Type-Based Analysis of Generic Key Management APIs *

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Abstract

In the past few years, cryptographic key management APIs have been shown to be subject to tricky attacks based on the improper use of cryptographic keys. In fact, real APIs provide mechanisms to declare the intended use of keys but they are not strong enough to provide key security. In this paper, we propose a simple imperative programming language for specifying strongly-typed APIs for the management of symmetric, asymmetric and signing keys. The language requires that type information is stored together with the key but it is independent of the actual low-level implementation. We develop a type-based analysis to prove the preservation of integrity and confidentiality of sensitive keys and we show that our abstraction is expressive enough to code realistic key management APIs.

1 Introduction

In the recent years cryptography is becoming a key technology to provide security in various settings, and cryptographic hardware and services are becoming more and more pervasive in everyday applications. The interfaces to cryptographic devices and services are implemented as *Security APIs* whose main aim is to allow untrusted code to access resources in a secure way. Typically, these APIs provide *key management* operations such as: the creation or deletion of keys; the encryption/decryption, signing and verification of data through some keys; the import/export of *sensitive* keys, i.e., keys that should never be revealed outside a smart card or hardware security modules (HSMs). These last operations are usually implemented by encrypting these sensitive keys under other keys, operation which is called *key wrapping*.

^{*}Long version with full proofs of a paper presented at CSF'13 [1].

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API calls may be executed on untrusted machines, thus a very important issue is to design secure APIs that enforce a *policy*, that is, security properties have to be maintained no matter what the parameters are, and which sequence of legal API calls is executed. A *key usage* policy is defined by some *key attributes* stored in the key. Examples are the *wrap* attribute that is associated to keys used to encrypt other keys, or the attribute *decrypt* associated to decryption keys. Objects such as cryptographic keys or certificates in tokens, are referenced via *handles*, that are pointers to or names for the objects in the secure memory. These handles do not reveal any information about the actual values of the objects, e.g., of a key, thus objects may be used without necessarily knowing their values but just providing a handle to them. Although these APIs are very powerful, all the proposed implementations are not capable of precisely defining the different roles and uses that objects should have.

In the last decade this has lead to many different attacks both on HSMs and smart cards (see, e.g., [2, 3, 4, 8]). Many of these attacks are related to the key wrapping operation. For example, attacks on the IBM CCA interface are related to the improper bound, provided by the XOR function, between the attributes of a wrapping key and the usage rules [3], and attacks on the PKCS#11 security tokens can be mounted by assigning particular sets of attributes to the keys, and by performing particular sequences of (legal) API calls [4]. In this context some 'patches' have been presented that rely on: imposing a policy on the attributes so that a key cannot be used for conflicting operations; imposing that conflicting attributes are not set at two different instants by limiting the usage of imported keys to some non-critical functions [4], or by adding a wrapping format that binds attributes to wrapped keys [12, 15]. Other attacks on PIN processing APIs are, e.g., on formats used for message encryption [10], or on the lack of integrity of user data [7].

In our opinion, formal and general tools to reason about the security of cryptographic APIs are very important in order to find attacks to real APIs and to test new patches.

Our contribution In this paper we present an abstract and simple imperative programming language for specifying strongly-typed APIs for the management of symmetric, asymmetric and signing keys. Starting from the definition of an abstract key management language which is strongly typed, i.e., that associates objects to types, we provide a concrete semantics, in which concrete key properties are stored in place of types. We then investigate conditions that allow us to map concrete APIs to the proposed types so that security results are preserved. In particular, we prove that if the translation of the concrete API into the typed one is well-typed then security of keys is guaranteed.

We then study realistic implementations of the APIs. We consider PKCS#11 v2.20 that allows to specify the attributes of wrapped and unwrapped keys [19]. We show that PKCS#11 attributes can be mapped into types preserving the above mentioned conditions, and this allow us to prove security through the general type-checking.

Related work The current literature proposes different solutions for the designs of new secure token interfaces and the proofs of their security. In [5] secure token in-

terfaces are proposed together with security proofs in the cryptographic model. The security relies on the access to a log of all the operations, solution that seems to be not very practical when applied, e.g., to limited memory devices. Moreover, it does not cover the set of all the possible security properties. In [11] secure token interfaces are proposed for a distributed setting, together with security proofs in the symbolic model. This approach however assumes a limited set of functionalities. In [16] the authors introduce a general security model for cryptographic APIs. They define a new notion of security for cryptographic APIs, and apply this notion to the security proofs both in the symbolic and in the computational model. This new model is able to separate key management from key usage, thus avoiding some of the previous attacks. It is also flexible enough to be adapted to some real security APIs. The main difference with respect to our proposal is the use that we do of types to statically enforce security properties on general APIs.

Our type system is partially inspired in the one in [13], proposed for the different setting of spi-calculus processes for protocol analysis. Apart from the completely different setting, there is another important technical difference with respect to [13]. In our case, we do not assume any integrity check when performing encryption and decryption. When we decrypt with a wrong key we still get a valid term. This is what typically happens in many real world implementations.

In [6] the authors propose a simple language, for the coding of PKCS#11 APIs, and they develop a type-based analysis to prove that the secrecy of sensitive keys is preserved under a certain policy. This solution, is however limited to PKCS#11 cryptographic APIs and to symmetric keys, whereas in this paper we propose a new language that is applicable to general cryptographic APIs, that is, any key storage that is managed through handles, and that manages also asymmetric and signing keys, in the style of [16]. As we will show we will be able to instantiate the PKCS#11 APIs in this new model.

The paper is organized as follows. In Section 2 we introduce a simple imperative programming language for specifying strongly-typed APIs for the management of symmetric, asymmetric and signing keys, the attacker model and the notion of API security; in Section 3 we present the type system that enforces API security and the type soundness results; in Section 4 we modify the language in order to code real API implementations. In Section 5 we show how PKCS#11 can be modeled in our framework. We draw some conclusions in Section 6.

2 A language for key management APIs

In this section we first introduce a simple imperative language suitable to specify key management APIs. We then formalize the attacker model and define API security. The API language is inspired in [6] but here we allow more expressive types that dictate how keys should be used and what is their security level. Moreover we consider asymmetric encryption and digital signatures that are not accounted for in [6].

Values We let C and G, with $C \cap G = \emptyset$, respectively be the set of atomic *constants* and *fresh* values. The former is used to model any public data, including non-sensitive

keys, while the latter models the generation of new fresh values such as sensitive keys. We associate to $\mathcal G$ an extraction operator $g\leftarrow \mathcal G$, representing the extraction of the first 'unused' value g from $\mathcal G$. Extracted values are always different: two, even non-consecutive, extractions $g\leftarrow \mathcal G$ and $g'\leftarrow \mathcal G$ are always such that $g\neq g'$. We let val range over the set of all atomic values $\mathcal C\cup \mathcal G$ and we define values v as follows:

$$\begin{array}{ll} v & ::= & val \mid enc(v,v') \mid dec(v,v') \\ & \mid ek(v) \mid enc^a(v,v') \mid dec^a(v,v') \\ & \mid vk(v) \mid sig(v,v') \end{array}$$

Intuitively, enc(v, v') (resp. $enc^a(v, v')$) and dec(v, v') (resp. $dec^a(v, v')$) denote value v respectively encrypted and decrypted under key v' in a symmetric (resp. asymmetric) cipher; ek(v) denotes the public *encryption* key corresponding to the private decryption key v, and vk(v) is the verification key corresponding to the signing key v; finally, sig(v, v') denotes the signature of v using key v'.

We explicitly represent decrypted values in order to model situations in which a wrong key is used to decrypt an encrypted value: for example, the decryption under v' of enc(v,v') will give, as expected, value v; on the other hand, the decryption under v' of enc(v,v''), with $v'' \neq v'$ will be explicitly represented as dec(enc(v,v''),v'). This allow us to model cryptosystems with no integrity checks as decrypting with a wrong key never returns a failure. Signature verification, instead, only succeeds when the verification key corresponds to the signing one.

Expressions Our language is composed of a core set of expressions for manipulating the above values. Expressions are based on a set of variables \mathcal{V} ranged over by x, and have the following syntax:

$$\begin{array}{ll} e & ::= & x \mid \operatorname{enc}(e,x) \mid \operatorname{dec}(e,x) \\ & \mid \operatorname{ek}(x) \mid \operatorname{enc}^a(e,x) \mid \operatorname{dec}^a(e,x) \\ & \mid \operatorname{vk}(x) \mid \operatorname{sig}(e,x) \mid \operatorname{ver}(e,x) \end{array}$$

A memory $M: x \mapsto v$ is a partial mapping from variables to values and $e \downarrow^M v$ denotes that the evaluation of the expression e in memory M leads to value v. The semantics of expressions is defined inductively in Table 1. As already mentioned, the modeled encryption mechanism does not perform any integrity check on the messages, so the decryption of a ciphertext under a wrong key gives dec(v'', v'). Signature verification, instead, evaluates to the signed message only when the verification key corresponds to the signing key.

Types Our language is designed around powerful types that specify the intended usage and the security level of each key. A security level is a pair $\ell_C \ell_I$ specifying, separately, the confidentiality (C) and integrity (I) levels. We consider two possible levels: $High\ (H)$ and $Low\ (L)$. For example, HH denotes a high confidentiality and high integrity value, while LH a public (low confidentiality) and high integrity one. Intuitively, high confidentiality values should never be read by opponents while high

$$\begin{aligned} x \downarrow^\mathsf{M} \mathsf{M}(x) & \text{if } \mathsf{M}(x) \text{ is defined} \\ \mathbf{e}(e_1, \dots, e_n) \downarrow^\mathsf{M} \mathbf{e}(v_1, \dots, v_n) & \text{if } e_i \downarrow^\mathsf{M} v_i, i \in [1, n] \\ & \text{enc}(v, v') \downarrow^\mathsf{M} enc(v, v') \\ & \text{dec}(enc(v, v'), v') \downarrow^\mathsf{M} v \\ & \text{dec}(v'', v') \downarrow^\mathsf{M} dec(v'', v') & \text{if } v'' \neq enc(v, v') \\ & \text{enc}^a(v, v') \downarrow^\mathsf{M} enc^a(v, v') \\ & \text{ek}(v) \downarrow^\mathsf{M} ek(v) \\ & \text{dec}^a(enc^a(v, ek(v')), v') \downarrow^\mathsf{M} v \\ & \text{dec}^a(v'', v') \downarrow^\mathsf{M} dec^a(v'', v') & \text{if } v'' \neq enc^a(v, ek(v')) \\ & \text{sig}(v, v') \downarrow^\mathsf{M} sig(v, v') \\ & \text{vk}(v) \downarrow^\mathsf{M} vk(v) \\ & \text{ver}(sig(v, v'), vk(v')) \downarrow^\mathsf{M} v \end{aligned}$$

Table 1: The semantics of expressions



Figure 1: Security lattice

integrity values should not be modified by opponents, i.e., when high integrity data is received it is expected to have been originated at some trusted source.

Figure 1 is a standard security lattice showing that confidentiality and integrity levels are contra-variant [18]. Moving up is safe while moving down is unsafe, thus it is safe to consider a public datum as secret, while it is unsafe to promote low integrity to high integrity. More formally, the confidentiality and integrity preorders are such that $L \sqsubseteq_C H$ and $H \sqsubseteq_I L$. We let ℓ_C and ℓ_I range over $\{L, H\}$, while we let ℓ range over the pairs $\ell_C \ell_I$ with $\ell_C^1 \ell_I^1 \sqsubseteq \ell_C^2 \ell_I^2$ if and only if $\ell_C^1 \sqsubseteq_C \ell_C^2$ and $\ell_I^1 \sqsubseteq_I \ell_I^2$.

We define the following types:

$$\begin{array}{ll} T & ::= & X \mid \ell \mid \mu \mathsf{K}^{\ell}[T] \\ \mu & ::= & \mathsf{Sym} \mid \mathsf{Enc} \mid \mathsf{Dec} \mid \mathsf{Sig} \mid \mathsf{Ver} \end{array}$$

Intuitively, X is a type variable that will be bounded at runtime by a map $\sigma: X \to T$ from type variables to ground types; type ℓ is used for generic data at security level ℓ ; and type $\mu \mathsf{K}^\ell[T]$ is used for keys at security level ℓ that are used to perform cryptographic operations on terms of type T. Depending on the label μ , this type may describe symmetric keys, encryption/decryption asymmetric keys, or signing and verification keys. We will see that type information is stored, retrieved and checked at

run-time in order to authorize specific cryptographic operations. Type variables allow for some degree of polymorphism so that static analysis can be performed on types that are partially specified. We write var(T) to note the variables occurring in type T.

We allow symmetric, decryption and verification keys to have a payload different from LL only if their level is HH, i.e., when they can really be trusted.

Definition 1 (Types well-formedness). Let $T = \mu \mathsf{K}^{\ell}[T]$ with $\mu \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\}$. Then $\ell \neq HH$ implies T = LL.

Given a type T we will use $\ell_C(T)$ and $\ell_I(T)$ to denote respectively its confidentiality and integrity levels. Let $\ell=\ell_C^\star\ell_I^\star$. Define $\ell_C(\ell)=\ell_C(\mu\mathsf{K}^\ell[T])=\ell_C^\star$ and $\ell_C(X)=H$; similarly $\ell_I(\ell)=\ell_I(\mu\mathsf{K}^\ell[T])=\ell_I^\star$ and $\ell_I(X)=L$.

We define the notion of subtyping \leq as the least preorder such that:

- (1) $\ell_1 \leq \ell_2$ whenever $\ell_1 \sqsubseteq \ell_2$;
- (2) $LL \leq \mu \mathsf{K}^{\ell_C L}[LL];$
- (3) $\mu \mathsf{K}^{\ell}[T] \leq \ell$ for any type T.

Intuitively, (1) states that subtyping extends the security level preorder; (2) public and low integrity (LL) terms are regarded as keys performing cryptographic operations on public and low integrity (LL) terms. For example, it is allowed to encrypt a LL term under a LL key; (3) keys can be thought as generic data at the same level. Notice that the opposite would be unsafe, apart from the special case of LL stated in item (2).

Lemma 2. Let $\sigma: X \to T$ be a map from type variables to ground type. Then, $T \le T'$ implies $T\sigma \le T'\sigma$.

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Proof. Conditions (1) and (2) of \leq are on ground types so T\sigma = T \leq T' = T'\sigma. Condition (3) we have T = \mu \mathsf{K}^\ell[T] \leq \ell = T'. In this case \mu \mathsf{K}^\ell[T]\sigma = \mu \mathsf{K}^\ell[T\sigma] \leq \ell = T' = T'\sigma.
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Notice that when we have type $\mu \mathsf{K}^\ell[T]$ everything has to be ground except T. Even when we have $(\mu \mathsf{K}^{\ell_C(X)L}[T])$, the label $\ell_C(X)L$ is ground and so $(\mu \mathsf{K}^{\ell_C(X)L}[T])\sigma = \mu \mathsf{K}^{\ell_C(X)L}[T\sigma]$.

APIs and tokens An API is specified as a set $A = \{a_1, ..., a_n\}$ of functions, each one composed of simple sequences of assignment commands:

```
\begin{array}{lll} \mathsf{a} & ::= & \lambda x_1, \dots, x_k.\mathsf{c} \\ \mathsf{c} & ::= & x := e \mid x := \mathsf{f} \mid \mathsf{return} \ e \mid \mathsf{c}_1; \mathsf{c}_2 \\ \mathsf{f} & ::= & \mathsf{getKey}(y,T) \mid \mathsf{genKey}(T) \mid \mathsf{setKey}(y,T) \end{array}
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We will only consider API commands in which return e can only occur as the last command. Internal functions f represent operations that can be performed on the underlying devices. Note that these functions are used to implement the APIs and are not directly available to the users. Intuitively, getKey retrieves the plaintext value of a key stored in the device, given its handle y; if the recorded (ground) type of the key is unifiable with T, the key is returned; any binding of type variables in T which is necessary

to match the actual key type is recorded in a special environment σ ; genKey generates a key with (ground) type $T\sigma$; finally, setKey imports a new key with plaintext value y and (ground) type $T\sigma$. The first function fails, i.e., is stuck, if the given handle does not exist or refers to a key with a non-matching type. The other functions are stuck if the given type is not ground, once we apply the environment binding σ . A call to an API $a = \lambda x_1, \ldots, x_k$.c, written $a(v_1, \ldots, v_k)$, binds x_1, \ldots, x_k to values v_1, \ldots, v_k , executes c and outputs the value given by return e.

Example 3 (Symmetric key wrapping). We specify a wrapping API that takes two handles: the wrapped key h_key and the wrapping key h_w. If the wrapped key has the expected type then it is encrypted under the wrapping key and the ciphertext is returned. For the sake of readability, we will always write $a(x_1, \ldots, x_k)$ c in place of $a = \lambda x_1, \ldots, x_k$ c to specify an API function:

