# How to Garble RAM Programs

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#### Abstract

Yao's Garbled Circuits is one of the central and one of the most widely used tools in cryptography, both in theory and in practice. It has numerous applications and multiple implementations, as well as over 1800 scientific citations (according to Google Scholar). It's applicability comes from multiple desirable features: it can be based on any one-way function (which yields efficient implementations based on block-ciphers such as AES), has minimal interaction, and garbled inputs can be generated given only the knowledge of the cryptographic keys used for garbling inputs and thus input garbling is independent of the garbled circuit structure. However, one of the major drawbacks of Yao's Garbled Circuit method is the need to "compile" Random Access Machine (RAM) programs into circuits, which often leads to *exponential* increases both in the garbled program size and in the garbled program for binary search can be executed in logarithmic time in its input size, a circuit computation requires a linear-sized representation and work. Nevertheless, the non-interactive nature of Yao's Garbled Circuits can sometimes far outweigh the need to compile programs into circuits.

The question that we consider in this paper is this: is it possible to retain all of the desirable features of Yao's Garbled Circuits mentioned above, including its non-interactive feature without taking a potentially exponential hit in unrolling RAM programs into circuits? We affirmatively answer this question. In particular, we show how to garble any RAM program (where once garbled, the Garbled RAM program can be executed non-interactively on a single garbled input, just like Yao) so that its garbled program *running time* increases by a fixed polynomial in the security parameter (just like Yao) times poly-logarithmic quantity both in the input size and the original program running time. The garbled program *size* is proportional to the original program running time times a fixed polynomial in the security parameter times poly-log of the input size. The garbled *input* (compared to the original input) grows by the security parameter. Just like Garbled Circuits, the input encoding is independent from the specific RAM program that is garbled, and only depends on the input encoding keys, and the recipient of the garbled program can select (parts of) the garbled input via Oblivious Transfer.

As an illustrative example, consider binary search: our result shows that Bob can give sorted (private key encrypted) numbers Alice with input of size n becoming garbled input of size O(nk), where k is the security parameter. Later, Bob can garble any binary search into non-interactive garbled program of size  $k^{O(1)} \cdot \text{polylog}(n)$ , where  $k^{O(1)}$  is a fixed polynomial in the security parameter. The binary search query can be chosen and garbled by Bob after he uploaded his data to Alice and without having to remember the data. Alice can execute garbled binary search *non-interactively* in  $k^{O(1)} \cdot \text{polylog}(n)$  steps. We stress that the size of our garbled RAM program as well as its running time is only poly-logarithmic in the input size. In contrast, all previous secure protocols for binary search required either programs that were at least linear in the input size or, if sub-linear, required at least logarithmic number of rounds of interaction. Our result is very general: an arbitrary garbled RAM program can be executed non-interactively with only poly-logarithmic increase in the running time (compared to insecure execution) and the garbled program will retain its compact size even if the program has multiple loops, multiple nested execution branches, recursion, etc.

Our techniques generalize and unify several previous results, including Oblivious RAMs and Yao's Garbled Circuits. As a stepping stone towards our general result, under the assumption that one-way functions exist, we show how to make a one-round Oblivious RAM with poly-log overhead per read/write. Previous poly-log overhead, constant-round RAMs were either not secure or based on pairing-based hardness assumptions. In contrast, our result is based on the necessary assumption of any one way function. In fact, we need only PRFs or any symmetric-key encryption in our construction.

Keywords: Secure Computation, Oblivious RAM, Garbled Circuits.

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## **1** Introduction

Often times, such as in cloud computation, one party wants to store some data remotely and then have the remote server perform computations on that data. If the client does not wish to reveal this data or the nature of the computation and the results of the computation to the remote server, then one must resort to using secure computation methods in order to process this remotely stored data. In other words, suppose two parties want to compute some program  $\pi$  on their private inputs without revealing to each other (or just one party) anything but the output. The earliest research in secure two-party computation modeled  $\pi$  as a circuit and was accomplished under Yao's Garbled Circuits or the Goldwasser-Micali-Wigderson paradigm. Both of these approaches require the program  $\pi$  to be converted to a circuit. Even the recent work of performing secure computation via fully homomorphic encryption requires representing the program  $\pi$  as a circuit. However, many algorithms are more naturally and compactly represented as RAM programs, and converting these into circuits may lead to a huge blowup in program size and its running time.

Of course, there are polynomial transformations between time-bounded RAM programs, time-bounded Turing Machines and circuits [7, 26]. Our work aims at *circumventing* these transformation costs and executing RAM programs directly in a private manner. This is especially important for the case of complex real-world RAM programs with running time that is much larger than the input size. Unrolling these complicated RAM programs with multiple execution path, recursion, multiple loops, etc. into a circuit makes the circuit size polynomially larger and often prohibitive.

It should be noted that our work is also important in practical applications where the sizes of the inputs are vastly different, such as database search, or where multiple queries against the same large data-set must be executed. When compiling a RAM program into a circuit, the compiled circuit must inherently be able to compute all execution paths of the RAM program. Thus, the circuit itself must be at least be as large as the input size, which in some applications may be is exponentially larger than execution path of the insecure solution (e.g. consider a binary search). One can argue that even if the circuit is large, we can "charge" the large circuit cost to the large input size, but in many cases this is unacceptable: consider the case where a large data is encrypted and uploaded *off-line*, such as a large database, and multiple encrypted queries are made *on-line*, where the insecure execution path is, for example, polylogarithmic in the database size and we do not want to "pay" a circuit size which is even linear in the database size.

Another approach for secure conversion of RAM programs into circuits is dynamic evaluation: even if the resulting circuit is large and the total size of the is resulting circuit is prohibitive, one can execute and even compile the large circuit dynamically and intelligently evaluate only parts of the circuit so as to "prune off" dead paths (e.g. short-circuiting techniques) to make the evaluation efficient, even in the case of large inputs. However, until now it was not known how to convert RAM programs into circuits which result in an efficient secure non-interactive execution in a way that does not reveal the execution path of the compiled RAM program. Naturally, using interaction, one can use the Goldwasser-Micali-Wigderson paradigm along with revealing bits along the way to help prune and determine execution path – however our ultimate goal is to explore the non-interactive garbling solutions for RAM programs without revealing the execution path.

An alternative method for computing RAM programs without first converting them to circuits was proposed by Ostrovsky and Shoup [24] which used Oblivious RAM [12] as a building block. Directly quoting from their STOC 1997 [24] paper (with citations cross-referenced):

Both databases keep shares of the state of the CPU, and additionally one of the databases also keeps the contents of the Oblivious RAM memory. The main reason why we can allow one of the constituent databases to keep both the "share" of the CPU and the Oblivious RAM memory and still show that the view of this constituent database is computationally indistinguishable for all executions is that the Oblivious RAM memory component is kept in an encrypted (and tamper-resistant) form (see [12]), according to a distributed (between both databases) private-key stored in the CPU. For every step of the CPU computation, both databases execute secure two-party function evaluation of [33, 11] which can be implemented based on any one-way trapdoor permutation family (again communicating through the user) in order to both update their shares and output re-encrypted value stored in a tamper-resistant way in Oblivious RAM memory component.

The Ostrovsky-Shoup compiler allows parties to execute Oblivious RAM programs directly, i.e., without first unrolling it into a circuit, which provided an alternative approach to secure RAM computation. The method was further improved by Gordon et al. [16] in order to perform sublinear amortized database search. Lu and Ostrovsky [19] considered two-server Oblivious RAM inside the Ostrovsky-Shoup compiler, which led to logarithmic overhead (compared to an insecure solution) in both the computation and the communication complexity. Note that these three works allow secure RAM evaluation without having to unroll the program into a circuit and represent a different way to perform secure computation that reveals only the program running time. Among these, [19] is the best result for programs (instead of circuits) in terms of *computation complexity* and *communication complexity*. However, in terms of *round complexity*, these papers leave much to be desired: they all require at least logarithmic rounds for *each* CPU computation step. Since the running time of CPU is at least t steps for programs that run in time t, this leads to  $\Omega(t \cdot \log t)$  round complexity. In contrast, in this paper we show how to retain poly-log overhead in communication and computation, and make the entire computation non-interactive in the OT-hybrid model, just like Yao.

#### 1.1 The Blueprint for RAM Program Garbling

To make our solution non-interactive we describe tw steps that our solution follows:

- 1. We show how to make Oblivious RAM non-interactive based on any one-way function for a single step of RAM program execution. It is important, as we shall see, that the ORAM client be a small (poly-log instead of  $n^{\epsilon}$  in the size of the input). And then,
- 2. we show how we can build garbled programs that can execute polynomially-many RAM steps without having to interact with the user who "garbles" the RAM program that runs for *t* steps "upfront", and where a carefully designed Yao's circuits for each step of the program execution can be used to execute every step of Oblivious RAM and then execute a RAM CPU instruction step and to "transition" into next Oblivious RAM read/write non-interactively paying at most  $O(k^{O(1)})$  per each CPU step, where the "transition" cost remains a fixed polynomial in the security parameter that does not grow with the number of CPU steps.

Step two is the key part of our blueprint solution that is applicable in generic fashion. We now describe both steps with a few more details. We remark that our "blueprint" step two applies to multiple Oblivious RAM solutions and multiple Garbled Circuit variants. For clarity of exposition we take the simplest methods for both.

