A Note on Masking Generic Boolean Functions

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Abstract. Masking is a popular countermeasure to protect cryptographic implementations against side-channel attacks (SCA). In the literature, a myriad of proposals of masking schemes can be found. They are typically defined by a masked multiplication, since this can serve as a basic building block for any nonlinear algorithm. However, when masking generic Boolean functions of algebraic degree t, it is very inefficient to construct the implementation from masked multiplications only. Further, it is not immediately clear from the description of a masked multiplication, how to efficiently implement a masked Boolean function.

In this work, we fill this gap in the literature with a detailed description and investigation of a generic masking methodology for Boolean functions of any degree t at any security order d.

Keywords: SCA \cdot DPA \cdot Threshold Implementations $\cdot d + 1$ Masking \cdot Hamming Graph \cdot Graph Colouring

1 Introduction

Since the seminal works on Side-Channel Attacks (SCA) and more particularly Differential Power Analysis (DPA) by Kocher et al. [10, 11], masking has emerged as one of the most popular countermeasures. The idea is to split each sensitive variable x into multiple shares. When the splitting operation is an Exclusive OR (XOR), we refer to it as a Boolean masking. Many Boolean masking schemes have been proposed over the years, to name a few: ISW [9], TI [12], CMS [13], DOM [7]. It is well known that the non-linear parts of a circuit grow exponentially with the masking order, while linear operations can simply be duplicated and performed on each share independently, *i.e.* a linear increase in the area. As such, these works typically describe only a masked multiplication, *i.e.* a monomial of algebraic degree 2 $(x \cdot y)$. In order to protect against d^{th} -order DPA, the minimal number of shares to split x and y into is d + 1. The number of expansion shares of a multiplication is then $(d+1)^2$. More generally, it is known that a monomial of higher degree t expands to $(d+1)^t$ intermediate shares. However, the efficient masking of a generic Boolean functions, consisting of multiple monomials of algebraic degree up to t, does not trivially follow from the description of a single multiplication. Only a few examples can be found in the literature. Ueno et al. [14, 15] and Bozilov et al. [3] present examples of masked implementations for 4×4 Boolean functions appearing in respectively the AES and Prince ciphers with the minimal number of expansion shares $(d+1)^t$. However, it is not always possible to implement the entire Boolean function with the minimal number of intermediate shares $(d+1)^t$. Bozilov et al. [3] showed that a sharing with minimal number of intermediate shares $(d+1)^t$ exists for any t-degree Boolean function with t+1input variables. In this work, we refine and prove this statement and introduce a generic methodology for masking any degree-t function, even with more than t + 1 variables. In Section 3, we investigate what properties allow a generic Boolean function to be shared with the minimal number of shares $(d+1)^t$. In Section 4, we present an algorithm which allows one to share any Boolean function with $s \times (d+1)^t$ shares with s minimal.

2 Preliminaries

2.1 Boolean Algebra

We define $(GF(2), +, \cdot)$ as the field with two elements ZERO and ONE. We denote the *n*-dimensional vector space defined over this field by $GF(2)^n$. Its elements can be represented by *n*-bit numbers and added by bit-wise XOR. In contrast, the Galois Field $GF(2^n)$ contains an additional field multiplication operation. It is well known that $GF(2)^n$ and $GF(2^n)$ are isomorphic.

A Boolean function F is defined as $F: \mathrm{GF}(2)^n \to \mathrm{GF}(2)$, while we call $G: \mathrm{GF}(2)^n \to \mathrm{GF}(2)^n$ a vectorial Boolean function. A (vectorial) Boolean function can be represented as a look-up table, which is a list of all output values for each of the 2^n input combinations. Alternatively, each Boolean function can be described by a unique representation - so called normal form. Most notably the Algebraic Normal Form (ANF) is the unique representation of a Boolean function as a sum of monomials. In this work, we designate by $m \in \mathrm{GF}(2^n)$ the monomial $x_0^{m_0} x_1^{m_1} \dots x_{n-1}^{m_{n-1}}$ where $(m_0, m_1, \dots, m_{n-1})$ is the bitvector of m. The monomial's algebraic degree is simply its hamming weight: $\mathrm{deg}(m) = \mathrm{hw}(m)$. We can then write the ANF of any Boolean function F as

$$F(x) = \bigoplus_{m \in GF(2^n)} a_m x_0^{m_0} x_1^{m_1} \dots x_{n-1}^{m_{n-1}}$$

