# A Note on the (Im)possibility of Verifiable Delay Functions in the Random Oracle Model

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#### Abstract

Boneh, Bonneau, Bünz, and Fisch (CRYPTO 2018) recently introduced the notion of a *verifiable delay function* (VDF). VDFs are functions that take a long *sequential* time T to compute, but whose outputs y := Eval(x) can be efficiently verified (possibly given a proof  $\pi$ ) in time  $t \ll T$  (e.g.,  $t = \text{poly}(\lambda, \log T)$  where  $\lambda$  is the security parameter). The first security requirement on a VDF is that no polynomial-time algorithm can find a convincing proof  $\pi'$  that verifies for an input x and a different output  $y' \neq y$ . The second security requirement is that that no polynomial-time algorithm running in *sequential* time T' < T (e.g.,  $T' = T^{1/10}$ ) can compute y. Starting from the work of Boneh et al., there are now multiple constructions of VDFs from various algebraic assumptions.

In this work, we study whether VDFs can be constructed from ideal hash functions as modeled in the random oracle model (ROM). In the ROM, we measure the running time by the number of oracle queries and the sequentiality by the number of *rounds* of oracle queries. We show that VDFs satisfying *perfect* uniqueness (i.e., VDFs where no algorithm can find a convincing different solution  $y' \neq y$ ) cannot be constructed in the ROM. More formally, we give an attacker that finds the solution y in  $\approx t$  rounds of queries and asking only poly(T) queries in total. In addition, we show that a simple adaptation of our techniques can be used to rule out *tight* proofs of sequential work (proofs of sequential work are essentially VDFs without the uniqueness property).

## **1** Introduction

A verifiable delay function (VDF) [BBBF18] with domain  $\mathcal{X}$  and range  $\mathcal{Y}$  is a function that takes long *sequential* time T to compute, but whose output can be efficiently verified in time  $t \ll T$  (e.g.,  $t = \text{poly}(\lambda, \log T)$  where  $\lambda$  is a security parameter). More precisely, there exists an evaluation algorithm Eval that on input  $x \in \mathcal{X}$  computes a value  $y \in \mathcal{Y}$  and a proof  $\pi$  in time T. In addition, there is a verification algorithm Verify that takes as input a domain element  $x \in \mathcal{X}$ , a value  $y \in \mathcal{Y}$ , and a proof  $\pi$  and either accepts or rejects in time t. In some cases, a VDF might also have a setup algorithm Setup which generates a set of public parameters pp that is provided as input to Eval and Verify.<sup>1</sup> Typically, we require that the setup is also fast: namely, Setup runs in time poly $(\lambda, t)$ . The two main security requirements for a VDF are (1) *unique*ness which says that for all inputs  $x \in \mathcal{X}$ , no adversary running in time poly $(\lambda, T)$  can find  $y' \neq \text{Eval}(x)$  and a proof  $\pi'$  such that Verify $(x, y', \pi') = 1$ ; and (2) *sequentiality* which says that no adversary running in *sequential time* T' < T can compute y = Eval(x).

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<sup>&</sup>lt;sup>1</sup>Ideally, the public parameters can be sampled by a public-coin process [BBBF18, Wes19, Pie19]. Otherwise, we require a trusted setup to generate the public parameters [FMPS19, Sha19].

Verifiable delay functions have received extensive study in the last year, and have found numerous applications to building randomness beacons [BBBF18,EFKP19] or cryptographic timestamping schemes [LSS19]. Driven by these exciting applications, a sequence of recent works have developed constructions of verifiable delay functions from various algebraic assumptions [Wes19, Pie19, FMPS19, Sha19]. However, existing constructions still leave much to be desired in terms of concrete efficiency, and today, there are significant community-driven initiatives to construct, implement, and optimize more concretely-efficient VDFs [Chi19]. One of the bottlenecks in existing constructions of VDFs is their reliance on structured algebraic assumptions (e.g., groups of unknown order [RSA78, BBHM02]).

