs with non-negligible probability at the  $i$ -th Phase 2 query in  $H_1$  and occurs with negligible probability at the  $i$ -th Phase 2 query in  $H_2$ , we have that  $B$  achieves non-negligible advantage in the external 1-bounded CCA security game. This is a contradiction to the security of 1-CCA[TDF] and so the claim is proved. ■

Lemma 4.5 follows immediately from Claims 4.6, 4.7 and 4.8.

We now turn to the case where  $z = 0$ :

**Lemma 4.9** *Bad Query Event occurs with negligible probability when  $z = 0$ .*

Intuitively, Lemma 4.9 will reduce to the enhanced detectable CCA security of EDCCA[TDF].

**Proof:** We have already shown that when  $z = 1$ , Bad Query Event occurs with negligible probability. We will now show that if there is an efficient adversary  $A$  causing Bad Query Event to occur with non-negligible probability when  $z = 0$ , then there is a ppt adversary  $B$  breaking the security of EDCCA[TDF].

Assume towards contradiction that there is an efficient adversary  $A$  which causes Bad Query Event to occur with non-negligible probability when  $z = 0$ . Consider the following efficient adversary  $B$  which interacts with  $A$  in a run of the nested indistinguishability experiment, while externally participating in an enhanced DCCA indistinguishability experiment.  $B$  does the following:

Setup:  $B$  receives  $pk_{in}$  externally from the EDCCA experiment.  $B$  honestly generates  $(sk_A, pk_A), (sk_B, pk_B)$ .

Phase 1:  $B$  simulates the honest (enhanced) decryption oracle using  $sk_A$  and the decryption oracle in the external enhanced DCCA experiment. At the end of this phase  $A$  will output  $m_0, m_1$ .

Challenge: Choose random  $\beta \in \{0, 1\}$  and  $r_A, r_B \in \{0, 1\}^\lambda$ . Send to the external enhanced DCCA challenger  $M_0 = (r_A, r_B, m_\beta)$  and  $M_1 = 0^{|M_0|}$  and obtain the ciphertext  $CT_{in}^*$ . Compute  $CT_A^*$  and  $CT_B^*$  honestly, given  $CT_{in}^*, r_A, r_B$ . Return  $CT^* = (CT_A^*, CT_B^*)$  to  $A$ .

Phase 2: When  $A$  queries the decryption oracle on  $CT = (CT_A, CT_B)$ , compute  $CT_{in} = \text{Dec}_{1b}(sk_A, CT_A)$ .

Case 1 (a bad query event):  $CT_A \neq CT_A^*$  and yet  $\mathcal{F}_D(pk_{in}, CT_{in}^*, CT_{in}) = 1$  (i.e. a quoting attack occurred), then abort and output the bit 0.

Case 2 (partial match with challenge):  $CT_A = CT_A^*$ , then return  $\perp$  to  $A$ .

Otherwise, query the external EDCCA decryption oracle to decrypt  $CT_{in}$  and return its randomness. Check that  $CT_A$  and  $CT_B$  are consistent with the response. If not, return  $\perp$ . Otherwise, return the message and all randomness.

Output: When  $A$  outputs a bit,  $B$  outputs 0 or 1 with probability 1/2.

We argue that  $B$  correctly answers all decryption queries except when it aborts. This will follow immediately once we establish that  $B$  always answers correctly by returning  $\perp$  when a Case 2 query occurs. We next show that this is indeed the case.

Since a decryption query on the challenge is forbidden by the experiment, if  $CT_A = CT_A^*$ , then  $CT_B \neq CT_B^*$ . However, in this case  $CT$  must be an invalid ciphertext. We see this as follows: Since decryption is deterministic, we have that  $CT_{in} = \text{Dec}_{1b}(sk_A, CT_A) = \text{Dec}_{1b}(sk_A, CT_A^*)$  and  $(r_A, r_B, m) = \text{Dec}_D(sk_{in}, CT_{in})$ . But this means that there is only one possible ciphertext  $CT_B$  that matches  $CT_A = CT_A^*$ . Since the challenge  $CT^*$  is a valid ciphertext,  $CT_B^*$  must be this value and so  $CT = (CT_A^*, CT_B)$  must be invalid.

Now, if a Case 1 query occurs,  $B$  cannot decrypt using its EDCCA decryption oracle and must abort the experiment. But in this case,  $B$  can already guess that  $z = 0$  since when  $z = 1$ , Case 1 occurs with negligible probability.

More specifically, when  $B$  aborts, it causes the external EDCCA experiment to output 1 with high probability. Moreover, when  $B$  does not abort, it causes the external EDCCA experiment to output 1 with probability  $1/2$ . Since  $B$  aborts with non-negligible probability when  $z = 0$ ,  $B$  causes the experiment's output to be 1 with probability non-negligibly greater than  $1/2$ . This is a contradiction to the security of EDCCA[TDF] and so the claim is proved. ■

Finally, assuming that Bad Query Event occurs with negligible probability both when  $z = 0$  and  $z = 1$ , we show that Nested Indistinguishability under chosen ciphertext attacks holds. This is straightforward via a reduction to the enhanced DCCA security of EDCCA[TDF]. ■

### 4.3 ECCA Security from Tag-Based Adaptive Trapdoor Functions

We next give constructions of ECCA-secure public-key encryption from *tag-based* adaptive trapdoor functions introduced by Kiltz *et al.* [28].

FROM TAG-BASED ATDF TO TAG-BASED ECCA-SECURE PKE. It is straightforward to construct a multi-bit tag-based ECCA-secure PKE scheme from a tag-based ATDF, as follows. Let TB-TDF = (Tdg, Eval, Inv) be a tag-based adaptive trapdoor function family with tag-space  $TagSp$  and hardcore bit hc. Define the following tag-based public-key encryption scheme TB-PKE[TB-TDF] = (Kg, Enc, Dec) with tag-space  $TagSp$  and message-space  $\{0, 1\}^\ell$ :

<b>Alg Kg</b> ( $1^k$ ) $(ek, td) \leftarrow_s \text{Tdg}(1^k)$ Return $(ek, td)$	<b>Alg Enc</b> ( $ek, t, m$ ) For $i = 1$ to $\ell$ do: $x_i \leftarrow_s \{0, 1\}^k$ $c_{i,1} \leftarrow \text{Eval}(ek, t, x_i)$ $c_{i,2} \leftarrow \text{hc}(x) \oplus m[i]$ $\mathbf{c} \leftarrow ((c_{1,1}, c_{1,2}), \dots, (c_{\ell,1}, c_{\ell,2}))$ Return $\mathbf{c}$	<b>Alg Dec</b> ( $td, t, \mathbf{c}$ ) $((c_{1,1}, c_{1,2}), \dots, (c_{\ell,1}, c_{\ell,2})) \leftarrow \mathbf{c}$ For $i = 1$ to $\ell$ do: $x_i \leftarrow \text{Inv}(td, c_{i,1})$ $m[i] \leftarrow \text{hc}(x_i) \oplus c_{i,2}$ Return $m$
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**Remark 4.10** Scheme TB-PKE[TB-TDF] defined above is uniquely randomness recovering.

**Proposition 4.11** *Suppose TB-TDF is adaptive one-way. Then TB-PKE[TB-TDF] defined above is ECCA-secure.*

We omit the proof, which is routine.

FROM ECCA-SECURE TAG-BASED PKE TO ECCA-SECURE PKE. Note that Kiltz *et al.* [28] show a construction of CCA-secure PKE from any CCA-secure tag-based PKE using a strongly one-time unforgeable signature scheme. However, this construction does not preserve the randomness-recovering property or the ECCA security of the tag-based PKE. To get around this issue, and to construct ECCA-secure PKE from ECCA-secure tag-based PKE we employ a transformation of Boneh *et al.* [7], instead. Let TB-PKE =  $(\text{Kg}_{tag}, \text{Enc}_{tag}, \text{Dec}_{tag})$  be a tag-based public-key encryption scheme,  $H, g$  be hash functions, and MAC = (tag, ver) be a message-authentication code. Define PKE[TB-PKE,  $H, g, \text{MAC}$ ] = (Kg, Enc, Dec):

<b>Alg Kg</b> ( $1^k$ ) $(pk, sk) \leftarrow_s \text{Kg}_{tag}(1^k)$ Return $(pk, sk)$	<b>Alg Enc</b> ( $pk, m$ ) $x \leftarrow_s \{0, 1\}^k$ ; $c_1 \leftarrow H(x)$ $c_2 \leftarrow_s \text{Enc}_{tag}(pk, c_1, m  x)$ $c_3 \leftarrow \text{tag}(g(x), c_2)$ Return $(c_1, c_2, c_3)$	<b>Alg Dec</b> ( $sk, (c_1, c_2, c_3)$ ) $m  x \leftarrow \text{Dec}_{tag}(sk, c_1, c_2)$ If $H(x) \neq c_1$ then return $\perp$ If $\text{ver}(g(x), c_2) = 1$ then return $m$ Else return $\perp$
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**Remark 4.12** If TB-PKE is (uniquely) randomness recovering, so is  $\text{PKE}[\text{TB-PKE}, H, g, \text{MAC}]$ . In particular, this is the case when  $\text{TB-PKE} = \text{TB-PKE}[\text{TB-TDF}]$  as defined above. Thus, we obtain a uniquely randomness-recovering ECCA-secure PKE scheme from any tag-based ATDF.

**Proposition 4.13** *Suppose TB-PKE is ECCA-secure,  $H$  is target collision-resistant,  $g$  is pairwise-independent, and MAC is strongly unforgeable. Then  $\text{PKE}[\text{TB-PKE}, H, g, \text{MAC}]$  is ECCA-secure.*

**Proof:** We prove security using a sequence of hybrids. Our proof follows that of [7], and uses a deferred analysis technique originating from [19].

