

# New Protocols for Secure Equality Test and Comparison

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**Abstract.** Protocols for securely comparing private values are among the most fundamental building blocks of multiparty computation. introduced by Yao under the name millionaire’s problem, they have found numerous applications in a variety of privacy-preserving protocols; however, due to their inherent non-arithmetic structure, existing construction often remain an important bottleneck in large-scale secure protocols.

In this work, we introduce new protocols for securely computing the greater-than and the equality predicate between two parties. Our protocols rely solely on the existence of oblivious transfer, and are UC-secure against passive adversaries. Furthermore, our protocols are well suited for use in large-scale secure computation protocols, where secure comparisons (SC) and equality tests (ET) are commonly used as basic routines: they perform particularly well in an amortized setting, and can be preprocessed efficiently (they enjoy an extremely efficient, information-theoretic online phase). We perform a detailed comparison of our protocols to the state of the art, showing that they improve over the most practical existing solutions regarding both communication and computation, while matching the asymptotic efficiency of the best theoretical constructions.

**Keywords.** Two-party computation, Equality test, Secure comparison, Oblivious transfer.

## 1 Introduction

Multiparty Computation (MPC) addresses the challenge of performing computation over sensitive data without compromising its privacy. In the past decades, several general-purpose solutions to this problem have been designed, starting with the seminal works of Yao [Yao86] and Golwasser, Micali, and Widgerson [GMW87]. Among the large variety of problems related to MPC that have been considered, the *secure comparison* problem, in which the players wish to find out whether  $x \geq y$  for given  $x, y$  without disclosing them, is probably the one that received the most attention. Indeed, in addition to being the first MPC problem ever considered (introduced in [Yao86] under the name of millionaire’s problem), it has proven to be a fundamental primitive in a considerable number of important applications of multiparty computation. Examples include auctions, signal processing, database queries, machine learning and statistical analysis, biometric authentication, combinatorial problems, or computation on rational numbers. Secure comparison is at the heart of any task involving sorting data, finding a minimum value, solving any optimization problem, or even in tasks as basic as evaluating the predicate of a while loop, among countless other examples. The related task of *secure equality test*, known as the socialist millionaires’ problem, in which the players wish to find out whether  $x = y$  for given  $x, y$  without disclosing them, enjoys comparably many applications.

Two-party and multiparty computation seem now at the edge of becoming practical, with increasing evidence that they are no more beyond the reach of the computational power of today’s computers. However, secure equality tests and comparisons appear to be a major bottleneck in secure algorithms that use them as a basic routines. Various implementations of secure algorithms unanimously lead to the conclusion that secure comparison is the most computationally involved primitive, being up to two orders of magnitude slower than, e.g., secure multiplication. Hence, we believe that designing improved protocols for these tasks is an important road toward making multiparty computation truly practical.

In this work, we consider secure equality test and comparison on inputs secretly shared between the parties, with output shared between the parties as well. This is the natural setting of large-scale computation, where inputs and outputs cannot always be disclosed to the parties. Our new two-party protocols compare very favorably to state-of-the-art solutions. In particular, our protocols are well suited for large scale secure computation protocols using secure comparison as a basic routine. Our protocols are secure in the universal composability framework of Canetti [Can01], which ensures that security is preserved under general composition. As this is the model used in most practical applications, we focus on the passive adversarial model, in which players are assumed to follow the specifications of the protocol. We leave as open the interesting question of extending our protocols to handle malicious adversaries, while preserving (as much as possible) their efficiency.

## 1.1 State of the Art for Secure Equality Test and Comparison

To avoid unnecessary details in the presentation, we assume some basic knowledge on classical cryptographic primitives, such as garbled circuits, oblivious transfers and cryptosystems. Preliminaries on oblivious transfers are given in Section 2 of the supplementary material. In the following, we let  $\ell$  denote an input length, and  $\kappa$  denote a security parameter. As secure protocols for equality tests and comparisons were commonly built together in the literature, the state of the art for both remains essentially the same, hence we unify the presentation.

- *From Garbled Circuits.* The first category regroups protocols following the garbled circuit approach of Yao [Yao86]. The protocols of [KS08], which were later improved in [KSS09] and [ZRE15], are amongst the most communication-efficient protocols for secure equality test or comparison. The protocols of [KSS09] proceed by letting the first player garble a circuit containing  $\ell$  comparison gates (resp.  $\ell - 1$  equality test gates), which amounts to  $\ell$  AND gates with the free-xor trick (resp.  $\ell - 1$  AND gates). In a setting where several instances of the protocols will be invoked, oblivious transfer extensions [IKNP03] can be used for an arbitrary number of executions, using a constant number of public key operations and only cheap symmetric operations for each invocation of the secure protocol, making them very efficient.
- *From Homomorphic Encryption.* Solutions to the millionaire problem from homomorphic-encryption originated in [BK04]. The most efficient method in this category, to our knowledge, is [DGK07], which uses an ad hoc cryptosystem. This protocol was corrected in [DGK09], and improved in [Veu12]. The protocol communicates  $4\ell$  ciphertexts (in the version that outputs shares of the result) and is often regarded as one of the most computationally efficient. The more recent construction of [GHJR15] relies on the flexibility of lattice based cryptosystems to design a secure comparison protocol. Using a degree-8 somewhat homomorphic encryption scheme and ciphertext packing techniques, the (amortized) bit complexity of their protocol is  $\tilde{O}(\ell + \kappa)$ . Although asymptotically efficient, this method is expected to remain less efficient than alternative methods using simpler primitives for any realistic parameters.
- *From the Arithmetic Black Box Model.* The third category consists of protocols built on top of an arithmetic black box [CDN01] (ABB), which is an ideal reactive functionality for performing securely basic operations (such as additions and multiplications) over secret values loaded in the ABB. The ABB itself can be implemented from various primitives, such as oblivious transfer [Rab81, EGL82] or additively homomorphic encryption (most articles advocate the Paillier scheme [Pai99]). Protocols in this category vary greatly in structure. Most protocols [DFK<sup>+</sup>06, NO07, Cd10a] involve  $\tilde{O}(\ell)$  private

multiplications, each typically requiring  $O(1)$  operations over a field of size  $O(\ell + \kappa)$ , resulting in an overall  $\tilde{O}(\ell(\ell + \kappa))$  bit complexity. The protocols of Toft [Tof11], and Toft and Lipmaa [LT13], use only a sublinear (in  $\ell$ ) number of invocations to the cryptographic primitive; however, the total bit complexity remains superlinear in  $\ell$ . For large values of  $\ell$  ( $\kappa^2/\ell = o(1)$ ), the protocol of [YY12] enjoys an optimal  $O(\ell)$  communication complexity; however, the constants involved are quite large: it reduces to  $84\lambda + 96$  bit oblivious transfer and 6  $\ell$ -bit secure multiplications for a  $1/2^\lambda$  error probability, and becomes competitive with e.g. [KSS09] only for inputs of at least 500 bits (assuming a  $1/2^{40}$  error probability).

- *From Generic Two-Party Computation.* Generic two-party computation (2PC) techniques can be used to securely compute functions represented as boolean circuits. An elegant logarithmic-depth boolean circuit, computing simultaneously the greater-than and the equality predicates, was suggested in [GSV07]. It uses a natural recursive formula, and has  $3\ell - \log \ell - 2$  AND gates. This circuit can be evaluated using  $6\ell - 2 \log \ell - 4$  oblivious transfers on bits, which can be precomputed and amortized using oblivious transfer extensions. In the amortized setting, we found this approach to be (by far) the most efficient in terms of communication and computation; however, it is more interactive than the garbled circuit approach, which still enjoy efficient communication and computation.

In this paper, we will compare our protocols to the two most efficient alternatives in the amortized setting, namely, the garbled circuit approach, and the generic 2PC approach (which is more interactive, but has lower communication and computation). For fairness of the comparison, we will apply all optimizations that we apply to our protocols to these alternatives, when it is relevant.

## 1.2 Our Contribution

In this work, we construct new protocols for secure equality tests and comparisons which improve over the best state-of-the-art protocols. Our protocols are secure in the universal composability framework, assuming only an oblivious transfer. Using oblivious transfer extensions allows to confine all public-key operations to a one-time setup phase. The online phase of our protocols enjoys information theoretic security, and is optimal regarding both communication and computation:  $O(\ell)$  bits are communicated, and  $O(\ell)$  binary operations are performed, with small constants. Regarding overall complexity, our protocols match the best existing constructions in terms of asymptotic efficiency (and have in particular an optimal  $O(\ell)$  complexity for large values of  $\ell$ , see Table 1), and outperform the most efficient for practical parameters, by 70% to 80% for equality test, and by 20% to 40% for secure comparison. Our protocols have non-constant round complexity:  $O(\log^* \kappa)$  rounds for equality test (2 to 4 online rounds in practice), and  $O(\log \log \ell)$  rounds for comparison (2 to 10 online rounds). Our secure comparison protocol relies on a new technique to (non-interactively) reduce comparison of values shared between the players to comparison of values held by each players, which might be of independent interest.

Our paper contains additional side contributions, including a new simple method which reduces by 25% the communication of the Naor-Pinkas oblivious transfer protocol [NP01] when the size of the transmitted strings is lower than  $\kappa/2$ , and a variant of our equality test protocol in a batch settings (where many equality tests are performed “by blocks”), which uses additively homomorphic encryption to further improve the communication of our equality test protocol by up to 50%.

### 1.3 Universal Composability

We prove the security of our protocols in the universal composability framework (UC), and assume that the reader has some familiarity with it. The UC framework has been introduced by Canetti in [Can01]. It defines protocols by the mean of systems of interactive Turing machines. The expected behavior of the protocol is captured by an ideal functionality  $F$ . This functionality is a simple interactive machine, connected to a set of dummy parties, some of whom might be corrupted by an ideal adversary  $\mathcal{Sim}$  through perfectly secure authenticated channels. In the real execution of a protocol  $\pi$ , probabilistic polynomial time players, some of whom might be corrupted by a real adversary  $\text{Adv}$ , interact with each other through some channels. The *environment* refers to an interactive machine  $Z$  that oversees the execution of the protocol in one of the two worlds (the ideal world with the functionality  $F$ , or the real world with the protocol  $\pi$ ). We refer to [Can01], for the definitions of the real world ensembles  $\text{EXEC}_{\pi, \text{Adv}, Z}$  and the ideal world ensemble  $\text{EXEC}_{F, \mathcal{Sim}, Z}$ . A protocol UC securely implements a functionality  $F$  if for any adversary  $\text{Adv}$ , there is a simulator  $\mathcal{Sim}$  so that the real world ensemble and the ideal world ensemble are indistinguishable for any environment  $Z$ .

### 1.4 Our Method

The high level intuition of our approach is an observation that was already made in previous works [Tof11, LT13]: to compare two strings, it suffices to divide them in equal length blocks, and compare the first block on which they differ. Therefore, a protocol for (obviously) finding this block can be used to reduce the secure comparison problem on large strings to the secure comparison problem on smaller strings. One can then recursively apply this size-reduction protocol, until the strings to be compared are small enough, and compute the final result using a second protocol tailored to secure comparison on small strings. However, this intuition was typically implemented in previous work using heavy public-key primitives, such as homomorphic encryption. In this work, we show how this strategy can be implemented using exclusively oblivious transfers on small strings.

To implement the size-reduction protocol, we rely on a protocol to obliviously determine whether two strings are equal. Therefore, a first step toward realizing a secure comparison protocol is to design a protocol for testing equality between two strings, which outputs shares (modulo 2) of a bit which is 1 if and only if the strings are equal. Keeping this approach in mind, we start by designing an equality test protocol which is based solely on oblivious transfer. Recall that in an oblivious transfer protocol, one party (the sender) inputs a pair  $(s_0, s_1)$ , while the other party (the receiver) inputs a bit  $b$ ; the receiver receives  $s_b$  as output and learns nothing about  $s_{1-b}$ , while the sender learns nothing about  $b$ . Our protocol relies on a classical observation: two strings are equal if and only if their Hamming distance is zero. More specifically, our protocols proceed as follows:

**Equality Test.** Consider two inputs  $(x, y)$ , of length  $\ell$ . We denote  $(x_i, y_i)_{i \leq \ell}$  their bits. The parties execute  $\ell$  parallel oblivious transfers over  $\mathbb{Z}_{\ell+1}$ , where the first player input pairs  $(a_i + x_i \bmod \ell + 1, a_i + 1 - x_i \bmod \ell + 1)$  ( $a_i$  is a random mask over  $\mathbb{Z}_{\ell+1}$ ), and the second party input his secret bits  $y_i$ ; let  $b_i$  be his output ( $b_i = a_i + x_i \oplus y_i \bmod \ell + 1$ , where  $\oplus$  is the exclusive or). Observe that  $x' \leftarrow \sum_i a_i \bmod \ell + 1$  and  $y' \leftarrow \sum_i b_i \bmod \ell + 1$  are equal if and only if the Hamming distance between  $x$  and  $y$  is 0, if and only if  $x = y$ . Note that  $(x', y')$  are of length  $\log(\ell + 1)$ .

The players repeatedly invoke the above method, starting from  $(x', y')$ , to shrink the input size while preserving equality, until they end up with string of length at most (say)

3 bits (it takes about  $O(\log^* \ell)$  invocations of the protocol, where the first invocation dominates the communication cost). The players then perform a straightforward equality test on these small strings, using oblivious transfers to evaluate an explicit exponential-size formula for equality checking on the small entries.

The core feature of this compression method is that it can be almost entirely preprocessed: by executing the compression protocol on random inputs  $(r, s)$  in a preprocessing phase (and storing the masks generated), the players can reconstruct the output of the protocol on input  $(x, y)$  simply by exchanging  $x \oplus r$  and  $s \oplus y$  in the online phase. Therefore, the communication of the entire equality test protocol can be made as low as a few dozens to a few hundreds of bits in the online phase. Furthermore, in the preprocessing phase, the protocol involves only oblivious transfers on very small entries (each entry has size at most  $\log \ell$  bits), for which particularly efficient constructions exist [KK13].

**Secure Comparison.** We now describe our solution to the secure comparison problem. This protocol has a structure somewhat comparable to the previous one, but is more involved. The parties break their inputs  $(x, y)$  in  $\sqrt{\ell}$  blocks of length  $\sqrt{\ell}$  each. In the first part of the protocol, the parties will construct  $\sqrt{\ell}$  shares of bits, which are all equal to 0 except for the  $i$ th bit, where  $i$  is the index of the first block on which  $x$  differs from  $y$ . This step relies on parallel invocations to the equality test functionality, and on oblivious transfers. Then, using these bit-shares and oblivious transfers, the players compute shares of the first block on which  $x$  differs from  $y$ .

At this point, we cannot directly repeat the above method recursively, as this method takes inputs *known to the parties*, while the output values are only shared between the parties. However, under a condition on the size of the group on which the shares are computed, we prove a lemma which shows that the parties can *non-interactively* reduce the problem of securely comparing shared value to the problem of securely comparing known values, using only local computations on their shares. From that point, the parties can apply the compression protocol again (for  $O(\log \log \ell)$  rounds), until they obtain very small values, and use (similarly as before) a straightforward protocol based on an explicit exponential-size formula for comparison. Alternatively, to reduce the interactivity, the compression protocol can be executed a fixed (constant) number of times, before applying, e.g., a garbled-circuit-based protocol or a generic 2PC protocol on the reduced-size inputs.

This protocol involves  $O(\sqrt{\ell})$  equality tests and oblivious transfers on small strings, both of which can be efficiently preprocessed. This leads to a secure comparison protocol that communicates about a thousand bits in the online phase, for 64-bit inputs.