```
\begin{split} & \mathsf{SymWrap}(h\_key,\ h\_w) \\ & w := \mathsf{getKey}(h\_w,\ \mathsf{SymK}^{HH}[X]); \\ & k := \mathsf{getKey}(h\_key,X); \\ & \mathsf{return}\ \mathsf{enc}(k,w); \end{split}
```

Notice the use of type variable X to allow for any type from wrapped key. What is important is that X matches the payload type for the wrapping key, as specified in $\mathsf{Sym}\mathsf{K}^{HH}[X]$.

Semantics Device keys are modeled by an handle-map $\mathsf{H}:g\mapsto (v,T)$ that is a partial mapping from the atomic (generated) values to pairs of key values and ground types. Key values are referred by their handles and we allow multiple handles to refer to the same value with eventually different types, for instance, $\mathsf{H}(g)=(v,T)$ and $\mathsf{H}(g')=(v,T')$. By allowing this we are able to deal with multiple devices considering all keys available to the API as a unique 'universal' device. This corresponds to a worst-case scenario in which attackers can simultaneously access all the existing hardware.

An API command c working on a memory M, with a handle-map H and type variable substitution σ is denoted by $\langle \mathsf{M}, \mathsf{H}, \sigma, \mathsf{c} \rangle$. Semantics is presented in Table 2, where ϵ denotes the empty API. Assignment x := e evaluates expression e on M and stores the result in variable x, denoted $\mathsf{M}[x \mapsto v]$. In case x is not defined in M the domain of M is extended to include the new variable, otherwise the value stored in x is overwritten. Internal function $\mathsf{getKey}(y,T)$ takes the (ground) type T' of the key referred to by y and extends the present binding σ of type variables with a new binding σ' that makes T the same as T'. Binding σ' is minimal, as it only operates on the variables of $T\sigma$. With \oplus we denote the union of two disjoint substitutions.

Other rules are similar in spirit. Notice that genKey and setKey also modify the handle-map. The last rule is for API calls on an handle-map H: parameter values are assigned to variables of an empty memory M_{ϵ} , i.e., a memory with no variables mapped to values (recall that memories are partial functions); then, the API commands are executed and the return value is given as a result of the call. This is noted $a(v_1,\ldots,v_k)$ $\downarrow^{H,H'}$ v where H' is the resulting handle map. Notice that at this API level we do not observe memories that are, in fact, used internally by the device to

$$\frac{e\downarrow^{\mathsf{M}} v}{\langle \mathsf{M},\mathsf{H},\sigma,x:=e\rangle \to \langle \mathsf{M}[x\mapsto v],\mathsf{H},\sigma,\varepsilon\rangle}$$

$$\frac{\mathsf{H}(\mathsf{M}(y))=(v,T') \qquad T'=(T\sigma)\sigma' \qquad dom(\sigma')=var(T\sigma)}{\langle \mathsf{M},\mathsf{H},\sigma,x:=\mathsf{getKey}(y,T)\rangle \to \langle \mathsf{M}[x\mapsto v],\mathsf{H},\sigma\uplus\sigma',\varepsilon\rangle}$$

$$\frac{g,g' \leftarrow \mathcal{G} \qquad T\sigma \ \mathsf{ground}}{\langle \mathsf{M},\mathsf{H},\sigma,x:=\mathsf{genKey}(T)\rangle \to \langle \mathsf{M}[x\mapsto v],\mathsf{H},\sigma\uplus\sigma',\varepsilon\rangle}$$

$$\frac{g,g' \leftarrow \mathcal{G} \qquad T\sigma \ \mathsf{ground}}{\langle \mathsf{M},\mathsf{H},\sigma,x:=\mathsf{genKey}(T)\rangle \to \langle \mathsf{M}[x\mapsto g],\mathsf{H}[g\mapsto (g',T\sigma)],\sigma,\varepsilon\rangle}$$

$$\frac{g\leftarrow \mathcal{G} \qquad T\sigma \ \mathsf{ground}}{\langle \mathsf{M},\mathsf{H},\sigma,x:=\mathsf{setKey}(y,T)\rangle \to \langle \mathsf{M}[x\mapsto g],\mathsf{H}[g\mapsto (\mathsf{M}(y),T\sigma)],\sigma,\varepsilon\rangle}$$

$$\frac{\langle \mathsf{M},\mathsf{H},\sigma,c_1\rangle \to \langle \mathsf{M}',\mathsf{H}',\sigma',\varepsilon\rangle}{\langle \mathsf{M},\mathsf{H},\sigma,c_1;c_2\rangle \to \langle \mathsf{M}',\mathsf{H}',\sigma',c_1'\rangle} \qquad \frac{\langle \mathsf{M},\mathsf{H},\sigma,c_1\rangle \to \langle \mathsf{M}',\mathsf{H}',\sigma',c_1'\rangle}{\langle \mathsf{M},\mathsf{H},\sigma,c_1;c_2\rangle \to \langle \mathsf{M}',\mathsf{H}',\sigma',c_1'\rangle}$$

$$\frac{\mathsf{a}=\lambda x_1,\ldots,x_k.c}{\langle \mathsf{M},\mathsf{H},\sigma,c_1;c_2\rangle \to \langle \mathsf{M}',\mathsf{H}',\sigma',c_1';c_2\rangle}$$

$$\frac{\mathsf{a}=\lambda x_1,\ldots,x_k.c}{\langle \mathsf{M}_{\epsilon}[x_1\mapsto v_1\ldots x_k\mapsto v_k],\mathsf{H},\emptyset,c\rangle \to \langle \mathsf{M}',\mathsf{H}',\sigma',\mathsf{return}\ e\rangle}{\mathsf{a}(v_1,\ldots,v_k)\downarrow^{\mathsf{H},\mathsf{H}'}\ v}$$

Table 2: API Semantics

execute the function. The only exchanged data are the input parameters and the return value.

Example 4 (Semantics of symmetric key wrapping). To illustrate the semantics, we present the transitions of the symmetric key wrapping command specified in Example 3. Suppose that the device associates the handle g to $(v, \text{SymK}^{HL}[LL])$ and g' to $(v', \text{SymK}^{HH}[\text{SymK}^{HL}[LL]])$. We consider a memory M where all the variables are set to zero except for h_key and h_w which store respectively g and g', i.e., $M = M_{\epsilon}[h_key \mapsto g, h_w \mapsto g']$. Let also assume that $X \notin dom(\sigma)$. Then it follows,

$$\begin{split} &\langle \mathsf{M}, \mathsf{H}, \sigma, w := \mathsf{getKey}(h_-w, \mathsf{SymK}^{HH}[X])); \\ & k := \mathsf{getKey}(h_-key, X); \mathsf{return} \; \mathsf{enc}(k, w) \rangle \\ & \to \langle \mathsf{M}[w \mapsto v'], \mathsf{H}, \sigma \uplus [X \mapsto \mathsf{SymK}^{HL}[LL]], \\ & k := \mathsf{getKey}(h_-key, X); \mathsf{return} \; \mathsf{enc}(k, w) \rangle \\ & \to \langle \mathsf{M}[w \mapsto v', k \mapsto v], \mathsf{H}, \sigma \uplus [X \mapsto \mathsf{SymK}^{HL}[LL]], \\ & \mathsf{return} \; \mathsf{enc}(k, w) \rangle \end{split}$$

which gives $\operatorname{SymWrap}(g,g') \downarrow^{\operatorname{H,H}} enc(v,v')$ meaning that the value returned invoking the wrap command is thus the encryption of v under v'. Notice how X gets bounded to the type transported by the wrapping key $\operatorname{SymK}^{HL}[LL]$ which then matches the type of v stored in H .

Attacker Model We formalize the attacker in a classic Dolev-Yao style. The attacker knowledge $\mathcal{K}(V)$ deducible from a set of values V is defined as the least superset of V such that whenever $v, v' \in \mathcal{K}(V)$ then

- (1) $enc(v, v'), enc^a(v, v'), sig(v, v'), ek(v), vk(v) \in \mathcal{K}(V);$
- (2) if v = enc(v'', v') or $v = enc^a(v'', ek(v'))$ then $v'' \in \mathcal{K}(V)$;
- (3) if $v \neq enc(v'', v')$ then $dec(v, v') \in \mathcal{K}(V)$;
- (4) if $v \neq enc^a(v'', ek(v'))$ then $dec^a(v, v') \in \mathcal{K}(V)$;
- (5) if v = sig(v'', v''') and v' = vk(v''') then $v'' \in \mathcal{K}(V)$.

Given a handle map H, representing a token, and an API $\mathcal{A} = \{a_1, \ldots, a_n\}$, an attacker can invoke any API function providing any of the known values as a parameter and the returned value is added to its knowledge. Formally, an attacker configuration is represented as $\langle H, V \rangle$ and evolves as follows:

$$\frac{\mathsf{a} \in \mathcal{A} \quad \textit{v}_1, \dots, \textit{v}_k \in \mathcal{K}(V) \quad \mathsf{a}(\textit{v}_1, \dots, \textit{v}_k) \mid^{\mathsf{H}, \mathsf{H}'} \textit{v}}{\langle \mathsf{H}, V \rangle \leadsto_{\mathcal{A}} \langle \mathsf{H}', V \cup \{v\} \rangle}$$

The initially knowledge of the adversary is given by an arbitrary subset $V_0 \subseteq \mathcal{C}$ and we consider an initial empty handle map H_0 . In the following, we use the standard notation $\leadsto^*_{\mathcal{A}}$ for multi-step reductions.

API security. We define *confidential* and *secure* keys by inspecting the security levels stored in the handle map. Recall that the same key value can appear under multiple handles. A key that is always stored at a high confidential level should be regarded as *confidential*, however there is no guarantee that the key is not known by the attacker. For example, the attacker might succeed importing a key as confidential in the device. The device will regard it as high confidential but the value comes from the attacker. The situation is different for keys that are stored as high confidential and high integrity (HH). High integrity means that the key cannot come from the attacker. Typically these keys are generated in the device or stored by a security officer in a secure environment. We expect these keys to be confidential in their entire life and we refer to them as *secure* keys.

Definition 5 (Confidential and secure keys). Let val be an atomic value and H a handle-map such that $val \notin dom(H)$. If val is such that H(g) = (val, T) implies $\ell_C(T) = H$ we say that val is confidential in H. If we additionally have that $T = \mu \mathsf{K}^{HH}[T^\star]$ we say that val is secure in H.

The definition of API security follows.

Definition 6 (API Security). *Let* A *be an API. We say that* A *is secure if for all reductions* $\langle \mathsf{H}_0, V_0 \rangle \leadsto_{\mathcal{A}}^* \langle \mathsf{H}, V \rangle \leadsto_{\mathcal{A}}^* \langle \mathsf{H}', V' \rangle$ *and for all atomic values val we have*

- (1) $val \notin \mathcal{K}(V)$ and val is confidential in H implies $val \notin \mathcal{K}(V')$;
- (2) val is secure in H implies val $\notin \mathcal{K}(V) \cup \mathcal{K}(V')$.

The above property is not enforced by the semantics as the following example illustrates.

Example 7. Consider the following insecure API that takes a handle and leaks the corresponding key:

```
\label{eq:leakKey} \begin{split} & \mathsf{LeakKey}(h\_key) \\ & k := \mathsf{getKey}(h\_key, X); \\ & \mathsf{return} \ k; \end{split}
```

The key is copied into k and then returned, independently of the associated type. For example if the handle is associated to a secure $\mathsf{SymK}^{HH}[HH]$ key, the key value will be returned and leaked to the attacker, breaking API security definition.

In the next section we develop a type system that statically enforces the API security property.

3 Type system

Expressions In order to type expressions and commands we introduce a typing environment $\Gamma: x \mapsto T$ which maps variables to their respective types. We allow only a subset of key types in Γ .

Definition 8 (Gamma well-formedness). Let $\Gamma: x \mapsto T$. We say that Γ is well-formed, written $\Gamma \vdash \diamond$, if whenever $\Gamma(x) = \mu \mathsf{K}^{\ell}[T]$ it holds:

```
(1) \mu \in \{\text{Sym}, \text{Dec}, \text{Sig}\};
(2) \ell \neq HH \text{ implies } T = LL.
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With the previous definition we ensure that we only record in Γ the *private* parts of keys, Sym, Dec, Sig, while deriving the corresponding *public* parts, Enc, Ver only via the typing rules. Also, symmetric, decryption and signature keys need to be kept secret and can be trusted, for what concerns the transported type, only if they are high integrity. For that, we require that we only transport keys of type different from LL under HH keys.

Type judgment for expressions is denoted $\Gamma \vdash_e e: T$ meaning that expression e is of type T under Γ . Typing rules are presented in Table 3. Rules [var] and [sub] are standard and derive types directly from Γ (for variables) or via subtyping. Without loss of generality we assume that [sub] is never applied uselessly in a derivation, that is, we never apply it to obtain the same type as we can just remove this rule from the derivation, nor more than once consecutively as given the sequence $T_1 \leq T_2 \leq \ldots \leq T_n$, we can always substitute it by a single application of [sub] with $T_1 \leq T_n$. Rules [ek] and [vk] derive the types for encryption and verification keys, respectively from decryption and signature ones, by changing their confidentiality level to L. This reflects the fact that these keys are public.

Rule [enc] encrypts the result of an expression of type T, as required by the key type. The integrity level of the ciphertext is the same as the integrity level of the encryption key while its confidentiality level becomes L reflecting the fact that it is

$$\begin{split} \frac{\Gamma(x) = T \quad \Gamma \vdash \diamond}{\Gamma \vdash_e x : T} \quad & \qquad \qquad \frac{\Gamma \vdash_e e : T' \quad T' \leq T}{\Gamma \vdash_e e : T} \quad [\text{sub}] \\ \frac{\Gamma \vdash_e x : \text{DecK}^{\ell_C \ell_I}[T]}{\Gamma \vdash_e \text{ek}(x) : \text{EncK}^{L\ell_I}[T]} \quad [\text{ek}] \qquad & \frac{\Gamma \vdash_e x : \text{SigK}^{\ell_C \ell_I}[T]}{\Gamma \vdash_e \text{vk}(x) : \text{VerK}^{L\ell_I}[T]} \quad [\text{vk}] \\ \frac{\Gamma \vdash_e x : \text{SymK}^{\ell_C \ell_I}[T] \quad \Gamma \vdash_e e : T}{\Gamma \vdash_e \text{enc}(e, x) : L\ell_I} \quad [\text{enc}] \\ \frac{\Gamma \vdash_e x : \text{SymK}^{\ell}[T] \quad \Gamma \vdash_e e : T'}{\Gamma \vdash_e \text{enc}^a(e, x) : L\ell_I} \quad [\text{enca}] \\ \frac{\Gamma \vdash_e x : \text{EncK}^{\ell_C \ell_I}[T] \quad \Gamma \vdash_e e : T}{\Gamma \vdash_e \text{enc}^a(e, x) : L\ell_I} \quad [\text{enca}] \\ \frac{\Gamma \vdash_e x : \text{DecK}^{\ell}[T] \quad \Gamma \vdash_e e : T' \quad \ell_I(T') \neq H \implies T = LL}{\Gamma \vdash_e \text{dec}^a(e, x) : T} \quad [\text{deca}] \\ \frac{\Gamma \vdash_e x : \text{SigK}^{\ell_C \ell_I}[T] \quad \Gamma \vdash_e e : T}{\Gamma \vdash_e \text{sig}(e, x) : \ell_C(T) \ell_I} \quad [\text{sig}] \\ \frac{\Gamma \vdash_e x : \text{VerK}^{\ell_C \ell_I}[T] \quad \Gamma \vdash_e e : T' \quad \ell_C(T') = H \implies \ell_I = H}{\Gamma \vdash_e \text{ver}(e, x) : T} \quad [\text{ver}] \end{aligned}$$

Table 3: Typing expressions

$$\begin{split} \frac{\Gamma(x) = T \quad \Gamma \vdash_{e} e : T}{\Gamma \vdash_{c} x := e} \quad & [assign] \qquad \frac{\Gamma \vdash_{c} c_{1} \quad \Gamma \vdash_{c} c_{2}}{\Gamma \vdash_{c} c_{1}; c_{2}} \quad [seq] \\ \frac{\Gamma(x) = T \quad \Gamma \vdash_{e} y : LL}{\Gamma \vdash_{c} x := \text{getKey}(y, T)} \quad & [getkey] \qquad \frac{\Gamma(x) = LL}{\Gamma \vdash_{c} x := \text{genKey}(T)} \quad [genkey] \\ \frac{\Gamma(x) = LL \quad \Gamma \vdash_{e} y : T}{\Gamma \vdash_{c} x := \text{setKey}(y, T)} \quad & [setkey] \qquad \frac{\Gamma \vdash_{e} e : LL}{\Gamma \vdash_{c} return e} \quad [return] \\ \frac{\Gamma \vdash_{e} x_{1} : LL \quad \dots \quad \Gamma \vdash_{e} x_{k} : LL \quad \Gamma \vdash_{c} c}{\Gamma \vdash_{c} \lambda x_{1}, \dots, x_{k}.c} \quad & \frac{\forall a \in \mathcal{A} \quad \Gamma \vdash_{c} a}{\Gamma \vdash_{c} \mathcal{A}} \quad [API] \end{split}$$

Table 4: Typing APIs

going to be sent eventually over an untrusted channel. Symmetric decryption [dec] gives the original type T to the plaintext.

Rules [enca] and [deca] are similar but asymmetric decryption gives type LL to the plaintext unless the ciphertext has high integrity. The rationale behind this is that unless the ciphertext has high integrity then, since encryption key is public, the plaintext might have come from the attacker and so should be typed as LL.

Finally, rules [sig] and [ver] behave similarly but signature has the same confidentiality level as the signed expression. This is due to the fact that our verification function recovers the signed message from the signature and so, in order to protect its confidentiality, we have to preserve the confidentiality level in the signature.

APIs We now type API commands via the judgment $\Gamma \vdash_c c$ meaning that c is well-typed under Γ . The judgment is formalized in Table 4. Rules [assign] and [seq] are standard, and they amount to recursively type the expression and the sequential subpart of a program, respectively. Rule [getkey] retrieves a key of type T from the device and assigns it to a variable with the same type as the retrieved key; rules [genkey] and [setkey] store keys and return a LL handle that can be safely sent outside the device. Rules [return] and [function] state that the return value and the parameter of an API call must be untrusted. In fact they are the interface to the external, possibly malicious users. Finally, by rule [API] we have that an API is well-typed if all of its functions are well-typed.

