# How To Construct Extractable One-Way Functions Against Uniform Adversaries

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### Abstract

A function f is extractable if it is possible to algorithmically "extract," from any program that outputs a value y in the image of f, a preimage of y. When combined with hardness properties such as one-wayness or collision-resistance, extractability has proven to be a powerful tool. However, so far, extractability has not been explicitly shown. Instead, it has only been considered as a non-standard *knowledge assumption* on certain functions.

We give the first construction of extractable one-way functions assuming only standard hardness assumptions (e.g. the subexponential Learning with Errors Assumption). Our functions are extractable against adversaries with bounded polynomial advice and unbounded polynomial running time. We then use these functions to construct the first 2-message zero-knowledge arguments and 3-message zero-knowledge arguments of knowledge, against the same class of adversarial verifiers, from essentially the same assumptions.

The construction uses ideas from [Barak, FOCS01] and [Barak, Lindell, and Vadhan, FOCS03], and rely on the recent breakthrough construction of privately verifiable P-delegation schemes [Kalai, Raz, and Rothblum]. The extraction procedure uses the program evaluating f in a non-black-box way, which we show to be necessary.

# **1** Introduction

The ability to argue about what adversarial programs "know" in the context of a given interaction is central to modern cryptography. A primary facet of such argumentation is the ability to efficiently "extract" knowledge from the adversarial program. Establishing this ability is often a crucial step in security analysis of cryptographic protocols and schemes.

Cryptographic proofs of knowledge are an obvious example for the use of knowledge extraction. In fact, here 'knowledge' is *defined* by way of existence of an efficient extraction procedure. The ability to extract values from the adversary is also useful for asserting secrecy properties by simulating the adversary's view of an execution of a given protocol, as in the case of zero-knowledge or multi-party computation [GMR89, GMW87]. A quintessential example here is the Feige-Lapidot-Shamir paradigm [FLS99]. Other contexts are mentioned within.

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**How is knowledge extracted?** Traditionally, the basic technique for extracting knowledge from an adversary is to run it on multiple related inputs to deduce what it "knows" from the resulting outputs. The power of this technique (often called "rewinding") is in that it treats the adversary as a black-box and does not need to know anything regarding its "internals". However, as a number of impossibility results for black-box reductions and simulation show, this technique is also quite limited. One main limitation of rewinding-based extraction is that it requires multiple rounds of interaction with the adversary. Indeed, proving security of candidate 3-message zero-knowledge protocols, succinct non-interactive arguments (SNARGs), and other tasks are out of the technique's reach [GK96, GW11].

Starting with the work of Barak et al. [Bar01], a handful of extraction techniques that go beyond the limitations of black-box extraction have been developed. These techniques use the actual adversarial program in an essential way, rather than only the adversary's input-output functionality. However, these techniques too require several rounds of protocol interaction, thus they do not work in the above contexts.

**Knowledge assumptions and extractable functions.** Damgård [Dam92] proposes an alternative approach to knowledge extraction in the form of the *knowledge of exponent assumption (KEA)*. The assumption essentially states that it is possible to extract the secret value x from any program that, given two random generators g, h of an appropriate group G, outputs a pair of group elements of the form  $g^x, h^x$ . This approach was then abstracted by Canetti and Dakdouk [CD08, CD09] who formulated a notion of *extractable functions*. These are function families  $\{f_e\}$  where, in addition to standard hardness properties, such as one-wayness or collision-resistance, any (possibly adversarial) program  $\mathcal{A}$  that given e outputs y in the image of  $f_e$  has an "extractor"  $\mathcal{E}$  that given e and the code of  $\mathcal{A}$ , outputs a preimage of y.

Extractable functions provide an alternative (albeit non-explicit) "extraction method" that does not rely on interaction with the adversary. As an expression of the method's power, KEA [HT98, BP04], or even general extractable one-way functions [CD09, BCC<sup>+</sup>13], are known to suffice for constructing 3-message zero-knowledge protocols. Extractable collision-resistant hash functions are known to suffice for construct-ing succinct non-interactive arguments (SNARGs) [BCCT12]. KEA had also given rise to relatively efficient CCA constructions [Dam92, BP04].

The black-box impossibility of some of the above applications imply that it is impossible to obtain extractable functions where the extractor uses the adversary's program  $\mathcal{A}$  only as a black box. Coming up with the suitable non-black-box techniques has been the main obstacle in constructing extractable functions, and to date, no construction with an explicit extraction procedure is known. Instead, for all the existing candidate constructions of extractable functions (e.g., [Dam92, CD09, BCCT12, BC12]), the existence of such an extractor is merely *assumed*. Such assumptions are arguably not satisfying. For one, they do not qualify as "efficiently falsifiable" [Nao03]; that is, unlike standard assumptions, here it may not be possible to algorithmically test whether a given adversary breaks the assumption. In addition, the impossibility of extractable functions with black-box extraction only further decreases our confidence in such assumptions, as our current understanding of non-black-box techniques and their limitations is quite partial.

Thus, a natural question arises:

Can we construct useful extractable functions from standard hardness assumptions?

### 1.1 Results

We show, for the first time, how to construct extractable one-way functions with an explicit extraction procedure. The functions are extractable with respect to auxiliary-input of bounded polynomial length, and in particular, with respect to *uniform adversaries*. More specifically, we first give a construction of extractable one-way functions based on publicly-verifiable P-delegation schemes:

**Theorem 1.1** (informal). Assuming one-way functions and publicly-verifiable P-delegation, there exists EOWFs with respect to auxiliary-input of bounded polynomial length.

While the existence of publicly-verifiable P-delegation schemes is perhaps not considered as a standard assumption, it is a *falsifiable* assumption [Nao03],<sup>1</sup> with candidates such as CS proofs [Mic00] or SNARGs [BCCT13] (when restricted to P). We view this construction mainly as a proof of concept, showing that ruling out such extractable functions may be a hard task.

Trying to head towards a construction from standard assumptions, we formulate a generalized variant of extractable one-way functions (GEOWFs), capturing the properties which make EOWFs useful, and indeed construct bounded-auxiliary-input GEOWFs from relatively standard assumptions. Specifically, our construction relies on the existence of privately-verifiable P-delegation, which was recently established by [KRR], based, for instance, on the Learning with Errors Assumption.

Relying on GEOWFs, we give the first constructions from standard assumptions of 2-message zeroknowledge arguments and 3-message zero-knowledge arguments of knowledge, against verifiers with boundedauxiliary-input.

### Theorem 1.2 (informal).

- 1. Assuming (even privately-verifiable) P-delegation, there exist GEOWFs with respect to auxiliary-input of bounded polynomial length.
- 2. Assuming GEOWFs, ZAPs [DN07], and (even 1-hop [GHV10]) homomorphic encryption, there exists a 3-message ZK argument of knowledge against bounded-auxiliary-input verifiers. Assuming the GEOWFs are one-way against subexponential adversaries, there exists a 2-message ZK argument against bounded-auxiliary-input verifiers.

We next elaborate on each of the results.

### 1.2 Constructing Extractable One-Way Functions with respect to Bounded-Auxiliary-Input

We first formulate a generalized version of EOWFs (GEOWFs), and show how GEOWFs can be constructed from standard assumptions. Then, we shall see that, under appropriate conditions, we can leverage the same ideas in order to get standard EOWFs.

**Generalized EOWFs.** The essence of EOWFs, and what makes them useful, is the asymmetry between a black-box inverter and a non-black-box extractor: an inverter, which only gets a random image  $y = f_e(x)$  of an EOWF, cannot find a corresponding preimage x', whereas a non-black-box extractor, which is given a code that produces such an image, can find a preimage x'. GEOWFs allow to express this asymmetry in a more flexible way. Concretely, a function family  $\mathcal{F}$  is now associated with a "hard" binary relation  $\mathcal{R}_e^{\mathcal{F}}$  on image-witness pairs  $(f_e(x), x')$ . Given  $y = f_e(x)$  for a random x, it is infeasible to find a witness x', such that  $\mathcal{R}_e^{\mathcal{F}}(y, x') = 1$ . In contrast, a non-black-box extractor that is given a code that produces such an image can find such a witness x'.

It is natural to require that the relation  $\mathcal{R}_e^{\mathcal{F}}$  is efficiently testable, in this case we say that the GEOWF is *publicly-verifiable*. However, we shall see that GEOWFs are useful, even for hard relations that are not publicly-verifiable. Specifically, we will consider *privately-verifiable* GEOWFs where  $\mathcal{R}_e^{\mathcal{F}}(y, x)$  is not efficiently testable given only  $(y = f_e(x'), x)$ , but can be efficiently tested given x' in addition.

<sup>&</sup>lt;sup>1</sup>See discussion in [CLP13] on the equivalent concept of 2-message P-certificates.

The main idea behind the construction. To convey the basic idea behind our constructions of GEOWFs with respect to bounded auxiliary-input, consider the following first attempt. The GEOWF f is key-less, it is simply a pseudorandom generator stretching inputs of length n to outputs of length 2n. The relation  $\mathcal{R}^{\mathcal{F}}$  contains pairs  $(y, \mathcal{M})$  such that the witness  $\mathcal{M}$  is a description of a machine of length at most n, and  $\mathcal{M}(1^n)$  outputs y. The fact that the relation  $\mathcal{R}^{\mathcal{F}}(y, \cdot)$  is hard to satisfy for y = f(x) and a random x, follows from the pseudo-randomness of the output y. Indeed, a truly random output that is indistinguishable from y would have high Kolmogorov complexity. However, given any adversarial program  $\mathcal{M}_{\mathcal{A}}$  whose description size is bounded by n and that outputs some  $y \in \{0,1\}^{2n}$ , the description of the program  $\mathcal{M}_{\mathcal{A}}$  itself is a witness that satisfies the relation  $\mathcal{R}^{\mathcal{F}}(y, \mathcal{M}_{\mathcal{A}})$ , and thus extraction is trivial.

The main problem is that the time required to test the relation  $\mathcal{R}^{\mathcal{F}}$  (even given some preimage of y) is not bounded by any particular polynomial; indeed, the running time of  $\mathcal{M}_{\mathcal{A}}$  may be an arbitrary polynomial. One can try to fix this by padding the witness  $\mathcal{M}_{\mathcal{A}}$  with  $1^t$  where t is the running time of  $\mathcal{M}_{\mathcal{A}}$ . However, now the length of the extracted witness depends on the running time of the adversarial program  $\mathcal{M}_{\mathcal{A}}$  and is not bounded by any particular polynomial in the length of the image. Such generalized extractable functions do not seem to be as powerful though; in particular, we do not know how to use them for constructing 2-message and 3-message ZK protocols.

A similar problem is encountered in Barak's zero-knowledge protocol [Bar01], where the entire computation of a malicious verifier is used as the simulation trapdoor. As in the protocol of [BLV06], we get around this problem using a non-interactive proof system that allows for *quick verification* of (possibly long) computations. Instead of computing the output y of the witness program  $\mathcal{M}_{\mathcal{A}}$ ,  $\mathcal{R}^{\mathcal{F}}$  will (quickly) verify a proof for the fact that  $\mathcal{M}_{\mathcal{A}}(1^n)$  outputs y. That is,  $(y, (\mathcal{M}, \pi)) \in \mathcal{R}^{\mathcal{F}}$  only if  $\pi$  is a convincing proof that  $\mathcal{M}(1^n) = y$ . Intuitively, the soundness of the proof guarantees that the relation is still hard to satisfy. Extraction from a bounded-auxiliary-input adversary  $\mathcal{M}_{\mathcal{A}}$  is done by simply computing a proof for its computation.

**P-delegation.** The proof system required in our constructions is a non-interactive computationally sound proof for deterministic polytime statements, from hereon referred to as a P-delegation scheme. More precisely, in a P-delegation scheme, the verifier generates, once and for all, an "offline message"  $\sigma$  together with a private verification state  $\tau$  and sends  $\sigma$  to the prover. Then, the prover can compute a non-interactive proof  $\pi$  for any adaptively chosen statement of the sort: "machine  $\mathcal{M}$  outputs y within t steps". We require that the verifier runs in time polynomial in the security parameter n, but only polylogarithmic in t, and the prover runs in time polynomial in (t, n). We say that a delegation scheme is *publicly-verifiable* if the verification state  $\tau$  can be published without compromising soundness. Otherwise we say that the scheme is *privately-verifiable*.

As mentioned in Section 1.1, while we do have candidates for publicly-verifiable P-delegation, their security is not based on standard assumptions. In a recent breakthrough result, Kalai, Raz and Rothblum [KRR13, KRR] construct a privately verifiable P-delegation scheme based on any private information retrieval scheme with sub-exponential security. While the scheme of [KRR13, KRR] only has non-adaptive soundness, we use standard techniques to get soundness for a statement that is adaptively chosen from a relatively small set of possible statements. This is indeed what is required for our construction (see the body for more details).

**GEOWF from P-delegation.** We now sketch how P-delegation is used to obtain GEOWFs. We obtain publicly-verifiable GEOWFs based on publicly-verifiable delegation, or privately-verifiable GEOWFs based on privately-verifiable delegation. The GEOWF f is key-less, it is given as input a seed s and a random string r. f applies a pseudo-random generator on s and obtains an image v. f then uses the randomness r to sample an offline message  $\sigma$  together with a verification state  $\tau$  for a P-delegation scheme. Finally, f outputs  $(v, \sigma)$ . We assume that if the delegation scheme is publicly-verifiable, the offline message  $\sigma$  includes the verification state  $\tau$ . Also, if the delegation scheme is privately-verifiable, we assume that  $\tau$  can be inefficiently computed from  $\sigma$ . (Both assumption are WLOG.)

The relation  $\mathcal{R}^{\mathcal{F}}$  contains pairs consisting of an image  $(v, \sigma)$  and witness  $(\mathcal{M}, \pi)$ , such that the length of  $\mathcal{M}$  is much shorter then the length of v and  $\pi$  is an accepting proof for the statement " $\mathcal{M}(1^n)$  outputs v", with respect to the verification state  $\tau$  corresponding to the offline message  $\sigma$ . Indeed, if the delegation scheme is publicly-verifiable,  $\tau$  can be efficiently computed from  $\sigma$ , and therefore the relation  $\mathcal{R}^{\mathcal{F}}$  is efficiently testable. And if the delegation scheme is privately-verifiable,  $\tau$  can be efficiently computed given a primage of  $(v, \sigma)$  that contains the randomness used to sample  $\sigma$  and  $\tau$ .