## 1.5 Comparison with Existing Works

**For Secure Comparisons.** We provide Table 1 a detailed comparison between the state of the art, our logarithmic-round protocol  $\text{SC}_1$ , and its constant-round variants  $\text{SC}_2$  and  $\text{SC}_3$ . We evaluate efficiency in an amortized setting and ignore one-time setup costs. We considered two methods based on garbled circuit, the protocol of [KSS09] and the same protocol enhanced with the method of [AIKW13] to optimize the online communication. We also considered the solution based on the DGK cryptosystem [DGK07, DGK09, Veu12], the protocol of [LT13], the probabilistically correct protocol of [YY12], and generic 2PC applied to the protocol of [GSV07]. Note that [LT13, YY12] are described with respect to an arithmetic black box, hence their cost depends on how the ABB is implemented. For [LT13], which requires an ABB over large order fields, we considered a Paillier based instantiation, as advocated by the authors. For [YY12], which involves (mainly) an ABB

over  $\mathbb{F}_2$ , we considered the same optimizations than in our protocols, implementing the ABB with oblivious transfers on bits.

As illustrated in Table 1, our protocols improve over existing protocols (asymptotically) regarding both communication and computation. This comes at the cost of a non-constant  $O(\log \log \ell)$  interactivity (or  $O(c \cdot \log^* \kappa)$  in the constant-round setting). In particular, for large values of  $\ell$  (and for any value of  $\ell$  in the online phase), our protocols enjoy an optimal  $O(\ell)$  communication and computation complexity. The hidden constants are small, making our protocols more efficient than the state of the art for any practical parameter. For values of  $\ell$  between 4 and 128, the protocols of [KSS09, GSV07] (which enjoy tiny constants) outperforms all other existing protocols regarding communication and computation. We therefore focus on these protocols as a basis for comparison with our protocols in our concrete efficiency estimations.

**Equality Tests.** The state of the art given Table 1 remains essentially the same for equality tests. Indeed, all the papers listed in the table (at the exception of [DGK07], but including the present paper) do also construct equality tests protocols, with the same (asymptotic) complexity and from the same assumptions. The only difference in asymptotic complexity between our equality test protocol and the protocol  $\text{SC}_1$  is with respect to the round complexity: while  $\text{SC}_1$  has  $O(\log \log \ell)$  rounds, our equality test protocol has an almost-constant number of rounds  $O(\log^* \kappa)$ . Note that we consider only equality tests whose output is shared between the players (as this is necessary for our secure comparison protocol); if the players get to learn the output in the clear (this is known as the socialist millionaires problem), more efficient solutions exist, but there is no simple way of designing equality tests with shared outputs from these solutions.

## 1.6 Applications

Equality test protocols enjoy many applications as building blocks in various multiparty computation protocols. Examples include, but are not limited to, protocols for switching between encryption schemes [CPP16], secure linear algebra [CKP07], secure pattern matching [HT14], and secure evaluation of linear programs [Tof09]. Secure comparisons have found a tremendous number of applications in cryptography; we provide thereafter a non-exhaustive list of applications for which our protocols lead to increased efficiency. We note that in applications for which implementations have been described, the communication of secure comparisons was generally pointed out as the main efficiency bottleneck.

- *Obliviously sorting data* [Goo10, Goo14] has proven useful in contexts such as private auctions [NIIO14], oblivious RAM [Gol87], or private set intersection [HEK12], but it remains to date quite slow (in [HICT14], sorting over a million 32-bit words takes between 5 and 20 minutes). All existing methods crucially rely on secure comparisons and require at least  $O(m \log m)$  secure comparisons in  $O(\log m)$  rounds to sort lists of size  $m$ .
- *Biometric authentication*, while solving issues related to the use of passwords, raises concerns regarding the privacy of individuals, and received a lot of attention from the cryptographic community. Protocols for tasks such as secure face recognition [SSW10] require finding the minimum value in a database, which reduces to  $O(m)$  secure comparisons in  $O(\log m)$  rounds.
- Secure protocols for *machine learning* employ secure comparisons as a basic routine for tasks such as classification [BPTG14], generating private recommendations [EVTL12], spam classification [WFNL15], multimedia analysis [CC15], clinical decisions [RPV<sup>+</sup>14], evaluation of disease risk [ARL<sup>+</sup>13], or image feature extraction [LLY<sup>+</sup>16].

Table 1: Amortized Costs of State of The Art Secure Comparison

Protocol	[KSS09]	[DGK07, DGK09, Veu12] <sup>1</sup>	[LT13] <sup>1</sup>	[KSS09] <sup>+</sup>	[AIKW13] <sup>1</sup>	[YY12]
<b>Preprocessing Phase</b>						
Communication	$O(\kappa\ell)$	–	$O(n\kappa \log \ell)$	$O(n\ell)$		$O(\frac{\kappa^2}{\log \kappa} + \ell)$
Computation	$O(\kappa\ell)$	$O(\ell(\kappa + \ell) \cdot C_n)$	$O(n\kappa \log \ell \cdot C_n)$	$O(n\ell \cdot C_n)$		$O(\frac{\kappa^2}{\log \kappa} + \ell)$
Rounds	1	–	$O(1)$	1		$O(1)$
Assumption	OT	–	ABB	RSA		ABB
<b>Online Phase</b>						
Communication	$O(\kappa\ell)$	$O(n\ell)$	$O(n \log \ell)$	$O(\ell + n)$		$O(\kappa + \ell)$
Computation	$O(\kappa\ell)$	$O(\ell \log \ell \cdot C_n)$	$O(n \log \ell \cdot C_n)$	$O(\kappa\ell + n \cdot C_n)$		$O(\kappa + \ell)$
Rounds	2	2	$O(\log \ell)$	2		$O(\log \kappa)$
Assumption	OWF	DGK	ABB	RSA		None
Protocol	[GSV07]	SC <sub>1</sub>		SC <sub>2</sub> , SC <sub>3</sub>	$(c \text{ is some fixed constant})$	
<b>Preprocessing Phase</b>						
Communication	$O(\frac{\kappa\ell}{\log \kappa})$	$O(\frac{\kappa\ell}{\log \kappa})$ if $\ell = o(\kappa^2)$ $O(\ell)$ else		$O(\frac{\kappa\ell}{\log \kappa})$ if $\ell^{1-1/c} = o(\kappa^2)$ $O(\ell)$ else		
Computation	$O(\frac{\kappa\ell}{\log \kappa})$	$O(\frac{\kappa\ell}{\log \kappa})$ if $\ell = o(\kappa^2)$ $O(\ell)$ else		$O(\frac{\kappa\ell}{\log \kappa})$ if $\ell^{1-1/c} = o(\kappa^2)$ $O(\ell)$ else		
Rounds	$O(\log \ell)$	$O(\log \log \ell)$		$O(c \log^* \kappa)$		
Assumption	OT	OT		OT		
<b>Online Phase</b>						
Communication	$O(\ell)$	$O(\ell)$		$O(\ell)$		
Computation	$O(\ell)$	$O(\ell)$		$O(\ell)$		
Rounds	$O(\log \ell)$	$O(\log \log \ell)$		$O(c \log^* \kappa)$		
Assumption	none	none		OWF (SC <sub>2</sub> ) or none (SC <sub>3</sub> )		

<sup>1</sup>  $n > \ell + \kappa$  is the length of an RSA modulus.  $C_n$  denotes the cost of a modular multiplication modulo  $n$ . Note that [AIKW13] can also be instantiated from the DDH or the LWE assumption.

- Secure algorithms for *combinatorial problems*, such as finding the flow of maximum capacity in a weighted graph, or searching for the shortest path between two nodes, have been investigated in several works, e.g. [Lau15], and have applications in protocols such as private fingerprint matching [BS15], privacy-preserving GPS guidance, or privacy-preserving determination of topological features in social networks [ACM<sup>+</sup>13]. They typically involve a very large number of secure comparisons (e.g.  $n^2$  comparisons for Dijkstra’s shortest path algorithm on an  $n$ -node graph [ACM<sup>+</sup>13]).
- Other applications that heavily rely on comparisons include computing on non integer values [ABZS13], various types of secure auctions [DGK07], range queries over encrypted databases [SJB14], or algorithms for optimization problems [Tof09, Cd10b].

## 1.7 Organization

Section 2 recalls definitions and classical results on oblivious transfers, as well as on oblivious transfer extensions. Section 3 introduces our new equality test protocol. Section 4 focuses on the construction of secure comparison protocols; it builds upon our protocol for equality test, but requires additional care and some new ideas. Eventually, Appendix A described a variant of our equality test protocol with further improves its communication by a factor two in a batch setting, at the cost of using public-key operations.

## 1.8 Notations

Given a finite set  $S$ , the notation  $x \leftarrow_R S$  means that  $x$  is picked uniformly at random from  $S$ . For an integer  $n$ ,  $\mathbb{Z}_n$  denotes the set of integers modulo  $n$ . Throughout this paper,  $+$  will always denote addition over the integers, and not modular additions. We use bold letters to denote vectors. For a vector  $\mathbf{x}$ , we denote by  $\mathbf{x}[i]$  its  $i$ 'th coordinate; we identify  $k$ -bit-strings to vectors of  $\mathbb{Z}_2^k$  (but do not use bold notations for them). We denote by  $\mathbf{x} * \mathbf{y}$  the Hadamard product  $(\mathbf{x}[i] \cdot \mathbf{y}[i])_i$  between  $\mathbf{x}$  and  $\mathbf{y}$ . Let  $\oplus$  denote the xor operation (when applied on bit-strings, it denotes the bitwise xor). For integers  $(x, y)$ ,  $[x = y]$ ,  $[x < y]$ , and  $[x \leq y]$  denote a bit which is 1 if the equality/inequality holds, and 0 otherwise. The notation  $(x \bmod k)$ , between parenthesis, indicates that  $x \bmod k$  is seen as an integer between 0 and  $k-1$ , not as an element of  $\mathbb{Z}_k$ . For an integer  $k$ , let  $\langle \cdot \rangle_k$  denote the randomized function that, on input  $x$ , returns two uniformly random shares of  $x$  over  $\mathbb{Z}_k$  (i.e., a random pair  $(a, b) \in \mathbb{Z}_k^2$  such that  $a + b = x \bmod k$ ). We extend this notation to vectors in a natural way: for an integer vector  $\mathbf{x}$ ,  $(\mathbf{a}, \mathbf{b}) \leftarrow_R \langle \mathbf{x} \rangle_k$  denote the two vectors obtained by applying  $\langle \cdot \rangle_k$  to the coordinates of  $\mathbf{x}$ . Finally, for an integer  $x$ , we denote by  $|x|$  the bit-size of  $x$ .

## 2 Oblivious Transfer

Oblivious transfers (OT) were introduced in [Rab81]. An oblivious transfer is a two-party protocol between a sender and a receiver, where the sender obviously transfers one of two strings to the receiver, according to the selection bit of the latter. The ideal functionality for  $k$  oblivious transfers on  $l$ -bit strings is specified as follows:

$$\mathcal{F}_{\text{OT}}^{k,l} : ((\mathbf{s}_0, \mathbf{s}_1), x) \mapsto \left( \perp, (\mathbf{s}_{x[i][i]})_{i \leq k} \right)$$

where  $(\mathbf{s}_0, \mathbf{s}_1) \in (\mathbb{F}_2^l)^k \times (\mathbb{F}_2^l)^k$  is the input of the sender, and  $x \in \mathbb{F}_2^k$  is the input of the receiver. In a *random oblivious transfer* (ROT), the input of the sender is picked at random:

$$\mathcal{F}_{\text{ROT}}^{k,l} : (\perp, x) \mapsto \left( (\mathbf{s}_0, \mathbf{s}_1), (\mathbf{s}_{x[i][i]})_{i \leq k} \right)$$

The primitive can be extended naturally to  $k$ -out-of- $n$  oblivious transfers; we let  $\binom{n}{k}$ -OT $_\ell^t$  denote  $t$  invocations of a  $k$ -out-of- $n$  OT on strings of length  $\ell$ . Oblivious transfer is a fundamental primitive in MPC as it implies general multiparty computation [Kil88] and can be made very efficient.

### 2.1 Oblivious Transfer Extension

Although oblivious transfer requires public-key cryptographic primitives, which can be expensive, *oblivious transfer extension* allows to execute an arbitrary number of oblivious transfers, using only cheap, symmetric operations, and a small number of base OTs. OT extensions were introduced in [Bea96]. The first truly practical OT extension protocol was introduced in [IKNP03], assuming the random oracle model.<sup>1</sup> We briefly recall the intuition of the OT extension protocol of [IKNP03]. A  $\binom{2}{1}$ -OT $_\ell^\kappa$  can be directly obtained from a  $\binom{2}{1}$ -OT $_\ell^\kappa$ : the sender associates two  $\kappa$ -bit keys to each pair of messages and obviously transfer one key of each pair to the receiver. Then, the receiver stretches two  $t$ -bit strings from the two keys of each pair, using a pseudo-random generator, and sends the xor of each of these strings and the corresponding message to the receiver. The  $\binom{2}{1}$ -OT $_\ell^t$  itself can

<sup>1</sup> The random oracle model can be avoided by assuming that the hash function is a correlation-robust function, see [KK13], Appendix A.2.



be implemented with a single call to a  $\binom{2}{1}$ -OT $^\kappa_t$  functionality, in which the receiver plays the role of the sender (and reciprocally). The total communication of the reduction from  $\binom{2}{1}$ -OT $^\ell_t$  to  $\binom{2}{1}$ -OT $^\kappa_t$  is  $2t\ell + 2t\kappa$  bits. Regarding the computational complexity, once the base OTs have been performed, each OT essentially consists in three evaluations of a hash function. An optimization to the protocol of [IKNP03] was proposed in [ALSZ13] (and discovered independently in [KK13]). It reduces the communication of the OT extension protocol from  $2t\ell + 2t\kappa$  bits to  $2t\ell + t\kappa$  bits, and allows to perform the base OTs without an a-priori bound on the number of OTs to be performed later (the OTs can be continuously extended).

**Oblivious Transfer of Short Strings.** An optimized OT extension protocol for short strings was introduced in [KK13], where the authors describe a reduction of  $\binom{2}{1}$ -OT $^\ell_t$  to  $\binom{2}{1}$ -OT $^\kappa_t$  with  $t(2\kappa/\log n + n \cdot \ell)$  bits of communication,  $n$  being a parameter that can be chosen arbitrarily so as to minimize this cost. Intuitively, this is done by reducing  $\log n$  invocations of  $\binom{2}{1}$ -OT to one invocation of  $\binom{n}{1}$ -OT; the result is then obtained by combining this reduction with a new  $\binom{n}{1}$ -OT extension protocol introduced in [KK13]. In our concrete efficiency estimations, we will heavily rely on this result as our equality test protocol involves only OTs on very short strings.

**Correlated and Random Oblivious Transfers.** The authors of [ALSZ13] described several OT extension protocols, tailored to OTs on inputs satisfying some particular conditions. In particular, the communication of the OT extension protocol can be reduced from  $2t\ell + t\kappa$  bits to  $t\ell + t\kappa$  bits when the inputs to each OT are *correlated*, i.e. when each input pair is of the form  $(r, f(r))$  for a uniformly random  $r$  and a function  $f$  known by the sender (which can be different for each OT). For random oblivious transfer extension, the bit-communication can be further reduced to  $t\kappa$ . We note that the optimizations of [KK13] and [ALSZ13] can be combined:  $\log n$  correlated  $\binom{2}{1}$ -OT can be reduced to one correlated  $\binom{n}{1}$ -OT (defined by input pairs of the form  $(r, f_1(r), \dots, f_{n-1}(r))$  for a random  $r$  and functions  $f_1 \dots f_{n-1}$  known by the sender). This gives a correlated short-string oblivious transfer extension protocol which transmits  $t(2\kappa/\log n + (n-1) \cdot \ell)$  bits.

### 3 Equality Test

In this section, we design an equality-test (ET) protocol to securely compute shares over  $\mathbb{Z}_2$  of the equality predicate.