The algebraic degree of F is the largest number of inputs occurring in a monomial with a non-zero coefficient:

$$\deg(F) = \max_{m \in \mathrm{GF}(2^n), a_m \neq 0} \operatorname{hw}(m)$$

2.2 Boolean Masking in Hardware

We denote the s_i -sharing of a secret variable x as $\boldsymbol{x} = (x_0, \ldots, x_{s_i-1})$ and similarly an s_o -sharing of a Boolean function F(x) as $\boldsymbol{F} = (F_0, \ldots, F_{s_o-1})$. Each component function F_i computes one share y_i of the output y = F(x). A correctness property should hold for any Boolean masking:

$$x = \bigoplus_{0 \leq j < s_i} x_j \Leftrightarrow F(x) = \bigoplus_{0 \leq j < s_o} F_j(\boldsymbol{x})$$

We define S(x) as the set of all correct sharings of the value x. Creating a secure masking of cryptographic algorithms in hardware is especially challenging due to glitches. Despite this major challenge, Nikova *et al.* [12] introduced a provably secure scheme against first-order SCA attacks in the presence of glitches, named Threshold Implementation (TI). A key concept of TI is the non-completeness property which we recall here.

Definition 1 (Non-Completeness). A sharing F is non-complete if any component function F_i is independent of at least one input share.

Apart from non-completeness, the security proof of TI depends on a uniform distribution of the input sharing fed to a shared function F. For example, when considering round-based block ciphers, the output of one round serves as the input of the next. Hence, a shared implementation of F needs to maintain this property of uniformity.

Definition 2 (Uniformity). A sharing x of x is uniform, if it is drawn from a uniform probability distribution over S(x).

We call F a uniform sharing of F(x), if it maps a uniform input sharing x to a uniform output sharing y:

$$\exists c: \forall x \in \mathrm{GF}(2)^n, \forall x \in \mathcal{S}(x), \forall y \in \mathcal{S}(F(x)): Pr(F(x) = y) = c.$$

Finding a uniform sharing without using fresh randomness is often tedious [2, 1] and may be impossible. Hence, many masking schemes restore the uniformity by re-masking with fresh randomness. When targeting first-order security, one can re-mask s output shares with s - 1 shares of randomness as such:

$$(F_0 \oplus r_0, F_1 \oplus r_1, \ldots, F_{s-2} \oplus r_{s-2}, F_{s-1} \oplus \bigoplus_{0 \le j \le s-2} r_j)$$

Threshold Implementation was initially defined to need $s_i \ge td + 1$ shares with d the security order and t the algebraic degree of the Boolean function F to be masked. The non-completeness definition was extended to the level of individual variables in [13], which allowed the authors to reduce the number of input shares to $s_i = d + 1$, regardless of the algebraic degree. As a result, the number of output shares s_o increases to $(d + 1)^t$. For example, two shared secrets $\boldsymbol{a} = (a_0, a_1)$ and $\boldsymbol{b} = (b_0, b_1)$ can be multiplied into a 4-share $\boldsymbol{c} = (c_0, c_1, c_2, c_3)$ by just computing the cross products.

$$c_0 = a_0 b_0$$
 $c_1 = a_0 b_1$
 $c_2 = a_1 b_0$ $c_3 = a_1 b_1$

The number of output shares can be compressed back to d + 1 after a refreshing and a register stage. This method was first applied to the AES S-box in [5] and lead to a reduction in area, but an increase in the randomness cost. A similar method for sharing 2-input AND gates with d+1 shares is demonstrated by Gross *et al.* in [7, 8]. In particular, they propose to refresh only the cross-domain products a_ib_j for $i \neq j$, resulting in a fresh randomness cost of $\binom{d+1}{2}$ units. In [14], Ueno *et al.* demonstrate a general method to find a d+1-sharing of a non-quadratic function with d+1 input shares in a non-complete way by suggesting a probabilistic heuristic that produces $(d+1)^n$ output shares in the worst case, where *n* stands for the number of variables.

Masking Cubic Boolean Functions with d + 1 **shares.** Each cubic monomial *abc* can be trivially masked with d + 1 input shares and $(d + 1)^3$ output shares (one for each crossproduct). For example, a first-order sharing (*i.e.* d = 1) of z = abc is given in (1).

$$z_0 = a_0 b_0 c_0, \qquad z_1 = a_0 b_0 c_1, \qquad z_2 = a_0 b_1 c_0, \qquad z_3 = a_0 b_1 c_1, z_4 = a_1 b_0 c_0, \qquad z_5 = a_1 b_0 c_1, \qquad z_6 = a_1 b_1 c_0, \qquad z_7 = a_1 b_1 c_1$$
(1)

The result can be compressed back into d + 1 shares after a refreshing and register stage. Our refreshing strategy resembles that of Domain Oriented Masking [7] in such a way that we apply the same bit of fresh randomness to cross-share terms and do not re-mask inner-share terms:

$$z_0' = [z_0]_{reg} \oplus [z_1 \oplus r_0]_{reg} \oplus [z_2 \oplus r_1]_{reg} \oplus [z_3 \oplus r_2]_{reg}$$

$$z_1' = [z_4 \oplus r_2]_{reg} \oplus [z_5 \oplus r_1]_{reg} \oplus [z_6 \oplus r_0]_{reg} \oplus [z_7]_{reg}$$
(2)

Note that every term after refreshing e.g. z_0 or $z_1 \oplus r_0$, is stored in a dedicated register before going to the XOR chain which produces z'_0 and z'_1 .