**Hybrid  $H_0$ :** The first game is the *ind-cca* game for  $\text{PKE}[\text{TB-PKE}, H, g, \text{MAC}]$  as defined earlier. Let  $c^* = (c_1^*, c_2^*, c_3^*)$  be the challenge ciphertext, and  $x^*$  be random input used as input to the  $H$  when computing the challenge ciphertext.

**Hybrid  $H_1$ :**  $H_1$  is the same as  $H_0$  except that on decryption queries of the form  $(c_1^*, c_2, c_3)$  we always return  $\perp$ . Let *valid* be the event that this ciphertext is indeed valid (it has a valid decryption).

Obviously we have that  $|\text{Adv}_A^{H_1}(k) - \text{Adv}_A^{H_0}(k)| \leq \Pr_1[\text{valid}]$ . Let *coll* be the event that  $c_2$  is valid and correctly decrypts to a message  $m||x$  and at the same time we have  $g(x) \neq g(x^*)$ . Furthermore, let *forge* be the event that  $c_3 \leftarrow \text{tag}(g(x^*), c_2)$ . It is easy to see that  $\Pr_1[\text{valid}] < \Pr_1[\text{coll}] + \Pr_1[\text{forge}]$ . Note that  $\Pr_1[\text{coll}]$  is negligible given the collision resistance of  $H$ . In particular,  $g(x) \neq g(x^*)$  implies that  $x \neq x^*$ , which makes the pair  $(x, x^*)$  a collision for  $H$ . We note that this argument works in presence of an ECCA oracle as well. In particular, note that decryption queries of the form  $(c_1, c_2, c_3)$  are only answered if  $c_1 \neq c_1^*$ , and in this case, one can query  $c_2$  to the decryption oracle for the tag-based PKE and recover both the underlying message and all the randomness used in generating the ciphertext (note that  $c_3$  is deterministic given  $c_1$  and  $c_2$ ). Analyzing the bound on  $\Pr_1[\text{forge}]$  is deferred to a later hybrid.

**Hybrid  $H_2$ :**  $H_2$  is the same as  $H_1$  except that when computing the challenge ciphertext we compute  $c_2^* = \text{Enc}_{\text{tag}}(pk, c_1, 0^{|m_0|} || 0^k)$ .

Note that  $\text{Adv}_A^{H_2}(k) = 1/2$  for any PPT adversary  $A$ . It is also straightforward to see that  $|\text{Adv}_A^{H_2}(k) - \text{Adv}_A^{H_1}| < \text{Adv}_{\text{TB-PKE}, A}^{\text{ind-ecca}}(k)$ . For the latter, once again, decryption queries are handled as discussed above. The same bound is true for  $\Pr_2[\text{forge}] - \Pr_1[\text{forge}]$ .

**Hybrid  $H_3$ :**  $H_3$  is the same as  $H_2$  except that the key for the MAC in the challenge ciphertext (i.e. for computing  $c_3^*$ ) is generated uniformly at random as opposed being set to  $g(x^*)$ .

We note that  $|\text{Adv}_A^{H_3}(k) - \text{Adv}_A^{H_2}|$  is statistically bounded since the only information available about  $x^*$  is  $H(x^*)$ . But since  $H$  is compressing and  $g$  is pairwise-independent hash function, it operates as an extractor of the remaining randomness in  $x^*$  and outputs a uniformly random key for the MAC. Distinguishing a uniformly random key from  $g(x^*)$  is therefore negligible and bounded by this statistical bound (see [7] for a complete argument). Decryption queries are handled as before. The same argument implies that  $\Pr_3[\text{forge}] - \Pr_2[\text{forge}]$  is also negligible.

It remains for us show that  $\Pr_3[\text{forge}]$  is also negligible but this automatically follows from the unforgeability of the MAC. ■

## 5 Application to Adaptive Trapdoor Functions

In this section, we establish that the notions of adaptive trapdoor functions and tag-based adaptive trapdoor functions introduced by Kiltz *et al.* [28] are *equivalent* (via fully black-box reductions), resolving a main open question of [28]. In light of Section 4, we just need to show that reverse implications hold; namely, that from a randomness-recovering ECCA-secure PKE scheme we can get both ATDFs and tag-based ATDFs.

## 5.1 From ECCA Security to Adaptivity

To construct an adaptive trapdoor function from a uniquely randomness-recovering ECCA-secure PKE scheme, we use part of the input to the former as coins for the latter used to encrypt the other part. Namely, let  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec}, \text{Rec})$  be a uniquely randomness-recovering public-key encryption scheme with message-space  $\text{MsgSp}$  and coin-space  $\text{Coins}$ . Define a trapdoor function family  $\text{TDF}[\text{PKE}] = (\text{Tdg}, \text{Eval}, \text{Inv})$  on domain  $\text{MsgSp} \times \text{Coins}$  as follows:

$$\begin{array}{l|l|l}
 \mathbf{Alg} \text{ Tdg}(1^k) & \mathbf{Alg} \text{ Eval}(pk, x) & \mathbf{Alg} \text{ Inv}(sk, c) \\
 (pk, sk) \leftarrow_s \text{Kg}(1^k) & (m, r) \leftarrow x & m \leftarrow \text{Dec}(sk, c) \\
 \text{Return } (pk, sk) & c \leftarrow \text{Enc}(pk, m; r) & r \leftarrow \text{Rec}(sk, c) \\
 & \text{Return } c & \text{Return } (m, r)
 \end{array}$$

**Proposition 5.1** *Suppose PKE is uniquely randomness recovering and ECCA-secure. Then  $\text{TDF}[\text{PKE}]$  defined above is adaptive one-way.*

**Proof:** Given an AOW-adversary  $I$  against  $\text{TDF}[\text{PKE}]$ , we can easily construct an ECCA-adversary  $A = (A_1, A_2)$  against PKE, as follows:

$$\begin{array}{l|l}
 \mathbf{Adversary} A_1^{\text{Dec}^*(sk, \cdot)}(pk) & \mathbf{Adversary} A_2^{\text{Dec}^*(sk, \cdot)}(pk, c, St) \\
 m_0, m_1 \leftarrow_s \text{MsgSp}(1^k) & m_0 \| m_1 \leftarrow St \\
 \text{Return } (m_0, m_1, m_0 \| m_1) & \text{Run } I \text{ on inputs } pk, c: \\
 & \text{When } I \text{ makes query } y \text{ do:} \\
 & \quad (m, r) \leftarrow \text{Dec}^*(sk, y) \\
 & \quad \text{Return } (m, r) \\
 & \text{Let } (m^*, r^*) \text{ be the output of } I \\
 & \text{If } m_0 = m^* \text{ then return } 0 \\
 & \text{Else return } 1
 \end{array}$$

It is clear by construction that  $\mathbf{Adv}_{\text{TDF}, I}^{\text{aow}}(\cdot) \leq \mathbf{Adv}_{\text{PKE}, A}^{\text{ind-ecca}}(\cdot)$  which proves the claim.  $\blacksquare$

## 5.2 From ECCA Security to Tag-Based Adaptivity

To construct a tag-based adaptive trapdoor function from a uniquely randomness-recovering ECCA-secure PKE scheme, we can use an analogous construction of [29, Section 4.4]. Namely, let  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec}, \text{Rec})$  be a uniquely randomness-recovering public-key encryption scheme. Define a tag-based trapdoor function family  $\text{TB-TDF}[\text{PKE}] = (\text{Tdg}, \text{Eval}, \text{Inv})$  as follows:

$$\begin{array}{l|l|l}
 \mathbf{Alg} \text{ Tdg}(1^k) & \mathbf{Alg} \text{ Eval}(pk, t, x) & \mathbf{Alg} \text{ Inv}(sk, t, c) \\
 (pk, sk) \leftarrow_s \text{Kg}(1^k) & m \| r \leftarrow x & t' \| m \leftarrow \text{Dec}(sk, c) \\
 \text{Return } (pk, sk) & c \leftarrow \text{Enc}(pk, t \| m; r) & r \leftarrow \text{Rec}(sk, c) \\
 & \text{Return } c & \text{If } t = t' \text{ then return } m \| r \\
 & & \text{Else return } \perp
 \end{array}$$

**Proposition 5.2** *Suppose PKE is uniquely randomness-recovering and ECCA-secure. Then  $\text{TB-TDF}[\text{PKE}]$  is tag-based adaptive one-way.*

**Proof:** Given an TB-AOW-adversary  $I$  against  $\text{TB-TDF}[\text{PKE}]$ , we construct an ECCA-adversary  $A = (A_1, A_2, A_3)$  against PKE, as follows:

<b>Adversary</b> $A_1(pk)$ $t \leftarrow_s I_1(pk)$	<b>Adversary</b> $A_1^{\text{Dec}^*(sk, \cdot)}(pk, t)$ $m_0, m_1 \leftarrow_s \text{MsgSp}(1^k)$ Return $(m_0, m_1, m_0 \  m_1)$	<b>Adversary</b> $A_2^{\text{Dec}^*(sk, \cdot)}(pk, t, c, St)$ $m_0 \  m_1 \leftarrow St$ Run $I$ on inputs $pk, t, c$ : When $I$ makes query $y, t'$ do: $(m, r) \leftarrow \text{Dec}^*(sk, y, t')$ Return $(m, r)$ Let $(m^*, r^*)$ be the output of $I$ If $m_0 = m^*$ then return 0 Else return 1
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We claim that  $\mathbf{Adv}_{\text{TB-TDF}, I}^{\text{tb-aow}}(\cdot) \leq \mathbf{Adv}_{\text{PKE}, A}^{\text{ind-ecca}}(\cdot)$ . To see this, note that  $I_1, I_2$  does make a query of the form  $t' = t$ , which by consistency of PKE means that  $A$  does not query its challenge ciphertext.  $\blacksquare$

## 6 Application to PKE with Non-Interactive Opening

In this section, we show that ECCA-secure encryption is a natural building-block for *public key encryption with non-interactive opening* (PKENO) [14, 13, 17, 18]. PKENO allows the receiver to non-interactively prove that a given ciphertext decrypts to a claimed message. Our constructions yield new and practical PKENO schemes. As discussed in the introduction, PKENO has applications to multiparty computation (*e.g.*, auctions and elections), secure message transmission, group signatures, and more.

