# A post-quantum signature scheme from the secant variety of the Grassmannian

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#### Abstract

In this paper we present a signature scheme based on the difficulty of finding a point in a shifted Grassmannian variety or on its secant variety from a knowledge of its defining polynomials. An advantage of using the secant variety of the Grassmannian is that it is defined by sparse cubic equations, which are in general more difficult to solve than quadratic ones, thereby reducing the size of the public key.

*Keywords:* Multivariate cryptography Grassmannian secant variety digital signature

## 1 Introduction

Most of the currently used public key cryptosystems are based on the well known mathematical problems namely integer factorization and discrete logarithm problems. But, after the widely acclaimed algorithm by Shor [13], these problems have been considered unsafe from the possible large scale quantum computers in near future. That gave a challenge to design the cryptosystems that are strong enough to face quantum computers and

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at the same time these should be efficient enough for practical purposes. Many efforts have been pursued toward the quantum resistant cryptosystems that include lattice-based, code-based, multivariate, hash-based [4, 8] and isogeny based cryptography see for example [6, 5, 10].

Multivariate public key authentication scheme like Rainbow [7], one of the three NIST post-quantum signature finalists [15], is known for relatively fast signing and verification but large public key size in comparison to other post quantum signature schemes. In this paper, we purpose a multivariate signature scheme based on the difficulty of finding points inside the shifted secant variety of the Grassmannian when only the implicit equations are known.

The main idea of the signature scheme can be summarized as follows:

- 1. Alice chooses a secret projective variety *Y*, which is a shifted (through an automorphism of the ambient space) Secant variety of the Grassmannian.
- 2. She publishes a set of equations vanishing on the variety.
- 3. A document is a linear subspace of the ambient space. A signature is a point lying in the intersection.
- 4. Alice can quickly sign a document by using the Plücker embedding of the Grassmannian and her secret automorphism.

## 2 Preliminaries

In this section, we describe some background required to explain the signature scheme. There are good references for the materials covered in this section, for example see in [12, 11, 9, 16, 1].

### 2.1 Affine Varieties

We begin our description by recalling the notion of affine *n*-space and its subsets defined by zeros of polynomials.

**Definition 2.1.** *The affine n-space* (over a field  $\kappa$ ) *is the set of n-tuples* 

$$\mathbb{A}^n = \mathbb{A}^n(\kappa) = \{ P = (x_1, \dots, x_n) : x_i \in \kappa \}.$$

Let  $\kappa[x] = \kappa[x_1, ..., x_n]$  be a polynomial ring in *n* variables and let  $I \triangleleft \kappa[x]$  be and ideal. Then to each such *I* it is associated a subset of  $\mathbb{A}^n$ :

$$V(I) = \{ P \in \mathbb{A}^n : f(P) = 0 \ \forall f \in I \}.$$

**Definition 2.2.** An affine algebraic set is any set of the form V(I). If V is an algebraic set, the ideal of V is given by

$$I(V) = \{ f \in \kappa[x] : f(p) = 0 \ \forall P \in V \}.$$

The affine varieties are indecomposable affine algebraic sets.

**Definition 2.3.** *An affine variety is an algebraic set V which can not be written as a non-trivial union of other algebraic sets:* 

$$V = V_1 \cup V_2 \Rightarrow V = V_1 \text{ or } V = V_2.$$

### 2.2 **Projective Varieties**

The projective space is historically constructed by adding "points at infinity" to the affine space. It can be characterized as the lines of the affine space passing through the origin.

**Definition 2.4.** *The projective n-space (over a field*  $\kappa$ *), denoted by*  $\mathbb{P}^n$ *, is the set of all the* (n + 1)*-tuples* 

$$(X_0,\ldots,X_n)\in\mathbb{A}^{n+1}$$

such that at least one  $X_i$  is non-zero, modulo the equivalence relation

$$(X_0,\ldots,X_n) \sim (Y_0,\ldots,Y_n)$$

if and only if there exists  $c \in \kappa^*$  such that  $X_i = cY_i$  for all  $i \in \{0, ..., n\}$ . The equivalence class of the point  $(X_0, ..., X_n)$  is denoted by  $[X_0, ..., X_n]$ .

Projective algebraic sets are defined similarly as affine algebraic sets. Observe that it is not well defined the vanishing of a general polynomial  $f \in \kappa[X_0, ..., X_n]$  on a point  $P \in \mathbb{P}^n = [p_0, ..., p_n]$  since it may happens that  $f(cp_0, ..., cp_n) = 0$  and  $f(c'p_0, ..., c'p_n) \neq 0$  for  $c \neq c'$ . The vanishing on a point  $P \in \mathbb{P}^n$  is defined only for homogeneous polynomials.

**Definition 2.5.** A polynomial  $f \in \kappa[X_0, ..., X_d]$  is homogeneous of degree d if all its monomials have degree d. An ideal  $I \triangleleft \kappa[X_0, ..., x_n]$  is homogeneous if it is generated by homogeneous polynomials.

**Remark 2.6.** For a polynomial f homogeneous and of degree d, a (n + 1)-tuple  $(x_0, ..., x_n) \in \mathbb{A}^{n+1}$  and  $c \in \kappa$ , the following relation holds:

$$f(cx_0, ..., cx_n) = c^d f(x_0, ..., x_n).$$

It follows that the vanishing of f in  $[x_0, ..., x_n]$  is well defined.

The projective algebraic sets can now be defined.

**Definition 2.7.** A projective algebraic set is any set of the form

$$V(I) = \{P \in \mathbb{P}^n : f(P) = 0 \text{ for all homogeneous} f \in I\},\$$

where  $I \triangleleft \kappa[X]$  is a homogeneous ideal.

The ideal of a projective algebraic set is defined in the similar way.