**Constructing standard EOWFs.** We show how to construct a standard (not generalized) EOWF g from a publicly-verifiable GEOWF f. The basic high-level idea is to embed the structure of the GEOWF f and the relation  $\mathcal{R}^{\mathcal{F}}$  into the standard EOWF g. For this purpose, g will get as input a string  $i \in \{0,1\}^n$ , which intuitively picks one of two branches for computing the function. If  $i \neq 0^n$  (which is almost always the case for a random input) the output is computed in the "normal branch", where g takes an input x for the GEOWF f and outputs f(x). If  $i \neq 0^n$ , the output is computed in the "trapdoor branch", which is is almost never taken for a random input, but is used by the extractor. In the trapdoor branch, g takes as input a candidate output y for f and a witness x' for  $\mathcal{R}^{\mathcal{F}}(y, \cdot)$ . g verifies that  $(y, x') \in \mathcal{R}^{\mathcal{F}}$  and if so, it outputs y. Given an adversarial program  $\mathcal{M}_{\mathcal{A}}$  that outputs y in the image of f, the extractor for g can invoke the extractor for f, obtain a witness x' such that  $(y, x') \in \mathcal{R}^{\mathcal{F}}$ , and from this witness construct a valid (trapdoor branch) primage  $(i = 0^n, y, x')$  for y.

The above transformation cannot start from a privately-verifiable GEOWF; indeed public-verification is required so to allow the function to efficiently evaluate the relation  $\mathcal{R}^{\mathcal{F}}$  in the trapdoor branch. We also note that the above transformation is oversimplified and implicitly assumes that an adversarial evaluator cannot use the trapdoor branch of the function to produce an output that is in the image of g but not in the image of f, in which case extraction may fail. In the body, we show how to avoid this problem by relying on the specific construction of publicly-verifiable GEOWFs from publicly-verifiable P-delegation with an extra property (satisfied by existing candidates).

### 1.3 Zero Knowledge against Verifiers with Bounded-Auxiliary-Input

We start by describing how to construct 2-message and 3-message zero-knowledge protocols from standard (non-generalized) EOWFs, and then explain how to replace the EOWFs with GEOWFs.

**From EOWF to 3-message zero knowledge.** The protocol follows the Feige-Lapidot-Shamir *trapdoor* paradigm [FLS99]. Given, say a key-less, EOWF f, the basic idea is to have the verifier send the prover an image y = f(x) of a random element x, which will serve as the trapdoor. The prover would then give a witness-indistinguishable proof-of-knowledge attesting that it either knows a witness w for the proven statement, or it knows a preimage x' of y. Intuitively, soundness (and actually proof of knowledge) follow from the one-wayness of f and the proof of knowledge property of the WI system. Zero knowledge follows from the extractability of f. Indeed, the simulator, given the code of the verifier, can run the extractor of the EOWF, obtain x, and use it to simulate the WI proof.

Following through on this intuition encounters several difficulties. First, a WI proof of knowledge requires three messages, and thus a first WI prover message must be sent in the first message of the protocol. Furthermore, the WI statement is only determined when the verifier sends y in the second protocol message. Therefore, we must make sure to use a WI proof of knowledge where the first prover message does not depend on the statement. Another basic problem concerns the length of the first WI message. Recall that, in

our construction of EOWFs against bounded-auxiliary-input adversaries, the function's output is longer than the adversary's advice. Since a cheating verifier may compute y using the first WI message as an advice, we must therefore use a WI system where the length of the first message is independent of the length of the proven statement. We design a WI proof of knowledge with the required properties based on ZAPs [DN07] and extractable commitments [PW09].

An additional potential problem is that a malicious verifier may output an element  $\tilde{y}$  outside of the function's image, an event which in general may not be efficiently recognizable, and cause the simulator to fail. This can be solved in a couple of generic ways, later on we shall outline one such solution, based on 1-hop homomorphic encryption. A different approach to the problem, based on ZAPs is described in [BCC<sup>+</sup>13].

From EOWFs to 2-message zero knowledge. In the 2-message protocol, we replace the 3-message WI proof of knowledge with a 2-message WI proof (e.g. a ZAP). However, in the above 3-message protocol, soundness is established by using the proof-of-knowledge property of the WI, whereas 2-message WI proofs of knowledge are not known. Instead, we prove soundness using complexity leveraging. The prover adds to its message a statistically-binding commitment to junk, and proves that either " $x \in \mathcal{L}$ ", or "f(x) = y and the commitment is to x". We require that the commitment is invertible in some superpolynomial time T, whereas the one-wayness of f still holds against adversaries that run in time poly(T). Now, an inverter of f can run the cheating prover with a verifier message that contains its input image y, and brute-force break the commitment to obtain a preimage of y.

Replacing EOWF with GEOWF. We would like to base our zero-knowledge protocols on privatelyverifiable GEOWFs (that can be constructed from standard assumptions) instead of on EOWFs. A natural first attempt is to modify the protocol as follows: the verifier sends an image y = f(x), as before, and the prover then gives a WI proof of knowledge attesting that it either knows a witness w for the proven statement, or that it knows, not a preimage, but a witness x' such that  $\mathcal{R}^{\mathcal{F}}(y, x') = 1$ . The main problem with this first attempt is that the relation  $\mathcal{R}^{\mathcal{F}}$  is not publicly-verifiable, and thus the simulator has no way of proving the statement. Another possible problem is that a malicious verifier may output an element outside of the function's image, an event which in general may not be efficiently recognizable. In such a case there is no extraction guarantee, and simulation may fail. The solution for both is to test the relation  $\mathcal{R}^{\mathcal{F}}$ , and the validity of the verifier's image, using a two-message secure function evaluation protocol, based for example on a 1-hop homomorphic encryption [GHV10]. More concretely, the verifier, in addition to the the function output y, sends an encryption c of the input x. The simulator then homomorphically evaluates a circuit that efficiently computes  $\mathcal{R}^{\mathcal{F}}(y, x')$  given x, as well as verifies that indeed y = f(x). The simulator then obtains an evaluated ciphertext c that decrypts to 1 (the honest prover will simply simulate an encryption c of 1). Finally, the prover (or simulator) sends back  $\hat{c}$ , and gives a WI proof of knowledge attesting that it either knows a witness w for the proven statement, or that the ciphertext  $\hat{c}$  was generated as described. The verifier verifies the WI proof is accepting and that  $\hat{c}$  decrypts to 1.

Limitations on 2 and 3 message ZK and related work. 3-message zero-knowledge protocols with blackbox simulation exist only for trivial languages [GK96]. The impossibility extends to the case of adversaries with bounded advice of size  $n^{\Omega(1)}$ , where *n* is the security parameter (see Appendix A for more details). Previous 3-message zero-knowledge protocols were based on either on the knowledge of exponent assumption [HT98, BP04], on extractable one-way functions[BCC<sup>+</sup>13], or other extractability assumptions [CD08]. In all the simulator uses a non-black extractor that is only assumed to exist, but not explicitly constructed.

Two-message zero-knowledge arguments against adversaries with unbounded polynomial advice exist only for trivial languages (regardless of how simulation is done) [GO94]. In fact, impossibility extends even

to adversaries with bounded advice, provided that the advice string is longer than the verifier's message. Barak et al. [BLV06] construct a 2-message argument that is zero-knowledge as long as the verifier's advice is shorter than the verifier message by super-logarithmic additive factor. Indeed, our two-message protocol has the same skeleton. However, security of the Barak et al. protocol is only shown assuming existence of P-delegation schemes (or universal arguments for non-deterministic languages) that are *publicly verifiable*, which as discussed earlier is not considered to be a standard assumption.

### **1.4 Why Extractable Functions?**

As pointed out above, the extractable functions constructed here mimic Barak's zero-knowledge protocol [Bar01]. The similarity becomes even stronger when considering the two-message zero-knowledge protocol of Barak et. al [BLV06]: Our two message protocol can be directly obtained from that of [BLV06] by replacing the CS proofs with P-delegation, and accounting for private verifiability as sketched above. This can be done without mention of extractable functions. Still, we believe that the abstraction of extractable functions is helpful in this context. In particular, it helps separating the protocol structure from the underlying mechanism of extracting a secret value from a given adversarial program.

Furthermore, we hope that this abstraction will prove useful for additional applications beyond two and three-message zero-knowledge. Applications like succinct non-interactive arguments (SNARGs) and efficient CCA encryption seem to require extractable functions with stronger properties such as injectiveness or collision-resistance [Dam92, BCCT12]. At this point, candidates for extractable functions with such properties are known based on non-standard assumptions regarding different number theoretic and algebraic structures, such as the knowledge-of-exponent assumption. In contrast, our construction is unstructured and does not satisfy the above properties. Indeed, in our function it is easy to find collisions: Consider a machine  $\mathcal{M}$  that just evaluates the function on any arbitrary input x. By simply applying the extractor on  $\mathcal{M}$ , we can obtain a different preimage x' mapping to an equivalent image.

We hope that the proposed construction will provide a stepping stone to improved constructions of stronger extractable functions based on standard and better understood hardness assumptions. Two natural targets here are extractable collision-resistant hash functions and extractable non-interactive commitments.

## 2 Extractable One-Way Functions

In this section, we define auxiliary-input extractable one-way functions (EOWFs), bounded-auxiliary-input EOWFs, and generalized extractable one-way functions (GEOWFs).

**Definition 2.1** (Auxiliary-input EOWFs [CD08]). Let  $\ell, \ell', m$  be polynomially bounded length functions. An efficiently computable family of functions

$$\mathcal{F} = \left\{ f_e : \{0,1\}^{\ell(n)} \to \{0,1\}^{\ell'(n)} \mid e \in \{0,1\}^{m(n)}, n \in \mathbb{N} \right\}$$

associated with an efficient (probabilistic) key sampler  $\mathcal{K}_{\mathcal{F}}$ , is an auxiliary-input EOWF if it is:

1. **One-way:** For any PPT A, polynomial b, large enough security parameter  $n \in \mathbb{N}$ , and  $z \in \{0, 1\}^{b(n)}$ :

$$\Pr_{\substack{e \leftarrow \mathcal{K}_{\mathcal{F}}(1^n) \\ x \leftarrow \{0,1\}^{\ell(n)}}} \left[ \begin{array}{c} x' \leftarrow \mathcal{A}(e, f_e(x); z) \\ f_e(x') = f_e(x) \end{array} \right] \le \operatorname{negl}(n)$$

2. Extractable: For any PPT adversary A, there exists a PPT extractor  $\mathcal{E}$  such that, for any polynomial *b*, large enough security parameter  $n \in \mathbb{N}$ , and  $z \in \{0, 1\}^{b(n)}$ :

$$\Pr_{e \leftarrow \mathcal{K}_{\mathcal{F}}(1^n)} \left[ \begin{array}{cc} y \leftarrow \mathcal{A}(e;z) & \land \begin{array}{c} x' \leftarrow \mathcal{E}(e;z) \\ \exists x: f_e(x) = y & \land \begin{array}{c} f_e(x') \neq y \end{array} \right] \le \operatorname{negl}(n)$$

**Bounded auxiliary input.** We now define bounded-auxiliary-input EOWFs. Unlike the definition above, where extraction is guaranteed with respect to auxiliary input of any polynomial size b, here b is fixed in advance and the function is designed accordingly. That is, extraction is only guaranteed against adversaries whose advice is bounded by b, whereas their running time may still be an arbitrary polynomial; this, in particular, captures the class of *uniform polytime adversaries*.

For *b*-bounded auxiliary input, we also define key-less families. While for unbounded auxiliary input, extraction is impossible for key-less families (the adversary may get as auxiliary input a random image, thus forcing the extractor to break one-wayness), for *b*-bounded auxiliary input, it may be possible. Indeed, we can set the output length  $\ell'$  is sufficiently larger than the bound *b* on the auxiliary input. Our constructions, in Section 3, will yield such key-less functions.

**Definition 2.2** (b-bounded-auxiliary-input EOWFs). Let  $b, \ell, \ell', m$  be polynomially bounded length functions (where  $\ell, \ell', m$  may depend on b). An efficiently computable family of functions

$$\mathcal{F} = \left\{ f_e : \{0,1\}^{\ell(n)} \to \{0,1\}^{\ell'(n)} \mid e \in \{0,1\}^{m(n)}, n \in \mathbb{N} \right\}$$

associated with an efficient (probabilistic) key sampler  $\mathcal{K}_{\mathcal{F}}$ , is a b-bounded auxiliary-input EOWF if it is:

- 1. One-way: As in Definition 2.1.
- 2. Extractable against b-bounded adversaries: For any PPT adversary A, there exists a PPT extractor  $\mathcal{E}$  such that, for any large enough security parameter  $n \in \mathbb{N}$ , and  $z \in \{0, 1\}^{b(n)}$ :

$$\Pr_{e \leftarrow \mathcal{K}_{\mathcal{F}}(1^n)} \left[ \begin{array}{cc} y \leftarrow \mathcal{A}(e;z) & \land \begin{array}{c} x' \leftarrow \mathcal{E}(e;z) \\ \exists x: f_e(x) = y & \land \begin{array}{c} f_e(x') \neq y \end{array} \right] \leq \operatorname{negl}(n)$$

We say that the function is **key-less** if in all the above definitions the key is always set to be the security parameter; namely,  $e \equiv 1^n$ . In this case, the extraction guarantee always holds (rather than only for a random key).

*Remark* 2.1 (Bounded randomness). Throughout, we treat any randomness used by the adversary as part of its advice z, in particular, in the case of bounded advice, we assume that the randomness is bounded accordingly. For many applications, this is sufficient as we can transform any adversary that uses arbitrary polynomial randomness to one that uses bounded randomness, by having it stretch its randomness with a PRG. This approach is applicable, for example, for ZK against *b*-bounded-auxiliary-input verifiers (see Section 4), as well as for any application where testing if the adversary breaks the scheme can be done efficiently.

