On the Fast Algebraic Immunity of Threshold Functions

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Abstract. Motivated by the impact of fast algebraic attacks on stream ciphers, and recent constructions using a threshold function as part of the filtering function, we study the fast algebraic immunity of threshold functions. As a first result, we determine exactly the fast algebraic immunity of all majority functions in more than 8 variables. Then, For all $n \geq 8$ and all threshold value between 1 and n we exhibit the fast algebraic immunity for most of the thresholds, and we determine a small range for the value related to the few remaining cases. Finally, provided $m \geq 2$, we determine exactly the fast algebraic immunity of all threshold functions in $3 \cdot 2^m$ or $3 \cdot 2^m + 1$ variables.

Keywords: Boolean Functions, Fast Algebraic Attacks, Symmetric Functions, Threshold Functions.

1 Introduction

In 2003, Courtois [Cou03] introduced the fast algebraic attacks, showing their impact on filtered LFSR constructions. Since then, these attacks are taken in account when estimating the security of stream ciphers, such as the families of algebraic attacks [CM03, AL16]. The complexity of fast algebraic attacks has been studied in different works (*e.g.* [Arm04, HR04, ACG$^+$06]) and it led to the concept of fast algebraic immunity (or FAI), a cryptographic criterion of Boolean functions. For filtered LFSR constructions, the FAI of the filtering function enables to determine the complexity of these attacks on the encryption scheme. For more recent stream cipher constructions such as filter permutators [MJSC16], improved filter permutators [MCJS19b], or Goldreich's pseudo-random generators [Gol00], the FAI can be used to (lower) bound the attack's complexity. These stream cipher constructions designed for efficient homomorphic evaluation, and the successive studies of the PRG's variant in NC0 led to consider simple Boolean functions where a component is a threshold function ([MCJS19a], [AL16, AL18]). In both cases, determining the fast algebraic immunity of threshold functions allows to derive attacks' complexity on the whole construction.

Threshold functions are a sub-family of symmetric Boolean functions, which means that the output is independent of the order of the input binary variables. The n-variable function with threshold d gives 0 when less than d of its inputs are equal to 1, and 1 where d or more are equal to 1. These functions appear in various domain, for example as functions easy to evaluate with branching programs. Symmetric Boolean functions have been the focus of various studies in cryptography such as [MS02, Car04, CV05, BP05, QFLW09, CL11, GGZ16], with a particular interest on the sub-family of majority functions: threshold functions where $d = n/2$.

Few results are known for the fast algebraic immunity of threshold functions. Lower bounds coming from the algebraic immunity of threshold functions are provided in [MCJS19a], and exact results are only known for cases of majority functions. One fundamental result in this area comes from [ACG$^+$06], which gives an upper bound on the FAI of all majority functions, proving that despite having optimal algebraic immunity these function cannot reach an optimal FAI. Then, two works exhibit the exact FAI for two families of majorities. Writing each integer n as $2^m + 2k + \varepsilon$, such that $0 \leq k < 2^{m-1}$ and $\varepsilon \in \{0, 1\}$ [TLD16] handles the case $k = 0$, for the two possible values of ε. For $m \geq 2$, [CGZ19] determines the FAI for the case $k = 1$. The last result in this line comes from [Méa19], where for $m \geq 2$, the FAI is exactly determined for all values of k such that $0 \leq k < 2^{m-2}$.

1.1 Our contributions

Our first contribution is to finish the characterization of the fast algebraic immunity for the whole family of majority functions. We show that for values of n such that $k \geq 2^{m-2}$, the FAI equals $2^m + 2$. This result is mainly obtain by combining two properties. First, we use the simplified algebraic normal form of threshold functions to show that these functions have degree 2^m. Then, we determine the minimal degree of a function g such that the degree of the product $g \cdot \sigma_{2^t}$ is lower than the sum of the degrees (where σ_{2^t} denotes the elementary symmetric function of degree 2^t). Combining these results, we show that degree one functions lead to an FAI of at most $2^m + 2$, and other properties of threshold functions allow us to prove that this value is minimal. Our results on the FAI of majority functions are summarized in Corollary 1.

Generalizing to threshold functions, we exhibit the exact fast algebraic immunity for various ranges of thresholds (values of d) for all n, covering most of the values of d. These results are obtained by developing different bounds. The results on σ_{2^m} previously mentioned are used to determine the FAI for values of d close to $n/2$ for the case $k \geq 2^{m-2}$. The gap technique, introduced in [Méa19] is extended to show lower bounds for the degree of product of threshold functions by any low degree function. We generalize the approach of [ACG+06] giving the upper bound in the case of majority functions. We determine the minimal degree allowing to derive an upper bound on the FAI by considering only homogeneous functions. We identify intervals where these lower and upper bounds can be combined to exhibit the FAI, it gives exact results for thresholds in the neighborhood of $n/2$ when $k \leq 2^{m-2}$, and for thresholds greater than 2^m when $k \geq 2^{m-2}$. Considering these bounds jointly with other structural properties of threshold functions, we determine the value of the FAI for the extreme values of d. Summing up these different approaches, the fast algebraic immunity is fully determined for all d when n is such that $k = 2^{m-2}$ and $m \geq 3$, as summarized in Corollary 2. We summarize the results for all values of d for all $n \geq 8$ in Theorem 1, providing a lower and an upper bound for the small ranges where the FAI is not exactly determined.

1.2 Paper organization

In Section 2 we give some background on Boolean functions and cryptographic criteria, with a special focus on the properties of threshold functions which are used in the following parts. In Section 3 we develop and prove the different lower and upper bounds on the fast algebraic immunity of threshold functions. In Section 4 we combine the different bounds to give the main theorem and corollaries, and we illustrate the results for representative values of n.

2 Preliminaries

In addition to classic notations we use $[n]$ to denote the subset of all integers between 1 and n: $\{1, \ldots, n\}$. For readability we use the notation $+$ instead of $\oplus$ to denote the addition in $\mathbb{F}_2$ and $\sum$ instead of $\bigoplus$. We use $\log$ to refer to the logarithm in basis 2.

Let $v \in \mathbb{F}_2^n$, we refer to the element v as a Boolean vector of length n or as an integer in $[0, 2^n - 1]$, we denote its coefficient v_i (for $i \in [0, n-1]$). When we consider $v \in \mathbb{F}_2^n$ as an integer we refer to $\sum_{i=0}^{n-1} v_i 2^i$. The Hamming weight (or weight) of v is $\mathsf{w_H}(v) = \#\{v_i \neq 0 \,|\, i \in [0, n-1]\}$. We denote $\overline{v} \in \mathbb{F}_2^n$ the complementary of v: $\forall i \in [0, n-1]$, $\overline{v_i} = 1 - v_i$.

We often write the integer n as $2^m + 2k + \varepsilon$, where m, k, ε are integers such that $m \leq 1$, $0 \leq k < 2^{m-1}$ and $\varepsilon \in \{0, 1\}$. Note that this decomposition is unique for $n \geq 2$.

2.1 Boolean Functions, and partial order over $\mathbb{F}_2^n$

word, or a

Definition 1 (Boolean Function). *A Boolean function f with n variables is a function from $\mathbb{F}_2^n$ to $\mathbb{F}_2$.*

Definition 2 (Algebraic Normal Form (ANF)). *We call Algebraic Normal Form of a Boolean function f its n-variable polynomial representation over $\mathbb{F}_2$ (i.e. belonging to $\mathbb{F}_2[x_1, \ldots, x_n]/(x_1^2+x_1, \ldots, x_n^2+x_n)$):*

$$f(x) = \sum_{I \subseteq [n]} a_I \left(\prod_{i \in I} x_i \right) = \sum_{I \subseteq [n]} a_I x^I,$$

where $a_I \in \mathbb{F}_2$.

Definition 3 (Order $\preceq$). *We denote $\preceq$ the partial order on $\mathbb{F}_2^n$ defined as: $a \preceq b \Leftrightarrow \forall i \in [0, n-1], a_i \leq b_i$, where $\leq$ denotes the usual order on $\mathbb{Z}$ and the elements a_i and b_i of $\mathbb{F}_2$ are identified to 0 or 1 in $\mathbb{Z}$.*

Property 1 (Corollary of Lucas's Theorem (*e.g.* [Car20]))**.** *Let u,$v \in \mathbb{F}_2^n$:*

$$u \preceq v \Leftrightarrow \binom{v}{u} \equiv 1 \mod 2,$$

where the binomial coefficient refers to the integers whose binary decomposition corresponds to u and v.

2.2 Algebraic Immunity and Fast Algebraic Immunity

Definition 4 (Algebraic Immunity and Annihilators). *The algebraic immunity of a Boolean function $f \in \mathcal{B}_n$, denoted as $\mathsf{AI}(f)$, is defined as:*

$$\mathsf{AI}(f) = \min_{g \neq 0} \{ \mathsf{deg}(g) \mid fg = 0 \text{ or } (f+1)g = 0 \},$$

where $\mathsf{deg}(g)$ is the algebraic degree of g. The function g is called an annihilator of f (or $f+1$).

We also use the notation $\mathsf{AN}(f)$ for the minimum algebraic degree of nonzero annihilator of f:

$$\mathsf{AN}(f) = \min_{g \neq 0} \{ \mathsf{deg}(g) \mid fg = 0 \}.$$

Property 2 (Algebraic Immunity Properties (*e.g.* [Car20]))**.** *Let f be a Boolean function:*

- *The null and the all-one functions are the only functions such that $\mathsf{AI}(f) = 0$,*
- *For all non constant f it holds that: $\mathsf{AI}(f) \leq \mathsf{AN}(f) \leq \mathsf{deg}(f)$,*
- *$\mathsf{AI}(f) \leq \lfloor \frac{n+1}{2} \rfloor$.*

Definition 5 (Fast Algebraic Immunity (*e.g.* [Car20])). *The fast algebraic immunity of a Boolean function $f \in \mathcal{B}_n$, denoted as $\mathsf{FAI}(f)$, is defined as:*

$$\mathsf{FAI}(f) = \min \left\{ 2\mathsf{AI}(f), \min_{1 \leq \mathsf{deg}(g) < \mathsf{AI}(f)} [\mathsf{deg}(g) + \mathsf{deg}(fg)] \right\}.$$

Due to the formulation of the FAI as a minimum, we introduce two quantities to simplify the notations, in term of bounds A and B.