**Definition 2.8.** Let  $V \subset \mathbb{P}^n$  be a projective algebraic set. The ideal of V is

 $I(V) = (\{f \in \kappa[X] : f \text{ is homogeneous }, f(P) = 0 \ \forall P \in V\}).$ 

Similar to affine algebraic sets, there exist indecomposable projective algebraic sets.

**Definition 2.9.** *A projective variety is a projective algebraic set V which can not be written as a non-trivial union of other algebraic sets:* 

$$V = V_1 \cup V_2 \Rightarrow V = V_1 \text{ or } V = V_2.$$

 $\mathbb{P}^n$  contains many copies of  $\mathbb{A}^n$ . The most special ones are so called affine charts. For each  $0 \le i \le n$  denote by  $U_i = \{X_i \ne 0\} \subset \mathbb{P}^n$ , then we have a natural identification

$$\mathbb{A}^n_{\kappa} \xrightarrow{\varphi_i} U_i$$
  
(x\_1,...,x\_n) \longmapsto [x\_1,...,x\_{i-1},1,x\_i,...,x\_n],

L

which is a bijection since it has an inverse map

$$U_i \xrightarrow{\phi_i^{-1}} \mathbb{A}^n_{\kappa}$$
$$[X_0, ..., X_n] \longmapsto \left(\frac{X_0}{X_i}, ..., \frac{X_{i-1}}{X_i}, \frac{X_{i+1}}{X_i}, ..., \frac{X_n}{X_i}\right).$$

Note that the affine charts  $U_i$  covers  $\mathbb{P}^n$ . For any projective algebraic set  $V \subset \mathbb{P}^n$ , the sets  $\phi_i^{-1}(V)$  are affine algebraic sets: they are defined by dehomogenize the polynomials defining I(V) with respect to the variable  $X_i$ . If V is a projective variety, then  $V \cap U_i$  is an affine variety for  $0 \le i \le n$ .

A simple example of projective varieties are the ones defined by linear equations.

**Definition 2.10.** A linear subspace  $L \subset \mathbb{P}^n$  is a projective variety defined by homogeneous polynomials of degree 1. The codimension of L, denoted by  $\operatorname{codim}_{\mathbb{P}^n}(L)$ , is the minimum number of generators of I(L). The dimension of L is the quantity  $\dim(L) = n - \operatorname{codim}_{\mathbb{P}^n}(L)$ .

Other easy examples of projective algebraic sets are the varieties cut by one equation.

**Definition 2.11.** An hypersurface is a projective algebraic set  $V \subset \mathbb{P}^n$  defined by a single equation  $F(X_0, ..., X_n) = 0$ .

**Remark 2.12.** *Note that an hypersurface is a projective variety if F has only one prime factor.* 

To any projective algebraic set (not just to linear subspaces), the notion of dimension is associated. Here we present a definition which is the most intuitive and less technical, though not so commonly used in the literature. We assume that  $\kappa$  is algebraically closed.

**Definition 2.13.** Let  $V \subset \mathbb{P}^n$  be a projective algebraic set. Let d be the maximum dimension of a linear subspace  $L \subset \mathbb{P}^n$  such that  $L \cap V = \emptyset$ . Then codimension of V in  $\mathbb{P}^n$  is the quantity

$$\operatorname{codim}_{\mathbb{P}^n}(V) = d + 1.$$

*The dimension of V is the quantity* 

$$\dim(V) = n - \operatorname{codim}_{\mathbb{P}^n}(V).$$

**Remark 2.14.** Note that the codimension of a hypersurface is 1, as one could expect.

### 2.3 Maps between varieties

In this subsection, we discuss about algebraic maps between varieties.

**Definition 2.15.** Let  $Y_1 \subset \mathbb{P}^m$ ,  $Y_2 \subset \mathbb{P}^n$  be two projective varieties. A rational map from  $Y_1$  to  $Y_2$  is a map of the form



where  $F_0, ..., F_n \in \kappa[X] = \kappa[X_0, ..., X_n]$  are homogeneous polynomials of the same degree, satisfying the property that  $[F_0(P), ..., F_n(P)] \in Y_2$  for any  $P \in Y_1$  such that  $F_0(P), ..., F_n(P)$  are not simultaneously 0.

In general rational maps have different representative sequence of polynomials.

**Definition 2.16.** Two sequences of polynomials  $(F_0, ..., F_n)$  and  $(G_0, ..., G_n)$  represent the same rational map

 $Y \to \mathbb{P}^n$ 

*if*  $[F_0(P), ..., F_n(P)] = [G_0(P), ..., G_n(P)]$  for all  $P \in Y$  such that both the two sequences do not vanish on P.

A morphism is a rational map defined at every point.

**Definition 2.17.** A rational map  $\phi : Y \to \mathbb{P}^n$  is regular in P if there exists a representing sequence of polynomials  $(F_0, ..., F_n)$  such that

$$(F_0(P), ..., F_n(P)) \neq (0, ..., 0).$$

 $\phi$  is a morphism if it is regular at every point of Y.

**Definition 2.18.** A birational map between projective varieties  $Y_1$  and  $Y_2$  is a map

 $\phi: Y_1 \to Y_2$ ,

for which there exist another rational map

$$\psi: Y_2 \to Y_1$$

such that  $\psi \circ \phi = id_{Y_1}$  and  $\phi \circ \psi = id_{Y_2}$ .  $\phi$  is an isomorphism if both  $\phi$  and  $\psi$  are morphisms.

#### 2.4 Grassmannian

 $\mathbb{P}^n$  paramatrizes the lines through the origin contained in  $\mathbb{A}^{n+1}$ . Equivalently, it parametrizes the 1-dimensional subspaces of a  $\kappa$ -vector space V of dimension n + 1. The Grassmannian is an immediate generalization of this concept.

**Definition 2.19.** *Let V be a n dimensional vector space over*  $\kappa$ *. For*  $1 \le d \le n$ *, the Grassmannian of d-subspaces of V is the set* 

$$G(d, V) = \{W \le V : \dim(W) = d\}.$$

When  $V = \kappa^n$ , this is denoted by G(d, n).