### 2.1 Generalized Extractable One-Way Functions

The essence of EOWFs, and what makes them useful, is the asymmetry between an inverter and a non-blackbox extractor: a black-box inverter that only gets a random image  $y = f_e(x)$  cannot find a corresponding preimage x', whereas a non-black-box extractor, which is given a code that produces such an image, can find a preimage x'. Generalized EOWFs (GEOWFs) allows to express this asymmetry in a more flexible way. Concretely, a function family  $\mathcal{F}$  is now associated with a "hard" relation  $\mathcal{R}_e^{\mathcal{F}}(f_e(x), x')$  on image-witness pairs  $(f_e(x), x') \in \{0, 1\}^{\ell'} \times \{0, 1\}^{\ell}$ . Given  $y = f_e(x)$  for a random x, it is infeasible to find a witness x', such that  $\mathcal{R}_e^{\mathcal{F}}(y, x') = 1$ . In contrast, a non-black-box extractor that is given a code that produces such an image can find such a witness x'.

We consider two variants of GEOWFs: The first is *publicly-verifiable GEOWFs*, where for  $(y = f_e(x'), x)$ , the relation  $\mathcal{R}_e^{\mathcal{F}}(y, x)$ , can be efficiently tested given y and x only (and the key e if the function is keyed). The second is *privately-verifiable GEOWFs*, where the relation  $\mathcal{R}_e^{\mathcal{F}}(y, x)$ , might not be efficiently testable given only  $(y = f_e(x'), x)$ , but is possible to efficiently test the relation given x' in addition.

We note that standard EOWFs, as given in Definition 2.1, fall under the category of publicly-verifiable GEOWFs, where the relation  $\mathcal{R}_e^{\mathcal{F}}(y, x)$  simply tests whether  $y = f_e(x)$ .

Definition 2.3 (GEOWFs). An efficiently computable family of functions

$$\mathcal{F} = \left\{ f_e : \{0, 1\}^{\ell(n)} \to \{0, 1\}^{\ell'(n)} \mid e \in \{0, 1\}^{m(n)}, n \in \mathbb{N} \right\} ,$$

associated with an efficient (probabilistic) key sampler  $\mathcal{K}_{\mathcal{F}}$ , is a GEOWF, with respect to a relation  $\mathcal{R}_{e}^{\mathcal{F}}(y, x)$  on triples  $(e, y, x) \in \{0, 1\}^{m(n) + \ell'(n) + \ell(n)}$ , if it is:

1.  $\mathcal{R}^{\mathcal{F}}$ -Hard: For any PPT  $\mathcal{A}$ , polynomial b, large enough security parameter  $n \in \mathbb{N}$ , and  $z \in \{0,1\}^{b(n)}$ :

$$\Pr_{\substack{e \leftarrow \mathcal{K}_{\mathcal{F}}(1^n) \\ x \leftarrow \{0,1\}^{\ell(n)}}} \left[ \begin{array}{c} x' \leftarrow \mathcal{A}(e, f_e(x); z) \\ \mathcal{R}_e^{\mathcal{F}}(f_e(x), x') = 1 \end{array} \right] \le \operatorname{negl}(n) \ .$$

2.  $\mathcal{R}^{\mathcal{F}}$ -Extractable: For any PPT adversary  $\mathcal{A}$ , there exists a PPT extractor  $\mathcal{E}$  such that, for any polynomial *b*, large enough security parameter  $n \in \mathbb{N}$ , and  $z \in \{0, 1\}^{b(n)}$ :

$$\Pr_{e \leftarrow \mathcal{K}_{\mathcal{F}}(1^n)} \left[ \begin{array}{cc} y \leftarrow \mathcal{A}(e;z) \\ \exists x: f_e(x) = y \end{array} \land \begin{array}{c} x' \leftarrow \mathcal{E}(e;z) \\ \mathcal{R}_e^{\mathcal{F}}(f_e(x),x') \neq 1 \end{array} \right] \leq \operatorname{negl}(n) \ .$$

We further say that the function is

- Publicly-verifiable if  $\mathcal{R}_{e}^{\mathcal{F}}(f_{e}(x), x')$  can always be efficiently computed by a tester  $\mathcal{T}(e, f_{e}(x), x')$ .
- **Privately-verifiable** if  $\mathcal{R}_{e}^{\mathcal{F}}(f_{e}(x), x')$  can be efficiently computed by a tester a tester  $\mathcal{T}(e, x, x')$ .

**Bounded auxiliary input GEOWFs** (*b*-bounded-auxiliary-input GEOWFs) are defined analogously to *b*bounded-auxiliary-input-EOWFs. That is,  $\mathcal{R}^{\mathcal{F}}$ -hardness is defined exactly as in Definition 2.3, whereas  $\mathcal{R}^{\mathcal{F}}$ -hardness is only against adversaries with auxiliary input of an apriori fixed polynomial size b(n).

*Remark* 2.2 (Does  $\mathcal{R}^{\mathcal{F}}$ -hardness imply one-wayness). In principle,  $\mathcal{R}^{\mathcal{F}}$ -hardness may not imply one-wayness of  $\mathcal{F}$ . Although this is not needed for our purposes, we may further require that the relation  $\mathcal{R}^{\mathcal{F}}$  includes all pairs  $(f_e(x), x)$ , and thus ensure that  $\mathcal{R}^{\mathcal{F}}$ -hardness does imply one-wayness.

Remark 2.3 (GEOWFs vs. Proximity EOWFs). In [BCCT12], a different variant of EOWFs called *proximity* EOWFs is defined. There a proximity relation ~ is defined on the range of the function. One-wayness is strengthened to require that not only is inverting  $f_e(x)$  is hard, but also finding x' such that  $f_e(x) \sim f_e(x')$ is hard. Extractability is weakened so that the extractor is allowed to output x' as above, rather than an actual preimage. GEOWF simply allow the relation to be even more general. In particular, any proximity EOWF with relation ~ implies a GEOWF with relation  $\mathcal{R}$ , such that  $\mathcal{R}(f_e(x), x') = 1$  iff  $f_e(x) \sim f_e(x')$ . In particular, the limitations we show in Section ?? on GEOWFs apply to proximity EOWFs as well.

### **3** Constructions

In this section, we construct bounded-auxiliary-input extractable one-way functions (bounded-auxiliary-input-EOWFs) and generalized bounded-auxiliary-input-EOWFs (GEOWFs). Before presenting the construction, we define *non-interactive universal arguments for deterministic computations*, which is the main tool we rely on, and discuss an instantiation based on the delegation scheme of Kalai, Raz, and Rothblum [KRR].

### 3.1 Non-Interactive Universal Arguments for Deterministic Computations & Delegation

In what follows, we denote by  $\mathcal{L}_{\mathcal{U}}$  the universal language consisting of all tuples  $(\mathcal{M}, x, t)$  such that  $\mathcal{M}$  accepts x within t steps. We denote by  $\mathcal{L}_{\mathcal{U}}(T)$  all pairs  $(\mathcal{M}, x)$  such that  $(\mathcal{M}, x, T) \in \mathcal{L}_{\mathcal{U}}$ .

Let  $T(n) \in (2^{\omega(\log n)}, 2^{\operatorname{poly}(n)})$  be a computable superpolynomial function. An NIUA system for Dtime(T) consists of three algorithms  $(\mathcal{G}, \mathcal{P}, \mathcal{V})$  that work as follows. The (probabilistic) generator  $\mathcal{G}$ , given a security parameter  $1^n$ , outputs a *reference string*  $\sigma$  and a corresponding *verification state*  $\tau$ ; in particular,  $\mathcal{G}$  is independent of any statement to be proven later. The honest prover  $\mathcal{P}(\mathcal{M}, x; \sigma)$  produces a certificate  $\pi$  for the fact that  $(\mathcal{M}, x) \in \mathcal{L}_{\mathcal{U}}(T(n))$ . The verifier  $\mathcal{V}(\mathcal{M}, x; \pi, \tau)$  verifies the validity of  $\pi$ . Formally, an NIUA system is defined as follows.

**Definition 3.1** (NIUA). A triple of algorithms  $(\mathcal{G}, \mathcal{P}, \mathcal{V})$  is a non-interactive universal argument system for for Dtime(T) if it satisfies:

*1.* **Perfect Completeness:** *For any*  $n \in \mathbb{N}$  *and*  $(\mathcal{M}, x) \in \mathcal{L}_{\mathcal{U}}(T(n))$ *:* 

$$\Pr_{(\sigma,\tau) \leftarrow \mathcal{G}(1^n)} \left[ \mathcal{V}\left(\mathcal{M}, x; \pi, \tau\right) = 1 \mid \pi \leftarrow \mathcal{P}\left(\mathcal{M}, x; \sigma\right) \right] = 1 \; .$$

2. Adaptive soundness for a bounded number of statements: There is a polynomial b, such that for any polysize prover  $\mathcal{P}^*$ , large enough  $n \in \mathbb{N}$ , and set of at most  $2^{b(n)}$  statements  $S \subseteq \{0, 1\}^{\operatorname{poly}(n)}$ :

$$\Pr_{(\sigma,\tau)\leftarrow\mathcal{G}(1^n)} \left[ \begin{array}{c} \mathcal{V}(\mathcal{M},x;\pi,\tau) = 1 \end{array} \middle| \begin{array}{c} (\mathcal{M},x,\pi)\leftarrow\mathcal{P}^*(\sigma) \\ (\mathcal{M},x)\in S\setminus\mathcal{L}_{\mathcal{U}}(T(n)) \end{array} \right] \leq \operatorname{negl}(n)$$

- 3. Fast verification and relative prover efficiency: There exists a polynomial p such that for every  $n \in \mathbb{N}$ ,  $t \leq T(n)$ , and  $(\mathcal{M}, x) \in \mathcal{L}_{\mathcal{U}}(t)$ :
  - the generator  $\mathcal{G}$  runs in time p(n);
  - the verifier  $\mathcal{V}$  runs in time  $p(n + |\mathcal{M}| + |x|)$ ;
  - the prover  $\mathcal{P}$  runs in time  $p(n + |\mathcal{M}| + |x| + t)$ .

The system is said to be **publicly-verifiable** if soundness is maintained when the malicious prover is also given the verification state  $\tau$ . In this case, we will assume WLOG that the verification state  $\tau$  appears in the clear in the reference string  $\sigma$ .

Existence and connection to delegation of computation. There are two differences between the notion of delegation for deterministic computations (See, e.g., [KRR13]) and the NIUA notion defined above. The first is that a delegation system is associated with a given language  $\mathcal{L}(\mathcal{M})$  for a fixed deterministic machine  $\mathcal{M}$ , and the corresponding efficiency parameters depend on the worst-case running time  $T_{\mathcal{M}}$  of  $\mathcal{M}$ . In particular, the generator  $\mathcal{G}$  depends on  $T_{\mathcal{M}}$  as an extra parameter, and the prover's efficiency is polynomial in the worst-case running time  $T_{\mathcal{M}}$ . The second difference is that only non-adaptive soundness is guaranteed; in particular, the generator's message  $\sigma$  may, in principle, depend on the input x.

Kalai, Raz, and Rothblum [KRR] show how to construct such a privately verifiable *delegation scheme* for every language in  $Dtime(T) \subseteq EXP$ , assuming subexponentially secure private information retrieval schemes, which can in turn be constructed based the subexponential Learning with Errors assumption [BV11].

In order to get a (privately verifiable) NIUA for Dtime(T), we could potentially use their result with respect to a universal machine and worst-case running time O(T). However, this solution would lack the required prover efficiency, as the prover will always run in time poly(T), even for machines  $\mathcal{M}$  with running time  $t_{\mathcal{M}} \ll T$ . This is undesired in our case, as we will be interested in T that is super-polynomial. Fortunately, a rather standard transformation does allow to get the required efficiency from their result. Specifically, we could run the generator in their solution to generate a reference string and verification state  $(\sigma, \tau)$  for computations of size t for all  $t \in \{1, 2, 2^2, \ldots, 2^{\log T}\}$ , and have the prover and verifier use the right  $(\sigma, \tau)$  according to the concrete running time  $t_{\mathcal{M}} \ll T$ , guaranteeing that the prover's running time is at most  $poly(2t_{\mathcal{M}})$  as required.

As for adaptivity, in their scheme, the generator does work independently of the input x, but only nonadaptive soundness is shown; namely, soundness is only guaranteed when  $\sigma$  is generated independently of x. To guarantee soundness for adaptively chosen inputs x from a set S of size at most  $2^{b(n)}$ , we may repeat the above argument O(b(n)) times. Assuming that the underlying delegation scheme is secure against provers that run in time  $2^{O(b(n))}$  (by choosing the security parameter in the [KRR] scheme appropriately), the parallel repetition exponentially reduces the soundness error (see e.g., [BIN97]). Then, we can take a union bound over all  $2^{b(n)}$  adaptive choices of x and get the required soundness. The O(b(n))-factor hit in succinctness and verification time are still tolerable for our purposes (and still satisfy the above definition).

**Theorem 3.1** (Following from [KRR]). Assuming sub-exponential security of the Learning with Errors Problem, for any b(n) = poly(n), and  $T(n) \in (2^{\omega(\log n)}, 2^{poly(n)})$ , there exists a (privately-verifiable) NIUA with adaptive soundness for at most  $2^{b(n)}$  statements.

### 3.2 Constructions

We now present our constructions of bounded-auxiliary-input EOWFs and GEOWFs. We start with the construction of GEOWFs, based on any NIUA. We then give a construction of the standard (rather than generalized) EOWFs based on publicly-verifiable NIUAs with an additional key validation property (satisfied by existing candidates).