**Ideal Functionalities.** The ideal functionality for our ET protocol is represented on Figure 1. Following the common standard for multiparty computation, we design our protocol in the preprocessing model, where the players have access to a preprocessing functionality  $\mathcal{F}_{\text{ET-prep}}$ . The preprocessing functionality is used in an initialization phase to generate material for the protocol; it does not require the inputs of the players. Our ideal preprocessing functionality is also represented on Figure 1.

**Protocol.** We now describe our implementation of  $\mathcal{F}_{\text{ET}}$  in the  $\mathcal{F}_{\text{ET-prep}}$ -hybrid model, with respect to passive corruption. The protocol runs with two players, Alice and Bob. It is parametrized by two integers  $(\ell, n)$ , where  $n$  is called the *threshold* of the protocol. The players recursively perform size reduction steps using the material produced by the size reduction procedure of  $\mathcal{F}_{\text{ET-prep}}$ . Each step reduces inputs of size  $\ell$  to inputs of size  $\lfloor \ell + 1 \rfloor$  while preserving the equality predicate. The players stop the reduction when the bitsize of their inputs becomes smaller than the threshold  $n$  (taken equal to 3 or 4 in our concrete

<b>Functionality <math>\mathcal{F}_{\text{ET}}</math></b>
The functionality runs with two parties, Alice and Bob. Upon receiving $(\text{ET}, x)$ from Alice and $(\text{ET}, y)$ from Bob, set $\beta \leftarrow 1$ if $x = y$ , and $\beta \leftarrow 0$ else. Set $(a, b) \leftarrow_R \langle \beta \rangle_2$ . Return $a$ to Alice and $b$ to Bob.
<b>Functionality <math>\mathcal{F}_{\text{ET-prep}}</math></b>
The functionality runs with two parties, Alice and Bob.
<b>Size Reduction:</b> Upon receiving $(\text{SR}, j)$ from both players, the functionality picks $(x, y) \leftarrow_R (\mathbb{Z}_2^j)^2$ and sets $(\mathbf{a}, \mathbf{b}) \leftarrow_R \langle x \oplus y \rangle_{j+1}$ . $\mathcal{F}_{\text{ET-prep}}$ outputs $(x, \mathbf{a})$ to Alice and $(y, \mathbf{b})$ to Bob.
<b>Product Sharing:</b> Upon receiving $(\text{PS}, n)$ from both players, the functionality picks $(x, y) \leftarrow_R (\mathbb{Z}_2^{2^n-2})^2$ and sets $(a, b) \leftarrow_R \langle x * y \rangle_2$ . $\mathcal{F}_{\text{ET-prep}}$ outputs $(x, a)$ to Alice and $(y, b)$ to Bob.

Fig. 1: Ideal Functionalities for Equality Test and Preprocessing

<b>Protocol <math>\Pi_{\text{ET}}</math></b>
<p><b>Initialize:</b> Let <math>i \leftarrow 1</math> and <math>j \leftarrow \ell</math>. The players perform the following operations:</p> <ul style="list-style-type: none"> <li>– (size reduction) While <math>j &gt; n</math>, both players call <math>\mathcal{F}_{\text{ET-prep}}</math> on input <math>(\text{SR}, j)</math> to get outputs <math>(r_i, \mathbf{a}_i)</math> and <math>(s_i, \mathbf{b}_i)</math>. The players set <math>i \leftarrow i + 1</math> and <math>j \leftarrow  j + 1 </math>.</li> <li>– (product sharing) Both players call <math>\mathcal{F}_{\text{ET-prep}}</math> on input <math>(\text{PS}, n)</math> to get outputs <math>(r, a)</math> and <math>(s, b)</math>.</li> </ul> <p><b>Equality Test:</b> On input two <math>\ell</math>-bit integers, <math>x</math> from Alice and <math>y</math> from Bob, let <math>x_1 \leftarrow x</math> and <math>y_1 \leftarrow y</math>. Let <math>i \leftarrow 1</math> and <math>j \leftarrow \ell</math>. The players perform the following operations:</p> <ol style="list-style-type: none"> <li>1. While <math>j &gt; n</math>, Alice sends <math>x'_i \leftarrow r_i \oplus x_i</math> to Bob, and Bob sends <math>y'_i \leftarrow s_i \oplus y_i</math> to Alice. Let <math>z_i \leftarrow x'_i \oplus y'_i</math>. Alice sets <math>x_{i+1} \leftarrow -\sum_{l=1}^j (-1)^{z_i[l]} \mathbf{a}_i[l] \bmod j + 1</math>, and Bob sets <math>y_{i+1} \leftarrow \sum_{l=1}^j (-1)^{z_i[l]} \mathbf{b}_i[l] + z_i[l] \bmod j + 1</math>. The players set <math>i \leftarrow i + 1</math> and <math>j \leftarrow  j + 1 </math>. Note that <math>(x_i, y_i) \in \mathbb{Z}_j^2</math>.</li> <li>2. Once <math>j \leq n</math>, let <math>(I_k)_{1 \leq k \leq 2^n - 2}</math> denote the list of non-empty strict subsets of <math>\{1, \dots, n\}</math> (in any arbitrary fixed order). For <math>k = 1</math> to <math>2^n - 2</math>, Alice, sets <math>X_k \leftarrow \prod_{l \in I_k} (1 \oplus x_i[l])</math> and <math>\alpha_k \leftarrow r[k] \oplus X_k</math>. Then, Bob sets <math>Y_k \leftarrow \prod_{l \notin I_k} y_i[l]</math>, and <math>\beta_k \leftarrow s[k] \oplus Y_k</math>. Alice picks <math>\alpha \leftarrow_R \{0, 1\}</math> and sends <math>(\alpha, (\alpha_k)_{k \leq 2^n - 2})</math>, and Bob picks <math>\beta \leftarrow_R \{0, 1\}</math> and sends <math>(\beta, (\beta_k)_{k \leq 2^n - 2})</math>.</li> <li>3. Alice outputs <div style="text-align: center; margin-top: 10px;"> <math display="block">\bigoplus_{k \leq 2^n - 2} (a[k] \oplus \beta_k X_k) \oplus \prod_{l \leq n} (1 \oplus x_i[l]) \oplus \alpha \oplus \beta</math> </div> </li> </ol> <p style="margin-left: 20px;">Bob outputs</p> <div style="text-align: center; margin-top: 10px;"> <math display="block">\bigoplus_{k \leq 2^n - 2} (b[k] \oplus \alpha_k s[k]) \oplus \prod_{l \leq n} y_i[l] \oplus \alpha \oplus \beta</math> </div>

Fig. 2: Protocol for Equality Test

estimations). The equality predicate is computed on the small inputs with the material produced by the product sharing procedure of  $\mathcal{F}_{\text{ET-prep}}$ . The protocol is represented on Figure 2.

**Theorem 1.** *The protocol  $\Pi_{\text{ET}}$  securely implements  $\mathcal{F}_{\text{ET}}$  in the  $\mathcal{F}_{\text{ET-prep}}$ -hybrid model, with respect to passive corruption.*

### 3.1 Proof of Theorem 1

Let  $\text{Adv}$  be an adversary that interacts with Alice and Bob, running the protocol  $\Pi_{\text{ET}}$ . We will construct a simulator  $\mathcal{S}_{\text{im}}$  which interacts with  $\mathcal{F}_{\text{ET}}$ , so that no environment  $Z$  can distinguish an interaction with  $\text{Adv}$  in  $\Pi_{\text{ET}}$  from an interaction with  $\mathcal{S}_{\text{im}}$  in the ideal world.  $\mathcal{S}_{\text{im}}$  starts by invoking a copy of  $\text{Adv}$ . Each time  $\mathcal{S}_{\text{im}}$  received from  $Z$  an input value, he writes it on  $\text{Adv}$ 's input tape as if coming from  $Z$ . Each time  $\text{Adv}$  writes on its output tape,  $\mathcal{S}_{\text{im}}$  writes the same thing on his output tape.

**One Player is Corrupted.** We focus here on the case of a corrupted Bob; as the protocol is essentially symmetrical, the simulation is similar for a corrupted Alice.

**Initialize:**  $\mathcal{S}im$  runs a local copy of  $\mathcal{F}_{\text{ET-prep}}$ . He honestly answers to each call to the SR and PS commands, and stores the outputs of each call (This step does not require the input of Alice).

**Equality Test:**

1. When  $\mathcal{S}im$  receives  $y'_1 = s_1 \oplus y$  from  $\text{Adv}$ , he retrieves  $s_1$  from his memory and computes  $y = y'_1 \oplus s_1$ .  $\mathcal{S}im$  sends  $(\text{ET}, y)$  to  $\mathcal{F}_{\text{ET}}$  on behalf of the corrupted party, and receives a bit  $T$ . During each round of the size reduction protocol,  $\mathcal{S}im$  does only send uniformly random values  $x'_i$  of the appropriate size on behalf of Alice. Moreover, for  $i \geq 1$  and while  $j > n$ ,  $\mathcal{S}im$  stores  $y_{i+1} \leftarrow \sum_{l=1}^j (-1)^{z_i[l]} \mathbf{b}_i[l] + z_i[l] \bmod j + 1$ , using the vector  $\mathbf{b}_i$  stored in  $\mathcal{F}_{\text{ET-prep}}$  and the string  $z_i = x'_i \oplus y'_i$ .
2. When  $\mathcal{S}im$  receives  $(\beta, (\beta_k)_{k \leq 2^n - 2})$ , he retrieves Bob's output  $(b, s)$  to the PS command, and picks uniformly random bits  $(\alpha_k)_{k \leq 2^n - 2}$ .  $\mathcal{S}im$  sets

$$\alpha \leftarrow \bigoplus_{k \leq 2^n - 2} (b[k] \oplus \alpha_k s[k]) \oplus \prod_{l \leq n} y_l[l] \oplus T \oplus \beta$$

and sends  $(\alpha, (\alpha_k)_{k \leq 2^n - 2})$  to Bob.

**Remaining Cases.** When both parties are corrupted,  $\mathcal{S}im$  simply runs  $\text{Adv}$  internally. When neither party is corrupted,  $\mathcal{S}im$  internally runs Alice and Bob honestly, with inputs  $(0, 0)$ , and forwards the messages exchanged to  $\text{Adv}$ .

**Indistinguishability.** We focus on the case where Bob is corrupted; the argument follows symmetrically for a corrupted Alice, and is straightforward when both players are corrupted, or no player is corrupted. We show that the joint view of  $Z$  and  $\text{Adv}$  in the real world is indistinguishable from the view of  $Z$  and the simulated  $\text{Adv}$  in the ideal world; as the simulator perfectly (and honestly) simulates the initialization phase (which does not require the inputs of the parties), we focus on the online phase (and implicitly include the preprocessing material in the view of all the parties). Let  $t$  be the number of repetitions of step 1 during the execution of the protocol. As the corrupted player is semi-honest, it honestly follows the specifications of the protocol. Let  $(s, b, (x'_i)_{i \leq t}, \alpha, (\alpha_j)_{j \leq 2^n - 2})$  be the view of  $\text{Adv}$  in the online phase during a run of  $\Pi_{\text{ET}}$  with inputs  $(x, y)$ . Let  $(o_A, o_B)$  denote the outputs of Alice and Bob, that are sent to  $Z$ .

*Claim (Correctness of the Size Reduction).* For every  $i \leq t$ ,  $[x = y] = [x_i = y_i]$ .

We show that for every  $i \leq t - 1$ ,  $[x_i = y_i] = [x_{i+1} = y_{i+1}]$ . As  $(x, y) = (x_1, y_1)$ , the claim follows. Let  $i \leq t - 1$  be an integer. As the players follow the protocol, it holds that  $y_{i+1} - x_{i+1} = \sum_{l=1}^j (-1)^{z_i[l]} (\mathbf{b}_i[l] + \mathbf{a}_i[l]) + z_i[l] \bmod j + 1$ , with  $z_i = x_i \oplus y_i \oplus r_i \oplus s_i$ . Furthermore, for any  $l \leq j$ ,  $\mathbf{b}_i[l] + \mathbf{a}_i[l] = r_i[l] \oplus s_i[l] \bmod j + 1$ . Observe that when  $z_i[l] = 0$ , it holds that  $x_i[l] \oplus y_i[l] = r_i[l] \oplus s_i[l]$ , whereas when  $z_i[l] = 1$ , it holds that  $x_i[l] \oplus y_i[l] = 1 - (r_i[l] \oplus s_i[l])$ . Overall, it holds that  $(-1)^{z_i[l]} (r_i[l] \oplus s_i[l]) + z_i[l] = x_i[l] \oplus y_i[l]$ . Therefore,  $y_{i+1} - x_{i+1} = \sum_{l=1}^j (x_i[l] \oplus y_i[l]) \bmod j + 1$ . But the right hand term is exactly the Hamming distance between  $x_i$  and  $y_i$ , which is bounded by the bitsize  $j$  of the strings (hence no overflow occurs with the modulus  $j + 1$ ). Observe that the Hamming distance between two strings is 0 if and only if the two strings are equal. Therefore,  $y_{i+1} - x_{i+1} = 0$  if and only if  $x_i = y_i$ . The claim follows.

*Claim (Correctness of  $\Pi_{\text{ET}}$ ).* The outputs of Alice and Bob in  $\Pi_{\text{ET}}$  form shares over  $\mathbb{Z}_2$  of  $[x = y]$ .

By the previous claim, it suffices to show that the output of Alice and Bob in  $\Pi_{\text{ET}}$  form shares over  $\mathbb{Z}_2$  of  $[x_t = y_t]$ . It holds that  $o_A \oplus o_B = \bigoplus_{k \leq 2^n - 2} (a[k] \oplus b[k] \oplus \beta_k X_k \oplus \alpha_k s[k]) \oplus \prod_{l \leq n} (1 - x_t[l]) \oplus \prod_{l \leq n} y_t[l]$ . As for  $k \leq 2^n - 2$ ,  $\alpha_k = r[k] \oplus X_k$ ,  $\beta_k = s[k] \oplus Y_k$ , and  $a[k] \oplus b[k] = r[k]s[k]$ , this simplifies to  $o_A \oplus o_B = \bigoplus_{k \leq 2^n - 2} X_k Y_k \oplus \prod_{l \leq n} (1 - x_t[l]) \oplus \prod_{l \leq n} y_t[l]$ .

Observe that the right hand term is exactly the product  $\prod_{l=1}^n ((x_t[l] \oplus 1) \oplus y_t[l])$ , in developed form. Moreover, this product evaluates to 1 if and only if it holds that for any  $l \leq n$ ,  $(x_t[l] \oplus 1) \oplus y_t[l] = 1$ , which happens exactly when  $x_t[l] = y_t[l]$ , and to 0 otherwise. Therefore,  $\prod_{l=1}^n ((x_t[l] \oplus 1) \oplus y_t[l]) = [x_t = y_t]$ .

*Claim (Indistinguishability).* When Bob is corrupted, the joint distribution  $(s, b, (x'_i)_{i \leq t}, \alpha, (\alpha_j)_{j \leq 2^n - 2}, o_A, o_B)$  is equal to the distribution of transcripts of an interaction with  $\mathcal{S}im$  together with the output of  $\mathcal{F}_{\text{ET}}$ .

Recall that  $\mathcal{S}im$  honestly picks  $(s, b)$ . Moreover, for each  $i \leq t$ ,  $x'_i = x_i \oplus r_i$  is perfectly masked by the random value  $r_i$ , and for each  $j \leq 2^n - 2$ ,  $\alpha_j = r[j] \oplus X_k$  is perfectly masked by the random bit  $r[k]$ . The value  $\alpha$  is simulated so that the output computed by Bob is exactly the output  $T$  of  $\mathcal{F}_{\text{ET}}$  for the ideal version of Bob. As  $\alpha$  is masked by  $T$ , which is a uniformly random bit from the viewpoint of Bob (by definition of  $\mathcal{F}_{\text{ET}}$ ),  $\alpha$  is also uniform. The outputs of  $\mathcal{F}_{\text{ET}}$  form shares of  $[x = y]$ , as do  $(o_A, o_B)$  (from our above analysis). The claim follows.  $\square$

### 3.2 Implementing the Preprocessing Functionality

We now describe the implementation of the functionality  $\mathcal{F}_{\text{ET-prep}}$ , in the  $\mathcal{F}_{\text{OT}}$ -hybrid model. The protocol is represented on Figure 3.