PKENO. Public-key encryption with non-interactive opening (PKENO) extends a scheme  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec})$  for public-key encryption by the following algorithms: **Prove** takes as input a secret key  $sk$  and a ciphertext  $c$ , and outputs a proof  $\pi$ . **Ver** takes as input a public key  $pk$ , a ciphertext  $c$ , a plaintext  $m$  and a proof  $\pi$ , and outputs 0 or 1.

In addition to decryption correctness, stating that  $\Pr[\text{Dec}(sk, \text{Enc}(pk, m)) \neq m : (pk, sk) \leftarrow_s \text{Kg}(1^k)]$  is negligible for all  $k \in \mathbb{N}$  and  $m \in \text{MsgSp}(1^k)$ , we require *proof correctness*: *i.e.* for all ciphertexts (*i.e.* strings)  $c$ :

$$\Pr[\text{Ver}(pk, c, \text{Dec}(sk, c), \text{Prove}(sk, c)) \neq 1 : (pk, sk) \leftarrow_s \text{Kg}(1^k)]$$

is negligible.

In [13, 17], security of PKENO is defined by *indistinguishability under chosen-ciphertext and -proof attacks* (IND-CCPA) and *proof soundness*. The former guarantees that a ciphertext hides the plaintext even when the adversary can see decryptions of and proofs for other ciphertexts; the latter formalizes that no adversary should be able to produce a proof for a ciphertext and a message which is not encrypted by that ciphertext.

**INDISTINGUISHABILITY.** Let  $\text{PKENO} = (\text{Kg}, \text{Enc}, \text{Dec}, \text{Prove}, \text{Ver})$  be a public-key encryption scheme with non-interactive opening. We associate to PKENO and an adversary  $A = (A_1, A_2)$  the *chosen-ciphertext and -proof attack* experiment given on the left in Figure 2. We require that the output of  $A_1$  satisfies  $|m_0| = |m_1|$  and that  $A_2$  does not query  $c$  to any of its oracles. We say that PKENO is *chosen-ciphertext and -proof-attack secure* (CCPA-secure) if  $\mathbf{Adv}_{\text{PKENO}, A}^{\text{ind-ccpa}}(k) := 2 \cdot \Pr[\mathbf{Exp}_{\text{PKENO}, A}^{\text{ind-ccpa}}(k) \text{ outputs } 1] - 1$  is negligible for every efficient  $A$ .

**PROOF SOUNDNESS.** We associate to a scheme  $\text{PKENO} = (\text{Kg}, \text{Enc}, \text{Dec}, \text{Prove}, \text{Ver})$  and an adversary  $A = (A_1, A_2)$  a *proof-soundness* experiment, given on the right in Figure 2. We say that PKENO is *proof-sound* if  $\mathbf{Adv}_{\text{PKENO}, A}^{\text{proof-snd}}(k) := \Pr[\mathbf{Exp}_{\text{PKENO}, A}^{\text{proof-snd}}(k) \text{ outputs } 1]$  is negligible for every efficient  $A$ .

We note that as compared to [13, 17] our definition of proof soundness also considers adversarially-produced ciphertexts, which not even be a valid output of the encryption algorithm. Note that it is already required by proof correctness that the PKENO correctly proves decryption of such ciphertexts (which in general may or may not decrypt to  $\perp$ ), so it would seem that constructions should achieve this stronger notion of proof soundness anyway.

<p><b>Experiment</b> <math>\text{Exp}_{\text{PKENO},A}^{\text{ind-ccpa}}(k)</math></p> <p><math>b \leftarrow_{\\$} \{0, 1\}</math> ; <math>(pk, sk) \leftarrow_{\\$} \text{Kg}(1^k)</math></p> <p><math>(m_0, m_1, St) \leftarrow_{\\$} A_1^{\text{Dec}(sk, \cdot), \text{Prove}(sk, \cdot)}(pk)</math></p> <p><math>c \leftarrow_{\\$} \text{Enc}(pk, m_b)</math></p> <p><math>d \leftarrow_{\\$} A_2^{\text{Dec}(sk, \cdot), \text{Prove}(sk, \cdot)}(pk, c, St)</math></p> <p>If <math>d = b</math> then return 1 else return 0</p>	<p><b>Experiment</b> <math>\text{Exp}_{\text{PKENO},A}^{\text{proof-snd}}(k)</math></p> <p><math>(pk, sk) \leftarrow_{\\$} \text{Kg}(1^k)</math></p> <p><math>(m', \pi', c') \leftarrow_{\\$} A(pk, sk)</math></p> <p><math>m \leftarrow \text{Dec}(sk, c')</math></p> <p>If <math>\text{Ver}(pk, c', m', \pi') = 1</math> and <math>m \neq m'</math> then return 1 ; else return 0</p>
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Figure 2: Security experiments for PKENO.

STRONG PROOF SOUNDNESS. An even stronger notion of proof soundness is defined in [18], which also handles maliciously chosen public key (*i.e.*, security for senders against a malicious receiver). Such a notion is quite challenging to achieve, and hence we mostly focus on the above formulation of proof soundness in the paper. However, in Appendix D we define notions of strong proof soundness and discuss how our constructions can be adapted to meet them.

## 6.1 PKENO-Compatible ECCA-Secure Encryption

A natural approach to building PKENO suggested by [14] is to use a randomness-recovering encryption scheme and have the receiver provide the recovered coins as the proof. A moment’s reflection reveals that for this approach to work, the encryption scheme must be ECCA secure. In addition, as discussed in [14, 13, 18], we also need a way for the receiver to prove correct decryption of ciphertexts not in the range of the encryption algorithm, in which case such coins may not be defined. Our goal in this section is to define a notion of *PKENO-compatible ECCA-secure encryption* for which we can do this.

PARTIAL-RANDOMNESS RECOVERY. It turns out that for such schemes we do not always achieve, nor need, the notion of full randomness recovery, so we first define a natural generalization we call *partial-randomness recovery*, which (loosely) says that enough of the random coins are recovered to uniquely identify the underlying message. (Such a notion is alluded to in [33], who note that their CCA-secure encryption seem is not actually fully randomness-recovering because they use a one-time signature, and is generally useful whenever we use a “publicly verifiable” but randomized component such as a one-time signature or NIZK.) However, in order to deal with the case that some ciphertexts outside the range of the encryption algorithm may not decrypt to  $\perp$ , we also *strengthen* what we get from randomness-recovering encryption in some respect; see the discussion following the definition.

Formally, Suppose  $\text{Enc}$  draws its coins from  $\text{Coins}$ . We say that a public-key encryption scheme  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec})$  has *partial-randomness recovery* if it also has a *partial-randomness recovering algorithm*  $\text{pRec}$  and a *message-consistency checking algorithm*  $\text{Cons}$ , which with the help of partial randomness can check whether a ciphertext  $c$  encrypts a message  $m$ . Namely:

- (Completeness) For all  $(pk, sk) \leftarrow_{\$} \text{Kg}$  and all  $c \in \{0, 1\}^*$ ,  $m \in \text{MsgSp}(1^k) \cup \{\perp\}$ , let  $s \leftarrow_{\$} \text{pRec}(sk, c)$ . Then

$$\text{Dec}(sk, c) = m \wedge m \neq \perp \Rightarrow \text{Cons}(pk, c, m, s) = 1 .$$

- (Soundness) For all  $(pk, sk) \leftarrow_{\$} \text{Kg}$  and all  $c \in \{0, 1\}^*$ ,  $m \in \text{MsgSp}(1^k)$ ,  $s \in \{0, 1\}^*$ :

$$\text{Cons}(pk, c, m, s) = 1 \Rightarrow \text{Dec}(sk, c) = m .$$

RELATION TO RANDOMNESS RECOVERY. It turns out that a fully randomness recovering scheme is not necessarily partial-randomness recovering as we have defined it. This is because, the completeness condition requires that even for *invalid* ciphertexts  $c$  (*i.e.*, those that are never output by the encryption algorithm) that do not decrypt to  $\perp$  but rather some  $m \neq \perp$ , enough partial randomness can still be recovered from  $c$  to check that it decrypts to  $m$ .

CIPHERTEXT VERIFIABILITY. We next define a notion of *ciphertext verifiability*, which intuitively means a verifier can check (with the help of some partial random coins) whether the decryption algorithm

returns  $\perp$  on a given ciphertext. Let  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec}, \text{pRec}, \text{Cons})$  be a public-key encryption scheme with partial-randomness recovery. We say that  $\text{PKE}$  has *ciphertext-verifiability* if it also has a *ciphertext-invalidity checking algorithm*  $\text{Inval}$  such that

- (Completeness) For all  $(pk, sk) \leftarrow_{\$} \text{Kg}$  and all  $c \in \{0, 1\}^*$ , let  $s \leftarrow_{\$} \text{pRec}(sk, c)$ . Then

$$\text{Dec}(sk, c) = \perp \Rightarrow \text{Inval}(pk, c, s) = 1 .$$

- (Soundness) For all  $(pk, sk) \leftarrow_{\$} \text{Kg}$  and all  $c \in \{0, 1\}^*, s \in \{0, 1\}^*$ :

$$\text{Inval}(pk, c, s) = 1 \Rightarrow \text{Dec}(sk, c) = \perp .$$

We write  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec}, \text{pRec}, \text{Cons}, \text{Inval})$ .

We note that the notion of *public* ciphertext verifiability has been discussed informally in the literature and formalized and studied concurrently to our work by [32]. In a publicly verifiable scheme, the  $\text{Inval}$  algorithm ignores the input  $s$ . In fact, all our constructions except those that use the “more-efficient” BCHK transform [7] (the one using symmetric primitives rather than one-time signatures) are publicly verifiable.