### 3.2.1 The generalized extractable one-way function

Let b(n) be a polynomial. Let  $(\mathcal{G}, \mathcal{P}, \mathcal{V})$  be an NIUA system for  $\operatorname{Dtime}(T(n))$  for some function  $T(n) \in (2^{\omega(\log n)}, 2^{\operatorname{poly}(n)})$ , with adaptive soundness for  $2^{b(n)}$  statements. We assume that the system handles statements of the form  $(\mathcal{M}, v) \in \{0, 1\}^{b(n)} \times \{0, 1\}^{b(n)+n}$  asserting that " $\mathcal{M}(1^n)$  outputs v in T(n) steps". Assume that,  $\mathcal{G}(1^n; r)$  uses randomness of size n to output a reference string of polynomial size m(n), and a verification state  $\tau$  (if the system is publicly-verifiable, then  $\tau$  appears in  $\sigma$ ). Assume that  $\mathcal{P}$  outputs certificates  $\pi$  of size p(n). Let PRG be a pseudo random generator stretching n bits to b(n) + n bits. We construct a key-less family of functions  $\mathcal{F} = \{f_n\}_{n \in \mathbb{N}}$ , consisting of one function  $f_n : \{0, 1\}^{\ell(n)} \to \{0, 1\}^{\ell'(n)}$ , for each security parameter n, where  $\ell(n) = \max(2n, b(n) + p(n))$  and  $\ell'(n) = m(n) + b(n) + n$ .

The function is given in Figure 1, and is followed by the corresponding relation  $\mathcal{R}^{\mathcal{F}}$ .

**Inputs:** (s, r, pad) of respective lengths  $(n, n, \ell(n) - 2n)$ .

- 1. Compute  $v = \mathsf{PRG}(s)$ .
- 2. Sample NIUA reference string and verification state  $(\sigma, \tau) \leftarrow \mathcal{G}(1^n; r)$ .
- 3. Output  $(\sigma, v)$ .

### Figure 1: The function $f_n$ .

We now define the corresponding relation  $\mathcal{R}^{\mathcal{F}} = \{\mathcal{R}_n^{\mathcal{F}}\}_{n \in \mathbb{N}}$  in Figure 2, which will be publicly-verifiable (respectively, privately-verifiable) if the NIUA is publicly (respectively, privately verifiable). For simplicity, we assume that the NIUA is such that for every valid reference string  $\sigma$  produced by  $\mathcal{G}$ , there is a single possible verification state  $\tau$  (this can always be achieved by adding a commitment to  $\tau$  inside  $\sigma$ ).

### **Inputs:**

 $y = f_n(x) = (\sigma, v)$  of respective lengths (m(n), b(n) + n),  $x' = (\mathcal{M}, \pi, \text{pad})$  of respective lengths  $(b(n), p(n), \ell(n) - b(n) - p(n))$ .

- 1. Compute the (unique) verification state  $\tau$  corresponding to the reference string  $\sigma$ :
- 2. Run  $\mathcal{V}(\mathcal{M}, v, \pi, \tau)$  to verify the statement " $\mathcal{M}(1^n)$  outputs v in T(n) steps".
- 3. Return 1 iff verification passes.

Figure 2: The relation  $\mathcal{R}_n^{\mathcal{F}}(f_n(x), x')$ .

**Claim 3.1.**  $\mathcal{R}^{\mathcal{F}}$  is publicly-verifiable (respectively privately-verifiable), if  $(\mathcal{G}, \mathcal{P}, \mathcal{V})$  is publicly-verifiable (respectively privately-verifiable).

*Proof.* First, by definition, when  $(\mathcal{G}, \mathcal{P}, \mathcal{V})$  is publicly-verifiable,  $\tau$  can be obtained from  $\sigma$ , NIUA verification can be done efficiently, and thus the relation  $\mathcal{R}_n^{\mathcal{F}}$  can be efficiently tested.

Next, assume that  $(\mathcal{G}, \mathcal{P}, \mathcal{V})$  is private-verifiable. Recall that showing that  $\mathcal{R}_n^{\mathcal{F}}$  is privately-verifiable, means that given any preimage x such that  $y = f_n(x)$ , we can efficiently test  $\mathcal{R}_n^{\mathcal{F}}(y, x')$ . Indeed, given such a preimage x = (s, r, pad), we can obtain the generator randomness r, and run  $\mathcal{G}(1^n; r)$  to obtain the (unique) verification state  $\tau$  corresponding to  $\sigma$ , and efficiently test  $\mathcal{R}_n^{\mathcal{F}}$ .

*Remark* 3.1 (One-wayness vs.  $\mathcal{R}^{\mathcal{F}}$ -hardness of  $\mathcal{F}$ ). The relation  $\mathcal{R}^{\mathcal{F}}$  defined above is such that  $(f_n(x), x)$  may not satisfy the relation. In particular, this means that  $\mathcal{R}^{\mathcal{F}}$ -hardness may not imply one-wayness of  $\mathcal{F}$ . While this is not needed for our purposes, the relation  $\mathcal{R}^{\mathcal{F}}$  can be augmented to also include all pairs  $(f_n(x), x)$ , and  $\mathcal{R}^{\mathcal{F}}$ -hardness is preserved; that is, the function we define is one-way in the usual sense.

We now turn to show that  $\mathcal{F}$  is a GEOWF with respect to  $\mathcal{R}^{\mathcal{F}}$ .

**Theorem 3.2.** The function family  $\mathcal{F} = \{f_n\}_{n \in \mathbb{N}}$ , given in Figure 1 is a GEOWF, with respect to  $\mathcal{R}^{\mathcal{F}}$ , against  $(b(n) - \omega(1))$ -bounded auxiliary-input.

**High-level idea behind the proof.** To see that  $\mathcal{F}$  is  $\mathcal{R}^{\mathcal{F}}$ -hard, note that to break  $\mathcal{R}^{\mathcal{F}}$ -hardness, an adversary given a random image  $(\sigma, v)$ , where  $v = \mathsf{PRG}(s)$  is of length b(n) + n, has to come up with a "small" machine  $\mathcal{M}$ , whose description length is at most b(n), and a proof that  $\mathcal{M}$  outputs v (within a T(n) steps). However, in an indistinguishable world where v is a truly random string, v would almost surely have high Kolomogorov complexity, and a short machine  $\mathcal{M}$  that outputs v would not exist. Thus, in this case, the breaker has to produce an accepting proof for a false statement, and violate the soundness of the NIUA.

As for extraction, given a poly-time machine  $\mathcal{M}_z$  with short advice z that outputs  $(\sigma, v)$ , where  $\sigma$  is a valid reference string for the NIUA system, the extractor simply computes a proof  $\pi$  for the fact that  $\mathcal{M}_z$  outputs v, and outputs the preimage  $(\mathcal{M}_z, \pi; \text{pad})$ . By the completeness of the NIUA system, the proof  $\pi$  is indeed accepting, and the preimage satisfies  $\mathcal{R}^{\mathcal{F}}$ . Furthermore, by the relative prover efficiency of the NIUA, the extractor runs in time that is polynomial in the running time of the adversary  $\mathcal{M}_z$ .

*Proof of Theorem 3.2.* We first show  $\mathcal{R}^{\mathcal{F}}$ -hardness, and then show  $\mathcal{R}^{\mathcal{F}}$ -extractability.

 $\mathcal{R}^{\mathcal{F}}$ -hardness. Assume there exists a breaker  $\mathcal{B}$  that, given  $y = (\sigma, v)$ , where  $\sigma \leftarrow \mathcal{G}(1^n)$ , and  $v \leftarrow \mathsf{PRG}(U_n)$ , finds  $x = (\mathcal{M}, \pi, \mathsf{pad})$  such that  $\mathcal{R}_n^{\mathcal{F}}(y, x) = 1$  with noticeable probability  $\epsilon(n)$ . We construct a prover  $\mathcal{P}^*$  that breaks the adaptive soundness of the NIUA (for  $2^{b(n)}$  statements), with probability  $\epsilon(n) - \operatorname{negl}(n)$ .  $\mathcal{P}^*$ , given  $\sigma$ , first samples on its own  $\tilde{v} \leftarrow U_{b(n)+n}$  (independently of  $\sigma$ ), and then runs  $\mathcal{B}(\sigma, \tilde{v})$  to obtain a machine  $\mathcal{M}$  of size b(n), and a proof  $\pi$ .

We first claim that with probability  $\epsilon(n) - \operatorname{negl}(n)$ ,  $\pi$  is an accepting proof for the statement  $(\mathcal{M}, \tilde{v})$ asserting that " $\mathcal{M}(1^n)$  outputs  $\tilde{v}$  in T(n) steps". Indeed, the view of  $\mathcal{B}$  in the above experiment is identical to its real view, except that it gets a truly random  $\tilde{v}$ , rather than a pseudo-random v that was generated using PRG. Thus, the claim follows by the PRG guarantee.

Next, we note that since  $\tilde{v}$  is a (b(n) + n)-long random string, except with negligible probability  $2^{-n}$ , there does not exist  $\mathcal{M}$  of size b(n) that outputs  $\tilde{v}$ . Thus,  $\mathcal{P}^*$  produces an accepting proof for one of  $2^{b(n)}$ false statements given by the adaptive choice of  $\mathcal{M} \in \{0, 1\}^{b(n)}$ , and violates the soundness of the NIUA.

 $\mathcal{R}^{\mathcal{F}}$ -extractability. We now show  $\mathcal{R}^{\mathcal{F}}$ -extractability. We, in fact, show that there is one universal PPT extractor  $\mathcal{E}$  that can handle and PPT adversary  $\mathcal{M}$  with advice of size at most  $b(n) - \omega(1)$ . For an adversarial code  $\mathcal{M}$  and advice  $z \in \{0, 1\}^{b(n) - \omega(1)}$ , denote by  $\mathcal{M}_z$  the machine that, on input  $1^n$ , runs runs  $\mathcal{M}(1^n; z)$ . The extractor  $\mathcal{E}$  is given  $(\mathcal{M}, z)$ , where  $\mathcal{M}_z$  has description size at most b(n) and running time at most  $t_{\mathcal{M}} < T(n)$ , and  $\mathcal{M}_z(1^n) = y = (\sigma, v) \in \text{Image}(f_n)$ . To compute a witness  $x' \in \mathcal{R}^{\mathcal{F}}(y)$ ,  $\mathcal{E}$  computes a certificate  $\pi$  for the fact that " $\mathcal{M}_z(1^n) = v$ ", and then outputs  $x' = (\mathcal{M}_z, \pi, \text{pad})$ . The fact that x' is indeed

a valid witness follows directly from the perfect completeness of the scheme. Finally, we note that by the relative prover efficiency of the NIUA the extractor runs in time that is polynomial in the running time  $t_M$  of the adversary.

*Remark* 3.2 ( $\mathcal{R}^{\mathcal{F}}$ -hardness against superpolynomial adversaries). In Section 4.4.2, we shall require GEOWFs that are  $\mathcal{R}^{\mathcal{F}}$ -hard even against adversaries of size poly(T(n)), for some superpolynomial function T(n). Such GEOWFs can be obtained from the above construction, by using a PRG that is secure against poly(T(n)) adversaries, and an NIUA that is sound against such adversaries (such an NIUA can be obtained from [KRR], based on an appropriately strong private information retrieval scheme).

### 3.2.2 The standard extractable one-way function

We construct a standard extractable one-way function based on publicly-verifiable NIUAs that have an additional property that says that, in addition to perfect completeness for an honestly chosen reference string  $\sigma$  (which in the publicly-verifiable case is also the verification state), it is also possible to check whether any given  $\sigma$  is valid, or more generally admits perfect completeness. We note that exiting candidates for publicly-verifiable NIUAs indeed have this property.<sup>2</sup>

**Definition 3.2** (NIUA with key validation). A publicly-verifiable NIUA system is said to have key validation if there exists and efficient algorithm Valid, such that for any  $\sigma \in \{0,1\}^{m(n)}$ , if  $Valid(\sigma) = 1$ , then the system has perfect completeness with respect to  $\sigma$ . That is, proofs for true statements, generated and verified using  $\sigma$ , are always accepted.

We now turn to describe the construction, which at a very high-level attempts to embed the structure of the previous GEOWF function and relation into a standard EOWF.

Let b(n) be a polynomial. Let  $(\mathcal{G}, \mathcal{P}, \mathcal{V})$  be an NIUA system with the same parameters as in the above GEOWF construction, and with the additional key-validation property. Let PRG be a pseudo random generator stretching n bits to b(n) + n bits.

We construct a key-less family of functions  $\mathcal{F} = \{f_n\}_{n \in \mathbb{N}}$ , consisting of one function  $f_n : \{0, 1\}^{\ell(n)} \to \{0, 1\}^{\ell'(n)}$ , for each security parameter n, where  $\ell(n) = 4n + 2b(n) + m(n) + p(n)$  and  $\ell'(n) = m(n) + b(n) + n$ . The function is given in Figure 3.

We now turn to show that  $\mathcal{F}$  is an EOWF.

**Theorem 3.3.** The function family  $\mathcal{F} = \{f_n\}_{n \in \mathbb{N}}$ , given in Figure 3 is an EOWF, against  $(b(n) - \omega(1))$ -bounded auxiliary-input.

**High-level idea behind the proof.** To see that  $\mathcal{F}$  is one-way, note that, except with negligible probability, a random image comes from the "normal branch of the function", where  $i \notin \{0^n, 1^n\}$  and includes an honestly sampled  $\sigma$  and a pseudorandom string  $v = \mathsf{PRG}(s)$ . To invert it, an adversary must either invert  $\mathsf{PRG}(s)$ , allowing it to produce a "normal branch" preimage, or obtain a short machine  $\mathcal{M}$  and an accepting proof  $\pi$ , that  $\mathcal{M}$  outputs v, allowing it to produce a "trapdoor branch" preimage. In the first case, the inverter violates the one-wayness of PRG. In the second case, the inverter can be used to break the soundness of the NIUA as in the proof of Theorem 3.2 (leveraging the fact that a truly random  $\tilde{v}$  almost surely cannot be computed by a short machine).

<sup>&</sup>lt;sup>2</sup>Indeed, in Micali's CS proofs, perfect completeness holds with respect to all possible keys for a hash function. In the publicly-veriable instantiations of the SNARKs from [BCCT13] it is possible to verify the validity of  $\sigma$  using a bilinear map.

