

Generic Low-Latency Masking

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Abstract. In this work, we introduce a generalized concept for low-latency masking that is applicable to any implementation and protection order, and (in its extremest form) does not require on-the-fly randomness. The main idea of our approach is to avoid collisions of shared variables in nonlinear circuit parts and to skip the share compression. We show the feasibility of our approach on a full implementation of a one round unrolled ASCON variant and an AES S-box case study. We discuss possible trade-offs to make our approach interesting for practical implementations. As a result we obtain a first-order masked AES S-box that is calculated in a single clock cycle with rather high implementation costs (17.8 kGE), and a two-cycle variant requiring only 6.7 kGE. The side-channel resistance of our ASCON S-box designs up to order three are then verified using the formal analysis tool of [6]. Furthermore, we introduce a taint checking based verification approach that works specifically for our low-latency approach and allows us to verify large circuits like our low-latency AES S-box design in reasonable time.

Keywords: masking, low latency, AES, hardware security, threshold implementations, domain-oriented masking

1 Introduction

Boolean masking is one of the most popular and well studied countermeasures against side-channel analysis attacks like differential power analysis [16] or electromagnetic emanation analysis [20]. The protection against these kinds of attacks, however, does not come for free. Masking hardware implementations requires additional circuitry which is partially spent on masking the actual implementation but also for producing randomness to perform resharing and secure compression in nonlinear parts of the circuit. A lot of research over the last years has thus focused on reducing the hardware overhead. Either by making the masking itself more area efficient but also by reducing the amount of required online randomness [3–5, 12, 13, 21]. Reducing the amount of randomness seems to go hand in hand with the need for a better control over the glitching behavior of circuits [2, 12] which requires more registers and thus naturally introduces more latency.

In practice there exist many applications in which a short response time of the system as well as protection against side-channel analysis is indispensable. The research in the area of masking over the last years, however, has only marginally addressed low latency as a design goal. Most recently, Arribas *et al.* [1] introduced a first-order protected and two-round unrolled threshold implementation of Keccak and Ghoshal *et al.* [10] introduced different variants of a first-order protected Boyar-Peralta AES S-box which requires between 3 and 4 cycles per S-box calculation and is thus the construction with least latency in the literature. Other existing works either require more cycles or have higher randomness requirements [12, 13, 22]. To the best of our knowledge, there exists no masked implementation of the AES S-box that requires less than three cycles up to now and no generic scheme that covers protection for low-latency applications.

Our contribution. In this paper, we give answers to the intriguing question how can we trade-off randomness usage and chip area against less latency in hardware. For this purpose, we first introduce a generalized concept for low-latency masking that builds on the domain-oriented masking scheme [13]. The approach is applicable to all security sensitive circuits and is generic in terms of protection order. We then show the feasibility of our idea by applying the concept to a one round unrolled masked implementation of the authenticated encryption scheme ASCON, and analyze the overhead over existing generically protected designs in terms of latency, chip area, and randomness consumption. Since ASCON was especially designed for efficient protection against side-channel attacks, we then target the AES S-box which has a higher algebraic degree and has proven to be a meaningful benchmark for the efficiency of masking algorithms.

We show three different designs of a masked AES S-box. The first S-box is purely combinatorial and thus cannot only be evaluated in one clock cycle, but even additional linear operations at the outputs are possible within the same clock cycle. The first-order masked S-box variant requires around 17.8 kGE and requires no additional online randomness (even for higher orders). The output shares, however, are increased from $d+1$ shares (for the S-box inputs) to $2(d+1)^7$. The second design keeps the number of shares at $d+1$ by performing a share compression in the middle of the S-box and at the outputs. This variant has lower chip area (6.7 kGE) requirements but requires 416 bits of randomness per S-box calculation to keep $d+1$ shares. Both designs can be generically scaled to the desired protection order. Finally, the security of our approach is first discussed and then analyzed using the formal analysis tool of [6] and a taint checking approach specifically designed for our low-latency approach.

In Section 2, we first give an introduction to Boolean masking and the domain-oriented masking scheme which we use as the basis of our approach. Our low-latency approach is then presented in Section 3 in which we show that masking not necessarily introduces latency or requires online randomness. In Section 4, we apply this approach to the ASCON S-box and discuss some potential pitfalls. We use this S-box construction to implement a round unrolled implementation of ASCON with generic protection and compare it to existing

implementations. A single cycle AES S-box is then introduced in Section 6 and trade-offs are discussed which lead to a two cycle AES S-box with less hardware requirements. The analysis of the side-channel resistance of our implementations is performed in Section 8 before we draw conclusions in Section 9.

2 Introduction to Masking and Methodology

In general, masking works by disguising side-channel information of sensitive variables and intermediates by randomizing their representation at runtime. By randomizing the representation of variables, the side-channel information produced during the computation on these variables is made independent from the underlying data up to a certain degree. In the following, we use a sharing based masking notation in which the information is assumed to be split into a number of randomly created and uniformly distributed shares representing *e.g.* the underlying variable x .

For a Boolean masking with $d+1$ fresh random shares, where d is the so-called protection order, we can represent a masked variable x as the sum over its shares x_i so that at all times $x = \sum_{i=0}^d x_i$ is fulfilled. The sharing is performed in such a way that only the combined information on all shares leak any information on x . All operations are then performed on the shares x_i in such a way that at no time any intermediate result is statistically dependent on more than one share of x to guarantee security in the so-called probing model of Ishai *et al.* [14].

Probing model. The probing model introduced by Ishai, Sahai and Wagner is the de facto standard model in which the side-channel resistance of a Boolean masked circuit is analyzed. Informally speaking, a circuit is said to be d^{th} -order secure in the probing model, if an attacker with the ability to place up to d probing needles on to any wire or gate of a circuit (to continuously record the signal transitions over time) is not able to combine the recorded information to reveal any (unshared) critical information.

For example, the sharing of the variable x on its own, which consists $d + 1$ shares, is by definition d^{th} -order secure in the probing model because it would require more than d needles to collect all $d + 1$ shares. The inherent goal of a masked circuit is to keep this independence throughout the entire circuit. While keeping this independence is trivial for linear operations, for which operations can be performed on all shares separately, nonlinear operations usually require more attention. To manage the rather complicated handling of nonlinear operations, different masking schemes have been introduced over the years that help in designing secure circuits. For the rest of the paper we consider and extend the ideas behind the so-called domain-oriented masking (DOM) scheme [13].