The most basic way to mask a more general t-degree function is thus to expand each monomial into $(d+1)^t$ shares. However, this is wildly inefficient for a Boolean function

which combines many monomials. On the other hand, it is impossible to keep certain monomials together without violating non-completeness. We devise a sharing method that keeps as many monomials as possible together by splitting the function into a *minimum* number of sub-functions. These sub-parts are functions such as for example $z = abc \oplus abd$, for which it is trivial to find a non-complete sharing. For each sub-function we create independent sharings, each with $(d + 1)^t$ output shares, and recombine them during the compression stage.

3 Sharing Matrices

We introduce a matrix notation in which each column represents a variable to be shared and each row represents an output share domain. Output share j only receives share M_{ij} of variable i. For example, the sharing matrix M of the sharing in Equation (1) is

$$M = \begin{pmatrix} a & b & c \\ 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} z_0 \\ z_2 \\ z_3 \\ z_5 \\ z_6 \\ z_7 \end{pmatrix}$$
(3)

From this matrix, it is clear that a correct and non-complete sharing for the cubic function z = abc exists, since the 2^3 rows of the matrix are unique, *i.e.* each of the 2^3 possible rows occur in the matrix. Moreover, this Sharing matrix implies a correct and non-complete sharing for any function z = f(a, b, c). Note also that each column is balanced, *i.e.* there are an equal number of 0's and 1's. It is also possible to add a fourth column, such that any submatrix of three columns consists of unique rows:

$$M' = \begin{pmatrix} a & b & c & d \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 \\ 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 1 \end{pmatrix} \begin{pmatrix} z_0 \\ z_1 \\ z_2 \\ z_3 \\ z_4 \\ z_5 \\ z_6 \\ z_7 \end{pmatrix}$$
(4)

Hence, the matrix M' demonstrates the possibility to find a correct and non-complete sharing with eight output shares for any combination of cubic monomials defined over four variables a, b, c, d. Note that the non-completeness follows from the fact that each output share (row) only receives one share of each input (column) by construction. To generalize this observation, we introduce the following concepts:

Definition 3 (Sharing Vector). We call a vector v of length $(d + 1)^t$ with entries $v_i \in \{0, \ldots, d\}$ a (t, d)-Sharing Vector, if and only if it is balanced, *i.e.* each entry occurs an equal number of times:

$$\forall \tau \in \{0, \dots, d\} : \#\{i | v_i = \tau\} = (d+1)^{t-1}$$

Definition 4 (Sharing Matrix). We call a $(d + 1)^t \times c$ matrix M with entries $M_{ij} \in \{0, \ldots, d\}$ a (t, d)-Sharing Matrix, if and only if every column M_j is a (t, d)-Sharing Vector and if every $(d + 1)^t \times t$ sub-matrix of M contains unique rows.

3.1 How to construct Sharing Matrices

The main question in creating masked implementations is thus how to find such a (t, d)-Sharing Matrix. Below, we present both provable theoretical and experimental results:

3.1.1 Exact Results

Lemma 1. A (t, d)-Sharing Matrix with t columns exists and is unique up to a reordering of rows.

Proof. A (t, d)-Sharing Matrix has exactly $(d + 1)^t$ rows. If the matrix has t columns, then each row is a t-length word with base d + 1. The existence of such a matrix follows trivally from choosing as its rows all $(d + 1)^t$ elements from the set $\{0, \ldots, d\}^t$. The uniqueness follows from the fact that the rows must be unique, hence each of the $(d + 1)^t$ elements can occur exactly once. Up to a permutation of the rows, this matrix is thus unique.

Lemma 1 is equivalent to the fact that it is trivial to mask *t*-variable functions of degree t (*e.g.* z = abc) with $(d+1)^t$ output shares but also functions such as z = abc + abd (since c and d can use the same Sharing Vector).

Lemma 2. A (t, 1)-Sharing Matrix has at most c = t + 1 columns.