**Inputs:**  $(i, (s, r), (\sigma, \mathcal{M}, v, \pi))$  of respective lengths (n, (n, n), (m(n), b(n), b(n) + n, p(n)))

- If  $i \notin \{0^n, 1^n\}$ :
  - 1. Compute  $v^* = \mathsf{PRG}(s)$ .
  - 2. Sample a reference string  $\sigma^* \leftarrow \mathcal{G}(1^n; r)$ .
  - 3. Output  $(v^*, \sigma^*)$ .
- If  $i = 0^n$ :
  - 1. Perform the following tests:
    - Run Valid( $\sigma$ ) to check the validity of  $\sigma$ ,
    - Run  $\mathcal{V}(\mathcal{M}, v, \pi, \sigma)$  to verify the statement " $\mathcal{M}(1^n)$  outputs v in T(n) steps",
    - If both accept, output  $(v, \sigma)$ .
  - 2. Otherwise, output  $\perp$ .
- If  $i = 1^n$ , output  $\perp$ .

### Figure 3: The function $f_n$ .

As for extraction, given a poly-time machine  $\mathcal{M}_z$  with short advice z that outputs  $(\sigma, v) \neq \bot$ , by the definition of  $f_n$ ,  $\sigma$  is a valid reference string for the NIUA system (indeed,  $\bot$  is an image that indicates an improper reference string  $\sigma$ , or an non-accepting proof  $\pi$ ). In this case, the extractor simply computes a proof  $\pi$  for the fact that  $\mathcal{M}_z$  outputs v, and outputs the preimage  $(0^n, (0^n, 0^n), (\sigma, \mathcal{M}_z, v, \pi))$ . By the completeness of the NIUA system, for a valid  $\sigma$ , the proof  $\pi$  is indeed accepting. By the relative prover efficiency of the NIUA, the extractor runs in time that is polynomial in the running time of the adversary  $\mathcal{M}_z$ . The only other case to consider is where  $\mathcal{M}_z$  outputs  $\bot$ , in which case producing a preimage is easily done by setting  $i = 1^n$ .

*Proof of Theorem 3.3.* We first show  $\mathcal{R}^{\mathcal{F}}$ -hardness, and then show  $\mathcal{R}^{\mathcal{F}}$ -extractability.

**One-wayness.** Assume there exists an inverter  $\mathcal{I}$  that, given  $y = f_n(x)$ , where  $x \leftarrow U_{\ell(n)}$ , finds a preimage  $x' = (i', (s', r'), (\sigma', \mathcal{M}', v', \pi'))$  with noticeable probability  $\epsilon(n)$ . We construct a prover  $\mathcal{P}^*$  that breaks the adaptive soundness of the NIUA (for  $2^{b(n)}$  statements), with probability  $\epsilon(n) - \operatorname{negl}(n)$ .  $\mathcal{P}^*$  is defined as in the proof of Theorem 3.2: given  $\sigma$ , it first samples on its own  $\tilde{v} \leftarrow U_{b(n)+n}$  (independently of  $\sigma$ ), and then runs  $\mathcal{I}(\sigma, \tilde{v})$  to obtain  $x' = (i', (s', r'), (\sigma', \mathcal{M}', v', \pi'))$ .

**Claim 3.2.** With probability  $\epsilon(n) - \operatorname{negl}(n)$ ,  $\pi'$  is an accepting proof, with respect to  $\sigma$ , for the statement  $(\mathcal{M}', v)$ , attesting that " $\mathcal{M}'(1^n)$  outputs  $\tilde{v}$  in T(n) steps".

The claim will conclude the proof of one-wayness since, as in the proof of Theorem 3.2, except with negligible probability, there does not exist a machine  $\mathcal{M}'$  of size b(n) that outputs  $\tilde{v}$  which is a (b(n) + n)-long random string. This means that  $\mathcal{I}$  outputs an accepting proof for one of  $2^{b(n)}$  false statements (given different  $\mathcal{M}' \in \{0, 1\}^{b(n)}$ ), and violates the soundness of the NIUA.

*Proof.* To prove the claim, we first consider an hybrid experiment where  $\mathcal{I}$  samples a pseudorandom  $v \leftarrow \mathsf{PRG}(U_n)$  instead of a truly random  $\tilde{v}$ . By the PRG guarantee, we know that the probability of outputting  $(\mathcal{M}', \pi)$  as required by the claim changes at most by a neglible amount  $\operatorname{negl}(n)$ . Next we note that the view of  $\mathcal{I}$  in the hybrid experiment is identical to its view in the real world where it receives a random image  $y = (\sigma, v)$ . Furthermore, whenever  $\mathcal{I}$  finds a preimage  $x' = (i', (s', r'), (\sigma', \mathcal{M}', v', \pi'))$  of y such that  $i' = 0^n$ , by the definition of  $f_n$ ,  $(\sigma', v') = (\sigma, v)$ , and  $\pi'$  must be an accepting proof for the statement  $(\mathcal{M}', v' = v)$ , with respect to  $\sigma' = \sigma$ .

Since we know that  $\mathcal{I}$  inverts the function with probability  $\epsilon(n)$ , it thus suffices to show that the preimage it finds is such that  $i = 0^n$ , except with negligible probability. Indeed, whenever  $\mathcal{I}$  finds a preimage such that  $i' \notin \{0^n, 1^n\}$ , by the definition of  $f_n$ , it inverts  $v = \mathsf{PRG}(s)$ , contradicting the one-wayness of  $\mathsf{PRG}$ . Also, a preimage of  $(\sigma, v)$  cannot have  $i' = 1^n$ , assuming  $(\sigma, v) \neq \bot$ , which is the case with overwhelming probability. This concludes the proof of the claim.

**Extractability.** We show that there is one universal PPT extractor  $\mathcal{E}$  that can handle and PPT adversary  $\mathcal{M}$  with advice of size at most  $b(n) - \omega(1)$ . The proof is similar to the extractability proof of Theorem 3.2. For an adversarial code  $\mathcal{M}$  and advice  $z \in \{0,1\}^{b(n)-\omega(1)}$ , we denote by  $\mathcal{M}_z$  the machine that, on input  $1^n$ , runs runs  $\mathcal{M}(1^n; z)$ . The extractor  $\mathcal{E}$  is given  $(\mathcal{M}, z)$ , where  $\mathcal{M}_z$  has description size at most b(n) and running time at most  $t_{\mathcal{M}} < T(n)$ , and  $\mathcal{M}_z(1^n) = (\sigma, v) \in \text{Image}(f_n)$ .

If  $(\sigma, v) \neq (0^{m(n)}, 0^{b(n)+n})$ , we know that  $\sigma$  must be valid, in which case  $\mathcal{E}$  computes a certificate  $\pi$  for the fact that " $\mathcal{M}_z(1^n) = v$ ", and then outputs the preimage  $x' = (0^n, (0^n, 0^n), (\sigma, \mathcal{M}_z, v, \pi))$ . The fact that x' is indeed a valid preimage follows directly from the perfect completeness of the scheme, for a valid  $\sigma$ . If  $(\sigma, v) = (0^{m(n)}, 0^{b(n)+n})$ , the extractor outputs the preimage  $x' = (1^n, (0^n, 0^n), (0^{m(n)}, 0^{b(n)}, 0^{b(n)+n}, 0^{p(n)}))$ .

Finally, we note that by the relative prover efficiency of the NIUA the extractor runs in time that is polynomial in the running time  $t_M$  of the adversary.

# 4 2-Message and 3-Message Zero Knowledge against Bounded-Auxiliary-Input Verifiers

In this section, we define and construct two and three message ZK arguments against verifiers with bounded auxiliary input, based on GEOWFs. We start by presenting the definition of such ZK arguments, and two tools which will be of use. Then, we move on to describe our constructions.

### 4.1 Definition

The standard definition of zero knowledge [GMR89, Gol04] considers adversarial verifiers with non-uniform auxiliary input of arbitrary polynomial size. We consider a relaxed notion of zero knowledge against verifiers that have bounded non-uniform advice, but arbitrary polynomial running time. This relaxed notion, in particular, includes zero knowledge against uniform verifiers (sometimes referred to as *plain zero knowledge* [BLV06]).

Concretely, we shall focus on PPT verifiers  $V^*$  having advice z of size at most b(n), and using an arbitrary polynomial number of random coins.

**Definition 4.1.** An argument system (P, V) for an NP relation  $\mathcal{R}_{\mathcal{L}}(\varphi, w)$  is zero knowledge against verifiers

with b-bounded advice if for every PPT verifier  $V^*$ , there exists a PPT simulator S such that:

$$\{\langle P(w) \leftrightarrows V^*(z) \rangle(\varphi)\}_{\substack{(\varphi,w) \in \mathcal{R}_{\mathcal{L}} \\ z \in \{0,1\}^{b(|\varphi|)}}} \approx_c \{\mathcal{S}(z,\varphi)\}_{\substack{(\varphi,w) \in \mathcal{R}_{\mathcal{L}} \\ z \in \{0,1\}^{b(|\varphi|)}}},$$

where computational indistinguishability is with respect to arbitrary non-uniform distinguishers.

Remark 4.1 (universal simulator). In the above definition, each PPT  $V^*$  is required to have a designated PPT simulator  $S_V^*$ . Our constructions will, in fact, guarantee the existence of one universal simulator S that, in addition to  $(z, \varphi)$ , is also given the code of  $V^*$  and a bound  $1^{t_V}$  on the running time of  $V^*(\varphi; z)$ , and simulates  $V^*$ 's view. Moreover, the running time of S is bounded by some (universal) polynomial  $poly(t_V^*)$ in the running time of  $V^*$ . We note that, in ZK with unbounded polynomial auxiliary input, such universality follows automatically by considering the universal machine and auxiliary input  $(V^*, 1^{t_V})$ . In our context, however, this does not hold since  $t_{V^*}$  is unbounded and can be larger than the bound b on the size of the advice.

### 4.2 WI Proof of Knowledge with an Instance-Independent First Message

In this section, we define and construct 3-message WI proofs of knowledge with an instance-independent first message, which will be used in our construction of a 3-message ZK argument of knowledge. In such proof systems, the prover's first message is completely independent of the statement and witness  $(\varphi, w) \in \mathcal{R}_{\mathcal{L}}$  to be proven; in particular, it is of fixed polynomial length in a security parameter *n*, independently of  $|\varphi, w|$ .

Classical WIPOK protocols do not satisfy this requirement. For example, in the classical Hamiltonicity protocol [Blu86], the first message is independent of the witness w, but does depend on the statement  $\varphi$ . In Lapidot and Shamir's Hamiltonicity variant [LS90], the first message is independent of  $(\varphi, w)$  themselves, but does depend on  $|\varphi, w|$  (see details in [OV12]). ZAPs do satisfy the independence requirement (as there is no first prover message at all), but they do not constitute a proof of knowledge.

We show that, using ZAPs, and 3-message extractable commitments, we can obtain a WIPOK where the first (prover) message is completely independent of  $(\varphi, w)$ , even of their length, and the second (verifier) message only depends on  $|\varphi|$ .

**Definition 4.2** (WIPOK with instance-independent first message). Let  $\langle P \\leq V \rangle$  be a 3-message proof system for  $\mathcal{L}$  with messages  $(\alpha, \beta, \gamma)$ ; we say it is a WIPOK with instance-independent first message, if it satisfies:

1. Completeness with first message independence: For any  $\varphi \in \mathcal{L} \cap \{0,1\}^{\ell}$ ,  $w \in \mathcal{R}_{\mathcal{L}}(\varphi)$ ,  $n \in \mathbb{N}$ :

$$\Pr\left[V(\varphi, \alpha, \beta, \gamma; r') = 1 \middle| \begin{array}{c} \alpha \leftarrow P(1^n; r) \\ \beta \leftarrow V(\ell, \alpha; r') \\ \gamma \leftarrow P(\varphi, w, \alpha, \beta; r) \end{array} \right] = 1 ,$$

where  $r, r' \leftarrow \{0, 1\}^{\text{poly}(n)}$  are the randomness used by P and V.

The honest prover's first message  $\alpha$  is of length *n*, independently of the length of the statement and witness  $(\varphi, w)$ .

2. Adaptive witness-indistinguishability: for any deterministic polysize verifier  $V^*$  and all large enough  $n \in \mathbb{N}$ :

$$\Pr\left[ V^*(\varphi, \alpha, \beta, \gamma) = b \middle| \begin{array}{c} \alpha \leftarrow P(1^n; r) \\ \varphi, w_0, w_1, \beta \leftarrow V^*(\alpha) \\ \gamma \leftarrow P(\varphi, w_b, \alpha, \beta; r) \end{array} \right] \leq \frac{1}{2} + \operatorname{negl}(n) ,$$

where  $b \leftarrow \{0,1\}$ ,  $r \leftarrow \{0,1\}^{\text{poly}(n)}$  is the randomness used by P, and  $w_0, w_1 \in \mathcal{R}_{\mathcal{L}}(\varphi)$ .

3. Adaptive proof of knowledge: there is a PPT extractor  $\mathcal{E}$ , such that, for any polynomial  $\ell = \ell(n)$ , all large enough  $n \in \mathbb{N}$ , and any deterministic prover  $P^*$ :

$$\begin{split} & \textit{if} \ \Pr\left[\begin{array}{c} V(\varphi, \alpha, \beta, \gamma; r') = 1 & \left|\begin{array}{c} \alpha \leftarrow P^* \\ \beta \leftarrow V(\ell(n), \alpha; r') \\ \varphi, \gamma \leftarrow P^*(\alpha, \beta) \end{array}\right] \geq \epsilon \\ & \textit{then} \ \Pr\left[\begin{array}{c} w \leftarrow \mathcal{E}^{P^*}(1^{1/\epsilon}, \varphi, \alpha, \beta, \gamma) \\ w \notin \mathcal{R}_{\mathcal{L}}(\varphi) & \left|\begin{array}{c} \alpha \leftarrow P^* \\ \beta \leftarrow V(\ell(n), \alpha; r') \\ \varphi, \gamma \leftarrow P^*(\alpha, \beta) \\ V(\varphi, \alpha, \beta, \gamma; r') = 1 \end{array}\right] \leq \operatorname{negl}(n) \\ \end{split} \right] \end{split}$$

where  $\varphi \in \{0,1\}^{\ell(n)}$ , and  $r' \leftarrow \{0,1\}^{\operatorname{poly}(n)}$  is the randomness used by V.