- STEP 1. For step (1) we introduce a different *single-round* Oblivious RAM based on garbled circuits from any PRF. An important idea that first appeared in Williams' Ph.D. thesis [30] is that the ORAM client/CPU can pre-compute ahead of time logarithmic set of *potential* search locations in a hierarchy (c.f. Ostrovsky's hierarchical solution [22, 23]) for Oblivious RAM execution of a single read/write operation. The reason hierarchical solutions used additional rounds was because even though the potential locations were known to the client/CPU ahead of time, the actual locations accessed needed to be selected dynamically by the algorithm for security purposes. However, as was observed by Williams and Sion-Williams [30, 28] that by loading all the potential search locations ahead of time and revealing *only* the proper locations in the course of execution gradually, one can perform a query non-interactively. We build on this important observation: our approach is to show how to hard-wire these locations into a pre-computed set of garbled circuits and have the garbled evaluation gradually reveal to the server where to look. The main technical challenge is to show how even if we read over the same encrypted buffers multiple times (as per [12, 22, 23]), no information is revealed. We expand on the intuition in Section 3.1.
- STEP 2. Step (2) seems even more problematic, since if we want to string multiple CPU steps together, the observation of Williams is no longer valid, i.e. we cannot pre-compute all the potential locations that Oblivious RAM must read, since this depends on the program execution path.

Our next observation is as follows: recall that a RAM program is an interleaved sequence of CPU operations and read/write instructions. If the program runs for t steps, we can create t Yao's garbled circuits for each CPU execution step, where each garbled circuit takes as input the (garbled) state from the previous CPU computation step performs a single CPU step and outputs garbled state of the CPU after the instruction have been executed. The garbled output of the CPU step is exactly the encoding of the CPU state that is needed for the garbled circuit for the next CPU step. The main technical challenge is to implement read/write oracle calls in-between these CPU steps. We implement these oracle calls as follows: we show how to design a small circuit  $C_{Oclient}$  that outputs a **bit representation of another garbled circuit**  $GC(C_{ORAMquery})$ .  $C_{Oclient}$ performs the "modified" computation of the ORAM client for a single ORAM query: it computes ORAM client computation, which results in read/write oracle call, and then performs a computation to compile this read/write oracle call into a **bit representation** of a garbled circuit  $GC(C_{ORAMquery})$  that can execute step (1) of read/write for this location. We show that this "on the fly" compilation of oracle call into a bit representation of another garbled circuit is efficient and can be a prepared as another garbled circuit of polylogarithmic size and does not grow with t. Of course, we also modify solution (1) so that its output is exactly Yao's encoding needed for the next CPU step garbled circuit computation.

Once this is done we can again use single-round ORAM designed in the to fetch the next address computed by the CPU instruction. Thus a single CPU step becomes a circuit of small size that can be "consumed" for each CPU instruction step. We show that the circuits can be efficiently garbled in a *secure, interleaving* manner so that the server can perform alternating CPU instructions and ORAM fetch operations in a garbled execution without learning the code it is running. We note that for step (2) we need Oblivious RAM with poly-log overhead where the client *size* is at most some fixed polynomial in the security parameter times some poly-log factor in n. This is because for every ORAM fetch operation, we also need to emulate the client's internal computation of the Oblivious RAM using our secure method, which incurs a multiplicative overhead in the size and the running time of the client. Thus, the smaller the client of Oblivious RAM, the more efficient our solution is: in order to achieve poly-log overhead, all Oblivious RAM schemes where client memory is larger than poly-logarithmic (e.g. [10, 4]) is not useful for our purposes. We expand on the intuition in Section 4.1.

We describe the details of both steps separately: in Section 3 we give a construction for step (1), and in Section 4 we use this as a stepping stone to construct garbled RAM programs. When combined with oblivious transfer, this gives a one-round secure RAM program computation in the semi-honest model, which we discuss in Section 5.

#### **1.2 Related Work on Oblivious RAMs.**

Oblivious RAM was introduced in the context of software protection by Goldreich and Ostrovsky [12]. In the original work by Goldreich [10], a solution was given with  $O(\sqrt{n})$  and communication overhead where lookups could be done in a single round and  $O(2^{\sqrt{\log n \log \log n}})$  communication overhead for a recursive solution. Subsequently, Ostrovsky [22, 23] gave a solution with only poly-log overhead and constant client memory (the so-called "hierarchical solution"). All other poly-log overhead solutions for Oblivious RAM used Ostrovsky hierarchical solution as a building block. This solution, and most other subsequent solutions (except for two exceptions described below) require a logarithmic number of rounds per query. Let us consider a single round solutions only:

- The original single-round solution of Goldreich [10] requires  $\sqrt{n}$  client's memory.
- Recently, Boneh et al. [4] extended Goldreich solution to work on larger blocks, but also requiring  $\sqrt{n}$  client's memory.
- Williams [30] and Sion and Williams [28], presented a single-round Oblivious RAM that has logarithmic overhead, logarithmic client storage and uses bloom filters.
- Even more recently, Gentry et al. [9] proposed an extension of Sion-Williams scheme [28] that is also one-round, and is based on pairing-based cryptographic assumptions.

In contrast to all of the above works, we propose the first solution for single-round ORAM solution that makes use of Yao's Garbled Circuits, can be based on any one-way function, and using constant client memory in the security parameter and poly-logarithmic overhead.

Subsequent to Goldreich and Ostrovsky [22, 23, 10, 12], works on Oblivious RAM [31, 32, 25, 13, 14, 27, 15, 17, 29] looked at improving the concrete and asymptotic parameters of Oblivious RAM. We mention several results that are similar to Oblivious RAM but work in slightly different models. The works of Ajtai [1] and Damgård et al. [8] show how to construct oblivious RAM with information-theoretic security with poly-logarithmic overhead in the restricted model where the adversary cannot read memory contents. That is, these results work in a model where an adversary only sees the sequence of accesses and not the data. Finally, the notion of *Private Information Storage* introduced by Ostrovsky and Shoup [24] allows for private storage and retrieval of data. The work was primarily concentrated in the information theoretic setting. This model differs from Oblivious RAM in the sense that, while the communication complexity of the scheme is sub-linear, the server performs a *linear* amount of work on the database. The work of Ostrovsky and Shoup [24] gives a multi-server solution to this problem in both the computational and the information-theoretic setting and introduces the Ostrovsky-Shoup compiler of transforming Oblivious RAM into secure RAM computation.

With regard to secure computation for RAM programs, the implications of the Ostrovsky-Shoup compiler was explored in the work of Naor and Nissim [21] which shows how to convert RAM programs into so-called circuits with "lookup tables" (LUT). This transformation incurs a poly-logarithmic blowup, or more precisely, for a RAM running in time T using space S, there is a family of LUT circuits of size  $T \cdot \text{polylog}(S)$  that performs the same computation. The work then describes a specific protocol that securely evaluates circuits with lookup tables. [21] also applies to the related model of securely computing branching programs.

The Ostrovsky-Shoup compiler was further explored in the work of Gordon et al. [16] in the case of amortized programs. Namely, consider a client that holds a small input x, and a server that holds a large database D, and the client wishes to repeatedly perform private queries f(x, D). In this model, an expensive initialization (depending only on D) is first performed. Afterwards, if f can be computed in time T with space S with a RAM machine, then there is a secure two-party protocol computing f in time  $O(T) \cdot \mathsf{polylog}(S)$  with the client using  $O(\log S)$  space and the server using  $O(S \cdot \mathsf{polylog}(S))$  space.

The secure RAM computation solution of Lu and Ostrovsky [19] can be viewed as a generalization of the [24] model where servers must also perform sublinear work. The notion of single-server "PIR Writing" was subsequently formalized in Boneh, Kushilevitz, Ostrovsky and Skeith [3] where they provide a single-server solution. The case of amortized "PIR Writing" of multiple reads and writes was considered in [5].

#### 1.3 Our Results

In this paper, we show how to garble any Random Access Machine (RAM) Program  $\pi_t$  that runs in time upper bounded by t while keeping all the non-interactive advantages of the Yao's Garbled Circuit approach. More specifically, we present a program garbling method which consists of a triple of polynomial-time algorithms (G, GI, GE). G takes as input any RAM program  $\pi_t$  that includes an upper bound t on its running time and a pseudorandom function (PRF) family F and a seed s for PRF of size k (a security parameter) and outputs a garbled program  $\Pi_t = G(\pi_t, t, F, s)$ , where all inputs are polynomial in the security parameter. Just like gabled circuits, we provide a way to garble any input x for  $\pi_t$  into Garbled Input X = GI(x, s), and an algorithm to evaluate a garbled program on garbled inputs  $GE(\Pi_t, t, X)$ . The correctness requirement is that for any  $x, \pi_t, F, s$  it holds that  $\pi_t(x) = GE(G(\pi_t, t, F, s), GI(x, s))$  with the security guarantee that nothing about x is revealed except its running time t, expressed in terms of computational indistinguishability ( $\approx$ ) between the simulator Sim and garbled outputs.