Domain-Oriented Masking. The basic idea of domain-oriented masking is based on the assumption that any circuit which can be separated (shared) into $d + 1$ completely independent circuits is trivially secure in the probing model if each of these circuits uses at most one share per security critical variable. To

achieve this separation each circuit is therefore associated with a certain share index which is called the domain. We use the term domain and the associated subcircuit interchangeably. For example the first domain is associated with the share index 0 and uses only shares of security critical variables with this index (e.g. x_0, y_0).

While this domain separation approach works for linear operations in a straightforward manner, nonlinear operations require the communication across domain borders. For example, the nonlinear calculation of the finite field multiplication $x \cdot y$ in shared form (assuming $d + 1$ shares per variable), requires to calculate $\sum_{i=0}^d \sum_{j=0}^d x_i \cdot y_j$ with respect to the probing model. In DOM this is solved by using a DOM multiplier that follows the domain separation. A first order variant ($d = 1$) is shown in Figure 1.

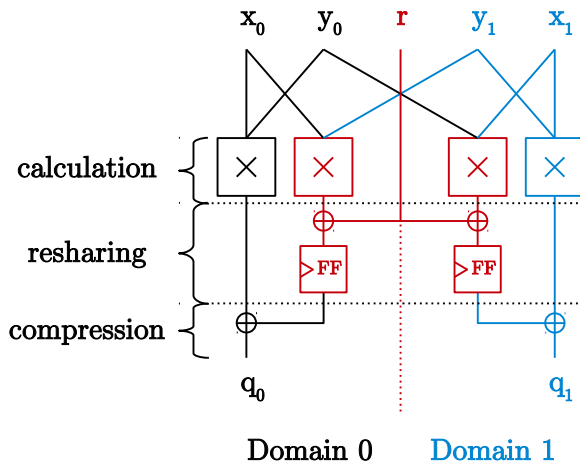


Fig. 1. First order DOM multiplier for independently shared inputs

According to the DOM scheme the circuit is split (vertically) into the domain 0 and domain 1 which are colored black and blue, respectively. Horizontally the circuit is split into three steps called calculation, resharing, and compression. During the calculation step, the so-called inner-domain multiplication terms, which use only shares with the same share index ($x_0 \cdot y_0$ and $x_1 \cdot y_1$), do not violate the domain separation and can thus securely be used in their respective domains. The red parts represent the so-called cross-domain terms ($x_0 \cdot y_1$ and $x_1 \cdot y_0$). These terms mix different domains and are potentially insecure due to what we refer to as variable collisions and look at more deeply in Section 3. The potential flaw can be easily observed if we assume the calculation of $x \cdot x$ with the same DOM multiplier circuit and the same sharings for both operands. We denote that the nonlinear combination of the same variable (a variable collision)

is not necessarily intended by the designer of the circuit and can happen due to temporary logic states of gates so-called glitches.

Both cross-domain terms of the DOM multiplier would then calculate $x_0 \cdot x_1$ which violates the probing model by combining all shares of x . If the dependency is only temporary, register can be used in front of the multiplier to hinder the propagation of the glitches, otherwise if the dependency is permanent, a resharing needs to be performed. For the remainder of this section, we assume that we have an independent sharing for the inputs of the multiplier. The resulting cross-domain terms are thus inherently secure in the probing model.

Due to efficiency reasons (to save registers and logic gates), a compression of the multiplication terms is usually performed also in other existing masked multiplication algorithms that operate on $d + 1$ input shares. This compression step is also performed in the depicted DOM multiplier by summing up the multiplication terms on the output. The result q is then again shared with only $d + 1$ shares. To allow the secure summing of the multiplication terms, a resharing step is performed by adding fresh randomness r to the two cross-domain terms. The subsequent use of a register ensures that the resharing is active before the terms are compressed on the output of the multiplier and suppresses the propagation of glitches. This resharing allows to securely integrate the cross-domain terms without violating the domain separation requirement of DOM.

The introduced multiplier as well as the domain separation can be generically extended to any desired protection order. For more details we refer the interested reader to [13]. In the next section, we extend the idea of domain separation and leverage it to reduce the latency in masked circuits.

3 Our Low-Latency Approach

As denoted in Section 2, the causes which hinder the calculation of a DOM masked circuit in less clock cycles are: 1) the compression to $d + 1$ shares after nonlinear operations (like the DOM multiplier in Figure 1) which require registers for the resharing of the cross-domain terms, and 2) the temporary or permanent dependencies (variable collisions) at the inputs of a nonlinear circuit parts. Our low-latency approach thus works by skipping the share compression and avoiding variable collisions at the input of nonlinear functions.

Compression skipping. Our main observation is that the resharing and compression to $d + 1$ shares (and therefore also the randomness and additional circuitry) is not a necessity from the probing model itself. It is solely performed for practical reasons and to some extent to make the result independent from the shared operands without having an explicit mask refreshing. We extend the domain separation requirement of the DOM approach insofar that we still constraint each domain to use at most one share per variable but with the addition to allow domains with mixed share indices.

For example, the shared multiplication $q = x \cdot y$ in Figure 1 without a subsequent resharing and compression step thus results in four share domains for

the result variable q (Figure 2). Each domain contains only one multiplication term from the calculation step. Any subsequent linear operations on the shares of q that only involve shares that are already used in the respective domain can be performed without violating the probing model. If q is multiplied by another variable, e.g. z with $d + 1$ shares, the number of shares and domains grow to $(d+1)^3$ and so on. To keep this exponential blowup of shares and domains within reasonable bounds, the number of consecutive nonlinear operations needs to be minimized, or otherwise at some point a secure share compression needs to be performed if the blowup becomes unbearable.

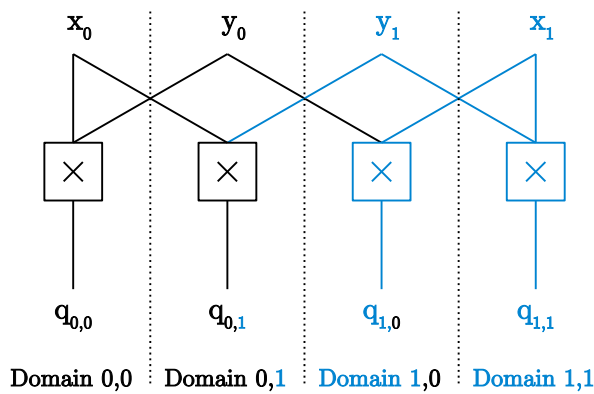


Fig. 2. First order low-latency multiplication with compression skipping, resulting in four domains

The security in the probing model for the compression skipping approach is given because any masked circuit that can be divided into at least $d + 1$ independent subcircuits (without any wires to the other subcircuits), where each subcircuit uses at most one of the $d + 1$ input shares from each variable, requires at least $d + 1$ probes to combine all shares of one variable.