**Construction from ZAPs.** We now show how to use ZAPs and extractable commitments to construct a WIPOK with the required properties. As mentioned above, ZAPs already have the required independence, but they do not provide POK. The high-level idea is to add the POK feature to ZAPs, while maintaining the required instance-independence. This can be done by having the prover commit to a random string r using a 3-message extractable commitment (e.g., as formalized in [PW09]), and then sending, as the third message, the padded witness  $w \oplus r$  along with a ZAP proof that it was computed correctly. While the first message is independent of  $\varphi$ , w it does depend on the length |w|; this is naturally solved by committing to a seed s of fixed length and later deriving r using a PRG.

Intuitively, extraction of the witness is now possible by extracting r (or s) from the committing prover. To ensure WI we use the idea of turning a single witness statement into a two independent-witnesses statement as done in [FS90, COSV12, BP13].

In what follows, we denote by  $(\mathcal{C}, \mathcal{R})$  the committer and receiver algorithms of a perfectly-binding 3message extractable commitment protocol, and we denote by  $\vec{C} = (C^{(1)}, C^{(2)}, C^{(3)})$  its three messages. We further require that extraction is possible given any two valid transcripts  $\vec{C}, \vec{C'}$  that share the same first message. Such an extractable commitment can be constructed from any perfectly-binding non-interactive commitment, see e.g. [PW09].

Lemma 4.1. Protocol 4 is a 3-message WIPOK with instance-independent first message.

We next prove the lemma. The proof is an adaptation of a proof from [BP13].

*Proof.* We start by showing that the protocol is WI. Let

$$(\bar{\varphi}, \bar{w}_0, \bar{w}_1) = \{(\varphi, w_0, w_1) : (\varphi, w_0), (\varphi, w_1) \in \mathcal{R}_{\mathcal{L}}\}$$

be any infinite sequence of instances in  $\mathcal{L}$  and corresponding witness pairs. We next consider a sequence of hybrids starting with an hybrid describing an interaction with a prover that uses  $w_0 \in \bar{w}_0$ , and ending

### Protocol 4

**Common Input:** security parameter *n*, and  $\varphi \in \mathcal{L} \cap \{0, 1\}^{\ell(n)}$ .

Auxiliary Input to P:  $w \in \mathcal{R}_{\mathcal{L}}(\varphi)$ .

- 1. *P* samples seeds  $s_0, s_1 \leftarrow \{0, 1\}^{\sqrt{n}}$ , and a bit  $b \leftarrow \{0, 1\}$ , and sends the first commitment message to each of the three  $(C_0^{(1)}, C_1^{(1)}, C^{(1)}) \leftarrow (\mathcal{C}(s_0), \mathcal{C}(s_1), \mathcal{C}(b))$ , where  $|(C_0^{(1)}, C_1^{(1)}, C^{(1)})| = n.^a$
- 2. V, given the length of the statement  $\ell = |\varphi|$ , samples randomness  $r \leftarrow \{0,1\}^{\text{poly}(n)}$  for a ZAP, and receiver messages  $(C_0^{(2)}, C_1^{(2)}, C^{(2)}) \leftarrow (\mathcal{R}(C_0^{(1)}), \mathcal{R}(C_1^{(1)}), \mathcal{R}(C^{(1)}))$ , and sends  $(r, C_0^{(2)}, C_1^{(2)}, C^{(2)})$  to P.
- 3. P, given  $(\varphi, w)$ , now performs the following:
  - computes the third committer messages  $(C_0^{(3)}, C_1^{(3)}, C^{(3)}) \leftarrow (\mathcal{C}(s_0, C_0^{(2)}), \mathcal{C}(s_1, C_1^{(2)}), \mathcal{C}(b, C^{(2)})).$
  - computes  $a_0 = w \oplus \mathsf{PRG}(s_0), a_1 = w \oplus \mathsf{PRG}(s_1).$
  - computes a ZAP proof  $\pi$  for the statement:

$$\left\{ \left\{ \vec{C} = \mathcal{C}(0, C^{(2)}) \right\} \lor \left\{ \begin{array}{l} \vec{C}_0 = \mathcal{C}(s_0, C_0^{(2)}) \\ a_0 = w \oplus \mathsf{PRG}(s_0) \\ w \in \mathcal{R}_{\mathcal{L}}(\varphi) \end{array} \right\} \right\} \bigwedge$$
$$\left\{ \left\{ \vec{C} = \mathcal{C}(1, C^{(2)}) \right\} \lor \left\{ \begin{array}{l} \vec{C}_1 = \mathcal{C}(s_1, C_1^{(2)}) \\ a_1 = w \oplus \mathsf{PRG}(s_1) \\ w \in \mathcal{R}_{\mathcal{L}}(\varphi) \end{array} \right\} \right\}$$

- sends  $C_0^{(3)}, C_1^{(3)}, C^{(3)}, a_0, a_1, \pi$ .
- 4. V verifies the ZAP proof  $\pi$ , the validity of the commitments transcripts, and decides whether to accept accordingly.

with an hybrid describing an interaction with a prover that uses  $w_1 \in \bar{w}_1$ , where both  $w_0, w_1$ , are witnesses for some  $\varphi \in \bar{\varphi}$ . We shall prove that no efficient verifier can distinguish between any two hybrids in the sequence. The list of hybrids is given in Table 1. We think of the hybrids as two symmetric sequences: one 0.1-6, starts from witness  $w_0$ , and the other 1.1-6 starts at witness  $w_1$ . We will show that within these sequences the hybrids are indistinguishable, and then we will show that 0.6 is indistinguishable from 1.6.

Hybrid 0.1: This hybrid describes a true interaction of a malicious verifier  $V^*$  with an honest prover P

<sup>&</sup>lt;sup>a</sup>The commitment to b does not have to be extractable; however, we use the same commitment scheme to avoid extra notation.

Figure 4: A 3-message WIPOK with instance-independent first message

hyb	zapw <sub>b</sub>	$\vec{C}_b$	$r_b$	$a_b\oplus r_b$	$zapw_{1-b}$	$\vec{C}_{1-b}$	$r_{1-b}$	$a_{1-b} \oplus r_{1-b}$
0.1	$(s_b, w_0)$	$s_b$	$PRG_b(s_b)$	$w_0$	$(s_{1-b}, w_0)$	$s_{1-b}$	$PRG(s_{1-b})$	$w_0$
0.2	b	$s_b$	$PRG_b(s_b)$	$w_0$	$(s_{1-b}, w_0)$	$s_{1-b}$	$PRG(s_{1-b})$	$w_0$
0.3	b	$0^{ s_b }$	$PRG_b(s_b)$	$w_0$	$(s_{1-b}, w_0)$	$s_{1-b}$	$PRG(s_{1-b})$	$w_0$
0.4	b	$0^{ s_b }$	u	$w_0$	$(s_{1-b}, w_0)$	$s_{1-b}$	$PRG(s_{1-b})$	$w_0$
0.5	b	$0^{ s_b }$	u	$w_1$	$(s_{1-b}, w_0)$	$s_{1-b}$	$PRG(s_{1-b})$	$w_0$
0.6	$(s_b, w_1)$	$s_b$	$PRG_b(s_b)$	$w_1$	$(s_{1-b}, w_0)$	$s_{1-b}$	$PRG(s_{1-b})$	$w_0$
1.6	$(s_b, w_0)$	$s_b$	$PRG_b(s_b)$	$w_0$	$(s_{1-b}, w_1)$	$s_{1-b}$	$PRG(s_{1-b})$	$w_1$
1.2-5								
1.1	$(s_b, w_1)$	$s_b$	$PRG_b(s_b)$	$w_1$	$(s_{1-b}, w_1)$	$s_{1-b}$	$PRG(s_{1-b})$	$w_1$

Table 1: The sequence of hybrids; the bit b corresponds to the bit commitment  $\vec{C}$ ; the gray cells indicate the difference from the previous hybrid.

that uses  $w_0$  as a witness for the statement  $x \in \mathcal{L}$ . In particular, the ZAP uses the witness  $((s_0, w_0), (s_1, w_0))$ ; formally, the witness also includes the randomness for the commitments  $\vec{C}_0$  and  $\vec{C}_1$ , but for notational brevity, we shall omit it. In Table 1, the witness used in part 0 of the ZAP is referred to as  $zapw_0$ , and the one corresponding to 1 in  $zapw_1$ .

*Hybrid* 0.2: This hybrid differs from the previous one only in the witness used in the ZAP. Specifically, for the bit *b* given by  $\vec{C}$ , the witness for the ZAP is set to be  $(b, (s_{1-b}, w_0))$ , instead of  $((s_b, w_0), (s_{1-b}, w_0))$ . (Again the witness should include the randomness for the commitment  $\vec{C}$ , and  $\vec{C}_{1-b}$ , but is omitted from our notation.) Since the ZAP is WI, this hybrid is computationally indistinguishable from the previous one.

*Hybrid* 0.3: In this hybrid, the commitment  $\vec{C}_b$  is for the plaintext  $0^{|s_b|}$ , instead of the plaintext  $s_b$ . This hybrid is computationally indistinguishable from the previous one due to the computational hiding of the commitment scheme  $\vec{C}$ .

*Hybrid* 0.4: In this hybrid, instead of padding with  $PRG(s_b)$ , padding is done with a random independent string  $u \leftarrow \{0, 1\}^{|PRG(s_b)|}$ . Computational indistinguishability of this hybrid and the previous one, follows pseudorandomness.

*Hybrid* 0.5: In this hybrid, the padded value  $a_b$  is taken to be  $w_1 \oplus r_b$ , instead of  $w_0 \oplus r_b$ . Since  $r_b$  is now uniform and independent of all other elements, this hybrid induces the exact same distribution as the previous hybrid.

*Hybrid* 0.6: This hybrid now backtracks, returning to the same experiment as in hybrid 0.1 with the exception that the ZAP witness is now  $((s_b, w_1), (s_{1-b}, w_0))$  instead of  $((s_b, w_0), (s_{1-b}, w_0))$ . This indistinguishability follows exactly as when moving from 0.1 to 0.5 (only backwards).

*Hybrids* 1.1 to 1.6: These hybrids are symmetric to the above hybrids, only that they start from  $w_1$  instead of  $w_0$ . This means that they end in 1.6 which uses an ZAP witness  $((s_b, w_0), (s_{1-b}, w_1))$ , which is the same as 0.6, only in reverse order.

Hybrids 0.6 and 1.6 are computationally indistinguishable. This follows directly from the computational hiding of the commitment  $\vec{C}$  to b. Indeed, assume towards contradiction that V distinguishes the two hybrids. Concretely, denote the probability it outputs 1 on 0.6 by  $p_0$ , and the probability it outputs 1 on 1.6 by  $p_1$ , and assume WLOG that  $p_0 - p_1 \ge \epsilon(n)$ , for some noticeable  $\epsilon(n)$ . We can construct a predictor that given a commitment  $\vec{C} = \mathcal{C}(b)$  to a random bit  $b \leftarrow \{0, 1\}$ , guesses b with probability  $\frac{1+\epsilon(n)}{2}$ . The predictor, samples a random  $b' \leftarrow \{0, 1\}$  as a candidate guess for b, and performs the experiment corresponding to 0.6, only that it locates  $w_0$  and  $w_1$  according to b', rather than the unknown b. If the distinguisher outputs 1,

the predictor guesses b = b' and otherwise it guesses b = 1 - b'.

Conditioned on b = b', V is experiencing 0.6, and thus the guess will be correct with probability  $p_0$ ; conditioned on b = 1 - b', V is experiencing 1.6, and the guess will be right with probability  $1 - p_1$ . So overall the guessing probability is  $\frac{p_0}{2} + \frac{1-p_1}{2} \ge \frac{1}{2} + \frac{\epsilon(n)}{2}$ . This completes the proof that the protocol is WI.

It is left to show that the protocol is an argument of knowledge. Indeed, let  $P^*$  be any prover that convinces the honest verifier of accepting with noticeable probability  $\epsilon(n)$ , then with probability at least  $\epsilon(n)/2$  over its first message, it holds with probability at least  $\epsilon(n)/2$  over the rest of the protocol that  $P^*$  convinces V. Let us call such a prefix good. Now for any good prefix, we can consider the perfectly binding induced commitment to the bit b, and from the soundness of the ZAP, we get a circuit that with probability at least  $\epsilon(n)/2 - \text{negl}(n)$  produces an accepting commitment transcript for the plaintext  $s_{1-b}$ , and gives a valid witness  $w \in \mathcal{R}_{\mathcal{L}}$ , padded with  $\mathsf{PRG}(s_{1-b})$ . This in particular, means that we can first sample a prefix (hope it is good), and then use the extraction guarantee of the commitment to learn  $s_{1-b}$  and  $\mathsf{PRG}(s_{1-b})$ , and thus also the witness w. This completes the proof of Lemma 4.1.

**2-message WI with instance-independent first message.** We shall also make use of 2-message WI with instance-independent first message. Here, there are two verifier and prover messages. Like in the three message definition the verifier message does not depend on the instance, but is allowed to depend on its length. In such a protocol, we only require soundness. ZAPs, for instance, satisfy this requirement, but we can also do with a privately verifiable protocol rather than a ZAP. (In fact, also in the above construction of 3-message WIPOKs with instance-independent first message, the ZAPs can be replaced with any 2-message WI with instance-independent first message.)

### 4.3 1-Hop Homomorphic Encryption

A *1-hop homomorphic encryption scheme* [GHV10] allows a pair of parties to securely evaluate a function as follows: the first party encrypts an input, the second party homomorphically evaluates a function on the ciphertext, and the first party decrypts the evaluation result. Such a scheme can be instantiated based on garbled-circuits and an appropriate 2-message oblivious transfer protocol, based on either Decision Diffie-Hellman or Quadratic Residuosity [Yao86, GHV10, NP01, AIR01, HK12].