Example 9. Let us consider again the API in Example 3:

$$\begin{split} & \mathsf{SymWrap}(h_key,\ h_w) \\ & w := \mathsf{getKey}(h_w,\ \mathsf{SymK}^{HH}[X]); \\ & k := \mathsf{getKey}(h_key,X); \\ & \mathsf{return}\ \mathsf{enc}(k,w); \end{split}$$

In order to type the API we have to type all parameters as LL (rule [function]). Thus we let

$$\Gamma(h_{-}key) = \Gamma(h_{-}w) = LL$$

Now by applying rule [getkey] twice we also set

$$\begin{array}{rcl} \Gamma(w) & = & \mathsf{SymK}^{HH}[X] \\ \Gamma(k) & = & X \end{array}$$

Under this Γ we can apply rule [enc] and type enc(k, w) as LH, since the encryption key w has high integrity. By rule [sub] we can type enc(k, w) as LL which allows us to type-check the return command, completing the typing.

3.1 Type soundness

We can now state the main theorem of our paper. The main goal is to be able to show security of APIs via typing. For that, we need to track the consistency between the memory cells and the values recorded in it, as well as the values recorded by the handlemap and their associated types. Moreover, we need to show that this are preserved by any execution.

In order to track the value integrity at run-time we define a notion of value well-formedness. This judgment is based on a mapping $\Theta: val \mapsto T$ from atomic values to ground types that satisfies the following conditions:

$$\begin{split} \Theta(val) &= \mu \mathsf{K}^{\ell}[T] \text{ implies } \mu \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\} \\ \Theta(val) &= \mu \mathsf{K}^{\ell}[T] \text{ and } \ell \neq HH \text{ then } T = LL \end{split} \tag{2}$$

Rules are given in Table 5 and follow closely the ones for expressions defined in Table 3.

With this definition we may now characterize the run-time types associated with values that represent keys. Similarly to the requirements for a well-defined Γ , we can show that private/symmetric keys are either really trusted, of type HH, or can only transport payloads of level LL. For the case of public-keys their integrity-level needs to be H, meaning that they were derived from good private keys, of type HH, or they can only transport LL payloads.

Proposition 10. Let
$$\Theta(val) = T$$
 and $\Theta \models_v val : T'$. Then $T \leq T'$.

Proof. We prove by induction on the depth of the derivation. Since val is atomic the of the derivation is either [atom] or [sub].

```
Case [atom]: then T = T' and we are done.
Case [sub]: then \Theta \models_{v} val : T'' with T'' \leq T'. By IH T \leq T'' \leq T'.
```

$$\frac{\Theta(val) = T}{\Theta \models_{v} val : T} \text{ [atom]} \qquad \frac{\Theta \models_{v} v : T' \quad T' \leq T}{\Theta \models_{v} v : T} \text{ [sub]}$$

$$\frac{\Theta \models_{v} v : \text{DecK}^{\ell_{C}\ell_{I}}[T]}{\Theta \models_{v} ek(v) : \text{EncK}^{L\ell_{I}}[T]} \text{ [ek]} \qquad \frac{\Theta \models_{v} v : \text{SigK}^{\ell_{C}\ell_{I}}[T]}{\Theta \models_{v} vk(v) : \text{VerK}^{L\ell_{I}}[T]} \text{ [vk]}$$

$$\frac{\Theta \models_{v} v : \text{SymK}^{\ell_{C}\ell_{I}}[T] \quad \Theta \models_{v} v' : T}{\Theta \models_{v} enc(v', v) : L\ell_{I}} \text{ [enc]}$$

$$\frac{\Theta \models_{v} v : \text{SymK}^{\ell}[T] \quad \Theta \models_{v} v' : T' \quad v' \neq enc(v'', v)}{\Theta \models_{v} dec(v', v) : T} \text{ [dec]}$$

$$\frac{\Theta \models_{v} v : \text{EncK}^{\ell_{C}\ell_{I}}[T] \quad \Theta \models_{v} v' : T}{\Theta \models_{v} enc^{a}(v', v) : L\ell_{I}} \text{ [enca]}$$

$$\frac{\Theta \models_{v} v : \text{SigK}^{\ell_{C}\ell_{I}}[T] \quad \Theta \models_{v} v' : T}{\Theta \models_{v} sig(v', v) : \ell_{C}(T)\ell_{I}} \text{ [sig]}$$

$$\frac{\Theta \models_{v} v : \text{DecK}^{\ell}[T] \quad \Theta \models_{v} v' : T' \quad v' \neq enc^{a}(v'', ek(v))}{\theta \models_{v} dec^{a}(v', v) : T} \text{ [deca]}$$

Table 5: Value well-formedness

Proposition 11. Suppose that $v \neq dec(v', v''), dec^a(v', v'')$ and that $\Theta \models_v v : \mu \mathsf{K}^\ell[T]$. Then

- 1. if $\ell = HH$ then v is atomic and $\Theta(v) = \mu \mathsf{K}^{HH}[T]$;
- 2. if $\mu \in \{\text{Sym}, \text{Dec}, \text{Sig}\}\$ then $\ell = HH\$ or T = LL;
- 3. if $\mu \in \{\text{Enc}, \text{Ver}\}\$ then $\ell = LH\$ or T = LL.

Proof. 1). Suppose that $\ell = HH$. We prove by induction on the depth of the derivation $\Theta \models_v v : \mu \mathsf{K}^{HH}[T]$.

- (i) Case [atom]: in this case v is atomic and then $\Theta(v) = \mu \mathsf{K}^{HH}[T]$ automatically.
- (ii) Case [sub]: in this case $\Theta \models_v v : T'$ and $T' \leq \mu \mathsf{K}^{HH}[T]$ which by definition of \leq implies that $T' = \mu \mathsf{K}^{HH}[T]$. As we assumed that we never apply rule [sub] uselessly we have to exclude this case.

- (iii) Cases [ek] and [vk] are immediate because they derive keys with level $L\ell_I$.
- (iv) Cases [dec] and [deca] are excluded by hypothesis.
- (v) All the other cases are excluded because they do not derive $\mu K^{HH}[T]$.
- 2). We prove this by induction on the depth of the derivation $\Theta \models_v v : \mu \mathsf{K}^{\ell}[T]$.
 - (i) Case [atom]: in this case v is atomic and then $\Theta(v) = \mu \mathsf{K}^\ell[T]$ that by (2) imply that $\ell = HH$ or T = LL.
 - (ii) Case [sub]: in this case $\Theta \models_v v : T'$ and $T' \leq \mu \mathsf{K}^{\ell}[T]$. Then by definition of \leq we have T = LL.
- (iii) Cases [ek] and [vk] are immediate because Enc, $Ver \notin \{Sym, Dec, Sig\}$.
- (iv) Cases [dec] and [deca] are excluded by hypothesis.
- (v) All the other cases are excluded because they do not derive $\mu K^{\ell}[T]$.
- 3). We prove this also by induction on the depth of the derivation of $\Theta \models_v v : \mu \mathsf{K}^{\ell}[T]$.
 - (i) Case [atom]: in this case v is atomic and then $\Theta(v) = \mu \mathsf{K}^{\ell}[T]$ which is impossible by (2) as $\mu \notin \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\}$.
 - (ii) Case [sub]: in this case $\Theta \models_v v : T'$ and $T' \leq \mu \mathsf{K}^{\ell}[T]$. Then by definition of \leq we have T = LL.
- (iii) Case [ek]: in this case we have $\Theta \models_v ek(v')$: EncK $^{L\ell_I}[T]$ and consequently $\Theta \models_v v'$: DecK $^{\ell_C\ell_I}[T]$. By Case 2) above we get that $\ell_C\ell_I = HH$ or T = LL, hence our claim follows.
- (iv) Case [vk]: similar to case [ek] using Ver and Sig.
- (v) Cases [dec] and [deca] are excluded by hypothesis.
- (vi) All the other cases are excluded because they do not derive $\mu \mathsf{K}^{\ell}[T]$.

We can now show that the type transported by symmetric, decryption and signature keys is unique and, when the level of the key is HH, the key type is also unique.

Proposition 12. Suppose that $v \neq dec(v', v''), dec^a(v', v'')$, and that $\Theta \models_v v : \mu \mathsf{K}^\ell[T]$ and $\Theta \models_v v : \mu' \mathsf{K}^{\ell'}[T']$, and $\mu, \mu' \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\}$.

Then T = T' and $\ell \leq \ell'$ (or $\ell' \leq \ell$). Moreover

- 1. if $\ell = HH$, then $\ell' = HH$ and $\mu = \mu'$:
- 2. if $\ell \neq HH$ then T = T' = LL.

Proof. Since we have by hypothesis $\mu, \mu' \in \{\text{Sym}, \text{Dec}, \text{Sig}\}$, we know by Proposition 11(2) that $\ell = HH$ or T = LL and $\ell' = HH$ or T' = LL.

Also, analyzing all possible rules that derive $\Theta \models_v v : \mu \mathsf{K}^\ell[T]$ with $\mu \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\}$ we can immediately see that it has to be either $[\mathit{atom}]$ or $[\mathit{sub}]$. All the other rules do not derive $\mu \mathsf{K}^\ell[T]$ or the resulting term is a decryption. Similarly for $\Theta \models_v v : \mu' \mathsf{K}^{\ell'}[T']$.

- (i) Suppose that $\ell=HH$. By Proposition 11(1) we have that v is atomic and $\Theta(v)=\mu\mathsf{K}^{HH}[T]$. Since we do not have useless applications of $[\mathit{sub}]$ there is no $T'\leq\mu\mathsf{K}^{HH}[T]$ and so, the only possible rule is $[\mathit{atom}]$.
 - Let us analyze the derivation of $\Theta \models_v v : \mu' \mathsf{K}^{\ell'}[T']$ that can only be by [atom] or [sub].
 - (a) Case [atom]: then $\mu = \mu', \ell' = \ell = HH$, and T' = T;
 - (b) Case [sub]: then $\Theta \models_v v : T_1'$ with $T_1' \leq \mu' \mathsf{K}^{\ell'}[T']$. By \leq we have T' = LL hence get $\Theta \models_v v : \mu' \mathsf{K}^{\ell'}[LL]$. By Proposition 10 and since v is atomic, $\mu \mathsf{K}^{HH}[T] \leq \mu' \mathsf{K}^{\ell'}[LL]$ which is impossible unless they are equal. Since we assumed we do not use the rule [sub] uselessly, the derivation cannot be by [sub].
- (ii) Suppose now instead that T = LL and $\Theta \models_v v : \mu \mathsf{K}^{\ell}[LL]$ is derived by [atom] or [sub].
 - (a) Case [atom]: in this case v is atomic and $\Theta(v) = \mu \mathsf{K}^{\ell}[LL]$.
 - If $\Theta \models_v v : \mu' \mathsf{K}^{\ell'}[T']$ is also derived by [atom] we have $\mu = \mu', \ell' = \ell$, and T' = T = LL;
 - $\bullet \text{ If } \Theta \models_v v : \mu' \mathsf{K}^{\ell'}[T'] \text{ is derived by } [\mathit{sub}] \text{ then } \Theta \models_v v : T'_1 \text{ and } T'_1 \leq \mu' \mathsf{K}^{\ell'}[T']. \text{ By definition of } \leq \text{ we have } T' = LL = T \text{ and hence } \Theta \models_v v : \mu' \mathsf{K}^{\ell'}[LL].$
 - By Proposition 10 and since v is atomic, $\mu \mathsf{K}^{\ell}[LL] \leq \mu' \mathsf{K}^{\ell'}[LL]$ which is possible only if $\ell \leq \ell'$.
 - (b) Case [sub]: in this case we have $\Theta \models_v v : T_1$ with $T_1 \leq \mu \mathsf{K}^{\ell}[LL]$ that by $\leq \text{implies } T_1 \leq LL$ and $\ell \leq LL$.

We can now derive $\Theta \models_{v} v : \mu' \mathsf{K}^{\ell'}[T']$ by rules $[\mathit{atom}]$ and $[\mathit{sub}]$.

- Suppose that $\Theta \models_v v : \mu' \mathsf{K}^{\ell'}[T']$ is derived by [atom]. Then v is atomic, $\Theta(v) = \mu' \mathsf{K}^{\ell'}[T']$, and by Proposition $10 \ \mu' \mathsf{K}^{\ell'}[T'] \le LL$ which implies that $T' = \ell' = LL = T$ or $\ell' = LH$ that by (2) implies T' = LL = T because $\mu' \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\}$.
- Suppose that the last rule of $\Theta \models_v v : \mu' \mathsf{K}^{\ell'}[T']$ is also [sub] and $\Theta \models_v v : T_1'$ with $T_1' \leq \mu' \mathsf{K}^{\ell'}[T']$. Then by \leq we have T' = LL = T and $\ell' \in \{LL, HL\}$.

Proposition 13. Suppose that $\Theta \models_v v : \mu \mathsf{K}^{\ell}[T]$ and $\Theta \models_v v : \mu' \mathsf{K}^{\ell'}[T']$, and $\mu, \mu' \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\}.$

Then T=T' and $\ell \leq \ell'$ (or $\ell' \leq \ell$). Moreover if $v \neq dec(v_1,v_2), dec^a(v_1,v_2)$ we also have

- 1. if $\ell = HH$, then $\ell' = HH$ and $\mu = \mu'$;
- 2. if $\ell \neq HH$ then T = T' = LL.

Proof. For all $v'' \neq dec(v', v), dec^a(v', v)$ the result is immediate from Proposition 12.

For the case v'' = dec(v',v) let us prove by induction on the length of the derivation $\Theta \models_v dec(v',v) : \mu \mathsf{K}^\ell[T]$. One immediately see that the last rule has to be [dec] or [sub] as no other rule derives values of the form dec(v',v). Similarly for the case $\Theta \models_v dec(v',v) : \mu' \mathsf{K}^{\ell'}[T']$

(i) Let us consider first the case [dec]

$$\frac{\Theta \models_{v} v : \mathsf{SymK}^{\ell^{\star}}[\mu \mathsf{K}^{\ell}[T]] \quad \Theta \models_{v} v' : T_{1} \quad v' \neq enc(v'', v)}{\Theta \models_{v} dec(v', v) : \mu \mathsf{K}^{\ell}[T]} \ [\mathrm{dec}]$$

and let analyze the possible cases for $\Theta \models_v dec(v', v) : \mu' \mathsf{K}^{\ell'}[T']$.

(a) Case [dec]: In this case

$$\frac{\Theta \models_{v} v : \mathsf{SymK}^{\ell'^{\star}}[\mu'\mathsf{K}^{\ell'}[T']] \quad \Theta \models_{v} v' : T'_{1} \quad v' \neq enc(v'',v)}{\Theta \models_{v} dec(v',v) : \mu'\mathsf{K}^{\ell'}[T']} \ [\mathrm{dec}]$$

and by IH one has $\mu K^{\ell}[T] = \mu' K^{\ell'}[T']$.

(b) Case [sub]: In this case we have

$$\frac{\Theta \models_{v} v : \mathsf{SymK}^{\ell'^{\star}}[T'_{1}] \dots}{\Theta \models_{v} dec(v',v) : T'_{1}} \ [\mathrm{dec}] \quad T'_{1} \leq \mu' \mathsf{K}^{\ell'}[T']} \ [\mathrm{sub}]$$

$$\Theta \models_{v} dec(v',v) : \mu' \mathsf{K}^{\ell'}[T']$$

and by IH it follows that $\mu \mathsf{K}^\ell[T] = T_1' \leq \mu' \mathsf{K}^{\ell'}[T']$ which implies by definition of \leq that (a) $\ell = T = T' = LL$ and $\ell' = \ell_C L$; or (b) $\ell = LL$, $\ell' = \ell_C L$ and T' = LL; or (c) $\ell = LH$, $\ell' = \ell_C L$ and T' = LL. The first immediately proves our result. For the other two cases, since we do not allow payloads different from LL for keys different from HH, and since $\ell \neq HH$ we have T = LL = T'.

(ii) Let us consider now the case when $\Theta \models_v dec(v',v) : \mu \mathsf{K}^{\ell}[T]$ is derived by $[\mathit{sub}]$. Analogously we have

$$\frac{\Theta \models_{v} v : \mathsf{SymK}^{\ell^{\star}}[T_{1}] \dots}{\Theta \models_{v} dec(v', v) : T_{1}} \text{ [dec]} \quad T_{1} \leq \mu \mathsf{K}^{\ell}[T]} \text{ [sub]}$$
$$\Theta \models_{v} dec(v', v) : \mu \mathsf{K}^{\ell}[T]$$

and let us analyze the possible cases for $\Theta \models_{v} dec(v', v) : \mu' \mathsf{K}^{\ell'}[T']$.

(a) Case [dec]: In this case

$$\frac{\Theta \models_{v} v : \mathsf{SymK}^{\ell'^{\star}}[\mu'\mathsf{K}^{\ell'}[T']] \quad \Theta \models_{v} v' : T'_{1} \quad v' \neq enc(v'', v)}{\Theta \models_{v} dec(v', v) : \mu'\mathsf{K}^{\ell'}[T']} \ [\mathrm{dec}]$$

and by IH one has $\mu' \mathsf{K}^{\ell'}[T'] = T_1 \leq \mu \mathsf{K}^{\ell}[T]$ which implies by the same reasoning as case (i)-[sub] above that T = T' = LL and $\ell' \leq \ell$.

(b) Case [sub]: In this case

$$\frac{\Theta \models_{v} v : \mathsf{SymK}^{\ell'^{\star}}[T'_{1}] \dots}{\Theta \models_{v} dec(v', v) : T'_{1}} \text{ [dec]} \quad T'_{1} \leq \mu' \mathsf{K}^{\ell'}[T']} \text{ [sub]}$$

and since $T_1 \leq \mu \mathsf{K}^\ell[T]$ and $T_1' \leq \mu' \mathsf{K}^{\ell'}[T']$ one has by definition of \leq that T = T' = LL and $\ell, \ell' \in \{HL, LL\}$ and the result follows.