Proof. We prove this Lemma by showing that the $t + 1^{\text{th}}$ column M_t exists and is unique. Consider the Sharing Matrix M from Lemma 1 with t columns and 2^t rows. We reorder the rows as in a Gray Code. This means that every two subsequent rows have only one coordinate (or bit) different. Equivalently, since there are t columns, any two subsequent rows have exactly t - 1 coordinates in common. Consider for example row i and i + 1. We have the following properties:

$$\exists ! \bar{j} \text{ s.t.} \qquad \qquad M_{i,\bar{j}} \neq M_{i+1,\bar{j}} \tag{5}$$

$$\forall j \in \{0, \dots, t-1\} \setminus \{j\}: \qquad M_{i,j} = M_{i+1,j} \tag{6}$$

Recall that by definition of Sharing Matrix M, any two rows may have at most t-1 coordinates in common. For row i and i+1, these coordinates already occur in the first t columns (6), hence for the last column we must have:

$$M_{i,t} \neq M_{i+1,t}$$

Since this condition holds for ever pair of subsequent rows i and i + 1, we can only obtain the alternating sequence ... 010101... as the last column M_t . This column is therefore unique up to an inversion of the bits. An example for t = 3 is shown below:

$$M = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 1 & 1 \\ 1 & 0 & 0 \\ 1 & 0 & 1 \\ 1 & 1 & 0 \\ 1 & 1 & 1 \end{pmatrix} \xrightarrow{\text{Gray Code}} \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 & 1 \\ \text{Gray Code} & 0 & 1 & 0 & 1 \\ 0 & 1 & 0 & 0 & M_t = & 0 \text{ OR } 1 \\ 1 & 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 1 & 0 & 1 \\ 1 & 0 & 0 & 1 & 0 \\ 1 & 0 & 0 & 1 & 0 \\ \end{pmatrix}$$
(7)

The example shows clearly that adding both columns to the matrix would violate the Sharing Matrix definition, since a 3-column submatrix including both new columns cannot have unique rows. Hence, the t + 1th column is unique and thus a (t, 1)-Sharing Matrix has at most t + 1 columns. Note also that the labels 0/1 in the last column correspond to a partitioning of the rows in the first t columns based on odd or even hamming weight.

An alternative proof using graph theory is shown in Appendix A.

While the relation between the degree t and the maximum number of columns in a (t, d)-Sharing Matrix is easily described for masking order d = 1 (cf. Lemma 2), no simple formula can describe the relationship for higher orders. More general (d + 1)-ary Gray Codes exist, but the proof of Lemma 2 does not result in uniqueness for d > 1. We therefore construct an algorithmic procedure for finding Sharing Matrices for higher orders. The results are shown in Table 1.

3.1.2 Search procedure with backtracking

We start from the *t*-column (t, d)-Sharing Matrix from Lemma 1. To extend this matrix with another column M_t , we keep for each column element $M_{i,t}$ a list $\mathcal{L}_{i,t}$ of non-conflicting values $\in \{0, \ldots, d\}$. For each new column, these lists are initialized to all possible values. Without loss of generalization, we set the first element of the column to zero: $M_{0,t} = 0$. For every row *i* with t - 1 common coordinates, this element then needs to be removed from its list $\mathcal{L}_{i,t}$.

If there is a row r with a list of length 1 ($|\mathcal{L}_{r,t}| = 1$), then the unique value in that list is chosen as the value $M_{r,t}$. Again, this value is subsequently removed from all lists $\mathcal{L}_{i,t}$ for which row i has t-1 coordinates in common with row r. This process continues until either the column M_t is complete, or until there are only lists of length > 1. In the latter case, any element of the list $\mathcal{L}_{i,t}$ can be chosen as the value $M_{i,t}$. The choice is recorded so that it can later be revoked during backtracking. Whenever a value is assigned to a column element, the remaining lists are updated as before. When a column is fully determined, the next column is added in the same way. As soon as an empty list is obtained for one of the column elements, the algorithm backtracks to the last made choice. If for all possible choices empty lists occur, then the maximum number of columns is obtained and the algorithm stops.

A simplified version of the procedure is shown in Algorithm 3 in Appendix B. Note that optimizations are possible for the algorithm, but we leave this for future work since first-order security is the target in this work. According to the proof of Lemma 2, backtracking is not necessary for d = 1.

			/
Degree t	Order $d = 1$	Order $d = 2$	Order $d = 3$
2	3	4	5
3	4	4	6
4	5	5	5
5	6	6	6^{*}
6	7	7	7^*
7	8	8	8*

Table 1: Maximum Number of Columns in (t, d)-Sharing Matrices

* Results of greedy search without backtracking

Table 1 shows that the maximum number of columns does not follow a simple formula for d > 1. The results in Table 1 without additional indication have been obtained by exhausting all possible choices via backtracking which takes fractions of seconds for d = 1and up to several minutes for d = 2 and multiple hours for the parameters t = 4, d = 3. As this strategy becomes infeasible with larger matrices, we indicate results of greedy search without backtracking with an asterisk. This choice is made based on the observation that (for smaller parameters), if a solution exists, backtracking was never necessary to find it.