**Definition 4.3.** A scheme (Gen, Enc, Eval, Dec), where Gen, Eval are probabilistic and Enc, Dec are deterministic, is a semantically-secure, circuit-private, 1-hop homomorphic encryption scheme if it satisfies the following properties:

• **Perfect correctness:** For any  $n \in \mathbb{N}$ ,  $x \in \{0, 1\}^n$  and circuit C:

$$\Pr_{\substack{\mathsf{sk} \leftarrow \mathsf{Gen}(1^n) \\ \mathsf{c} = \mathsf{Enc}_{\mathsf{sk}}(x) \\ \mathsf{Fval}}} \left[ \begin{array}{c} \hat{\mathsf{c}} \leftarrow \mathsf{Eval}_{\mathsf{sk}}(\mathsf{c}, C) \\ \mathsf{Dec}_{\mathsf{sk}}(\hat{\mathsf{c}}) = C(x) \end{array} \right] = 1 .$$

• Semantic security: For any polysize A, large enough  $n \in \mathbb{N}$ , and any pair of inputs  $x_0, x_1 \in \{0, 1\}^n$ 

$$\Pr_{\substack{\mathbf{b} \leftarrow \{0,1\}\\\mathsf{sk} \leftarrow \mathsf{Gen}(1^n)}} \left[ \mathcal{A}(\mathsf{Enc}_{\mathsf{sk}}(x_{\mathsf{b}})) = \mathsf{b} \right] < \frac{1}{2} + \operatorname{negl}(n) \ .$$

• **Circuit privacy:** A randomized evaluation should not leak information on the input circuit C. This should hold even for malformed ciphertexts. Formally, let  $\mathcal{E}(x) = \text{Supp}(\text{Enc}(x))$  be the set of all legal encryptions of x, let  $\mathcal{E}_n = \bigcup_{x \in \{0,1\}^n} \mathcal{E}(x)$  be the set legal encryptions for strings of length n, and let  $\mathcal{C}_n$  be the set of all circuits on n input bits. There exists a (possibly unbounded) simulator S such that:

$$\begin{split} \{C, \mathsf{Eval}(c, C)\}_{\substack{n \in \mathbb{N}, C \in \mathcal{C}_n \\ x \in \{0,1\}^n, c \in \mathcal{E}(x) }} &\approx_c \{C, \mathcal{S}(c, C(x), |C|)\}_{\substack{n \in \mathbb{N}, C \in \mathcal{C}_n \\ x \in \{0,1\}^n, c \in \mathcal{E}(x) }} \\ \{C, \mathsf{Eval}(c, C)\}_{\substack{n \in \mathbb{N} \\ C \in \mathcal{C}_n, c \notin \mathcal{E}_n }} &\approx_c \{C, \mathcal{S}(c, \bot, |C|)\}_{\substack{n \in \mathbb{N} \\ C \in \mathcal{C}_n, c \notin \mathcal{E}_n }} . \end{split}$$

### 4.4 Constructions

In this section, we construct zero-knowledge protocols against verifiers with bounded advice from generalized extractable one-way functions against adversaries with bounded auxiliary input (GEOWFs against bounded-auxiliary-input adversaries). We start by describing a construction of a 3-message argument of knowledge from any GEOWF, 1-hop homomorphic encryption, and 3-message WIPOK with instanceindependent first message. We then show a 2-message argument, assuming (non-interactive) commitments that can be inverted in super-poly time T(n), GEOWFs that are hard against poly(T(n))-size adversaries, and any 2-message WI with instance-independent verifier message (in particular, ZAPs).

### 4.4.1 A 3-message zero-knowledge argument of knowledge

Let (Gen, Enc, Eval, Dec) be a semantically-secure, circuit-private, 1-hop homomorphic encryption scheme. Let  $(wi_1, wi_2, wi_3)$  denote the messages of 3-message WIPOK with an instance-independent first message (as in Definition 4.2). Let  $\mathcal{F} = \{f_n\}_{n \in \mathbb{N}}$  be a key-less GEOWF, against (b(n) + 2n)-bounded-auxiliary-input adversaries, with respect to a privately-verifiable relation  $\mathcal{R}^{\mathcal{F}} = \{\mathcal{R}^{\mathcal{F}}_n\}_{n \in \mathbb{N}}$ . Let  $\mathcal{T}(x, x')$  be the efficient tester for  $\mathcal{R}^{\mathcal{F}}_n(f_n(x), x')$ . We further denote by  $\mathcal{T}_{y,x'}(x)$  a circuit that, given input x, verifies that " $y \neq f_n(x)$  or  $\mathcal{T}(x, x') = 1$ "; that is, either "x is not a valid preimage of y, or  $\mathcal{R}^{\mathcal{F}}_n(f_n(x), x') = 1$ ". Also, let 1 be a circuit of the same size as  $\mathcal{T}_{y,x'}$  that always returns 1. The protocol is given in Figure 5.

# **Theorem 4.1.** Protocol 5 is a zero-knowledge argument of knowledge against b-bounded-auxiliary-input verifiers.

**High-level idea behind the proof.** For simplicity let us explain why the protocol is sound, showing it is an argument of knowledge follows a similar reasoning. Assuming that  $\varphi \notin \mathcal{L}$ , in order to pass the WIPOK, with respect to an evaluated cipher  $\hat{c}$  that decrypts to 1, the prover must know a witness x' such that  $\mathcal{T}_{y,x'}(x) = 1$ . This, by definition, and the fact that the verifier indeed sends an image y together with its encrypted preimage x, means that x' must be such that x' satisfies  $\mathcal{R}^{\mathcal{F}}(f_n(x), x') = 1$ , and thus the prover actually violates  $\mathcal{R}^{\mathcal{F}}$ -hardness (formally, we also need to invoke semantic security to claim that the encryption of x does not help in producing such a witness.)

To show ZK, we use the fact that if the verifier sends y together with an encryption of a true preimage x, the the simulator can invoke the extractor and extract a witness x' from its code and auxiliary input, and use it to complete the WIPOK. Here we use the bound on the first WI prover message, to claim that the overall auxiliary-input is appropriately bounded. In case, the verifier diverges from the protocol, and doesn't send proper y and encrypted preimage, the definition of  $\mathcal{T}_{y,x'}$  guarantees that the circuit will also accept in this case. Thus in either case, the circuit privacy of homomorphic evaluation would guarantee indistinguishability from a real proof, where the prover actually evaluates the constant 1 circuit.

### **Protocol 5**

**Common Input:**  $\varphi \in \mathcal{L} \cap \{0, 1\}^n$ .

Auxiliary Input to P: a witness w for  $\varphi$ .

- 1. P sends the first message wi<sub>1</sub>  $\in \{0, 1\}^n$  of the instance-dependent WIPOK.
- 2. V samples  $x \leftarrow \{0,1\}^{\ell(n)}$  and sk  $\leftarrow \text{Gen}(1^n)$ , computes  $y = f_n(x)$ ,  $c_x = \text{Enc}_{sk}(x)$  and sends  $(y, c_x)$ , as well as the second WIPOK message wi<sub>2</sub>.
- 3. P samples  $\hat{c} \leftarrow Eval(1, c_x)$ , and sends  $\hat{c}$ , together with the WIPOK message wi<sub>3</sub> stating that:

$$\{\varphi \in \mathcal{L}\} \bigvee \{\exists x' : \hat{\mathsf{c}} = \mathsf{Eval}(\mathcal{T}_{y,x'},\mathsf{c}_x)\}$$

using the witness  $w \in \mathcal{R}_{\mathcal{L}}(\varphi)$ .

4. *V* verifies the proof and that  $\text{Dec}_{sk}(\hat{c}) = 1$ .

Figure 5: A 3-message ZK argument of knowledge against verifiers with b-bounded auxiliary-input.

A more detailed proof follows.

*Proof.* We first show that the protocol is an argument of knowledge.

Claim 4.1. Protocol 5 is an argument of knowledge against against arbitrary polysize provers.

*Proof.* Let  $P^*$  be any polysize prover that convinces V of accepting with noticeable probability  $\epsilon(n)$ . The witness extractor would derive from  $P^*$  a new prover for  $P^*_{wi}$  that emulates  $P^*$  in the WIPOK; in particular, it would honestly sample  $(y, c_x)$  as part of the second verifier message that  $P^*$  gets. The extractor would then choose the random coins r for  $P^*_{wi}$ , sample a transcript tr of an execution with the honest WIPOK verifier  $V_{wi}$ , and apply the WIPOK extractor on the transcript tr, with oracle access to  $P^*_{wi}$ . The WIPOK extractor then hopefully obtains a witness for the WI statement

$$\{\varphi \in \mathcal{L}\} \bigvee \{\exists x' : \hat{\mathsf{c}} = \mathsf{Eval}(\mathcal{T}_{y,x'},\mathsf{c}_x)\} ,$$

where  $(y, c_x)$  are those honestly sampled by  $P_{wi}^*$ , and  $\hat{c}$  is output by  $P^*$ .

We claim that, with noticeable probability  $\epsilon(n)^2/2 - \operatorname{negl}(n)$ , we find a witness w for the first part of the statement  $\varphi \in \mathcal{L}$ . Otherwise, we can use  $P^*$  to break the  $\mathcal{R}^{\mathcal{F}}$ -hardness of  $\mathcal{F}$ . To prove this claim, we first note that the emulated transcript tr in this experiment is distributed identically to the transcript in a real execution of  $P^*$  with the honest verifier. Thus, we know that such a transcript tr is accepted by V with probability at least  $\epsilon(n)$ . Now, let us call random coins r for  $P^*_{wi}$  good if they are such that with probability at least  $\epsilon(n)/2$  over the coins of the WIPOK verifier  $V_{wi}$ , it accepts the proof given by  $P^*_{wi}$ . Since we know that overall  $V_{wi}$  accepts with probability at least  $\epsilon(n)$ , then by a standard averaging argument, at least an  $\epsilon(n)/2$ fraction of the coins r for  $P^*_{wi}$  are good. Furthermore, conditioned on a transcript tr that is accepted by V, the probability that the corresponding coins r are good is at least  $\epsilon(n) \cdot \epsilon(n)/2$ . Now, recall that, whenever this occurs, the extractor for the WIPOK would also output a witness for the corresponding statement (except with negligible probability).

We would like to show that the extracted witness is the one for the  $\varphi \in \mathcal{L}$  statement. Indeed, assume that, with noticeable probability  $\eta(n)$ , it holds that tr is accepting, the extractor outputs a witness, but the witness is for the second statement. This, in particular, means that the witness extractor outputs x' such that  $\hat{c} = \text{Eval}(\mathcal{T}_{y,x'}, \mathbf{c}_x)$ , where  $\hat{c}$  is the output of  $P^*$ . Moreover, since the transcript is accepted by V, we know that  $\text{Dec}(\hat{c}) = 1$ . By correctness of decryption, this means that  $\mathcal{T}_{y,x'}(x) = 1$ , which in turn implies that  $\mathcal{T}(x, x') = 1$ , since  $y = f_n(x)$ . In other words, x' is a valid witness satisfying  $\mathcal{R}_n^{\mathcal{F}}(f_n(x), x') = 1$ .

We can now construct a breaker for the  $\mathcal{R}^{\mathcal{F}}$ -hardness of  $\mathcal{F}$ . The breaker, given  $y = f_n(x)$ , would simply emulate all of the experiment above on its own, where  $P_{wi}$  would use y, and an encryption of zero  $c_0 = \text{Enc}_{sk}(0)$  to emulate the second verifier message, instead of sampling  $(y = f_n(x), c_x)$  on its own. We claim that it would obtain the desired witness x' with noticeable probability  $\eta(n) - \text{negl}(n)$ . Indeed, had we used an encryption  $c_x$  of the preimage of y, instead of a zero-encryption, we know that it would produce a valid witness x with probability  $\eta(n)$ . Thus, the claim follows by the semantic security of Enc. This completes the proof of Claim 4.1

We next show that the protocol is ZK. We note that, since the ZK simulator is allowed to simulate the (apriori unbounded) randomness of the verifier  $V^*$ , we can restrict attention to verifiers  $V^*$  that only have bounded randomness. Indeed (assuming there exist OWFs), we can always consider a new verifier  $\tilde{V}^*$  that first stretches its bounded randomness using a PRG and then emulates  $V^*$ . Then to simulate the view of  $V^*$ , we can first apply the simulator  $\tilde{S}$  for  $\tilde{V}^*$ , and then apply the PRG on the simulated randomness to obtain a full simulated view for  $V^*$ . In particular, from hereon we we can simply focus on deterministic verifiers  $V^*$  that get their bounded randomness as part of their bounded advice.

### **Claim 4.2.** *Protocol 5 is ZK against any polytime verifier* $V^*$ *with auxiliary-input of size at most* b(n).

*Proof.* We describe a universal ZK simulator S and show its validity (universality is in the sense of Remark 4.1). Let  $\varphi \in \mathcal{L}$  and let  $V^*$  be the code of any malicious verifier, and let z' be any advice of length at most b(n). S starts by honestly computing the first message wi<sub>1</sub>  $\in \{0, 1\}^n$  of the WIPOK with instance-independent first message. It then feeds wi<sub>1</sub> to  $V^*(\varphi; z')$  who returns  $(y, c, wi_2)$  that are (allegedly) an image under the function  $f_n$ , an encryption of a corresponding preimage, and the second message of the WIPOK.

S now constructs from the code of  $V^*$  a machine  $\mathcal{M}_{V^*}$  that, given  $1^n$  and  $z = (z', \varphi, wi_1)$  as input, outputs some y, and whose running time is linear in the running time  $t_{V^*}$  of  $V^*$ . Note that  $|z| \le |z'| + |\varphi| + |wi_1| \le b(n) + 2n$ , and thus, if  $y = f_n(x)$  for some x, applying the extractor  $\mathcal{E}$  on  $\mathcal{M}_{V^*}$  would result in a witness x', such that  $\mathcal{R}^{\mathcal{F}}(y, x') = 1$ , in time  $\operatorname{poly}(t_V^*)$ . S does not test whether y is a valid image directly, it applies the extractor regardless to obtain a candidate x', and then computes  $\hat{c} = \operatorname{Eval}(\mathcal{T}_{y,x'}, c)$ . Then it sends  $\hat{c}$  to  $V^*$ , and completes the WIPOK using the trapdoor x' as a witness.