Recall, from basic linear algebra, that two ordered set of linearly independent vectors of V,  $\mathcal{B} = \begin{pmatrix} \vec{v}_1 \\ \vdots \\ \vec{v}_d \end{pmatrix}$  and  $\mathcal{B}' = \begin{pmatrix} \vec{w}_1 \\ \vdots \\ \vec{w}_d \end{pmatrix}$ , generate the same

subspace  $W \subset V$  if and only there is an invertible matrix  $M \in GL(d)$  such that

$$\mathcal{B}' = M \cdot \mathcal{B}.$$

It follows that, like the projective space, the Grassmannian can be identified as a quotient by an equivalence relation.

**Proposition 2.20.** *Let* G(d, n) *be the set of*  $d \times n$  *matrices* A *of rank* d *modulo the equivalence relation* 

$$A \sim A' \iff \exists M \in \operatorname{GL}(d) : A = MA'.$$

*Then there is a bijection* 

$$\mathcal{G}(d,n) \leftrightarrow G(d,n),$$

which maps the class of a matrix A to the vector space spanned by the rows of A.

From now on we will not distinguish between  $\mathcal{G}(d, n)$  and  $\mathcal{G}(d, n)$ . Similar to the case of the projective space, affine charts are also defined for the Grassmannian. Let *S* any subset of  $\{1, ..., n\}$  such that #S = dand denote by  $U_S \subset \mathcal{G}(d, n)$  the subset of matrices for which the minor  $d \times d$  corresponding to *S* is non-zero. Note that  $\mathcal{G}(d, n)$  is covered by these subsets  $U_S$ . Furthermore any  $[M] \in U_S$  admits a unique representative for which the  $d \times d$  sub-matrix corresponding to *S* is the identity matrix: in fact if  $M_S$  is such a sub-matrix, then we have just to consider  $M_S^{-1}M$  as a representative. It follows that  $U_S$  is identified with  $\mathbb{A}^{d(n-d)}$ .

**Example 2.21.** Suppose that  $S = \{1, ..., d\}$ , then, denoting by HJ the operator of horizontal joint of two matrices (having the same number of rows), we have the following characterization

$$U_S = \{ \mathrm{HJ}(I_d, B) : B \in \mathrm{Mat}_{d \times (n-d)}(\kappa) \}.$$

We want now to characterize G(d, n) as a projective variety, i.e. as an object defined by polynomial equations in a projective space. The first aim is to find the projective space on which it lies. Recall first the notion of exterior power of a vector space.

**Definition 2.22.** Let V be an n-dimensional  $\kappa$ -vector space,  $0 < d \leq n$ . Then the d-th exterior power of V, denoted by  $\bigwedge^d V$ , is the vector space spanned by the tensors of the form  $v_1 \land v_2 \cdots \land v_d$ , where  $\land$  satisfies the following properties:

• *it is d-linear:* 

$$a \cdot (v_1 \wedge \ldots \wedge v_d) = (av_1) \wedge v_2 \ldots \wedge v_d = \ldots = v_1 \wedge \ldots \wedge v_{d-1} \wedge (av_d),$$

 $v_1 \wedge ... \wedge (v_i + v'_i) \wedge ... \wedge v_d = v_1 \wedge ... \wedge v_i \wedge ... \wedge v_d + v_1 \wedge ... \wedge v'_i \wedge ... \wedge v_d;$ 

• *it is antisymmetric:* 

$$v_{\sigma(1)} \wedge ... \wedge v_{\sigma(d)} = (-1)^{\operatorname{sgn}(\sigma)} v_1 \wedge ... \wedge v_d$$

for any  $\sigma \in S_d$ , where  $S_d$  denotes the *d*-th symmetric group.

**Remark 2.23.** *Note that the antisymmetric condition implies, for characteristic* > 2, *that* 

$$\dim(\operatorname{Span}(v_1, \dots, v_d)) < d \Rightarrow v_1 \wedge \dots \wedge v_d = 0.$$
(1)

In characteristic 2, when there is no distinction between symmetry and antisymmetry, it is possible to define the "antisymmetric" property by requiring the symmetric one and the condition 1.

Fix a basis  $\{e_1, \ldots, e_n\}$  of the vector space *V*, the set

$$\{e_{i_1} \wedge \dots \wedge e_{i_d} : 1 \le i_1 < \dots \le i_d \le n\}$$
<sup>(2)</sup>

forms a basis for  $\bigwedge^d(V)$ , whose dimension is then  $\binom{n}{d}$ .

**Lemma 2.24.** Let W be a d-dimensional subspace of a n-dimensional vector space V over  $\kappa$ . Let  $\mathcal{U} = \begin{bmatrix} u_1 \\ \vdots \\ u_d \end{bmatrix}$  and  $\mathcal{W} = \begin{bmatrix} w_1 \\ \vdots \\ w_d \end{bmatrix}$  be two bases of W and M be a  $d \times d$  invertible matrix such that

$$\mathcal{U} = M\mathcal{W}.$$

Then

$$u_1 \wedge \cdots \wedge u_d = \det(M) \cdot w_1 \wedge \cdots \wedge w_d.$$

This lemma shows that whatever the bases we choose for *W*, the corresponding wedge product is uniquely determined up to a scalar multiplication. Therefore, the following map

$$\iota: G(d, V) \to \mathbb{P}(\bigwedge^{d} V)$$

given by  $W \mapsto [v_1 \wedge \cdots \wedge v_d]$ , where  $\{v_1, \ldots, v_d\}$  is a basis of  $W \in G(d, V)$ , is well defined.

**Proposition 2.25.** The map  $\iota : G(d, V) \to \mathbb{P}(\bigwedge^d V)$  defined above is an isomorphism onto the image, called Plücker embedding.