The case $v'' = dec^a(v',v)$ is analogous using [deca] and Dec instead of [dec] and Sym. \Box

We can now define when a typing-environment Γ , a well-formedness function Θ , and a map σ from types to ground-types are correct with respect to a particular memory M, a handle-map H, and a set of atomic values V. In short, this definition requires that memory cells of type T record values with (a ground) run-time type $T\sigma$; the handle-map associates properly the values with their correspondent type; and that the values in V are recorded in Θ with their exact type, and not a subtype of it. This last property is important in our main theorem as we want to be sure that the generated keys are recorded in Θ with their appropriated type and not a subtype of it. This way we will be able to construct a Γ that will record the minimum type that a value needs to have and distinguish well generated keys from arbitrarily generated ones.

Definition 14 (Well-formedness). $\Gamma, \Theta, \sigma \vdash M, H, V$ *if*

- $\Gamma, \Theta, \sigma \vdash_{\mathsf{M}} \mathsf{M}, i.e., \mathsf{M}(x) = v, \Gamma(x) = T \text{ implies } \Theta \models_{v} v : T\sigma; \text{ and } v : T\sigma : \mathsf{M}(x) = \mathsf{M}$
- $\Theta \models_{\mathsf{H}} \mathsf{H}$, i.e., $\mathsf{H}(v') = (v,T)$ implies $\Theta \models_v v : T$;
- $\Theta \Vdash_{\mathsf{V}} \mathsf{H}, \mathsf{V}, \textit{i.e.}, \textit{val} \in \mathsf{V} \textit{ then } \exists g. \mathsf{H}(g) = (\textit{val}, T) \textit{ and } \Theta(\textit{val}) = T.$

We can easily show by Lemma 15, that all values typed by Θ are ground, and so it follows from the previous definition that σ is such that all the $T\sigma$ above are ground.

Lemma 15. Let Θ be a well-formedness function.

If
$$\Theta \models_v v : T$$
 then T is ground.

Proof. The proof is by induction on the derivation of $\Theta \models_v v : T$.

Base case. Rule [atom]: In this case v is atomic and $\Theta(v)=T$. By construction of Θ,T is a ground type.

Inductive Step.

(*i*) Rule [*sub*]:

$$\frac{\Theta \models_{v} v : T' \quad T' \leq T}{\Theta \models_{v} v : T} \text{ [sub]}$$

By induction hypothesis T' is ground. By definition of \leq , and since we do not use the rule [sub] uselessly we have that T is also ground and the result follows.

(ii) Rules [ek], [vk], [dec], and [deca]: follows directly from IH.

Having defined the properties of run-time values for keys and the notion of well-formed memory and handle-maps we can now characterize which values are derivable by an adversary. We show that with the attacker model defined in Section 2, given an initial set of values of type LL, the attacker can only derive values of type LL. Intuitively, having type LL, or LH via subtyping, is a necessary condition for a well-formed value to be deducible by the attacker.

Proposition 16. Let Θ be a well-formedness mapping and V be a set of values such that $\Theta \models_v v : LL$ for all $v \in V$.

Then,
$$v \in \mathcal{K}(V)$$
 implies $\Theta \models_v v : LL$.

Proof. We prove by induction on the number of steps needed to derive $v \in \mathcal{K}(V)$.

Base case is when the derivation has length 0 hence $v \in V$. By hypothesis the result follows.

Suppose now that $v, v' \in \mathcal{K}(V)$ and by IH $\Theta \models_v v : LL$ and $\Theta \models_v v' : LL$. We consider all the cases in the definition of $v'' \in \mathcal{K}(V)$ and show that $\Theta \models_v v'' : LL$.

(1)
$$v'' = enc(v', v), enc^{a}(v', v), sig(v', v), ek(v), vk(v).$$

(i) Case v'' = enc(v', v):

$$\frac{\vdots}{ \frac{\Theta \models_{v} v : LL}{\Theta \models_{v} v : Sym\mathsf{K}^{LL}[LL]}} \underbrace{ [\mathrm{sub}] }_{ \frac{\Theta \models_{v} v : Sym\mathsf{K}^{LL}[LL]}{\Theta \models_{v} enc(v',v) : LL}} \underbrace{ [\mathrm{IH}] }_{ [\mathrm{enc}] }$$

- (ii) Case $v'' = enc^a(v', v)$: idem with Enc and rule [enca].
- (iii) Case v'' = sig(v', v): analogous with Sig and rule [sig].
- (iv) Case v'' = ek(v):

$$\begin{array}{c|c} \vdots \\ \hline \Theta \models_v v : LL & [IH] & LL \leq \mathsf{DecK}^{LL}[LL] & [\mathrm{sub}] \\ \hline \\ \hline \Theta \models_v v : \mathsf{DecK}^{LL}[LL] & [\mathrm{ek}] & \mathsf{EncK}^{LL}[LL] \leq LL \\ \hline \Theta \models_v ek(v) : \mathsf{EncK}^{LL}[LL] & [\mathrm{sub}] \end{array} \end{array}$$

(v) Case v'' = vk(v): analogous with Sig and Ver and rule [vk].

(2)
$$v'' \in \mathcal{K}(V)$$
 because $v = enc(v'', v')$ or $v = enc^a(v'', ek(v'))$.

- (i) Case v = enc(v'', v'): analyzing the rules, [enc] and [sub] are the only possibilities for $\Theta \models_v enc(v'', v') : LL$.
 - (a) Considering the case [enc] we get

$$\frac{\Theta \models_{v} v' : \mathsf{SymK}^{\ell_{C}L}[T''] \quad \Theta \models_{v} v'' : T''}{\Theta \models_{v} enc(v'', v') : LL} \ [enc]$$

Since by IH $\Theta \models_{v} v' : LL$ we also have

$$\frac{\vdots}{\Theta \models_{v} v' : LL} \text{ [IH] } LL \leq \operatorname{SymK}^{LL}[LL] \text{ [sub]}$$
$$\Theta \models_{v} v' : \operatorname{SymK}^{LL}[LL]$$

By Proposition 13 one has T'' = LL which implies $\Theta \models_v v'' : LL$.

(b) Considering the case [sub] we get

$$\frac{\Theta \models_{v} v' : \mathsf{SymK}^{\ell_{C}\ell_{I}(T')}[T''] \quad \Theta \models_{v} v'' : T''}{\Theta \models_{v} enc(v'', v') : T'} \text{ [enc] } \quad T' \leq LL}{\Theta \models_{v} enc(v'', v') : LL} \text{ [sub]}$$

Similarly as in the case (2.i.a) above, by Proposition 13 one has T'' = LL hence $\Theta \models_v v'' : LL$.

- (ii) Case $v = enc^a(v'', ek(v'))$: analyzing the rules, [enca] and [sub] are only two possibilities for $\Theta \models_v enc^a(v'', ek(v')) : LL$.
 - (a) Considering the case [enca] we get

$$\frac{\Theta \models_{v} ek(v') : \mathsf{EncK}^{\ell_{C}L}[T''] \quad \Theta \models_{v} v'' : T''}{\Theta \models_{v} enc^{a}(v'', ek(v')) : LL} \ [\mathsf{enca}]$$

By Proposition 11(3) we have $\ell_C L = LH$ or T'' = LL. Since the former is not true, the latter proves our claim.

(b) Considering now the case [sub] we get

$$\frac{\Theta \models_{v} ek(v') : \mathsf{EncK}^{\ell_{C}\ell_{I}(T')}[T''] \quad \Theta \models_{v} v'' : T''}{\Theta \models_{v} enc^{a}(v'', ek(v')) : T'} \underbrace{ \begin{array}{c} \mathsf{Encal} \\ \mathsf{G} \\ \mathsf{Encal} \end{array}}_{} T' \leq LL \underbrace{ \\ \mathsf{Sub} \\ \end{array}}_{} [\mathsf{sub}]$$

Let us analyze the possible ways to derive $\Theta \models_v ek(v') : \mathsf{EncK}^{\ell_C \ell_I(T')}[T'']$. It is either by [atom], [sub] or [ek].

- Case [atom] is not possible because ek(v') is not atomic.
- Case [sub] leads to $\Theta \models_v ek(v'): T'''$ for $T''' \leq \operatorname{EncK}^{\ell_C\ell_I(T')}[T'']$ which by definition of \leq implies T'' = LL.

• Case [*ek*]:

$$\frac{\Theta \models_{v} v' : \mathsf{DecK}^{\ell_C'\ell_I(T')}[T'']}{\Theta \models_{v} ek(v') : \mathsf{EncK}^{\ell_C\ell_I(T')}[T'']} \ [\mathrm{ek}]$$

By IH, similarly to case (2.i.a) one has $\Theta \models_v v' : \mathsf{DecK}^{LL}[LL]$ and by Proposition 13 we get T'' = LL.

(3) $v'' = dec(v', v) \in \mathcal{K}(V)$ and $v' \neq enc(v'', v)$.

$$\begin{array}{c|c} \vdots \\ \hline \Theta \models_v v : LL \end{array} \begin{tabular}{l} [IH] & $LL \le \mathsf{Sym}\mathsf{K}^{LL}[LL]$ & [sub] \\ \hline \hline & \Theta \models_v v : \mathsf{Sym}\mathsf{K}^{LL}[LL]$ & \dots & $v' \ne enc(v'',v)$ \\ \hline & \Theta \models_v dec(v',v) : LL \end{tabular} \begin{tabular}{l} [dec] \end{tabular}$$

- (4) $v'' = dec^a(v', v) \in \mathcal{K}(V)$ and $v' \neq enc^a(v'', ek(v))$. Similar to case (3) using Dec and [deca] instead of Sym and [dec]. Notice that the side-condition of rule [deca] is verified since the payload T = LL.
- (5) $v'' \in \mathcal{K}(V)$ because v' = sig(v'', v''') and v = vk(v'''). By IH we know that $\Theta \models_v sig(v'', v''') : LL$. There are only 3 hypothesis to derive v' = sig(v'', v'''): either by [atom], by [sig], or by [sub].
 - (i) The first case, [atom], is not possible as sig(v'', v''') is not atomic.
 - (ii) The second, [sig], implies that

$$\frac{\dots \Theta \models_{v} v'' : L\ell_{I}''}{\Theta \models_{v} sig(v'', v''') : LL} \text{ [sig]}$$

and by $[sub] \Theta \models_v v'' : LL$.

(iii) Let us finally consider the case [sub]. In this case we have

$$\frac{\Theta \models_{v} sig(v'', v''') : T \quad T \leq LL}{\Theta \models_{v} sig(v'', v''') : LL} \text{ [sub]}$$

Looking now at $\Theta \models_v sig(v'', v''') : T$ we know that it cannot be by [atom] because it is not atomic; cannot be by [sub] because we assumed we never apply rule [sub] twice in sequence; and so it can only be by [sig] which implies

$$\frac{\dots \quad \Theta \models_{v} v'' : \ell_{C}(T)\ell_{I}''}{\Theta \models_{v} sig(v'', v''') : T} \text{ [sig]} \quad T \leq LL \\ \Theta \models_{v} sig(v'', v''') : LL} \text{ [sub]}$$

Now, if $T \leq LL$ we have $T = LL, LH, \mu \mathsf{K}^{LH}[T^\star]$, or $\mu \mathsf{K}^{LL}[T^\star]$ for some T^\star which implies in any case that $\ell_C(T) = L$, hence $\Theta \models_v v'' : L\ell_I{''}$ that by [sub] proves our result.

It is important that the type of expressions and the type of their corresponding values are consistent at runtime. The next Proposition states that when evaluating an expression with type T in a well-formed memory, the type of the returned value is $T\sigma$. Recall that the range of Θ are only the ground types whereas the range of Γ are all types. We thus need to have a map σ that accounts for this.

Proposition 17. Let $\Gamma \vdash_e e : T$, $e \downarrow^M v$, Θ a well-formedness function and σ a map from types to ground types.

If
$$\Gamma, \Theta, \sigma \vdash_{\mathsf{M}} \mathsf{M}$$
 then it holds $\Theta \models_{v} v : T\sigma$.

Proof. By induction on the derivation $\Gamma \vdash_e e : T$.

Base case. Rule [var]: e = x and $x \downarrow^{M} v = M(x)$.

$$\frac{\Gamma(x) = T \quad \Gamma \vdash \diamond}{\Gamma \vdash_e x : T} \text{ [var]}$$

By well-formedness of M we get $\Theta \models_{v} v : T\sigma$. Inductive Step.

(i) Rule [sub]: By hypothesis $\Gamma \vdash_e e : T$ and $e \downarrow^{\mathsf{M}} v$.

$$\frac{\Gamma \vdash_{e} e : T' \quad T' \leq T}{\Gamma \vdash_{e} e : T} \text{ [sub]}$$

Applying IH one gets $\Theta \models_v v : T'\sigma$ and by Lemma 2 $T'\sigma \leq T\sigma$. So one can derive

$$\frac{\vdots}{\Theta \models_{v} v : T'\sigma} [\text{IH}] \quad T'\sigma \leq T\sigma \\ \Theta \models_{v} v : T\sigma \quad [\text{sub}]$$

(ii) Rule [ek]: By hypothesis $\Gamma \vdash_e \operatorname{ek}(x) : \operatorname{EncK}^{L\ell_I}[T]$ and $\operatorname{ek}(x) \downarrow^{\mathsf{M}} ek(v)$ with $x \downarrow^{\mathsf{M}} v$.

$$\frac{\Gamma \vdash_e x : \mathsf{DecK}^{\ell_C \ell_I}[T]}{\Gamma \vdash_e \mathsf{ek}(x) : \mathsf{EncK}^{L \ell_I}[T]} \ [\mathrm{ek}]$$

By IH $\Theta \models_v v : \mathsf{DecK}^{\ell_C \ell_I}[T\sigma]$ and by [ek]

$$\frac{\vdots}{\Theta \models_{v} v : \mathsf{DecK}^{\ell_{C}\ell_{I}}[T\sigma]} \stackrel{[\mathrm{IH}]}{\Theta \models_{v} ek(v) : \mathsf{EncK}^{L\ell_{I}}[T\sigma]} \stackrel{[\mathrm{ek}]}{}$$

(iii) Rule [vk]: Analogous replacing Enc, Dec, and [ek] by Ver, Sig, and [vk].

(iv) Rule [enc]: By hypothesis $\Gamma \vdash_e \operatorname{enc}(e,x) : L\ell_I$ and $\operatorname{enc}(e,x) \downarrow^{\mathsf{M}} \operatorname{enc}(v',v)$ with $e \downarrow^{\mathsf{M}} v'$ and $x \downarrow^{\mathsf{M}} v$.

$$\frac{\Gamma \vdash_{e} x : \mathsf{SymK}^{\ell_{C}\ell_{I}}[T] \quad \Gamma \vdash_{e} e : T}{\Gamma \vdash_{e} \mathsf{enc}(e, x) : L\ell_{I}} \ [\mathsf{enc}]$$

By IH $\Theta \models_v v' : T\sigma$ and $\Theta \models_v v : \mathsf{SymK}^{\ell_C \ell_I}[T\sigma]$ and by [enc]

$$\frac{\vdots}{\Theta \models_{v} v : \mathsf{SymK}^{\ell_{C}\ell_{I}}[T\sigma]} \stackrel{[\mathrm{IH}]}{=} \frac{\vdots}{\Theta \models_{v} v' : T\sigma} \stackrel{[\mathrm{IH}]}{=} \Theta \models_{v} enc(v',v) : L\ell_{I}$$

the result follows.

- (v) Rule [enca]: Analogous to the case [enc].
- (vi) Rule [dec]: By hypothesis $\Gamma \vdash_e \operatorname{dec}(e, x) : T$ and so

$$\frac{\Gamma \vdash_{e} x : \mathsf{SymK}^{\ell}[T] \quad \Gamma \vdash_{e} e : T''}{\Gamma \vdash_{e} \mathsf{dec}(e, x) : T} \ [\mathrm{dec}]$$

We have two cases:

(a) $x \downarrow^{\mathsf{M}} v$ and $e \downarrow^{\mathsf{M}} v''$ with $v'' \neq enc(v',v)$. In this case $\mathsf{dec}(e,x) \downarrow^{\mathsf{M}} dec(v'',v)$ and we want to show $\Theta \models_{v} dec(v'',v) : T\sigma$.

By IH $\Theta \models_v v : \mathsf{SymK}^{\ell}[T\sigma]$ and $\Theta \models_v v'' : T''\sigma$ and

$$\frac{\vdots}{\Theta \models_{v} v : \mathsf{SymK}^{\ell}[T\sigma]} \ \underbrace{[\mathsf{IH}]}_{\Theta \models_{v} v'' : T''\sigma} \ \underbrace{[\mathsf{IH}]}_{v'' \neq enc(v',v)} \ \underbrace{[\mathsf{dec}]}_{[\mathsf{dec}]}$$

proves the result.

(b) $x \downarrow^{\mathsf{M}} v$ and $e \downarrow^{\mathsf{M}} enc(v',v)$. In this case $\operatorname{dec}(e,x) \downarrow^{\mathsf{M}} v'$ and we want to show that $\Theta \models_v v' : T\sigma$.

By IH $\Theta \models_v v : \mathsf{SymK}^{\ell}[T\sigma]$ and $\Theta \models_v enc(v',v) : T''\sigma$. Let us analyze this last derivation. It was either by [enc] or [sub] because enc(v',v) is not atomic.

• Suppose it was by [enc]:

$$\frac{\Theta \models_{v} v : \mathsf{SymK}^{\ell_{C}\ell_{I}(T''\sigma)}[T'] \quad \Theta \models_{v} v' : T'}{\Theta \models_{v} enc(v', v) : T''\sigma} \text{ [enc]}$$

for some ground T'. Applying Proposition 13 to v we get $T\sigma=T'$ and so the result follows.