The validity of the simulator now follows by witness indistinguishability, as well as by the circuit privacy guarantee given by Eval. Specifically, we first move to a hybrid simulator S' that proves the WIPOK statement using the actual witness w. The view generated by S' is indistinguishable from the one generated by S due to the WI property.

Now, we claim that the view generated by S' is indistinguishable from that generated by honest prover P. First, note that the only difference between the two is that P sends  $\hat{c} \leftarrow \text{Eval}(1, c)$ , whereas S' sends  $\hat{c} \leftarrow \text{Eval}(\mathcal{T}_{y,x'}, c)$ , for the extracted input x'. Now, note that if c is a valid ciphertext, then  $\mathcal{T}_{y,x'}(\text{Dec}(c)) = 1(\text{Dec}(c)) = 1$ ; indeed, if  $y = f_n(x)$  where x = Dec(c), then the extracted x' is such that  $\mathcal{T}(x, x') = 1$ , and the above follows by the definition of  $\mathcal{T}_{y,x'}(x)$ . Thus, in this case, the distribution of  $\hat{c}$  induced by P is

indistinguishable from that induced by S', by circuit privacy. In fact, circuit privacy says that this is also the case if c is an invalid cipher.

This completes the proof of Theorem 4.1.

#### 4.4.2 A 2-message zero-knowledge argument.

In this section, we show that, using complexity leveraging (and superpolynomial hardness assumptions), we can augment the protocol from the previous section to a 2-message argument.

Let (Gen, Enc, Eval, Dec) be a semantically-secure, circuit-private, 1-hop homomorphic encryption scheme. Let  $(wi_1, wi_2)$  denote the messages of 2-message WI with an instance-independent first message (as in Definition 4.2). Let  $\mathcal{F} = \{f_n\}_{n \in \mathbb{N}}$  be a key-less GEOWF, against (b(n) + n)-bounded-auxiliary-input adversaries, with respect to a privately-verifiable relation  $\mathcal{R}^{\mathcal{F}} = \{\mathcal{R}_n^{\mathcal{F}}\}_{n \in \mathbb{N}}$ . Further assume that  $\mathcal{F}$  is one-way against adversaries of size poly(T) (see Remark 3.2). Let  $\mathcal{T}(x, x')$  be the efficient tester for  $\mathcal{R}_n^{\mathcal{F}}(f_n(x), x')$ . We further denote by  $\mathcal{T}_{y,x'}(x)$  a circuit that, given input x, verifies that " $y \neq f_n(x)$  or  $\mathcal{T}(x, x') = 1$ "; that is, either "x is not a valid preimage of y, or  $\mathcal{R}_n^{\mathcal{F}}(f_n(x), x') = 1$ ". Also, let 1 be a circuit of the same size as  $\mathcal{T}_{y,x'}$  that always returns 1. Let  $\mathcal{C}$  be a perfectly binding commitment that is hiding against polysize adversaries, and can be completely inverted in time T(n), for some computable super-polynomial function  $T(n) = n^{\omega(1)}$ . The protocol is given in Figure 6.

### **Protocol 6**

**Common Input:**  $\varphi \in \mathcal{L} \cap \{0, 1\}^n$ .

Auxiliary Input to P: a witness w for  $\varphi$ .

- 1. V samples  $x \leftarrow \{0,1\}^{\ell(n)}$  and sk  $\leftarrow \text{Gen}(1^n)$ , computes  $y = f_n(x)$ ,  $c_x = \text{Enc}_{sk}(x)$  and sends  $(y, c_x)$ , as well as the second WIPOK message wi<sub>2</sub>.
- 2. P samples a commitment to zero  $C \leftarrow C(0^{\ell})$ ,  $\hat{c} \leftarrow Eval(1, c_x)$ , and sends  $(C, \hat{c})$ , together with the second WI message wi<sub>2</sub> stating that:

$$\{\varphi \in \mathcal{L}\} \bigvee \left\{ \exists x': \begin{array}{c} \hat{\mathsf{c}} = \mathsf{Eval}(\mathcal{T}_{y,x'},\mathsf{c}_x) \\ C = \mathcal{C}(x') \end{array} \right\} \quad :$$

using the witness  $w \in \mathcal{R}_{\mathcal{L}}(\varphi)$ .

3. *V* verifies the proof and that  $Dec_{sk}(\hat{c}) = 1$ .

Figure 6: A 2-message ZK argument against verifiers with b-bounded auxiliary input.

### **Theorem 4.2.** Protocol 6 is a zero-knowledge argument against b-bounded-auxiliary-input verifiers.

**High-level idea behind the proof.** Proving ZK against verifiers with bounded advice is essentially the same as in the 3-message protocol, only that now the simulator also commits to the input that it extracts from the verifier (and by the hiding of the commitment ZK is maintained). The proof of soundness is essentially the

same as showing POK in the 3-message protocol, only that now, the WI does not provide witness extraction, instead we will extract a witness in time poly(T(n)), by inverting the prover's commitment with brute-force. Since one-wayness holds even against poly(T(n))-adversaries, soundness follows.

A more detailed proof follows.

Proof sketch. We first show that the protocol is a sound against polysize adversaries.

Claim 4.3. Protocol 6 is an argument.

*Proof sketch.* Let  $P^*$  be any polysize prover, and assume towards contradiction that for infinitely many  $\varphi \notin \mathcal{L}$ ,  $P^*$  convinces V of accepting with noticeable probability  $\epsilon(n)$ . We show to break the  $\mathcal{R}^{\mathcal{F}}$ -hardness of  $\mathcal{F}$ . The breaker, given y would sample a first WI message wi<sub>1</sub>, and encryption of zero  $c_0$ , and feed  $(y, c_0, wi_1)$  to  $P^*$ , who outputs a commitment C, an alleged image y, and a proof wi<sub>2</sub> for the statement

$$\{\varphi \in \mathcal{L}\} \bigvee \left\{ \exists x': \begin{array}{c} \hat{\mathsf{c}} = \mathsf{Eval}(\mathcal{T}_{y,x'},\mathsf{c}_x) \\ C = \mathcal{C}(x') \end{array} \right\}$$

By the semantic security of the 1-hop encryption, the above is indistinguishable from an experiment in which the breaker uses  $c_x$  for an actual preimage of y, and thus we know that with probability  $\epsilon(n) - \operatorname{negl}(n)$  the proof is convincing. By the soundness of the WI system, and since  $\varphi \notin \mathcal{L}$ , it follows that C is a commitment to a proper witness x'. The inverter can now break C in time T(n) and thus break  $\mathcal{R}^{\mathcal{F}}$ -hardness of  $\mathcal{F}$ .  $\Box$ 

We next show that the protocol is ZK. As noted in the previous section, we can restrict attention to deterministic verifiers  $V^*$  that get their bounded randomness as part of their bounded advice.

**Claim 4.4.** *Protocol 6 is ZK against any polytime verifier*  $V^*$  *with advice of size at most* b(n)*.* 

*Proof sketch.* We describe a universal ZK simulator S and show its validity (universality is in the sense of Remark 4.1). Let  $\varphi \in \mathcal{L}$  and let  $V^*$  be the code of any malicious verifier, and let z' be any advice of length at most b(n). S starts by running  $V^*(\varphi; z')$  who returns  $(y, c, wi_1)$  that are (allegedly) an image of the of the function  $f_n$ , an encryption of its preimage, and the verifier message of the WI protocol.

S now constructs from the code of  $V^*$  a machine  $\mathcal{M}_{V^*}$  that, given  $1^n$  and  $z = (z', \varphi)$  as input, outputs some y, and whose running time is linear in the running time  $t_{V^*}$  of  $V^*$ . In particular,  $|z| \le |z'| + |\varphi| \le b(n) + n$ . S then applies the extractor  $\mathcal{E}$  on  $\mathcal{M}_{V^*}$ , and obtains a candidate witness  $x' \in \{0,1\}^{\ell}$  in time poly $(t_V^*)$ .

S now computes  $\hat{c} = \text{Eval}(\mathcal{T}_{y,x'}, c)$ , as well as a commitment C to x', and completes the WI using the trapdoor x' as a witness. It sends  $(C, \hat{c}, wi_2)$  to complete the simulation.

The validity of the simulator now follows by witness indistinguishability, as well as the circuit privacy guarantee. Specifically, we can first move to a hybrid simulator S' that proves the WI statement using the witness w. The view generated by S' is indistinguishable from the one generated by S due to the WI property. Now, we can claim that the view generated by S' is indistinguishable from that generated by the honest prover P. Indeed, the only difference between the two is that P commits to  $0^{\ell}$  instead of x', and sends  $\hat{c} \leftarrow \text{Eval}(1, c)$ , whereas S' sends  $\hat{c} \leftarrow \text{Eval}(\mathcal{T}_{y,x'}, c)$ , for the extracted input x'. The two views are indistinguishable by the hiding of the commitment and by the function privacy guarantee of the 1-hop evaluation (this is argued exactly as in the proof of Claim 4.2).

This completes the proof of Theorem 4.2.

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### A Black-Box Lower Bounds

*CRYPTO*, pages 353–365, 1990.

In our construction of EOWFs (or GEOWFs) against bounded-auxiliary-input adversaries, the extractor is non-black-box, i.e., it makes explicit use of the adversary's code. In particular, the simulation of our 2-message and 3-message ZK protocols, which invokes this extractor, makes a non-black-box use of the adversarial verifier. In this section, we show that this is inherent by extending known results for adversaries with unbounded polynomial advice to the case of bounded-advice adversaries. We also observe that such black-box impossibilities do not hold for totally uniform adversaries (having no advice at all, on top of their constant size description).

**EOWF with black-box extractors.** We sketch why there do not exist EOWFs against b-bounded-auxiliary-input adversaries where  $b = n^{\Omega(1)}$ , for security parameter n, and where the extractor only uses the adversary as a black-box (a similar implication can be shown for the case of generalized EOWFs). Specifically, we show that given a function family  $\mathcal{F}$  that satisfies one-wayness, there does not exist a PPT black-box extractor  $\mathcal{E}$  such that for any PPT adversary  $\mathcal{M}$ , any large enough security parameter  $n \in \mathbb{N}$ , and any advice  $z \in \{0, 1\}^{b(n)}$ :

$$\Pr_{e \leftarrow \mathcal{K}_{\mathcal{F}}(1^n)} \left[ \begin{array}{cc} y \leftarrow \mathcal{M}(e;z) \\ \exists x: f_e(x) = y \end{array} \land \begin{array}{c} x' \leftarrow \mathcal{E}^{\mathcal{M}(\cdot;z)}(e) \\ f_e(x') \neq y \end{array} \right] \le \operatorname{negl}(n) \ .^3$$

This essentially follows the same idea behind the impossibility presented in Section ??, only that now some of the computation done there by the obfuscated auxiliary-input can be shifted from the auxiliary-input to the adversary itself, as it is anyhow accessed as a black-box. Concretely, consider the adversary  $\mathcal{M}$  that interprets its auxiliary input z as a seed k of a pseudo-random function that maps the keys of  $\mathcal{F}$  to inputs of  $\mathcal{F}$ . On input (e; z),  $\mathcal{M}$  computes an input  $x = \mathsf{PRF}_z(e)$  and outputs  $y = f_e(x)$ . Using the guarantee of the pseudo-random function, it is not hard to see that any black-box extractor  $\mathcal{E}$  can be used to break the one-wayness property of  $\mathcal{F}$  (using a much simplified version of the proof in Section ??).

Note that the above does not hold when  $b(n) = O(\log(n))$ , since then the advice cannot contain a seed for a secure pseudo-random function. In fact, when  $b(n) = O(\log(n))$ , any family that is EOWF against *b*-bounded-auxiliary-input adversaries also has a black-box extractor. The extractability property of the EOWF guarantees the existence of an extractor for every adversary  $\mathcal{M}$  and advice *z*. Since there are only polynomially many different pairs  $(\mathcal{M}, z)$ , a black-box extractor can run the (possibly non-black-box) extractor for every such  $(\mathcal{M}, z)$ , and is guaranteed that one of these executions outputs a valid preimage.

**3-round ZK with black-box simulation.** Goldreich and Krawczyk [GK96] show that a 3-message protocol for a language  $\mathcal{L} \notin BPP$  that is zero-knowledge against non-uniform verifiers cannot have a black-box simulator. That is, there is no simulator that only uses the verifier as a black-box. To show this, they first construct a specific family  $\mathcal{V}$  of non-uniform verifiers, and then prove that any black-box simulator that can simulate verifiers in  $\mathcal{V}$  can be used to decide  $\mathcal{L}$  efficiently. This proof, however, does not directly rule out black-box simulation for bounded-auxiliary-input verifiers. The reason is that, in the proof of [GK96], the advice given to verifiers in  $\mathcal{V}$  encodes a key for a *p*-wise independent hash function where *p* bounds the running time of the simulator. Now, to rule out any polytime simulator, we must require simulation for verifiers with advice of arbitrary polynomial length.

However, assuming one-way functions exist, we can replace the *p*-wise independent hash function in the construction of  $\mathcal{V}$  by a pseudo-random function with seed length that is independent of *p*. Then, using the same argument as [GK96], we can show that black-box simulation is impossible even for *b*-bounded-auxiliary-input verifiers where  $b(n) = n^{\Omega(1)}$ .

Similarly to the case EOWF, there is no impossibility for 3-message ZK against *b*-bounded-auxiliary-input verifiers where  $b(n) = O(\log(n))$ . In fact, as explained above, in this case, the non-black-box extractor of our GEOWF also implies a black-box extractor, which we can use to construct a black-box simulator in our 3-message ZK protocol.

**2-round ZK.** Goldreich and Oren [GO94] show that 2-message protocols for any language  $\mathcal{L} \notin BPP$  that are zero-knowledge against non-uniform verifiers do not exist (even with non-black-box simulation). Their result crucially relies on the fact that the auxiliary-input of the verifier can encode the first message of the protocol (and can in fact be extended to also rule out the case of bounded-auxiliary-input verifiers, with advice longer that the first message). Our construction of 2-message ZK does not contradict the impossibility of [GO94] sice it is only ZK against *b*-bounded-auxiliary-input adversaries where *b* is smaller then the length of the first protocol message.