A natural question to ask is whether we can construct VDFs generically from *unstructured* primitives, such as collision-resistant hash functions or one-way functions. In this work, we study whether black-box constructions of VDFs are possible starting from hash functions or other symmetric primitives. Specifically, we consider black-box constructions of VDFs from ideal hash functions (modeled as a random oracle). Similarly to previous work (e.g., see [MMV11, AS15]) in the random oracle model (ROM), we measure the running time of the adversary by the number of oracle queries the adversary makes and the sequentiality of the adversary by the number of oracle queries it makes.

**Our Results.** In this work, we rule out the existence of VDFs with perfect uniqueness (i.e., VDFs where for any  $x \in \mathcal{X}$ , no algorithm can find  $(y', \pi)$  such that  $\operatorname{Verify}(x, y', \pi) = 1$  and  $y' \neq f(x)$ ) in the random oracle model. Specifically, we construct an adversary that asks O(t) rounds of queries and a total number of  $\operatorname{poly}(T)$  queries and breaks the uniqueness of VDFs with respect to *some* oracle. We also observe that in the tight regime of sequentiality (e.g., requiring an adversary to need sequential time  $T' \gg T \cdot (1 - 1/t)$ ), even *proofs of sequential work* (PoSW) [MMV13] cannot be based on random oracles. A proof of sequential work is a relaxation of a VDF without the uniqueness property. Thus, our lower bound for ruling out tight PoSW also rules out tight VDFs in the ROM. We note, however, that since (even publicly-verifiable) PoSW satisfying weaker notions of sequentiality (e.g., T' = T/2) are known [MMV13], it is not clear whether this lower bound for PoSW can be extended to rule out (non-tight) VDFs, and we leave this as an intriguing open question.

At a technical level, the proof of our first lower bound relies on the the techniques of Mahmoody, Moran, and Vadhan [MMV11] for ruling out time-lock puzzles in the random oracle model. In fact, for a special case of perfectly-unique VDFs where the VDF is a *permutation* on its domain, which we refer to as permutation-VDF (c.f.,  $[KJG^+16, AKK^+19]$ ), we can directly use the proof of [MMV11] as a black-box by reducing the task of constructing time-lock puzzles in the ROM to constructing permutation-VDFs in the ROM. For the more general case of perfectly-unique VDFs (that are not necessarily permutations) we still use ideas from [MMV11] that are reminiscent of similar techniques also used in [Rud88, BKSY11, MM11]. Namely, our attacker will sample full executions of the evaluation function Eval in its head, while respecting answers to queries that it has already learned from the real oracle. At the end of each simulated execution, it will ask all previously-unasked queries in one round to the oracle (and use those values in subsequent simulated executions). We show that using just O(t) rounds of this form, we can argue that in most of these rounds, the adversary does not hit any "new query" in the verification process. Consequently, in most of the executions it is *consistent* with the verification procedure with respect to *some* oracle O', and thus by the *perfect uniqueness* property, the answer in those executions should be the correct one. Finally, by taking a majority vote over the executions, we obtain the correct answer with high probability. Observe that this argument critically relies on *perfect uniqueness*. An interesting direction is to study whether there is a similar lower bound for *computational uniqueness* in the ROM. In this setting, the security requirement is that no *efficient* adversary can find a different value  $y' \neq \mathsf{Eval}(x)$  with a proof  $\pi'$  that passes verification.

### 1.1 Related Work

Verifiable delay functions are closely related to the notion of (publicly-verifiable) proofs of sequential work (PoSW) [MMV13, CP18, AKK<sup>+</sup>19, DLM19]. The main difference between VDFs and PoSWs is *uniqueness*. More specifically, a VDF ensures that for every input x, an adversary running in time  $poly(\lambda, T)$ can only find at most one output y and proof  $\pi$  that the verifier would accept (and if it does, the verifier is also convinced that the prover performed T sequential work). In contrast, a PoSW does not provide any guarantees on uniqueness. In particular, every input x, there are many possible pairs  $(y, \pi)$  that the verifier would accept, and indeed, in this setting, there is no need to distinguish between the output y and the proof  $\pi$ . Even more generally, proofs of work need not be necessarily publicly-verifiable [DN93]. In this setting, the verification key is secret, and we only require sequentiality against adversaries who do not know the secret verification key. We emphasize that the uniqueness property in VDFs is important both for applications as well as constructions. Indeed, publicly-verifiable proofs of sequential work can be constructed in the random oracle model [CP18, DLM19], while our work rules out a broad class of VDFs in the same model.