• If one used [sub] then

$$\frac{\Theta \models_{v} enc(v', v) : T''' \quad T''' \le T''\sigma}{\Theta \models_{v} enc(v', v) : T''\sigma} \text{ [sub]}$$

for some ground T'''. Now, since we do not have useless applications of [sub], the only possibility for $\Theta \models_v enc(v',v): T'''$ is by [enc] and so, applying the reasoning just used before now with $\Theta \models_v enc(v',v): T'''$ instead of $T''\sigma$ the result follows.

(vii) Rule [deca]: By hypothesis $\Gamma \vdash_e \operatorname{dec}^a(e, x) : T$ and so

$$\frac{\Gamma \vdash_e x : \mathsf{DecK}^\ell[T] \quad \Gamma \vdash_e e : T'' \quad \ell_I(T'') \neq H \implies T = LL}{\Gamma \vdash_e \mathsf{dec}^a(e, x) : T} \quad [\mathsf{deca}]$$

We have again two cases:

(a) $x \downarrow^{\mathsf{M}} v$ and $e \downarrow^{\mathsf{M}} v''$ with $v'' \neq enc^a(v', ek(v))$. In this case $\operatorname{dec}^a(e, x) \downarrow^{\mathsf{M}} dec^a(v'', v)$ and we want to show $\Theta \models_v dec^a(v'', v) : T\sigma$.

By IH $\Theta \models_v v'' : T''\sigma$ and $\Theta \models_v v : \mathsf{DecK}^\ell[T\sigma]$ and so

$$\frac{\vdots}{\Theta \models_{v} v : \mathsf{DecK}^{\ell}[T\sigma]} [\mathsf{IH}] \quad \frac{\vdots}{\Theta \models_{v} v'' : T''\sigma} [\mathsf{IH}]$$

$$v'' \neq enc^{a}(v', ek(v)) \quad \ell_{I}(T''\sigma) \neq H \implies T\sigma = LL$$

$$\Theta \models_{v} dec^{a}(v'', v) : T\sigma$$
 [deca]

proves the result if the side-condition is verified. Suppose that $\ell_I(T''\sigma) \neq H$, ie, $\ell_I(T''\sigma) = L$. We analyze the possible cases for T'':

- T'' = X implies $\ell_I(T'') = \ell_I(X) = L = \ell_I(T''\sigma)$;
- $T'' = \ell$ implies $\ell_I(T'') = \ell_I(\ell) = \ell_I(T''\sigma)$;
- $T'' = \mu \mathsf{K}^{\ell}[T^{\star}]$ implies $\ell_I(T'') = \ell_I(\mu \mathsf{K}^{\ell}[T^{\star}]) = \ell_I(\ell) = \ell_I(\mu \mathsf{K}^{\ell}[T^{\star}\sigma]) = \ell_I(T''\sigma)$.

Hence $\ell_I(T''\sigma) = L$ implies $\ell_I(T'') = L$; by the side-condition of $\Gamma \vdash_e \det^a(e,x) : T$ we have T = LL; and finally $T\sigma = T = LL$.

(b) $x \downarrow^{\mathsf{M}} v$ and $e \downarrow^{\mathsf{M}} enc^a(v', ek(v))$. In this case $\operatorname{dec}^a(e, x) \downarrow^{\mathsf{M}} v'$ and we want to show that $\Theta \models_v v' : T\sigma$.

By IH $\Theta \models_v v : \mathsf{DecK}^\ell[T\sigma]$ and $\Theta \models_v enc^a(v',ek(v)) : T''\sigma$. Let us analyze this last derivation. It was either by [enca] or [sub] because $enc^a(v',ek(v))$ is not atomic.

• Suppose it was by [enca]:

$$\frac{\Theta \models_{v} ek(v) : \mathsf{EncK}^{\ell_{C}\ell_{I}(T''\sigma)}[T'] \quad \Theta \models_{v} v' : T'}{\Theta \models_{v} enc^{a}(v', ek(v)) : T''\sigma} \text{ [enca]}$$

We can now look at the possibilities for obtaining ek(v) and see that [ek] or [sub].

 \circ for [ek] one has

$$\frac{\Theta \models_{v} v : \mathsf{DecK}^{\ell_{C}'\ell_{I}(T''\sigma)}[T']}{\Theta \models_{v} ek(v) : \mathsf{EncK}^{\ell_{C}\ell_{I}(T''\sigma)}[T']} \ [\mathrm{ek}]$$

and applying Proposition 13 to v we get $T\sigma = T'$ and the result follows.

o for [sub] one has

$$\frac{\Theta \models_{v} ek(v) : T''' \quad T''' \le \mathsf{EncK}^{\ell_{C}\ell_{I}(T''\sigma)}[T']}{\Theta \models_{v} ek(v) : \mathsf{EncK}^{\ell_{C}\ell_{I}(T''\sigma)}[T']} \text{ [sub]}$$

for some ground T'''. Since we have no useless applications of [sub], we have by \leq that $\ell_I(T''\sigma)=L$ and T'=LL.

As seen above, case (vii.a), $\ell_I(T''\sigma) = L$ implies $\ell_I(T'') = L$ hence the side-condition of [deca] implies that T = LL and so $\Theta \models_v v' : T' = LL = T = T\sigma$ as we wanted.

• if $\Theta \models_{v} enc^{a}(v', ek(v)) : T''\sigma$ was derived by [sub] then

$$\frac{\Theta \models_{v} enc^{a}(v', ek(v)) : T^{\star} \quad T^{\star} \leq T''\sigma}{\Theta \models_{v} enc^{a}(v', ek(v)) : T''\sigma} \text{ [sub]}$$

for some ground T^\star . Now, the only possibility for $\Theta \models_v enc^a(v',ek(v)): T^\star$ is by [enca] and so, applying the reasoning just used above in case (vii.b)-[enca] now with $\Theta \models_v enc^a(v',ek(v)): T^\star$ instead of $T''\sigma$ the same argument follows up to the point in [sub] where we can show now that $\ell_I(T^\star) = L$ rather than the needed $\ell_I(T''\sigma) = L$. However, given that $\ell_I(T^\star) = L$ and $T^\star \leq T''\sigma$ one can see analyzing all possible cases that $\ell_I(T''\sigma) = L$ and so we can continue with the same argument to obtain our result.

(viii) Rule [sig]: By hypothesis $\Gamma \vdash_e \mathsf{sig}(e,x) : \ell_C(T)\ell_I$ and $\mathsf{sig}(e,x) \downarrow^\mathsf{M} sig(v',v)$ with $e \downarrow^\mathsf{M} v'$ and $x \downarrow^\mathsf{M} v$.

$$\frac{\Gamma \vdash_{e} x : \mathsf{SigK}^{\ell_{C}\ell_{I}}[T] \quad \Gamma \vdash_{e} e : T}{\Gamma \vdash_{e} \mathsf{sig}(e, x) : \ell_{C}(T)\ell_{I}} \ [\mathsf{sig}]$$

and so we want to show $\Theta \models_v sig(v',v) : \ell_C(T)\ell_I$. By IH $\Theta \models_v v' : T\sigma$ and $\Theta \models_v v : \mathsf{SigK}^{\ell_C\ell_I}[T\sigma]$ and by [sig]

$$\frac{\vdots}{\Theta \models_{v} v : \mathsf{SigK}^{\ell_{C}\ell_{I}}[T\sigma]} \stackrel{\text{[IH]}}{\longrightarrow} \frac{\vdots}{\Theta \models_{v} v' : T\sigma} \stackrel{\text{[IH]}}{\longrightarrow} \\ \Theta \models_{v} sig(v', v) : \ell_{C}(T\sigma)\ell_{I}$$

If $T \neq X$ then $\ell_C(T\sigma) = \ell_C(T)$ and the result immediately follows. If not, notice that T = X implies $\ell_C(T\sigma) \sqsubseteq_C H = \ell_C(T)$, hence $\ell_C(T\sigma)\ell_I \leq \ell_C(T)\ell_I$ and the result follows by [sub].

(ix) Rule [ver]: By hypothesis $\Gamma \vdash_e \text{ver}(e, x) : T$ and so

$$\frac{\Gamma \vdash_{e} x : \mathsf{VerK}^{\ell_{C}\ell_{I}}[T] \quad \Gamma \vdash_{e} e : T' \quad \ell_{C}(T') = H \implies \ell_{I} = H}{\Gamma \vdash_{e} \mathsf{ver}(e, x) : T} \text{ [ver]}$$

Since $\operatorname{ver}(e,x) \downarrow^{\mathsf{M}} v'$ we also have that $e \downarrow^{\mathsf{M}} sig(v',v)$ and $x \downarrow^{\mathsf{M}} vk(v)$. We want to show that $\Theta \models_v v' : T\sigma$.

By IH $\Theta \models_v sig(v',v) : T'\sigma$ and $\Theta \models_v vk(v) : \mathsf{VerK}^{\ell_C\ell_I}[T\sigma]$. We now look at the possible derivations of sig(v',v). It is either by [sig] or [sub] as sig(v',v) is not atomic.

(a) [sig]: Since $\Theta \models_v sig(v', v) : T'\sigma$ we have

$$\frac{\Theta \models_{v} v' : \ell_{C}(T'\sigma)\ell_{I}' \quad \Theta \models_{v} v : \mathsf{SigK}^{\ell_{C}'\ell_{I}(T'\sigma)}[\ell_{C}(T'\sigma)\ell_{I}']}{\Theta \models_{v} sig(v',v) : T'\sigma} \text{ [sig]}$$

Now, from $\Theta \models_v vk(v)$: Ver $\mathsf{K}^{\ell_C\ell_I}[T\sigma]$ we know that this could only be obtained either by [vk] or [sub] since vk(v) is not atomic.

 \bullet Consider the first case [vk]. We have then

$$\frac{\Theta \models_{v} v : \mathsf{SigK}^{\ell_{C} \star_{\ell_{I}}}[T\sigma]}{\Theta \models_{v} vk(v) : \mathsf{VerK}^{\ell_{C} \ell_{I}}[T\sigma]} \ [vk]$$

which implies by Proposition 13 that $T\sigma = \ell_C(T'\sigma)\ell_I{'}$ hence $\Theta \models_v v' : T\sigma$.

• Consider now the case [sub]. In this case

$$\frac{\Theta \models_{v} vk(v) : T'' \quad T'' \le \mathsf{VerK}^{\ell_{C}\ell_{I}}[T\sigma]}{\Theta \models_{v} vk(v) : \mathsf{VerK}^{\ell_{C}\ell_{I}}[T\sigma]} \text{ [sub]}$$

for some ground T''. This implies by definition of \leq that $T\sigma = LL$ and $\ell_I = L$. Applying the side-condition in the contra-positive way one has $\ell_C(T') = L$ and consequently $\ell_C(T'\sigma) = L$.

Using this in the [sig]-rule above we get $\Theta \models_v v' : L\ell_I$ and by [sub] $\Theta \models_v v' : LL = T\sigma$.

(b) [sub]: Since $\Theta \models_v sig(v', v) : T'\sigma$

$$\frac{\Theta \models_{v} sig(v', v) : T^{\star} \quad T^{\star} \leq T'\sigma}{\Theta \models_{v} sig(v', v) : T'\sigma} \text{ [sub]}$$

for some ground T^\star . Now, the only possibility for $\Theta \models_v sig(v',v): T^\star$ is by [sig] and so, applying the reasoning just used in (ix.a) now with $\Theta \models_v sig(v',v): T^\star$ instead of $T'\sigma$ the argument follows until the point where we still derive by the side-condition that $\ell_C(T'\sigma) = \ell_C(T') = L$ but what we need for the argument to go through is that $\ell_C(T^\star) = L$. However, given that $\ell_C(T'\sigma) = L$ and $T^\star \leq T'\sigma$ one can analyze all possible cases and conclude that $\ell_C(T^\star) = L$ allowing us to substitute in the [sig]-rule above $\Theta \models_v v': \ell_C(T^\star)\ell_I$ by $L\ell_I$ and conclude the argument in the same way.

We are now ready to prove our subject-reduction Theorem that states that well-typed programs remain well-typed at run-time and preserve memory and handle-map well-formedness. We also have that all the atomic values associated with new-handles, and that were not already in memory, are recorded in Θ with their exact type.

Theorem 18. Let $\Gamma, \Theta, \sigma \vdash M, H, V$ and $\Gamma \vdash_{c} c$. If $\langle M, H, \sigma, c \rangle \rightarrow \langle M', H', \sigma', c' \rangle$ then

- (i) if $c' \neq \varepsilon$ then $\Gamma \vdash_{c} c'$;
- (ii) $\exists \Theta' \supseteq \Theta$ such that $\Gamma, \Theta', \sigma' \vdash M', H', V'$, where $V' = V \cup \{val \mid \exists g \in dom(H') \setminus dom(H).H'(g) = (val, T)\} \setminus ran[M]$.

Proof. Suppose that $\langle M, H, \sigma, c \rangle \rightarrow \langle M', H', \sigma', c' \rangle$.

We prove (i) by induction on c. We analyze the only two rules where $c' \neq \varepsilon$.

$$\frac{\langle \mathsf{M}, \mathsf{H}, \sigma, \mathsf{c}_1 \rangle \to \langle \mathsf{M}', \mathsf{H}', \sigma', \varepsilon \rangle}{\langle \mathsf{M}, \mathsf{H}, \sigma, \mathsf{c}_1; \mathsf{c}_2 \rangle \to \langle \mathsf{M}', \mathsf{H}', \sigma', \mathsf{c}_2 \rangle}$$

Since by hypothesis $\Gamma \vdash_{c} c_1; c_2$ we have that $\Gamma \vdash_{c} c_1$ and $\Gamma \vdash_{c} c_2$ which automatically implies our result.

$$\frac{\langle \mathsf{M}, \mathsf{H}, \sigma, \mathsf{c}_1 \rangle \to \langle \mathsf{M}', \mathsf{H}', \sigma', \mathsf{c}_1' \rangle}{\langle \mathsf{M}, \mathsf{H}, \sigma, \mathsf{c}_1; \mathsf{c}_2 \rangle \to \langle \mathsf{M}', \mathsf{H}', \sigma', \mathsf{c}_1'; \mathsf{c}_2 \rangle}$$

In this second case, since by IH $\Gamma \vdash_{\mathsf{c}} \mathsf{c}'_1$ and by hypothesis $\Gamma \vdash_{\mathsf{c}} \mathsf{c}_2$ it follows $\Gamma \vdash_{\mathsf{c}} \mathsf{c}'_1; \mathsf{c}_2$.

Let us now address (ii) analyzing all the possible cases. We want to show that given

- $\mathsf{M}(x) = v, \Gamma(x) = T \text{ implies } \Theta \models_v v : T\sigma; \text{ and }$
- H(v') = (v, T) implies $\Theta \models_v v : T$,
- $val \in V$ then $\exists g.H(g) = (val, T)$ and $\Theta(val) = T$,

there is a $\Theta' \supseteq \Theta$ such that

- (a) $\mathsf{M}'(x) = v, \Gamma(x) = T \text{ implies } \Theta' \models_v v : T\sigma';$ and
- (b) H'(v') = (v, T) implies $\Theta' \models_v v : T$,
- (c) $val \in V'$ then $\exists g.H'(g) = (val, T)$ and $\Theta'(val) = T$,
- (d) Θ' is well-defined, namely, it is a function, the image of Θ' only contains ground types, and verify conditions in (2).
- (i) Case c = x := e:

$$\frac{e \downarrow^{\mathsf{M}} v}{\langle \mathsf{M}, \mathsf{H}, \sigma, x := e \rangle \to \langle \mathsf{M}[x \mapsto v], \mathsf{H}, \sigma, \varepsilon \rangle}$$

Consider $\Theta' = \Theta$. Since by hypothesis $\Gamma \vdash_{\mathsf{c}} x := e$ implies $\Gamma(x) = T$ and $\Gamma \vdash_{e} e : T$, and $e \downarrow^{\mathsf{M}} v$ then by Proposition 17 we have $\Theta \models_{v} v : T\sigma$.

Since the only difference from M to M' is in $x \mapsto v$ and $\Theta' = \Theta(a)$ follows.

Since in this case H' = H and $\Theta' = \Theta$, (b), (c), and (d) follow immediately from the hypothesis.

(ii) Case c = x := getKey(y, T):

$$\frac{\mathsf{H}(\mathsf{M}(y)) = (v,T') \qquad T' = (T\sigma)\sigma' \qquad dom(\sigma') = fv(T\sigma)}{\langle \mathsf{M},\mathsf{H},\sigma,x := \mathsf{getKey}(y,T)\rangle \rightarrow \langle \mathsf{M}[x \mapsto v],\mathsf{H},\sigma\sigma',\varepsilon\rangle}$$

Consider again $\Theta' = \Theta$. The only difference from M to M' is in $x \mapsto v$.

(a) Since $\mathsf{M}'(x) = v$ and by $\Gamma \vdash_{\mathsf{c}} x := \mathsf{getKey}(y,T)$ we get $\Gamma(x) = T$, what we want to show is that $\Theta' \models_v v : T\sigma\sigma'$.

By hypothesis $\mathsf{H}(\mathsf{M}(y)) = (v,T')$ which implies by well-formedness that $\Theta \models_v v : T'$. Since $\Theta = \Theta'$ and by hypothesis $T' = T\sigma\sigma'$, the result follows.

Again, since in this case H' = H and $\Theta' = \Theta$, (b), (c), and (d) are immediate from the hypothesis.

(iii) Case c = x := genKey(T):

$$\begin{split} g, g' &\leftarrow \mathcal{G} &\quad T\sigma \text{ ground} \\ T\sigma &= \mu \mathsf{K}^{\ell}[T'] \implies \mu \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\} \land (\ell = HH \lor T' = LL) \\ \langle \mathsf{M}, \mathsf{H}, \sigma, x := \mathsf{genKey}(T) \rangle &\rightarrow \langle \mathsf{M}[x \mapsto g], \mathsf{H}[g \mapsto (g', T\sigma)], \sigma, \varepsilon \rangle \end{split}$$

Since g, g' are a freshly random atomic values, define $\Theta' = \Theta \cup \{g \mapsto LL, g' \mapsto T\sigma\}$.