Definition 6 (Bounds A and B). *Let $f \in \mathcal{B}_n$, $a, b \in [n]$, we denote:*

$$\mathsf{A}(f) = 2\mathsf{AI}(f) \quad \textit{and} \quad \mathsf{B}_a^b(f) = \min_{a \le \mathsf{deg}(g) < b} [\mathsf{deg}(g) + \mathsf{deg}(fg)].$$

By definition we have $\mathsf{FAI}(f) = \min\{\mathsf{A}(f), \mathsf{B}_1^{\mathsf{AI}(f)-1}(f)\}$. When $a = 1$ and $b = \mathsf{AI}(f) - 1$, we simply denote $\mathsf{B}_a^b(f)$ as $\mathsf{B}(f)$.

Property 3 (Fast Algebraic Immunity Properties (*e.g.* [Car20]))**.** *Let f be a Boolean function:*

- $\mathsf{FAI}(f) = \mathsf{FAI}(f+1)$,
- $\mathsf{FAI}(f) \le n$,
- $\mathsf{FAI}(f) \ge \mathsf{AN}(f+1) + 1$.

Remark 1. The last item comes from the fact that $\mathsf{deg}(fg)$ is equal to or greater than the degree of $\mathsf{AN}(f+1)$ since by construction fg is a nonzero annihilator of $f+1$.

2.3 Symmetric Functions

Symmetric functions are functions for which the output is independent of the order of the inputs. In the Boolean case they have been the focus of many investigations *e.g.* [Car04, CV05, DMS06, QLF07, SM07, QFLW09]. These functions can be described more succinctly through the simplified value vector, or as a sum of elementary functions.

Definition 7 (Simplified Value Vector). *Let f be a symmetric function in n variables, we define its simplified value vector:*

$$\mathbf{s}_f = [w_0, w_1, \ldots, w_n]$$

of length $n+1$, where for all x such that $\mathsf{w_H}(x) = k$ we get $f(x) = w_k$, i.e. w_k is the value of f on all inputs of Hamming weight k.

Definition 8 (Elementary Symmetric Functions and Simplified ANF). *Let $n \in \mathbb{N}^*$, let $i \in \{0, \cdots, n\}$, the elementary symmetric function of degree i in n variables, denoted σ_i, is the function which ANF contains all the monomials of degree i and no monomials of other degrees.*

The $n+1$ elementary symmetric functions in n variables form a basis of the symmetric functions in n variables. Any Boolean symmetric function f can be uniquely written as $f = \sum_{i=0}^{n} \lambda_i \sigma_i$, where $\lambda_i \in \mathbb{F}_2$. This representation is called the simplified ANF of f (SANF) and the λ_i are the simplified ANF coefficients.

We define the sub-family of threshold functions, and the special case of majority functions:

Definition 9 (Threshold and Majority Function). *For any positive integers $d \le n+1$ we define the Boolean function $\mathsf{T}_{d,n}$ as:*

$$\forall x \in \mathbb{F}_2^n, \quad \mathsf{T}_{d,n}(x) = \begin{cases} 0 & \textit{if } \mathsf{w_H}(x) < d, \\ 1 & \textit{otherwise.} \end{cases}$$

We call the n-variable majority function MAJ_n the threshold function with $d = \lceil (n+1)/2 \rceil$.

Note that for a threshold function, we have $w_k = 0$ for $k < d$ and 1 otherwise, so the simplified value vector of a threshold function $\mathsf{T}_{d,n}$ is the $n+1$-length vector of d consecutive 0's and $n+1-d$ consecutive 1's. In the case of n even, the choice of $\mathsf{T}_{\frac{n}{2}+1,n}$ or $\mathsf{T}_{\frac{n}{2},n}$ as the majority function is arbitrary, some papers considers the second choice. Note also that the extreme values $d = 0$ and $d = n+1$ correspond to the two constant functions, since their AI and FAI is already known for all n, we focus our study on the threshold functions such that $d \in [n]$. We recall different properties of threshold functions that will be used later in the paper.

Proposition 1 (Extended Affine Equivalence of Threshold Functions (*e.g.* [Méa19] Proposition 1)). *Let $n \in \mathbb{N}^*$ and $d \in [0, n+1]$, for all $x \in \mathbb{F}_2^n$ let $1_n + x$ denote the element $(1 + x_1, \ldots, 1 + x_n) \in \mathbb{F}_2^n$, then the following relation holds for $\mathsf{T}_{d,n}$ and $\mathsf{T}_{n-d+1,n}$:*

$$\forall x \in \mathbb{F}_2^n, \quad 1 + \mathsf{T}_{d,n}(1_n + x) = \mathsf{T}_{n-d+1,n}(x).$$

In other words, $\mathsf{T}_{d,n}$ and $\mathsf{T}_{n-d+1,n}$ are extended affine equivalent, then for non constant threshold functions (*i.e.* $d \in [n]$) they have the same degree, algebraic immunity, and fast algebraic immunity.

Lemma 1 (AN and AI of Threshold Functions ([MCJS19a] Lemma 10)). *Let $n \in \mathbb{N}^*$ and $d \in [n]$, the threshold function $\mathsf{T}_{d,n}$ has the following property:*

$$\mathsf{AN}(\mathsf{T}_{d,n}) = n - d + 1, \quad \mathsf{AN}(1 + \mathsf{T}_{d,n}) = d, \quad \textit{and} \quad \mathsf{AI}(\mathsf{T}_{d,n}) = \min\{d, n - d + 1\}.$$

Lemma 2 (Algebraic Normal Form of Threshold Functions ([Méa19], Theorem 1)). *Let n and d be two integers such that $0 < d \leq n$, let $D = 2^{\lceil \log d \rceil}$. We denote the sets $S_d = \{v \in [0, D-1] \,|\, v \preceq D-d\} = \{v \in \mathbb{F}_2^{\lceil \log d \rceil} \,|\, v \preceq \overline{d-1}\}$, and $S_{d,n} = \{kD + d + v \,|\, k \in \mathbb{N}, v \in S_d\} \cap [n] = \{kD - v \,|\, k \in \mathbb{N}^*, v \in S_d\} \cap [n]$. The algebraic normal form is given by:*

$$\mathsf{T}_{d,n} = \sum_{i \in S_{d,n}} \sigma_i.$$

3 Fast algebraic immunity and bounds

In this section we give different bounds on the FAI of threshold functions. First we give two bounds coming directly from the value of the AN and AI of threshold functions. Then, we derive two lower bounds, in Subsection 3.1 we obtain a lower bound for threshold functions of degree a power of two, using the result of [LR81] on the rank of particular binary matrices. In Subsection 3.2, we generalize the gap technique introduced in [Méa19] for majority functions to give a lower bound for most of the threshold functions. Finally, in Subsection 3.3, we determine an upper bound by extending the result of [ACG+06] on majority functions, focusing on the degree obtained by multiplying by low degree homogeneous functions.

First, note that due to Proposition 1 for n fixed knowing the fast algebraic immunity of half of the functions is sufficient to know the value for all. Accordingly, writing n as $2^m + 2k + \varepsilon$, we focus on the values of d such that $d \geq 2^{m-1} + k + 1$.

Proposition 2 (AI and AN bounds). *Let $n \in \mathbb{N}^*$, $n = 2^m + 2k + \varepsilon$ where $m \geq 2$, $0 \leq k < 2^{m-1}$, and $\varepsilon \in \{0, 1\}$. Let $d, t \in \mathbb{N}$ such that $d = 2^{m-1} + k + 1 + t$, and $0 \leq t \leq 2^{m-1} + k - 1 + \varepsilon$, the following holds:*

$$\mathsf{A}(\mathsf{T}_{d,n}) = 2^m + 2(k - t) + 2\varepsilon, \quad \textit{and} \quad \mathsf{B}(\mathsf{T}_{d,n}) \geq 2^{m-1} + k + t + 2.$$

Proof. From Lemma 1, the AI is given by $n - d + 1$ when d is greater that the half, it directly gives the result for A. The second part corresponds to the third item of Property 3: $\deg(g\mathsf{T}_{d,n})$ is at least d (from Lemma 1) and $\deg(g)$ is at least 1. □

3.1 Power of two degree threshold functions and lower bound

In this part we show that the bound on B can be improved by k when the degree of $\mathsf{T}_{d,n}$ is equal to 2^m. Due to the periodicity of the SANF of threshold functions, when n is fixed a considerable proportion have a degree which is a power of 2. Then, studying the minimal degree of a function g necessary to decrease the degree of the product $g \cdot \sigma_{2^t}$ (with $t \in \mathbb{N}^*$) will have an influence on several threshold functions. In a first time we determine the threshold functions of degree 2^m in $n = 2^m + 2k + \varepsilon$ variables. In a second time we examine the conditions for decreasing the degree of the product. Finally, we give a lower bound on B for these cases.

Proposition 3 (Threshold Functions of degree 2^m). *Let $n = 2^m + 2k + \varepsilon$, $t \in \mathbb{N}$ such that $t \leq 2^{m-1} + 2k + \varepsilon$. The following holds:*

$$\mathsf{deg}(\mathsf{T}_{2^{m-1}+t,n}) = 2^m \iff 2k + \varepsilon - 2^{m-1} < t \leq 2^{m-1}.$$

Proof. We use the characterization of Lemma 2, with $d = 2^{m-1} + t$. If $t > 2^{m-1}$ then $d > 2^m$ and $d \in S_{d,n}$ by construction so $\mathsf{deg}(\mathsf{T}_{d,n}) > 2^m$ (Note that when $d \in [0, n]$, σ_d is always part of the SANF).

If $t \leq 2^{m-1}$ then $D = 2^m$ is the period of the SANF of this threshold function. Accordingly to the definition of the set $S_{d,n}$, the first element in its period has congruence d modulus D. Then, since $2^m \leq n$, $\mathsf{T}_{d,n}$ has degree 2^m if and only if $n < D + d$, which corresponds to $2k + \varepsilon < 2^{m-1} + t$, hence $t > 2k + \varepsilon - 2^{m-1}$. □

Remark 2. Note that it applies for $t \geq k + 1$, it means that the higher half of the threshold functions up to threshold 2^m are all of degree 2^m.