By the proposition 2.25, the Grassmannian G(d, V) can be seen a subset of a projective space.

Fix a basis  $\{e_1, ..., e_n\}$  of *V* and consider on  $\bigwedge^d V$  the basis in 2. Then, in coordinates, the Plücker embedding maps [M] to the sequence of its minors of rank *d*.

The image  $\iota(G(d, V))$  is a projective variety defined by a set of quadratic equations.

**Theorem 2.26.** The image of the Plücker embedding  $\iota(G(d, V)) \subset \mathbb{P}(\bigwedge^d(V))$  is a projective variety defined by quadratic equations, called Plücker relations. Call  $\{X_{i_1,...,i_d}\}_{1 \le i_1 < ... < i_d \le n}$  the coordinates of  $\mathbb{P}(\bigwedge^2 V)$ , then for any couple of ordered sequences

$$1 \le i_1 < i_2 < \dots < i_{d-1} \le n, \ 1 \le j_1 < j_2 < \dots < j_{d+1} \le n$$

the following equations hold:

$$\sum_{l=1}^{d+1} X_{i_1, \dots, i_{d-1}, j_l} X_{j_1, \dots, \hat{j}_l, \dots, j_{d+1}} = 0$$

where  $j_1, ..., j_l, ..., j_{d+1}$  is the sequence obtained by discarding  $j_l$  by the sequence  $j_1, ..., j_{d+1}$ .

### 2.5 Grassmannian of planes and its secant variety.

In this section we focus the attention on the case d = 2. This case is interesting since both the Grassmannian and its secant variety are defined by sparse equations.

**Definition 2.27.** Let  $X \subset \mathbb{P}^n$  be a projective variety. The secant variety of X, denoted by Sec(X) is the smallest projective variety containing the locus

$$\bigcup_{P,Q\in X} l_{P,Q},$$

where  $l_{P,O}$  denotes the line joining P and Q.

The definition implies that Sec(X) contains elements of the form  $[ax_1 + b_2]$ , where  $x_1, x_2 \in \mathbb{A}^{n+1}$  are such that  $[x_1], [x_2] \in X$ ,  $a, b \in \kappa$ . When X = G(d, n), Sec(X) parametrizes the tensors which can be written as sum of two indecomposable tensors.

**Definition 2.28.** Let A be an antisymmetric matrix, then the square root of its determinant i.e.  $\sqrt{\det(A)}$  is called the Pfaffian of A.

Observe that there exists a correspondence between elements of  $\bigwedge^2 V$ and  $n \times n$  antisymmetric matrices: for any  $t \in \bigwedge^2 V$ , write  $t = \sum_{1 \le i < j \le n} t_{ij} e_i \land e_j$ . Then the corresponding  $n \times n$  antisymmetric matrix is  $M_t = (m_{ij})$ , where

$$m_{ij} = \begin{cases} t_{ij} \text{ if } i < j \\ 0 \text{ if } i = j \\ -t_{ij} \text{ if } i > j \end{cases}$$

The rank of the matrix  $M_t$  is strictly related to the minimum number of simple tensors in which t can be decomposed.

**Proposition 2.29.** Let  $t \in \bigwedge^2 V$  and  $M_t$  be its associated antisymmetric matrix. *Then* 

$$\operatorname{rank}(M_t) = 2n_t,$$

where  $n_t$  is the minimum number of simple tensors in which t can be decomposed.

**Remark 2.30.** An antisymmetric matrix can have only an even rank. For antisymmetric matrices there is an alternative criteria for detecting its rank.

**Definition 2.31.** Let  $A = (a_{ij})$  be an  $n \times n$  matrix, let  $B = (b)_{ij}$  be a sub-matrix  $m \times m$  of A. B is centred at the diagonal if there exist a sequence  $1 \le s_1 < ... < s_m \le n$  such that

$$b_{ij} = a_{s_i,s_j}$$

A minor of A is called centred at the diagonal if it is the determinant of a submatrix of A centred at the diagonal.

**Remark 2.32.** If A is (anti)symmetric, then so is any sub-matrix centred at the diagonal.

**Proposition 2.33.** *Let* M *be an antisymmetric matrix. Then* rank $(M) \le r - 2$  *if and only if all the*  $r \times r$  *minors that are centered at the diagonal vanish.* 

There is an immediate consequence for the description of G(2, n) and Sec(G(2, n)).

**Corollary 2.34.** *Let*  $[t] \in \mathbb{P}(\bigwedge^2 V)$ *. Then:* 

- $t \in G(2, V)$  if and only if rank $(M_t) = 2$  if and only the Pfaffians of the  $4 \times 4$  centered at the diagonal sub-matrices vanish;
- *t* ∈ Sec(*G*(2, *V*)) *if and only if* rank(*M<sub>t</sub>*) ≤ 4 *if and only the Pfaffians of the* 6 × 6 *centered at the diagonal sub-matrices vanish.*

In particular Sec(G(2, V)) is defined by  $\binom{n}{6}$  cubic polynomials, which are quite spars. For example,

$$pf \begin{pmatrix} 0 & X_0 & X_1 & X_2 & X_3 & X_4 \\ -X_0 & 0 & X_5 & X_6 & X_7 & X_8 \\ -X_1 & -X_5 & 0 & X_9 & X_{10} & X_{11} \\ -X_2 & -X_6 & -X_9 & 0 & X_{12} & X_{13} \\ -X_3 & -X_7 & -X_{10} & -X_{12} & 0 & X_{14} \\ -X_4 & -X_8 & -X_{11} & -X_{13} & -X_{14} & 0 \end{pmatrix}$$

is a polynomial with 15 non zero monomials. So one expects that if we shift Sec(G(2, n)) with a sparse automorphism of  $\mathbb{P}^n$  then we will have a variety defined by sparse cubic equations.