3.2 From Sharing Matrices to Sharings

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Now consider a mapping $\rho : \{0, \ldots, n-1\} \rightarrow \{0, \ldots, c-1\}$ which assigns any input variable x_i to a single column of a Sharing Matrix. That column holds the Sharing Vector of that variable. For a monomial to be shareable according to those Sharing Vectors, each variable of that monomial must be mapped to a different column. We therefore introduce the concept of *compatability* between monomials and a mapping ρ .

Definition 5 (Compatible Mappings). A mapping $\rho : \{0, \ldots, n-1\} \to \{0, \ldots, c-1\}$ is compatible with a monomial $x_0^{m_0} x_1^{m_1} \ldots x_{n-1}^{m_{n-1}}$ of degree hw(m) = t if it maps each variable in the monomial to a different Sharing Vector, *i.e.*

$$\forall i \neq j \in \{0, \dots, n-1\}$$
 s.t. $m_i = m_j = 1 : \rho(i) \neq \rho(j)$

Lemma 3. Consider a set of monomials of degree $\leq t$ (of which at least one monomial has degree t) defined over a set of n variables with ANF

$$\bigoplus_{n\in GF(2^n)} a_m x_0^{m_0} x_1^{m_1} \dots x_{n-1}^{m_n-1}$$

and a sharing of each variable x_i into d + 1 shares. A correct and non-complete sharing of this set of monomials with $(d + 1)^t$ output shares exists if and only if a (t, d)-Sharing Matrix can be constructed such that for each variable in the set of monomials, the Sharing Matrix has exactly one column corresponding to its Sharing Vector and such that for each monomial, the (up to) t variables of that monomial have different Sharing Vectors. In other words, there exists a single mapping $\rho : \{0, \ldots, n-1\} \rightarrow \{0, \ldots, c-1\}$ that is compatible with each monomial in the ANF:

$$\forall m \in GF(2^n) \ s.t. \ a_m = 1 : \forall i \neq j \in \{0, \dots, n-1\} \ s.t. \ m_i = m_j = 1 : \rho(i) \neq \rho(j)$$

The mapping ρ assigns to each variable x_i column $\rho(i)$ of the Sharing Matrix as Sharing Vector.

The terms with degree lower than t also have to be compatible with the mapping ρ so that their variables are assigned to different Sharing Vectors. However, lower-degree terms naturally do not need to appear in each of the $(d+1)^t$ output shares. Given a monomial of degree l < t and a set of l (t, d)-Sharing Vectors, it is trivial to choose the $(d+1)^l$ output shares for the monomial to appear in.

We note that our Sharing Matrices are very similar to the D_t^n -tables of Bozilov *et al.* [3], who independently demonstrated that any *t*-degree function with t + 1 input variables can be shared with the minimal $(d + 1)^t$ output shares. However, their work only treats the sharing of *t*-degree functions with exactly t + 1 input variables. Since our goal is to find a sharing of *t*-degree functions with any numpber of input variables, we consider here the more general case where both the degree *t* and the number of variables *n* are unconstrained.

4 Sharing any ANF

Naturally, not any function is compatible with a (t, d)-Sharing Matrix. In what follows, we develop a heuristic method to determine efficient maskings with d + 1 shares for any

degree t-Boolean function starting from its unshared algebraic normal form (ANF). If a compatibility mapping with a single Sharing Matrix cannot be found, our approach is to split the monomials of the ANF into a number of subgroups, each for which a (t, d)-Sharing Matrix and thus a correct and non-complete sharing exists. If the ANF is split into s subgroups, then the number of intermediate shares before compression is $s \times (d + 1)^t$. Our methodology finds the optimal sharing in terms of parameter s. We do not claim optimality in the number of intermediate shares, since the minimum is not necessarily a multiple of $(d + 1)^t$.

4.1 Our Heuristic.

We want to minimize the number of parts the ANF should be split into. This is equivalent to restricting the expansion of the number of shares and thus limiting both the required amount of fresh randomness and the number of registers for implementation.

We assume a (t, d)-Sharing Matrix of c columns is known at this point. A procedure for this was described in §3 and Algorithm 3. There are c^n possible mappings ρ to assign one of the c Sharing Vectors to each of n variables. In an initial preprocessing step, we iterate through all possible ρ and determine which t-degree monomials are compatible with it. During this process we eliminate redundant mappings (*i.e.* with an identical list of compatible monomials) and the mappings without compatible monomials of degree t. Note that up to this point (including for algorithm 3), the specific function to be shared does not need to be known.

The next step is function specific: We first attempt to find one mapping that can hold all the monomials of the ANF. Its existance would imply that all the monomials in the ANF can be shared using the same Sharing Matrix (see Lemma 3). This is not always possible and even extremely unlikely for ANFs with many monomials. If this first attempt is unsuccessful, we try to find a *split* of the ANF. A *split* is a set of mappings that jointly are compatible with all monomials in the ANF of the Boolean function, *i.e.* it implies a partition of the ANF into separate sets of monomials, each for which a Sharing Matrix exists. In this search, we first give preference to partitions into a minimal number of subfunctions, in order to minimize s such that the entire function expands into only $s \times (d+1)^t$ intermediate shares.