Avoiding variable collisions. The up to now tacit assumption that allows for the nonlinear combination of shares without compression and mask refreshing is that all operands have independent sharings. Meaning that it is relatively straightforward to apply this approach to a masked circuit that calculates $x \cdot y \cdot z$ if all involved shares are produced using independent and fresh randomness. The calculation of $(x \cdot y) \cdot x$, on the other hand, requires more attention (see Figure 3, left). One of the resulting multiplication terms would be $x_0 \cdot y_0 \cdot x_1$ ($q_{0,0,1}$) which brings two shares of x together and thus violates the domain separation requirement. This circumstance is indicated in Figure 3 by the different coloring of the shares for x which when combined result in an insecure sharing (colored red). One approach to circumvent the violation of the probing model, that is for example used by the threshold implementations scheme, is to use more than

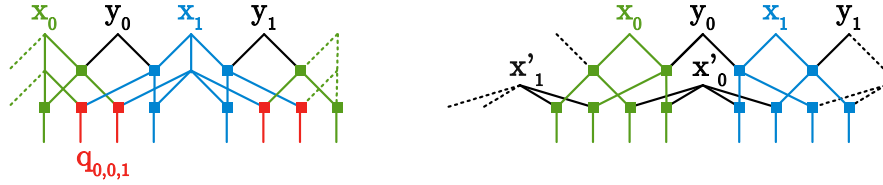


Fig. 3. Example for an insecure first-order masked circuit calculating $(x \cdot y) \cdot x$ (left), and a secure circuit $(x \cdot y) \cdot x'$ (right). The shares of x are colored green (x_0) and blue (x_1) for clarity reasons.

$d+1$ shares and to ensure that in the worst case the probing attacker gets access to at most d shares when using up to d probing needles. Efficient sharings that fulfill the properties required by the TI [19] scheme (correctness, independence, and uniformity) at the same time are, however, not trivial to find.

Instead of increasing the share count per variable, we propose the duplication of colliding variables (and gates) by using multiple shared instances of the same variable with independent sharings. Instead of calculating $(x \cdot y) \cdot x$ we thus calculate the equivalent $(x \cdot y) \cdot x'$ where $x = \sum_{i=0}^d x_i = \sum_{i=0}^d x'_i$, and all involved shares are picked independently and uniformly at random. As Figure 3 (right) shows, the mixing of the shares of x is circumvented this way. While this seems on first sight as if we are using a sledgehammer to crack a nut, it has the same randomness costs for sharing a variable than *e.g.* a first-order ($d = 1$) TI with three shares and does not require additional (online) randomness. We would thus share x into $x_0 = x \oplus r_0$ and $x_1 = r_0$, and x' into $x'_0 = x \oplus r_1$ and $x'_1 = r_1$. The probing security for this simple example can be easily observed by writing down all resulting shares $q_{i,j,k} = x_i y_j x'_k$. Since none of the shares of q contain two shares of the same variable, the sharing is secure.

More generally, the probing security of any circuit with $d+1$ input shares and protection order d is given, if at no point in the circuit there exists a path from one share of a variable to another share of the same variable (assuming that all variables are independently shared). We will also use this circumstance for the taint checking based verification in Section 8 which allows us to perform a fast verification of the probing security of a circuit.

Resolving collisions caused by gates. When looking at complex circuits then the collisions can no longer entirely be resolved by duplication of the input variables. For the purpose of illustration, we consider a purely combinatorial unmasked circuit (Figure 4). We note that collisions that would be caused when a circuit is masked using the DOM scheme are already evident in the unshared circuit. The first collision in this circuit (1) is caused because there exists a path (over other gates) from one input of the circuit to both inputs of a nonlinear gate. Because this collision is directly caused by the circuit input i_3 , we could simply duplicate the input that causes the collision as before and connect the

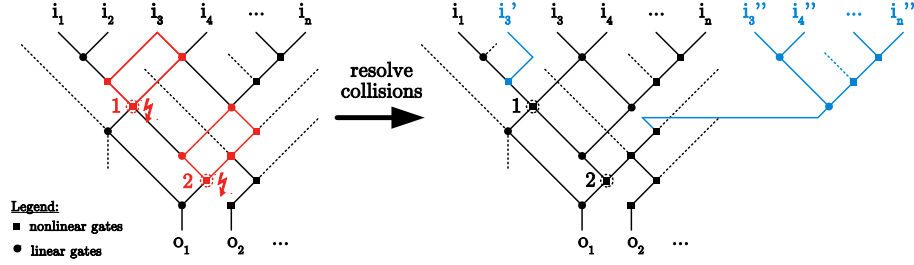


Fig. 4. Example for collisions directly caused by inputs (1) and collisions caused by gates (2), collisions (left) and resolved collisions (right).

copy (i'_3) accordingly (Figure 4, right). The second collision is caused by a gate (2) that has a path to both inputs of a nonlinear gate. In this case, simply duplicating the inputs would not be enough to avoid this collision. Instead the gate that causes the collision needs to be duplicated including its entire fan-in circuitry and their inputs. The output of the duplicated circuit then needs to be used in one path instead of the output wire of the gate that caused the collision.

In the next sections we demonstrate the suitability of our low-latency masking approach on practical examples and discuss trade-offs and possible pitfalls.

4 A Low-Latency Ascon S-box

As a first prove of concept we introduce a masked ASCON S-box that evaluates in a single clock cycle while existing $d + 1$ share implementations [12] require at least three clock cycles. The S-box is equivalent to the Keccak S-box except for an affine transformation on the input that produces variable collisions which makes it a viable first practical example for our approach. We first transform the unshared S-box circuit to free the circuit from variable collisions, and then share the S-box according to our low-latency approach.

Collision-free S-box. The structure of the S-box is depicted in Figure 4, which corresponds to Equation 1.

$$\begin{aligned}
 a'' &= (a \oplus e) \oplus \underline{(\neg b \wedge (b \oplus c))} \oplus (d \oplus e) \oplus (\neg(a \oplus e) \wedge b) \\
 b'' &= b \oplus (\neg(b \oplus c) \wedge d) \oplus (a \oplus e) \oplus \underline{(\neg b \wedge (b \oplus c))} \\
 c'' &= \neg \left((b \oplus c) \oplus \underline{(\neg d \wedge (d \oplus e))} \right) \\
 d'' &= d \oplus \underline{(\neg(d \oplus e) \wedge (a \oplus e))} \oplus (b \oplus c) \oplus \underline{(\neg d \wedge (d \oplus e))} \\
 e'' &= (d \oplus e) \oplus (\neg(a \oplus e) \wedge b)
 \end{aligned} \tag{1}$$

By looking at the equations one can observe that there is a variable collision in the AND gates in five cases (underlined parts in Equation 1, cf. Figure 3).