The dimension of Sec(G(2, n)) is known.

**Proposition 2.35.** *Let* d = G(2, n) *be the dimension of* G(2, n) *(so* d = 2(n - 2)*), then* dim(Sec(G(2, n))) = 2d - 3.

### 2.6 Points in linear sections of the Grassmannian

A better approach for generating random points inside a linear section of G(d, n) is not by using Gröbner bases, but by using the affine charts and the Plucker embedding. If we fix a subset  $S \subset \{1, ..., n\}$  of cardinality d, then the Plücker map restricts to an embedding

$$\mathbb{A}^{d(n-d)} \to \mathbb{P}^{\binom{n}{d}-1}.$$

Let  $L \subset \mathbb{P}^{\binom{n}{d}-1}$  be a linear subspace of codimension n - d. Then it is possible to find points inside  $L \cap G(2, n)$  by just using linear algebra. To illustrate the procedure we consider the case in which  $S = \{1, ..., d\}$ . Suppose

that

$$L = \begin{cases} L_1(X) = 0\\ \vdots\\ L_{n-d}(X) = 0 \end{cases}$$

then we can choose a vector of unknowns

 $\vec{x} = (1, 0, ..., 0, x_{d+1}, ..., x_n)$ 

and d-1 random vectors of  $\kappa^n$ 

$$\vec{a}_1 = (0, 1, ..., 0, a_{1,d+1}, ..., a_{1,n})$$
  
 $\vdots$   
 $\vec{a}_{d-1} = (0, ..., 0, 1, a_{d-1,d+1}, ..., a_{d-1,n})$ 

and solve the linear system in  $x_{d+1}, ..., x_n$ :

$$\begin{cases} L_1(\vec{x} \wedge \vec{a}_1 \wedge \dots \wedge \vec{a}_{d-1}) = 0 \\ \vdots \\ L_{n-d}(\vec{x} \wedge \vec{a}_1 \wedge \dots \wedge \vec{a}_{d-1}) = 0. \end{cases}$$

In general this system has a unique solution  $\vec{x}_0$ , then

$$P = [\vec{x}_0 \wedge \vec{a}_1 \wedge \dots \wedge \vec{a}_{d-1}]$$

is a point of  $G(d, n) \cap L$ .

## 3 New signature scheme

In this section we present a signature scheme, which consists of three parts: key generation, signing and verification. We propose a signature scheme using Sec(G(2, n)), but it can be easily adapted to G(d, n) as well. We use a vector space *V* of dimension *n* over a finite field  $\kappa = \mathbb{F}_{2^{\ell}}$  of characteristic 2 then *V* is identified with  $\kappa^n$ .

### 3.1 Key generation

The private key consists of a random automorphism  $\phi$  of  $V = \kappa^n$ , which is sparse and defined over  $\mathbb{F}_2$ . The public key is a set of cubic equations vanishing on  $\phi(\text{Sec}(G(2, n)))$ .

Private key generation:

#### 3 NEW SIGNATURE SCHEME

- 1) Alice chooses a random upper triangular, invertible and sparse  $\binom{n}{2} \times \binom{n}{2}$  matrix  $M'_A$ .
- 2) Alice chooses two random  $\binom{n}{2} \times \binom{n}{2}$  permutation matrices  $A_1, A_2$ ;
- 3) Alice defines  $M_A = A_1 M'_A A_2$  and then the private key is

$$K_A^{\text{pri}} = (M_A, M_A^{-1}).$$

If a polynomial F(X), where  $X = \begin{pmatrix} X_0 \\ \vdots \\ X_n \end{pmatrix}$ , vanishes on a variety  $Y \subset \mathbb{P}^n$ 

and *M* is an invertible  $(n + 1) \times (n + 1)$  matrix, then  $F(M^{-1}X)$  vanishes on *MY*. This observations makes easy to generate the public key. **Public key generation**:

- 1) Alice chooses a random subset  $\{F_1(X), ..., F_m(X)\}$  of the set of  $\binom{n}{6}$  Pfaffians defining Sec(G(2, n)).
- 2) She computes  $G_i(X) = F_i(M_A X)$  for i in  $\{1, ..., m\}$  and set

$$K_A^{\text{pub}} = \{G_1(X), ..., G_m(X)\}$$

denoting the public key. Note that  $G_i$  vanishes on  $M_A^{-1}$ Sec(G(2, n)) for  $i \in \{1, ..., m\}$ .

### 3.2 Signature generation an verification

The document *D* is assumed to be a linear subspace of  $\mathbb{P}^{\binom{n}{2}-1}$  cut by n-2 linear equations  $\{L_1 = 0, ..., L_{n-2} = 0\}$  defined over  $\mathbb{F}_2$ .

- 1) Alice choose a random vector  $\vec{a} \in \kappa^n$  of the form  $\vec{a} = (0, 1, a_3, ..., a_n)$ and a vector of unknowns  $\vec{x} = (1, 0, x_3, ..., x_n)$ ;
- 2) Alice computes

$$L'_{1}(X) = L_{1}(M_{A}^{-1}X), ..., L'_{n-2}(X) = L_{n-2}(M_{A}^{-1}X)$$

and imposes the condition

$$L'_i(\vec{x} \wedge \vec{a}) = 0, \ \forall i \in \{1, ..., n-2\}.$$

Here  $\vec{x} \wedge \vec{a}$  is identified with its coordinates with respect to the basis  $\{e_i \wedge e_j : 1 \le i < j \le n\}$ . It is a linear system in  $\{x_3, ..., x_n\}$  which has, in general, a unique solution  $(b_3, ..., b_n) \in \kappa^{n-2}$ ;

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3) Let  $\vec{b} = (1, 0, b_3, ..., b_n)$ , then  $P = [M_A^{-1}(\vec{a} \wedge \vec{b})] \in D \cap (M_A^{-1}G(2, n))$ . So the point *P* satisfies the system of equations:

$$\begin{cases} G_1(X) = 0 \\ \vdots \\ G_m(X) = 0 \\ L_1(X) = 0 \\ \vdots \\ L_{n-2}(X) = 0 \end{cases}$$

and  $P \in G(2, n) \cap D$ .