- (a) The only difference from M to M' is in $x \mapsto g$. Since M'(x) = g and by $\Gamma \vdash_{\mathsf{c}} x := \mathsf{genKey}(T)$ we get $\Gamma(x) = LL$, what we want to show is that $\Theta' \models_{v} g : LL$ which is true by construction.
- (b) Now from H to H' the difference is $g \mapsto (g', T\sigma)$. We want to show then that $\Theta' \models_v g' : T\sigma$ which is also true by construction.
- (c) Since the difference from H to H' is $g \mapsto (g', T\sigma)$, g' is atomic, and $g' \notin ran[M]$ we have that $V' = V \cup \{g'\}$. By construction $\exists g.H'(g) = (g', T\sigma)$ with $\Theta'(g') = T\sigma$.

To prove (d) notice that g, g' are fresh, LL and $T\sigma$ are ground by hypothesis, and by construction and side-condition of the rule both LL and $T\sigma$ satisfy the conditions in (2).

(iv) Case c = x := setKey(y, T):

$$\frac{g \leftarrow \mathcal{G} \qquad T\sigma \text{ ground}}{\langle \mathsf{M}, \mathsf{H}, \sigma, x := \mathsf{setKey}(y, T) \rangle \rightarrow \langle \mathsf{M}[x \mapsto g], \mathsf{H}[g \mapsto (\mathsf{M}(y), T\sigma)], \sigma, \varepsilon \rangle}$$

Since g is a freshly random atomic value, define $\Theta' = \Theta \cup \{g \mapsto LL\}$.

- (a) The only difference from M to M' is in $x\mapsto g$. Since $\mathsf{M}'(x)=g$ and by $\Gamma\vdash_\mathsf{c} x:=\mathsf{setKey}(y,T)$ we get $\Gamma(x)=LL$ and $\Gamma\vdash_e y:T$, what we want to show is that $\Theta'\models_v g:LL$ that is true by construction.
- (b) Now from H to H' the difference is $g \mapsto (\mathsf{M}(y), T\sigma)$. We want to show then that $\Theta' \models_v \mathsf{M}(y) : T\sigma$. By Proposition 17 since $\Gamma \vdash_e y : T$ by typing, $y \downarrow^\mathsf{M} \mathsf{M}(y)$ by definition, and $\Gamma, \Theta, \sigma \vdash \mathsf{M}, \mathsf{H}, \mathsf{V}$ by hypothesis one has $\Theta \models_v \mathsf{M}(y) : T\sigma$. Since $\Theta \subset \Theta'$ (b) follows.
- (c) The difference from H to H' is $g\mapsto (\mathsf{M}(y),T\sigma)$ but $\mathsf{M}(y)\in \mathit{ran}(\mathsf{M})$ so $\mathsf{V}'=\mathsf{V}$ and the result follows by hypothesis;

To prove (d) notice that g is fresh, LL is ground, and LL satisfy the conditions in (2).

(v) Case c = return e has no transition associated.

(vi) Case $c = c_1; c_2$: both cases follow directly from IH.

We can now show that one can construct a Θ that types all the values known to the adversary as LL while at the same time typing all the values not known for the adversary with their exact type.

Let
$$V_{ok}(H, V) = \{val \mid \exists g. H(g) = (val, T)\} \setminus \mathcal{K}(V)$$
.

Lemma 19. Let $\Gamma \vdash_{\mathsf{c}} \mathcal{A}$ and $\langle \mathsf{H}_0, V_0 \rangle \leadsto_{\mathcal{A}}^* \langle \mathsf{H}, V \rangle$.

Then, there exists Θ such that $\Theta \models_{\mathsf{H}} \mathsf{H}$, $\Theta \models_{v} v : LL$ for each $v \in V$, and $\Theta \Vdash_{\mathsf{V}} \mathsf{H}, \mathsf{V}_{\mathsf{ok}}(\mathsf{H}, V)$.

Proof. We show the result by induction on the length of the attack.

The base case is when $H = \emptyset$ and $V = V_0$. Given that H is empty and $V = V_0 \subseteq \mathcal{C}$, defining $\Theta(v) = LL$ for all $v \in V_0$ gives us immediately the result.

Consider now that $\langle \mathsf{H}_0, V_0 \rangle \leadsto_{\mathcal{A}}^* \langle \mathsf{H}_n, V_n \rangle \leadsto_{\mathcal{A}} \langle \mathsf{H}, V \rangle$. By IH $\exists \Theta_n$ such that

- $\Theta_n \models_{\mathsf{H}} \mathsf{H}_n$,
- $\Theta_n \models_v v : LL$ for all $v \in V_n$, and
- $\Theta_n \Vdash_{\mathsf{V}} \mathsf{H}_n, \mathsf{V}_{\mathsf{ok}}(\mathsf{H}_n, V_n)$.

$$\frac{\mathsf{a} \in \mathcal{A} \quad v_1, \dots, v_k \in \mathcal{K}(V_n) \quad \mathsf{a}(v_1, \dots, v_k) \mid^{\mathsf{H}_n, \mathsf{H}} v}{\langle \mathsf{H}_n, V_n \rangle \leadsto_{\mathcal{A}} \langle \mathsf{H}, V_n \cup \{v\} \rangle}$$

Looking at the last step there was a call to some $a \in \mathcal{A}$ with $v_1, \ldots, v_k \in \mathcal{K}(V_n)$, $a(v_1, \ldots, v_k) \mid^{\mathsf{H}_n, \mathsf{H}} v$, and $V = V_n \cup \{v\}$.

Given that by IH $\Theta_n \models_v v : LL$ for all $v \in V_n$ and $v_1, \ldots, v_k \in \mathcal{K}(V_n)$ we get by Proposition 16 that $\Theta_n \models_v v_i : LL$.

Unfolding the operation call we get that $\mathbf{a} = \lambda x_1 \dots x_k.\mathbf{c}, \langle \mathsf{M}_{\epsilon}[x_i \mapsto v_i], \mathsf{H}_n, \emptyset, \mathbf{c} \rangle \to \langle \mathsf{M}, \mathsf{H}, \sigma, \mathsf{return} \ e \rangle$ and $e \downarrow^\mathsf{M} v$, and consequently from $\Gamma \vdash_\mathsf{c} \mathbf{a}$ we get $\Gamma \vdash_\mathsf{c} \mathbf{c}$ and $\Gamma \vdash_\mathsf{e} x_i : LL$.

Now, from $\mathsf{M}_{\epsilon}[x_i \mapsto v_i](x_i) = v_i$, $\Gamma \vdash_e x_i : LL$, and $\Theta_n \models_v v_i : LL$ we get by definition of well-formedness $\Gamma, \Theta_n, \emptyset \vdash_{\mathsf{M}} \mathsf{M}_{\epsilon}[x_i \mapsto v_i]$ that together with IH imply $\Gamma, \Theta_n, \emptyset \vdash_{\mathsf{M}_{\epsilon}}[x_i \mapsto v_i], \mathsf{H}_n, \mathsf{V}_{\mathsf{ok}}(\mathsf{H}_n, V_n)$.

We can hence apply Theorem 18 to $\langle \mathsf{M}_{\epsilon}[x_i \mapsto v_i], \mathsf{H}_n, \emptyset, \mathsf{c} \rangle \to \langle \mathsf{M}, \mathsf{H}, \sigma, \mathsf{return} \ e \rangle$ and obtain

- (i) $\Gamma \vdash_{\mathsf{c}} \mathsf{return}\ e$ and consequently $\Gamma \vdash_{e} e : LL;$
- (ii) $\exists \Theta \supseteq \Theta_n$ such that $\Gamma, \Theta, \sigma \vdash M, H, V$ where $V = V_{ok}(H_n, V_n) \cup \{val \mid \exists g \in dom(H) \setminus dom(H_n).H(g) = (val, T)\} \setminus ran[M_{\epsilon}[x_i \mapsto v_i]]$

From (ii) it follows immediately that $\Gamma, \Theta, \sigma \vdash_{\mathsf{M}} \mathsf{M}, \Theta \models_{\mathsf{H}} \mathsf{H}$, and $\Theta \Vdash_{\mathsf{V}} \mathsf{H}, \mathsf{V}_{\mathsf{ok}}$.

Given that $\Gamma \vdash_e e : LL$, $e \downarrow^{\mathsf{M}} v$, and $\Gamma, \Theta, \sigma \vdash_{\mathsf{M}} \mathsf{M}$, we apply Proposition 17 to get $\Theta \models_v v : LL$.

Finally since by IH $\Theta_n \models_v v' : LL$ for all $v' \in V_n$, $\Theta_n \subseteq \Theta$ and $\Theta \models_v v : LL$ we get that $\Theta \models_v v : LL$ for all $v \in V_n \cup \{v\} = V$.

Since $\Theta \Vdash_{\mathsf{V}} \mathsf{H}, \mathsf{V}$ and $\mathsf{V}_{\mathsf{ok}}(\mathsf{H}_n, V_n) \subseteq \mathsf{V}$ we have $\Theta \Vdash_{\mathsf{V}} \mathsf{H}, \mathsf{V}_{\mathsf{ok}}(\mathsf{H}_n, V_n)$ (see Table 6).

```
\begin{array}{lll} \mathsf{V} = & \mathsf{V}_{\mathsf{ok}}(\mathsf{H}_n,\mathsf{V}_n) \cup \{val \mid \exists g \in dom(\mathsf{H}) \setminus dom(\mathsf{H}_n).\mathsf{H}(g) = (val,T)\} \setminus ran[\mathsf{M}_{\epsilon}[x_i \mapsto v_i]] \\ = & \mathsf{V}_{\mathsf{ok}}(\mathsf{H}_n,\mathsf{V}_n) \cup \{val \mid \exists g \in dom(\mathsf{H}) \setminus dom(\mathsf{H}_n).\mathsf{H}(g) = (val,T)\} \setminus \{v_1,\ldots,v_k\} \\ \supseteq & \mathsf{V}_{\mathsf{ok}}(\mathsf{H}_n,\mathsf{V}_n) \cup \{val \mid \exists g \in dom(\mathsf{H}) \setminus dom(\mathsf{H}_n).\mathsf{H}(g) = (val,T)\} \setminus \mathcal{K}(V_n) \\ = & \{val \mid \exists g.\mathsf{H}_n(g) = (val,T)\} \setminus \mathcal{K}(V_n) \cup \{val \mid \exists g \in dom(\mathsf{H}) \setminus dom(\mathsf{H}_n).\mathsf{H}(g) = (val,T)\} \setminus \mathcal{K}(V_n) \\ = & \{val \mid \exists g.\mathsf{H}_n(g) = (val,T)\} \cup \{val \mid \exists g \in dom(\mathsf{H}) \setminus dom(\mathsf{H}_n).\mathsf{H}(g) = (val,T)\} \setminus \mathcal{K}(V_n) \\ \supseteq & \{val \mid \exists g.\mathsf{H}(g) = (val,T)\} \setminus \mathcal{K}(V) \\ = & \mathsf{V}_{\mathsf{ok}}(\mathsf{H},V) \end{array}
```

Table 6: Auxiliary table for proof of Lemma 19

Lemma 20. Let $\Gamma \vdash_{\mathsf{c}} \mathcal{A}$ and $\langle \mathsf{H}_0, V_0 \rangle \leadsto_{\mathcal{A}}^* \langle \mathsf{H}, V \rangle \leadsto_{\mathcal{A}}^* \langle \mathsf{H}', V' \rangle$. Then, there exists Θ, Θ' with $\Theta \subseteq \Theta'$ such that

- $\Theta \models_{\mathsf{H}} \mathsf{H} \ and \ \Theta \models_{v} v : LL \ for \ each \ v \in V, \ and$
- $\Theta \Vdash_{\mathsf{V}} \mathsf{H}, \mathsf{V}_{\mathsf{ok}}(\mathsf{H}, V)$

and

- $\Theta' \models_{\mathsf{H}} \mathsf{H}'$ and $\Theta' \models_{v} v : LL$ for each $v \in V'$, and
- $\Theta' \Vdash_{\mathsf{V}} \mathsf{H}', \mathsf{V}_{\mathsf{ok}}(\mathsf{H}', V')$

Proof. Direct from the Lemma 19

We can now state the main result of the paper: well-typed APIs are secure, according to Definition 6.

Theorem 21. Let $\Gamma \vdash_{\mathsf{c}} A$. Then A is secure.

Proof. Suppose that $\langle \mathsf{H}_0, V_0 \rangle \leadsto_{\mathcal{A}}^* \langle \mathsf{H}, V \rangle \leadsto_{\mathcal{A}}^* \langle \mathsf{H}', V' \rangle$ and val is an atomic value confidential in H , that is, for all g where $\mathsf{H}(g) = (val, T)$ then $T = H\ell_I$ or $T = \mu \mathsf{K}^{H\ell_I}[T^\star]$.

By Lemma 20 one has that there exists $\Theta \subseteq \Theta'$ such that

- $\Theta \models_{\mathsf{H}} \mathsf{H} \text{ and } \Theta \models_{v} v : LL \text{ for each } v \in V, \text{ and }$
- $\Theta \Vdash_{\mathsf{V}} \mathsf{H}, \mathsf{V}_{\mathsf{ok}}(\mathsf{H}, V)$
- $\Theta' \models_{\mathsf{H}} \mathsf{H}'$ and $\Theta' \models_{v} v : LL$ for each $v \in V'$, and
- $\Theta' \Vdash_{\mathsf{V}} \mathsf{H}', \mathsf{V}_{\mathsf{ok}}(\mathsf{H}', V')$

Since val is in the handle-map H and by hypothesis $val \notin \mathcal{K}(V)$ we have that $val \in \mathsf{V}_{\mathsf{ok}}(\mathsf{H},V)$. Now since $\Theta \Vdash_{\mathsf{V}} \mathsf{H}, \mathsf{V}_{\mathsf{ok}}(\mathsf{H},V)$ we have that $\exists g.\mathsf{H}(g) = (val,T)$ and $\Theta(val) = T$. Since val is confidential we have that $\Theta(val) = \Theta'(val) = H\ell_I$ or $\mu\mathsf{K}^{H\ell_I}[T^\star]$ which imply by Proposition 10 that $\Theta' \not\models_v val : LL$ (otherwise $H\ell_I \leq LL$ or $\mu\mathsf{K}^{H\ell_I}[T^\star] \leq LL$). Applying now Proposition 16 one gets $val \notin \mathcal{K}(V')$.

Suppose now that val is an atomic value secure in H, that is, for all g where H(g) = (val, T) then $T = \mu K^{HH}[T^{\star}]$. Then by $\Theta \models_{\mathsf{H}} \mathsf{H}$ we have $\Theta \models_{v} val : \mu K^{HH}[T^{\star}]$. By Proposition 10 and definition of \leq we have that $\Theta(val) = \mu K^{HH}[T^{\star}]$.

Now, one can see that $val \notin \mathcal{K}(V)$ otherwise we would have by Proposition 16 $\Theta \models_v val : LL$ which is not possible by Proposition 10.

We now apply the same reasoning as in the first case to conclude that $val \notin \mathcal{K}(V')$.

4 Secure implementation

We now modify the language in order to get closer to realistic implementations of the APIs. So far, we have assumed that keys are typed and types are stored in the devices together with the key values. This abstraction allows to statically prove security but needs to be related to actual APIs implementation in order to be useful. To this aim, we give a new semantics in which keys are stored together with *key properties*, i.e. concrete data which specify the roles of the key, its security level, the cryptographic algorithm, the key length, etc.

We will show that that if we assign types to key properties in a unique way then the concrete semantics is mimicked by the typed semantics and so the security results can be carried over to this new concrete semantics. In this way we are able to deal with concrete examples while enjoying the properties of the formalism proposed in the previous sections.

Key properties Properties of keys have the following syntax:

$$P ::= Y \mid \epsilon \mid p[P]$$

where Y is a property variable that will be bound at runtime, ϵ means no properties, p[P] represents a key with properties p which can perform cryptographic operations on keys with properties P. We use $p[\epsilon]$, also denoted by p, to represent keys with properties p that do not operate on other keys, e.g., keys used to encrypt data.

It may happen that different concrete properties are treated the same when authorizing cryptographic operations. For example, an encryption key may be allowed to perform encryption independently of its actual length or of the algorithm it is bound to. These details are important when dealing with actual cryptography but should be irrelevant for our analysis. To encompass this, we assume to have an equivalence relation \equiv on concrete properties that relates properties which make the APIs behave the same way.