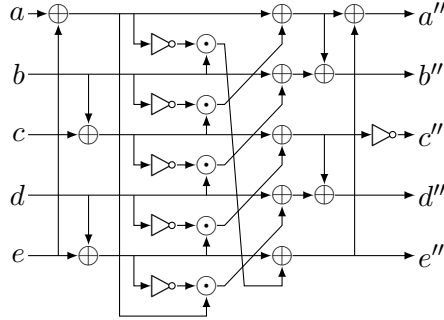


Fig. 5. ASCON's original S-box, with collisions in a to d

These are the nonlinear gates that would produce a violation in the probing model due to glitches in case we would share the S-box (see Section 3). For example $(\neg b \wedge (b \oplus c))$ in a'' combines the variable b with itself in an AND gate which would combine shares with different share index (e.g. b_0b_1) when the S-box is shared and thus create a violation.

To avoid collisions in the AND gates we provide duplicate the signals b , d , and e (b' , d' , and e') and replace one of the operands of the AND gates accordingly as shown in Equation 2.

$$\begin{aligned}
 a'' &= (a \oplus e) \oplus (\neg b' \wedge (b \oplus c)) \oplus (d \oplus e) \oplus (\neg (a \oplus e) \wedge b) \\
 b'' &= b \oplus (\neg (b \oplus c) \wedge d) \oplus (a \oplus e) \oplus (\neg b' \wedge (b \oplus c)) \\
 c'' &= \neg((b \oplus c) \oplus (\neg d' \wedge (d \oplus e))) \\
 d'' &= d \oplus (\neg (d \oplus e) \wedge (a \oplus e')) \oplus (b \oplus c) \oplus (\neg d' \wedge (d \oplus e)) \\
 e'' &= (d \oplus e) \oplus (\neg (a \oplus e) \wedge b)
 \end{aligned} \tag{2}$$

Sharing of the S-box. Since the S-box description is now free from any variable collisions, the S-box can now safely be shared following our low-latency approach. We assume that each of the five inputs and the two copies are shared using $d+1$ shares. Because there is a single layer of AND gates the shares the shares the domains for each of the outputs grow from $d+1$ to $(d+1)^2$, and we use two indices (i and j) to denote the according output share.

$$\begin{aligned}
a''_{i,j} &= \begin{cases} (a_i \oplus e_i) \oplus (\neg^i b'_i \wedge (b_i \oplus c_i)) \oplus (d_i \oplus e_i) \oplus (\neg^i (a_i \oplus e_i) \wedge b_i), & \text{if } i = j. \\ (\neg^i b'_i \wedge (b_j \oplus c_j)) \oplus (\neg^i (a_i \oplus e_i) \wedge b_j), & \text{otherwise.} \end{cases} \\
b''_{i,j} &= \begin{cases} b_i \oplus (\neg^i (b_i \oplus c_i) \wedge d_i) \oplus (a_i \oplus e_i) \oplus (\neg^i b'_i \wedge (b_i \oplus c_i)), & \text{if } i = j. \\ (\neg^i (b_i \oplus c_i) \wedge d_j) \oplus (\neg^j b'_j \wedge (b_i \oplus c_i)), & \text{otherwise.} \end{cases} \\
c''_{i,j} &= \begin{cases} \neg^i ((b_i \oplus c_i) \oplus (\neg^i d'_i \wedge (d_i \oplus e_i))), & \text{if } i = j. \\ (\neg^i d'_i \wedge (d_j \oplus e_j)), & \text{otherwise.} \end{cases} \\
d''_{i,j} &= \begin{cases} d_i \oplus (\neg^i (d_i \oplus e_i) \wedge (a_i \oplus e'_i)) \oplus (b_i \oplus c_i) \oplus (\neg^i d'_i \wedge (d_i \oplus e_i)), & \text{if } i = j. \\ (\neg^i (d_i \oplus e_i) \wedge (a_j \oplus e'_j)) \oplus (\neg^j d'_j \wedge (d_i \oplus e_i)), & \text{otherwise.} \end{cases} \\
e''_{i,j} &= \begin{cases} (d_i \oplus e_i) \oplus (\neg^i (a_i \oplus e_i) \wedge b_i), & \text{if } i = j. \\ (\neg^i (a_i \oplus e_i) \wedge b_j), & \text{otherwise.} \end{cases}
\end{aligned} \tag{3}$$

For each output variable we consider two cases:

1) The case $i = j$ covers the inner-domain terms where only variables with same share index appear. To ensure correctness of the sharing the negation *e.g.* \neg^i is only effective if the corresponding variable in the superscript is equal to zero such that only the first share of one variable is inverted.

2) For the remaining case we need to be more careful to fulfill the domain separation requirement. By the duplication of the according inputs we ensured that there are no two paths for any of the input variables that are combined in a nonlinear AND gate, which would result in a flaw that could not be avoided in this case. However, for linear gates we still need to ensure that we do not combine shares with different share index from the same variable in the same domain (domain separation requirement). For example $(b'_i \wedge (b_j \oplus c_j)) \oplus ((a_i \oplus e_i) \wedge b_j)$ in a'' would produce a flaw in case we would switch the share index variable of one of the b variables (i to j) in this equation so that we have $(\dots (b_i \dots)) \oplus ((\dots b_j))$. For this reason we also need to set the indices in b'' and d'' for the last AND gate terms accordingly.

The correctness of the sharing is given by the fact that the sums over i and j over each output variable result in Equations 1 when b' is set to b , d' is set to d , and e' is set to e . The security is given by the fact that we do not have any domain crossings (as verified in Section 8), which also needs to be ensured for the remaining circuitry in the ASCON circuit that we introduce in the next section.