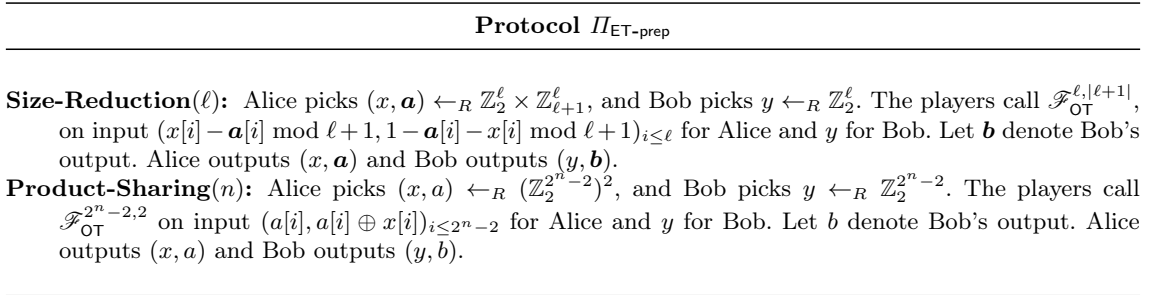


Fig. 3: Preprocessing Protocol for Equality Test

**Theorem 2.** *The protocol  $\Pi_{\text{ET}}$  securely implements  $\mathcal{F}_{\text{ET}}$  when calls to  $\mathcal{F}_{\text{ET-prep}}$  in  $\Pi_{\text{ET}}$  are replaced by executions of  $\Pi_{\text{ET-prep}}$  in the  $\mathcal{F}_{\text{OT}}$ -hybrid model, with respect to passive corruption.*

### 3.3 Proof of Theorem 2

We prove Theorem 2, introduced in Section 3. Let  $\diamond$  denote the composition operator; let  $\Pi$  be the protocol such that  $\Pi \diamond \mathcal{F}_{\text{ET-prep}} = \Pi_{\text{ET}}$ . The natural way of proving Theorem 2 would be to prove that  $\Pi_{\text{ET-prep}}$  UC implements  $\mathcal{F}_{\text{ET-prep}}$  in the  $\mathcal{F}_{\text{OT}}$ -hybrid model; as

$\Pi \diamond \mathcal{F}_{\text{ET-prep}}$  UC implements  $\mathcal{F}_{\text{ET}}$ , it would follow from the composition theorem of the UC framework that  $\Pi \diamond \Pi_{\text{ET-prep}}$  UC implements  $\mathcal{F}_{\text{ET}}$  in the  $\mathcal{F}_{\text{OT}}$ -hybrid model.

However, this approach fails here. The reason is that  $\Pi_{\text{ET-prep}}$  does in fact *not* UC implement  $\mathcal{F}_{\text{ET-prep}}$ . To understand the issue, recall that a proof in the UC framework is done by exhibiting a simulator with access to the functionality (here  $\mathcal{F}_{\text{ET-prep}}$ ), so that the view produced by the simulator *together with the outputs of the corrupted parties* are indistinguishable from the view and the outputs in a real execution of the protocol. The simulator extracts the inputs of the corrupted parties, queries the ideal functionality on those inputs, and somehow forces the corrupted players to obtain the same outputs. Observe now that in the protocol  $\Pi_{\text{ET-prep}}$ , parts of the outputs of both Alice and Bob are picked by the players themselves, independently of the behaviour of their opponent. Therefore, no simulator can possibly ensure that the corrupted player will compute the same output than what was returned by  $\mathcal{F}_{\text{ET-prep}}$ . This is a bit counterintuitive, as the protocol  $\Pi_{\text{ET-prep}}$  clearly does “exactly what  $\mathcal{F}_{\text{ET-prep}}$  does” when the players are semi-honest. We could solve this by adding some resharing step in  $\Pi_{\text{ET-prep}}$ , but this would noticeably increase the communication of our protocol. Fortunately, this exact issue was handled in great details in [BLLP14]. Therefore, we start by recalling the results of [BLLP14], and use them to complete the proof of Theorem 2.

**Input Private Protocols.** The authors of [BLLP14] extend the universal composability framework, by defining the notion of *input-privacy*. Informally, a protocol is input-private if there is a simulator (with access to the corresponding ideal functionality) which produces a view indistinguishable from an execution of the protocol for any environment *that completely ignores the output of the protocol*. We refer to [BLLP14, Section 4] for a formal definition. The core result is a composition theorem, which states that an *ordered* composition  $\Pi_{\diamond}$  of an input-private protocol  $\Pi_{ip}$  and a UC secure protocol  $\Pi_{UC}$  is a UC secure protocol (the model considered is that of static, passive corruption, as in the present paper). The term “ordered” refers to a restricted class of composition, in which there is a one-way communication from  $\Pi_{ip}$  to  $\Pi_{UC}$ . An additional requirement is that the outputs of  $\Pi_{\diamond}$  must all come from  $\Pi_{UC}$  – in other words,  $\Pi_{ip}$  does not output any value in the composed protocol, but only intermediate random values, perfectly independent of the outputs. Intuitively, this result is tailored to protocols  $\Pi_{ip}$  that return random shares of some output, as the view of a corrupted player can be simulated without the output.

**Security.** The protocol  $\Pi \diamond \Pi_{\text{ET-prep}}$  immediately satisfies the constraint of ordered composition with predictable output specified in [BLLP14] (outputs from  $\Pi_{\text{ET-prep}}$  are used in  $\Pi_{\text{ET}}$ , but there is no data dependency in the other direction). The functionality  $\mathcal{F}_{\text{ET-prep}}$  can be virtually seen as a functionality that does not produce outputs, but only stores the result of some computation (this storage being represented by secret shares in the real protocol). The input-privacy of  $\Pi_{\text{ET-prep}}$  with respect to  $\mathcal{F}_{\text{ET-prep}}$  in the  $\mathcal{F}_{\text{OT}}$ -hybrid model is straightforward: it is trivial to see that the protocol is correct, and no messages are exchanged between the players during the protocol, which consists entirely in calls made to  $\mathcal{F}_{\text{OT}}$ . Therefore, the simulator simply runs a local copy of  $\mathcal{F}_{\text{OT}}$  and answers honestly to the queries of the players. The view of any environment that ignores the output of the protocol contains only the responses of  $\mathcal{F}_{\text{OT}}$  to the corrupted player, hence the simulation is trivially perfect.

By the input-privacy of  $\Pi_{\text{ET-prep}}$ , and the ordered composition with predictable output of  $\Pi \diamond \Pi_{\text{ET-prep}}$ , as  $\Pi \diamond \mathcal{F}_{\text{ET-prep}}$  UC implements  $\mathcal{F}_{\text{ET}}$ , the composition theorem mentioned above (Theorem 2 from [BLLP14, Section 7.2]) allows to conclude that  $\Pi \diamond \Pi_{\text{ET-prep}}$  UC implements  $\mathcal{F}_{\text{ET}}$  in the  $\mathcal{F}_{\text{OT}}$ -hybrid model.

### 3.4 Communication Complexity

We first analyse the complexity of our protocol using any standard oblivious transfer protocol, which transmits  $O(\kappa)$  bits. The size reduction procedure transmits  $O(\ell\kappa)$  bits on input  $\ell$ , and the product sharing procedure transmits  $O(2^n\kappa)$  bits on input  $n$ . Setting  $n$  to a small constant value (e.g.  $n = 3$ ), the bit communication of  $\Pi_{\text{ET}}$  is dominated by its first size reduction procedure, which transmits  $O(\kappa\ell)$  bits in the initialization phase. In the online phase, where the equality test is performed using the preprocessed material, only  $O(\ell)$  bits are transmitted.

We now analyse the complexity of our protocol in an amortized setting, where many equality test protocols are likely to be invoked (not necessarily in parallel). By a classical observation (see e.g. [LT13]), we can always assume that the inputs of the players are less than  $\kappa$ -bit long; if this is not the case, each party can hash its input first, preserving the correctness of the protocol with overwhelming probability. Therefore, as the largest strings obviously transferred during the protocol  $\Pi_{\text{ET}}$  are  $|\ell + 1| \leq |\kappa + 1|$  bit long (for  $\kappa = 128$ , this corresponds to 8-bit strings), we can benefit from the short-string oblivious transfer extension protocol of [KK13]. Ignoring the computation of the base OTs, which is performed a single time for an arbitrary number of equality tests,  $k$  size reduction procedures on  $\ell$ -bit inputs transmit  $O(k\ell(\kappa/\log x + x \cdot |\ell|))$  bits, where  $x$  is a parameter that can be arbitrarily set so as to minimize this cost. This minimizes to  $O(k\ell\kappa/\log \kappa)$ , up to some  $\log \log$  term. As a consequence, when performing many equality tests, the (amortized) cost of a single equality test is  $O(\kappa\ell/\log \kappa)$  bits in the preprocessing phase (and still  $O(\ell)$  bits in the online phase). For inputs of size  $\ell > \kappa$ , where the players can hash their input first, the complexity becomes  $O(\kappa^2/\log \kappa)$  in the preprocessing phase, and  $O(\kappa)$  in the online phase.

### 3.5 Concrete Efficiency

We now analyze the efficiency of our protocol for various input-lengths. In all our numerical applications, we set the security parameter  $\kappa$  to 128. We estimate the efficiency in an amortized setting, where we can use oblivious transfer extension.

**Comparison with Equality Test from Garbled Circuit and from 2PC.** We compare our protocol to the garbled-circuit-based protocol of [KSS09], and to the solution based on generic 2PC, using the optimized circuit of [GSV07]. We apply all possible optimizations to these two alternative approaches, using random OTs in the offline phase to precompute the online OTs, as well as oblivious transfer extensions. We use optimized OT extensions of short strings for [GSV07], but not for [KSS09], as it involves OT on large keys.

Note that the pairs of keys in [KSS09] satisfy some correlation, hence the optimization of [ALSZ13] for correlated oblivious transfer extensions can be applied. However, this prevents Alice from constructing and sending the garbled circuit during the preprocessing phase, as the values of the keys will be determined by the correlated oblivious transfers (in which one of the outputs is a random value). In our estimations, we chose not to use this optimization, which results in a slight loss in overall communication, but almost cuts in half the communication during the online phase. We use random OTs [ALSZ13] to push the cost of executing oblivious transfers to the offline phase, at the (mild) cost of adding  $\ell$  bits to the total communication. Observe also that the method of [AIKW13] could be applied to reduce the communication of online phase of [KSS09]; however, this would require sending  $O(\ell^2)$  group elements in the preprocessing phase (or  $O(\ell)$  using the RSA based instantiation) and computing  $O(\ell^2)$  (resp.  $O(\ell)$ ) modular multiplications online. In an amortized setting where [KSS09] requires only cheap symmetric operations, this would strongly affect both the computation and the communication of the protocol.

**Non-Amortized Setting.** We now evaluate the concrete efficiency of our protocol. We first focus on the simpler setting, the non-amortized setting, in which a single ET will be performed. We stop the size reduction protocol as soon as  $n \leq 4$  (stopping at  $n \leq 3$  or  $n \leq 2$  saves a few hundreds of bits for some sizes of  $\ell$ , at the cost of additional rounds). For the oblivious transfer, we use the scheme of Naor and Pinkas [NP01], whose security in the random oracle model relies on the decisional Diffie-Hellman (DDH) assumption (we use an elliptic curve of prime order  $p$ , which can be taken of bit-size  $\log p = 2\kappa$  according to recommended parameters). The protocols of [NP01] have the following communication:

- $t$  executions of a  $\binom{2}{1}$ -OT $_{\ell}$  on strings of size  $\ell \leq \kappa$  transmit  $\kappa 4t$  bits. The initialization phase consists of two group elements sent by the sender which amounts to  $4\kappa$  bits.
- $t$  executions of a  $\binom{N}{1}$ -OT $_{\ell}$  on strings of size  $\ell \leq \kappa$  transmit  $\kappa(N + 2)t$  bits. The initialization phase consists of  $N + 1$  group elements sent by the sender which amounts to  $2(N + 1)\kappa$  bits.

We will use this  $\binom{N}{1}$ -OT $_{\ell}$  in a crucial way. One of the constructions described in [NP01] gives a trade-off between communication (which is increased) and computation (which is decreased). The idea is that to perform  $\log N$  oblivious transfers on  $\ell$ -bit strings, it suffices to perform a single  $\binom{N}{1}$ -OT $_{\ell \log N}$ , in which the  $N$  inputs are all the  $2^{\log N}$  possible concatenations of one input from each of the  $\log N$  input pairs. But recall that the communication of the protocol of [NP01] is always the same for any  $\ell \leq \kappa$  (for larger values, the oblivious transfer are performed on keys, which are used to encrypt the values, adding  $2\ell$  bits of overhead to the protocol). Our equality test protocol involves only oblivious transfers on very small strings, of size smaller than  $|\kappa + 1|$ . Hence, by picking a sufficiently small  $N$  so that  $\ell \log N \leq \kappa$ , the trade-off protocol of Naor and Pinkas does in fact *reduce* the communication. Indeed, performing  $\log N$  oblivious transfers on short strings transmits  $4\kappa \log N$  bits, while using instead a single  $\binom{N}{1}$ -OT $_{\ell \log N}$  transmits  $(N + 2)\kappa$  bits if  $\ell \log N \leq \kappa$ . This amounts to  $(N + 2)\kappa / \log N$  bits per  $\binom{2}{1}$ -OT, which is minimized for  $N = 4$  and transmits  $3\kappa$  bits. Hence, performing the  $\binom{2}{1}$ -OT by pairs, as a single  $\binom{4}{1}$ -OT, reduces the communication by 25% when the transmitted strings are of size  $\ell \leq \kappa/2$ . We note that, to our knowledge, this simple observation was not made before and is of independent interest.

Table 3 sums up the costs of our equality test protocol for various values of  $\ell$ , and compares it to the garbled-circuit-based protocol of [KSS09], and the generic 2PC protocol of [GSV07]. Note that we use the oblivious transfer of [NP01] in both our ET and the protocols of [KSS09, GSV07], but while we can use the optimization described above to reduce the communication in our protocol and in [GSV07] (as they transmit short strings), this does not hold for garbled circuits, in which the transmitted values are  $\kappa$ -bit keys (and our optimization does not result in any improvement in this case). Hence,  $t \binom{2}{1}$ -OT transmit  $3\kappa t$  bits in our ET and in [GSV07], but  $4\kappa t$  bits in [KSS09]. As one can see from Table 2, our protocol is approximately 50% more efficient than [KSS09], and about 80% more efficient than [GSV07]. Furthermore, our protocol strongly improves over both alternatives in the online phase.