In the following, we use the ANF representation to study the degree of the product of an elementary symmetric function by a low degree function.

Proposition 4 (Decreasing degree condition). *Let $n, i \in \mathbb{N}^*$, $i \leq n$ for all Boolean function g in n variables such that $\mathsf{deg}(g) \leq n - i$, if $\mathsf{deg}(g \cdot \sigma_i) < i + \mathsf{deg}(g)$ then:*

$$\forall I \subseteq [n] \,|\, |I| = i + \mathsf{deg}(g), \quad \sum_{\substack{J \subset I \\ |J| = \mathsf{deg}(g)}} a_J \equiv 0,$$

where a_J are the ANF coefficients of g, and the sum is performed modulo 2.

Proof. Let us write g, σ_i and the product $h = g \cdot \sigma_i$ in their ANF representation:

$$g = \sum_{|J| \leq \mathsf{deg}(g)} a_J x^J, \quad \sigma_i = \sum_{|I|=i} x^I, \quad h = \sum_{|J'| \leq i + \mathsf{deg}(g)} b_{J'} x^{J'}.$$

Then:

$$h = \left(\sum_{|J| \leq \mathsf{deg}(g)} a_J x^J \right) \cdot \left(\sum_{|I|=i} x^I \right) = \sum_{|J'| \leq i + \mathsf{deg}(g)} \left(\sum_{\substack{I,J \,|\, I \cup J = J' \\ |I|=i, |J| \leq \mathsf{deg}(g)}} a_J \right) x^{J'}.$$

The algebraic degree of h is lower than $i + \mathsf{deg}(g)$ only if all ANF coefficients $b_{J'}$ for $J' \subseteq [n]$, $|J'| = i + \mathsf{deg}(g)$ are null, which gives the final result.

□

Note that finding functions of degree ℓ having this property of decreasing the degree of the product corresponds to solve a system of $\binom{n}{i+\ell}$ equations (one for each subset I of size $\ell+i$) and $\binom{n}{\ell}$ Boolean unknowns (one for each subset J of size ℓ). This system has a very structured form: the a_J appearing in the equation relative to I are such that $J \subseteq I$ and $|J| = \ell$. It corresponds to the incidence matrix of ℓ subsets versus $\ell+i$ subsets of $[n]$. This binary matrix of size $\binom{n}{\ell} \times \binom{n}{i+\ell}$ has the rows indexed by the ℓ-subsets J of the set $[n]$ and the columns indexed by the $(\ell+i)$-subsets I, and the entry relative to J, I is equal to 1 if $J \subseteq I$ and to 0 otherwise. The rank of such matrices, thereafter denoted $M_{\ell,\ell+i}$, over $\mathbb{F}_2$ has been studied in [LR81], we recall their result and we show how to deduce bounds on the FAI of threshold functions from it.

Lemma 3 (Subsets incidence matrix rank ([LR81], Theorem 1)).
Let $i, \ell, n \in \mathbb{N}^*$, for $s \in \mathbb{N}^*$ we define $b(s) = S$ as the unique set of non-negative integers for which $s = \sum_{x \in S} 2^x$. We define $D = b(i)$, for a function $f : D \to \mathbb{Z}^+$ we define $f(D) = \sum_{x \in D} f(x)$. For $n \geq 2\ell + i$ the rank of $M_{\ell,\ell+i}$ over $\mathbb{F}_2$ is:

$$\sum_{f:D\to\mathbb{Z}^+} (-1)^{f(D)} \binom{n}{\ell - \sum_{x\in D} f(x) 2^x}.$$

Due to the periodicity of the SANF of threshold functions, we are particularly interested in the case $i = 2^t$, and more specifically on the conditions on ℓ such that the degree of the product cannot decrease.

Lemma 4. *Let $t \in \mathbb{N}^*$, for all integers ℓ and n such that $0 \leq \ell \leq 2^{t-1}$, $n \geq 2^t + 2\ell$, for all non null Boolean function g in n variables of degree ℓ:*

$$\mathsf{deg}(g \cdot \sigma_{2^t}) = 2^t + \ell.$$

Proof. First, we determine the rank of the subsets incidence matrix in this case using Lemma 3. Since $i = 2^t$ it gives $D = \{t\}$, and since $n \geq 2^t + 2\ell$ we are in the case where the theorem applies to determine the rank of $M_{\ell,2^t+\ell}$:

$$\mathsf{rank}(M_{\ell,2^t+\ell}) = \sum_{f:\{t\}\to\mathbb{Z}^+} (-1)^{f(t)} \binom{n}{\ell - f(t)2^t}.$$

D being reduced to a singleton the rank formula is simpler than in the general case. Furthermore, $\ell < 2^t$, then the binomial is non null only in the case $f(t) = 0$, which allows to conclude:

$$\mathsf{rank}(M_{\ell,2^t+\ell}) = (-1)^0 \binom{n}{\ell - 0 \cdot 2^t} = \binom{n}{\ell}.$$

In this case, the rank equals the number of rows of M, or equivalently the system of equations of Proposition 4 has rank $\binom{n}{\ell}$, the number of unknowns. Note that $\{\forall J \subseteq [n],\ |J| = \ell,\ a_J = 0\}$ is a solution of:

$$\forall I \subseteq [n] \,|\, |I| = 2^t + \ell, \quad \sum_{\substack{J\subset I \\ |J|=\ell}} a_J \equiv 0.$$

Since the system of equation has rank $\binom{n}{\ell}$, it is the unique solution, then any other value of the coefficients a_Js implies that for at least one of the equations indexed by I of size $2^t + \ell$ the sum is non null.

Then, we combine this result with the relation on the ANF representation according to proposition 4. For any non null function g of degree ℓ at least one ANF coefficient a_J with $|J| = \ell$ is non null by definition of the degree. It implies that there exists at least one subset $I \subseteq [n]$ of size $2^t + \ell$ such that $h_I = 1$ where h_I is an ANF coefficient of $h = g \cdot \sigma_{2^t}$. Hence, using Proposition 4, $\mathsf{deg}(g \cdot \sigma_{2^t}) = 2^t + \ell$.

□

Combining Lemma 4 and Proposition 3 we can derive a new lower bound for B_1^k and then for B.

Lemma 5 (Power of 2 Degree Bound). *Let $n = 2^m + 2k + \varepsilon$, $t \in \mathbb{N}$ such that $2k + \varepsilon - 2^{m-1} < t \leq 2^{m-1}$. The following holds:*

$$\mathsf{B}_1^k(\mathsf{T}_{2^{m-1}+t,n}) = 2^m + 2, \quad \textit{and} \quad \mathsf{B}(\mathsf{T}_{2^{m-1}+t,n}) \geq \min\{2^m + 2, 2^{m-1} + t + k + 1\}.$$

Proof. From Proposition 3, writing d as $2^{m-1} + t$, we have $\mathsf{T}_{d,n} = f + \sigma_{2^m}$ where $\mathsf{deg}(f) < 2^m$. If we consider functions g of degree in $[k]$ we can apply Lemma 4 on σ_{2^m}. It results that for any function g such that $\mathsf{deg}(g) \in [k]$ we obtain $\mathsf{deg}(g \cdot \mathsf{T}_{d,n}) = 2^m + \mathsf{deg}(g)$. Therefore, $\mathsf{B}_1^k = 2^m + 2$ and it is reached for any function of degree 1. Also, using Remark 1, since $\mathsf{AN}(1 + \mathsf{T}_{d,n}) = d$ by Lemma 1, $\mathsf{B}_{k+1}^{\mathsf{AI}-1} \geq d + k + 1$, *i.e.* $2^{m-1} + t + k + 1$.

□

3.2 Gap strategy and lower bound

In this subsection we generalize the gap strategy developed in [Méa19]. The principle consists in finding a gap in the simplified algebraic normal form of a threshold function, an interval in which the SANF coefficients are all null. Then, the threshold function $\mathsf{T}_{d,n}$ can be partitioned in two, on part which degree is lower than the bottom of the gap, and the remaining part with all monomials of degree higher than the top of the gap. When the higher part can be identified with another threshold function $\mathsf{T}_{d',n}$, for all functions g of degree lower than its AI we can use that $\mathsf{deg}(g \cdot \mathsf{T}_{d',n}) \geq d'$. If the degree of g is also smaller than the size of the gap, it can result in a better bound on $\mathsf{B}(\mathsf{T}_{d,n})$ than the one of Proposition 2.

In [Méa19] the gap strategy is developed for the case of majority functions *i.e.* $d = 2^{m-1} + k + 1$, and particularly for $k < 2^{m-2}$ where the bound obtained for B is proved to be tight.

We begin by showing a particular gap in the SANF of most of the threshold functions. The SANF of a threshold function $\mathsf{T}_{d,n}$ is periodic, of period $2^{\lceil \log d \rceil}$ and the SANF coefficients equal to one have congruence in $[d, 2^{\lceil \log d \rceil}]$ modulus $2^{\lceil \log d \rceil}$ (see Lemma 2), we highlight the bigger gap in this specific range. Due to the periodic structure we only need to study the SANF of the function on $[d, 2^{\lceil \log d \rceil}]$. We introduce an extra definition to simplify the notations of the lemmas of this subsection.

Definition 10 (Binary Vector and Highest Zero). *Let $u, t \in \mathbb{N}$ such that $0 \leq u < 2^t - 1$. We write u in its binary decomposition, $u = \sum_{i=0}^{t-1} u_i 2^i$, where for all such i: $u^i \in \{0, 1\}$ and we refer to its highest zero as:*

$$\mathsf{hz}_t(u) = \max_{0 \leq i \leq t-1} \{i \,|\, u_i = 0\}.$$

We also denote $u_B = \sum_{i=0}^{\mathsf{hz}_t(u)-1} u_i 2^i$, and $u_T = \sum_{i=\mathsf{hz}_t(u)+1}^{t-1} u_i 2^i$.

Remark that since $u \neq 2^t - 1$, its binary decomposition has at least one '0', which guaranties that $\mathsf{hz}_t(u)$ is well defined.