We say that  $\text{PKE}$  is *ECCA-secure* if it is ECCA-secure as defined in Section 3 but where the  $\text{Rec}$  algorithm there is replaced with  $\text{pRec}$ .

**PKENO-COMPATIBLE ECCA ENCRYPTION AND PKENO FROM IT.** We call a partial-randomness recovering ECCA-secure  $\text{PKE}$  scheme with ciphertext verifiability *PKENO-compatible ECCA encryption*. Consider a PKENO-compatible ECCA encryption scheme  $\text{PKE} = (\text{Kg}_{\text{pke}}, \text{Enc}_{\text{pke}}, \text{Dec}_{\text{pke}}, \text{pRec}_{\text{pke}}, \text{Cons}_{\text{pke}}, \text{Inval}_{\text{pke}})$  and define the following PKENO  $\text{PKENO} = (\text{Kg}_{\text{pke}}, \text{Enc}_{\text{pke}}, \text{Dec}_{\text{pke}}, \text{Prove}, \text{Ver})$  with:

- $\text{Prove}(sk, c)$ : Output  $s \leftarrow_{\$} \text{pRec}_{\text{pke}}(sk, c)$ .
- $\text{Ver}(pk, c, m, \pi = s)$ : If  $m \neq \perp$  then return 1 iff  $\text{Inval}_{\text{pke}}(pk, c) = 0$  and  $\text{Cons}_{\text{pke}}(pk, c, m, s) = 1$ ;  
If  $m = \perp$  then return 1 iff  $\text{Inval}_{\text{pke}}(pk, c) = 1$ .

**Proposition 6.1** *Suppose  $\text{PKE}$  is a PKENO-compatible ECCA-secure encryption scheme. Then the construction  $\text{PKENO}[\text{PKE}]$  above is a  $\text{PKE}$  scheme with non-interactive opening which is *CCPA-rsecure* and has proof soundness.*

**Proof:** For the case of proof correctness, we show that for  $(pk, sk) \leftarrow_{\$} \text{Kg}(1^k)$  and for all strings  $c$ ,

$$\text{Ver}(pk, c, \text{Dec}(sk, c), \text{Prove}(sk, c)) = 1 .$$

There are two cases to consider  $\text{Dec}(sk, c) = \perp$  and  $\text{Dec}(sk, c) \neq \perp$ . If  $\text{Dec}(sk, c) = \perp$  then by completeness of ciphertext verifiability we have  $\text{Inval}(pk, c, s) = 1$  hence  $\text{Ver}(pk, c, \text{Dec}(sk, c), \text{Prove}(sk, c)) = 1$ . On the other hand, if  $m = \text{Dec}(sk, c) \neq \perp$  then by soundness of ciphertext verifiability we know that if  $\text{Inval}(pk, c, s) = 1$  then  $\text{Dec}(sk, c) = \perp$ , so  $\text{Inval}(pk, c, s) = 0$ . Now by completeness of partial-randomness recovery if  $\text{Cons}(pk, c, m, s) = 0$  then  $m = \perp$ , so we know  $\text{Cons}(pk, c, m, s) = 1$  and hence  $\text{Ver}(pk, c, \text{Dec}(sk, c), \text{Prove}(sk, c)) = 1$ .

For the case of proof soundness, in the relevant experiment in Figure 2, we show that

$$m \neq m' \Rightarrow \text{Ver}(pk, c', m', \pi') = 0 .$$

Again we consider two cases,  $m = \text{Dec}(sk, c') = \perp$  and  $m = \text{Dec}(sk, c') \neq \perp$ . If  $m = \perp$  and  $m' \neq \perp$ , then if  $\text{Ver}(pk, c', m', \pi') = 1$  we would have  $\text{Cons}(pk, c', m', \pi') = 1$  which by soundness of partial-randomness recovery means  $\text{Dec}(sk, c') = m'$ , a contradiction. On the other hand, if  $m \neq \perp$ , we again split into two cases:  $m' = \perp$  and  $m' \neq \perp$ . If  $m' = \perp$  then if  $\text{Inval}(pk, c', \pi') = 1$  then by soundness of ciphertext verifiability we would have  $\text{Dec}(sk, c') = m = \perp$ , so  $\text{Inval}(pk, c', \pi') = 0$  and thus verification fails. Finally, if both  $m \neq \perp$  and  $m' \neq \perp$  but  $m \neq m'$  then if  $\text{Ver}(pk, c', m', \pi') = 1$  we have  $\text{Cons}(pk, c', m', \pi')$  which by soundness of partial-randomness recovery means  $\text{Dec}(sk, c') = m'$ , a contradiction.

*IND-CCPA* follows immediately from ECCA w.r.t.  $\text{pRec}_{\text{pke}}$ . The simulator can use its  $\text{pRec}_{\text{pke}}$  oracle to simulate the  $\text{Prove}$  oracle. To simulate the  $\text{Dec}$  oracle on a query  $c$ , the simulator queries  $\text{pRec}_{\text{pke}}$  for  $c$  to get  $s$  and outputs  $\perp$  if  $\text{Val}_{\text{pke}}(pk, c, s) = 0$  and otherwise forwards a  $\text{Dec}_{\text{pke}}$  oracle response. ■

THE TAG-BASED CASE. We define *PKENO-compatible tag-based ECCA encryption*  $\text{TB-PKE} = (\text{Kg}, \text{Enc}, \text{Dec}, \text{pRec}, \text{Cons}, \text{Inval})$  analogously, where all algorithms except  $\text{Kg}$  now take an additional input  $t$  called the *tag*. The completeness and soundness definitions quantify over all tags  $t$ , and the scheme is required to satisfy the tag-based ECCA definition where  $\text{Rec}$  is replaced with  $\text{pRec}$ . One can convert any PKENO-compatible tag-based ECCA encryption scheme into a PEKNO-compatible (non-tag-based) ECCA encryption scheme by using BCHK transform [7], which preserves both partial-randomness recovery and ciphertext verifiability. Either version of the BCHK transform works, though it is interesting to that that ciphertext verifiability when using the “more-efficient” version based on symmetric-key primitives uses the fact that the  $\text{Inval}$  algorithm take as input partial randomness (since unlike the version using one-time signatures the symmetric-key primitives are not publicly verifiable). Details are in Appendix C. Hence, as per the above, PKENO-compatible tag-based ECCA encryption also yields PKENO. Looking ahead, using tag-based constructions will lead to our most efficient PKENO schemes.

## 6.2 A Generic Construction using Non-Interactive Zero-Knowledge

We show how to obtain PKENO-compatible ECCA encryption by combining partially randomness-recovering (pRR) ECCA secure encryption with a non-interactive zero-knowledge proof (NIZK) to add ciphertext verifiability. (Indeed, the approach of adding a proof of well-formedness originates from [13, 18], although not with respect to ECCA secure encryption.)

CONSTRUCTION. Consider a pRR ECCA-secure PKE scheme  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec}, \text{pRec}, \text{Cons})$  and a simulation-sound NIZK proof system  $\text{NIZK} = (\text{Setup}, \text{Prv}, \text{Vrf})$  for the language  $\mathcal{L} := \{(pk, c) \mid \exists(m, r) : c = \text{Enc}(pk, m; r)\}$ . We define a partial-randomness-recovering scheme  $\text{PKE}_{\text{prr}} = (\text{Kg}_{\text{prr}}, \text{Enc}_{\text{prr}}, \text{Dec}_{\text{prr}}, \text{Cons}_{\text{prr}}, \text{Inval}_{\text{prr}})$  as follows:

- $\text{Kg}_{\text{prr}}(1^k)$ : Run  $\text{crs} \leftarrow_s \text{Setup}(1^k)$ ;  $(pk, sk) \leftarrow_s \text{Kg}(1^k)$ . Output  $\overline{pk} := (\text{crs}, pk)$  and  $\overline{sk} := (\text{crs}, pk, sk)$ .
- $\text{Enc}_{\text{prr}}((\text{crs}, pk), m; r)$ : Set  $c := \text{Enc}(pk, m; r)$  and  $\tau \leftarrow_s \text{Prv}(\text{crs}, (pk, c), (m, r))$ ; output  $\overline{c} := (c, \tau)$ .
- $\text{Dec}_{\text{prr}}((\text{crs}, pk, sk), (c, \tau))$ : If  $\text{Vrf}(\text{crs}, (pk, c), \tau) = 0$  then output  $\perp$ ; else output  $\text{Dec}(sk, c)$ .
- $\text{pRec}_{\text{prr}}((\text{crs}, pk, sk), (c, \tau))$ : If  $\text{Vrf}(\text{crs}, (pk, c), \tau) = 0$  then output  $\perp$ ; else output  $\text{pRec}(sk, c)$ .
- $\text{Cons}_{\text{prr}}((\text{crs}, pk), (c, \tau), m, s)$ : Return 1 iff  $\text{Vrf}(\text{crs}, (pk, c), \tau) = 1$  and  $\text{Cons}(pk, c, m, s) = 1$ .
- $\text{Inval}_{\text{prr}}((\text{crs}, pk), (c, \tau), s)$ : Return 1 iff  $\text{Vrf}(\text{crs}, (pk, c), \tau) = 0$ .

**Proposition 6.2** *Suppose PKE is partial-randomness-recovering and ECCA-secure and NIZK is simulation-sound and zero-knowledge. Then  $\text{PKE}_{\text{prr}}[\text{PKE}, \text{NIZK}]$ , defined above, is an ECCA-secure PKE scheme with partial randomness-recovery and public verifiability (i.e., it is PKENO-compatible).*

**Proof:** We first show that the scheme  $\text{PKE}_{\text{prr}}$  is still ECCA-secure (with respect to the partial randomness recovery function  $\text{pRec}$ ). Let  $A$  be an adversary against ECCA security of  $\text{PKE}_{\text{prr}}$ . We use  $A$  to break ECCA security of the underlying scheme PKE. Upon receiving our challenge  $c^*$ , we simulate a NIZK proof  $\tau^*$  to create a challenge  $(c^*, \tau^*)$  for  $A$ . Consider  $A$  making an ECCA-query  $\text{Dec}^*$  for  $(c, \tau)$ : if  $\tau$  is invalid on  $c$ , we return  $\perp$ ; else we forward  $c$  to our own oracle and give  $A$  the reply. Note that simulation soundness of the NIZK implies that  $A$  cannot produce a query  $(c^*, \tau)$  for a  $\tau \neq \tau^*$  which is valid for  $c^*$ . (This is formally proven analogously to the proof of full anonymity of the group signature construction in [3], where a group signature is defined as a CCA-secure ciphertext and a simulation-sound NIZK proof of well-formedness of the ciphertext.) This means that every query  $A$  makes can be forwarded to our own oracle.