Another closely-related primitive is the notion of a time-lock puzzle [RSW96]. In a time-lock puzzle, a puzzle generator can generate a puzzle x together with a solution y in time  $t \ll T$ , but computing y from x still requires sequential time T. The main difference between VDFs and time-lock puzzles is that time-lock puzzles require knowledge of a secret key for efficient verification (in time t). In contrast, VDFs are publicly-verifiable (in time t). However, similar to VDFs, the output of a time-lock puzzle is unique. Mahmoody et al. [MMV11] leverage this very uniqueness property and the fact that the solution is known ahead of the time to the verifier (because it is sampled during the puzzle generation) to show an impossibility result for time-lock puzzles in the random oracle model. While VDFs also require unique solutions, these solutions might not be known when we directly sample an input.

**Concurrent Work.** Our second result about the limits of proofs of sequential work in ROM were independently discovered in a concurrent work by Döttling et al. [DGMV19], where the authors study *tight* verifiable delay functions. Indeed, this lower bound is more natural for the tight range of security parameters in which the sequentiality guarantee T' for the adversary is very close to  $T' \approx (1 - o(1)) \cdot T$ . However, as we mentioned above, this lower bound also applies to (even privately-verifiable) proofs of sequential work, while (even publicly-verifiable) proofs of sequential work do exist in the non-tight regime (e.g., T' = T/2) in ROM [MMV13]. Thus, whether or not this lower bound in ROM can be extended to arbitrary VDFs or not still remains as an intriguing open question.

## 2 Preliminaries

Throughout this work, we use  $\lambda$  to denote the security parameter. For an integer  $n \in \mathbb{N}$ , we write, [n] to denote the set  $\{1, 2, \ldots, n\}$ . We write  $\operatorname{poly}(\lambda)$  to denote a quantity that is bounded by a fixed polynomial in  $\lambda$  and  $\operatorname{negl}(\lambda)$  to denote a function that is  $o(1/\lambda^c)$  for all  $c \in \mathbb{N}$ . For a distribution  $\mathcal{D}$ , we write  $x \leftarrow \mathcal{D}$  to denote that x is a uniform draw from  $\mathcal{D}$ . For a finite set S, we write  $x \stackrel{\$}{\leftarrow} S$  to denote that x is sampled uniformly at random from S. We say that an algorithm is efficient if it runs in probabilistic polynomial time in the length of its input. We now review the definition of a verifiable delay function (VDF):

**Definition 2.1** (Verifiable Delay Function [BBBF18]). A *verifiable delay function* with domain  $\mathcal{X}$  and range  $\mathcal{Y}$  is a tuple of algorithms  $\Pi_{VDF} = (Setup, Eval, Verify)$  with the following properties:

- Setup(1<sup>λ</sup>, T) → pp: On input the security parameter λ, and the time bound T, the setup algorithm outputs the public parameters pp. This public parameter determines a (uniformly) *samplable* input space X and an output space Y.
- Eval(pp, x) → (y, π): On input the public parameters pp and an element x ∈ X, the evaluation algorithm outputs a value y ∈ Y and a (possibly empty) proof π. We will typically refer to y as the "output" of the VDF on x. When the context is clear, we simply write y = Eval(pp, x) to denote the output of the VDF on x.
- Verify(pp, x, y, π) → {0,1}: On input the public parameters pp, an element x ∈ X, a value y ∈ Y, and a proof string π ∈ {0,1}\*, the verification algorithm outputs a bit.

Moreover, the algorithms must satisfy the following efficiency requirements:

- The setup algorithm Setup runs in time poly(λ). (For simplicity, in the following sections, we sometimes write s to denote the running time of Setup.)
- The evaluation algorithm Eval runs in time T.
- The verification algorithm Verify runs in time  $t = poly(\lambda, \log T)$ .