**Amortized Setting.** We now provide a concrete efficiency analysis of the protocol in an amortized setting, using oblivious transfer extensions. We do not take into account the cost of the base oblivious transfers for the OT extension scheme, as this is a constant independent of the number of equality tests performed, which is the same for both our protocol and the protocol of [KSS09]. Adapting the construction of [KK13] to the case of correlated short inputs, the exact cost of reducing  $m$  oblivious transfers of  $t$ -bit strings to  $\kappa$  oblivious transfers of  $\kappa$ -bit strings is  $m(2\kappa / \log x + (x - 1)t)$  (this takes into account

Table 2: Communication of  $\ell$ -bit ETs in the Non-Amortized Setting

$\ell$	Our ET		[KSS09]		[GSV07]	
	comm. <sup>1</sup>	rounds	comm.	rounds	comm.	rounds
<b>Preprocessing Phase</b>						
4	5376	2	1810	1	6144	3
8	8448	3	3856	1	14592	4
16	10365	4	7968	1	32256	5
32	16896	4	16192	1	68352	6
64	29568	4	32640	1	141312	7
128	57600	4	65536	1	288000	8
<b>Online Phase</b>						
4	28	1	1540	2	96	3
8	44	2	3080	2	228	4
16	54	3	6160	2	504	5
32	88	3	12320	2	1068	6
64	154	3	24640	2	2208	7
128	300	3	49280	2	4500	8

<sup>1</sup> Comm. denotes the number of bits exchanged during a protocol run.

an optimization described in [KK13, Appendix A] and the optimization for correlated inputs of [ALSZ13]). Therefore, the amortized cost of a size reduction protocol on input  $k$  is  $k(2\kappa/\log x + (x - 1)k)$ , where  $x$  can be chosen so as to minimize this cost. Table 3 sums up the amortized costs of our equality test protocol for various values of  $\ell$ ; oblivious transfers for the garbled circuit approach of [KSS09] are performed using the OT extension protocol of [ALSZ13] on  $\kappa$ -bit inputs, which transmits  $3\kappa$  bits per OT. As shown in Table 3, our protocol improves over the communication of [KSS09] by up to 80% overall. During the online phase, our protocol is extremely efficient, two orders of magnitude faster than [KSS09]. Our protocol also improves over [GSV07] by about 70% overall, and by 95% in the online phase. Furthermore, it is considerably less interactive, although it remains more interactive than the garbled-circuit-based approach.

**Amortized Computational Complexity.** The computational complexity of [KSS09, GSV07] and our protocol are directly proportional to their communication in the amortized setting (and it is dominated by the evaluation of hash functions in both, which are required for (extended) OTs and garbled gates), hence our constructions improve upon these protocols regarding computation by factors similar to those listed in Table 3.

## 4 Secure Comparison from Equality Test

In this section, we design a secure comparison protocol (SC) to securely evaluate shares (over  $\mathbb{Z}_2$ ) of the greater-than predicate on inputs held by Alice and Bob. The high level intuition of our construction is close in spirit to the construction of equality test in the previous section: the two parties will play several rounds of a size-reduction procedure, that will shrink the size of their inputs while preserving their ordering. When the input size has been shrunk below some threshold, the parties compute the output using a protocol



Table 3: Communication of  $\ell$ -bit ETs in the Amortized Setting

$\ell$	Our ET		[KSS09]		[GSV07]	
	comm. <sup>1</sup>	rounds	comm.	rounds	comm.	rounds
<b>Preprocessing Phase</b>						
4	1106	2	1288	1	1264	3
8	2018	3	2832	1	3002	4
16	2945	4	5920	1	6636	5
32	5212	4	12096	1	14062	6
64	9863	4	24448	1	29072	7
128	20194	4	49152	1	59250	8
<b>Online Phase</b>						
4	28	1	1540	2	96	3
8	44	2	3080	2	228	4
16	54	3	6160	2	504	5
32	88	3	12320	2	1068	6
64	154	3	24640	2	2208	7
128	300	3	49280	2	4500	8

<sup>1</sup> Comm. denotes the number of bits exchanged during a protocol run.

tailored to secure comparison on small inputs. However, the actual construction differs significantly from the protocol of the previous section, and is considerably more involved. We detail below the main steps of our construction.

- The size reduction protocol takes as input two bitstrings  $(x, y)$  of length  $k$ , one known to Alice and one known to Bob. It divides the string into  $\sqrt{k}$  blocks of  $\sqrt{k}$  bits. Then, it relies on equality tests to obviously identify the first block of both  $x$  and  $y$  on which they differ, and on oblivious transfers to obviously extract these two blocks. At the end of the protocol, the parties hold shares of two  $\sqrt{k}$ -bit blocks  $(x', y')$  satisfying  $[x' \leq y'] = [x \leq y]$ .
- From there, the two parties would like to recursively invoke the above procedure, until they end up with small strings to be compared. However, this cannot be done right away: the size reduction protocol takes as inputs values *known to Alice and Bob*, but outputs *shares of values to be compared*. Nevertheless, we show that Alice and Bob can *non-interactively* reduce the task of comparing shared values to the task of comparing values that they know. More specifically, we show an equality of the form

$$[x' \leq y'] = b_A \oplus b_B \oplus [v_A \leq v_B] \quad (1)$$

where  $(b_A, v_A)$  (resp.  $(b_B, v_B)$ ) are values that Alice (resp. Bob) can compute *locally* from her shares of  $(x', y')$  ( $(b_A, b_B)$  are bits). Therefore, the parties will invoke the size reduction procedure on the values  $(v_A, v_B)$  of the above equality, and store the values  $(b_A, b_B)$ .

- The equality 1 will crucially rely on the assumption that  $x \neq y$ . Observe that if the initial inputs  $(x, y)$  are not equal, then the reduced values  $(x', y')$  are also different (they are the first block of  $x$  and  $y$  on which the inputs differ). From the proof of 1, it will follow that in this case, it also holds that  $v_A \neq v_B$ . Therefore, to ensure that the

<b>Functionality <math>\mathcal{F}_{\text{SC}}</math></b>
The functionality runs with two parties, Alice and Bob. Upon receiving $(\text{SC}, x)$ from Alice and $(\text{SC}, y)$ from Bob, set $\beta \leftarrow 1$ if $x \leq y$ , and $\beta \leftarrow 0$ else. Set $(a, b) \leftarrow_R \langle \beta \rangle_2$ . Return $a$ to Alice and $b$ to Bob.
<b>Functionality <math>\mathcal{F}_{\text{shrink}}</math></b>
The functionality runs with two parties, Alice and Bob. Upon receiving $(\text{shrink}, \ell, \lambda, x)$ from Alice and $(\text{shrink}, \ell, \lambda, y)$ from Bob, where $\lambda$ divides $\ell$ and $(x, y)$ are $\ell$ -bit long, it divides the bits of $x$ and $y$ into $\ell/\lambda$ consecutive blocks of $\lambda$ bit, and computes $\hat{x} \in \{0, 1\}^\lambda$ (resp. $\hat{y} \in \{0, 1\}^\lambda$ ) as the first block of $x$ (resp. $y$ ) on which $x$ and $y$ differ. Then, it computes $(\hat{x}_A, \hat{x}_B) \leftarrow_R \langle \hat{x} \rangle_{2^{\lambda+1}}$ , $(\hat{y}_A, \hat{y}_B) \leftarrow_R \langle \hat{y} \rangle_{2^{\lambda+1}}$ , and returns $(\hat{x}_A, \hat{y}_A)$ to Alice and $(\hat{x}_B, \hat{y}_B)$ to Bob.
<b>Functionality <math>\mathcal{F}_{\text{SC-prep}}</math></b>
The functionality runs with two parties, Alice and Bob.
<b>Size Reduction:</b> Upon receiving $(\text{SR}, \lambda, \mu)$ from both players, the functionality picks $(\mathbf{s}_0, \mathbf{s}_1, \mathbf{t}_0, \mathbf{t}_1, \mathbf{u}_0, \mathbf{u}_1) \leftarrow_R (\mathbb{Z}_{\mu+1}^\mu)^2 \times (\mathbb{Z}_{2^{\lambda+1}}^\mu)^4$ and $(c, d, e) \leftarrow_R (\mathbb{Z}_2^\mu)^3$ . $\mathcal{F}_{\text{SC-prep}}$ sets $(\mathbf{s}, \mathbf{t}, \mathbf{u}) \leftarrow \left( (\mathbf{s}_{c[i][i]})_{i \leq \mu}, (\mathbf{t}_{d[i][i]})_{i \leq \mu}, (\mathbf{u}_{e[i][i]})_{i \leq \mu} \right)$
It outputs $(e, \mathbf{s}_0, \mathbf{s}_1, \mathbf{t}_0, \mathbf{t}_1, \mathbf{u})$ to Alice and $(c, d, \mathbf{s}, \mathbf{t}, \mathbf{u}_0, \mathbf{u}_1)$ to Bob.
<b>Product Sharing:</b> Upon receiving $(\text{PS}, n)$ from both players, the functionality picks $(\rho, \sigma) \leftarrow_R (\mathbb{Z}_2^{2^n-1})^2$ and sets $(a, b) \leftarrow_R \langle \rho * \sigma \rangle_2$ . $\mathcal{F}_{\text{ET-prep}}$ outputs $(\rho, a)$ to Alice and $(\sigma, b)$ to Bob.

Fig. 4: Ideal Functionalities for Secure Comparison and Preprocessing

- inputs to be compared remain different throughout the entire computation, it suffices to ensure that the initial inputs are not equal. This can be ensure very easily as follows: Alice will append a 0 to her initial input, and Bob will append a 1 to his initial input. This ensures that  $x \neq y$ , while preserving the value of the predicate  $[x \leq y]$ .
- After  $\log \ell$  such size reduction and non-interactive conversion steps (for initial inputs of length  $\ell$ ), the parties end up with small inputs to be compared, and bits locally computed during the non-interactive conversions. They invoke an OT-based small-input secure comparison protocol (similar to the OT-based small-input equality test protocol used in the previous section), and xor their outputs with all their locally computed bits.

**Ideal Functionalities.** The ideal functionality  $\mathcal{F}_{\text{SC}}$  for our SC protocol is represented on Figure 4. To simplify the exposition, we describe an intermediate functionality  $\mathcal{F}_{\text{shrink}}$ , which performs the size reduction procedure. We will implement this functionality in the  $(\mathcal{F}_{\text{ET}}, \mathcal{F}_{\text{SC-prep}})$ -hybrid model afterward. Both  $\mathcal{F}_{\text{shrink}}$  and  $\mathcal{F}_{\text{SC-prep}}$  are represented on Figure 4.

**Reduction Lemma.** Let  $(x, y)$  be two bitstrings of length  $k$ . Let  $t \leftarrow 2^{k+1}$ . Let  $(x_A, x_B) \leftarrow_R \langle x \rangle_t$  and  $(y_A, y_B) \leftarrow_R \langle y \rangle_t$ ; that is,  $(x_A, x_B)$  (resp.  $(y_A, y_B)$ ) are random shares of  $x$  (resp.  $y$ ) over  $\mathbb{Z}_t$ . Let  $(z_A, z_B) \leftarrow (y_A - x_A \bmod t, y_B - x_B \bmod t)$ . We prove the following lemma:

**Lemma 3.** *If  $x \neq y$ , then it holds that  $[x \leq y] = b_A \oplus b_B \oplus [w_A \leq t/2 - w_B]$ , where  $b_A = [z_A < t/2]$ ,  $b_B = [z_B < t/2]$ ,  $w_A = z_A \bmod t/2$ , and  $w_B = z_B \bmod t/2$ .*

Suppose that Alice and Bob are given *shares* of two  $k$ -bit values  $(x, y)$  to be compared; that is, Alice knows  $(x_A, y_A)$ , Bob knows  $(x_B, y_B)$ , and the parties want to compute shares of  $[x \leq y]$ . Lemma 3 shows that (if  $x \neq y$ ) the parties can *non-interactively* reduce this task

to the task of comparing two values, one known to Alice and one known to Bob. Indeed, given  $(x_A, y_A)$ , Alice (resp. Bob) can locally compute  $b_A$  and  $w_A$  (resp.  $b_B$  and  $w_B$ ) as in Lemma 3. Hence, to compute shares of  $[x \leq y]$ , Alice and Bob can simply compute shares of  $[w_A \leq t/2 - w_B]$  (where Alice knows  $w_A$  and Bob knows  $t/2 - w_B$ ), and locally xor their outputs with  $b_A$  and  $b_B$ .

#### 4.1 Proof of Lemma 3

We recall below the Lemma 3, introduced in Section 4, and prove it. Let  $(x, y)$  be two bitstrings of length  $k$ . Let  $t \leftarrow 2^{k+1}$ . Let  $(x_A, x_B) \leftarrow_R \langle x \rangle_t$  and  $(y_A, y_B) \leftarrow_R \langle y \rangle_t$ ; that is,  $(x_A, x_B)$  (resp.  $(y_A, y_B)$ ) are random shares of  $x$  (resp.  $y$ ) over  $\mathbb{Z}_t$ . Let  $(z_A, z_B) \leftarrow (y_A - x_A \bmod t, y_B - x_B \bmod t)$ .

**Lemma 4.** *If  $x \neq y$ , then it holds that  $[x \leq y] = b_A \oplus b_B \oplus [w_A \leq t/2 - w_B]$ , where  $b_A = [z_A < t/2]$ ,  $b_B = [z_B < t/2]$ ,  $w_A = z_A \bmod t/2$ , and  $w_B = z_B \bmod t/2$ .*

*Proof.* To prove Lemma 3, we first observe that  $[x \leq y] = [(y - x \bmod t) \leq t/2]$ . Indeed,  $(x, y)$  are  $k$ -bit strings, hence  $x < 2^k = t/2$ , and  $y < t/2$ . Therefore, if  $x \leq y$ , then  $(y - x \bmod t) = y - x$  belongs to  $[0, t/2]$ , which implies that  $(y - x \bmod t) \leq t/2$ ; conversely, if  $x > y$ , then  $[y - x \bmod t] = y - x + t$  belongs to  $[t/2, t]$ , which implies that  $[y - x \bmod t] > t/2$ . By this observation, to prove Lemma 3, it suffices to show that, when  $x \neq y$ ,

$$[(y - x \bmod t) \leq t/2] = b_A \oplus b_B \oplus [w_A \leq t/2 - w_B].$$

Toward proving Lemma 3, we distinguish four complementary cases.

**Case 1:**  $z_A < t/2$ , and  $z_B < t/2$  (therefore,  $b_A = b_B = 1$ ). In this situation, it holds that  $(z_A + z_B \bmod t) = z_A + z_B$  (that is, no modulo reduction occurs when summing  $z_A$  and  $z_B$  modulo  $t$ ), and that  $z_A = w_A$ ,  $z_B = w_B$ . Therefore,

$$\begin{aligned} [(y - x \bmod t) \leq t/2] &= [(z_A + z_B \bmod t) \leq t/2] \\ &= [z_A + z_B \leq t/2] = [w_A \leq t/2 - w_B] \\ &= [w_A \leq t/2 - w_B] \oplus b_A \oplus b_B. \end{aligned}$$

**Case 2:**  $z_A \geq t/2$ , and  $z_B \geq t/2$  (therefore,  $b_A = b_B = 0$ ). In this situation, it holds that  $(z_A + z_B \bmod t) = z_A + z_B - t$  (a modulo reduction occurs when summing  $z_A$  and  $z_B$  modulo  $t$ ), and that  $z_A = w_A + t/2$ ,  $z_B = w_B + t/2$ . Therefore,

$$\begin{aligned} [(y - x \bmod t) \leq t/2] &= [(z_A + z_B \bmod t) \leq t/2] = [z_A + z_B - t \leq t/2] \\ &= [w_A + t/2 + w_B + t/2 - t \leq t/2 - w_B] \\ &= [w_A \leq t/2 - w_B] = [w_A \leq t/2 - w_B] \oplus b_A \oplus b_B. \end{aligned}$$

**Case 3:**  $z_A < t/2$ , and  $z_B \geq t/2$  (therefore,  $b_A = 0$  and  $b_B = 1$ ). Let us show that in this situation,

$$[(y - x \bmod t) \leq t/2] = [(z_A + z_B \bmod t) \leq t/2] = [z_A + z_B > t].$$

Indeed, observe that as  $z_A < t/2$  and  $z_B \geq t/2$ , it holds that  $(z_A + z_B \bmod t) \leq t/2$  if and only if a modulo reduction occurs when computing  $z_A + z_B \bmod t$  – that is, if and only if  $[z_A + z_B \geq t]$ . In addition, observe that  $z_A + z_B = t$  if and only if  $y_A - x_A + y_B - x_B = t$ , if and only if  $x - y = 0 \bmod t$ . Therefore, as we assumed  $x \neq y$

(which implies  $x \neq y \pmod t$  as  $x < t/2$  and  $y < t/2$ ), this situation cannot happen, so  $[z_A + z_B \geq p] = [z_A + z_B > p]$ . From this, we get

$$\begin{aligned} [(y - x \pmod t) \leq t/2] &= [(z_A + z_B \pmod t) \leq t/2] = [z_A + z_B > t] \\ &= 1 \oplus [z_A + z_B \leq t] \text{ as } 1 \oplus b \text{ is the negation of } b \\ &= 1 \oplus [w_A + (w_B + t/2) \leq t] = 1 \oplus [w_A \leq t/2 - w_B] \\ &= [w_A \leq t/2 - w_B] \oplus b_A \oplus b_B. \end{aligned}$$

**Case 4:** By applying the same demonstration as in Case 3 symmetrically, we get  $[(y - x \pmod t) \leq t/2] = [w_A \leq t/2 - w_B] \oplus b_A \oplus b_B$ .