5 A Low-Latency Variant of Ascon

In this section we integrate the low-latency S-box design into a round unrolled variant of ASCON-128. The sponge mode for the data encryption and authentication is depicted in Figure 7, in which the round transformation p is performed

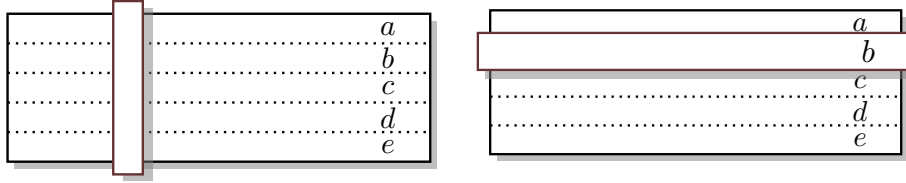


Fig. 6. Column wise application of ASCON’s S-box to the state (left) and row wise for the linear layer (right)

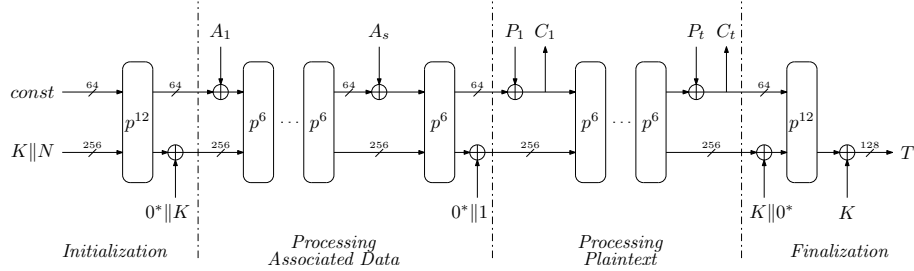


Fig. 7. Data encryption and authentication with ASCON

iteratively either twelve (p^{12}) or six (p^6) times on the state in each round. One round transformation consists of three parts $p = p_L \circ p_S \circ p_C$. The linear round constant addition p_C followed by the S-box layer p_S (Figure 6, left) and the linear transformation layer p_L (Figure 6, right). Since the S-box layer in ASCON is only preceded by linear addition of key or data, and only followed by the linear transformation layer (which both can be securely realized by only operating on each share separately), the shared S-box description from the last section can now be used to implement a full transformation round of ASCON without any registers in between.

For sake of completeness, we remark that the combination of the shares created by the shared S-box in Equation 3, *e.g.* of a'' and b'' , would not be secure because different share indices are used for some variables (the term $b_j \oplus c_j$ in a'' collides with the term $b_i \oplus c_i$ in b''). However, this is not an issue for the one round unrolled ASCON variant because the S-box is calculated column wise over the state (as shown in Figure 6, left) and is only followed by a linear transformation that operates inside one state row (right). Independence of the cipher rounds is ensured by a resharing after each round transformation.

Design description. Figure 8 depicts our top module of the ASCON core. The structure is based on the one used in [12] for which the sources are available online [11]. The majority of changes are done in the state module (right). The round transformation is no longer distributed over (at least) three clock cycles but is performed in a single step. Because of the S-box layer the amount of shares increases from $d+1$ to $(d+1)^2$ for the linear layer which is followed by a remasking

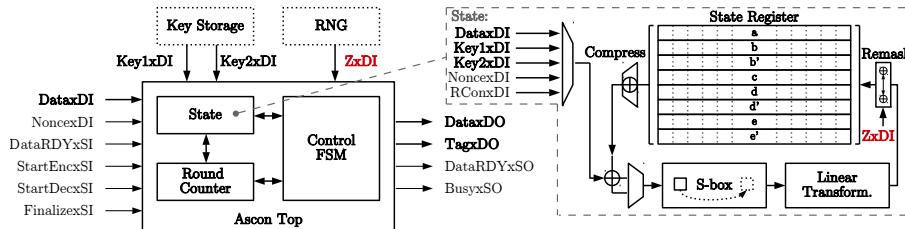


Fig. 8. Hardware design overview of ASCON

according to the CMS scheme of Reparaz *et al.* [21]. The CMS remasking requires one fresh random bit per share which amounts to $8 \cdot 64 \cdot (d+1)^2$ bits in total for our design. Before the compression to $d+1$ terms can be performed, the $(d+1)^2$ refreshed shares are stored in the state registers which includes copies needed for the S-box layer in the next round transformation. The number of state registers is therefore increased from $5 \cdot 64 \cdot (d+1)$ to $8 \cdot 64 \cdot (d+1)^2$ compared to [12] which is partially compensated by the registers which are not required for the S-box layer.

Another change affects the key storage which now needs to supply an additional copy of the key since the key is combined with the state during the initialization and the finalization (see Figure 7) and is used in parts of the state that need to be copied for the secure S-box transformation.

Results and comparison. The post-synthesis results for a 90 nm Low-K UMC process with 1 V supply and a 20 MHz clock synthesized with the Cadence Encounter RTL compiler v14.20 of the low-latency designs are given in Table 1. The design is generic in terms of protection order (d), but since the number of registers grows quadratically with the protection order, we only considered results up to order five. For all protection orders only six cycles per encryption or decryption are required which is three to seven times less than the (64 parallel S-boxes) DOM and UMA designs in [12]. Unrolling one round produces much more combinatorial delay which results in a lowered maximum clock frequency. Nevertheless, also the throughput is in all cases increased over related work. While for first-order the throughput is only slightly increased, the difference becomes much more significant for order five for which the throughput is almost doubled over the DOM design and 3.5 times higher than for the UMA design. The price for the reduced latency is an increased chip area (about 15 kGE overhead for the first-order variant, and double the amount of area over DOM for order five), and an increased randomness consumption which is between 5.2 (UMA, order five) and 6.4 (DOM/UMA, first order) higher.

Discussion. We admit that the randomness requirements for the higher-order variants become very high but we denote two things:

1) Our low-latency approach offers a design choice that a designer of a masked circuit can use to trade-off area and randomness against less latency. We used one extreme corner case to demonstrate the feasibility of the approach by targeting one cycle per round transformation. A designer of course could also target a two-cycle variant by using the resharing *e.g.* after the S-box or by inserting registers after the affine transformation in the S-box to save randomness and area. We discuss this in more detail for the AES S-box in the next section.

2) The CMS resharing function is probably not the ideal choice. A DOM resharing, for example, could possibly reduce the randomness amount, *e.g.* for first order by a factor of 4 which would reduce the randomness to 512 bits per cycle. On the other hand, using the DOM resharing would require a deeper analysis of the design over at least two rounds, while the CMS resharing separates the rounds by resharing all bits of the state before the next round starts. Therefore, we made the choice to use the CMS resharing at this point and denote the use of a more efficient resharing function as one interesting practical extension of our work.