Lemma 6 (SANF and Gap). *Let $d, t, u \in \mathbb{N}$ such that $d = 2^t + u + 1$, where $t \geq 2$ and $0 \leq u < 2^t - 1$. Let us denote $j = \mathsf{hz}_t(u)$. The following holds for $\mathsf{T}_{d,n}$: $S_d \cap [\overline{u_B}, 2^j] = \{\overline{u_B}, 2^j\}$, giving a gap of u_B in the SANF.*

Proof. In this proof we often identify the integers with their binary decomposition, and use the partial order of Definition 3. First, we determine the set S_d for a function of threshold d. Using Lemma 2, since $d = 2^t + u + 1$ the period of the SANF is $2^{\lceil \log d \rceil} = 2^{t+1}$, and $S_d = \{v \in \mathbb{F}_2^{t+1} \,|\, v \preceq \overline{d-1}\} = \{v \in \mathbb{F}_2^t \,|\, v \preceq \overline{u}\}$.

Then, we focus on the binary decomposition of $\overline{u}$. By definition of u_T and u_B we have that $u = u_T + u_B$ with $u_B < 2^j$ and u_T has binary decomposition $1^{t-1-j}0^{j+1}$. Therefore, $\overline{u} = 0^{t-1-j}1\overline{u_B}$.

Finally, focusing on the elements of $[\overline{u_B}, 2^j]$, from the binary decomposition of $\overline{u}$, $\overline{u_B} \preceq \overline{u}$ and $2^j \preceq \overline{u}$. Nevertheless, for all v such that $\overline{u_B} < v < 2^j$ we have $v \not\preceq \overline{u_B}$, so $v \not\preceq \overline{u}$. Hence, $S_d \cap [\overline{u_B}, 2^j] = \{\overline{u_B}, 2^j\}$, and since $\overline{u_B} = 2^j - 1 - u_B$, it gives a gap of u_B. □

The precedent lemma guaranties that all non extreme elements of the interval $[\overline{u_B}, 2^j]$, modulo the period, have null SANF coefficients. With the same notations, we determine an interval where the SANF of two threshold functions is identical, the one with threshold values $2^t + u + 1$ and $2^t + u + 2^j + 1$.

Lemma 7 (Threshold Functions and Coinciding SANF). *Let $d, t, u \in \mathbb{N}$ such that $d = 2^t + u + 1$, where $t \geq 2$ and $0 \leq u < 2^t - 1$ and $j = \mathsf{hz}_t(u)$. The following holds: the SANF of $\mathsf{T}_{d,n}$ and $\mathsf{T}_{d+2^j,n}$ coincide on $[2^t + u + 1 + 2^j, 2^{t+1} + 2^t + u] \cap [n]$.*

Proof. We consider the sets related to these two functions: S_{2^t+u+1} and $S_{2^t+u+2^j+1}$. By definition of j, we have $u + 2^j < 2^t$, so $d + 2^j \leq 2^{t+1}$ and then Lemma 2 gives $S_{2^t+u+2^j+1} = \{v \in \mathbb{F}_2^t \mid v \preceq \overline{u + 2^j}\}$. From the proof of Lemma 6 we know that $S_{2^t+u+1} = \{v \in \mathbb{F}_2^t \mid v \preceq \overline{u}\}$, and $\overline{u} = 0^{t-1-j}1\overline{u_B}$. Then, $S_{2^t+u+2^j+1} \subset S_{2^t+u+1}$ and the elements of S_{2^t+u+1} greater than or equal to 2^j are not in $S_{2^t+u+2^j+1}$. Using the second characterization of $S_{d+2^j,n}$, the two threshold functions have the same SANF on $[2^{t+1} - 2^j + 1, 2^{t+1}] \cap [n]$, and $2^{t+1} - 2^j + 1 \leq d + 2^j$ (since $d = 2^{t+1} - 2^{j+1} + u_B + 1$). Moreover, since in both case the period is 2^{t+1}, the SANF coefficients are null on the interval $]2^{t+1}, 2^{t+1} + \min\{d, d + 2^j\} - 1] =]2^{t+1}, 2^{t+1} + 2^t + u]$. □

Combining Lemma 6 and Lemma 7 we can derive a new lower bound for B_1^r and then for B, where r is a quantity depending both on u and the algebraic immunity of second threshold function of the gap. Then, we highlight the behavior of this bound for particular cases.

Lemma 8 (Gap Bound). *Let $d, t, u \in \mathbb{N}$ such that $d = 2^t + u + 1$, where $t \geq 2$ and $0 \leq u < 2^t - 1$, and $j = \mathsf{hz}_t(u)$. Let $n \in \mathbb{N}$ such that $n \in [d + 2^j, 2^{t+1} + d - 1]$, we denote $r = \min\{u_B, \mathsf{AI}(\mathsf{T}_{d+2^j,n}) - 1\}$, the following holds:*

$$\mathsf{B}_1^r(\mathsf{T}_{d,n}) \geq 2^t + u + 2^j + 2, \quad \textit{and} \quad \mathsf{B}(\mathsf{T}_{d,n}) \geq 2^t + u + r + 2.$$

Proof. We begin by expressing $\mathsf{T}_{d,n}$ as a sum of two functions: $\mathsf{T}_{d,n} = f_a + f_b$, where f_a is composed of all the monomials of degree lower than $d + 2^j$ and f_b is the remaining part, the monomials of degree at least $d + 2^j$. Using Lemma 6, since $S_d \cap [2^j - u_B - 1, 2^j] = \{2^j - u_B - 1, 2^j\}$ the only non null coefficients in the SANF of $\mathsf{T}_{d,n}$ in $[d + 2^j - u_B - 1, d + 2^j]$ are $d + 2^j - u_B - 1$ and $d + 2^j$. It implies $\mathsf{deg}(f_a) = d + 2^j - u_B - 1$. Then, we know from Lemma 7 that $f_b = \mathsf{T}_{d+2^j,n}$ due to the restrictions on n, and we can write $\mathsf{T}_{d,n} = f_a + \mathsf{T}_{d+2^j,n}$.

For r defined as $\min\{u_B, \mathsf{AI}(\mathsf{T}_{d+2^j,n}) - 1\}$, for all function g such that $1 \leq \mathsf{deg}(g) \leq r$ we get:

- $\mathsf{deg}(g \cdot f_a) < d + 2^j$ since $r \leq u_B$ and $\mathsf{deg}(f_a) = d + 2^j - u_B - 1$,
- $\mathsf{deg}(g \cdot \mathsf{T}_{d+2^j,n}) \geq d + 2^j$ since $r < \mathsf{AI}(\mathsf{T}_{d+2^j,n})$ and $\mathsf{AN}(1 + \mathsf{T}_{d+2^j,n}) = d + 2^j$ from Lemma 1.

Consequently, $\mathsf{deg}(g \cdot f_a) < \mathsf{deg}(g \cdot f_b)$ so $\mathsf{deg}(g \cdot \mathsf{T}_{d,n}) = \mathsf{deg}(g \cdot \mathsf{T}_{d+2^j,n})$ and we can conclude: $\mathsf{B}_1^r(\mathsf{T}_{d,n}) \geq 2^t + u + 2^j + 2$. Moreover, $r \leq u_B < 2^j$ so $2^t + u + r + 2 \leq 2^t + u + 2^j + 2$, and $2^t + u + r + 2$ is the lower bound on $\mathsf{B}_{r+1}^{\mathsf{AI}-1}$ given by $\mathsf{AN}(1 + \mathsf{T}_{2^t+u+1,n})$, leading to $\mathsf{B}(\mathsf{T}_{d,n}) \geq 2^t + u + r + 2$.

□

In the following we focus on cases where $r = u_B$.

Lemma 9 (Gap Bound and Particular Cases). *Let $n \in \mathbb{N}$ such that $n = 2^m + 2k + \varepsilon$, where $m \geq 3$, $0 \leq k < 2^{m-1}$, and $\varepsilon \in \{0, 1\}$. We consider two cases depending on the value of k:*

1. $0 \leq k < 2^{m-2}$*:*
   Let $d, u \in \mathbb{N}$ such that $d = 2^{m-1}+u+1$, $u < 2^{m-1}-1$ and $j = \mathsf{hz}_{m-1}(u)$. If $u_B \leq k+2^{j-1}+(\varepsilon-1)/2$ then:
$$\mathsf{B}_1^{u_B}(\mathsf{T}_{d,n}) \geq 2^{m-1} + u + 2^j + 2, \quad \textit{and} \quad \mathsf{B}(\mathsf{T}_{d,n}) \geq 2^{m-1} + u + u_B + 2.$$
   In particular, if $u < 2^{m-2}$ then:
$$u \leq k + 2^{m-3} + (\varepsilon - 1)/2 \Rightarrow \mathsf{B}(\mathsf{T}_{d,n}) \geq 2^{m-1} + 2u + 2.$$
2. $2^{m-2} \leq k < 2^{m-1}$*:*
   Let $d, u \in \mathbb{N}$ such that $d = 2^m+u+1$, $u < 2^m-1$ and $j = \mathsf{hz}_m(u)$. If $u_B \leq k-2^{m-1}+2^{j-1}+(\varepsilon-1)/2$ then:
$$\mathsf{B}_1^{u_B}(\mathsf{T}_{d,n}) \geq 2^m + u + 2^j + 2, \quad \textit{and} \quad \mathsf{B}(\mathsf{T}_{d,n}) \geq 2^m + u + u_B + 2.$$
   In particular, if $u < 2^{m-1}$ then:
$$u \leq k - 2^{m-2} + (\varepsilon - 1)/2 \Rightarrow \mathsf{B}(\mathsf{T}_{d,n}) \geq 2^m + 2u + 2.$$

Proof. We begin with the case $k < 2^{m-2}$. In this case $d = 2^{m-1}+u+1$, which corresponds to fix $t = m-1$ in the previous lemmas, we verify that we can apply Lemma 8. Since $n = 2^m + 2k + \varepsilon$, n is greater than or equal to 2^m so greater than $d + 2^j$. The quantity $2^{t+1} + d - 1$ is here $2^m + 2^{m-1} + u$ and since $k < 2^{m-2}$ we have $n \leq 2^m + 2^{m-1} + \varepsilon - 2 < 2^m + 2^{m-1} + u$, therefore n is in the interval where Lemma 8 applies.