Correctness. Next, we define the correctness requirement on a VDF:

**Definition 2.2** (Completeness). A VDF  $\Pi_{VDF} = ($ Setup, Eval, Verify) with domain  $\mathcal{X}$  and range  $\mathcal{Y}$  is complete if for all  $\lambda \in \mathbb{N}$ ,  $T \in \mathbb{N}$ ,  $x \in \mathcal{X}$  and sampling pp  $\leftarrow$  Setup $(1^{\lambda}, T)$ , we have that

$$\Pr[\mathsf{Verify}(\mathsf{pp}, x, \mathsf{Eval}(\mathsf{pp}, x), \pi)] = 1.$$

**Security.** There are two main security requirements we require on a VDF: *uniqueness* and *sequentiality*. We define these below:

**Definition 2.3** (Uniqueness). A VDF  $\Pi_{VDF} = (\text{Setup}, \text{Eval}, \text{Verify})$  with domain  $\mathcal{X}$  and range  $\mathcal{Y}$  satisfies *perfect uniqueness* if for all adversaries  $\mathcal{A}$ , and sampling pp  $\leftarrow \text{Setup}(1^{\lambda}, T), (x, y, \pi) \leftarrow \mathcal{A}(1^{\lambda}, 1^{T}, \text{pp}),$ 

 $\Pr[y \neq \mathsf{Eval}(\mathsf{pp}, x) \land \mathsf{Verify}(\mathsf{pp}, x, y, \pi) = 1] = 0.$ 

We say that  $\Pi_{VDF}$  satisfies *statistical uniqueness* if

$$\Pr[y \neq \mathsf{Eval}(\mathsf{pp}, x) \land \mathsf{Verify}(\mathsf{pp}, x, y, \pi) = 1] = \operatorname{negl}(\lambda), \tag{1}$$

and we say that  $\Pi_{VDF}$  is *computationally unique* if Eq. (1) holds only for poly( $\lambda, T$ )-time adversaries.

**Definition 2.4** (Sequentiality). A VDF  $\Pi_{VDF} = (\text{Setup}, \text{Eval}, \text{Verify})$  with domain  $\mathcal{X}$  and range  $\mathcal{Y}$  is  $\sigma$ -sequential (where  $\sigma$  may be a function of  $\lambda$ , T and t) if for all adversaries  $\mathcal{A} = (\mathcal{A}_0, \mathcal{A}_1)$ , where  $\mathcal{A}_0$  runs in time  $\text{poly}(\lambda, t)$  and  $\mathcal{A}_2$  runs in time  $\sigma$ , and sampling pp  $\leftarrow \text{Setup}(1^{\lambda}, T)$ , st<sub> $\mathcal{A}$ </sub>  $\leftarrow \mathcal{A}_0(1^{\lambda}, T, \text{pp})$ ,  $x \stackrel{\$}{\leftarrow} \mathcal{X}$ ,  $y \leftarrow \mathcal{A}_1(\text{st}_{\mathcal{A}}, x)$ ,

$$\Pr[y = \mathsf{Eval}(\mathsf{pp}, x)] = \operatorname{negl}(\lambda).$$

We can view  $A_0$  as a "preprocessing" algorithm that precomputes some initial state st<sub>A</sub> based on the public parameters and  $A_1$  as the "online" adversarial evaluation algorithm.

**Definition 2.5** (Decodable VDF [BBBF18]). Let t be a function of  $\lambda$ , T. A VDF  $\Pi_{VDF} = (Setup, Eval, Verify)$  with domain  $\mathcal{X}$  and range  $\mathcal{Y}$  is t-decodable if there is no extra proof (i.e.,  $\pi = \bot$ ) and there is a decoder Dec with the following properties:

- Dec runs in time t.
- For all  $x \in \mathcal{X}$ , if  $y = \mathsf{Eval}(\mathsf{pp}, x)$ , then  $\mathsf{Dec}(\mathsf{pp}, y) = x$ .

Moreover, for decodable VDFs, the verification algorithm Verify(pp, x, y) works as follows: on input (pp, x, y), compute  $x' \leftarrow \text{Dec}(pp, y)$  and output 1 only if x = x'. We call a VDF *efficiently* decodable, if it is t-decodable for  $t = \text{poly}(\lambda, \log T)$ .