Table 1. Results for ASCON-128 with once cycle per round (64 S-boxes)

Design	Size [kGE]	Cycles [Cycles/Round]	Max. Throughput [Gb/s]	Randomness [bits/cycle]
1 st -order	42.75	1	2.77	2,048
2 nd -order	90.94	1	3.35	4,608
3 rd -order	153.91	1	3.34	8,192
4 th -order	238.30	1	2.59	12,800
5 th -order	339.82	1	2.99	18,432
Related work				
1 st -order UMA[12]	27.18	3	2.25	320
1 st -order DOM[12]	28.89	3	2.25	320
5 th -order DOM[12]	161.87	3	1.86	4,800
5 th -order UMA[12]	220.01	7	0.85	3,520

6 A Low-Latency Masked AES S-box

The efficient (masked) implementation of the AES S-box has proven to be a difficult practical problem and a huge variety of works exist on S-box constructions. Most of the recent works on masked AES implementations use the S-box design of David Canright [8] as basis. The original design goal of Canright’s S-box design is low chip area for an unmasked implementation which not automatically results in the lowest area costs for a side-channel protected implementation. For our low-latency approach the maximum logic depth and in particular the non-linear gate depth (number of AND gates or GF multipliers in the logic path)

seems to be the natural major design criterion because at each nonlinear gate the number of shares is increased. The S-box design of Boyar and Peralta [7] addresses low logic depth which results in a total logic depth of 16 and a nonlinear gate depth of 4. This design has most recently been used in another work on low-latency masking by Ghoshal *et al.* [10] which has three to four cycles latency. Canright’s S-box on the other hand has a logic depth of 25 to 27, and a linear gate depth of 4 (in the variant as it is used by most masked implementations). Another important aspect that needs to be taken into account for our approach is the number of bit collisions because this determines the number of input copies we need to provide to guarantee collision freeness.

Choosing the most promising S-box design. Because analyzing a circuit with respect to its collision behavior is a task that is rather time consuming we developed a tool that simply traces all inputs and gate outputs through a given circuit and checks for conflicts. We analyzed the Canright S-box (original design), the Boyar-Peralta S-box and the design of Edwin NC Mui [18]. As it shows, even given that fact that the Boyar-Peralta S-box was designed for low circuit depth it has lots of gate dependencies which require lots of sub-circuit copies and input copies. Furthermore, the Canright and the Mui S-box designs do not break down the complete design of the AES S-box to single gates but consist of larger self-contained structures like Galois field multipliers which can be shared more efficiently than by sharing each AND gate separately. The circuit which showed the least dependencies is the design of Mui for which we then chose to take it as basis for our design. However, we note that we do not consider this choice of the S-box or our low-latency implementations of it to be optimal.

6.1 “Zero Latency” AES S-box

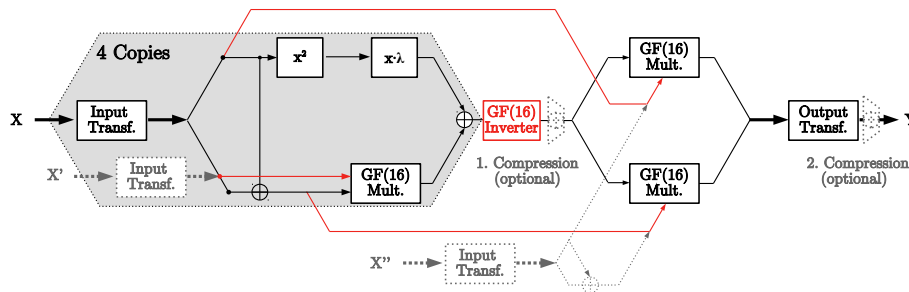


Fig. 9. Mui S-box design (black and red parts are from the original design), gray dotted paths and elements replace the red paths to which they are connected in the collision-free design

The design of Mui is depicted in Figure 9. The black and red (security critical) paths correspond to the original design by Edwin NC Mui. The gray dotted

circuits elements are used for the collision-free S-box design and replace the red paths. For the design of the S-box without collisions we took an iterative approach for which we implemented the circuit from the inputs onwards to the next nonlinear part of the circuit and checked for collisions. We thus also split the explanation into three parts.

S-box inputs to inverter. After the input transformation that maps the S-box input x , which is interpreted as a polynomial in $GF(256)$, to two elements in $GF(16)$ the transformed input is split into two halves. The two halves are nonlinearly combined in the $GF(16)$ multiplier. Since the linear input mapping and the XOR in front of the first GF multiplier mixes a lot of the input bits (cf. [18] for details), it requires to duplicate all bits of x (x') except for one ($x'_5 = x_5$) and the circuitry that causes the flaw (the grayed and dotted input mapping). Otherwise an input collision would be caused in the multiplier as indicated by the red wire. For the shared S-box variant the number of shares is increased from $d+1$ to $(d+1)^2$ after the multiplier and the linearly transformed parts (x^2 and $x\lambda$) are added with respect to their share domain.

$GF(16)$ inverter. In Mui's S-box design the $GF(16)$ inverter is given as Boolean equation instead of finite field arithmetic as *e.g.* in Canrights S-box. The mathematical description is stated in Equation 4. The inversion in $GF(16)$ results in collisions for all S-box input bits which requires to separate the calculation of all input bits of the inversion by copying the fan-in circuit (dotted gray hexagon, "4 Copies") four times including the changes as described above. Up to this point the S-box circuit requires in total four full copies of the input x and four partial copies (x' , each bit except for x_5) to avoid collisions.

$$\begin{aligned}
 a' &= a \oplus abc \oplus ad \oplus b \\
 b' &= abc \oplus abd \oplus ad \oplus b \oplus bc \\
 c' &= a \oplus abc \oplus acd \oplus b \oplus bd \oplus c \\
 d' &= \underline{abc} \oplus \underline{abd} \oplus ac \oplus \underline{acd} \oplus ad \oplus b \oplus bc \oplus bcd \oplus c \oplus d
 \end{aligned}
 \tag{4}$$

Different from the ASCON S-box example the equations for the inverter are free from any internal collisions of the inverter inputs (there is no path from one input variable to both inputs of an AND gate). In order to avoid the combination of two or more shares of one input for the shared S-box representation, care needs to be given also for the linear gates. Again we avoid collisions in the linear parts by associating with each variable one share index which we keep for the entire calculation. We thus follow and consequently extend the domain-oriented masking scheme in the sense that we create mixed domains (different indices per variable in the same domain) with which we associate at most one share per variable, and keep this association for the entire circuit. To keep the number of mixed domains to a minimum we try to use as less share indices as possible. However, as can already be observed in the underlined parts of the unshared calculation of d' this is not always possible.