Concrete syntax and semantics We define a new syntax which stores concrete key properties rather than types. The only commands that are affected are the internal functions:

$$f ::= getKey(y, P) \mid genKey(P) \mid setKey(y, P)$$

The new semantics is presented in Table 7 and is close to the one of Table 2. There are however some important differences: H_p denotes the new concrete handle map that stores actual key properties instead of types; ρ is a substitution of key property variables into key properties; all occurrences of types T are replaced by key properties

$$\frac{e\downarrow^{\mathsf{M}} v}{\langle \mathsf{M}, \mathsf{H_p}, \rho, x := e \rangle \to_p \langle \mathsf{M}[x \mapsto v], \mathsf{H_p}, \rho, \varepsilon \rangle}$$

$$\frac{\mathsf{H_p}(\mathsf{M}(y)) = (v, P') \qquad P' \equiv (P\rho)\rho' \qquad dom(\rho') = var(P\rho)}{\langle \mathsf{M}, \mathsf{H_p}, \rho, x := \mathsf{getKey}(y, P) \rangle \to_p \langle \mathsf{M}[x \mapsto v], \mathsf{H_p}, \rho \uplus \rho', \varepsilon \rangle}$$

$$\frac{g, g' \leftarrow \mathcal{G} \qquad P\rho \ \mathsf{ground} \qquad P\rho \in G}{\langle \mathsf{M}, \mathsf{H_p}, \rho, x := \mathsf{genKey}(P) \rangle \to_p \langle \mathsf{M}[x \mapsto g], \mathsf{H_p}[g \mapsto (g', P\rho)], \rho, \varepsilon \rangle}$$

$$\frac{g \leftarrow \mathcal{G} \qquad P\rho \ \mathsf{ground}}{\langle \mathsf{M}, \mathsf{H_p}, \rho, x := \mathsf{setKey}(y, P) \rangle \to_p \langle \mathsf{M}[x \mapsto g], \mathsf{H_p}[g \mapsto (\mathsf{M}(y), P\rho)], \rho, \varepsilon \rangle}$$

$$\frac{\langle \mathsf{M}, \mathsf{H_p}, \rho, \mathsf{c}_1 \rangle \to_p \langle \mathsf{M}', \mathsf{H_p}', \rho', \varepsilon \rangle}{\langle \mathsf{M}, \mathsf{H_p}, \rho, \mathsf{c}_1 \rangle \to_p \langle \mathsf{M}', \mathsf{H_p}', \rho', \mathsf{c}_1' \rangle} \frac{\langle \mathsf{M}, \mathsf{H_p}, \rho, \mathsf{c}_1 \rangle \to_p \langle \mathsf{M}', \mathsf{H_p}', \rho', \mathsf{c}_1' \rangle}{\langle \mathsf{M}, \mathsf{H_p}, \rho, \mathsf{c}_1; \mathsf{c}_2 \rangle \to_p \langle \mathsf{M}', \mathsf{H_p}', \rho', \mathsf{c}_1'; \mathsf{c}_2 \rangle}$$

$$\frac{\mathsf{a} = \lambda x_1, \dots, x_k. \mathsf{c} \qquad e \downarrow^{\mathsf{M}'} v}{\langle \mathsf{M}_{\varepsilon}[x_1 \mapsto v_1 \dots x_k \mapsto v_k], \mathsf{H_p}, \emptyset, \mathsf{c} \rangle \to_p \langle \mathsf{M}', \mathsf{H_p}', \rho', \mathsf{return} \ e \rangle}{\mathsf{a}(v_1, \dots, v_k) \downarrow_p^{\mathsf{H_p}, \mathsf{H_p}'} v}}$$

Table 7: API Concrete semantics

P; and in getKey, when we match properties, we also allow matching of equivalent key properties. Finally, we limit the properties of newly generated keys to a predefined set G.

Attacker configurations for a concrete API $A_p = \{a_1, \dots, a_n\}$ evolves by making calls on the concrete semantics:

$$\frac{\mathsf{a} \in \mathcal{A}_p \quad v_1, \dots, v_k \in \mathcal{K}(V) \quad \mathsf{a}(v_1, \dots, v_k) \downarrow_p^{\mathsf{H}_p, \mathsf{H}_p'} v}{\langle \mathsf{H}_p, V \rangle \leadsto_{\mathcal{A}_p} \langle \mathsf{H}_p', V \cup \{v\} \rangle}$$

In order to be able to study key properties in our general formalism, we need to ensure that they satisfy some conditions. We state a few conditions that allow us to "embed" key properties into types.

Definition 22 (Typed key properties). We say that key properties are typed if there exists a mapping T from key properties to types such that

- (1) $P_1 \equiv P_2$ implies $\mathcal{T}(P_1) = \mathcal{T}(P_2)$;
- (2) $\mathcal{T}(P\rho) = \mathcal{T}(P)\mathcal{T}(\rho)$ for all substitutions ρ , where $\mathcal{T}(\rho)$ is defined as follows: $\mathcal{T}(\rho)(\mathcal{T}(Y)) = \mathcal{T}(\rho(Y))$ for each $Y \in dom(\rho)$;
- (3) $var(\mathcal{T}(P)) = \mathcal{T}(var(P)), where \mathcal{T}(\{Y_1, \dots, Y_n\}) = \{\mathcal{T}(Y_1), \dots, \mathcal{T}(Y_n)\};$
- (4) whenever $P \in G$ and $\mathcal{T}(P) = \mu \mathsf{K}^{\ell}[T']$ then $\mu \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\} \land (\ell = HH \lor T' = LL).$

Notice that the definition of $\mathcal{T}(\rho)$ implicitly assumes that \mathcal{T} maps different property variables into different type variables. This also implies that $\mathcal{T}(\rho \uplus \rho') = \mathcal{T}(\rho) \uplus \mathcal{T}(\rho')$.

Typing of key properties is extended to handles and API commands by simply applying it to all occurrences of key properties. Formally:

Definition 23 (Connecting concrete and typed semantics). *Given a mapping* \mathcal{T} *from key properties to types we apply it to handles and API commands as follows.*

Handles:

$$\mathcal{T}(\mathsf{H}_\mathsf{p})(v) = (v', \mathcal{T}(P)) \text{ whenever } \mathsf{H}_\mathsf{p}(v) = (v', P)$$

APIs:

$$\begin{split} \mathcal{T}(\{\mathsf{a}_1,\ldots,\mathsf{a}_n\}) &= \{\mathcal{T}(\mathsf{a}_1),\ldots,\mathcal{T}(\mathsf{a}_n)\} \\ \mathcal{T}(\lambda x_1,\ldots,x_k.\mathsf{c}) &= \lambda x_1,\ldots,x_k.\mathcal{T}(\mathsf{c}) \\ \mathcal{T}(x:=e) &= x:=e \\ \mathcal{T}(x:=\mathsf{f}) &= x:=\mathcal{T}(\mathsf{f}) \\ \mathcal{T}(\mathsf{return}\;e) &= \mathsf{return}\;e \\ \mathcal{T}(\mathsf{c}_1;\mathsf{c}_2) &= \mathcal{T}(\mathsf{c}_1);\mathcal{T}(\mathsf{c}_2) \\ \mathcal{T}(\epsilon) &= \epsilon \\ \mathcal{T}(\mathsf{getKey}(y,P)) &= \mathsf{getKey}(y,\mathcal{T}(P)) \\ \mathcal{T}(\mathsf{genKey}(P)) &= \mathsf{genKey}(\mathcal{T}(P)) \\ \mathcal{T}(\mathsf{setKey}(y,P)) &= \mathsf{setKey}(y,\mathcal{T}(P)) \end{split}$$

Notice that expressions e are not affected by \mathcal{T} as they do not contain occurrences of properties. We can now prove that each step in the concrete semantics is mimicked by the typed semantics.

Theorem 24 (Semantic correspondence). Let \mathcal{T} be a typing for key properties, and assume that the two semantics use an identical generator of fresh values \mathcal{G} . Then,

$$\langle \mathsf{M}, \mathsf{H}_{\mathsf{p}}, \rho, \mathsf{c} \rangle \to_{p} \langle \mathsf{M}', \mathsf{H}'_{\mathsf{p}}, \rho', \mathsf{c}' \rangle$$

implies

$$\langle \mathsf{M}, \mathcal{T}(\mathsf{H}_\mathsf{p}), \mathcal{T}(\rho), \mathcal{T}(\mathsf{c}) \rangle \to \langle \mathsf{M}', \mathcal{T}(\mathsf{H}_\mathsf{p}'), \mathcal{T}(\rho'), \mathcal{T}(\mathsf{c}') \rangle$$

Proof. By induction on the length of the derivation of the reduction, applying Definitions 22 and 23. All assignments are base cases.

Case x:=e. Since translation $\mathcal T$ does not apply to expressions and expressions do not access H_p , this case is trivially proved. In fact, $\langle M, H_p, \rho, x := e \rangle \to_p \langle M[x \mapsto v], H_p, \rho, \varepsilon \rangle$ requires $e \downarrow^M v$ which in turns implies

$$\langle \mathsf{M}, \mathsf{H}, \sigma, x := e \rangle \to \langle \mathsf{M}[x \mapsto v], \mathsf{H}, \sigma, \varepsilon \rangle$$

for all H and σ . By Definition 23 we obtain:

$$\langle \mathsf{M}, \mathcal{T}(\mathsf{H}_{\mathsf{p}}), \mathcal{T}(\rho), \mathcal{T}(x := e) \rangle \to \langle \mathsf{M}[x \mapsto v], \mathcal{T}(\mathsf{H}_{\mathsf{p}}), \mathcal{T}(\rho), \mathcal{T}(\varepsilon) \rangle$$

Case $x := \operatorname{\mathsf{getKey}}(y,P)$. This case is more interesting as it accesses H_{p} and the command can refer to properties. $\langle \mathsf{M}, \mathsf{H}_{\mathsf{p}}, \rho, x := \operatorname{\mathsf{getKey}}(y,P) \rangle \to_p \langle \mathsf{M}[x \mapsto v], \mathsf{H}_{\mathsf{p}}, \rho \uplus \rho', \varepsilon \rangle$ requires $\mathsf{H}_{\mathsf{p}}(\mathsf{M}(y)) = (v,P')$, $P' \equiv (P\rho)\rho'$ and $\operatorname{dom}(\rho') = \operatorname{var}(P\rho)$ which implies $\operatorname{\mathcal{T}}(\operatorname{dom}(\rho')) = \operatorname{\mathcal{T}}(\operatorname{var}(P\rho))$.

Let $\mathcal{T}(P)=T$, $\mathcal{T}(P')=T'$, $\mathcal{T}(\rho)=\sigma$ and $\mathcal{T}(\rho')=\sigma'$. By Definition 22(2) applied twice, we have that $\mathcal{T}((P\rho)\rho')=(T\sigma)\sigma'$ Then, $\mathcal{T}(\mathsf{H_p})(\mathsf{M}(y))=(v,T')$ and, by Definition 22(1) $T'=(T\sigma)\sigma'$. By Definition 22(2-3) we have $\mathcal{T}(var(P\rho))=var(\mathcal{T}(P)\mathcal{T}(\rho))=var(T\sigma)$. By definition of $\mathcal{T}(\rho)$ we also know that $dom(\mathcal{T}(\rho'))=\mathcal{T}(dom(\rho'))$. Thus, $dom(\sigma')=dom(\mathcal{T}(\rho'))=\mathcal{T}(dom(\rho'))=\mathcal{T}(var(P\rho))=var(\mathcal{T}(P)\mathcal{T}(\rho))=var(T\sigma)$. Together with $\mathcal{T}(\mathsf{H_p})(\mathsf{M}(y))=(v,T')$ and $T'=(T\sigma)\sigma'$ this is enough to derive

$$\langle \mathsf{M}, \mathcal{T}(\mathsf{H}_{\mathsf{p}}), \sigma, x := \mathsf{getKey}(y, T) \rangle \to \langle \mathsf{M}[x \mapsto v], \mathcal{T}(\mathsf{H}_{\mathsf{p}}), \sigma \uplus \sigma', \varepsilon \rangle$$

which in turn gives

$$\langle \mathsf{M}, \mathcal{T}(\mathsf{H}_\mathsf{p}), \mathcal{T}(\rho), \mathcal{T}(x := \mathsf{getKey}(y, P)) \rangle \to \langle \mathsf{M}[x \mapsto v], \mathcal{T}(\mathsf{H}_\mathsf{p}), \mathcal{T}(\rho \uplus \rho'), \mathcal{T}(\varepsilon) \rangle$$

Case x := genKey(P). We have that

$$\langle \mathsf{M}, \mathsf{H}_\mathsf{p}, \rho, x := \mathsf{genKey}(P) \rangle \to_p \langle \mathsf{M}[x \mapsto g], \mathsf{H}_\mathsf{p}[g \mapsto (g', P\rho)], \rho, \varepsilon \rangle$$

requires $g,g' \leftarrow \mathcal{G}$, $P\rho$ ground, i.e., $var(P\rho) = \emptyset$, and $P\rho \in G$. Since we are assuming to use the same generator \mathcal{G} we will get the same g and g' in the typed semantics. We let $T = \mathcal{T}(P)$ and $\sigma = \mathcal{T}(\rho)$. By Definition 22(2) we get $\mathcal{T}(P\rho) = \mathcal{T}(P)\mathcal{T}(\rho) = T\sigma$. By Definition 22(3) we now have $var(T\sigma) = \mathcal{T}(var(P\rho)) = \emptyset$, i.e., $T\sigma$ is ground. Moreover, if $\mathcal{T}(P\rho) = T\sigma = \mu \mathsf{K}^{\ell}[T']$, since $P\rho \in G$ by Definition 22(4) we have $\mu \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\} \land (\ell = HH \lor T' = LL)$. We can thus derive:

$$\langle \mathsf{M}, \mathsf{H}, \sigma, x := \mathsf{genKey}(T) \rangle \to \langle \mathsf{M}[x \mapsto g], \mathsf{H}[g \mapsto (g', T\sigma)], \sigma, \varepsilon \rangle$$

which is

$$\langle \mathsf{M}, \mathsf{H}, \mathcal{T}(\rho), \mathcal{T}(x) := \mathsf{genKey}(T) \rangle \rightarrow \langle \mathsf{M}[x \mapsto q], \mathsf{H}[q \mapsto (q', \mathcal{T}(P\rho))], \mathcal{T}(\rho), \mathcal{T}(\varepsilon) \rangle$$

for each H. Now notice that by Definition 23 we have $\mathcal{T}(\mathsf{H}_\mathsf{p}[g \mapsto (g', P\rho)]) = \mathcal{T}(\mathsf{H}_\mathsf{p})[g \mapsto (g', \mathcal{T}(P\rho))]$. Thus we can pick $\mathsf{H} = \mathcal{T}(\mathsf{H}_\mathsf{p})$ and get the thesis:

$$\begin{split} \langle \mathsf{M}, \mathcal{T}(\mathsf{H}_\mathsf{p}), \mathcal{T}(\rho), \mathcal{T}(x := \mathsf{genKey}(T)) \rangle \\ & \to \langle \mathsf{M}[x \mapsto g], \mathcal{T}(\mathsf{H}_\mathsf{p}[g \mapsto (g', P\rho)]), \mathcal{T}(\rho), \mathcal{T}(\varepsilon) \rangle \end{split}$$

Case x := setKey(y, P). This case is proved exactly as the previous one. The only difference is that we have M(y) in place of g'. Since the two semantics work on the same memory M this does not affect the proof.

Inductive cases. We have two rules for sequential composition.

Rule 1. We have $\langle M, H_p, \rho, c_1; c_2 \rangle \rightarrow_p \langle M', H_p', \rho', c_2 \rangle$ because of $\langle M, H_p, \rho, c_1 \rangle \rightarrow_p \langle M', H_p', \rho', \varepsilon \rangle$. By induction

$$\langle \mathsf{M}, \mathcal{T}(\mathsf{H}_{\mathsf{p}}), \mathcal{T}(\rho), \mathcal{T}(\mathsf{c}_1) \rangle \to \langle \mathsf{M}', \mathcal{T}(\mathsf{H}_{\mathsf{p}}'), \mathcal{T}(\rho'), \mathcal{T}(\varepsilon) \rangle$$

Since $\mathcal{T}(\varepsilon) = \varepsilon$ and $\mathcal{T}(\mathsf{c}_1; \mathsf{c}_2) = \mathcal{T}(\mathsf{c}_1); \mathcal{T}(\mathsf{c}_2)$ we get

$$\langle \mathsf{M}, \mathcal{T}(\mathsf{H}_{\mathsf{p}}), \mathcal{T}(\rho), \mathcal{T}(\mathsf{c}_1; \mathsf{c}_2) \rangle \rightarrow \langle \mathsf{M}', \mathcal{T}(\mathsf{H}_{\mathsf{p}}'), \mathcal{T}(\rho'), \mathcal{T}(\mathsf{c}_2) \rangle$$

Rule 2 is proved analogously.

As a consequence of the previous theorem we have that all the attacks in the concrete semantics are mimicked in the typed one. Given $\mathcal{A}_p = \{a_1, \ldots, a_n\}$ we let $\mathcal{T}(\mathcal{A}_p) = \{\mathcal{T}(a_1), \ldots, \mathcal{T}(a_n)\}$.

Theorem 25. Let
$$\langle \mathsf{H}_\mathsf{p}, V \rangle \leadsto_{\mathcal{A}_p}^* \langle \mathsf{H}_\mathsf{p}', V' \rangle$$
. Then, $\langle \mathcal{T}(\mathsf{H}_\mathsf{p}), V \rangle \leadsto_{\mathcal{T}(\mathcal{A}_p)}^* \langle \mathcal{T}(\mathsf{H}_\mathsf{p}'), V' \rangle$

Proof. By induction on the number of steps in $\leadsto_{\mathcal{A}_p}^*$. Base case is length 0 with $\mathsf{H}_\mathsf{p}' = \mathsf{H}_\mathsf{p}$ and V' = V, and there is nothing to prove.

Now let $\langle \mathsf{H}_\mathsf{p}, V \rangle \leadsto_{\mathcal{A}_p}^* \langle \hat{\mathsf{H}}_\mathsf{p}, \hat{V} \rangle \leadsto_{\mathcal{A}_p} \langle \mathsf{H}_\mathsf{p}', V' \rangle$. By induction we have $\langle \mathcal{T}(\mathsf{H}_\mathsf{p}), V \rangle \leadsto_{\mathcal{T}(\mathcal{A}_p)}^* \langle \mathcal{T}(\hat{\mathsf{H}}_\mathsf{p}), \hat{V} \rangle$. We now prove that $\langle \mathcal{T}(\hat{\mathsf{H}}_\mathsf{p}), \hat{V} \rangle \leadsto_{\mathcal{T}(\mathcal{A}_p)} \langle \mathcal{T}(\mathsf{H}_\mathsf{p}'), V' \rangle$ which give the thesis $\langle \mathcal{T}(\mathsf{H}_\mathsf{p}), V \rangle \leadsto_{\mathcal{T}(\mathcal{A}_p)}^* \langle \mathcal{T}(\mathsf{H}_\mathsf{p}'), V' \rangle$.