So far, the above description matches Yao's garbled circuit description. The difference is both in the running time and the size of garbled program for our new garbling method:

**Main Theorem.** Assume one-way functions exist, and let the security parameter be k and let F be a PRF family based on the one-way function. Then, there exists a Program Garbling triple of poly-time algorithms G, GI, GE such that for any t any  $\pi_t$  and any input x of length n:

• **<u>Correctness</u>**:  $\forall x, \pi_t, F, s$ :

$$\pi_t(x) = GE\left[G(\pi_t, t, F, s), GI(x, s)\right]$$

• Security:  $\exists$  poly-time simulator *Sim*, such that  $\forall \pi, t, x, s$ , where |s| = k

$$[G(\pi_t, t, F, s), GI(x, s)] \approx Sim\left[1^k, t, |x|, \pi_t(x)\right]$$

• Program Compactness: The size of the garbled program

$$|G(\pi_t, t, F, s)| = O\left((|\pi| + t) \cdot k^{O(1)} \cdot \mathsf{polylog}(n)\right)$$

• Input Compactness: Let |x| = n and |s| = k.  $\forall x, s$  the garbled input size

$$|GI(x,s)| = O\left(n \cdot k^{O(1)} \cdot \mathsf{polylog}(n)\right)$$

As a building block, we construct a single-round Oblivious RAM scheme that is based on Yao's garbled circuits, which we present in Section 3.

#### 1.4 Remarks

- Making programs and outputs private. We note that similar to Yao, we can make π<sub>t</sub> to be a time-bounded universal program u<sub>t</sub>, (i.e., an interpreter) and x = (π'<sub>t</sub>, y) include both time-bounded program π'<sub>t</sub> and input y, so that u<sub>t</sub>(x) = π'<sub>t</sub>(y). Part of the specification of π'<sub>t</sub> may also include masking its output i.e. to have output blinded (XORed) with a random string. That allows, just like Yao, to keep both the program and the output hidden from a machine that evaluates the garbled program.
- Reactive functionalities. Our result shows that we can first garble a large input x, |x| = n with garbled input size equal to O(|x| · k<sup>O(1)</sup> · polylog(n)) so that later, given private programs π<sup>1</sup><sub>t1</sub>,..., π<sup>j</sup><sub>tj</sub>,... for polynomially many programs where program π<sup>j</sup> runs in time t<sub>j</sub> and potentially modifies x, (e.g., database updates) we can garble and execute all of these programs just revealing running times t<sub>i</sub>, and nothing else. The size of each garbled program remains O ((|π<sup>i</sup>| + t<sub>i</sub>) · k<sup>O(1)</sup> · polylog(n)). It is also easy to handle the case where the length of x changes, provided that an upper bound by how much each program changes the length of x is known prior to garbling of next program.
- **Cloud computing.** As an example of the power of our result we outline secure cloud computation/delegation. In this simple application one party has an input and wants to store it remotely and then repeatedly run different private programs on this data. Reactive functionalities allow us to do this with one important restriction: we do not give the server a choice in adaptively selecting the inputs: but this is not an issue as the server itself has no inputs to the program. The other possible problem is if the programs themselves are contrived and circularly reference the code for the garbling algorithm. Such programs would be highly unnatural to run on data and so we disallow them in our setting.
- **Two-party computation.** Note that just like in Yao's garbled circuits, in order to transmit the garbled inputs corresponding to input bits held by a different party for the sake of secure two-party computation, one relies on Oblivious Transfer (OT) that can be done non-interactively in the OT-hybrid model. Here, we insist that the OT-selected inputs to our garbled program are committed to prior to receiving the RAM garbled program, i.e. non-adaptively [2].
- **Optimizations.** We remark that step two of our blue-print is applicable to all ORAM schemes with small CPU as follows: instead of collapsing in the Oblivious RAMs multiple read/write rounds to a single round, implement our step 2 directly for each round of the underlying Oblivious RAM: by implementing an oracle

call for each Oblivious RAM read/write using our method of compiling oracle call "on the fly" into garbled circuits. Using [17] ORAM, this immediately yields a Garbling Program method where the overhead of the running time is reduced. It also allows a generic method to "collapse" *any* multi-round Oblivious RAM with small CPU into a single round.

- Tighter Input Compactness. Using an ORAM scheme that has small input encoding and small size CPU (such as [17]) we can also make Input Compactness in our main theorem tighter: for all programs we can make garbled inputs to be O(nk), where recall that n is the input size and k is the security parameter. We remark that if we wish to garble only "large" programs that run time at least  $\Omega(n \cdot \log n \cdot k^{O(1)})$ , we can make Input Compactness even better by using recent result of Ishai and Kushilevitz: we can encode input to be of size O(n+k) by encrypting input with a PRG and the garbled program first "unpacking" it into encoding of size O(nk).
- Stronger Adversarial models. As already mentioned we describe the scheme in the honest-but-curious model based on honest-but-curious Yao, and only in the non-adaptively secure setting (see [2] for further discussion of adaptivity.) There is a plethora of works that convert Yao's garbled circuits from honest-but-curious to malicious setting, as well strengthening its security in various settings. Since our machinery is build on top of Yao's garbled circuits (and Obvious RAMs that work in the fully adaptive setting), many of these techniques for stronger guarantees for Yao's garbled circuit apply in a straightforward manner to our setting as well. We postpone description of malicious models to the full version.

## 2 Preliminaries

#### 2.1 Oblivious RAM

We work in the RAM model with stored programs, where there is a CPU that can run a program that performs a sequence of reads or writes to locations stored on a large memory. This machine, which we will refer to as the CPU or the client, can be viewed as a stateful<sup>1</sup> processor with only a few special data registers that store program counters, query counters, and cryptographic keys (primarily a seed for a PRF) and that CPU can run small programs which model a single CPU step. Given the CPU state  $\Sigma$  and the most recently read element x,  $CPU(\Sigma, x)$  does simple operations such as addition, multiplication, updating program counter, or executing PRF followed by producing the next read/write command as well as updating to the next state  $\Sigma'$ .

Because we wish to hide the type of access performed by the client, we unify both types of accesses into a operation known as a *query*. A sequence of n queries can be viewed as a list of (memory location, data) pairs  $(v_1, x_1), \ldots, (v_n, x_n)$ , along with a sequence of operations  $op_1, \ldots, op_n$ , where  $op_i$  is a READ or WRITE operation. In the case of READ operations, the corresponding x value is ignored. The sequence of queries, including both the memory location and the data, performed by a client is known as the *access pattern*.

In our model, we wish to obliviously simulate the RAM machine with a client, which can be viewed as having limited storage, that has access to a server. However, the server is untrusted and assumed to only be, in the best case, *semi-honest*, i.e. follow the protocol but attempt to learn additional information by reviewing the transcript of execution. An oblivious RAM is *secure* if the view of a server can be simulated in poly-time in a way that is indistinguishable from the view of the server during a real execution.

We use an ideal/real simulation-based definition of security and also work in the setting of semi-honest adversaries. There are two parties, Alice and Bob that receive inputs A and B respectively and they wish to compute f(A, B). In the ideal world, there is an ideal functionality  $\mathcal{F}_f$  that on inputs A and B simply computes f(A, B)and sends the output to Alice and Bob. In the real world, we can think of the Alice and Bob executing a protocol  $\pi_f$  that computes f(A, B). Roughly speaking, we say that  $\pi_f$  securely realizes the functionality  $\mathcal{F}_f$  if there exists an efficient simulator S playing the role of the corrupted party in the ideal world can produce an output that is computationally indistinguishable from the view of the corrupted party in the real world.

<sup>&</sup>lt;sup>1</sup>We can consider a *stateless* version where all registers are stored in memory. For ease of exposition, we let the client hold local state.

Concretely, we focus on the hierarchical Oblivious RAM scheme of Ostrovsky [22, 23]. There is a data structure that consists of a sequence of buffers  $B_k, B_{k+1}, \ldots, B_L$  of geometrically increasing sizes, e.g.  $B_i$  is of size  $2^i$ . Typically k = O(1) (the first buffer is of constant size) and  $L = \log n$  (the last buffer may contain all n elements), where n is the total number of memory locations. For ease of exposition, we set k = 1 in the sequel. These buffers are standard bucketed hash tables, where each  $B_i$  consists of, say  $2^i$ , buckets, each of size b. To read or write to a memory location v from the hierarchical data-structure, we wish to hide the identity of the buffer from which the element was found. Specifically, we start by reading the top (smallest) buffer  $B_1$  in its entirety; then, for each  $1 \le i \le L$ , we compute  $j = h_i(v)$  (where  $h_i(\cdot)$  is a hash function implemented as a PRF with appropriate domain and range for each level) and read the entire j-th bucket (b elements) of that buffer. This alone is not sufficient, as if we make identical queries, the same locations will be scanned. Thus, once element v is found at some level, we search upon random dummy locations from subsequent (bigger) level buffers. In addition, at the end of this process, we re-insert element r (overwriting it in case of a write) into the top buffer of the data-structure. This, together with the re-shuffling procedure described below, guarantees that when executing future operations with the same v, independent locations will be read from each buffer. Finally, we remark that even if element v was not in any buffer before the operation, it will be inserted into the top buffer.