This concludes the proof of Lemma 3. We make a last observation: straightforward calculations show that, as  $x < t/2$  and  $y < t/2$ ,  $x \neq y$  if and only if  $w_A \neq w_B$ . This implies that it is sufficient to guarantee that the initial inputs are different to ensure that all inputs will remain different through recursive calls to the recursion lemma.

## 4.2 Main Protocol

We now describe our implementation of  $\mathcal{F}_{\text{SC}}$ , in the  $(\mathcal{F}_{\text{SC-prep}}, \mathcal{F}_{\text{shrink}})$ -hybrid model, with respect to passive corruption. The protocol runs with two players, Alice and Bob. It is parametrized by two integers  $(\ell, n)$ , where  $n$  is the threshold of the protocol. The players recursively perform size reduction steps  $\mathcal{F}_{\text{shrink}}$  and the reduction lemma. Each step reduces inputs of size  $\ell$  to inputs of size roughly  $\sqrt{\ell}$  while preserving the comparison predicate. The players stop the reduction when the bitsize of their inputs becomes smaller than the threshold  $n$  (taken equal to 3 or 4 in our concrete estimations). The comparison predicate is computed on the small inputs with the material produced by the product sharing procedure (PS) of  $\mathcal{F}_{\text{SC-prep}}$ . The protocol is represented on Figure 5. For simplicity, we assume from now that the inputs  $(x, y)$  satisfy  $x \neq y$ ; as previously outlined, this can be ensured by letting Alice append a 0 and Bob a 1 to their initial inputs.

**Theorem 5.** *The protocol  $\Pi_{\text{SC}}$  securely implements  $\mathcal{F}_{\text{SC}}$  in the  $(\mathcal{F}_{\text{SC-prep}}, \mathcal{F}_{\text{shrink}})$ -hybrid model, with respect to passive corruption.*

## 4.3 Proof of Theorem 5

Let  $\text{Adv}$  be an adversary that interacts with Alice and Bob, running the protocol  $\Pi_{\text{SC}}$ . We will construct a simulator  $\mathcal{S}im$  which interacts with  $\mathcal{F}_{\text{SC}}$ , so that no environment  $Z$  can distinguish an interaction with  $\text{Adv}$  in  $\Pi_{\text{SC}}$  from an interaction with  $\mathcal{S}im$  in the ideal world.  $\mathcal{S}im$  starts by invoking a copy of  $\text{Adv}$ . Each time  $\mathcal{S}im$  receives from  $Z$  an input value, he writes it on  $\text{Adv}$ 's input tape as if coming from  $Z$ . Each time  $\text{Adv}$  writes on its output tape,  $\mathcal{S}im$  writes the same thing on his output tape.

**One Player is Corrupted.** We focus here on the case of a corrupted Bob; as the protocol is essentially symmetrical, the simulation is similar for a corrupted Alice.

**Initialize:**  $\mathcal{S}im$  runs local copies of  $(\mathcal{F}_{\text{shrink}}, \mathcal{F}_{\text{SC-prep}})$ . He honestly answers to the call to the PS command, and stores the output. (This step does not require the input of Alice)

**Secure Comparison:**

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**Protocol  $II_{SC}$**

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**Initialize:** Both players call  $\mathcal{F}_{SC\text{-prep}}$  on input  $(PS, n)$  to get  $(\rho, a)$  and  $(\sigma, b)$ .

**Secure Comparison:** On input two  $\ell$ -bit integers,  $x$  from Alice and  $y$  from Bob, let  $i \leftarrow 1$ ,  $x'_1 \leftarrow x$ , and  $y'_1 \leftarrow y$ .

1. The players agree on an integer  $\lambda_i$  which divides  $\ell$  (the optimal parameters for this block decomposition will depend on the actual implementation of  $\mathcal{F}_{shrink}$ ). Alice calls  $\mathcal{F}_{shrink}$  on input  $(shrink, \ell, \lambda_i, x_i)$ , and Bob on input  $(shrink, \ell, \lambda_i, y_i)$ . Let  $(x_{i+1}, y_{i+1})$  denote the first blocks where  $x_i$  differs from  $y_i$ . We denote  $(x_{i+1}^A, y_{i+1}^A)$  and  $(x_{i+1}^B, y_{i+1}^B)$  the outputs of  $\mathcal{F}_{shrink}$  to Alice and Bob, which form shares of  $(x_{i+1}, y_{i+1})$ .
2. From these shares, the parties locally compute bits  $(b_{i+1}^A, b_{i+1}^B)$  and values  $(x'_{i+1}, y'_{i+1})$  satisfying

$$[x'_{i+1} \leq y'_{i+1}] \oplus b_{i+1}^A \oplus b_{i+1}^B = [x_{i+1} \leq y_{i+1}],$$

where  $(b_{i+1}^A, x'_{i+1})$  is known to Alice and  $(b_{i+1}^B, y'_{i+1})$  is known to Bob.

3. The players set  $\ell \leftarrow \lambda_i$  and  $i \leftarrow i+1$ . If  $|\ell| > n-1$ , the players iterate the steps 1 to 3. Otherwise, they go to step 4.
4. Let  $f : (j, l) \mapsto l - 1 + 2^{j-1}$ . For  $j = 1$  to  $n-1$ , let  $(I_l^j)_{1 \leq l \leq 2^j}$  denote the list of subsets of  $\{1, \dots, j\}$  (in any arbitrary fixed order). For  $j = 1$  to  $n$ , for  $l = 1$  to  $2^{j-1}$ , Alice picks  $\alpha \leftarrow_R \{0, 1\}$  and sets  $\alpha_{jl} \leftarrow \rho[f(j, l)] \oplus x_i[j] \cdot \prod_{k \in I_l^{j-1}} (1 \oplus x_i[k])$ , and Bob picks  $\beta \leftarrow_R \{0, 1\}$  and sets  $\beta_{jl} \leftarrow \sigma[f(j, l)] \oplus (1 \oplus y_i[j]) \cdot \prod_{k \notin I_l^{j-1}} y_i[k]$ . Alice sends  $(\alpha, (\alpha_{jl})_{jl})$  and Bob sends  $(\beta, (\beta_{jl})_{jl})$  (this amounts to  $2^{n+1}$  bits exchanged).
5. Alice outputs

$$\left( \bigoplus_{k=1}^i b_k^A \right) \oplus \left( \bigoplus_{\substack{j \leq n \\ l \leq 2^{j-1}}} \rho[f(j, l)] \beta_{jl} \oplus a[f(j, l)] \right) \oplus \alpha \oplus \beta$$

Bob outputs

$$\left( \bigoplus_{k=1}^i b_k^B \right) \oplus \left( \bigoplus_{\substack{j \leq n \\ l \leq 2^{j-1}}} (\sigma[f(j, l)] \oplus \beta_{jl}) \alpha_{jl} \oplus b[f(j, l)] \right) \oplus \alpha \oplus \beta$$


---

Fig. 5: Protocol for Secure Comparison

1. When  $\mathcal{S}im$  receives  $(shrink, \ell, \lambda_1, y_1)$  from Bob, he stores  $y = y_1$  and sends  $(SC, y)$  to  $\mathcal{F}_{SC}$  on behalf of Bob in the ideal world.  $\mathcal{S}im$  receives an output bit  $T$ . For each compression round  $i$ ,  $\mathcal{S}im$  simulates  $\mathcal{F}_{shrink}$  by returning two random shares of the appropriate size to Bob, and computes and stores the corresponding value  $b_{i+1}^B$ .
2. When  $\mathcal{S}im$  receives  $(\beta, (\beta_{jl})_{jl})$ , he retrieves Bob's output  $(\sigma, b)$  to the PS command, and picks uniformly random bits  $(\alpha_{jl})_{j \leq n-1, l \leq 2^{j-1}}$ .  $\mathcal{S}im$  sets

$$\alpha \leftarrow \left( \bigoplus_{k=1}^i b_k^B \right) \oplus \left( \bigoplus_{\substack{j \leq n \\ l \leq 2^{j-1}}} (\sigma[f(j, l)] \oplus \beta_{jl}) \alpha_{jl} \oplus b[f(j, l)] \right) \oplus T \oplus \beta$$

and sends  $(\alpha, (\alpha_{jl})_{jl})$  to Bob.

**Remaining Cases.** When both parties are corrupted,  $\mathcal{S}im$  simply runs  $\mathcal{Adv}$  internally. When neither party is corrupted,  $\mathcal{S}im$  internally runs Alice and Bob honestly, with inputs  $(0, 0)$ , and forwards the messages exchanged to  $\mathcal{Adv}$ .

**Indistinguishability.** We focus on the case where Bob is corrupted; the argument follows symmetrically for a corrupted Alice, and is straightforward when both players are corrupted, or no player is corrupted. We show that the joint view of  $Z$  and  $\mathcal{Adv}$  in the real

world is indistinguishable from the view of  $Z$  and the simulated  $\text{Adv}$  in the ideal world. In steps 1 to 3,  $\mathcal{Sim}$  perfectly simulates the answers of the functionality  $\mathcal{F}_{\text{shrink}}$ , and stores all the corresponding bits  $b_{i+1}^B$  computed by Bob. During an execution of the real protocol, in addition to Bob's interaction with  $\mathcal{F}_{\text{shrink}}$ , the environment sees  $(\alpha, (\alpha_{jl})_{jl})$ , as well as the outputs  $(o_A, o_B)$  of the players. In the ideal world, on input  $(x, y)$ , the outputs of  $\mathcal{F}_{\text{SC}}$  are random shares of  $[x \leq y]$ ; let us first show that this also holds for the outputs  $(o_A, o_B)$  in the real world.

*Claim (Correctness of  $\Pi_{\text{SC}}$ ).*  $o_A \oplus o_B = [x \leq y]$ .

Let  $t$  be the number of repetitions of step 1. By definition of  $\mathcal{F}_{\text{shrink}}$ , and by using the recursion lemma (Lemma 3) at each step, it holds that  $[x_t < y_t] = [x_t \leq y_t] = [x \leq y] \oplus \bigoplus_{k=1}^t b_k^B$  (recall that  $x_t \neq y_t$ ). We now show that  $o_A \oplus o_B \oplus \bigoplus_{k=1}^t b_k^B = [x_t < y_t]$ . Replacing  $(\alpha_{jl}, \beta_{jl})_{jl}$  by their corresponding expression, and using the fact that  $\rho[l]\sigma[l] = a[l] \oplus b[l]$ , we get

$$o_A \oplus o_B \oplus \bigoplus_{k=1}^t b_k^B = \bigoplus_{j \leq n} x_t[j](1 \oplus y_t[j]) \left( \bigoplus_{l \leq 2^j - 1} \prod_{k \in I_l^{j-1}} (1 \oplus x_t[k]) \cdot \prod_{k \notin I_l^{j-1}} y_t[k] \right)$$

The term between the parenthesis is simply the product  $\prod_{k \leq j-1} (1 \oplus x_t[k] \oplus y_t[k])$  developed. This product evaluates to 1 if and only if it holds for each  $k \leq j-1$  that  $x_t[k] = y_t[k]$  (which is equivalent to  $1 \oplus x_t[k] \oplus y_t[k] = 1$ ). Observe now that  $[x_t < y_t]$  can be computed recursively using the following formula:

$$[x_t < y_t] = [x_t[1] < y_t[1]] \oplus [x_t[1] = y_t[1]] \cdot [x_t[2] \cdots x_t[n] < y_t[2] \cdots y_t[n]]$$

As for any  $j \leq n$ ,  $[x_t[j] < y_t[j]] = (1 \oplus x_t[j])y_t[j]$  and  $[x_t[j] = y_t[j]] = 1 \oplus x_t[j] \oplus y_t[j]$ , recursively applying the above formula gives

$$[x_t < y_t] = \bigoplus_{j \leq n} x_t[j](1 \oplus y_t[j]) \left( \prod_{k=1}^{j-1} (1 \oplus x_t[k] \oplus y_t[k]) \right)$$

Which concludes the proof of the claim. Moreover, each value  $\alpha_{jl}$  sent during the protocol is perfectly masked by  $\rho[f(j, l)]$ , hence all the  $\alpha_{jl}$  are perfectly indistinguishable from uniformly random values, and all the simulated  $\alpha_{jl}$  are uniformly random bits.  $\alpha$  is random in the real protocol, and is masked by the output  $T$  of  $\mathcal{F}_{\text{SC}}$  in the simulated protocol, which is a uniformly random bit by definition of  $\mathcal{F}_{\text{SC}}$ . It is straightforward to see that the semi-honest Bob will indeed obtain the bit  $T$  as output in the simulated protocol. Therefore, the joint view of  $Z$  and  $\text{Adv}$  in the real protocol is perfectly indistinguishable from their joint view in the simulated protocol.  $\square$

#### 4.4 Compression Functionality

We now implement the functionality  $\mathcal{F}_{\text{shrink}}$ , in the  $(\mathcal{F}_{\text{SC-prep}}, \mathcal{F}_{\text{ET}})$ -hybrid model. The protocol is represented on Figure 6.

**Theorem 6.** *The protocol  $\Pi_{\text{SC}}$  securely implements the functionality  $\mathcal{F}_{\text{SC}}$  when calls to  $\mathcal{F}_{\text{shrink}}$  in  $\Pi_{\text{SC}}$  are replaced by executions of  $\Pi_{\text{shrink}}$  in the  $(\mathcal{F}_{\text{ET}}, \mathcal{F}_{\text{SC-prep}})$ -hybrid model, with respect to passive corruption.*

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**Protocol  $\Pi_{\text{shrink}}$**

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Let  $(\ell, \lambda)$  be two integers such that  $\lambda \leq \ell$ . Let  $\mu$  be the smallest integer such that  $\lambda\mu \geq \ell$ . On input  $x$  from Alice and  $y$  from Bob, both of size  $\ell$ -bit,

**Initialize:** The players call  $\mathcal{F}_{\text{SC-prep}}$  on input  $(\text{SR}, \lambda, \mu)$  to get outputs  $(e, \mathbf{s}_0, \mathbf{s}_1, \mathbf{t}_0, \mathbf{t}_1, \mathbf{u})$  for Alice and  $(c, d, \mathbf{s}, \mathbf{t}, \mathbf{u}_0, \mathbf{u}_1)$  for Bob.