- 4) Alice repeats the procedure in 1) 3) and finds another point  $Q \in (M_A^{-1}G(2, n)) \cap D$ ;
- 5) Alice chooses two random vectors of  $\vec{v}_P, \vec{v}_Q \in \kappa^{\binom{n}{2}}$  such that  $[v_P] = P, [v_Q] = Q$  and defines  $S_A = [v_P + v_Q] \in D \cap (M_A^{-1} \operatorname{Sec}(G(2, n)))$  to be the signature of D.

If Bob wants to verify the validity of a signature, he has to verify that  $G_i(S_A) = 0$  for  $i \in \{1, ..., m\}$ ,  $L_i(S_A) = 0$  for  $i \in \{1, ..., n-2\}$ .

### 3.3 A toy example

Here we give a toy example with  $n = 6, \kappa = \mathbb{F}_2$ . The ambient space of G(2, 6) is  $\mathbb{P}^{14}$ , Sec(G(2, 6)) is a degree 3 hypersurface defined by the equation

$$X_{4}X_{7}X_{9} + X_{3}X_{8}X_{9} + X_{4}X_{6}X_{10} + X_{2}X_{8}X_{10} + X_{3}X_{6}X_{11} + X_{2}X_{7}X_{11} + X_{4}X_{5}X_{12} + X_{1}X_{8}X_{12} + X_{0}X_{11}X_{12} + X_{3}X_{5}X_{13} + X_{1}X_{7}X_{13} + X_{0}X_{10}X_{13} + X_{2}X_{5}X_{14} + X_{1}X_{6}X_{14} + X_{0}X_{9}X_{14} = 0$$
(3)

The private key is given by the two matrices:

and

•

The transformation of coordinates  $X \mapsto M_A X$  gives  $K_A^{\text{pub}}$ , which in this example is the single equation

$$\begin{split} & X_0^2 X_4 + X_1 X_2 X_5 + X_2^2 X_5 + X_0 X_4 X_6 + X_1 X_4 X_6 + \\ & X_2 X_4 X_6 + X_0 X_3 X_7 + X_0 X_4 X_7 + X_3 X_6 X_7 + X_3 X_7^2 + \\ & X_1 X_2 X_8 + X_2^2 X_8 + X_2 X_3 X_8 + X_0 X_4 X_8 + X_0 X_5 X_8 + \\ & X_3 X_6 X_8 + X_0 X_8^2 + X_1 X_2 X_9 + X_2^2 X_9 + X_0 X_4 X_9 + \\ & X_4 X_6 X_9 + X_1 X_7 X_9 + X_2 X_7 X_9 + X_4 X_7 X_9 + X_8 X_9^2 + \\ & X_1 X_7 X_{10} + X_2 X_7 X_{10} + X_0 X_8 X_{10} + X_8 X_9 X_{10} + X_0 X_4 X_{11} + \\ & X_3 X_7 X_{11} + X_4 X_9 X_{11} + X_0 X_2 X_{12} + X_2 X_3 X_{12} + X_0 X_4 X_{12} + \\ & X_0 X_7 X_{12} + X_1 X_7 X_{12} + X_2 X_7 X_{12} + X_0 X_8 X_{12} + X_2 X_9 X_{12} + \\ & X_8 X_9 X_{12} + X_0 X_5 X_{13} + X_3 X_6 X_{13} + X_0 X_8 X_{13} + X_9^2 X_{13} + \\ & X_0 X_{10} X_{13} + X_9 X_{10} X_{13} + X_0 X_{12} X_{13} + X_9 X_{12} X_{13} + X_0 X_4 X_{14} + \\ & X_0 X_5 X_{14} + X_4 X_6 X_{14} + X_5 X_6 X_{14} + X_4 X_7 X_{14} + X_5 X_7 X_{14} + \\ & X_0 X_8 X_{14} + X_6 X_8 X_{14} + X_7 X_8 X_{14} + X_0 X_9 X_{14} + X_6 X_9 X_{14} + \\ & X_2 X_{12} X_{14} + X_6 X_{12} X_{14} + X_9 X_{12} X_{14} + X_{10} X_{12} X_{14} + X_{12}^2 X_{14} + \\ & X_9 X_{13} X_{14} + X_{10} X_{13} X_{14} + X_{12} X_{13} X_{14} = 0. \end{split}$$

Suppose that Alice wants to sign a document *D*, corresponding to the system of linear equations:

$$\begin{cases} L_1 = X_0 + X_2 + X_3 + X_4 + X_5 + X_7 + X_{10} + X_{11} + X_{12} &= 0\\ L_2 = X_2 + X_4 + X_6 + X_{13} + X_{14} &= 0\\ L_3 = X_3 + X_4 + X_{10} + X_{11} + X_{13} + X_{14} &= 0\\ L_4 = X_1 + X_2 + X_3 + X_4 + X_5 + X_6 + X_9 + X_{12} + X_{13} + X_{14} &= 0. \end{cases}$$

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Alice shifts the document *D* through the matrix  $M_A^{-1}$ , by computing  $L'_i(X) = L_i(M_A^{-1}X)$ . She obtains the system

$$D_A : \begin{cases} X_1 + X_4 + x_6 + X_9 + X_{10} + x_{12} + X_{13} &= 0\\ X_0 + X_1 + X_3 + X_5 + X_6 + X_7 + X_8 + X_9 + X_{10} + X_{14} &= 0\\ X_0 + X_2 + X_3 + X_4 + X_5 + X_6 + X_7 + X_8 + X_9 + X_{13} + X_{14} &= 0\\ X_1 + X_2 + X_3 + X_4 + X_5 + X_6 &= 0. \end{cases}$$