Reduced example for flawed indexing. To demonstrate the resulting problem for d' in the shared variant we consider a reduced example that contains only the problematic parts:

$$q = abc \oplus abd \oplus acd$$

If we want to calculate the shared representation of q we need to combine all shares (given by the indices i , j , and k) of the variables connected by an AND gate as given in following example. We assume, as for the inverter inputs, that the input share count is already increased to $(d + 1)^2$.

$$q_{(i,j,k)} = a_i b_j c_k \oplus a_i b_j d_k \oplus a_i c_j d_k$$

The problem arises in the XOR gates because we combine shares from the same variable c one time with the share index k and another time with index j which violates the mixed domains assumption. Because there is no way to overcome this issue by associating the share indices differently the calculation is split into two parts. Splitting up the calculation in two parts as shown in Equation 5 increases the amount of shares from $(d + 1)^2$ to $2(d + 1)^6$ (the curly braces indicate a concatenation of shares).

$$q_{(i,j,k)} = \{a_i b_j c_k \oplus a_i b_j d_k, a_i c_j d_k\} \quad (5)$$

By applying this solution to the equation of the inversion (Equation 4), we can denote the sharing of the inverter as in Equation 6. The curly braces under the equations ensure correctness of the sharing and denote that certain terms are only present when the stated indices are zero.

$$\begin{aligned} a'_{(i,j,k)} &= \underbrace{a_{(i)}}_{j=k=0} \oplus a_{(i)} b_{(j)} c_{(k)} \oplus \underbrace{a_{(i)} d_{(k)}}_{j=0} \oplus \underbrace{b_{(j)}}_{i=k=0} \\ b'_{(i,j,k)} &= a_{(i)} b_{(j)} c_{(k)} \oplus a_{(i)} b_{(j)} d_{(k)} \oplus \underbrace{a_{(i)} d_{(k)}}_{j=0} \oplus \underbrace{b_{(j)}}_{i=k=0} \oplus \underbrace{b_{(j)} c_{(k)}}_{i=0} \\ c'_{(i,j,k)} &= \{ \underbrace{a_{(i)}}_{j=k=0} \oplus a_{(i)} b_{(j)} c_{(k)} \oplus \underbrace{b_{(j)}}_{i=k=0} \oplus \underbrace{c_{(k)}}_{i=j=0}, a_{(i)} c_{(j)} d_{(k)} \oplus \underbrace{b_{(i)} d_{(k)}}_{j=0} \} \\ d'_{(i,j,k)} &= \{ a_{(i)} b_{(j)} c_{(k)} \oplus a_{(i)} b_{(j)} d_{(k)} \oplus \underbrace{a_{(i)} c_{(k)}}_{j=0} \oplus \underbrace{a_{(i)} d_{(k)}}_{j=0} \oplus \underbrace{b_{(j)}}_{i=k=0} \oplus \underbrace{b_{(j)} c_{(k)}}_{i=0} \oplus \underbrace{c_{(k)}}_{i=j=0} \\ &\quad \oplus \underbrace{d_{(k)}}_{i=j=0}, a_{(i)} c_{(j)} d_{(k)} \oplus b_{(i)} c_{(j)} d_{(k)} \} \end{aligned} \quad (6)$$

Final multiplier stage to output transformation. For the final multiplier stage we avoid collisions by using an additional set of freshly masked copies of the S-box inputs (x'' , with $d + 1$ shares). These copies are then combined

with outputs of the $GF(16)$ inverter in the multipliers. Because these multiplications happen in parallel and no nonlinear transformation follows in the S-box, only one additional copy of the inputs x'' suffices for both multiplications. The adjacent linear transformations are applied share wise and with respect to the share domains to avoid collisions at this stage. Up to this point no additional online randomness or registers are required. However, the number of shares is increased to $2(d+1)^7$ at this point. We call this variant the “zero latency” variant which denotes that further linear operations on the output shares (like *ShiftRows*, *MixColumns*, or *AddRoundkey*) are still possible within the same cycle. A share compression is thus not taken into account for this variant. The first-order protected zero latency S-box requires 17.83 kGE of chip area for a 90 nm UMC process with a maximum clock frequency of 228 MHz.

6.2 two-cycle variant

The randomness and chip area costs for the zero latency S-box are admittedly very high given the fact that a one round unrolled AES requires at least 16 of these S-boxes. The costs can be reduced when an intermediate resharing and compression step is performed after the inverter in Figure 9. The number of shares is thus reduced from $2(d+1)^6$ to $d+1$ before the last two multiplications are performed which saves many of the area consuming $GF(16)$ multipliers and linear transformations at the output. The final compression requires $8(d+1)^2$ fresh random bits. In total this variant requires $6(d+1)^6 + 8(d+1)^2$ random bits (416 bits for first order protection) and the chip area is reduced to 6.7 kGE. For second order, the amount of randomness is increased to 4,446 bits and the chip area requirement to 57 kGE.

7 Summary of the AES S-box Results and Comparison

A summary of the results for our variants and related work is given in Table 2. All of our stated results are post-synthesis results for a 90 nm Low-K UMC process with 1 V supply and a 20 MHz clock, synthesized with the Cadence Encounter RTL compiler v14.20. The used cell library and tool chain vary within the stated related work and the numbers can thus only roughly be compared.

As the comparison shows, our low-latency AES S-box variants are the first published constructions that reduce the latency below three cycles per S-box calculation. The price is a significant increase of both chip area and randomness requirements. The zero latency variant requires with 17.8 kGE almost nine times more area than the smallest design. The chip area overhead for the first-order AES S-box with two cycles is relatively moderate with about a factor of three times the area of the smallest know S-box construction. Furthermore, our designs are generic like the DOM [13] AES variant.

Comparing the randomness requirements is difficult since most of the stated work use a different amount of input shares which is usually not considered to be part of the required (online) randomness. In this sense, our zero latency variant

requires no additional online randomness but it requires of course additional randomness for the duplication of shared input variables. In case of our two-cycle variant the randomness costs are with 416 (and 4,446 bits, respectively) increased over the state of the art.

However, we note that our primary goal was to demonstrate that for generic higher-order protection a reduction of the latency is indeed possible even in complex designs like the AES S-box. The most efficient design choices and the best point at which the shares can be again compressed remains to be an open problem.