We note that our search is heuristic and we do not claim optimality except in the number of split groups s.

4.2 Implementation Details.

We encode mappings and ANFs which are dependent on n inputs as bitvectors with 2^n entries. An entry in the bitvector at position $m \in \operatorname{GF}(2^n)$ corresponds to one monomial $x_0^{m_0} x_1^{m_1} \dots x_{n-1}^{m_{n-1}}$ of degree $t = \operatorname{hw}(m)$ and prescribes whether this monomial is present in the ANF. Recall the ANF of an *n*-bit Boolean function F:

$$F(x) = \bigoplus_{m \in GF(2^n)} a_m x_0^{m_0} x_1^{m_1} \dots x_{n-1}^{m_{n-1}}$$

We thus define the bitvector representations

$$\operatorname{rep}(F) = \sum_{m} a_m 2^m$$
 and $\operatorname{rep}(\rho) = \sum_{m} \alpha_m^{\rho} 2^m$

where $\alpha_m^{\rho} = 1$ if monomial *m* is compatible with mapping ρ . Consider for example the function $F = x_0 x_2 x_4 \oplus x_1 x_5$:

$$\operatorname{rep}(x_0 x_2 x_4 \oplus x_1 x_5) = \left(2^{2^0 + 2^2 + 2^4}\right) + \left(2^{2^1 + 2^5}\right) = 0 \times 400200000$$

Now, we can determine whether for example a set of mappings (ρ_1, ρ_2) specifies a two-split for a Boolean function F as follows. Assuming both are represented as a 2^n -bit vector, we check if the following condition holds:

$$\operatorname{rep}(\rho_1) | \operatorname{rep}(\rho_2) | \operatorname{rep}(F) = \operatorname{rep}(\rho_1) | \operatorname{rep}(\rho_2),$$

where | refers to the Boolean OR-operation. The condition evaluates to *true* whenever all monomials of the ANF of F are also compatible monomials with at least one of the mappings ρ_1 or ρ_2 .

Algorithm 1 Preprocessing of mappings

```
Input: n: number of input bits; t: \deg(F); c: number of columns of (t, d)-Sharing Matrix
Output: L: list of mappings; \alpha: compatibility \alpha_m^{\rho}
 1: L \leftarrow \{(\rho(0), \dots, \rho(n-1)) | \rho(i) \in \{0, \dots, c-1\}\}
 2: for \rho \in L do
 3:
           for m \in GF(2^n) s.t. hw(m) \le t do
 4:
                \alpha_m^{\rho} \leftarrow 0
                if \rho(i) \neq \rho(j) \forall i \neq j s.t. m_i = m_j = 1 then
 5:
 6:
                     \alpha_m^{\rho} \leftarrow 1
 7:
                end if
 8:
           end for
 9.
           if \exists \hat{\rho} \in L s.t. \operatorname{rep}(\hat{\rho}) = \operatorname{rep}(\rho) or \max_{m,\alpha_m^{\rho}=1} \operatorname{hw}(m) < t then
10:
                L \leftarrow L \setminus \{\rho\}
11:
           end if
12: end for
```

The preprocessing step is illustrated in Algorithm 1 and creates a list of mappings L. The list initially contains all c^n possible mappings, *i.e.* all assignments of n variables x_i to one of c Sharing Vectors (1). We iterate over L (2). For each monomial m up to the target degree t (3), we check whether it is compatible with the mapping ρ , *i.e.* whether for any two variables in the monomial m they do not have the same Sharing Vector (5). After all compatible monomials for one mapping ρ have been determined, we check for a duplicate another mapping $\hat{\rho}$ with an identical list of compatible monomials - and eliminate it. We also check whether the mapping ρ is compatible with at least one monomial of the target degree t and otherwise discard it (9,10). The runtime of the entire preprocessing step is bounded by $\mathcal{O}(2^n \cdot c^n)$.

Algorithm 2 Search for a *l*-split

Input: L: list of mappings; α : compatibility α_m^{ρ} ; F: target function **Output:** S: a list of *l*-splits 1: $S \leftarrow \emptyset$ 2: **for** $(\rho_1, \dots, \rho_l) \in L^l$ **do** 3: **if** $\operatorname{rep}(\rho_1) \mid \dots \mid \operatorname{rep}(\rho_l) \mid \operatorname{rep}(F) = \operatorname{rep}(\rho_1) \mid \dots \mid \operatorname{rep}(\rho_l)$ **then** 4: $S \leftarrow S \cup \{(\rho_1, \dots, \rho_l)\}$ 5: **end if** 6: **end for**

Algorithm 2 demonstrates the search for an *l*-split of mappings for a specific target function F. Its run-time is $|L|^l = \mathcal{O}(c^{ln})$. In practice, the computation for our first-order secure AES design with the parameters c = t + 1 = 4, l = 2, n = 8 takes 3.08s for Algorithm 1 and 5.73s for Algorithm 2 on a recent Desktop PC¹.