Then, we focus on the value of $\mathsf{Al}(\mathsf{T}_{d+2^j,n})$. From Lemma 1, this value is $\min\{2^{m-1}+u+2^j+1, 2^m + 2k + \varepsilon - 2^{m-1} - u - 2^j\}$. From the definition of j, $u_T = 2^{m-1} - 2^{j+1}$, then this minimum is therefore $\min\{2^m - 2^j + u_B + 1, 2k + 2^j + \varepsilon - u_B\}$, and since $j \leq m-2$ (and $k < 2^{m-2}$), the minimum is always reached by $2k + 2^j + \varepsilon - u_B$. We want to show that $r = u_B$, the condition $u_B \leq 2k + 2^j + \varepsilon - u_B - 1$ leads to $u_B \leq k + 2^{j-1} + (\varepsilon - 1)/2$.

Thereafter, $u_B = r$ so Lemma 8 gives $\mathsf{B}_1^{u_B}(\mathsf{T}_{d,n}) \geq 2^{m-1} + u + 2^{j-1} + 2$ and $\mathsf{B}(\mathsf{T}_{d,n}) \geq 2^{m-1} + u + u_B + 2$. When $u < 2^{m-2}$, it corresponds to $j = m - 2$ and therefore $u_T = 0$, $u = u_B$, explaining the particular case.

We handle the case $2^{m-2} \leq k < 2^{m-1}$, the structure of the proof is the same as for the first case. In this case $d = 2^m + u + 1$, which corresponds to fix $t = m$ in the previous lemmas, we verify that we can apply Lemma 8. Since $n = 2^m + 2k + \varepsilon$ and $k < 2^{m-1}$, we get $n \leq 2^{m+1} + 2^m + u$. The condition $n \geq d + 2^j$ leads to $u + 1 + 2^j \leq 2k + \varepsilon$, and from the definition of j we have $u = 2^m - 2^{j+1} + u_B$, enabling to write the condition as $u_B \leq 2k + 2^j - 2^m + \varepsilon - 1$.

Then, since in this case $d+2^j > n/2$ Lemma 1 gives $\mathsf{Al}(\mathsf{T}_{d+2^j,n}) = n-d-2^j+1 = 2k-u-2^j+\varepsilon = 2k - 2^m + 2^j - u_B + \varepsilon$. By definition of r, we obtain $r = u_B$ when $u_B \leq 2k - 2^m + 2^j - u_B + \varepsilon - 1$ which is equivalent to $u_B \leq k - 2^{m-1} + 2^{j-1} + (\varepsilon - 1)/2$. Since u_B is positive by definition, $u_B \leq k - 2^{m-1} + 2^{j-1} + (\varepsilon - 1)/2$ also respects the condition for n (*i.e.* $u_B \leq 2k + 2^j - 2^m + \varepsilon - 1$), hence $\mathsf{B}_1^{u_B}(\mathsf{T}_{d,n}) \geq 2^m + u + 2^j + 2$, and $\mathsf{B}(\mathsf{T}_{d,n}) \geq 2^m + u + u_B + 2$. The particular case corresponds to $j = m - 1$, where $u_B = u$.

□

3.3 Homogeneous annihilators and upper bound

In this part we extend the approach of [ACG+06] to upper bound the FAI of majority functions in the goal of applying it to the wider class of threshold functions. We first recall their result relatively to sufficient conditions to upper bound the quantity B. Then we use it to derive upper bounds for threshold functions.

Lemma 10 (Symmetric Functions and Homogeneous Annihilators ([ACG+06], Corollary 1)). *Let f be a symmetric n-variable Boolean function with simplified value vector s_f, and for i, j integers in $[0, n]$ define $a_{i,j}$ as $a_{i,j} = \sum_{k=0}^{n} \binom{i-j}{i-k} w_i \mod 2$. Let e, d be integers such that $e, d \in [n]$, if $\sum_{i=d+1}^{n} a_{i,e}\binom{n}{i} < \binom{n}{e}$, then there exist an homogeneous function g of degree e and a function h of degree d such that $fg = h$.*

Lemma 11 (Homogeneous Function Bound).
Let $n \in \mathbb{N}^*$ such that $n = 2^m + 2k + \varepsilon$, where $m \geq 3$, $0 \leq k < 2^{m-1}$, and $\varepsilon \in \{0, 1\}$. We consider two cases depending on the value of k:

1. *$0 \leq k < 2^{m-2}$:*
   Let $d, u \in \mathbb{N}$ such that $d = 2^{m-1} + u + 1$, $u < 2^{m-1} - 1$ and $j = \mathsf{hz}_{m-1}(u)$. If $u_B > k - 1 + \varepsilon/2$ then $\mathsf{B}(\mathsf{T}_{d,n}) \leq 2^{m-1} + u + u_B + 2$.
   In particular, if $u < 2^{m-2}$ then $u > k - 1 + \varepsilon/2 \Rightarrow \mathsf{B}(\mathsf{T}_{d,n}) \leq 2^{m-1} + 2u + 2$.
2. *$2^{m-2} \leq k < 2^{m-1}$:*
   Let $d, u \in \mathbb{N}$ such that $d = 2^m + u + 1$, $u < 2^m - 1$ and $j = \mathsf{hz}_m(u)$, the following holds: $\mathsf{B}(\mathsf{T}_{d,n}) \leq 2^m + u + u_B + 2$. In particular, if $u < 2^{m-1}$ then $\mathsf{B}(\mathsf{T}_{d,n}) \leq 2^m + 2u + 2$.

Proof. We begin by determining the $a_{i,j}$ coefficients for a threshold function $\mathsf{T}_{d,n}$. Using that in this case $w_i = 1 \Leftrightarrow i \in [d, n]$, it gives:

$$\begin{aligned} a_{i,j} &\equiv \sum_{k=0}^{n} \binom{i-j}{i-k} w_k \equiv \sum_{k=d}^{n} \binom{i-j}{k-j} \equiv \sum_{k=d}^{n} \binom{i-j-1}{k-j-1} + \sum_{k=d}^{n} \binom{i-j-1}{k-j} \mod 2 \\ &\equiv \binom{i-j-1}{d-j-1} + 2\left(\sum_{k=d}^{n-1} \binom{i-j-1}{k-j}\right) + \binom{i-j-1}{n-j} \equiv \binom{i-j-1}{d-j-1} \mod 2. \end{aligned}$$

Then, we write d as $2^t + u + 1$ as in Subsection 3.2, where $t \geq 2$, $0 \leq u < 2^t - 1$ and $j = \mathsf{hz}_t(u)$ (see Definition 10). Using Lemma 10 we determine the cases where there exists a function g of degree $e = u_B + 1$ such that $\mathsf{deg}(g \cdot \mathsf{T}_{d,n}) = d$. We first have to determine when $a_{i,e} = 1$:

$$a_{i,e} = 1 \Leftrightarrow \binom{i-e-1}{d-e-1} \equiv 1 \mod 2 \Leftrightarrow \binom{i-u_B-2}{2^t+u_T-1} \equiv 1 \mod 2.$$

By definition of j, $2^t + u_T = 2^{t+1} - 2^{j+1}$ and then using Property 1 $a_{i,1} = 1$ is equivalent to $2^{t+1} - 2^{j+1} - 1 \preceq i - u_B - 2$. The first integer has binary decomposition '$1^{t-j-1}01^{j+1}$': on the last $t+1$ elements only the one corresponding to 2^{j+1} is '0'. Hence, only the integers in the set X are covering this integer, where:

$$X = \{(\ell+1)2^{t+1} - 2^{j+1} - 1,\ (\ell+1)2^{t+1} - 1 \,|\, \ell \in \mathbb{N}\}.$$

Accordingly to Lemma 10, we care about the value of $a_{i,e}$ for $i \in [d+1, n]$ only, and therefore we can limit our study to the set $N = [d - u_B - 1, n - u_B - 2] \cap X$. Fixing $n = 2^m + 2k + \varepsilon$, we focus precisely on the cases where $t = m - 1$ and $k < 2^{m-2}$, and $t = m$ and $2^{m-2} \leq k < 2^{m-1}$. For the first case we obtain:

$$N = [2^m - 2^{j+1}, 2^m + 2k + \varepsilon - u_B - 2] \cap \{(\ell+1)2^m - 2^{j+1} - 1,\ (\ell+1)2^m - 1 \,|\, \ell \in \mathbb{N}\}.$$

Since $k < 2^{m-2}$ we get $2^m + 2k + \varepsilon - u_B - 2 < 2^m + 2^{m-1} - 2$ and since $j \leq m-2$ we can conclude that $N = \emptyset$ or $N = \{2^m - 1\}$. We are in the second case when $2^m + 2k + \varepsilon - u_B - 2 \geq 2^m - 1$, which corresponds to $u_B \leq 2k + \varepsilon - 1$. Thereafter, $a_{i',e} = 1$ where $i' - u_B - 2 = 2^m - 1$, which gives $i' = 2^m + u_B + 1$. In the case $u_B \leq 2k + \varepsilon - 1$, we obtain:

$$\sum_{i=d+1}^{n} a_{i,u_B+1}\binom{n}{i} = \binom{2^m + 2k + \varepsilon}{2^m + u_B + 1}, \quad \text{and } \binom{n}{e} = \binom{2^m + 2k + \varepsilon}{u_B + 1}.$$

The sufficient condition of Lemma 10 is satisfied when $u_B + 1 > 2k + \varepsilon - u_B - 1$ or equivalently $u_B > k - 1 + (\varepsilon/2)$. When $u_B > 2k + \varepsilon - 1$, $N = \emptyset$, the sum is null, and therefore the condition of Lemma 10 is satisfied. We summarize this part: Let $d = 2^{m-1} + u + 1$, $0 \leq k < 2^{m-2}$, $j = \mathsf{hz}_{m-1}(u)$, if $u_B > k - 1 + (\varepsilon/2)$ then there exists an homogeneous function g of degree $u_B + 1$ such that $\mathsf{deg}(g \cdot \mathsf{T}_{d,n}) = d$, which means $\mathsf{B}(\mathsf{T}_{d,n}) \leq 2^{m-1} + u + u_B + 2$.