We have that $\langle \hat{\mathsf{H}}_\mathsf{p}, \hat{V} \rangle \leadsto_{\mathcal{A}_p} \langle \mathsf{H}_\mathsf{p}', V' \rangle$ requires $\mathsf{a}(v_1, \dots, v_k) \downarrow_p^{\hat{\mathsf{H}}_\mathsf{p}, \mathsf{H}_\mathsf{p}'} v$ with $\mathsf{a} \in \mathcal{A}_p$ and $v_1, \dots, v_k \in \mathcal{K}(\hat{V})$. By Table 7 we know that $\mathsf{a} = \lambda x_1, \dots, x_k.\mathsf{c}, \ e \downarrow^{\mathsf{M}'} v$ and $\langle \mathsf{M}_\epsilon[x_1 \mapsto v_1 \dots x_k \mapsto v_k], \hat{\mathsf{H}}_\mathsf{p}, \emptyset, \mathsf{c} \rangle \to_p \langle \mathsf{M}', \mathsf{H}_\mathsf{p}', \rho', \mathsf{return} \ e \rangle$. By Theorem 24 we obtain

$$\langle \mathsf{M}_{\epsilon}[x_1 \mapsto v_1 \dots x_k \mapsto v_k], \mathcal{T}(\hat{\mathsf{H}}_{\mathsf{p}}), \emptyset, \mathcal{T}(\mathsf{c}) \rangle \to \langle \mathsf{M}', \mathcal{T}(\mathsf{H}'_{\mathsf{p}}), \mathcal{T}(\rho'), \mathsf{return} \ e \rangle$$

which gives $\mathcal{T}(\mathsf{a})(v_1,\ldots,v_k) \downarrow^{\mathcal{T}(\hat{\mathsf{H}}_\mathsf{p}),\mathcal{T}(\mathsf{H}_\mathsf{p}')} v$. Since, by definition, $\mathcal{T}(\mathsf{a}) \in \mathcal{T}(\mathcal{A}_p)$ we obtain the thesis $\langle \mathcal{T}(\hat{\mathsf{H}}_\mathsf{p}), \hat{V} \rangle \leadsto_{\mathcal{T}(\mathcal{A}_p)} \langle \mathcal{T}(\mathsf{H}_\mathsf{p}'), V \cup \{v\} \rangle$

We can now define security of concrete APIs through the type associated to concrete key properties:

Definition 26 (Concrete API Security). Let \mathcal{A}_p be a concrete API. We say that \mathcal{A}_p is secure if for all reductions $\langle \mathsf{H}_0, V_0 \rangle \leadsto_{\mathcal{A}_p}^* \langle \mathsf{H}_\mathsf{p}, V \rangle \leadsto_{\mathcal{A}_p}^* \langle \mathsf{H}_\mathsf{p}', V' \rangle$ and for all atomic values val we have

- (1) $val \notin \mathcal{K}(V)$ and val is confidential in $\mathcal{T}(\mathsf{H}_\mathsf{p})$ implies $val \notin \mathcal{K}(V')$;
- (2) val is secure in $\mathcal{T}(\mathsf{H}_{\mathsf{p}})$ implies $val \notin \mathcal{K}(V) \cup \mathcal{K}(V')$.

Thus, all security results hold on the concrete semantics based on actual key properties once an appropriate typing $\mathcal T$ is provided.

Theorem 27. Let $\Gamma \vdash_{\mathsf{c}} \mathcal{T}(\mathcal{A}_p)$. Then \mathcal{A}_p is secure.

Proof. Consider $\langle \mathsf{H}_0, V_0 \rangle \rightsquigarrow_{\mathcal{A}_p}^* \langle \mathsf{H}_\mathsf{p}, V \rangle \rightsquigarrow_{\mathcal{A}_p}^* \langle \mathsf{H}_\mathsf{p}', V' \rangle$. By Theorem 25 we have $\langle \mathsf{H}_0, V_0 \rangle \rightsquigarrow_{\mathcal{T}(\mathcal{A}_p)}^* \langle \mathcal{T}(\mathsf{H}_\mathsf{p}), V \rangle \rightsquigarrow_{\mathcal{T}(\mathcal{A}_p)}^* \langle \mathcal{T}(\mathsf{H}_\mathsf{p}'), V' \rangle$. Now it is enough to observe that the requirements of Definition 6 (API Security) on this latter reduction are exactly the same as the requirements of Definition 26 (Concrete API Security) on the former reduction. By Theorem 21 we obtain that such requirements hold for each reduction, from which we obtain the thesis.

5 Case study: PKCS#11 v2.20

PKCS#11, also known as Cryptoki, defines a widely adopted API for cryptographic tokens [19]. It provides access to cryptographic functionalities while, in principle, satisfying some security properties. One such property is that the value of keys stored on a PKCS#11 device and tagged as *sensitive* should never be revealed outside the token, even when connected to a compromised host. Unfortunately, PKCS#11 is known to be vulnerable to attacks that break this property [4, 9, 12]. In this section we will see that we can encode PKCS#11 attributes as key properties and hence study its security using Theorem 27.

A token may store many different *objects* such as cryptographic keys and certificates, and these objects are referenced via *handles*. The value of a key is one of the *attributes* of the enclosing object but there are other attributes to specify the various roles a key can assume: each different API call can, in fact, require a different role. For example, decryption keys are required to have attribute CKA_DECRYPT set, while keyencrypting keys, i.e., keys used to encrypt other keys, must have attribute CKA_WRAP set.

PKCS#11 key properties Properties and capabilities of keys are described by a set of *attributes*. When a certain attribute is contained in the set of key properties p we will say that the attribute is set, otherwise we say that it is unset. In our analysis we consider the following subset of PKCS#11 attributes:

 $CKA_CLASS(C)$ The object class which can be one among

CKO_PUBLIC_KEY (PubK) Public keys; CKO_PRIVATE_KEY (PrivK) Private keys; CKO_SECRET_KEY (SecK) Secret (symmetric) keys;

 ${\tt CKA_SENSITIVE}\,(H)$ The key should never be revealed outside of the token;

CKA_ENCRYPT (E) The key can be used to encrypt data;

 $CKA_DECRYPT(D)$ The key can be used to decrypt data;

 $CKA_SIGN(S)$ The key supports signature;

CKA_VERIFY_RECOVER (V) The key can be used to verify signatures, recovering data from the signature;

CKA_WRAP (W) The key can be used to wrap another key stored in the token;

CKA_UNWRAP (U) The key can be used to unwrap a key and import it in the token;

CKA_WRAP_TEMPLATE For wrapping keys (W set). It specifies the attributes of any wrapped key. It is the P component of p[P];

CKA_UNWRAP_TEMPLATE For unwrapping keys (U set). It specifies the attributes of unwrapped key. For simplicity, we will assume that wrap and unwrap templates coincide.

Example 28. The key property $p = \{PubK, E\}$ represents a public key that can be used to encrypt data. The key property $p' = \{H, PrivK, U\}[\{H, SecK, E\}]$, instead, represents a private (sensitive) unwrapping key that can be used to import symmetric (sensitive) encryption keys.

From properties to types We now define the mapping \mathcal{T}_{p11} of PKCS#11 key properties into types. This mapping follows the informal description of attributes. For example, whenever E and SecK are in p the key is typed as a symmetric key for encrypting data. Notice that this will force us to reduce the possible attribute assignments to sets with no conflicting attributes. For example, a key with W, D, SecK set is dangerous as it can be used to wrap a sensitive key and then decrypt it as if it were simple data, leaking it outside the token. PKCS#11 is very flexible and allows for insecure operations, such as encrypting data under symmetric keys that are not sensitive and thus readable from anyone. We will discipline this more, by requiring that sensitive is always set.

The formal definition of \mathcal{T}_{p11} is given in Table 8. Property ϵ is translated into LL, variables Y are translated into distinct type variables X_Y , and properties p[P] are translated as follows: at each layer we specify the attributes to inspect in p. For example we first split depending on sensitive (H); then we inspect the class and so on. If the set of attributes match exactly one line of the table we have the corresponding type. If none or more than one match, we have no type and \mathcal{T}_{p11} is undefined. Notice that for data keys like $\text{DecK}^{HL}[LL]$, we only admit cryptographic operations on terms of type LL, which corresponds to requiring that $P = \emptyset$. Thus, in these cases $p[P] = p[\epsilon] = p$.

Example 29. Consider again the key property $p = \{PubK, E\}$ representing a public key that can be used to encrypt data. It matches $\neg H, PubK, E$ in the table giving type $EncK^{LL}[LL]$, as expected. Key property $p' = \{H, PrivK, U\}[\{H, SecK, E\}]$ instead, represents a private (sensitive) unwrapping key that can be used to import symmetric (sensitive) encryption keys. From the table we get type $DecK^{HH}[\mathcal{T}_{p11}(P)]$ with $P = \{H, SecK, E\}$ which, in turns, gives $DecK^{HH}[SymK^{HL}[LL]]$.

Proving security We define the equivalence relation \equiv_{p11} over key properties by simply equating properties that are mapped to the same types, i.e.,

$$P \equiv_{p11} P' \text{ iff } \mathcal{T}_{p11}(P) = \mathcal{T}_{p11}(P')$$

$$\begin{split} \mathcal{T}_{p11}(\epsilon) &= LL \\ \mathcal{T}_{p11}(Y) &= X_Y \\ \mathcal{T}_{p11}(p[P]) &= \\ & \begin{cases} PrivK & \begin{cases} D & \mathsf{DecK}^{HL}[LL] \text{ with } P = \epsilon \\ U & \mathsf{DecK}^{HH}[\mathcal{T}_{p11}(P)] \\ S & \mathsf{SigK}^{HH}[\mathcal{T}_{p11}(P)] \end{cases} \\ SecK & \begin{cases} E, D & \mathsf{SymK}^{HL}[LL] \text{ with } P = \epsilon \\ W, U & \mathsf{SymK}^{HL}[\mathcal{T}_{p11}(P)] \end{cases} \\ \neg C & HL \text{ with } P = \epsilon \end{cases} \\ & \begin{cases} PubK & \begin{cases} E & \mathsf{EncK}^{LL}[LL] \text{ with } P = \epsilon \\ W & \mathsf{EncK}^{LH}[\mathcal{T}_{p11}(P)] \\ V & \mathsf{VerK}^{LH}[\mathcal{T}_{p11}(P)] \end{cases} \\ \neg C & LL \text{ with } P = \epsilon \end{cases} \end{split}$$

Table 8: Definition of \mathcal{T}_{p11}

For example, $\{H,S\} \equiv \{H, PrivK, S\}$, since H and S are enough to univocally identify a private signature key. Moreover, if we consider an extra attribute A that is not relevant in our analysis we have that its addition does not affect the semantics, i.e., $p \cup \{A\} \equiv_{p11} p \text{ since } \mathcal{T}_{p11}(p \cup \{A\}) = \mathcal{T}_{p11}(p).$

Proposition 30. Let $G = \{P \mid \mathcal{T}(P) = \mu \mathsf{K}^{\ell}[T'] \text{ implies } \mu \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\}\}$. Then, \mathcal{T}_{p11} respects Definition 22.

Proof. We prove the three items of the definition.

- (1) There is nothing to prove as $P \equiv_{p11} P'$ implies $\mathcal{T}_{p11}(P) = \mathcal{T}_{p11}(P')$ by definition of \equiv_{p11} .
- (2) We prove $\mathcal{T}_{p11}(P\rho) = \mathcal{T}_{p11}(P)\mathcal{T}_{p11}(\rho)$ by induction on the structure of P. Base cases. If P is ϵ we have $var(P) = \emptyset$. Moreover $\mathcal{T}_{p11}(\epsilon) = LL$ thus, $var(\mathcal{T}_{p11}(P)) = \emptyset$. Thus, trivially $\mathcal{T}_{p11}(P\rho) = \mathcal{T}_{p11}(P) = \mathcal{T}_{p11}(P)\mathcal{T}_{p11}(\rho)$. If P is Y, since $\mathcal{T}_{p11}(Y) = X_Y$ we directly have $\mathcal{T}_{p11}(P\rho) = \mathcal{T}_{p11}(\rho(Y)) = \mathcal{T}_{p11}(\rho)(X_Y) = \mathcal{T}_{p11}(P)\mathcal{T}_{p11}(\rho)$.

Inductive case. Let P be p[P']. Then, by inspecting Table 8 we notice that in some cases P' is required to be ϵ and the corresponding types contain no variables. Thus, we have $\mathcal{T}_{p11}(P\rho) = \mathcal{T}_{p11}(P) = \mathcal{T}_{p11}(P)\mathcal{T}_{p11}(\rho)$ as for base case ϵ . In all the remaining cases, $\mathcal{T}_{p11}(p[P']) = \mu \mathsf{K}^{\ell}[\mathcal{T}_{p11}(P')]$, where the choice of μ and ℓ does not depend on ρ , only on p. Thus $\mathcal{T}_{p11}(P\rho) = \mathcal{T}_{p11}(p[P']\rho) = \mathcal{T}_{p11}(p[P'\rho]) = \mu \mathsf{K}^{\ell}[\mathcal{T}_{p11}(P'\rho]]$. By inductive hypothesis, $\mu \mathsf{K}^{\ell}[\mathcal{T}_{p11}(P'\rho)] = \mu \mathsf{K}^{\ell}[\mathcal{T}_{p11}(P')\mathcal{T}_{p11}(\rho)] = \mu \mathsf{K}^{\ell}[\mathcal{T}_{p11}(P')]\mathcal{T}_{p11}(\rho) = \mathcal{T}_{p11}(P)\mathcal{T}_{p11}(\rho)$.

(3) We prove $var(\mathcal{T}_{p11}(P)) = \mathcal{T}_{p11}(var(P))$ by induction on the structure of P.

```
Base cases. If P is \epsilon then var(P) = \emptyset = \mathcal{T}_{p11}(var(P)) and var(\mathcal{T}_{p11}(P)) = var(LL) = \emptyset. If P is Y then var(\mathcal{T}_{p11}(P)) = X_Y = \mathcal{T}_{p11}(var(P)).
```

Inductive case. If P is p[P'] then we notice that var(P) = var(P'). For the cases where P' is ϵ , the corresponding types have no variables so we trivially have $var(\mathcal{T}_{p11}(P)) = \emptyset = \mathcal{T}_{p11}(var(P))$. For the remaining cases, $var(\mathcal{T}_{p11}(p[P'])) = var(\mathcal{T}_{p11}(P')) \stackrel{ih}{=} \mathcal{T}_{p11}(var(P')) = \mathcal{T}_{p11}(var(p[P']))$.

(4) Since $G = \{P \mid \mathcal{T}(P) = \mu \mathsf{K}^{\ell}[T'] \text{ implies } \mu \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\} \}$ it is enough to observe that types in Table 8 with $\mu \in \{\mathsf{Sym}, \mathsf{Dec}, \mathsf{Sig}\}$ are such that $\ell = HH \vee T' = LL$.

We can thus apply Theorem 27 to prove security of PKCS#11 API specifications.

Example 31. We revise once more the symmetric key wrapping example. We specify it using PKCS#11 attributes as follows:

```
\begin{split} & \mathsf{SymWrap}(h\_key,\ h\_w) \\ & w := \mathsf{getKey}(h\_w, \{SecK, W\}[Y]); \\ & k := \mathsf{getKey}(h\_key, Y); \\ & \mathsf{return}\ \mathsf{enc}(k, w); \end{split}
```

We check that the wrapping key is symmetric (SecK) and is authorized to wrap (W). The transported key has an unspecified property Y that is matched in the second call to getKey. We have that $\mathcal{T}_{p11}(\{SecK,W\}[Y]) = \mathsf{SymK}^{HH}[X_Y]$ and $\mathcal{T}_{p11}(Y) = X_Y$. The program translated under \mathcal{T}_{p11} type-checks as we did in Example 9. Thus, by Theorem 27, this API is secure.

We have shown that a significant fragment of PKCS#11 key management attributes can be translated into our typed model so that well-typed translations ensure security of PKCS#11 API specifications. We only had to prove, in Proposition 30, that there exists a suitable set G of properties of the generated keys for which the translation respects Definition 22. This method is general and can be applied to other APIs.

6 Conclusions

In the past few years, many attacks against cryptographic key management APIs have been presented and most of them were based on the improper use of cryptographic keys. In this paper, we proposed a simple imperative programming language for specifying strongly-typed APIs for the management of symmetric, asymmetric and signing keys. The main idea is to have expressive key types directly stored in the device, however independent of the implementation, that are matched at run-time when managing keys. We then developed a type-based analysis to prove the preservation of integrity and confidentiality of sensitive keys and have shown that this abstraction is expressive enough to code realistic key management APIs.

In order to code realistic key-management API's in our framework we defined a more concrete version of the language that allows for storing real key properties. We then showed that, under reasonable conditions, if the concrete properties are mapped into types, the general security results on typing are preserved.

As a case study we have shown an encoding of PKCS#11 v2.20 by mapping the standard attributes into our types in a version that can be type-checked and thus proved secure.

Some recent work has focused on strong information flow guarantees for general-purpose programs with cryptographic primitives [14, 17]. These techniques have been applied to a different setting and it would be interesting as future work to study whether they could be applied to the problem of type-based analysis of key management APIs.

Acknowledgements

This work was partially supported by FCT projects PEst-OE/EEI/LA0008/2011 and ComFormCrypt PTDC/EIA-CCO/113033/2009, and by PRIN 2010 Project "Security Horizons" 2010XSEMLC_007.

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