**Compression:** Let  $(x_j)_{j \leq \mu} \in \mathbb{Z}_{2^\lambda}$  (resp.  $(y_j)_{j \leq \mu} \in \mathbb{Z}_{2^\lambda}$ ) be the decomposition of  $x$  (resp.  $y$ ) into  $\mu$  blocks of size  $\lambda$  (i.e.,  $x = \sum_{j=1}^{\mu} x_j 2^{\lambda(j-1)}$  and  $y = \sum_{j=1}^{\mu} y_j 2^{\lambda(j-1)}$ ). The players perform the following operations:

1. (Equality Tests.) For  $j = 1$  to  $\mu$ , the players call  $\mathcal{F}_{\text{ET}}$  on inputs  $(\text{ET}, x_j)$  and  $(\text{ET}, y_j)$ . Let  $(\alpha_j, 1 \oplus \beta_j)_{j \leq \mu} \in \mathbb{Z}_2^{2\mu}$  denote their respective outputs (that is,  $(\alpha_j, \beta_j)$  form shares of 0 if  $x_j = y_j$ , and of 1 otherwise). This step allows Alice and Bob to (obviously) identify the blocks of  $x$  and  $y$  which are not equal.
2. (Modulus Change.) Alice picks  $\mathbf{r} \leftarrow_R \mathbb{Z}_{\mu+1}^\mu$ . For  $j = 1$  to  $\mu$ , Bob sends  $d_j \leftarrow \beta_j \oplus c[j]$  to Alice. For  $j = 1$  to  $\mu$ , Alice sends the pair

$$(a_{j,0}, a_{j,1}) \leftarrow (\mathbf{s}_{a_j}[j] + \alpha_j + \mathbf{r}[j], \mathbf{s}_{1-a_j}[j] + (1 - \alpha_j) + \mathbf{r}[j]) \bmod \mu + 1$$

to Bob. For  $j = 1$  to  $\mu$ , Bob computes  $y'_j \leftarrow \sum_{k=1}^j a_{k,c[k]} - \mathbf{s}[k] \bmod \mu + 1$  and Alice computes  $x'_j \leftarrow \sum_{k=1}^j \mathbf{r}[k] \bmod \mu + 1$ . This step converts Alice and Bob's shares of the  $[x_j \neq y_j]$  from shares modulo 2 to shares modulo  $\mu + 1$ , and computes all partial sums (from 1 to  $j$ ) of these shares.

3. (Identifying the First Different Block.) For  $j = 1$  to  $\mu$ , the players call  $\mathcal{F}_{\text{ET}}$  on inputs  $(\text{ET}, x'_j)$  and  $(\text{ET}, y'_j)$ . Let  $(\alpha'_j, 1 \oplus \beta'_j)_{j \leq \mu} \in \mathbb{Z}_2^{2\mu}$  denote their respective outputs (that is,  $(\alpha'_j, \beta'_j)$  form shares of 0 if  $x'_j = y'_j$ , and of 1 otherwise) and  $(\alpha'_0, \beta'_0) \leftarrow (0, 0)$ . For  $j = 1$  to  $\mu$ , Alice sets  $\gamma_j \leftarrow \alpha_{j-1} \oplus \alpha_j$  and Bob sets  $\delta_j \leftarrow \beta_{j-1} \oplus \beta_j$ . The following steps 4 and 5 are executed in parallel:
4. (Selecting the First Different Block – Alice's Step.) Alice picks  $\mathbf{r}_A \leftarrow_R \mathbb{Z}_{2^{\lambda+1}}^\mu$ . For  $j = 1$  to  $\mu$ , Bob sends  $d_j^B \leftarrow \delta_j \oplus d[j]$  to Alice, and Alice sends the pair

$$(a'_{j,0}, a'_{j,1}) \leftarrow (\mathbf{t}_{a_j^B}[j] + \gamma_j x_j + \mathbf{r}_A[j], \mathbf{t}_{1-a_j^B}[j] + (1 - \gamma_j) x_j + \mathbf{r}_A[j]) \bmod 2^{\lambda+1}$$

to Bob. Bob computes  $\hat{y}_B \leftarrow \sum_{j=1}^{\mu} a'_{j,c[j]} - \mathbf{t}[j] \bmod 2^{\lambda+1}$ , and Alice sets  $\hat{y}_A \leftarrow - \sum_{j=1}^{\mu} \mathbf{r}_A[j] \bmod 2^{\lambda+1}$ .

5. (Selecting the First Different Block – Bob's Step.) Bob picks  $\mathbf{r}_B \leftarrow_R \mathbb{Z}_{2^{\lambda+1}}^\mu$ . For  $j = 1$  to  $\mu$ , Alice sends  $d_j^A \leftarrow \gamma_j \oplus e[j]$  to Bob, and Bob sends the pair

$$(b_{j,0}, b_{j,1}) \leftarrow (\mathbf{u}_{d_j^A}[j] + \delta_j y_j + \mathbf{r}_B[j], \mathbf{u}_{1-d_j^A}[j] + (1 - \delta_j) y_j + \mathbf{r}_B[j]) \bmod 2^{\lambda+1}$$

to Alice. Alice computes  $\hat{x}_A \leftarrow \sum_{j=1}^{\mu} b_{j,c[j]} - \mathbf{u}[j] \bmod 2^{\lambda+1}$ , and Bob sets  $\hat{y}_A \leftarrow - \sum_{j=1}^{\mu} \mathbf{r}_B[j] \bmod 2^{\lambda+1}$ .

**Output:** Alice outputs  $(\hat{x}_A, \hat{y}_A)$  and Bob outputs  $(\hat{x}_B, \hat{y}_B)$ .

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Fig. 6: Compression Protocol for Secure Comparison

#### 4.5 Security Analysis of $\Pi_{\text{shrink}}$

The general idea of the protocol is that to compare two strings, it suffices to divide these strings in blocs, and to compare the first block on which they differ. The purpose of the compression step is for the players to obviously select this block. The inputs  $(x, y)$  are first divided into  $\mu$  blocks of size  $\lambda$ . At the end of step 1, the players obtain shares  $(\alpha_j, \beta_j)$  (over  $\mathbb{Z}_2$ ) of all the bits  $[x_j \neq y_j]$ . During step 2, the players compute values  $(x'_j, y'_j)$  whose difference modulo  $\mu + 1$  is  $\sum_{k=1}^j [x_k \neq y_k]$ . This requires to use some preprocessed material. Let  $j^*$  be the first block on which  $x$  differs from  $y$ . Observe that  $\sum_{k=1}^j [x_k \neq y_k] = 0$  for  $j < j^*$ , and  $\sum_{k=1}^j [x_k \neq y_k] > 0$  afterward. The players perform in step 3 equality tests on

the values  $(x'_j, y'_j)$ . Therefore, they obtain shares of the bits  $[x'_i - y'_j = 0]$ . Observe that these bits are 1 for  $j \leq j^*$ , and 0 afterward. From these shares, the players can locally compute shares  $(\gamma_j, \delta_j)$  of bits which are 0 for every  $j \neq j^*$ , and 1 only for  $j = j^*$ , by xoring pairs of consecutive bits. In step 4 and 5, using preprocessed material again, the players compute shares of  $\sum_{j=1}^{\mu} (\gamma_j \oplus \delta_j) x_j = x_{j^*}$  and  $\sum_{j=1}^{\mu} (\gamma_j \oplus \delta_j) y_j = y_{j^*}$ , which correspond to the target outputs.

With the correctness argument in mind, as the protocols  $\Pi_{\text{shrink}}$  and  $\Pi_{\text{SC}}$  satisfy ordered composition with predictable outputs, we can apply the same argument as for  $\mathcal{F}_{\text{ET-prep}}$ , and show that  $\Pi_{\text{SC}}$  UC-securely implements  $\mathcal{F}_{\text{SC}}$  when calls to  $\mathcal{F}_{\text{shrink}}$  are replaced by executions of  $\Pi_{\text{shrink}}$ , by proving that  $\Pi_{\text{shrink}}$  satisfies input-privacy. The simulation is straightforward (as before, we focus on the case of a corrupted Bob): the simulator  $\mathcal{S}_{\text{im}}$  runs local copies of  $\mathcal{F}_{\text{ET}}$  and  $\mathcal{F}_{\text{SC-prep}}$  and perform honestly the initialization phase, storing the outputs. In steps 1 and 3,  $\mathcal{S}_{\text{im}}$  simulates  $\mathcal{F}_{\text{ET}}$  by outputting random values of the appropriate size. In steps 2 and 4,  $\mathcal{S}_{\text{im}}$  sends random pairs  $(a_{j,0}, a_{j,1})$  and  $(a'_{j,0}, a'_{j,1})$  on behalf of Alice; as all element of the pairs in step 2 are either masked by a value  $\mathbf{s}_b[j]$  unknown to Bob (for some bit  $b$ ) or by a random mask  $\mathbf{r}[j]$ , and all element of the pairs in step 4 are either masked by a value  $\mathbf{t}_b[j]$  unknown to Bob (for some bit  $b$ ) or by a random mask  $\mathbf{r}_A[j]$ , this is perfectly indistinguishable from an honest run of the protocol. In step 5,  $\mathcal{S}_{\text{im}}$  sends  $\mu$  random bits on behalf of Alice; as each bit is masked by a random bit  $e[j]$  unknown to Bob in the real protocol, the simulation is again perfect. Therefore, the simulated protocol is perfectly indistinguishable from the real protocol from the viewpoint of any environment corrupting Bob that ignores the output of the protocol, which concludes the proof of input privacy.

#### 4.6 Implementing the Preprocessing Functionality

The implementation of the functionality  $\mathcal{F}_{\text{SC-prep}}$ , in the  $(\mathcal{F}_{\text{OT}}, \mathcal{F}_{\text{ROT}})$ -hybrid model, is represented on Figure 7, and the security claim is given in Theorem 7.. The proof of this theorem is essentially identical to the proof of Theorem 2.

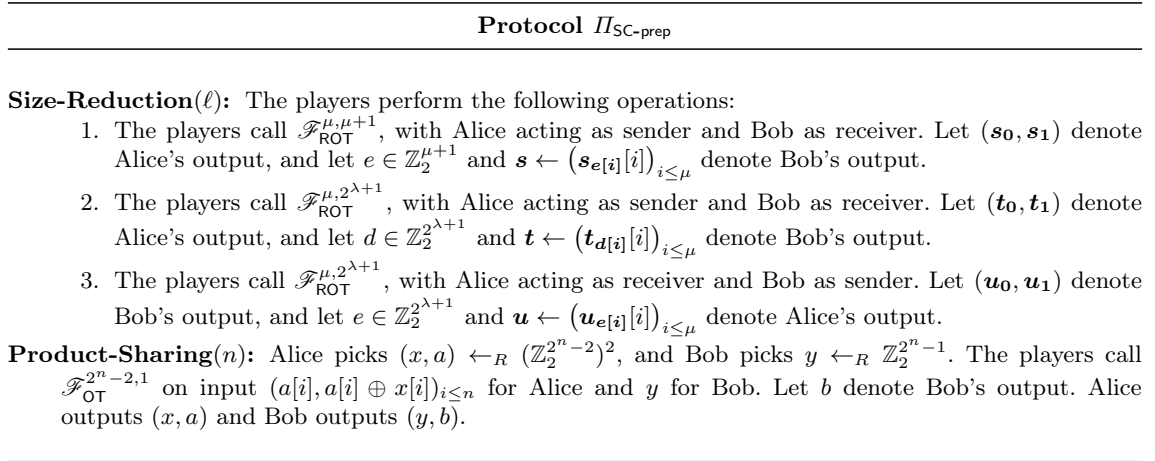


Fig. 7: Preprocessing Protocol for Secure Comparison

**Theorem 7.** *The protocol  $\Pi_{\text{SC}}$  securely implements  $\mathcal{F}_{\text{SC}}$  when calls to  $\mathcal{F}_{\text{SC-prep}}$  in  $\Pi_{\text{SC}}$  are replaced by executions of  $\Pi_{\text{SC-prep}}$  in the  $(\mathcal{F}_{\text{ROT}}, \mathcal{F}_{\text{OT}})$ -hybrid model.*



## 4.7 Efficiency Analysis

We estimate both the asymptotic complexity and the concrete efficiency of our protocols; however, we focus only on the amortized setting here, which is more meaningful in most applications. In all our numerical applications, we set the security parameter  $\kappa$  to 128. We consider two protocols in our estimations: the protocol  $\Pi_{\text{SC}}$  described above, which performs a doubly-logarithmic number of size reduction steps ( $\log \log \ell$ ), and constant-round variant of  $\Pi_{\text{SC}}$  where a constant number  $c$  of size reduction steps are performed, and the final comparison is done with [KSS09].

**Communication Complexity.** For any integer  $t$ , let  $\Pi_{\text{ET}}^t$  be the protocol  $\Pi_{\text{ET}}$  for  $t$ -bit inputs. The full protocol involves  $\mu$  executions of  $\Pi_{\text{ET}}^\lambda$ ,  $\Pi_{\text{ET}}^{\mu+1}$ ,  $\text{OT}_{|\mu+1|}$ ,  $2\mu$  executions of  $\text{OT}_{\lambda+1}$ , and a secure comparison on  $(\lambda + 1)$ -bit inputs. For small values  $\ell(\kappa) = o(\kappa^2)$  of  $\ell$ , this transmits  $O(\frac{\kappa \ell}{\log \kappa})$  bits. Asymptotically, when  $\ell \gg \kappa^2$ , an equality test transmits  $O(\kappa^2 / \log \kappa)$  bits independently of the size of  $\ell$ , as the size of the strings to be compared can be reduced to  $\kappa$  bits while statistically preserving their equality. In the constant-round setting, this gives a  $O(c \cdot \log^* \kappa)$ -round protocol, and in the logarithmic-round setting, setting  $c = O(\log \log \ell / \log \log \kappa)$ , the round complexity becomes  $O(\log \log \ell \cdot \log^* \kappa / \log \log \kappa)$ . This leads to protocols with respective asymptotic communication

$$O\left(c \left(\frac{\ell \log \kappa}{\kappa}\right)^{\frac{1}{c+1}} \frac{\kappa^2}{\log \kappa} + \ell\right) = O(\ell), \quad O\left(\frac{\kappa^2 \log \ell}{\log \kappa \log \log \kappa} + \ell\right) = O(\ell).$$

**Concrete Efficiency.** We now estimate the efficiency of our secure comparison protocol, in an amortized setting (using oblivious transfer extension). We use the equality test of the previous section, with short-string correlated oblivious transfer extension [KK13, ALSZ13]. The results are given in Table 4; they correspond to the results obtained using the optimal block-decomposition of the inputs. The notes in Table 4 indicate the optimal values of  $\lambda_i, \mu_i$  for each value of  $\ell$ .  $\text{SC}_1$  denotes the protocol obtained by recursively applying the reduction protocol, until the inputs are small enough so that the small-string secure comparison protocol becomes efficient. We set the thresholds of both the secure comparison protocol and the equality-tests subprotocol to 4. If one is willing to reduce the round complexity of the protocol at the cost of transmitting more bits, the threshold can be increased.  $\text{SC}_2$  and  $\text{SC}_3$  denote the protocols obtained by performing a single reduction step, then using the garbled circuit approach of [KSS09] for  $\text{SC}_2$ , or the generic approach of [GSV07] for  $\text{SC}_3$ , to complete the protocol. These approaches are interesting only for  $\ell > 16$ , as for  $\ell \leq 32$ , the optimal values for  $\lambda$  are equal to 4 or less, hence applying the small-string equality test directly is more efficient than using garbled circuits or generic 2PC. Note that as for equality tests, the computational complexity of [KSS09, GSV07] and our protocols are proportional to their communication, hence our constructions improve upon these protocols regarding computation by factors similar to those listed in Table 4.