Finally, we need to show completeness and soundness of both partial randomness recovery and ciphertext verifiability, as defined in Section 6.1. For all these notions, let  $(\overline{pk} = (\text{crs}, pk), \overline{sk})$  be the output of  $\text{Kg}_{\text{prr}}$ .

*Completeness of partial-randomness recovery:* Let  $c \in \{0, 1\}^*$  and let  $s \leftarrow_s \text{pRec}_{\text{prr}}(sk, c)$ . Suppose  $\text{Dec}_{\text{prr}}(sk, c) = m$  with  $m \neq \perp$ . By the definition of  $\text{Dec}_{\text{prr}}$  we get  $\text{Vrf}(\text{crs}, (pk, c), \tau) = 1$  (\*). By

the definition of  $\text{pRec}_{\text{pr}}(sk, c)$ , this means  $s$  is the output of  $\text{pRec}(sk, c)$ . By completeness of  $\text{Cons}$ , we have  $\text{Cons}(pk, c, m, s) = 1$ , and thus together with (\*):  $\text{Cons}_{\text{pr}}(\overline{pk}, (c, \tau), m, s) = 1$ .

*Soundness of partial-randomness recovery:* Let  $c \in \{0, 1\}^*$ ,  $m \in \text{MsgSp}(1^k)$  and  $s \in \{0, 1\}^*$ . Suppose  $\text{Cons}_{\text{pr}}(\overline{pk}, (c, \tau), m, s) = 1$ , that is  $\text{Vrf}(crs, (pk, c), \tau) = 1$  (\*) and  $\text{Cons}(pk, c, m, s) = 1$ . By soundness of  $\text{Cons}$  we have  $\text{Dec}(sk, c) = m$ , which together with (\*) yields:  $\text{Dec}_{\text{pr}}(\overline{sk}, (c, \tau)) = m$ .

*Completeness of ciphertext verifiability:* Let  $c \in \{0, 1\}^*$  and let  $s \leftarrow \text{pRec}_{\text{pr}}(sk, c)$ . Suppose  $\text{Dec}_{\text{pr}}(sk, (c, \tau)) = \perp$ . Then we have either (1)  $\text{Vrf}(crs, (pk, c), \tau) = 0$  or (2)  $\text{Dec}(sk, c) = \perp$ . We show (2) implies (1): If  $\text{Vrf}$  output 1 then by soundness of NIZK there exist  $(m, r) : c = \text{Enc}(pk, m; r)$ . By correctness of PKE we have  $\text{Dec}(sk, c) = m$  which contradicts (1). In either case we have therefore  $\text{Vrf}(crs, (pk, c), \tau) = 0$  and thus  $\text{Inval}_{\text{pr}}(\overline{pk}, (c, \tau), s) = 1$ .

*Soundness of ciphertext verifiability:* Let  $c \in \{0, 1\}^*$  and  $s \in \{0, 1\}^*$  and assume  $\text{Inval}_{\text{pr}}(\overline{pk}, (c, \tau), s) = 1$ , that is,  $\text{Vrf}(crs, (pk, c), \tau) = 0$ . Then by definition of  $\text{Dec}_{\text{pr}}$ , we have  $\text{Dec}_{\text{pr}}(\overline{sk}, (c, \tau)) = \perp$ . ■

CONSTRUCTING PKENO-COMPATIBLE TAG-BASED PKE USING NIZK. An analogous construction works to construct PKENO-compatible ECCA-secure tag-based PKE from partial-randomness recovering ECCA-secure tag-based PKE. The latter can be converted into PKENO-compatible ECCA encryption using one-time signatures or symmetric primitives as explained in Appendix C.

### 6.3 Efficient CCA-secure PKENO-Compatible Tag-Based PKE using Groth-Sahai

It is possible to construct NIZKs efficiently in the random-oracle model, but the only known efficient instantiation of NIZKs without random oracles to date are Groth-Sahai (GS) proofs [22], which allow to prove a certain class of statements over bilinear groups. Moreover, as shown in [30], GS proofs can be made simulation-sound (SS) at a moderate price. We show how to build an efficient DLIN-based partial-randomness recovering tag-based PKE scheme such that the statements we are proving fall in this class.

Essentially, the idea is to build a decision-linear (DLIN) based tag-based ATDF for which proving that a claimed image point has a preimage is GS statement. We then build from it a PKENO-compatible tag-based PKE scheme as per Appendix C. Note that the GS statement here is *weaker* than a proof of well-formedness of the ciphertext for the CCA scheme (as used in our generic construction above), so it is somewhat surprising that it suffices for a PKENO construction. (The intuition is that, if the proof verifies, the adversary is obliged to *provide* the preimage, which allows to verify the rest of the ciphertext if needed.)

Specifically, we use the lossy trapdoor function (LTDF) and an all-but-one (ABO) TDF from DLIN by Freeman *et al.* [16], which can be combined to a tag-based ATDF using the transformation of [28]. Note that we use the the DLIN-based instantiations rather than the original DDH-based ones of [33] because Groth-Sahai proofs require bilinear groups in which DDH is false.

We first describe the ABO-TDF. In a group  $\mathbb{G}$  of order  $p$ , generated by  $g \in \mathbb{G}$ , the scheme is defined as follows. To sample a function with a lossy branch  $b^*$ , choose a matrix  $A \leftarrow \mathbb{Z}_p^{n \times n}$  with rank 1 and define  $M := A - b^* I_n$ , where  $I_n$  is the identity matrix. Define  $\mathbf{S} \in \mathbb{G}^{n \times n}$  as the matrix with components  $S_{ij} := g^{m_{ij}}$ , where  $m_{ij}$  are the components of  $M$ . The function indexed by  $\mathbf{S}$  is evaluated on a branch  $b$  on input  $\vec{x} \in \{0, 1\}^n$  as follows:  $f_{\mathbf{S}, b}(\vec{x}) \in \mathbb{G}^n$  is the vector whose  $i$ -th component is defined as  $\prod S_{ij}^{x_j} \cdot g^{b \cdot x_i}$ . In the language of Groth-Sahai proofs, interpreting  $f_i = \prod S_{ij}^{x_j} \cdot g^{b \cdot x_i}$  as an equation over variables  $x_1, \dots, x_n$ , this is a *multi-exponentiation equation* (called multi-scalar multiplication equation when using additive notation, as in [22]). For a set of such equations over the same variables, Groth-Sahai proofs provide an efficient proof of satisfiability; thus, a proof that a value has a preimage under  $f_{\mathbf{S}, b}$ .

The same holds for the LTDF, which is a special case of the above for  $b = 0$ .

In our construction we combine these into a TB-ATDF using a target-collision-resistant hash function  $T$  as per [28] as follows. A public key is a pair of keys  $(ek_{\text{lf}}, ek_{\text{abo}})$  for LF and ABO-F, respectively. The

evaluation of ATDF on input  $x \in \{0, 1\}^k$  and tag  $t$  under key  $(ek_{\text{tlf}}, ek_{\text{abo}})$  is defined as  $(y_1, y_2)$  with

$$y_1 := \text{LF}(ek_{\text{tlf}}, x) \quad y_2 := \text{ABO-F}(T(t), ek_{\text{abo}}, x)$$

Adding Groth-Sahai proofs that for both  $y_1$  and  $y_2$  there exists a preimage  $x$  (which is the *same* for both), this gives us a TB-ATDF with *public verifiability of preimage existence*.

Finally, using the construction of ECCA PKE from tag-based ECCA PKE in Section 4.3, we get a PKENO-compatible ECCA-secure tag-based PKE scheme.

## 6.4 PKENO-Compatible ECCA Encryption from Range-Verifiable ATDFs

Although our DLIN-based instantiation avoids using generic NIZKs or random oracles, it is probably not efficient enough for practical use. Here we show that using a tag-based ATDF with an additional property we call *range verifiability*, we can directly obtain a PKENO-compatible ECCA-secure tag-based PKE scheme *without using NIZK at all*. Intuitively, this property allows us to check the property that the Groth-Sahai statement in our above construction is proving, so that there is no need for a separate proof. We show that the tag-based ATDF constructed in [28] from the instance-independent RSA assumption (II-RSA) has this property.

**RANGE VERIFIABLE TAG-BASED TDFS.** We call a tag-based TDF *range verifiable* if it can be augmented by an efficient algorithm  $\text{RVrf}$  such that for any key pair  $(ek, sk)$  and any tuple  $(t, c)$ ,  $\text{RVrf}(ek, t, c)$  returns 1 if and only if there exists  $x$  such that  $c = \text{Eval}(ek, t, x)$ .

**Proposition 6.3** *The TB-PKE[TB-TDF] construction from Section 4.3 is PKENO-compatible if the underlying TB-TDF is a range-verifiable tag-based ATDF.*

*Proof sketch.* The proof is quite straightforward. We simply need to show that given an efficient  $\text{RVrf}$  for the TB-TDF, it is possible to design an efficient  $\text{RVrf}'$  for the PKE. We define  $\text{RVrf}'$  such that on  $pk, t$ , and the ciphertext  $((c_{1,1}, c_{1,2}), \dots, (c_{\ell,1}, c_{\ell,2}))$  as in Section 4.3, it runs  $\text{RVrf}$  on  $pk, t, c_{i,1}$  for  $1 \leq i \leq \ell$  and returns 1 if and only if all invocations of  $\text{RVrf}$  return 1.