**Remark 2.6** (Decodable VDFs and Perfect Uniqueness). By construction, the combination of (perfect) completeness (Definition 2.2) and decodability (Definition 2.5 implies *perfect* uniqueness (Definition 2.3).

**Definition 2.7** (Random Oracle Model (ROM)). A random oracle  $\mathcal{O}$  implements a truly random function from  $\{0,1\}^*$  to range  $\mathcal{R}^2$  Equivalently, one can use "lazy evaluation" for any such random oracle as follows:

- If the oracle has not been queried on x ∈ {0,1}\*, uniformly randomly select y ∈ R, remember the mapping (x, y), and return y.
- If the oracle was previously queried on x ∈ {0,1}\*, return the previously-chosen value of y (associated with x).

**Remark 2.8** (VDFs in the ROM). We define uniqueness and sequentiality of a VDF in the ROM by extending the corresponding definitions (Definition 2.3 and 2.4). For uniqueness, we note that the probability of the adversary succeeding is taken over the random coins of Setup and of the adversary, but *not* over the choice of oracle. For sequentiality, we measure the running time of the adversary by the number of *rounds* of oracle queries the adversary makes (this is to model the capabilities of a *parallel* adversary).

## **3** Lower Bounds for VDFs in the Random Oracle Model

In this section, we show that perfectly unique VDFs (Definition 2.3) are impossible in the random oracle model. In particular, if a VDF in ROM is perfectly unique, it means that for *every* sampled random oracle  $O \leftarrow O$ , perfect uniqueness holds.

**Theorem 3.1** (Ruling out Perfectly Unique VDFs in ROM). Suppose  $\Pi_{VDF} = (Setup, Eval, Verify)$  be a VDF in the ROM with perfect uniqueness in which (for a concrete choice of  $\lambda$ ), Setup runs in time s, Eval runs in time T, and Verify runs in time t. Then, there is an adversary A that breaks sequentiality (Definition 2.4) by asking a total of  $O(T \cdot (t + s))$  queries in 2(s + t) rounds of queries.

Before proving Theorem 3.1, we observe that this result already rules out the possibility of constructing decodable VDFs (which are perfectly unique; see Remark 2.6) in the ROM. In fact, a special case of this theorem for the class of "permutation VDFs" is implied by the impossibility result of [MMV11] for time-lock puzzles [RSW96].<sup>3</sup> We define this class of special VDFs below:

<sup>&</sup>lt;sup>2</sup>In the literature, there are multiple ways to model the range set: sometimes range  $\mathcal{R}$  is  $\{0,1\}^{\lambda}$  for security parameter  $\lambda$ , sometimes it is simply  $\{0,1\}$ , and sometimes it is a "length preserving" by mapping any x to a string of the same length.

<sup>&</sup>lt;sup>3</sup>In a time-lock puzzle, there is a puzzle-generation algorithm that runs in time t and samples a puzzle x together with a solution y, and an evaluation algorithm that runs in sequential time T that takes an input x and outputs the solution y.

**Permutation-VDFs.** As a special case of decodable VDFs, one can further restrict the mapping from  $\mathcal{X}$  to  $\mathcal{Y}$  to be a *permutation* (instead of just being an injective function). Indeed, the recent construction of [AKK<sup>+</sup>19] has this property.

**Proposition 3.2.** Let  $\Pi_{VDF}$  be a permutation-VDF in the ROM with a decoder Dec that runs in time t, and a setup algorithm Setup that runs in time s. Then, there is an adversary that breaks sequentiality (Definition 2.4) in O(s + t) rounds of queries and a total of  $O(T \cdot (s + t))$  queries.

*Proof of Proposition 3.2.* Previously, Mahmoody et al. [MMV11] showed an impossibility result for timelock puzzles in the ROM. To prove the claim, we show how to construct a time-lock puzzle from a permutation-VDF. The result then follows from the lower bound of [MMV11]. The construction is as follows. The puzzle-generator would first run the setup algorithm Setup of the VDF to get pp. Then, it samples  $y \stackrel{\$}{\leftarrow} \mathcal{X} = \mathcal{Y}$  (note that we need  $\mathcal{X}$  to be efficiently samplable) and sets  $x \leftarrow \text{Dec}(\text{pp}, y)$ . It outputs x as the puzzle (and keeps y as the solution). Since Setup and Dec for a VDF are both efficient (i.e., run in time  $\text{poly}(\lambda, t)$ ), the puzzle-generator is also efficient. However, computing the solution is T-sequential in the ROM by sequentiality of the VDF.