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Table 4: Amortized communication of  $\ell$ -bit SC

$\ell$	SC <sub>1</sub>		SC <sub>2</sub>		SC <sub>3</sub>	
	comm.	rounds	comm.	round	comm.	round
<b>Preprocessing Phase</b>						
4	1544 bits	2 rounds	-	-	-	-
8 <sup>1</sup>	3572 bits	2 rounds	-	-	-	-
16 <sup>2</sup>	8396 bits	2 rounds	-	-	-	-
32 <sup>3</sup>	15120 bits	3 rounds	12568 bits	3 rounds	12394 bits	6 round
64 <sup>4</sup>	31388 bits	3 rounds	28872 bits	3 rounds	28786 bits	7 round
128 <sup>5</sup>	52121 bits	3 rounds	48031 bits	3 rounds	47963 bits	7 round
<b>Online Phase</b>						
4	30 bits	2 rounds	-	-	-	-
8	162 bits	6 rounds	-	-	-	-
16	308 bits	6 rounds	-	-	-	-
32	530 bits	12 rounds	3125 bits	7 rounds	622 bits	9 rounds
64	1120 bits	12 rounds	4138 bits	7 rounds	1286 bits	10 rounds
128	2101 bits	12 rounds	5801 bits	7 rounds	2239 bits	10 rounds
[GSV07]			[KSS09]			
$\ell$	comm.	rounds	comm.	round	comm.	round
<b>Preprocessing Phase</b>						
4		1264 bits	3 rounds		1032 bits	1 round
8 <sup>1</sup>		3002 bits	4 rounds		3088 bits	1 round
16 <sup>2</sup>		6636 bits	5 rounds		6176 bits	1 round
32 <sup>3</sup>		14062 bits	6 rounds		12352 bits	1 round
64 <sup>4</sup>		29072 bits	7 rounds		24704 bits	1 round
128 <sup>5</sup>		59250 bits	8 rounds		49408 bits	1 round
<b>Online Phase</b>						
4		96 bits	3 rounds		1540 bits	2 rounds
8		228 bits	4 rounds		3080 bits	2 rounds
16		504 bits	5 rounds		6160 bits	2 rounds
32		1068 bits	6 rounds		12320 bits	2 rounds
64		2208 bits	7 rounds		24640 bits	2 rounds
128		4500 bits	8 rounds		49280 bits	2 rounds

<sup>1</sup>  $\mu_1 = 4, \lambda_1 = 2$ <sup>2</sup>  $\mu_1 = 6, \lambda_1 = 3$  reduces the input size to  $\ell = 5$ , then  $\mu_2 = 3, \lambda_2 = 2$ <sup>3</sup>  $\mu_1 = 6, \lambda_1 = 6$  reduces the input size to  $\ell = 7$ , then  $\mu_2 = 4, \lambda_2 = 2$ <sup>4</sup>  $\mu_1 = 10, \lambda_1 = 7$  reduces the input size to  $\ell = 8$ , then  $\mu_2 = 4, \lambda_2 = 2$ <sup>5</sup>  $\mu_1 = 15, \lambda_1 = 9$  reduces the input size to  $\ell = 10$ , then  $\mu_2 = 4, \lambda_2 = 2$ 

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## A Batch ET from Additively Homomorphic Encryption

In this section, we present a batch protocol to efficiently perform simultaneous equality tests. Unlike the other protocols of this article, this construction assumes an additively

homomorphic encryption scheme, with a few additional properties. Our protocol share some similarities with the equality test protocol of [GHJR15] (which relies on ciphertext packing to amortize the communication of equality tests), and in fact matches the communication complexity of [GHJR15], which has to our knowledge the best communication complexity among existing works. However, contrary to [GHJR15], we do not need somewhat homomorphic encryption; our protocol can be instantiated with e.g. factorization-based additively homomorphic cryptosystems such as the Paillier scheme [Pai99] or the Damgard-Jurik scheme [DJ01]. For concrete parameters, the amortized communication strongly improves upon every prior ET protocol we know of, including the protocol described Section 3.

## A.1 Encryption Scheme

**Definition 8.** (*Encryption Scheme*) An IND-CPA encryption scheme is a tuple of algorithms  $(\text{Setup}, \text{Enc}, \text{Dec})$  such that:

- $\text{Setup}(1^\kappa)$  outputs a key-pair  $(\mathbf{pk}, \mathbf{sk})$ ;  $\mathbf{pk}$  implicitly defines a plaintext space  $\mathcal{M}$  and a ciphertext space  $\mathcal{C}$ .
- $\text{Enc}(\mathbf{pk}, m)$ , on input  $\mathbf{pk}$  and a plaintext  $m \in \mathcal{M}$ , outputs a ciphertext  $c \in \mathcal{C}$ .
- $\text{Dec}(\mathbf{sk}, c)$ , on input  $\mathbf{sk}$  and a ciphertext  $c \in \mathcal{C}$ , deterministically outputs a plaintext  $m' \in \mathcal{M}$ .

In addition, an IND-CPA encryption scheme satisfies the properties of correctness and IND-CPA security, defined below.

The *correctness* states that decryption is the reverse operation of encryption: for any  $(\mathbf{pk}, \mathbf{sk}) \leftarrow_R \text{Setup}(1^\kappa)$ , for any  $m \in \mathcal{M}$  and any  $c \leftarrow_R \text{Enc}(\mathbf{pk}, m)$ ,  $\text{Dec}(\mathbf{sk}, c) = m$ . The *IND-CPA security* is defined by considering the following game between an adversary and a challenger:

- The challenger picks  $(\mathbf{pk}, \mathbf{sk}) \leftarrow_R \text{Setup}(1^\kappa)$  and sends  $\mathbf{pk}$  to the adversary.
- The adversary sends  $(m_0, m_1) \leftarrow_R \mathcal{M}^2$  to the challenger.
- The challenger picks  $b \leftarrow_R \{0, 1\}$  and sends  $c \leftarrow_R \text{Enc}(\mathbf{pk}, m_b)$  to the challenger.
- The challenger outputs a guess  $b'$  and wins the game if  $b' = b$ .

An encryption scheme is IND-CPA secure if no polynomial-time adversary can win the game with non-negligible advantage over the random guess.

*Additively Homomorphic Encryption Scheme.* An encryption scheme is additively homomorphic if there is a law  $\boxplus : \mathcal{C}^2 \mapsto \mathcal{C}$  such that for any  $(m_0, m_1) \in \mathcal{M}^2$ , for any  $(c_0, c_1) \leftarrow_R (\text{Enc}(\mathbf{pk}, m_0), \text{Enc}(\mathbf{pk}, m_1))$ ,  $\text{Dec}(\mathbf{sk}, c_0 \boxplus c_1) = m_0 + m_1$ . Note that this trivially implies than one can add a constant value to a ciphertext (by first encrypting it and then using  $\boxplus$ ); one can also see that via a square-and-multiply algorithm, given an encryption of some  $m$  and an integer  $\lambda$ , one can compute an encryption of  $\lambda m$ . We will denote  $\bullet$  this external multiplication.

*Randomizable Encryption Scheme.* A randomizable encryption scheme is an encryption scheme with an additional algorithm  $\text{Rand}$  which, on input  $\mathbf{pk}$  and an encryption of some plaintext  $m$ , outputs a ciphertext taken uniformly at random in the distribution  $\{\text{Enc}(\mathbf{pk}, m)\}$  of encryptions of  $m$ .

*Expendable Plaintext Space.* In our protocol, we require the message space to be of the form  $\mathbb{Z}_P$ , for some integer  $P = 2^{\text{poly}(\kappa)}$ . In addition the plaintext space must be *expendable*, in the sense that one can specify a threshold  $T$  when calling  $\text{Setup}(1^\kappa, T)$ , so that the message space  $\mathcal{M} = \mathbb{Z}_P$  it specifies is of size  $P \geq T$ . For example, for the Paillier encryption scheme and its variants, this would simply correspond to taking the modulus bigger than  $T$ .

## A.2 Batch Equality Test

We let  $(\text{Setup}, \text{Enc}, \text{Dec})$  denote a randomizable additively homomorphic encryption scheme with expendable plaintext space. Let  $n$  be the number of equality tests to be performed. As there is no possible confusion, we write  $\text{Enc}(m)$  for  $\text{Enc}(\text{pk}, m)$ .

**Inputs:**  $n$  pairs of  $\ell$ -bit strings  $(x^{(i)}, y^{(i)})_{i \leq n}$ .

**Outputs:**  $n$  bits  $(b_i^A)_{i \leq n}$  for Alice, and  $n$  bits  $(b_i^B)_{i \leq n}$  for Bob, such that for all  $i \leq n$ ,  $b_i^A \oplus b_i^B = [x^{(i)} \leq y^{(i)}]$ .

**Batch reduction:** In this step, Alice and Bob rely on the additively homomorphic encryption scheme to compute shares of the Hamming distances between each  $x^{(i)}, y^{(i)}$ , modulo coprime integers  $p_i$ .

- Let  $(p_0, \dots, p_{n-1})$  be the  $n$  smallest pairwise coprime numbers such that  $p_0 > \ell$ ; let  $M \leftarrow \prod_i p_i$ . Alice calls  $\text{Setup}(1^\kappa, 2^{\kappa+2|M|+2})$  and gets  $(\text{pk}, \text{sk})$ ;  $\text{pk}$  implicitly defines a plaintext space  $\mathbb{Z}_P$  of size  $P \geq 2^{\kappa+2|M|+2}$ . For  $j = 0$  to  $\ell - 1$ , let  $x_j \in \mathbb{Z}_M$  (resp.  $y_j$ ) be the smallest integer satisfying  $x_j = x^{(i)}[j] \bmod p_i$  (resp.  $y_j = y^{(i)}[j] \bmod p_i$ ) for every  $i \leq n - 1$ . Alice sends  $c_j \leftarrow_R \text{Enc}(x_j)$  for  $j = 0$  to  $\ell - 1$  to Bob.
- For  $j = 0$  to  $\ell - 1$ , Bob picks  $r_j \leftarrow_R \mathbb{Z}_{2^{\kappa+2}M^2}$ , computes and sends  $c'_j \leftarrow_R \text{Rand}(\text{pk}, y_j \bullet c_j \boxplus r_j)$  to Alice, who decrypts all the ciphertexts to get some values  $s_j$ .
- For  $j = 0$  to  $\ell - 1$ , Alice sets  $\sigma_j \leftarrow s_j \bmod M$  and Bob sets  $\rho_j \leftarrow r_j \bmod M$ . Note that it holds that for all  $(i, j) \in [n - 1] \times [\ell - 1]$ ,

$$2(\rho_j - \sigma_j) + x^{(i)}[j] + y^{(i)}[j] = x^{(i)}[j] \oplus y^{(i)}[j] \bmod p_i$$

Hence,  $(-2\sigma_j + x^{(i)}[j] \bmod p_i)$  and  $(2\rho_j + y^{(i)}[j] \bmod p_i)$  form shares of the bits of  $x^{(i)} \oplus y^{(i)}$  modulo  $p_i$ .

- Alice computes  $\alpha_i \leftarrow \sum_{j=0}^{\ell-1} -2\sigma_j + x^{(i)}[j] \bmod p_i$  and Bob computes  $\beta_i \leftarrow \sum_{j=0}^{\ell-1} 2\rho_j + y^{(i)}[j] \bmod p_i$ . Note that as  $p_i > \ell$  is greater than the Hamming distance  $H_d$  between  $x^{(i)}$  and  $y^{(i)}$ , it holds that  $\alpha_i + \beta_i = H_d(x^{(i)}, y^{(i)})$ , which is 0 if and only if  $x^{(i)} = y^{(i)}$ . Hence, seeing from now on  $\alpha_i$  and  $\beta_i$  as integers, the problem was reduced to finding whether  $\alpha_i = p_i - \beta_i$ , which are strings of size  $O(\log \ell \log \log \ell)$ .

**Reduced Equality Test:** Alice and Bob perform  $n$  ET with respective input size  $|p_i|$ , on respective inputs  $(\alpha_i, p_i - \beta_i)$ , to get the  $n$  outputs of the protocol.

Note that as for our protocol Section 3, this protocol can be executed on random inputs in a preprocessing phase; the online phase is then essentially the same than our previous ET protocol.

*Intuition of the Protocol.* The protocol exploits the following observation: given an index  $j < \ell$ , computing shares of  $(x^{(i)}[j] \oplus y^{(i)}[j])_{i \leq n}$  (modulo various coprime numbers) can be reduced to performing a single multiplication protocol modulo  $M = \prod_i p_i$ . This protocol is performed over the integers by using an additively homomorphic scheme of sufficiently large plaintext space, the resulting shares masking statistically the result over the integer. The players then get all the shares of the  $(x^{(i)}[j] \oplus y^{(i)}[j])_{i \leq n}$  by reducing there shares modulo

$M$  and using the chinese remainder theorem on these shares. This reduces  $n$  equality tests on  $\ell$ -bit strings to  $n$  equality tests on strings of sizes ranging from  $|p_1 + 1|$  to  $|p_n + 1|$ . As this method does not allow to reduce further the size of the inputs,  $n$  OT-based equality tests are then called in parallel on the reduced inputs.

**Communication.** The batch reduction involves  $2\ell$  ciphertexts, hence a total of  $2\ell|\mathcal{C}|$  bits. Under the extended Riemann hypothesis, the  $n$ th prime number larger than  $\ell$  is of size  $O(\log(\ell + n \log n))$ , hence  $M = O(n \log(\ell + n \log n))$ . Under this assumption, the  $n$  reduced equality tests transmit  $O(n\kappa \log(\ell + n \log n)/\log \kappa)$  bits.

Most additively homomorphic that satisfy our requirements have ciphertexts of size  $O(k + \kappa)$  for  $k$ -bit inputs with large enough  $k$ ; taking this condition in account, the amortized communication becomes  $O(\ell \log \kappa + \kappa)$  bits.

Table 5: Amortized communication of  $\ell$ -bit ET over  $n$  executions

$\ell$	Damgard-Jurik based ET				ET of Section 3	
	$n = 1000$		$n = 100$		length	rounds
	length	rounds	length	rounds		
<b>Preprocessing Phase</b>						
16	3339 bits	4 rounds	3183 bits	4 rounds	2945 bits	4 rounds
32	4199 bits	4 rounds	4568 bits	4 rounds	5212 bits	4 rounds
64	5913 bits	4 rounds	7275 bits	4 rounds	9863 bits	4 rounds
128	9342 bits	4 rounds	12670 bits	4 rounds	20194 bits	4 rounds
<b>Online Phase</b>						
16	96 bits	3 rounds	81 bits	3 rounds	54 bits	3 rounds
32	129 bits	3 rounds	115 bits	3 rounds	88 bits	3 rounds
64	193 bits	3 rounds	181 bits	3 rounds	154 bits	3 rounds
128	321 bits	3 rounds	313 bits	3 rounds	300 bits	3 rounds

**Concrete Efficiency.** We now estimate the concrete efficiency of our protocol, and compare it to our previous solution. We use the Damgard-Jurik generalization [DJ01] of the Paillier encryption scheme, which enjoys better ciphertext over plaintext size ratio as the size of the plaintext space increases. More precisely, the Damgard-Jurik cryptosystem for an RSA modulus  $N$  is parametrized with an integer  $s$ , so that its plaintext space is  $\mathbb{Z}_{N^s}$ , and its ciphertext space is  $\mathbb{Z}_{N^{s+1}}$ . We consider a 2048-bit RSA modulus, as recommended by the NIST standard, and set arbitrarily the number  $n$  of parallel ETs to 100 and 1000 respectively. For the oblivious transfers, we use  $\kappa = 128$ .

For each value of  $\ell$  in the table,  $s$  is taken to be the smallest integer such that  $s \cdot 2048 \geq 2 \log P_n(\ell) + 129$ , where  $P_n(\ell)$  is the product of the smallest  $n$  pairwise coprime numbers, starting with  $\ell + 1$ . Each ciphertext is of size  $(s + 1) \cdot 2048$ . Table 5 indicates the average number of bits transmitted per ET. The actual value of  $\ell$  has very little influence on  $s$ ; in fact,  $s = 12$  is the optimal parameters for all the values of  $\ell$  that we consider (hence the ciphertexts are of size 26624 bits). With those parameters,  $n$  ET on  $\ell$ -bit strings are reduced to  $n$  ET on strings of bit-size 6 to 13 (as all the  $p_i$  are different, the reduction gives different bit-sizes); experimentally, it turns out that this improves over our OT-base ET for  $\ell > 16$ .