After every  $2^i$  insertions, buffer  $B_i$  is considered "full" and its contents are moved into the next buffer  $B_{i+1}$ . More precisely, we do the following: after  $m = 2^i \cdot \ell$  reads or writes,  $\ell$  odd, where m is divisible by  $2^i$  but not by  $2^{i+1}$ , we move all the elements from buffers  $B_1, \ldots, B_i$  into buffer  $B_{i+1}$  (at such time step,  $B_{i+1}$  itself is empty). For this, we pick a fresh pseudo-random hash function for  $B_{i+1}$  (which can be modeled using a PRF). Finally, there is a process called oblivious hashing which we will use in detail later that removes any correlation between the new locations of the elements in  $B_{i+1}$  and their old locations.

#### 2.2 Yao's Garbled Circuits

We paraphrase a summary of garbled circuits given in Choi et al. [6] which uses a point-and-permute variant due to Malkhi et al. [20]. Consider a circuit C and a CPA-secure symmetric encryption scheme (*KeyGen*, *Enc*, *Dec*). For each wire  $i \in C$ , we generate two random keys  $w_i^0, w_i^1$  and a random bit "flip" indicator  $\pi_i$ . We associate  $w_i^b$  with  $\lambda_i^b = b \oplus \pi_i$  and call the pair  $(w_i^0 || \lambda_i^0, w_i^1 || \lambda_i^1)$  the 0 and 1 labels for wire *i* respectively (the  $\lambda$  will be henceforth omitted in following sections). For each fan-in 2 gate *g* with input wires *i*, *j* and output wire *k*, we associate a garbled table to the gate consisting of the following four ciphertexts:

$$En\mathcal{C}_{w_{i}^{\pi_{i}}}\left(En\mathcal{C}_{w_{j}^{\pi_{j}}}\left(w_{k}^{g(\pi_{i},\pi_{j})}||\pi_{k}\oplus g(\pi_{i},\pi_{j})\right)\right)$$

$$En\mathcal{C}_{w_{i}^{\pi_{i}}}\left(En\mathcal{C}_{w_{j}^{\neg\pi_{j}}}\left(w_{k}^{g(\pi_{i},\neg\pi_{j})}||\pi_{k}\oplus g(\pi_{i},\neg\pi_{j})\right)\right)$$

$$En\mathcal{C}_{w_{i}^{\neg\pi_{i}}}\left(En\mathcal{C}_{w_{j}^{\pi_{j}}}\left(w_{k}^{g(\neg\pi_{i},\pi_{j})}||\pi_{k}\oplus g(\neg\pi_{i},\pi_{j})\right)\right)$$

$$En\mathcal{C}_{w_{i}^{\neg\pi_{i}}}\left(En\mathcal{C}_{w_{j}^{\neg\pi_{j}}}\left(w_{k}^{g(\neg\pi_{i},\neg\pi_{j})}||\pi_{k}\oplus g(\neg\pi_{i},\neg\pi_{j})\right)\right)$$

Given this garbled table and labels for the wires *i* and  $j(w_i^{b_i}||\lambda_i^{b_i}$  and  $w_j^{b_j}||\lambda_j^{b_j}$  respectively), a party can decrypt the row corresponding to  $\lambda_i^{b_i}, \lambda_j^{b_j}$  to obtain the proper label for the output wire:  $w_k^{g(b_i,b_j)}||\lambda_k^{b_k}$ . If the labels to the input wires are given, then one can recursively evaluate all gates of the circuit. Suppose now the two parties wish to securely evaluate *C* on input (x, y) where the circuit generator holds *x* and the circuit evaluator holds *y*. The circuit generator sends the labels for the wires corresponding to the proper bits of *x*, and the labels for the input wires corresponding to the proper bits of *y* can be sent using oblivious transfer. The circuit evaluator at the end will receive a bunch of labels containing the  $\lambda_o$  for all the output wires *o*. We use a variant of Yao's garbled circuits in which some of the output wires in the clear is the standard way of viewing garbled circuits. For output wires that are not revealed, they are either represented as internal garbled keys (that can be used as inputs for other circuits) or XORed with pseudo-random pads that can later be revealed. It will be clear from the context which representation of various outputs we use.

We first make an observation that the labels (keys) on a given wire used in a garbled circuit can be re-used in additional newly generated gates, as long as the value does not change between the uses and it is not revealed whether this label represents 0 or 1. (For example, assume that garbled circuit evaluator is given a label on some input wire, which is a key representing a 0 or a 1. We claim that the same key can be used as input key for other garbled circuits that are generated later.) This observation allows us to execute garbled circuits in "parallel" or "sequentially" where some labels are re-used. Indeed, this observation is implicitly used in classic garbled circuits in gates where the fan-out is greater than 1: all outgoing wires share the same labels (see e.g. Footnote 8 in Lindell-Pinkas [18]).

**Lemma 1.** Suppose C and C' are two circuits and suppose there is some input x for which we want to compute C(x) and C'(x) (resp. C(C'(x))). Suppose the wires  $w_0, \ldots, w_n$  in C represent the input wires for x and similarly define  $w'_0, \ldots, w'_n$  represent the input wires of x in C' (resp.  $v'_0, \ldots, v'_n$  be the output wires of C'). Let  $k^b_{w_i}$  represent the label indicating wire  $w_i = b$ , and let C and C' be randomly garbled into GC(C) and GC(C') under the restriction that  $k^b_{w_i} = k^b_{w'_i}$  (resp.  $k^b_{w_i} = k^b_{v'_i}$ ). Then the tuple  $(GC(C), GC(C'), \{k^{x_i}_{w_i}\}^n_{i=0})$  can be computationally simulated.

*Proof.* Consider the composite circuit D = C||C' (resp.  $E = C \circ C'$ ) which is just a copy of C and a copy of C' in parallel (resp. sequence). Then every garbling of D induces a garbling of C and C' with the restriction exactly as above. By the security of garbled circuits, there exists a simulator that can simulate  $(GC(D), \{k_{w_i}^{x_i}\}_{i=0}^n)$ . We can construct a simulator for our lemma by simply taking this simulator and taking the output and separate out GC(C) and GC(C'), as the lemma requires.

**Remark:** If the data is encrypted bit by bit using Yao's keys, Lemma 1 allows us to run arbitrary garbled circuits on this data, akin to general purpose "function evaluation" on encrypted data. This observation itself has a number of applications, we describe these in the full version of the paper.

## 3 Single-Round Oblivious RAM From Any One-way Function

#### 3.1 Informal Description of Main Ideas

As a starting point, we consider the hierarchical ORAM of Ostrovsky [22, 23] and use the same terminology as in Ostrovsky's Ph.D. thesis [23]. In this scheme, the data is encrypted (under semantically secure private-key encryption) and stored in hierarchical levels that reshuffle and move into larger levels as they fill up. To keep track of the movement, each level is temporally divided into different time periods called *epochs*, based on how many queries the client has already performed. The client only needs to keep track of the keys corresponding to the latest epoch for each level, which in turn only depends on the total number of queries that so far have been performed.

In our new solution, we maintain the same hierarchical levels, but encrypt all bits within the level differently. To explain our encryption method, we first generalize Pseudo-Random Functions (PRF) into a multi-argument PRF  $F_s(x_1, x_2, ..., x_{k-1}, x_k)$  which is computationally indistinguishable from a truly random multi-argument function. Our multi-argument PRF, instead of outputting a single bit, outputs a pseudo-random key of length proportional to the security parameter, i.e. a sufficiently long key for a private-key encryption scheme. Such a multi-argument PRF can be trivially constructed from any standard PRF.

We now describe the encoding of each bit in the hierarchical solution of Oblivious RAM that we use. For each bit in each buffer of some level we can uniquely define its location by epoch number, level number, bucket number within the level and address within the bucket. Let us call these specifications  $x_1, \ldots, x_{k-1}$  (where the details of the encoding will be specified later). Now we define two keys for each such bit:  $F_s(x_1, \ldots, x_{k-1}, 0)$ and  $F_s(x_1, \ldots, x_{k-1}, 1)$ . One key corresponds to "encoding of zero" and the other corresponds to "encoding of one". Jumping ahead, we will use these encodings inside multiple Yao's garbled circuits repeatedly using Lemma 1. More specifically, for every buffer bit b we encode it as  $F_s(x_1, \ldots, x_{k-1}, b)$  and write this key into the buffer as the encryption of this bit. We remark that since keys are generated pseudo-randomly, the client will not need to remember anything except the PRF keys used to generate the labels and the current epoch. We describe at a high level how an ORAM read/write is performed in a single round, and how a re-shuffle is performed.

Recall that in the hierarchical ORAM scheme, to fetch a (virtual) memory location v, the client first scans the entire top buffer  $B_1$  in its entirety, then, until v is found in some bucket, computes the hash  $j = h_i(v)$  and looks up bucket j for each subsequent level  $B_i$  for  $i \leq L$ . Once v is found, the client retrieves a random bucket j in subsequent levels. If we let  $q_i$  denote the bucket that is fetched at level i for  $k + 1 \leq i \leq L$ , then the important observation in [30, 28] is that there are only two "choices" for  $q_i$ :  $h_i(v)$  or random. Thus, even though the choice of which  $q_i$  to use is done interactively, the client can pre-compute a list of 2L - 2 buffer addresses (two for each level, except the smallest first level which is accessed in its entirety) of the form  $((h_2(v), r_2), \ldots, (h_L(v), r_L))$ .