In the following we handle the second case, $t = m$ and $2^{m-2} \leq k < 2^{m-1}$. Then the set N is:

$$N = [2^{m+1} - 2^{j+1}, 2^m + 2k + \varepsilon - u_B - 2] \cap \{(\ell + 1)2^{m+1} - 2^{j+1} - 1,\ (\ell + 1)2^{m+1} - 1 \,|\, \ell \in \mathbb{N}\}.$$

Note that since $k < 2^{m-1}$, we obtain $2^m + 2k + \varepsilon - u_B - 2 < 2^{m+1} - 1$, and therefore $N = \emptyset$. Following the same reasoning as for the first case, the condition of Lemma 10 is satisfied, and we can conclude. Let $d = 2^m + u + 1$, $0 \leq 2^{m-2} \leq k < 2^{m-1}$, $j = \mathsf{hz}_m(u)$, there exist an homogeneous function g of degree $u_B + 1$ such that $\mathsf{deg}(g \cdot \mathsf{T}_{d,n}) = d$, which means $\mathsf{B}(\mathsf{T}_{d,n}) \leq 2^m + u + u_B + 2$.

The particular cases come from the fact that when j is maximal ($m - 2$ in the first case, $m - 1$ in the second case), u_B becomes equal to u.

□

In [ACG+06], Theorem 2 proves for the majority function f in $n \geq 2$ variables the existence of a function h of degree $d = \lfloor n/2 \rfloor + 1$ and a function g of degree e where $e = \min\{e > 0, e = d - 2^i \,|\, i \in \mathbb{N}\}$. In our terms, the majority function corresponds to the threshold $d = 2^{m-1} + k + 1$, and for $0 \leq k < 2^{m-2}$ fixing $u = k$ in the particular case of item 1 of Lemma 11 enables to retrieve this result. The main interest of the extension is to determine exactly the FAI of several threshold functions by comparing this upper bound with the lower bound given by Lemma 9.

4 FAI of $\mathsf{T}_{d,n}$, exact values and small intervals

In this part we combine the different bounds of Section 3 to determine the exact FAI of threshold functions $\mathsf{T}_{d,n}$. Writing the integer n as $2^m + 2k + \varepsilon$ with the restrictions used in the previous section, in a first time we determine the values of d for which the FAI can be exactly known for k such that $0 \leq k < 2^{m-2}$. In a second time, we focus on the values of n for which k is such that $2^{m-2} \leq k < 2^{m-1}$. For both cases, the exact FAI can be determine when an upper bound and a lower bound on B are equal or when A can be proven smaller. In the final part we sum up the different results, giving the exact FAI when it is possible or a narrow range where it lives otherwise. We highlight the results on majority functions, and we give illustrations for different values of n, representing the three different cases depending on the relation between k and 2^{m-2}.

4.1 Exact values of $\mathsf{FAI}(\mathsf{T}_{d,n})$, case $0 \leq k < 2^{m-2}$

The values of $\mathsf{FAI}(\mathsf{T}_{d,n})$ for d in $[1, 2^{m-1} + k]$ can be determined from the one relative to d such that $2^m + k + 1 \leq d \leq n$ using the relation of Proposition 1, therefore we focus on the values in the second

half. We separate the cases $d \leq 2^m$ and $d > 2^m$. The bound of Proposition 2 allows to exhibit the FAI for $d \geq 2^m$.

Proposition 5. *Let $n, m, k, d \in \mathbb{N}$, where $n = 2^m + 2k + \varepsilon$, $m \geq 2$, $0 \leq k < 2^{m-2}, \varepsilon \in \{0, 1\}$. For all $d \in [2^m, n]$ $\mathsf{FAI}(\mathsf{T}_{d,n}) = 2(n - d + 1)$.*

Proof. Using the notations of Proposition 2 $d = 2^{m-1} + k + 1 + t$ and in this case $t \geq 2^{m-1} - k - 1$. From the same proposition we can bound A and B. $\mathsf{B} \geq 2^{m-1} + k + 2 + 2^{m-1} - k - 1 \geq 2^m + 1$, and $\mathsf{A} \leq 2^m + 2k - 2(2^{m-1} - k - 1) + 2\varepsilon \leq 4k + 2\varepsilon + 2$. From the value of k, $\mathsf{A} \leq 2^m$ and therefore A determines the value of $\mathsf{FAI}(\mathsf{T}_{d,n})$.

□

The remaining values for d are in $[2^{m-1} + k + 1, 2^m[$, and all the corresponding threshold functions $\mathsf{T}_{d,n}$ have degree 2^m. Hence, we can exhibit the FAI of some of them using Lemma 5.

Proposition 6. *Let $n, m, k, d \in \mathbb{N}$, where $n = 2^m + 2k + \varepsilon$, $m \geq 2$, $0 \leq k < 2^{m-2}, \varepsilon \in \{0, 1\}$. For all $d \in [2^m + 1 - k, 2^m]$, let us write d as $2^m + 1 - k + r$, where $0 \leq r \leq k - 1$. The following holds:*

$$\mathsf{FAI}(\mathsf{T}_{d,n}) = \begin{cases} \mathsf{A} = 2(n - d + 1) & \text{if } r \geq 3k + \varepsilon - 2^{m-1} - 1, \\ \mathsf{B} = 2^m + 2 & \text{otherwise.} \end{cases}$$

Proof. From Lemma 5 since $k < 2^{m-2}$ we obtain that for $t \in [0, 2^{m-1}]$, $\mathsf{B}_1^k(\mathsf{T}_{2^{m-1}+t,n}) = 2^m + 2$, and $\mathsf{B}(\mathsf{T}_{2^{m-1}+t,n}) \geq \min\{2^m + 2, 2^{m-1} + t + k + 1\}$. We determine when $2^m + 2$ is certain to be the minimum: $2^m + 2 \leq 2^{m-1} + t + k + 1$ which leads to $t \geq 2^{m-1} - k + 1$, and therefore $\mathsf{B}(\mathsf{T}_{d,n}) = 2^m + 2$ for $d \in [2^m + 1 - k, 2^m]$.

Finally, we study when $\mathsf{A} \leq \mathsf{B}$ for $d \in [2^m + 1 - k, 2^m]$, since k is smaller than 2^{m-2} the threshold is greater than $n/2$ hence $\mathsf{A} = 2(2^m + 2k + \varepsilon - 2^m - 1 + k - r + 1) = 6k + 2\varepsilon - 2r$. The condition $\mathsf{A} \leq \mathsf{B}$ is therefore equivalent to $3k + \varepsilon - r \leq 2^{m-1} + 1$, allowing to conclude.

□

Proposition 6 refers to the cases where the bound of Lemma 5 is better than the bound of B of Proposition 2, and tight. In the following we show that the bound of Lemma 5 combined with the value of A enables to exhibit the FAI of more functions.

Proposition 7. *Let $n, m, k, d \in \mathbb{N}$, where $n = 2^m + 2k + \varepsilon$, $m \geq 2$, $0 \leq k < 2^{m-2}, \varepsilon \in \{0, 1\}$. For all $d \in [2^{m-1} + k + 1 + (2^{m-1} + 2\varepsilon - 2)/3, 2^m]$, let us write d as $2^{m-1} + k + 1 + t$, where $t \geq 0$. The following holds:*

$$\mathsf{FAI}(\mathsf{T}_{d,n}) = \begin{cases} \mathsf{A} = 2(n - d + 1) & \text{if } t \geq k - 1 + \varepsilon, \\ \mathsf{B} = 2^m + 2 & \text{otherwise.} \end{cases}$$

Proof. From Lemma 5 since $k < 2^{m-2}$ we obtain that for $s \in [0, 2^{m-1}]$, $\mathsf{B}_1^k(\mathsf{T}_{2^{m-1}+s,n}) = 2^m + 2$, and $\mathsf{B}(\mathsf{T}_{2^{m-1}+s,n}) \geq \min\{2^m + 2, 2^{m-1} + s + k + 1\}$. We determine when A is lower than or equal to $\mathsf{B}_{k+1}^{\mathsf{AI}-1}$. With $d = 2^{m-1} + k + 1 + t$ it gives $2^m + 2(k - t) + 2\varepsilon \leq 2^{m-1} + 2k + t + 2$, resulting in $t \geq (2^{m-1} + 2\varepsilon - 2)/3$.

For these values, the minimum (which is sure to be reached) is A or $2^m + 2$, we determine when A is minimal: $2^m + 2(k - t) + 2\varepsilon \leq 2^m + 2$ is equivalent to $t \geq k - 1 + \varepsilon$.

□

We finish this part by combining the upper bound from Lemma 9 and the lower bound from Lemma 11, showing that they are equal for thresholds close to the majority.

Proposition 8. *Let $n, m, k, d \in \mathbb{N}$, where $n = 2^m + 2k + \varepsilon$, $m \geq 3$, $0 \leq k < 2^{m-2}, \varepsilon \in \{0, 1\}$. Let $u \in \mathbb{N}$ such that $d = 2^{m-1} + u + 1$, if $k \leq u \leq \min\{k + 2^{m-3} + (\varepsilon - 1)/2, 2^{m-2} - 1\}$ then* $\mathsf{FAI}(\mathsf{T}_{d,n}) = 2^{m-1} + 2u + 2$.

Proof. Denoting d as $2^{m-1} + u + 1$, $u < 2^{m-1} - 1$ and $j = \mathsf{hz}_{m-1}(u)$ as in Lemma 9 and Lemma 11, since $0 \leq k < 2^{m-2}$, we get: $\mathsf{B} \geq 2^{m-1} + u + u_B + 2$ for $u_B \leq k + 2^{j-1} + (\varepsilon - 1)/2$ and $\mathsf{B} \leq 2^{m-1} + u + u_B + 2$ for $u_B > k - 1 + \varepsilon/2$. Therefore, the value of B is exactly $2^{m-1} + u + u_B + 2$ for $u_B \in [k, k + 2^{j-1} + (\varepsilon - 1)/2] \cap [0, 2^j - 1]$.

Focusing on the particular case $u < 2^{m-2}$, which corresponds to $j = m - 2$, we have $u_B = u$, and for all u between k and $\min\{k + 2^{m-3} + (\varepsilon - 1)/2, 2^{m-2} - 1\}$ we get $\mathsf{B} = 2^{m-1} + 2u + 2$. Since $d \geq n/2$, $\mathsf{A} = 2(2^{m-1} + 2k + \varepsilon - u) = 2^m + 4k + 2\varepsilon - 2u$, and $\mathsf{A} < \mathsf{B}$ leads to $u > 2^{m-3} + k + (\varepsilon - 1)/2$, thereafter $\mathsf{FAI} = \mathsf{B}$ for these cases.