We can now use the result of [MMV11] which shows that any time-lock puzzle in the ROM where the puzzle-generation algorithm makes k queries and the puzzle-solving algorithm makes T queries can be broken by an adversary making O(k) rounds of queries and a total of  $O(k \cdot T)$  queries. For the time-lock puzzle based on the permutation-VDF, k = s + t, where s is the number of queries made by the Setup algorithm and t is the number of queries made by Dec.

We now give the proof of Theorem 3.1. It still follows the ideas from [MMV11] for ruling out time-lock puzzles in the ROM, but this time, we cannot simply reduce the problem to the setting of time-lock puzzles, and we need to go into the proof and extend it to our setting.

*Proof of Theorem 3.1.* Without loss of generality, assume that Eval asks no repeated queries in a single execution. We construct an attacker A as follows:

- 1. Let  $Q_{\mathcal{A}} = \emptyset$  (as a set of queries) and  $P_{\mathcal{A}} = \emptyset$  (as a set of query-answer pairs).
- 2. Let d = 2(s+t) + 1.
- 3. For  $i \in [d]$ , do the following:
  - (a) Let  $P_{\mathcal{A}}^{(i)} = Q_{\mathcal{A}}^{(i)} = \varnothing$ .
  - (b) Execute  $(y_i, \pi_i) \leftarrow \text{Eval}(pp, x)$  where the random oracle queries (made by Eval) are answered using the following procedure. On every oracle query q:
    - If  $q \in Q_A$ , then reply with the value r where  $(q, r) \in P_A$ .
    - Otherwise, choose a uniformly random value r <sup>\$</sup> R (where R is the range of the random oracle O) and add (q, r) to P<sup>(i)</sup><sub>A</sub> and add q to Q<sup>(i)</sup><sub>A</sub>.
  - (c) If i < d, then in *one round*, for all  $(q, r) \in P_{\mathcal{A}}^{(i)}$ , query the real oracle  $\mathcal{O}$  and get  $r \leftarrow \mathcal{O}(q)$  as the answer. Then for all such queries, add (q, r) to  $P_{\mathcal{A}}$  and add q to  $Q_{\mathcal{A}}$ .
- 4. Output majority  $(y_1, ..., y_d)$  where majority denotes the majority operation (that outputs  $\perp$  if no majority exists).

We now show that A satisfies the properties needed in Theorem 3.1. Let  $Q_S$  be the queries asked by the setup algorithm and  $Q_V$  the queries asked by the verifier for the specific challenge x and its true solution y.

For  $i \in [d]$ , we define  $H_i$  to be the event where there is a query  $q \in Q_A^{(i)} \cap (Q_S \cup Q_V)$  during the *i*<sup>th</sup> round of emulation that was *not* previously asked by the adversary:  $q \notin Q_P$  at that moment. Equivalently, when q is asked, it holds that  $q \in (Q_A^{(i)} \cap (Q_S \cup Q_V)) \setminus Q_P$ . The following claim shows that  $H_i$  cannot happen for too many *i*'s.

**Claim 3.3.** If  $I = \{i : H_i \text{ holds}\}$ , then  $|I| \le s + t$ .

*Proof.* The reason is that every time that  $H_i$  happens for a query q, at the end of round i, A asks q from the oracle  $\mathcal{O}$ ,  $\mathcal{A}$  asks a *new query* that was asked previously by either of Setup or Verify algorithms. Since Setup and Verify together ask a total of s + t queries, this cannot happen more than s + t times. 