The way we encode all values within each buffer allow us to prepare 2L - 2 garbled circuits that operate as follows. We prepare a circuit that reads the smallest level, since the inputs are keys to the garbled circuit. The circuit checks if the value is there or not, and depending if it is found or not "decrypts" one of the two circuits for the next level, which also indicates which buffer in the next level the circuit is prepared for. That is, for each level we prepare two garbled circuits, one to access random buffer (if the value already found) and one to access the location where value could be located. We encrypt both circuits using private key encryption. Each circuit outputs a decryption key for the next circuit, as well as the buffer number that the just-decrypted circuit is prepared for. The circuit that reads the smallest level is given un-encrypted.

Additional book-keeping is done to pass information between different circuits and to execute the last circuit that re-writes the top-level buffer. We stress that the labels in those garbled circuits are generated pseudo-randomly and depend only on the epoch as well as former inputs. When evaluating the ORAM query, the server evaluates a garbled circuit for each level in the hierarchy in turn, which allows him to decrypt the next circuit and tells it the location to apply in the next level buffer the just decrypted circuit.

Finally, we observe that oblivious updates/re-shuffling can be done through garbled circuits implementing sorting networks, where this can be done through several invocations of the sorting network. We now proceed to give a more detailed description.

Toward this end, we give two definitions which will help in our construction. We recall the notion of a timelabeled RAM simulation due to Ostrovsky [22, 23]: after any number of queries-so-far, there exists a way for the client to efficiently compute the number of times it has previously accessed a particular memory location. As briefly explained above, we define the notion of a so-called *time-labeled encoding* (via Yao garbling) so that whatever is stored in memory, the client can efficiently compute the encoding of it.

**Definition 1.** Suppose some element (v, x) was stored in level  $B_i$  in bucket j in some position  $\ell$  inside the bucket during epoch e. We define the time-labeled encoding of (v, x) to be a bit-by-bit encoding where the b-th bit of (v, x) is encoded as  $F_s(i, j, \ell, e, b)$  where F is a multi-argument PRF with output being a random element in the keyspace of our symmetric-key encryption scheme.<sup>2</sup>

Next, consider how the client will build a garbled circuit whose input is in some specific buffer in the memory hierarchy. If the stored information is a time-labeled encoding, the client can compute two pseudorandom keys for each bit stored in the buffer, where one of the keys is a "zero" key and another key is a "one" key. The client knows that one of the two keys is stored in the buffer representing either the encoding of zero bit or one bit in that location. Hence, one can construct a garbled circuit operating on the buffer using Lemma 1. The client prepares two garbled circuits for each level as described before. It also encrypts both circuits with a private-key encryption where exactly one key will be revealed depending on whether or not v has been found already or not. We keep track if the item has been found or not and depending on this variable, we release to the server a decryption key for one of the two circuits for the larger level, together with is buffer address. Finally, we need to write the found element back to the top level buffer, and possibly perform a hierarchy update.

**Definition 2.** Suppose some element (v, x) was stored in level  $B_i$  in bucket j in some position  $\ell$  inside the bucket during epoch e. Let C be a circuit operating on this bucket. Let sk be a secret key to some encryption scheme. We

<sup>&</sup>lt;sup>2</sup>Specifically, from any one-way function, one can build PRFs and CPA-secure symmetric-key encryption scheme that satisfy this requirement.

define the time-labeled garbling of C to be a garbled circuit GC(C) with special encodings of the wires in which the labels corresponding to the wires of the hash buckets are precisely the time-labeled encoding of elements in the buckets. The entire circuit is then encrypted under sk.

#### 3.2 Single-Round Oblivious RAM Construction

As building blocks for our construction, we give details on the circuits which we described above. The circuits are building blocks to perform the following procedures: We have one circuit searches for a memory location in a bucket and returns the memory contents and keeps track of whether or not it is found. We have one circuit that tells the server where to look in the next level: it returns either the real hash location or a random location (and the corresponding decryption key) depending on the found variable. We have one circuit that writes the final answer to the top level buffer. We have one circuit to update the ORAM hierarchy.

Here in the details, we highlight a key difference between the utility of  $C_{match}$  and  $C_{next}$ : the output of the first circuit, when garbled, will be encoded and obscured from the server, but the output of the second circuit, when garbled, will be released to the server in the clear since it must fetch and decrypt. It will be convenient to refer to them by name, so we describe them in more detail. More formally, the logic of the circuits are given as:

1.  $C_{match}$ : It takes as input an some hash location j in level  $B_i$ , a (virtual) memory location w that the client is searching for, a storage variable y and an indicator bit found. The logic is that if w has already been found, do nothing, otherwise attempt to find (v, y) where w = v and store it into y. It outputs y' and found' with the following behavior:

 $\begin{cases} y' = y, found' = 1 & \text{if } found = 1; \\ y' = x, found' = 1 & \text{if } found = 0 \text{ and there is some } (v, x) \text{ in the bucket such that } v = w; \\ y' = y, found' = 0 & \text{otherwise.} \end{cases}$ 

- 2.  $C_{next}$ : It takes as input an indicator bit *found*, some level *i* and two bucket locations  $q^0$  and  $q^1$  on level  $B_i$ , and two secret keys for encryption  $sk_0$  and  $sk_1$ . It outputs  $q^{found}$  and  $sk_{found}$ .<sup>3</sup>
- 3.  $C_{write}$ : Given a memory location w and memory contents y, it encodes (w, y) relative to the first empty slot in the top level buffer.
- 4. C<sub>update</sub>: Although oblivious hashing is described as an interactive process in most ORAM schemes, observe that in many cases (again, e.g. [12]) it ultimately amounts to performing many steps of a large parallel sorting and re-labeling algorithm wherein the elements need to be retrieved, decrypted, and re-encrypted. Instead, we can represent this as a large parallel circuit, using sorting networks to perform the sorting, and using time-labeled encodings instead of encryption. (More generally, the updates have fixed memory access that can be revealed to the circuit evaluator and are executed in strait-line. Any such program can be converted to garble circuit directly.)

We describe our construction relative to any hierarchical ORAM scheme that uses hash tables, though concretely one can think of the Goldreich-Ostrovsky [12] scheme. For a client to read/write to a memory location w, the client computes garbled buffer search circuits (i.e.  $GC(\mathcal{C}_{match})$ ) for the top level  $B_1$ . Then the client pre-computes the hash of w for each of the levels  $B_2, \ldots, B_L$ , i.e. it sets  $q_i^0 = h_i(w)$ . It also generates L - 1 random locations  $q_i^1 = r_i$  for each level. This gives the client a list of L - 1 pairs of bucket locations, 2 for each level (one real, one random):  $((q_2^0, q_2^1), \ldots, (q_L^0, q_L^1)) = ((h_2(w), r_2), \ldots, (h_L(w), r_L))$ .

For each of these 2L - 2 locations, the client makes a time-labeled garbled circuit that searches for w (i.e. it creates  $GC(\mathcal{C}_{match})$  for those locations) and encrypts them under brand new (pseudo-randomly generated) encryption key  $sk_i^j$ . It is the case that only  $sk_i^j$  decrypts the garbled circuit for location  $q_i^j$ . In order to ensure that the server only gets the correct location and key to go from a level to the next (depending on found/not found), we must

<sup>&</sup>lt;sup>3</sup>This can be thought of as a circuit for obliviously transferring one-out-of-two of the locations and keys.

rely on the circuit  $C_{next}$  that produces exactly one out of the two location/key pairs for each level. In order to do so, the client hardwires locations  $q_i^0, q_i^1$  and keys  $sk_i^0, sk_i^1$  into generates a garbled  $GC(\mathcal{C}_{next})$  that outputs where to go depending on found/not found and only the correct  $sk_i^j$ . Finally, the client creates a time-labeled garbled circuit  $GC(\mathcal{C}_{write})$  that "writes" a time-labeled encoding of (w, y) back to the top level buffer  $B_1$  (i.e. it re-writes the entire top level). To perform hierarchy updates, it uses a garbled  $GC(\mathcal{C}_{update})$ . The full client and server construction is given in Figure 1.

Client performing a read/write to memory location w with the read/written value being y:

- 1. For each bucket in the top level,  $B_1$ , the client creates a time-labeled garbled circuit  $GC(\mathcal{C}_{match})$  that searches for w. The circuits are constructed so that the output encodings matches the input encodings in the subsequent circuit (i.e. circuit chaining as in Lemma 1).
- 2. Pre-compute all hash locations  $q_i^0 = h_i(w)$  and and random locations  $q_i^1 \leftarrow 0 \dots |B_i|$  for levels  $i = 2 \dots L$ . Pseudo-randomly generate secret keys for encryption  $sk_i^0$  and  $sk_i^1$ .
- 3. For subsequent levels  $i = 2 \dots L$ :
  - (a) Create a time-labeled garbled  $GC(\mathcal{C}_{next})$  by hardwiring  $q_i^0, q_i^1, sk_i^0$ , and  $sk_i^1$  as inputs, the only free variable being the *found* flag. The outputs are *unencoded*. The labels for *found* should match the *found* output from the previous level i 1.
  - (b) Create two time-labeled garbled  $GC(\mathcal{C}_{match})$  circuits, one that searches for w in bucket  $q_i^0$  and one that searches for w in bucket  $q_i^1$ . Encrypt the first under under  $sk_i^0$ , and encrypt the second under  $sk_i^1$ .
- 4. Create a time-labeled garbled  $GC(\mathcal{C}_{write})$  that takes the final output y (or y from the write query) and writes it back to the first empty position the top level buffer  $B_1$ .
- 5. The client in one round sends all these circuits to the server, then the client receives the final output y and decodes it.
- 6. The client increments the local query counter t. If t is a multiple of  $|B_1|$ , then a reshuffle step is performed using the time-labeled garbled update circuit  $GC(\mathcal{C}_{update})$ .