Alice chooses a vector of unknowns  $\vec{x} = (1, 0, x_3, x_4, x_5, x_6)$  and two random vectors  $\vec{a}_1 = (0, 1, 1, 1, 1, 1)$ ,  $\vec{a}_2 = (0, 1, 1, 0, 0, 1)$ . The condition that  $\vec{x} \wedge \vec{a}_1 \in D_A$  corresponds to the linear system

$$\begin{cases} x_6 &= 0\\ x_3 + x_5 + 1 &= 0\\ x_4 + x_6 &= 0\\ x_3 + x_4 &= 0 \end{cases}$$

whose solution is (0, 0, -1, 0). Call  $x_1 = (1, 0, 0, 0, -1, 0)$ ,  $P_1 = [x_1 \land a_1]$ . Similarly, the condition that  $\vec{x} \land \vec{a}_2 \in D_A$  corresponds to the linear system

$$\begin{cases} x_4 + x_5 &= 0\\ x_3 + x_5 + x_6 &= 0\\ x_3 + x_4 + x_5 &= 0\\ x_3 + x_4 &= 0 \end{cases}$$

whose solution is (0, 0, 0, 0). Call  $x_2 = (1, 0, 0, 0, 0, 0)$ ,  $P_2 = [x_2 \land a_2]$ . Then

 $P_1 = [1, 1, 1, 1, 1, 0, 0, 1, 0, 0, 1, 0, 1, 0, 1],$  $P_2 = [1, 1, 0, 0, 1, 0, 0, 0, 0, 0, 0, 0, 0].$  $P_1, P_2 \in G(2, 6) \cap D_A. \text{ Call } P = [x_1 \land a_1 + x_2 \land a_2], \text{ then}$ P = [0, 0, 1, 1, 0, 0, 0, 1, 0, 0, 1, 0, 1]

is a point of  $Sec(G(2, 6)) \cap D_A$ . It follows that

$$S_A = M_A^{-1} \cdot P = [0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 1, 1, 0, 1, 0]$$

is a point of  $M_A^{-1}$ Sec $(G(2,6)) \cap D$ . Therefore, in particular, it satisfies the equation 4 and  $L_i(S_A) = 0$  for  $i \in \{1, 2, 3, 4\}$ .

### 4 Security analysis

Suppose that Frank wants to forge a signature of Alice for a document  $D = \{L_1, ..., L_{n-2}\}$ . In general, finding a solution of the system

$$\begin{cases} G_i = 0 \text{ for } i \in \{1, ..., m\} \\ L_i = 0 \text{ for } i \in \{1, ..., n-2\} \end{cases}$$

is an NP-hard problem. Nevertheless, if Alice produces around  $\binom{n}{6}$  signatures, Frank is able to compute a basis of the vector space of cubic equations vanishing on  $M_A^{-1} \operatorname{Sec}(G(2, n))$ . This fact allows Frank to use two possible approaches:

- 1) Trying to reconstruct the matrix  $M_A$ ;
- 2) Using a Gröbner basis approach, having a full set of equations defining Sec(G(2, n)) makes the computations easier. The complexity of the Gröbner basis computation is related to the CM-regularity of the variety.

We study the complexity of the second approach: in general it is possible to give just a very rough upper bound of it, so it is preferable an empirical analysis.

Note that  $\kappa$  has to be greater than  $\mathbb{F}_2$ . In fact, if we have a valid signature *P* defined over  $\kappa$  for a document *D*, then the probability that it is a valid signature also for another document *D'* is  $\frac{1}{(\#\kappa)^{n-2}}$ . So signatures defined over a smaller field must be considered invalid.

### 5 Estimated key sizes

### 5.1 Private key size

The private key consists of the two matrices  $M_A$  and  $M_A^{-1}$ , which are, by construction, sparse binary matrices with around 2n components equal to 1. So they require a storage of around 4n bits.

### 5.2 Public key size

The public key is given by a set of *m* equations of the form

$$\{F_i(M_AX): i \in \{1, ..., m\}\},\$$

where  $\{F_1, ..., F_m\}$  is a subset of the  $\binom{n}{6}$  Pfaffian cubic polynomials defining Sec(G(2, n)). The Pfaffians have 15 non-zero terms, which are square-free. The number of non-zero terms of the shifted Pfaffians is in general variable. Since the matrix  $M_A$  is sparse, we expect that they are also sparse. In the particular case when all the rows of  $M_A$  have exactly two components equal to 1, each shifted Pfaffian has a number of non-zero terms which is less than or equal to  $120 = 15 \cdot 2^3$ . Therefore, it is expected that the size of the public key is around 120m bits.

### 5.3 Document size

If  $\kappa = \mathbb{F}_{2^{\ell}}$  then the size of the document, which is a set of n - 2 hyperplanes of  $\mathbb{P}^{\binom{n}{2}-1}$ , is  $\binom{n}{2} \cdot (n-2)$  bits.

### 5.4 Signature size

The signature is a point of  $\mathbb{P}^{\binom{n}{2}-1}$  defined over  $\kappa$ , so it depends on  $\ell \cdot \binom{n}{2} - 1$  bits.