**Claim 3.4.** If  $H_i$  does not happen, then  $y_i = y$ .

*Proof.* Let  $y_i \neq y$  for a round i in which  $H_i$  has not happened. This means that the set of oracle queryanswer pairs used during Setup, and the  $i^{th}$  emulation of Eval by A are *consistent*. Namely, there is an oracle O', relative to which, we have pp  $\leftarrow$  Setup<sup>O'</sup>,  $(y', \pi') \leftarrow$  Eval<sup>O'</sup>(pp, x), and Verify<sup>O'</sup>(pp, x, y, \pi) = 1. However, this shows that the perfect uniqueness property is violated relative to O', because for input x, there is a "wrong" solution y (i.e.,  $y \neq y' = \text{Eval}^{O'}(\text{pp}, x)$ ) together with some proof  $\pi$  for y such that the verification passes  $\operatorname{Verify}^{O'}(\operatorname{pp}, x, y, \pi) = 1.$ 

By the above two claims, it holds that  $y_i = y$  for at least s + t + 1 values of  $i \in [2(s + t) + 1]$ , and thus the majority gives the right answer y for A. 

Lower Bound for *Tight* Proofs of Sequential Work. We can apply similar techniques to rule out *tight* proofs of sequential work [MMV13] in the random oracle model. At a high level, a (publicly-verifiable) proof of sequential work is a VDF without *uniqueness*. Namely, for an input x, there can be many pairs  $(y,\pi)$  that passes verification. In this setting, there is no need to distinguish y and  $\pi$ . While we have constructions of (publicly-verifiable) proofs of sequential work in the ROM, our results show that *tight* proofs of sequential work (see [DGMV19] for more discussion on this tightness notion) are impossible in this setting. In particular, the following barrier applies to settings where the sequentiality parameter  $\sigma$  is *very* close to T (e.g., this does not apply to  $\sigma = T/2$ ). The following definition derives publicly-verifiable proofs of sequential work [MMV13, CLSY93, DN93, RSW96] as a relaxation of VDFs.<sup>4</sup>

Definition 3.5 (Publicly Verifiable Proofs of Sequential Work). A publicly verifiable proof of sequential work is a relaxation of VDFs in which the uniqueness property is not needed. As a result, there is no need to distinguish between y and  $\pi$ , and  $y = \pi$  can be the only (not-necessarily-unique) output of Eval that is still sequentially hard to compute.

Remark 2.8 explains the notion of a VDF in the ROM, and the same remark applies to (publicly verifiable) proofs of sequential work in the ROM as well. We now show that our techniques for ruling our perfectly-unique VDFs in the ROM also suffice for ruling out tight proofs of sequential work.

<sup>&</sup>lt;sup>4</sup>Definition 3.5 is even more general as it allows a setup phase.

**Theorem 3.6** (Ruling out Tight Proofs of Sequential Work in ROM). Suppose  $\Pi_{PSW} = (Setup, Eval, Verify)$  is a publicly verifiable proof of sequential work in the ROM in which (for a concrete choice of  $\lambda$ ), Setup runs in time s, Eval runs in time T, and Verify runs in time t. Then, for any 1 < G < T there is an adversary A that asks a total of at most T - G queries and breaks sequentiality (Definition 2.4) with probability at least

$$1 - (s+t) \cdot \frac{G}{T}.$$

The above theorem implies that for  $G \cdot (s + t) \ll T$  (i.e., a tightly sequential scheme), the probability of success by the attacker approaches  $\approx 1$ .

**Remark 3.7** (Secretly Verifiable Tight Proofs of Sequential Work). Before proving Theorem 3.6, we remark that the theorem also holds for general (not necessarily publicly-verifiable) proofs of sequential work as well. For simplicity of notation we write the proof for the publicly verifiable version (Definition 2.4) which uses pp as both evaluation and verification key.

*Proof of Theorem 3.6.* Again, without loss of generality, we assume that Eval asks no repeated queries in a single execution. The attacker's algorithm A is as follows:

- 1. Pick a random set  $S \subseteq [T]$  of size T G.
- 2. Execute  $(y, \pi) \leftarrow \mathsf{Eval}(\mathsf{pp}, x)$  while any oracle query q is answered as follows.
  - If  $q \in S$ , ask q from the true oracle O,
  - Otherwise choose a uniformly random value,  $r \leftarrow \mathcal{R}$  for q.
- 3. Output  $(y, \pi)$ .