As for the server, it performs the following steps:

- 1. Receive all the garbled circuits from the client.
- 2. It evaluates  $GC(\mathcal{C}_{match})$  for every bucket in the top level  $B_1$ .
- 3. For subsequent levels  $i = 2 \dots L$ :
  - (a) Evaluate  $GC(\mathcal{C}_{next})$  with the garbled found/not found flag from the previous level i 1 and obtain in the clear a location  $q_i$  and a key  $sk_i$ .
  - (b) On bucket  $q_i$ , decrypt the  $GC(\mathcal{C}_{match})$  using  $sk_i$  and evaluate it, keeping track of the garbled found' flag and garbled memory contents y'.
- 4. Evaluate the last garbled circuit  $GC(\mathcal{C}_{write})$  which outputs some time-labeled encoding of (w, y) and store it in the first empty position in  $B_k$  and send the encoded output y to the client.
- 5. In case of an update, evaluate  $GC(\mathcal{C}_{update})$  and rewrite the relevant levels of the hierarchy with the corresponding time-labeled output.

Figure 1: Single-Round ORAM

#### 3.3 Analysis

The goal of this section is to show the following theorem:

**Theorem 1.** Assume one-way functions exist. Then the construction of given in Figure 1 is a secure single-round Oblivious RAM with  $polylog(n) \cdot k^{O(1)}$  overhead with client only needing  $k^{O(1)}$  memory to store the cryptographic keys.

#### Proof.

<u>Correctness</u>. This construction is correct due to the correctness of the underlying ORAM scheme and the correctness of garbled circuits. The only additional step we need to check is that the output of one circuit correctly feeds into the input of the other. Because the labels for the relevant wires are actually time-labeled encodings, they are correct by the way they are constructed due to Lemma 1.

**Cost Analysis.** We analyze the cost in terms of communication, computation, and rounds for both the client and the server. The round complexity is clearly 1. The client must create  $|B_1| + 2(L - 1) = O(\log n)$  garbled circuits for  $C_{match}$  and  $C_{next}$  and one final garbled circuit for writing the element back to the top level. The sizes of  $C_{match}$  and  $C_{next}$  are both O(polylog(n)). It must also garble  $C_{update}$  which is of size  $O(|B_i| \cdot \text{polylog}(n))$  every  $|B_i|$  steps. Each element of the underlying ORAM scheme is now encoded bit-by-bit where each bit now turns into  $k^{O(1)}$  bits which is size of the output of our multi-argument PRF. The PRF is evaluated at most twice per wire of each garbled circuit, and the underlying encryption scheme is evaluated at most eight times per gate of each circuit, each of these invocations run in  $k^{O(1)}$ . Since the underlying ORAM scheme only has poly-log overhead, the overall computation and communication for the client amounts to  $\text{polylog}(n) \cdot k^{O(1)}$  per query. The server has the same communication complexity, and the computation is just the evaluation of the garbled circuits, which amounts to at most four decryptions per garbled gate, thus also resulting in  $\text{polylog}(n) \cdot k^{O(1)}$ .

Security. In order to show security, we must show that there exists a simulator Sim that generates the view of the server for a sequence of polynomially sized t queries. First, we generate a simulated garbled circuit for the top level. By the security of garbled circuits, there exists some simulator  $Sim_{Yao}$  that simulates these garbled circuits (except we use the time-labeled encodings of the inputs and outputs in the simulation, which can further be simulated by true randomness due to the security of our PRF).

Next, we describe how to create a good simulation of the subsequent levels. Sim has to simulate 2L - 2 (encrypted)  $GC(\mathcal{C}_{match})$  circuits which produce garbled outputs, and more problematically, Sim must simulate  $GC(\mathcal{C}_{next})$  circuits which produces outputs *in the clear*. In order to do so, we rely on the fact that the locations given in the clear can be simulated in turn. Indeed, by the security of our underlying ORAM, there exists a simulator  $\operatorname{Sim}_{ORAM}$  that generates the access pattern of the ORAM across all t queries. This access pattern gives us a list of locations in the intermediate buffers  $\ell_2^i \in B_2, \ldots, \ell_L^i \in B_L$  for each query  $i = 1 \ldots t$ . Our simulator Sim will use these locations as the simulated outputs of the garbled  $GC(\mathcal{C}_{next})$  circuits. To simulate the view of the server seeing the output of  $GC(\mathcal{C}_{next})$  (on the j-th level in the i-th query), we set it to be the simulated location  $\ell_j^i$  and a randomly chosen secret key  $sk_i$  which can only decrypt the proper circuit in the next level. These we simulate via  $\operatorname{Sim}_{Yao}$  given only the output  $\ell_j^i$ ,  $sk_i$ . Also, because the encrypted garbled  $GC(\mathcal{C}_{match})$  circuits for these locations will be decrypted, we can also simulate them via  $\operatorname{Sim}_{Yao}$ . For the remaining locations that won't be decrypted, our simulator Sim encrypts the "all-zeroes" string, which is computationally indistinguishable from a good encryption.

Finally, by Lemma 1, we can reuse the encodings as inputs between different invocations while still being able to simulate.

## 4 Non-interactive Garbled RAM Programs

#### 4.1 Informal description of main ideas

We consider the RAM model of computation as in the works of [12, 22, 23] where a RAM program along with data is stored in memory of size O(n), and a small, stateful CPU with a O(1) instruction set that can store O(1)

words that can be of size polylog(n) = poly(k) where k is the security parameter. Each step of the CPU is simply a read/write call to main memory followed by executing its next CPU instruction. We now summarize our idea for building Garbled RAM programs from our single-round Oblivious RAM.

In order to garble a RAM program  $\pi_t$ , we consider the two fundamental operations separately and show how to mesh them together:

- 1. Read/Write (v, x) from/to memory.
- 2. Execute an instruction step to update state and produce next read/write query:  $\Sigma'$ , READ/WRITE $(v', x') \leftarrow CPU(\Sigma, x)$ . Updating the state can include updating local registers, incrementing program counters and query counters, and updating cryptographic keys.

Our goal is to transform this into a *non-interactive* process by letting the client send the server enough garbled information to evaluate the program up to t steps, where t upper bounds the RAM program running time. We give some intuition as to how to construct a circuit for each step, and then how to garble them. The first part will be modeled as the circuit  $C_{ORAM}$ , and the second part will be modeled as the circuit  $C_{CPU}$ . The circuits satisfy a novel property: the *plain circuit*  $C_{ORAM}$  emulates a query for the ORAM client and outputs a bit representation of a garbled circuit  $GC_{ORAM}$ . This  $GC_{ORAM}$  has output encodings that will be compatible with the *garbled circuit*  $GC(C_{CPU})$  to evaluate a garbled the CPU's next step. We remark that  $GC_{ORAM}$  actually contains several subcircuits, but is written as a single object for ease of exposition. If we generate t of these garbled circuits, then a party can evaluate a t-time garbled RAM program by consuming one garbled  $C_{ORAM}$  and one garbled  $C_{CPU}$  per time step.

We first consider the circuit  $C_{CPU}$ , which is straightforward to describe. This circuit takes as input  $\Sigma$  representing the internal state of the CPU, and x the last memory contents read. Recall that the CPU performs a step  $CPU(\Sigma, x)$  and updates the state to  $\Sigma'$  and gives the next read/write query to memory location v' and contents x'. In order to turn this into a circuit, we can sacrifice some efficiency and have a "universal" instruction in which we run *every* atomic instruction (from its constant sized instruction set) and simply multiplex the actual results using the instruction opcode. This universal instruction is modeled as a circuit which is of size  $k^{O(1)}$ . We remark that although this circuit is simple, the complexity arises from when we want to garble this circuit: the garbling must be done in a way so that the garbled inputs and outputs are compatible with  $GC_{ORAM}$ .

The circuit  $C_{ORAM}$  must emulate the client in our single-round ORAM construction. The input of the circuit is just an ORAM read/write query<sup>4</sup>, and the output of the circuit is **a bit representation that describes a set of garbled circuits, equivalent to what would have been produced via the ORAM client** which we call  $GC_{ORAM}$ .<sup>5</sup> We give full details on the construction in Section 4.2. It is important that we argue that the result of this fetch can be combined with the evaluation of the CPU step. Observe that since the labels in our single-round ORAM are generated as pseudo-random time-labeled encodings, so we know ahead of time only the encoding of the output (but know neither the input nor output) of the *i*-th invocation of the single-round ORAM. Thus when garbling  $C_{CPU}$ , the input encodings use exactly the output encodings from the respective outputs of the ORAM. Recall in our single-round ORAM protocol the server sends back the encoded output to the client; here, we *do not* send it back, and instead keep the result and use it as input in the next CPU step (which is secure and correct via Lemma 1).