Conclusion

In this work, we investigated the properties of t-degree Boolean functions that can be masked with the minimal number of expansion shares $(d+1)^t$. We proposed the notion of

¹Averaged over 100 computations

Sharing Matrices as a way of formalizing whether a non-complete masking for a generic Boolean functions exists. We proved that a (t, 1)-Sharing Matrix has at most c = t + 1 columns, which implies that any t-degree Boolean function with t + 1 variables can be masked with $(d + 1)^t$ intermediate shares. An interesting question for future research is whether a formal result for higher orders (cf. Table 1) can be found. We further introduced a methodology for splitting any t-degree Boolean function into s subfunctions with s minimal, such that a (t, d)-Sharing Matrix exists for each subfunction, which can hence be shared with the minimal number of intermediate shares.

The above methodology was applied to significantly optimize the implementations of De Meyer *et al.* [6]. The results are described in the extended version [16]. Since these implementations are FPGA-specific, the subfunctions in Algorithm 2 are chosen to minimize the number of variables they depend on. It is trivial to change this for ASICs. The methodology improved upon the AES implementation of [6] by 21.5% in the number of FPGA Look-up Tables (LUTs), 25.8% in the number of flip-flops and 33.3% in the number of slices.

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A Masking and Graph Colouring

In Section 3, we raised the question of how many columns a (t, d)-Sharing Matrix can have. We can connect this problem to that of finding balanced colourings of a graph.

Graph Colouring. Consider a graph $(\mathcal{V}, \mathcal{E})$ with vertices $\mathcal{V} = \{0, \ldots, d\}^t$ corresponding to the rows of a *t*-column Sharing Matrix M. In other words, the vertices of \mathcal{G} are words of length t with base d + 1. There are $(d + 1)^t$ vertices in total. Let two vertices in \mathcal{G} be connected by an edge when their labels differ in exactly one coordinate, *i.e.* their Hamming distance is one². Such a graph is called a Hamming graph H(t, d + 1). The case d = 1 is better known as a Hypercube graph [4]. It automatically follows that each pair of connected vertices $\{v_1, v_2\} \in \mathcal{E}$ have exactly t - 1 coordinates in common. Recall, that in a (t, d)-Sharing Matrix, no two rows may have t common elements. The problem of finding column t + 1 is thus equivalent to assigning to each vertex v a label $\mathcal{L}(v) \in \{0, \ldots, d\}$ such that $\forall \{v_1, v_2\} \in \mathcal{E} : \mathcal{L}(v_1) \neq \mathcal{L}(v_2)$. An example of such a labeling for t = 3 and d = 1 was shown in Eqn 4. Hence, if we can find a valid (d + 1)-colouring \mathcal{L} of the graph H(t, d + 1), then this implies the existence of a (t, d)-Sharing Vector that can be added to the Sharing Matrix M as extra column.

Given this equivalence, we can also provide an alternative proof for Lemma 2:

Proof. We consider the case d = 1, *i.e.* the vertices of H(t, 2) are bitvectors of length t and H(t, 2) defines a t-dimensional hypercube. We show the existence and uniqueness of the $t + 1^{\text{st}}$ column by showing the existence and uniqueness of a 2-colouring of the graph. It is well known that all hypercube graphs are bipartite, *i.e.* can be coloured with only two colours. This proves the existence of a t + 1-column (t, 1)-Sharing Matrix for any t. Next, we show the uniqueness of this column by showing that the 2-colouring of a hypercube graph is unique up to an inversion of the colours. Figure 1 depicts two 1-hypercubes (t = 1) and shows clearly that a 2-colouring of the vertices is unique up to an inversion of the colours. We refer to the colouring as \mathcal{L}^t and its inverse $\overline{\mathcal{L}^t}$. By definition, they have two properties:

$$\forall \{v_i, v_j\} \in \mathcal{E} : \mathcal{L}^t(v_i) \neq \mathcal{L}^t(v_j) \text{ and } \bar{\mathcal{L}}^t(v_i) \neq \bar{\mathcal{L}}^t(v_j)$$
(8)