**RANGE VERIFIABLE TAG-BASED ATDF FROM II-RSA.** The tag-based ATDF of [28] based on the instance-independent RSA (II-RSA) assumption is a one example of such TDFS. The domain and range of this tag-based ATDF (which is in fact a permutation) is  $\mathbb{Z}_N^*$ , i.e., the group of multiplicatively invertible elements modulo  $N = pq$ . In this case the associated range verifying function  $\text{RVrf}(ek, t, c)$  returns 1 if and only if  $c < N$ . Note that we need to relax our definitions to allow completeness and soundness to consider PPT generated inputs and fail with negligible probability, since the adversary may produce a  $c$  in  $\mathbb{Z}_N \setminus \mathbb{Z}_N^*$  with negligible probability assuming factoring  $N$  is hard. (For simplicity, we do not make these relaxations in our formal definitions.)

The resulting PKENO scheme based on II-RSA given by Proposition 6.3 is quite efficient, requiring only one 512-bit exponentiation to encrypt. Indeed, it is exactly the same RSA-based CCA PKE scheme from [28], which was already of note for its efficiency even without showing that it is also a PKENO. In terms of efficiency this compares favorably to the previous DLIN-based construction of [18] which requires multiple exponentiations (but is secure under a more standard assumption).

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## A Standard Primitives

TRAPDOOR FUNCTIONS. A *trapdoor function family* [37] is a triple of algorithms  $\text{TDF} = (\text{Tdg}, \text{Eval}, \text{Inv})$ . The key-generation algorithm  $\text{Tdg}$  returns an evaluation key  $ek$  and matching trapdoor  $td$ . The deterministic evaluation algorithm  $\text{Eval}$  takes  $ek$  and  $x \in \{0, 1\}^k$  to return an image  $y$ . The deterministic inversion algorithm  $\text{Inv}$  takes  $td$  and  $y$  to return a point  $x$ . We require that for all  $x \in \{0, 1\}^k$ ,

$$\Pr[\text{Inv}(td, \text{Eval}(ek, x)) = x : (ek, td) \leftarrow_s \text{Tdg}(1^k)]$$

with probability 1. We say that  $\text{TDF}$  is *tag-based* [28] with tag-space  $\text{TagSp}$  if  $\text{Eval}, \text{Inv}$  take an additional input  $t \in \text{TagSp}$  called the *tag* and for all  $x \in \{0, 1\}^k$  and  $t \in \text{TagSp}(1^k)$ ,

$$\Pr[\text{Inv}(td, t, \text{Eval}(ek, t, x)) = x : (ek, td) \leftarrow_s \text{Tdg}(1^k)]$$

with probability 1.

PUBLIC-KEY ENCRYPTION. A *public-key encryption scheme* [21] with message-space  $\text{MsgSp}$  is a triple of algorithms  $\text{PKE} = (\text{Kg}, \text{Enc}, \text{Dec})$ . The key-generation algorithm  $\text{Kg}$  returns a public key  $pk$  and matching secret key  $sk$ . The encryption algorithm  $\text{Enc}$  takes  $pk$  and a plaintext  $m$  to return a ciphertext. The deterministic decryption algorithm  $\text{Dec}$  takes  $sk$  and a ciphertext  $c$  to return a plaintext. We require that for all  $k \in \mathbb{N}$  and  $m \in \text{MsgSp}(1^k)$ ,

$$\Pr[\text{Dec}(sk, \text{Enc}(pk, m)) \neq m : (pk, sk) \leftarrow_s \text{Kg}(1^k)]$$

is negligible. We say that PKE is *tag-based* [29] with tag-space  $TagSp$  if  $Enc, Dec$  take an additional input  $t \in TagSp(1^k)$  called the *tag* and for all  $k \in \mathbb{N}$ ,  $m \in MsgSp(1^k)$ , and  $t \in TagSp(1^k)$ ,

$$\Pr[Dec(sk, t, Enc(pk, t, m)) = m : (pk, sk) \leftarrow_s Kg(1^k)]$$

with probability 1.

**AUTHENTICATED ENCRYPTION.** We use the definition of [25] for authenticated encryption. An authenticated symmetric encryption (AE) scheme  $AE = (AE.Enc, AE.Dec)$  is specified by its encryption algorithm  $AE.Enc$  (encrypting  $m \in MsgSp(k)$  with a key  $K \in K(k)$ ) and decryption algorithm  $AE.Dec$  (returning  $m \in MsgSp(k)$  or  $\perp$ ). Here we restrict ourselves to deterministic  $AE.Enc$  and  $AE.Dec$ . The AE scheme needs to provide privacy (indistinguishability against one-time attacks) and authenticity (ciphertext authenticity against one-time attacks). This is simultaneously captured by defining the *ae-ot-advantage* of an adversary  $A$  as:

$$\mathbf{Adv}_{A, AE}^{ot-ae}(k) = 2 \cdot \Pr[b = b' : K \leftarrow_s K(k); b \leftarrow_s \{0, 1\}; b' \leftarrow_s A^{\text{LoR}_b(\cdot, \cdot), \text{DoR}_b(\cdot)}(1^k)] - 1.$$

Here,  $\text{LoR}_b(m_0, m_1)$  returns  $AE.Enc(K, m_b)$ , and  $A$  is allowed only one query to this left-or-right encryption oracle, with a pair of equal length messages. Furthermore, the decrypt-or-reject oracle  $\text{DoR}_0(C)$  returns  $m \leftarrow AE.Dec(K, C)$  and  $\text{DoR}_1(C)$  always returns  $\perp$  (reject).  $A$  is allowed only one query to this oracle which must be different from the output of the left-or-right oracle. An encryption scheme is a *one-time authenticated encryption* if  $\mathbf{Adv}_{A, AE}^{ot-ae}(k)$  is negligible for any PPT adversary  $A$ .

**KEY ENCAPSULATION MECHANISMS.** The KEM/DEM paradigm was first formalized in [12]. We borrow our formal definitions from [25]. A key-encapsulation mechanism  $KEM = (KEM.kg, KEM.enc, KEM.dec)$  with key-space  $K(k)$  consists of three polynomial-time algorithms. Via  $(pk, sk) \leftarrow_s KEM.kg(1^k)$  the randomized key-generation algorithm produces public/secret keys for security parameter  $k$ ; via  $(K, C) \leftarrow_s KEM.enc(pk)$ , the randomized encapsulation algorithm creates a uniformly distributed symmetric key  $K \in K(k)$  together with a ciphertext  $C$ ; via  $K \leftarrow KEM.dec(sk, C)$  the possessor of secret key  $sk$  decrypts ciphertext  $C$  to get back a key  $K$  which is an element in  $K$  or a special rejection symbol  $\perp$ . For consistency, we require that for all  $(K, C) \leftarrow_s KEM.enc(pk)$  we have  $\Pr[KEM.dec(sk, C) = K] = 1$ , where the probability is taken over the choice of  $(pk, sk) \leftarrow_s KEM.kg(1^k)$ , and the coins of all the algorithms in the expression above. Here we only consider KEMs that produce perfectly uniformly distributed keys (i.e., we require that for all public keys  $pk$  that can be output by  $KEM.kg$ , the first component of  $KEM.enc(pk)$  has uniform distribution).

<p><b>Experiment</b> <math>\mathbf{Exp}_{KEM, A}^{kem-cca}(k)</math></p> <p><math>b \leftarrow_s \{0, 1\}; (pk, sk) \leftarrow_s KEM.kg(1^k)</math></p> <p><math>K_0^* \leftarrow_s K(k); (K_1^*, C^*) \leftarrow_s KEM.enc(pk)</math></p> <p><math>d \leftarrow_s A_1^{KEM.dec^*(sk, \cdot)}(pk, K_b^*, C^*)</math></p> <p>If <math>d = b</math> then return 1 else return 0</p>	<p><b>Oracle</b> <math>KEM.dec^*(sk, C)</math></p> <p><math>K \leftarrow KEM.dec(sk, C)</math></p> <p>Return <math>K</math></p>
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Above we require that  $A$  does not query  $c^*$  to its oracle. Define the *kem-cca advantage* of  $A$  against KEM as

$$\mathbf{Adv}_{KEM, A}^{kcm-cca}(k) = 2 \cdot \Pr[\mathbf{Exp}_{KEM, A}^{kcm-cca}(k) \text{ outputs } 1] - 1.$$

We say that KEM is *chosen-ciphertext secure* if  $\mathbf{Adv}_{KEM, A}^{kcm-cca}(\cdot)$  is negligible for every efficient  $A$ .

## B From ATDF to ECCA via KEM/DEM

The above constructions assume that the ATDF/tb-ATDF only provides us with a single hardcore bit. But, if a linear number of hardcore bits are available (e.g. based on Lossy TDFs as discussed in [28]), one can design significantly more efficient ECCA PKE constructions. The basic idea is simple: we use the ATDF/tb-ATDF with linear hardcore bits to encrypt a one-time secret key  $k$  via a key encapsulation

mechanism (KEM), and use  $k$  to encrypt the message via a data encapsulation mechanism (DEM). The standard KEM/DEM paradigm guarantees that the resulting hybrid PKE scheme is CCA secure if the KEM component and the DEM component are both CCA secure<sup>6</sup> (e.g. see [12]).

In our case, however, we need the hybrid PKE to be ECCA secure and randomness recovering as well. One can construct a KEM based on a ATDF by simply using the hardcore bits as the one-time key. It is easy to see that this construction is both ECCA secure and randomness recovering. The natural next step is to use a CCA (or ECCA) DEM component to obtain a hybrid PKE with the desired properties.