To analyze the above attack, we compare the attacker's experiment with an "ideal" experiment. Before doing so, we first define the following experiment.

- pp  $\leftarrow$  Setup $(1^{\lambda}, T)$
- $x \stackrel{\hspace{0.1em}\mathsf{\scriptscriptstyle\$}}{\leftarrow} \mathcal{X}$
- Run the adversary  $\mathcal{A}$  (described above).
- Let  $b \leftarrow \text{Verify}(pp, x, y, \pi)$ .
- The output of the experiment is 1 if b = 1 (and 0 otherwise).

**Real vs. ideal experiments.** Let the above experiment be the "real" experiment Real, and define the "ideal" experiment Ideal to be a similar game where the true oracle  $\mathcal{O}$  is used in all of the queries. We let  $\Pr_{\mathsf{real}}[\cdot]$  (resp.,  $\Pr_{\mathsf{ideal}}[\cdot]$ ) denote a probability of an event *E* in the Real (resp., Ideal) experiment.

**Events.** Let W be the event that  $Verify(pp, x, y, \pi) = 1$  when  $(y, \pi)$  is the output of the adversary (i.e., W is the event that the adversary wins and the experiment outputs 1). Also, let  $Q_V$  be the oracle queries made by Verify,  $Q_S$  be the oracle queries made by Setup, and  $Q_A$  be the adversary's queries  $q_i$  during the emulation of the evaluation algorithm Eval where  $q_i \notin S$  (i.e., the adversary chooses the answer to  $q_i$  at random). Define the "bad" event B to be the event that  $(Q_V \cup Q_S) \cap Q_A \neq \emptyset$ ; namely, the event that adversary makes up an answer to a query that is asked either by the setup algorithm or the verification algorithm. With these definitions, the following claim trivially holds in the ideal experiment (and the perfect completeness), as there is no attack involved.

**Claim 3.8.**  $\Pr_{\mathsf{ideal}}[W] = 1.$ 

Next, the following lemma states that until event B happens, the two experiments are identical.

**Lemma 3.9.**  $\Pr_{\mathsf{real}}[B] = \Pr_{\mathsf{ideal}}[B]$ , and conditioned on the event *B* not happening, the two experiments are identically distributed. In particular, for any event like *W*, it hold that  $\Pr_{\mathsf{real}}[W \lor B] = \Pr_{\mathsf{ideal}}[W \lor B]$ .

*Proof.* Here, we make a crucial use of the fact that oracle  $\mathcal{O}$  is random. To prove the lemma, we run the two games *in parallel* using the *same* randomness for any query that is asked by any party, step by step. Namely, we start by executing the setup algorithm identically as much as possible until event B happens. More formally, we run both experiments by using fresh randomness to answer any new query asked during the execution, and we will *stop* the execution as soon as event B happens. Since until the event B happens both games proceed *identically* (in a perfect sense) and *consistently* according to their own distribution, it means that until event B happens, the two games have the same perfect distribution.

We now observe that the probability of B is small in the ideal game.

Claim 3.10.  $\Pr_{\mathsf{ideal}}[B] \leq (s+t) \cdot G/T.$ 

*Proof.* In this game, the set S is chosen independently of other components of the experiment. So, we can choose S at the end. By doing so, any query in in  $Q_V \cup Q_S$  that is also asked by  $Q_A$  will be chosen by the adversary with probability at most G/T. Thus, the claim follows by a union bound.

The above claims finish the proof of Theorem 3.6, as we now can conclude that the probability of W in both experiments is "close":

$$\left| \Pr_{\mathsf{real}}[W] - \Pr_{\mathsf{ideal}}[W] \right| \le \Pr_{\mathsf{ideal}}[B]$$

We already know that  $\Pr_{\mathsf{ideal}}[W] = 1$ , therefore, we conclude that

$$\Pr_{\mathsf{real}}[W] \ge \Pr_{\mathsf{ideal}}[W] - \Pr_{\mathsf{ideal}}[B] \ge 1 - (s+t) \cdot \frac{G}{T}.$$

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