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## A Magma code

### A.1 Code for signature scheme

Here we present a MAGMA code which simulates the signature scheme proposed above. Note that the computation of the equations of the standard secant variety takes a bit long, but this is not a problem since they are known and they don't need to be computed everytime.

```
Plucker:=function(v,w)
        n:=#v;
        N:=Binomial(n,2);
        F:=Parent(v[1]);
        y:=[F!O: i in [1..N]];
        m:=1;
        for i in [1..n-1] do
                for j in [i+1..n] do
                         y[m]:=v[i]*w[j]-v[j]*w[i];
                         m : = m + 1;
                end for;
        end for;
        return(y);
end function;
RandomPermutation:=function(S)
        n:=#S;
        v:=[v: v in S];
        SO:=S;
        for i in [1..n] do
                v[i]:=Random(S0);
                S0:=S0 diff {v[i]};
        end for;
        return(v);
end function;
RandomLinearForm:=function(R)
        F:=BaseRing(R);
        mon:=MonomialsOfDegree(R,1);
        f:=R!0;
        for i in [1..#mon] do
                f:=f+Random(F)*mon[i];
        end for;
        return(f);
end function;
```

```
/* *****Function generating a nxn matrix with around 2n
components equal to 1 and the remaining equal to 0 *** */
RandomSparseMatrix:=function(F,n)
        N:=Binomial(n,2);
        v:=[F!O: i in [1..N]];
        m:=Floor(N/n);
        for i in [1..N] do
                r:=Random(0,m-1);
                if r eq 0 then
                        v[i]:=1;
                end if;
        end for;
        M:=UpperTriangularMatrix(v);
        M:=HorizontalJoin(ZeroMatrix(F,n-1,1),M);
        M:=VerticalJoin(M,ZeroMatrix(F,1,n));
        M:=M+IdentityMatrix(F,n); //Random Sparse upper triangular matrix
        S:={s: s in [1..n]};
        A:=PermutationMatrix(F,RandomPermutation(S));
        B:=PermutationMatrix(F,RandomPermutation(S));
        M := A * M * B;
        return(M);
end function;
F := GF(2);
K:=GF(2^{13});
n:=12;
d:=2*(n-2);
N:=Binomial(n,2);
R:=PolynomialRing(K,N,"grevlex");
Ad:=AffineSpace(K,n-2);
Rd:=CoordinateRing(Ad);
/* Equations defining the Sec(G(2,n)) */
M:=UpperTriangularMatrix(R,[R.i: i in [1..N]]);
M:=HorizontalJoin(ZeroMatrix(R,n-1,1),M);
M:=VerticalJoin(M,ZeroMatrix(R,1,n));
M:=M-Transpose(M);
S:=[1..n];
S:={s: s in S};
S:=Subsets(S,6);
eqSec:={};
for s in S do
        t:=[i:i in s];
```

```
pf:=Pfaffian(Submatrix(M,t,t));
        eqSec:=eqSec join {pf};
end for;
/* ****The document**** */
Rtemp:=PolynomialRing(F,N);
D:={R!RandomLinearForm(Rtemp): i in [1..n-2]};
/* ***Alice private key*** */
MA:=RandomSparseMatrix(F,N);
MAinv:=MA^(-1);
MA:=ChangeRing(MA,R); //Private key
MAinv:=ChangeRing(MAinv,R); //Private key
/* ***Alice public key*** */
m:=20;
KA:=RandomSubset(eqSec,m);
X:=[R.i: i in [1..N]];
X:=Matrix(R,N,1,X);
MAX:=Eltseq(MA*X);
KA:={ Evaluate(f,MAX) : f in KA }; //Public key
/* ***Signing*** */
MAinvX:=Eltseq(MAinv*X);
time DA:={Evaluate(f,MAinvX) : f in D};
a:=[Rd!0: i in [1..n]];
a[1]:=1;
SA:=[[K!0: i in [1..N]]: j in [1,2]];
for i in [3..n] do
        a[i]:=Rd.(i-2);
end for;
for j in [1,2] do //Generating two points in D cap K_A^pub
        b:=[Random(K): i in [1..n]];
        b[1]:=0; b[2]:=1;
        y:=Plucker(a,b);
        YA:=[Rd!Evaluate(f,y): f in DA];
        YA:=Scheme(Ad,YA);
        YA:=Points(YA)[1];
        YA:=Eltseq(YA);
        SA[j]:=[Evaluate(y[i],YA): i in [1..N]];
end for;
r:=[Random(K): i in [1,2]];
SA:=[r[1]*SA[1][j]+r[2]*SA[2][j]: j in [1..N]]; //Random linear combination of
```

the two points

```
MAinv:=ChangeRing(MAinv,K);
SA:=Eltseq(MAinv*Matrix(K,N,1,SA)); //Digital signature
```

/\* \*\*\*Verification\*\*\* \*/
{Evaluate(f,SA): f in D}; //It is the set {0}
{Evaluate(f,SA): f in KA}; // It is the set {0}

### A.2 Code for Gröbner basis computation

 $M_A^{-1} \operatorname{Sec}(G(2, n))$  has dimension 2d - 3, where  $d = 2(n - 2) = \dim(G(2, n))$ . So, if we want to find points on  $(M_A^{-1} \operatorname{Sec}(G(2, n))) \cap D$ , where D is a codimension n - 2 linear subspace of  $\mathbb{P}^{\binom{n}{2}-1}$ , in general, we need to intersect with other 2d - 3 - (n - 2) = 2d - n - 1 hyperplanes. In the code below we will consider hyperplanes of the form  $X_i = c_i X_{i_0}$ , where  $c_i \in \kappa$ . If we dehomogenize with respect to the variable  $X_{i_0}$  (i.e. we set  $X_{i_0} = 1$ ), it is equivalent to put 2d - n conditions of the form  $X_i = c_i$ . The code below is a prosecution of the one in A.1. We assume that the forger Frank knows a basis of the vector space of cubic forms vanishing on  $M_A^{-1} \operatorname{Sec}(G(2, n))$ .

```
eqSec := { Evaluate(f, Eltseq(MAX) ): f in eqSec }; // Polynomials defining MA^(-1)*Sec
H:={i: i in [1..N]};
H:=RandomSubset(H,2*d-n);
H:={R.h-Random(K): h in H}; //Choice of 2d-n-1 hyperplanes and dehomogenization
H:=H join (eqSec join D);
I := ideal<R|H>;
time G := GroebnerBasis(I);
```