□

4.2 Exact values of $\mathsf{FAI}(\mathsf{T}_{d,n})$, case $2^{m-2} \leq k < 2^{m-1}$

Similarly to the case of the previous subsection, we can exhibit the FAI for several values of d. Here, the power of two degree bound (Lemma 5) enables to obtain the FAI when the threshold is between the half and 2^m. Therefore it encompasses the case of the majority functions, which result was unknown for $k \geq 2^{m-2}$. Then, for the part $d > 2^m$, the bounds of Lemma 9 and Lemma 10 coincide on a part of the interval, and Proposition 2 allows us to conclude for the values close to n.

Lemma 12. *Let $n, m, k, d \in \mathbb{N}$, where $n = 2^m + 2k + \varepsilon$, $m \geq 2$, $2^{m-2} \leq k < 2^{m-1}, \varepsilon \in \{0, 1\}$.*

$$\mathsf{FAI}(\mathsf{T}_{d,n}) = \begin{cases} 2^m + 2 & \text{if } 2^{m-1} + k + 1 \leq d \leq 2^m, \\ 2^m + 2t + 2 & \text{if } d = 2^m + 1 + t \text{ where } 0 \leq t \leq k - 2^{m-2} + (\varepsilon - 1)/2, \\ 2(n - d + 1) & \text{if } d \geq 2^m + 1 + k - 2^{m-2} + (k - 2^{m-2} + 2\varepsilon - 2)/3. \end{cases}$$

Proof. First, we handle the thresholds $d \leq 2^m$, note that for these values of d, $2^m + d > n$ since $k < 2^{m-1}$, therefore these threshold functions have degree 2^m (Proposition 3), allowing us to apply Lemma 5. In this case $\mathsf{B} \geq \min\{2^m + 2, d + k + 1\}$ is reached by $2^m + 2$ since $d + k + 1 \geq 2^{m-1} + 2k + 2 \geq 2^m + 2$. Since this value is reached with degree one function we get $\mathsf{B} = 2^m + 2$. For all these cases $\mathsf{A} \geq 2(2k + \varepsilon + 1) \geq 2^m + 2$, hence B gives the FAI.

Then, we handle the cases where $d \in [2^m + 1, n]$, let us write d as $2^m + 1 + t$ where $t \in [0, 2k + \varepsilon - 1]$. From Proposition 2, we obtain:

$$\mathsf{A}(\mathsf{T}_{2^m+t+1,n}) = 4k + 2\varepsilon - 2t, \quad \text{and} \quad \mathsf{B}(\mathsf{T}_{2^m+t+1,n}) \geq 2^m + t + 2.$$

Thereafter, the condition $\mathsf{A} \leq \mathsf{B}$ leads to $t \geq k - 2^{m-2} + (k - 2^{m-2} - 2 + 2\varepsilon)/3$, which proves the third part.

Finally, using jointly Lemma 9 and Lemma 11, when $t < 2^{m-1}$ we obtain: $0 \leq t \leq k - 2^{m-2} + (\varepsilon - 1)/2 \Rightarrow \mathsf{B} = 2^m + 2t + 2$. In this case, since $\mathsf{A} = 4k + 2\varepsilon - 2t$ from the previous part, $\mathsf{B} \leq \mathsf{A}$, proving the second part.

□

4.3 FAI of all $\mathsf{T}_{d,n}$, exact values and intervals

We summarize the results on the FAI of threshold functions in the following theorem:

Theorem 1 (FAI of Threshold functions). *Let $n, m, k, d \in \mathbb{N}$, where $n = 2^m + 2k + \varepsilon$, $m \geq 3$, $\varepsilon \in \{0, 1\}$, $d \in [n]$. For $k \in [0, 2^{m-2} - 1]$, let us denote $M_1 = \min\{k + 2^{m-3} + \frac{\varepsilon-1}{2}, 2^{m-2} - 1\}$ and $M_2 = \min\{2^m - k + 1, 2^{m-1} + k + 1 + \frac{2^{m-1}+2\varepsilon-2}{3}\}$, then:*

$$\mathsf{FAI}(\mathsf{T}_{d,n}) = \begin{cases} 2^{m-1} + 2t + 2 & \text{if } d = 2^{m-1} + t + 1 \text{ and } t \in [k, M_1], \\ v \in [d + k + 1, 2^m + 2] & \text{if } 2^{m-1} + 1 + M_1 < d \leq \min\{2^{m-1} + 2k + \varepsilon, M_2 - 1\}, \\ v \in [d + k + 1, 2(n - d + 1)] & \text{if } 2^{m-1} + 2k + \varepsilon < d < M_2, \\ 2^m + 2 & \text{if } M_2 \leq d \leq 2^{m-1} + 2k + \varepsilon, \\ 2(n - d + 1) & \text{if } \max\{M_2, 2^{m-1} + 2k + \varepsilon\} \leq d \leq n, \\ \mathsf{FAI}(\mathsf{T}_{n-d+1,n}) & \text{otherwise.} \end{cases}$$

For $k \in [2^{m-2}, 2^{m-1}-1]$, let us denote $M_3 = k - 2^{m-2} + \frac{\varepsilon-1}{2}$, and $M_4 = 2^m + 1 + k - 2^{m-2} + \frac{k-2^{m-2}+2\varepsilon-2}{3}$, then:

$$\mathsf{FAI}(\mathsf{T}_{d,n}) = \begin{cases} 2^m + 2 & \text{if } 2^{m-1} + k + 1 \leq d \leq 2^m, \\ 2^m + 2t + 2 & \text{if } d = 2^m + 1 + t \text{ where } 0 \leq t \leq M_3, \\ v \in [d + 1, 2(n - d + 1)] & \text{if } 2^m + 1 + M_3 < d < M_4, \\ 2(n - d + 1) & \text{if } d \geq M_4, \\ \mathsf{FAI}(\mathsf{T}_{n-d+1,n}) & \text{otherwise.} \end{cases}$$

Proof. We begin with the values of k in $[0, 2^{m-2}[$, which corresponds to the results of subsection 4.1. The quantity M_1 corresponds to the bound on u for which Proposition 8 stops to hold, and M_2 corresponds to the threshold to apply Proposition 6 or Proposition 7, and $2^{m-1} + 2k + \varepsilon$ is the minimal value such that $\mathsf{A} \leq 2^m + 2$.

The first case comes from Proposition 8. Then, when $d > 2^{m-1} + k + M_1$, Proposition 8 does not apply, but when $d \leq 2^m$, Lemma 5 gives the lower bound of $d + k + 1$ on B. When $d < M_2$, the upper bounds of $2^m + 2$ from Lemma 5 and $2(n - d + 1)$ from Proposition 2 apply, and the minimum between both switches at $d = 2^{m-1} + 2k + \varepsilon$, justifying the two intervals. When $d \geq M_2$, we can apply Proposition 6 or Proposition 7, giving the two following cases. The last part, $1 \leq d \leq 2^{m-1} + k$ comes from Proposition 1.

For case $k \in [2^{m-2}, 2^{m-1} - 1]$, we can use the results of subsection 4.2. The two first cases are derived from Lemma 12. When $2^m + 1 + M_3 < d < M_4$, the lower bound and upper bound come from Proposition 2. The fourth case is proven in Lemma 12, and the last part is given by Proposition 1.

□

We give a first corollary, exhibiting the exact FAI for all majority functions in more than 8 variables. We illustrate this result in Figure 1, showing $\mathsf{FAI}(\mathsf{MAJ}_n)$ for the n even between 8 and 54 (since the value of ε does not change the FAI).

Corollary 1 (FAI of Majority Functions). *Let $n, m, k \in \mathbb{N}$ such that $n = 2^m + 2k + \varepsilon$, where $n \geq 8$, $0 \leq k < 2^{m-1}$, and $\varepsilon \in \{0, 1\}$. The following holds:*

$$\mathsf{FAI}(\mathsf{MAJ}_n) = \begin{cases} 2^{m-1} + 2k + 2 & \text{if } 0 \leq k < 2^{m-2}, \\ 2^m + 2 & \text{if } 2^{m-2} \leq k < 2^{m-1}. \end{cases}$$

Proof. By definition, $\mathsf{MAJ}_n = \mathsf{T}_{2^{m-1}+k+1,n}$. For $k < 2^{m-2}$, since $n \geq 8$ we get $m \geq 3$ and therefore $M_1 \geq k$, we are in the first case of the first part of Theorem 1. When $k > 2^{m-2}$ it corresponds to the first case of the second part.

□

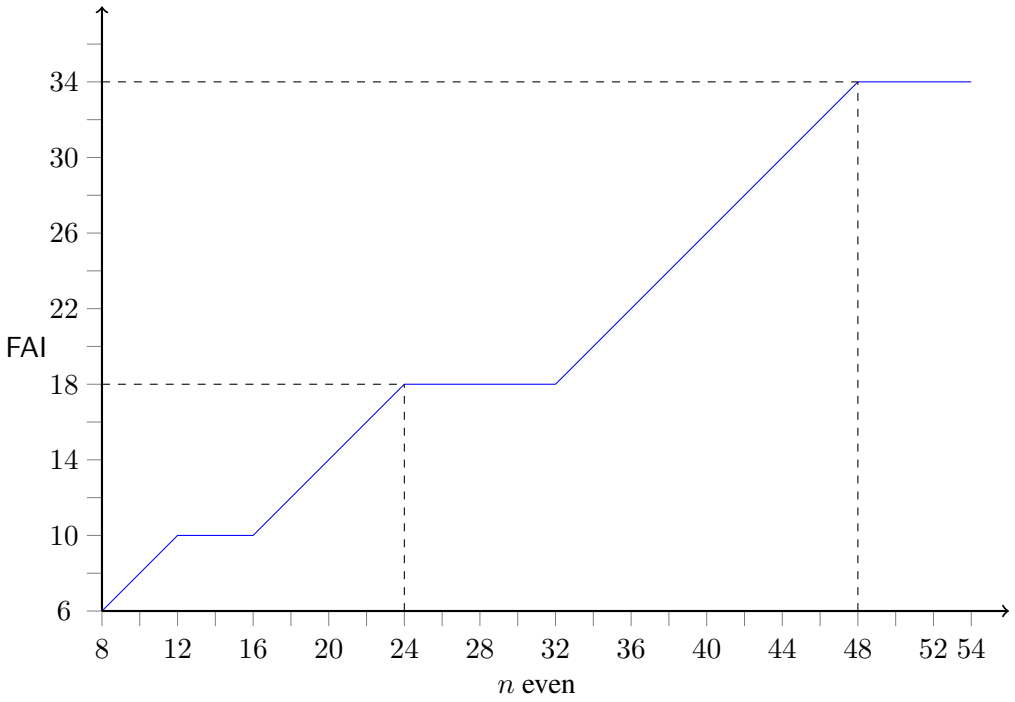

Fig. 1. FAI of majority functions, even $n \in [8, 54]$.