**CCA security of DEM is not sufficient.** Surprisingly, this does not work: hybrid encryption does *not* preserve the ECCA security of the KEM. Roughly speaking, the subtlety in the proof arises when the simulator needs to answer decryption queries for ciphertexts that have the same KEM component as the challenge ciphertext but a different DEM component. In the standard proof, such decryption queries are answered by decrypting the DEM component and returning the message (without having to decrypt the KEM component). But, to achieve ECCA security, we need to return all the randomness to adversary, including those used in the KEM component. To solve this we instead use a one-time *authenticated encryption* scheme as the DEM, in which case we show the resulting hybrid PKE is ECCA secure and randomness recovering.

**AN ECCA KEM/DEM CONSTRUCTION FROM ATDFS.** Consider the following construction based on any ATDF with linear hardcore bits. Let  $\text{TDF} = (\text{Tdg}, \text{Eval}, \text{Inv})$  be a trapdoor function with a hardcore function  $\text{hc}$ , and  $\text{AE} = (\text{AE.Kg}, \text{AE.Enc}, \text{AE.Dec})$  be a deterministic authenticated encryption scheme. Define the following multi-bit public-key encryption scheme  $\text{PKE}[\text{TDF}] = (\text{Kg}, \text{Enc}, \text{Dec})$ :

$$\begin{array}{l|l|l}
 \text{Alg Kg}(1^k) & \text{Alg Enc}(ek, m) & \text{Alg Dec}(td, ((y_1, y_2), \text{flag})) \\
 (ek, td) \leftarrow_s \text{Tdg}(1^k) & x \leftarrow_s \{0, 1\}^k & \text{If flag} = 1 \text{ then return } (y_1, y_2) \\
 \text{Return } (ek, td) & y_1 \leftarrow \text{Eval}(ek, x) & \text{Else } K \leftarrow \text{hc}(\text{Inv}(td, y_1)) \\
 & y_2 \leftarrow \text{AE.Enc}(\text{hc}(x), m) & \text{Return } (x, \text{AE.Dec}(K, y_2)) \\
 & \text{Return } (y_1, y_2) &
 \end{array}$$

**Proposition B.1** *Suppose TDF is adaptive one-way and AE is a one-time authenticated encryption. Then  $\text{PKE}[\text{TDF}]$  defined above is ECCA-secure and randomness-recovering.*

**Proof:** It is easy to see that the above construction is randomness-recovering. In particular, the decryption algorithm recovers  $x = \text{Inv}(td, y_1)$ , computes  $K = \text{hc}(x)$  and then uses  $K$  to recover the message encrypted in  $y_2$ . Since the AE is deterministic, there is no additional randomness to recover.

Next, we show that  $\text{PKE}[\text{TDF}]$  is also ECCA. We take advantage of the deferred analysis technique in this proof as well. Consider the following sequence of games:

**Hybrid  $H_0$ :** The first game is the *ind-cca* experiment for  $\text{PKE}[\text{TDF}]$ . Denote the challenge ciphertext by  $c^* = (c_1^*, c_2^*)$ , and the secret key used to encrypt  $c_2^*$  by  $k^*$ .

**Hybrid  $H_1$ :**  $H_1$  is the same as  $H_0$  except that on decryption queries of the form  $c = (c_1^*, c_2)$  by the adversary we return  $\perp$ .

Note that  $|\text{Adv}_A^{H_0}(k) - \text{Adv}_A^{H_1}(k)| < \Pr_1[\text{valid}]$ , where *valid* is the event that in game  $H_1$ , for some decryption query  $c \neq c^*$  where  $c_1 = c_1^*$ ,  $c$  is a valid ciphertext. Assuming no decryption error, this probability is bounded by  $\Pr_1[\text{forge}]$  where *forge* is the event that  $c_2$  is valid ciphertext for the AE scheme. We postpone the analysis of the bound on  $\Pr_1[\text{forge}]$  until the final hybrid.

**Hybrid  $H_2$ :**  $H_2$  is the same as  $H_1$ , except that in the challenge ciphertext, we use a uniformly random key  $K'$  for the AE scheme.

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<sup>6</sup>It is possible to relax the security requirement for the KEM component but the CCA security of the DEM seems necessary in order to obtain a CCA secure PKE (see [25]).

Lets denote by KEM, the KEM component of the above construction. It is easy to see that  $|\mathbf{Adv}_A^{H_2}(k) - \mathbf{Adv}_A^{H_1}(k)| \leq \mathbf{Adv}_{B, \text{KEM}}^{\text{kem-cca}}$ . Note that the same bound is true for  $|\Pr_2[\text{forge}] - \Pr_1[\text{forge}]|$ .

**Hybrid  $H_3$ :**  $H_3$  is the same as  $H_2$ , except that instead of encrypting  $m_b$  for the challenge ciphertext (in the DEM component), we encrypt  $0^{|m|}$ .

Obviously,  $\mathbf{Adv}_A^{H_3}(k) = 1/2$ . It is also not hard to see that  $|\mathbf{Adv}_A^{H_3}(k) - \mathbf{Adv}_A^{H_2}(k)| \leq \mathbf{Adv}_{C, \text{AE}}^{\text{ot-ae}}(k)$ . The same bound is true for  $|\Pr_3[\text{forge}] - \Pr_2[\text{forge}]|$  as well.

The last thing we need to show is that  $\Pr_3[\text{forge}]$  is negligible, but now the key  $K'$  for the AE is generated at random, we have that  $\Pr_3[\text{forge}] < \mathbf{Adv}_{C, \text{AE}}^{\text{ot-ae}}(k)$ , hence concluding the proof.

■

AN ECCA KEM/DEM CONSTRUCTION FROM TB-ATDFs. The above construction can also be used to yield an ECCA tag-based PKE from any tb-ATDF with essentially an identical proof. Then we can apply the transformation of Section 4.3 based on [7], to turn this into a standard ECCA PKE scheme (see proposition 4.13).

## C From PKENO-Compatible ECCA tag-based PKE to PKENO-Compatible ECCA PKE

We show that starting with a PKENO-compatible ECCA tag-based PKE, the construction of Section 4.3 based on the BCHK transformation [7] yields a PKENO-compatible ECCA encryption scheme. We focus here on the “more-efficient” version of the BCHK transform that uses symmetric primitives; the simpler version with one-time signatures also works, however.

Let TB-PKE =  $(\text{Kg}_{\text{tag}}, \text{Enc}_{\text{tag}}, \text{Dec}_{\text{tag}}, \text{pRec}_{\text{tag}}, \text{Inval}_{\text{tag}})$  be a partially randomness-recovering ciphertext-verifiable tag-based public-key encryption scheme,  $H, g$  be hash functions as in Section 4.3, and MAC =  $(\text{tag}, \text{ver})$  be a message-authentication code. We define a partial-randomness-recovering scheme  $\text{PKE}_{\text{pce}} = (\text{Kg}_{\text{pce}}, \text{Enc}_{\text{pce}}, \text{Dec}_{\text{pce}}, \text{Cons}_{\text{pce}}, \text{Inval}_{\text{pce}})$  as follows:

- $\text{Kg}_{\text{pce}}(1^k)$ :  $(pk, sk) \leftarrow_s \text{Kg}_{\text{tag}}(1^k)$ ; output  $(pk, sk)$ .
- $\text{Enc}_{\text{pce}}(pk, m; r||x)$ :  $c_1 \leftarrow H(x)$ ;  $c_2 \leftarrow_s \text{Enc}_{\text{tag}}(pk, c_1, m||x; r)$ ;  $c_3 \leftarrow \text{tag}(g(x), c_2)$ ; output  $(c_1, c_2, c_3)$ .
- $\text{Dec}_{\text{pce}}(sk, (c_1, c_2, c_3))$ :  $m||x \leftarrow \text{Dec}_{\text{tag}}(sk, c_1, c_2)$ ; if  $H(x) \neq c_1$  or  $\text{ver}(g(x), c_2, c_3) = 0$  then output  $\perp$ ; else output  $m$ .
- $\text{pRec}_{\text{pce}}((pk, sk), (c_1, c_2, c_3))$ :  $m||x \leftarrow_s \text{Dec}_{\text{tag}}(sk, c_2)$ ;  $r \leftarrow_s \text{pRec}_{\text{tag}}(sk, c_1, c_2)$ ; output  $(x, r)$ .
- $\text{Cons}_{\text{pce}}(pk, (c_1, c_2, c_3), m, (x, r))$ : Return 1 iff  $H(x) = c_1$ ,  $\text{ver}(g(x), c_2, c_3) = 1$  and  $\text{Cons}_{\text{tag}}(pk, c_1, c_2, m||x, r) = 1$ .
- $\text{Inval}_{\text{pce}}(pk, (c_1, c_2, c_3), (x, r))$ : Return 1 iff  $H(x) \neq c_1$  or  $\text{ver}(g(x), c_2, c_3) \neq 1$ , or  $\text{Inval}_{\text{tag}}(pk, c_1, c_2, r) = 1$ .

**Proposition C.1** *If TB-PKE is a PKENO-compatible ECCA tag-based PKE scheme then  $\text{PKE}_{\text{pce}}$  defined above is a PKENO-compatible ECCA encryption scheme.*

**Proof:** We have already proven the ECCA security of the scheme in Proposition 4.13. In order to prove that  $\text{PKE}_{\text{pce}}$  is a PKENO-compatible ECCA encryption scheme we must show that it satisfies partial-randomness recovery and ciphertext verifiability.