Then, putting it all together, to garble a RAM program  $\pi_t$  that runs in time t, the program garbling algorithm G generates t garbled  $C_{ORAM}$  and  $C_{CPU}$  circuits, and also encodes the initial state  $\Sigma_0$  of the CPU with the program initialized, counters set to zero, and with fresh cryptographic keys. Because these are all generated at once by G, there is no issue of adaptivity or selective security. The full construction of G is given the next section, Section 4.2.

Looking ahead, in the context of secure two-party computation, this garbled program can be sent to the server in a single round, whereupon the server can evaluate the program by itself. The result is sent back to the client,

<sup>&</sup>lt;sup>4</sup>Since the ORAM client uses randomness as well as time-labeled encodings (which are outputs of the PRF), we will allow these to be *inputs* to  $C_{ORAM}$ , so that they may be pre-computed "for free" rather than computed via the circuit. The circuit consumes these inputs in order to generate the output garbled circuit *without* having to evaluate these itself. The only thing the circuit does not have ahead of time is the hash of the location of the query at each level, so our circuit  $C_{ORAM}$  must use PRFs to compute them.

 $<sup>{}^{5}</sup>GC_{ORAM}$  consists of a set of  $|B_1| + 2L - 2$  garbled  $GC(\mathcal{C}_{match})$ , corresponding garbled  $GC(\mathcal{C}_{next})$ , a garbled  $GC(\mathcal{C}_{write})$ , and all necessary time-dependent updates  $GC(\mathcal{C}_{update})$  as in Theorem 1.

and since the labels were all generated pseudo-randomly, the client can determine whether the output bits are zero or one. In the case where the server also has inputs, the client can generate the pseudo-random labels and then the server uses Oblivious Transfer to select the ones corresponding to its input. We mention that in the OT-hybrid model, this is a non-interactive protocol, we can avoid adaptivity issues by requiring the server to provide its inputs upfront at the same time the client sends its garbled program, i.e. this can be viewed as just a one-step process where the garbled program is sent "along" with the garbled inputs via the OT functionality.

#### 4.2 Main Construction of Garbled Programs

We first describe how to construct the algorithms G, GI, GE. Given a program  $\pi_t$  running in time t, we describe the algorithm G that converts it into a garbled program  $\Pi_t$ . In order to do so, we follow the two steps outlined above and we consider the construction of a circuit that performs an ORAM query  $C_{ORAM}$  and a circuit that runs one CPU step  $C_{CPU}$ .

Our garbling algorithm G will provide enough garbled circuits to execute t steps of a program  $\pi_t$ . Each step is a garbled RAM query (done obliviously via our single-round ORAM) followed by a garbled CPU computation. It starts with a garbled encoding of the initial state  $\Sigma_0$  of the CPU with the program  $\pi_t$  initialized, counters set to zero, and with fresh cryptographic keys. For each of the t time steps, it creates a garbled  $GC(\mathcal{C}_{ORAM})$  for a read/write of that time step, then a garbled  $GC(\mathcal{C}_{CPU})$  to perform a CPU step. We show how to construct  $\mathcal{C}_{ORAM}$  and  $\mathcal{C}_{CPU}$ such that they can be garbled and interleaved. We will show that this garbling is independent of the actual program path, regardless of what memory locations have been fetched, and is correct and secure.

First, we describe  $C_{ORAM}$  to mimic an oblivious read/write access to main memory. For this, it can just perform the steps in our single-round Oblivious RAM, with one difference: G does not know ahead of time which memory location will be used. Hence, in order to overcome this, the circuit  $C_{ORAM}$  must take a memory location *as input* and internally formulate what the ORAM client computes.  $C_{ORAM}$  outputs what the "virtual" ORAM client would have sent to the server: a garbled circuit  $GC_{ORAM}$  representing a read/write query. The novelty in this construction is that when we feed a memory location v into  $C_{ORAM}$ , the output precisely is a garbled ORAM read/write query relative to that memory location. In order to hide v, both  $C_{ORAM}$  and v are garbled into  $GC(C_{ORAM})$  and Vrespectively, and by the correctness of garbled evaluation, the output is still  $GC_{ORAM}$ . By the security of the underlying ORAM, this output  $GC_{ORAM}$  can actually be simulated.

Although it is a circuit that outputs another circuit, there is no circularity in this construction: given a query location and some fixed randomness, the behavior of the ORAM client is completely deterministic, straight-line, and takes  $k^{O(1)} \cdot \text{polylog}(n)$  steps, so the output can be represented by a circuit also of that size. This ORAM client is independent of the main program CPU which only uses ORAM as an "oracle". We emphasize this again, because G will most likely be ran by a client, G does not play the role of the ORAM client but rather *emulates* the ORAM client via  $C_{ORAM}$ , so this is *not* a client attempting to capture its own logic in a circuit. We provide a pseudocode description of  $C_{ORAM}$  in Figure 2.

Looking ahead, G will garble this circuit and ensure that the output of an ORAM query has the same encoding as that used to garble  $C_{CPU}$ . The algorithm G can then garble both  $C_{CPU}$  and  $C_{ORAM}$  ahead of time, without having to know the memory location.

Next, we consider building the circuit which performs a single CPU step in the RAM program,  $C_{CPU}$  that is supposed to perform  $\Sigma'$ , READ/WRITE $(v', x') \leftarrow CPU(\Sigma, x)$ . In order to hide which instruction is being executed, we build the circuit to take an instruction opcode and we run every single-step instruction from its constant sized instruction set (not all possible program paths) of the CPU. The circuit multiplexes the actual results using the instruction opcode. This universal instruction is modeled as a circuit which is of size  $k^{O(1)}$  and is independent of the ORAM circuit, independent of the queried locations, and independent of the current running time.

One may ask the question: How can this circuit be interleaved with the  $C_{ORAM}$  circuit if it is independent of it?

The answer is that when G garbles  $C_{CPU}$ , the encoding will depend on the output of  $C_{ORAM}$  in the previous time-step. Note that this construction is not circular as each garbling only depends on the previous one, leading up to a total of t time steps. This can be done because G knows the encoding of the *output encoding* (but not the output) of the Oblivious RAM query, which *does not depend* on the location queried. This output encoding is then

**Inputs:** An ORAM query to read/write (v, x) and a query number  $\ell$ . This circuit interprets the client performing the  $\ell$ -th ORAM query, which uses randomness and time-labeled encodings based on  $\ell$ . As such, this circuit also takes these randomness bits and pre-computed encodings as inputs.

**Output:** A garbled circuit  $GC_{ORAM}$  representing a read/write ORAM query.

**Circuit Description:** We describe the functionality of the circuit  $C_{ORAM}$ . We recall our algorithm for a ORAM query. Using time-labeled encodings via PRFs, it generates a set of  $|B_1| + 2L - 2$  garbled  $GC(C_{match})$  which has hard-coded location information built into it, with corresponding garbled  $GC(C_{next})$  circuits, and one final  $GC(C_{write})$  garbled circuit for writing the element back to the top level (and possibly an update circuit). Although the ORAM client evaluates these PRFs internally, we *do not* encode this as part of our circuit  $C_{ORAM}$ , but rather we "consume" them as input. Similarly, the ORAM client must use randomness, which we also consume from the input of  $C_{ORAM}$ . Since the circuit itself emulates the ORAM client during a query, it appears similar to the construction in Figure 1, but with the key difference that the encodings/PRFs are fed as inputs.

- 1. For the top level,  $B_1$ , for each bucket,  $C_{ORAM}$  creates a time-labeled garbled circuit  $GC(C_{match})$  consuming the input encodings to be used as garbled labels.
- 2. For subsequent levels  $i = 2 \dots L$ :
  - (a) The circuit  $C_{ORAM}$  computes  $q_i^0 = h_i(v)$  and consumes  $q_i^1$  from the input (the input itself is uniformly random)
  - (b) Consume two secret keys for encryption  $sk_i^0$  and  $sk_i^1$  from the input and create a garbled circuit  $GC(\mathcal{C}_{next})$
  - (c) Create two time-labeled garbled circuits  $GC(\mathcal{C}_{match})$ , one that searches for w in bucket  $q_i^0$  encrypted under  $sk_i^0$ , and one that searches for w in bucket  $q_i^1$  encrypted under  $sk_i^1$ , again consuming the encoding from the input to  $\mathcal{C}_{ORAM}$ .
- 3.  $C_{ORAM}$  also creates a garbled  $GC(C_{write})$  that writes the result back to the first empty position the top level buffer  $B_k$ .
- 4. If  $\ell$  is a multiple of  $|B_1|$ , then a reshuffle step is performed using the time-labeled garbled update circuit  $GC(\mathcal{C}_{update})$ .
- 5. The combined set of garbled circuits is referred to as  $GC_{ORAM}$ .

We point out that throughout this entire process, every time a query circuit is created, G increments  $\ell$  in order to keep track of the time-labeled encodings required by the  $C_{ORAM}$  circuits.

Figure 2: The ORAM Client Circuit  $C_{ORAM}$ 

used for the input parameter encoding for  $GC(\mathcal{C}_{CPU})$ . We provide a pseudocode description of G in Figure 3.

The algorithm GI for garbling an input of size n is just the time-labeled encodings starting from wherever the RAM program expects the inputs to be located.