Then, we give a second corollary for particular values of n where the FAI can be determined exactly for all thresholds.

Corollary 2 (Special Case of $k = 2^{m-2}$). *Let $m \in \mathbb{N}$ such that $m \geq 3$, for all $n = 2^m + 2^{m-1} + \varepsilon$ where $\varepsilon \in \{0, 1\}$, for all $d \in [n]$, $\mathsf{FAI}(\mathsf{T}_{d,n})$ is known. More precisely:*

$$\mathsf{FAI}(\mathsf{T}_{d,2^m+2^{m-1}+\varepsilon}) = \begin{cases} 2^m + 2 & \text{if } 2^{m-1} + 2^{m-2} + 1 \leq d \leq 2^m, \\ 2^m + 2\varepsilon - 2t & \text{if } d = 2^m + 1 + t \text{ where } 0 \leq t \leq 2^{m-1} - 1 + \varepsilon, \\ \mathsf{FAI}(\mathsf{T}_{n-d+1,n}) & \text{otherwise.} \end{cases}$$

Proof. First, note that this value of n corresponds to the case $k = 2^{m-2}$. Using the second part of Theorem 1 we can extract the FAI for all threshold between $2^{m-1} + k + 1$ and 2^m from the first case. Then, for this value of k, the condition $d \geq 2^m + 1$ corresponds to $d \geq M_4$ where the bound from the AI applies. □

To conclude, we illustrate the behavior of $\mathsf{FAI}(\mathsf{T}_{d,n})$ for three values of n:

– In Figure 2, $n = 43$ which is a case where $k < 2^{m-2}$, handled in the first part of Theorem 1. The blue parts of the curve correspond to the exact values, the green one to the lower bounds and the red one to the upper bounds.

– The case $k = 2^{m-2}$ is represented in Figure 3 with $n = 49$. In this case all values of the FAI are known, it is given by the A bound for the first and last third, and it plateaus at $2^m + 2$ at the second third.
– In Figure 4, the case $n = 58$ is an example of $k > 2^{m-2}$, handled in the second part of Theorem 1.

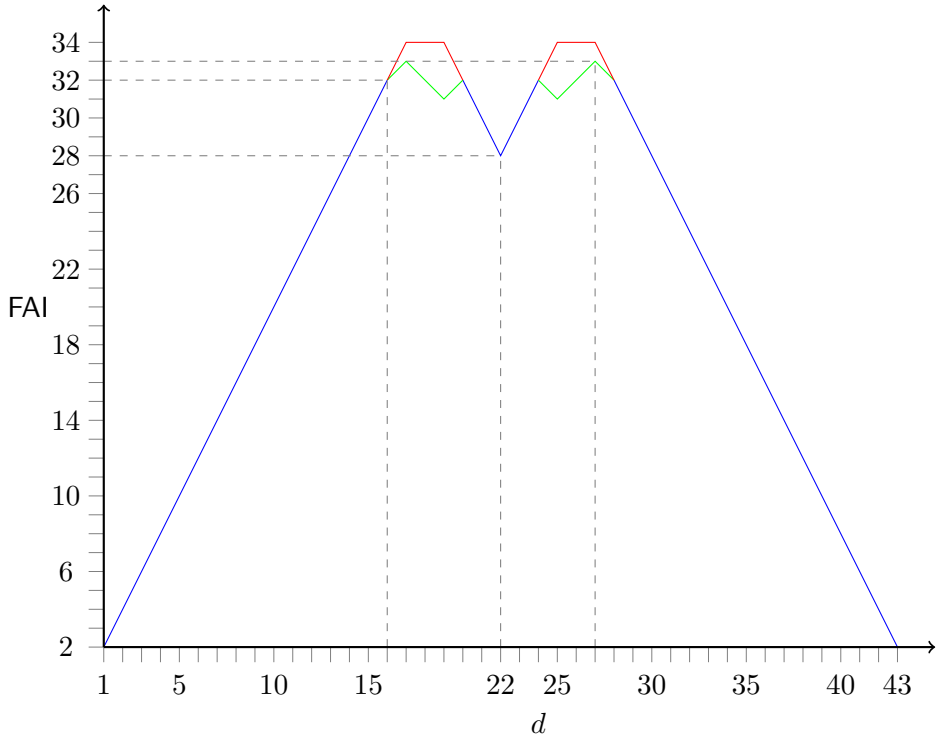

Fig. 2. FAI of $\mathsf{T}_{d,43}$.

5 Acknowledgements

The author is a beneficiary of a FSR Incoming Post-doctoral Fellowship.

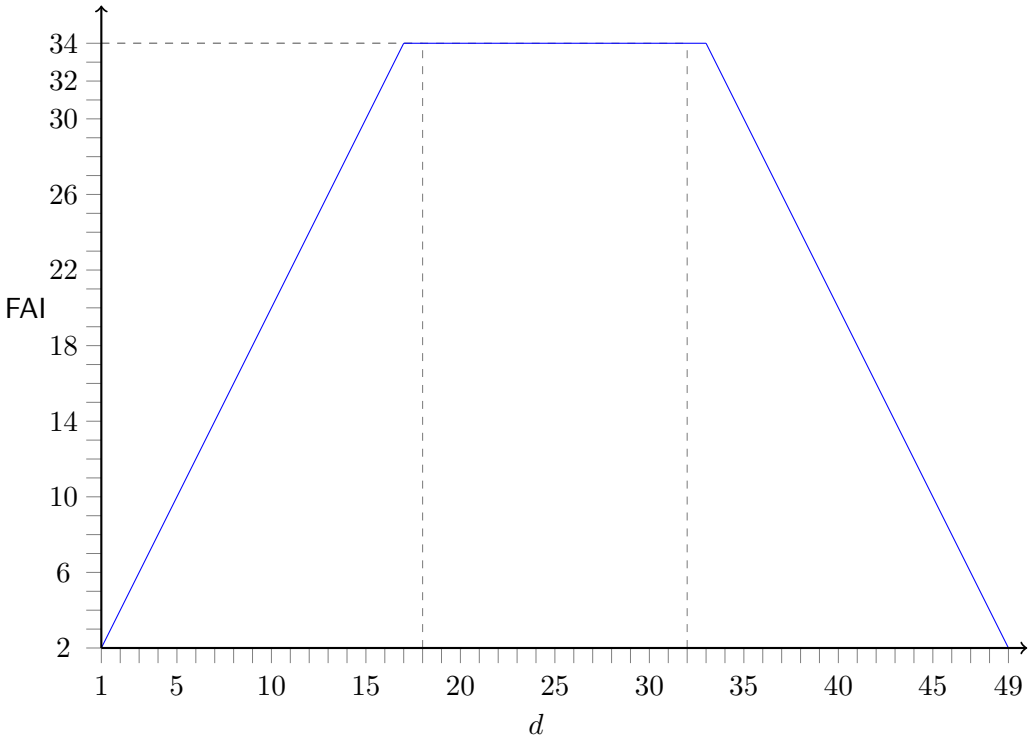

Fig. 3. FAI of $\mathsf{T}_{d,49}$.

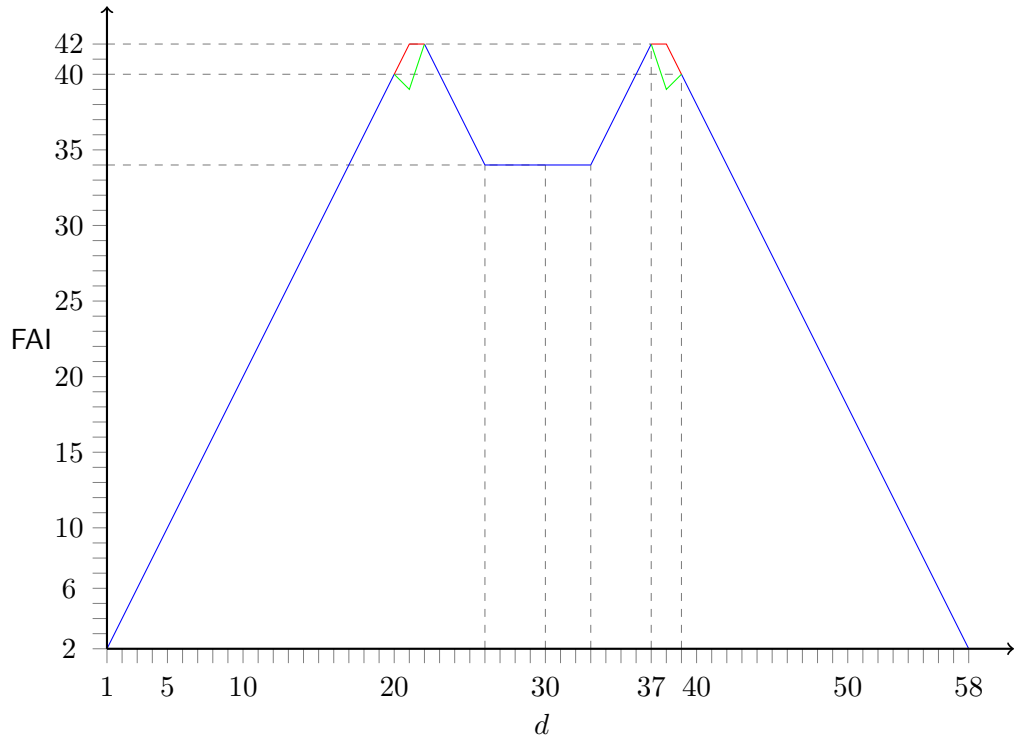

Fig. 4. FAI of $\mathsf{T}_{d,58}$.

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