$$\forall v_i : \mathcal{L}^t(v_i) \neq \bar{\mathcal{L}}^t(v_i) \tag{9}$$

Now, we show by induction that a t + 1-dimensional hypercube only has a unique colouring \mathcal{L}^{t+1} and its inverse $\overline{\mathcal{L}}^{t+1}$. Consider a t-dimensional hypercube graph $\mathcal{G} = (\mathcal{V}, \mathcal{E})$, which can only be coloured using \mathcal{L}^t or $\overline{\mathcal{L}}^t$. From this graph, we construct a hypercube graph of dimension t + 1 with vertices $\mathcal{V}' = \mathcal{V} \times \{0, 1\}$ and edges

$$\mathcal{E}' = \{\{(v_i, 0), (v_i, 1)\}, \forall v_i \in \mathcal{V}\} \cup \{\{(v_i, k), (v_j, k)\}, \forall \{v_i, v_j\} \in \mathcal{E}, k \in \{0, 1\}\}$$

Naturally, a valid colouring \mathcal{L}^{t+1} has to agree with either \mathcal{L}^t or $\overline{\mathcal{L}}^t$ on the subgraphs $\mathcal{G}_0, \mathcal{G}_1$ with nodes $\mathcal{V} \times \{0\}$ and $\mathcal{V} \times \{1\}$, as both are isomorphic to \mathcal{G} , hence

$$(\mathcal{L}^{t+1}|_{\mathcal{G}_0}, \mathcal{L}^{t+1}|_{\mathcal{G}_1}) \in \{(\mathcal{L}^t, \mathcal{L}^t), (\bar{\mathcal{L}}^t, \bar{\mathcal{L}}^t), (\mathcal{L}^t, \bar{\mathcal{L}}^t), (\bar{\mathcal{L}}^t, \mathcal{L}^t)\}$$

Now, edges of the form $\{(v_i, 0), (v_i, 1)\}$ and the colouring property (8) prohibit the choice of equal labelings. Hence, only two possibilities for \mathcal{L}^{t+1} remain, which are identical up to an inversion:

$$\begin{aligned} (\mathcal{L}^{t+1}|_{\mathcal{G}_0}, \ \mathcal{L}^{t+1}|_{\mathcal{G}_1}) &= (\mathcal{L}^t, \bar{\mathcal{L}}^t), \\ (\bar{\mathcal{L}}^{t+1}|_{\mathcal{G}_0}, \ \bar{\mathcal{L}}^{t+1}|_{\mathcal{G}_1}) &= (\bar{\mathcal{L}}^t, \mathcal{L}^t), \end{aligned}$$

 $^{^{2}}$ Note that we use the general (non-binary) notion of Hamming Weight which counts the number of different coordinates (not bits)



Figure 1: (a) unique 2-colouring of H(1,2) up to inversion (b) extension of H(1,2) to H(2,2) (c) extension of H(2,2) to H(3,2). Dashed lines indicate new edges.

As before, the proof cannot be generalized for d > 1. In Section 3, we therefore provided specific numbers in Table 1. With this Appendix, we mean to show that the problem of finding non-complete maskings is related to finding the number of d + 1-colourings of Hamming graphs. To the best of our knowledge, there is not yet a formula to describe this number. We note that not all colourings can be transformed to columns for the Sharing Matrix, since many of them are equivalent up to a renaming of the colours.

B Finding Sharing Matrices

Algorithm 3 Backtracking Procedure for constructing (t, d)-Sharing Matrices

```
1: M \leftarrow from Lemma 1
 2:\ c \leftarrow t
 3: while True do
          for i \in \{1, \dots, (d+1)^t - 1\} do
 4:
               \mathcal{L}_{i,c} \leftarrow \{0,\ldots,d\}
 5:
          end for
 6:
 7:
          \mathcal{L}_{0,c} \leftarrow \{0\}
 8:
          while M_c not completely determined do
               if \exists r: \mathcal{L}_{r,c} = \emptyset then
 9:
                    Break
10:
               else if \exists r: |\mathcal{L}_{r,c}| = 1 then
11:
                    M_{r,c} \leftarrow \mathcal{L}_{r,c}[0]
12:
               else
13:
                    Pick r, l (& record backtrackpoint)
14:
                    M_{r,c} \leftarrow \mathcal{L}_{r,c}[l]
15:
               end if
16:
               for i \in \{1, ..., (d+1)^t - 1\} \setminus \{r\} do
17:
                    if \#\{j: M_{i,j} = M_{r,j}\} = t - 1 then
18:
                        \mathcal{L}_{i,c} \leftarrow \mathcal{L}_{i,c} \setminus \{M_{r,c}\}
19:
                    end if
20:
               end for
21:
          end while
22:
23:
          if M_c not completely determined then
               {\bf if} \ {\rm Backtracking} \ {\rm possible} \ {\bf then}
24:
                    Jump to last backtrackpoint
25:
26:
               else
27:
                    Stop Algorithm
28:
               end if
          end if
29:
30:
          c \leftarrow c + 1
31: end while
```