The algorithm GE used to evaluate a garbled program  $\Pi_t$  on garbled inputs evaluates the garbled circuit  $GC(\mathcal{C}_{ORAM})$ , then executing the garbled instruction  $GC(\mathcal{C}_{CPU})$  one at a time, up to t times. The process is precisely performing the same steps as G except evaluating garbled circuits instead of generating them. In addition, once it gets the garbled ORAM query, it must also execute it as well. We provide a pseudocode description of G in Figure 4.

### 4.3 Main Result

We now state our main result:

**Inputs:** A program  $\pi_t$  with an upper bound on running time *t*, and a pseudo-random function family *F* along with a key *s*.

Algorithm Description: The algorithm G is performed as follows. It creates an encoding of the initial state of the CPU,  $\Sigma_0$  with the program  $\pi_t$  initialized. It also encodes an initial program counter and cryptographic keys. We show how to construct  $C_{ORAM}$  and  $C_{CPU}$  such that they can be garbled and interleaved across t time steps. We must argue that this garbling is independent of the actual program path, regardless of what memory locations have been fetched, and is correct and secure.

For each time step  $i = 1 \dots t$ , G creates:

- 1. A garbled read/write query circuit  $GC(\mathcal{C}_{ORAM})$  for performing query number *i* on some (unknown variable) garbled location  $V_i$  (and  $X_i$  in the case of a write). *G* pre-computes randomness and PRF evaluations and hardwires them. Although *G* does not know the eventual output, it knows the *encoding* of it, which is *independent of the queried location*. It uses this encoding for the following:
- 2. A garbled instruction circuit  $GC(\mathcal{C}_{CPU})$  with input wires of  $X_i$  using the encoding from above, and the input wires of  $\Sigma_i$  using the output encoding from the previous CPU step. The output is a garbled location  $V_{i+1}$  (and  $X_{i+1}$  in the case of a write) to be used in the next read/write query and an garbled updated state  $\Sigma_{i+1}$ .

Figure 3: Program Garbling Algorithm G

**Inputs:** A garbled program  $\Pi_t$  with garbled input X. **Algorithm Description:** The algorithm GE is performed as follows. It first stores the initial encoded program state and inputs into memory. Then, for each time step  $i = 1 \dots t$ , GE performs:

- 1. Evaluate the garbled query circuit  $GC(C_{ORAM})$  on a garbled memory location  $V_i$ . The output is  $GC_{ORAM}$  which itself is a garbled circuit that represents a read/write query in our single-round ORAM protocol. Execute the query playing the role of the server to obtain some garbled output  $X_i$  which is kept locally instead of sent to the client.
- 2. Evaluate the garbled instruction circuit  $GC(\mathcal{C}_{CPU})$  on garbled inputs  $X_i$  and  $\Sigma_i$ . Obtain a new read/write query  $V_{i+1}$ .

After t steps, output the final value  $X_{t+1}$ .

Figure 4: Garbled Program Evaluation Algorithm GE

**Theorem 2.** Assume one-way functions exist, and let the security parameter be k and let F be a PRF family based on the one-way function. Then, there exists an efficient Program Garbling triple of algorithms G, GI, GE such that for any  $\pi_t$  any t and any input x of length n:

• **<u>Correctness</u>**:  $\forall x, \pi_t, F, s$ :

$$\pi_t(x) = GE\left[G(\pi_t, t, F, s), GI(x, s)\right]$$

• Security:  $\exists$  poly-time simulator Sim, such that  $\forall \pi, t, x, s$ , where |s| = k

$$[G(\pi_t, t, F, s), GI(x, s)] \approx Sim\left[1^k, t, |x|, \pi_t(x)\right]$$

• Program Compactness: The size of the garbled program

$$|G(\pi_t, t, F, s)| = O\left((|\pi| + t) \cdot k^{O(1)} \cdot \operatorname{polylog}(n)\right)$$

• Input Compactness: Let |x| = n and |s| = k.  $\forall x, s$  the garbled input size

$$|GI(x,s)| = O\left(n \cdot k^{O(1)} \cdot \operatorname{polylog}(n)\right)$$

Proof.

<u>Correctness.</u> This construction is correct due to the correctness of the underlying single-round Oblivious RAM scheme in Theorem 1 and the correctness of garbled circuits. In addition, we need to verify that when *interleaving* the garbled instruction execution along with the ORAM fetch queries, the ability to properly decrypt and evaluate the garbled circuits is maintained. Because G generates a garbled circuit  $GC(C_{ORAM})$  to simulate the fetching client inside the ORAM, the output encoding is chosen so that it matches the input encoding of  $GC(C_{CPU})$ . Thus, since G generates sufficiently many circuits for ORAM fetches corresponding to the *i*-th instruction executed (with respect to time, regardless of the ordering of the actual instructions in  $\pi$ ), the GE algorithm evaluating the garbled circuits can properly evaluate the instruction and throw away any unused fetches corresponding to the *i*-th step.

Security. In order to show security, we must show that there exists a simulator Sim that can simulate the garbled execution given the garbled program and garbled input. In order to do so, we consider what a server running the algorithm GE does during the execution of the garbled program.

It first stores the initial encoded program state and inputs into memory. Then, for each time step  $i = 1 \dots t$ , GE performs: In each CPU step of the garbled program execution, the server performs the following:

- 1. Evaluate the garbled query circuit  $GC(C_{ORAM})$  on a garbled memory location  $V_i$ . The output is  $GC_{ORAM}$  which itself is a garbled circuit that represents a read/write query in our single-round ORAM protocol.
- 2. Execute the garbled ORAM query  $GC_{ORAM}$  playing the role of the server to obtain some garbled output  $X_i$  which is kept locally instead of sent to the client.
- 3. Evaluate the garbled instruction circuit  $GC(\mathcal{C}_{CPU})$  on garbled inputs  $X_i$  and  $\Sigma_i$ . Obtain a new read/write query  $V_{i+1}$ .

By Theorem 1, the underlying single-round Oblivious RAM is secure and uses time-labeled garbled circuits and encodings and can be simulated by  $Sim_{ORAM}$ . Furthermore, the underlying Yao's garbled circuits are secure, and can be simulated by  $Sim_{Yao}$ . Thus, the access pattern of the ORAM can be simulated, and we need only show that the garbled circuit emulating the ORAM client  $GC(C_{ORAM})$  and garbled instructions  $GC(C_{CPU})$  can also be simulated. The garbled circuits can be interleaved securely due to Lemma 1, and the time-labeled encodings themselves are just outputs of a PRF. By the security of Yao's garbled circuits and the underlying PRF, these can be simulated securely.

**Program Compactness.** We analyze the cost of garbling a program. First, to garble all the instructions of the program, we incur a cost of  $O(|\pi| \cdot k^{O(1)} \cdot \mathsf{polylog}(n))$ . Furthermore, because the overhead of our underlying ORAM is  $k^{O(1)} \cdot \mathsf{polylog}(n)$  and since at each time step, the client must prepare "CPU instruction" circuits which include some constant number of ORAM queries, we incur another  $O(t \cdot k^{O(1)} \cdot \mathsf{polylog}(n))$ . Overall this leads to the garbled program being of size  $O((|\pi| + t) \cdot k^{O(1)} \cdot \mathsf{polylog}(n))$ .

**Input Compactness.** We analyze the cost of garbling an input of size n. Each bit of the input is encoded and stored in the ORAM hierarchy which incurs a  $O(k^{O(1)} \cdot \mathsf{polylog}(n))$  multiplicative overhead, the total size of the garbled input is therefore  $O(n \cdot k^{O(1)} \cdot \mathsf{polylog}(n))$ .

## 5 Application to Secure Remote RAM Computation

We give an example application in which only one party has input and wants to repeatedly run programs on this data. Such is the case of secure cloud computing, where someone stores data in the cloud and then later runs computations against that data. We emphasize that in this setting, there is no issue of adaptivity because the server

has no inputs. In the typical setting of two-party secure computation, we deal with this by making the server first perform OTs to retrieve its inputs *before* the client sends the garbled program.

That is to say, in this application, a client wishes to store some data x on a remote server and then run various RAM programs on x without the server learning the results of the programs or x itself. Of course, the client could always ignore the server altogether and run all the programs on x locally, so we are envisioning a scenario in which the client does not want to carry around all of its data locally and wants to only store a few cryptographic keys or counters. To apply Garbled RAM programs to this application, the client first garbles the input x to get X = GI(x) and sends it to the server. Then for each program the client wants to run, it recalls the encoding of the previous output and creates a garbled program using the labels of the previous output as inputs for the current program.

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# A Glossary of Circuits

Circuit	Description
$\mathcal{C}_{CPU}$	Circuit for evaluating a CPU step.
$\mathcal{C}_{ORAM}$	Mimics an ORAM client query, outputting garbled versions of the above circuits.
$GC_{ORAM}$	Garbled circuit that is the output of $C_{ORAM}$ . Consists of garbled circuits used in single-round ORAM:
$\mathcal{C}_{match}$	Matches a memory location in a bucket.
$\mathcal{C}_{next}$	Outputs next bucket to probe depending on found/not found.
$\mathcal{C}_{update}$	Performs oblivious hashing for ORAM update.
$\mathcal{C}_{write}$	Writes output to top level buffer.

Figure 5: Glossary of Circuits