We begin with partial-randomness recovery (pRR). Recall that completeness for pRR is defined as follows: For all  $(pk, sk) \leftarrow_s \text{Kg}_{\text{pce}}$  and all  $c = (c_1, c_2, c_3) \in \{0, 1\}^*$ ,  $m \in \text{MsgSp}(1^k) \cup \{\perp\}$ , let  $(x, r) \leftarrow_s \text{pRec}_{\text{pce}}(sk, (c_1, c_2, c_3))$ . Then

$$\text{Dec}_{\text{pce}}(sk, c) = m \wedge m \neq \perp \Rightarrow \text{Cons}_{\text{pce}}(pk, c, m, s) = 1 .$$

Let  $m \parallel x \leftarrow \text{Dec}_{\text{tag}}(sk, c_2)$ . Then by definition of  $\text{Dec}_{\text{pce}}$  that when  $\text{Dec}_{\text{pce}}$  returns  $m \neq \perp$  we have that  $H(x) = c_1$  and  $\text{ver}(g(x), c_2, c_3) = 1$ . Additionally, by pRR completeness of TB-PKE, we have that  $r$  is returned by  $\text{pRec}_{\text{tag}}(sk, c_1, c_2)$ , and thus by pRR completeness of TB-PKE that  $\text{Const}_{\text{tag}}(pk, c_1, c_2, m \parallel x, r) = 1$ . Together we have that  $\text{Cons}_{\text{pce}}(pk, (c_1, c_2, c_3), m, (x, r))$  outputs 1.

Next, recall that soundness for partial randomness recovery (pRR) is defined as follows: For all  $(pk, sk) \leftarrow \text{Kg}_{\text{pce}}$  and all  $c = (c_1, c_2, c_3) \in \{0, 1\}^*$ ,  $m \in \text{MsgSp}(1^k)$ ,  $s = (x, r) \in \{0, 1\}^*$ :

$$\text{Cons}_{\text{pce}}(pk, c, m, s) = 1 \Rightarrow \text{Dec}_{\text{pce}}(sk, c) = m .$$

Soundness for partial randomness recovery follows immediately from the same notion for TB-PKE by the definitions of  $\text{Cons}_{\text{pce}}$  and  $\text{Dec}_{\text{pce}}$ .

We now move onto showing the ciphertext verifiability property. Recall that completeness for ciphertext verifiability is defined as follows: For all  $(pk, sk) \leftarrow \text{Kg}_{\text{pce}}$  and all  $c = (c_1, c_2, c_3) \in \{0, 1\}^*$ , let  $(x, r) \leftarrow \text{pRec}_{\text{pce}}(sk, c)$ . Then

$$\text{Dec}_{\text{pce}}(sk, c) = \perp \Rightarrow \text{Inval}_{\text{pce}}(pk, c, (x, r)) = 1 .$$

If  $\text{Dec}_{\text{pce}}(sk, c) = \perp$  then we must have that  $H(x) \neq c_1$  or  $\text{Ver}_{\text{tag}}(pk, c_1, c_2) \neq 1$ , or  $\text{Dec}_{\text{tag}}(sk, c_1, c_2) = \perp$ . Completeness for ciphertext verifiability of TB-PKE implies that in the last case  $\text{Inval}_{\text{tag}}(pk, c_1, c_2, r) = 1$ . Together this implies that  $\text{Inval}_{\text{pce}}$  returns 1.

Recall that soundness for ciphertext verifiability is defined as follows: For all  $(pk, sk) \leftarrow \text{Kg}_{\text{pce}}$  and all  $c = (c_1, c_2, c_3) \in \{0, 1\}^*$ ,  $s = (x, r) \in \{0, 1\}^*$ :

$$\text{Inval}_{\text{pce}}(pk, (c_1, c_2, c_3), (x, r)) = 1 \Rightarrow \text{Dec}_{\text{pce}}(sk, (c_1, c_2, c_3)) = \perp .$$

Note that if  $\text{Inval}_{\text{pce}}(pk, c, s) = 1$  we have that  $H(x) \neq c_1$  or  $\text{ver}(g(x), c_2, c_3) \neq 1$  or  $\text{Inval}_{\text{tag}}(pk, c_1, c_2, r) = 1$ . In the last case, by soundness of TB-PKE, we have  $\text{Dec}_{\text{tag}}(sk, c_1, c_2) = \perp$ . Together this implies that  $\text{Dec}_{\text{pce}}(sk, (c_1, c_2, c_3)) = \perp$ . ■

## D Achieving Strong Proof Soundness

The following two notions, given in [18], strengthen proof soundness. Consider the following two games:

<p><b>Experiment</b> <math>\text{Exp}_{\text{PKENO}, A}^{\text{s-proof-snd}}(k)</math>  <math>(pk, m, St) \leftarrow A_1(1^k)</math>  <math>c \leftarrow \text{Enc}(pk, m)</math>  <math>(m', \pi') \leftarrow A_2(pk, c, St)</math>          If <math>m \in \text{MsgSp}</math>, <math>\text{Ver}(pk, c, m', \pi') = 1</math> and <math>m \neq m'</math>          then return 1 ; else return 0</p>	<p><b>Experiment</b> <math>\text{Exp}_{\text{PKENO}, A}^{\text{s-comm}}(k)</math>  <math>(pk, c, m, \pi, m', \pi') \leftarrow A(1^k)</math>          If <math>\text{Ver}(pk, c, m, \pi) = 1</math> and  <math>\text{Ver}(pk, c, m', \pi') = 1</math> and  <math>m \neq m'</math> then return 1          Else return 0</p>
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We say that PKENO is *strongly proof-sound* if for every efficient  $A = (A_1, A_2)$  the probability of  $\text{Exp}_{\text{PKENO}, A}^{\text{s-proof-snd}}$  outputting 1 is negligible. Moreover, PKENO is *strongly committing* if for every efficient  $A$  the probability of  $\text{Exp}_{\text{PKENO}, A}^{\text{s-comm}}$  outputting 1 is negligible.

These notions of proof soundness strengthen the original ones in that they let the adversary choose the public key. We next discuss whether our constructions can achieve them/

**GENERIC CONSTRUCTION USING NIZK.** If we are to show that our generic construction satisfies these notions, we cannot base it on correctness of decryption, as for an adversarially chosen key there might not even exist a decryption key. We define thus the following notion, which formalizes the encryption scheme being a binding commitment scheme when the public key is chosen by the adversary:

**BACK DEFINITION.** A public-key encryption scheme is *binding under adversarially chosen keys* (BACK) if no efficient adversary can output a tuple  $(pk, m, r, m', r')$  such that  $pk$  is in the public-key space,  $m \neq m'$  and  $\text{Enc}(pk, m; r) = \text{Enc}(pk, m'; r')$ .

We now show that if PKE is a randomness-recovering ECCA-secure scheme which satisfies BACK and public verifiability (i.e.,  $\text{Inval}$  has only inputs  $pk$  and  $c$ ) then the construction  $\text{PKENO}[\text{PKE}]$  from Section 6.1, where for RR schemes we define  $\text{Cons}(pk, c, m, s) \equiv (\text{Enc}(pk, m; s) = c)$ , satisfies the two strengthenings of proof soundness from [18].

**Proposition D.1** *Suppose PKE is randomness-recovering, has public verifiability, and satisfies ECCA-security and BACK. Then  $\text{PKENO}[\text{PKE}]$ , defined in Section 6.1 is a PKE scheme with non-interactive opening which is CCPA-secure and satisfies strong proof soundness and the strong committing property.*

**Proof:** *Strong proof soundness.* Let  $A$  be a successful adversary: she outputs  $(pk, m)$ , the challenger chooses randomness  $r$ , gives  $c = \text{Enc}(pk, m; r)$  to  $A$ , who outputs  $(m', \pi')$ . The adversary wins if  $m \neq m'$  and  $\text{Ver}(pk, c, m', \pi') = 1$ . We distinguish two cases: (1) If  $m' \neq \perp$ , thus since  $\text{Ver}$  outputs 1, we have  $\text{Cons}(pk, c, m', \pi') = 1$ , that is  $\text{Enc}(pk, m'; \pi') = c$ . The tuple  $(pk, m, r, m', \pi')$  thus breaks BACK. (2) If  $m' = \perp$ , this means  $\text{Inval}(pk, c) = 1$ . But  $c = \text{Enc}(pk, m; r)$ , so this contradicts completeness of  $\text{Inval}$ .

*Committing property.* Assume an efficient adversary that outputs  $(pk, c, m, \pi, m', \pi')$ . We distinguish two cases: (1)  $m \neq \perp$  and  $m' \neq \perp$ ; (2) (w.l.o.g.)  $m \neq \perp$  and  $m' = \perp$ ; note that since  $m$  and  $m'$  must be different, they cannot be both  $\perp$ .

(1) Since both triples  $(c, m, \pi)$  and  $(c, m', \pi')$  satisfy  $\text{Ver}$  and by the definition of  $\text{Cons}$  we have:

$$\text{Enc}(pk, m, \pi) = c = \text{Enc}(pk, m', \pi') .$$

Such an adversary would thus immediately break BACK.

(2) Again we have  $\text{Enc}(pk, m, \pi) = c$  and by  $\text{Ver}(pk, c, m', \pi') = 1$  we have  $\text{Inval}(pk, c) = 1$ . Together this contradicts soundness of  $\text{Inval}$ .

■

**EFFICIENT SCHEME BASED ON II-RSA.** For our efficient  $\text{PKENO}$  scheme based on II-RSA in Section 6.4, we can achieve strong proof soundness by having the verifier check that the RSA function defined by a ciphertext is a permutation. Essentially, this yields a tag-based ECCA-secure encryption scheme satisfying BACK, which can be turned into an ECCA-secure encryption scheme satisfying BACK with public verifiability by using one-time signatures. That is,  $\text{Ver}(pk, c', m', \pi')$  first parses  $pk$  and  $N = pq$  and  $c'$  as  $(t, y, vk, \sigma)$  where  $t$  is the tag,  $y \in \mathbb{Z}_N^*$  and  $vk, \sigma$  are for the one-time signature. Then it checks that  $(\cdot)^{H(t)} \bmod N$  defines a permutation where  $H$  is the hash-to-primes algorithm described in [28]. This can be done efficiently using a recent result of Kakvi, May, and Kiltz [27] (previous methods required  $e = H(t) > n$ , whereas theirs requires only  $e \geq N^{1/4+\epsilon}$  so can be incorporated without increasing the size of  $e$  versus the version of the scheme without strong proof soundness (it is 512 bits in either case).