Table 2. Results and comparison of masked AES S-box implementations

Design	Order [d]	Size [kGE]	Latency [$Cycles$]	Max. Frequency [MHz]	Randomness [$bits$] (<i>online</i>)
Zero Latency AES S-box	first	17.83	0	228	0
Zero Latency AES S-box	d		0		0
Two Cycle AES S-box	first	6.74	2	584	416
Two Cycle AES S-box	second	57.11	2	517	4,446
Two Cycle AES S-box	d		2		$6(d+1)^6 + 8(d+1)^2$
<i>Related work</i>					
Bilgin <i>et al.</i> [4]	first	3.71	3		44
Bilgin <i>et al.</i> [5]	first	2.84	3		32
De Cnudde <i>et al.</i> [9]	second	7.9 - 11.2	6		126
Goshal <i>et al.</i> [10]	first	4.61	4		0
Goshal <i>et al.</i> [10]	first	3.63 - 3.80	4		34 - 68
Goshal <i>et al.</i> [10]	first	2.91 - 3.34	3		20-24
Gross <i>et al.</i> [13]	first	2.2	8		18
Gross <i>et al.</i> [13]	second	4.5	8		54
Gross <i>et al.</i> [13]	d		8		$9d(d+1)$
Moradi <i>et al.</i> [17]	first	4.24	4		48
De Cnudde <i>et al.</i> [22]	first	1.98	6		54

8 Analyzing the Side-Channel Resistance

For the analysis of the side-channel resistance of our ASCON S-box designs we used the formal verification approach by Bloem *et al.* [6]. The tool is publicly accessible online [15]. The basic idea of this tool is the calculation of the Fourier spectrum (or Walsh transform) at all circuit positions and for all possible signal timings. This Fourier spectrum allows to calculate for each wire which signals leak in the probing model at a specific point in the circuit.

Since the calculation of the exact signal spectrum for all timings is a very complex task, this tool uses a rule based system to perform an approximation of the spectrum. More specifically, it is approximated which Fourier coefficients are

unequal to zero instead of the calculation of concrete values for the coefficients. A nonzero coefficient in the spectrum means that the signal leaks information for this component (a signal or combination of signals). The rules guarantee that the real Fourier spectrum is part of the approximation but does not guarantee to calculate just the nonzero coefficients. In other words, circuits that are accepted by the tool are provably secure for the given input parameters which are the circuit itself and a labeling for the input signals according to three categories (secrets, masks, or public signals). For our side-channel experiments we verified the low-latency S-box designs of ASCON up to order three. The results are shown in Table 3 (column FV).

It shows that the first-order S-box design is verified in less than two seconds (parallel verification of the five secrets) on our Intel Xeon E5-2699v4 CPU with a clock frequency of 3.6 GHz and 512GB of RAM running in a 64-bit Debian 9 operating system. For order two the verification increases to about 18 seconds, and for order three it takes about 21 minutes. All verifications show a positive verification outcome which indicates the security of the tested circuits for the given protection order.

For the verification of the AES S-box, on the other hand, the circuit size exceeds the number of gates over the most complex circuit tested in the paper of Bloem *et al.* [6] (a DOM protected AES S-box verified in 5 to 10 hours) by almost a factor of ten. Therefore, we could not finish the verification within one day and decided to use a verification approach specifically designed for our approach which we refer to as taint checking in the following.

Taint checking of the AES S-box. The basic idea for the taint checking verification approach follows from the design principle of our low-latency masking approach. Any $d + 1$ masked circuit is trivially secure if for any gate and wire of the circuit (*e.g.* see x_0 and x_1 on the right in Figure 3) there is no path that connects any two shares of one variable. Similar to multi-party computation protocols, we could thus split the circuit into $d + 1$ distinct sub-circuits that are never fed by two or more shares of one shared input variable. This approach of course only works if we do not use a share compression like it is the case for the ASCON S-box and the Zero Latency AES S-box variant in Table 3. Other variants of our designs that use the CMS share compression cannot be verified using this approach because the compression clearly creates paths that combine two or more shares (which are of course first remasked to ensure independence).

However, our main goal is to show the security of our low-latency masking approach and to demonstrate that even very complex designs like the AES S-box can be securely implemented this way. The other variants of the AES S-box suggested in Section 6 are introduced to analyze possible trade offs and implementation costs of our approach.

We instantiated the taint checking approach by using the tool of Bloem *et al.* [6] as basis. We label all shared circuit inputs accordingly to the sharing and then simply propagate the input labels through the entire circuit such that every gate and wire that is somehow connected with the input share (*e.g.* x_0 or x_1) is tainted by assigning the label of the connected inputs. If at any point in the

circuit two shares from the same variable (x_0 and x_1) are part of the labeling of one wire, our tool denotes a flaw and returns the causing gate and inputs. This tool has proven to be extremely helpful also during the design of the AES and ASCON circuits. Therefore (and to make our verification as transparent and accessible as possible), we set up a virtual machine with our taint checking tool and some example circuits ¹.

We also performed the verification with the taint checking approach for the ASCON circuits which now take less than a second. Furthermore, we managed to check the first-order zero latency AES S-box variant in a bit more than ten minutes. We, however, note that this approach works only for this specific kind of low-latency circuits without compression for which the security can be easily verified by ensuring a separation of shares throughout the entire circuit.

Table 3. Side-channel resistance verification results for the low-latency ASCON and the first-order zero latency AES S-box designs

Design	Gates		Order	FV [6]		Taint Checking	
	Lin	Non-lin		Time	Result	Time	Result
1 st -order ASCON S-box	34	22	1	≤ 2 s	✓	≤ 1 s	✓
2 nd -order ASCON S-box	58	48	2	≤ 18 s	✓	≤ 1 s	✓
3 rd -order ASCON S-box	88	84	3	≤ 21 m	✓	≤ 1 s	✓
Zero Latency AES S-box	17,199	5,544	1	≥ 1 day	?	≤ 11 m	✓

9 Conclusions

In this work, we introduced a generic concept for Boolean masking to protect latency constraint applications against side-channel analysis. Our approach works by duplication of the sharing of inputs and circuit parts which hinder an evaluation of the masked circuit in less cycles. In addition, we do not perform a share compression step after each nonlinear operation which avoids register stages. We used two case studies based on ASCON and the AES to demonstrate the feasibility of our low-latency masking approach. We analyzed the hardware overhead and possible trade-offs, and compared our designs to the state of the art. All our designs reduce the amount of latency compared to existing works. The reduction of latency does not come for free and introduces a significant amount of additional circuitry. However, we showed that even very complex circuits like the masked AES S-box can be calculated in a single clock cycle and that also higher-order masking not necessarily requires online randomness. Furthermore, we showed that by taking compromises in terms of latency the overhead can be highly reduced which could make our approach a viable option for low-latency applications that require protection against side-channel analysis.

¹ <https://goo.gl/Wph3Ek>

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