SNI-in-the-head: Protecting MPC-in-the-head Protocols against Side-channel Analysis

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Abstract. MPC-in-the-head based protocols have recently gained much popularity and are at the brink of seeing widespread usage. With such widespread use come the spectres of implementation issues and implementation attacks such as side-channel attacks. We show that implementations of protocols implementing the MPC-in-the-head paradigm are vulnerable to side-channel attacks. As a case study, we choose the ZKBoo-protocol of Giacomelli, Madsen, and Orlandi (USENIX 2016) and show that even a single leaked value is sufficient to break the security of the protocol. To show that this attack is not just a theoretical vulnerability, we apply differential power analysis to show the vulnerabilities via a simulation.

In order to remedy this situation, we extend and generalize the ZKBooprotocol by making use of the notion of strong non-interference of Barthe et al. (CCS 2016). To apply this notion to ZKBoo, we construct novel versions of strongly non-interfering gadgets that balance the randomness across the different branches evenly. Finally, we show that each circuit can be decomposed into branches using only these balanced strongly noninterfering gadgets. This allows us to construct a version of ZKBoo, called (n + 1)-ZKBoo which is secure against side-channel attacks with limited overhead in both signature-size and running time. Furthermore, (n +1)-ZKBoo is scalable to the desired security against adversarial probes. We experimentally confirm that the attacks successful against ZKBoo no longer work on (n + 1)-ZKBoo. Moreover, we present an extensive performance analysis and quantify the overhead of our scheme using a practical implementation.

Keywords: MPC-in-the-head, Zero Knowledge, Strong Non-Interference

1 Introduction

Multiparty computation (MPC) is one of the most widely studied cryptographic primitives. For a long time, MPC protocols were believed to be of purely theoretic interest, but recent developments have shown that they are usable for a wide range of practically relevant applications. One well-studied version of these protocols, called *MPC-in-the-head*, is on the brink of seeing widespread use, e.g. as part of NIST's search for a Post-Quantum Signature Suite of algorithms

in the form of Picnic [12]. Due to the development of practical MPC protocols, efficient implementations of such protocols were also developed. In this paper, we study implementation attacks (in the form of side-channel attacks) against MPC protocols.

Side-channel attacks aim to use weaknesses in the implementation of a cryptographic protocol rather than trying to break the protocol itself. The main idea behind these attacks is to use physical information given by the computer on which the implementation of the protocol is running such as timing information [32], cache behavior [45, 47], or power consumption [34]. These attacks have been successfully performed for over 25 years and many countermeasures have been devised against them. As these schemes often come at the cost of the running time or memory consumption of the protocol, many implementations are still vulnerable to them. In this work, we focus on *differential power analysis* (DPA) attacks [33] that measure the power consumption during the run of an implementation and use statistical tools to derive information about the value of variables at certain points in time. While DPA attacks are known to be widely applicable against many implementations, countermeasures have also been studied intensively.

Many public-key protocols used in modern systems such as RSA or the Diffie-Hellman key exchange have shown to withstand attacks run on classical computers. On the other hand, it is long known that attackers equipped with quantum computers are provably able to break these systems [42]. While the deployment of practical quantum computers seems to be unlikely within the next decade, the cryptographic community has already started to develop algorithms that withstand these quantum attackers. To standardize some of these algorithms and to foster their timely adoption, NIST has started their Post-Quantum Cryptography Standardization project in 2017, where 82 algorithms were submitted, 69 proceeded to the first round, 26 to the second round, and 15 in the third round [13, 37, 38, 1]. The standardization focuses on key encapsulation mechanisms (KEMs), from which public-key encryption schemes can be derived easily, and on signature schemes due to their widespread use in modern systems. While the security of most of these algorithms relies on problems revolving around lattices or coding theory that are believed to be intractable for quantum computers, the signature scheme Picnic [12] relies on the hardness of SHA-3 and a lowcomplexity symmetric cipher called LowMC [2]. The underlying zero-knowledge protocol of Picnic follows the MPC-in-the-head paradigm and is called ZKB++ which is an optimised version of ZKBoo [23]. We will use the ZKBoo protocol as an example both for our attacks on the general MPC-in-the-head paradigm as well as for our defence mechanisms.

Our results

In this work, we study the applicability of DPA attacks to protocols relying on the MPC-in-the-head paradigm. We also show how one can prevent such attacks. To show the versatility of our approach, we use the ZKBoo protocol [23] as an example. The main insight of our approach is that both the MPC-in-the-head approach and the masking approach to protect against SCA are MPC protocols and can thus be viewed in a unified way.

As a first step, we show that ZKBoo is vulnerable to DPA attacks that can extract a single variable, as the protocol itself reveals two out of three shares. To show that this line of attack is feasible, we implement a DPA attack that is able to recover the third share with a high degree of certainty. In order to remedy such attacks, we first generalize the notion of (2,3)-decompositions of functions introduced in [23]. This allows us to apply MPC-based masking techniques—which are widely used to counteract DPA attacks—in the setting of MPC-in-the-head protocols. To use MPC-based DPA protection in MPC-in-the-head protocols, we need gadgets, where a strict subset of the output variables does not reveal any information about the input variables. Formally, this requirement is captured by the notion of strong non-interference (SNI) [7]. While SNI gadgets are known in the literature, none of them are compatible with the function decompositions needed for ZKBoo, as the dependency between the partial functions is imbalanced. Hence, we design suitable *balanced* SNI gadgets to obtain a generalized version of ZKBoo, called (n+1)-ZKBoo that reveals $\lceil n/2 \rceil + 1$ shares out of n+1. Any attacker obtaining $n - (\lceil n/2 \rceil + 1)$ additional variables thus only knows n out of n+1 shares and is still not able to recover the complete input. To show the feasibility of our defense mechanisms, we implemented this algorithm for n+1=5 (thus revealing three shares). Our experiments show that the extraction of a single additional variable is not sufficient to reconstruct the input. To protect against n probes, the size of the communication of (n + 1)-ZKBoo is about (n+1)/4 times larger than those of the original ZKBoo, while the running time of (n + 1)-ZKBoo is about (n + 1)(n + 2)/9 times larger than ZKBoo.

2 Preliminaries

First, we summarize the notation used in the rest of the paper. In the following, we fix some finite ring $(\mathbb{K}, \oplus, \otimes)$ with an *addition* operation \oplus and a *multiplication* operation \otimes . As usual, we often omit the multiplication symbol \otimes and thus write xy instead of $x \otimes y$. For $a, b \in \mathbb{Z}$ with a < b, we define $[a, b] := \{a, a + 1, \ldots, b - 1, b\}$. The letters x, y, z, \ldots represent the sensitive variables. Random variables are represented by the letter r, with an index as r_i . To denote a random selection of a variable r from the field \mathbb{K} , we use $r \in_R \mathbb{K}$.

Typically, a variable x is split into n + 1 shares x_0, \ldots, x_n such that $x = \bigoplus_{i=0}^n x_i$. The value n is called the masking order. This technique of masking was popularized in [11]. A vector of shares (x_0, \ldots, x_n) is denoted by \overline{x} , and the underlying masked value is given by $x = \bigoplus_{i=0}^n x_i$. For a subset $I \subseteq [0, n]$ of indices, we denote by $x_{|I} = (x_i)_{i \in I}$ the sub-vector of shares indexed by I. A gadget G for a function $f \colon \mathbb{K}^a \to \mathbb{K}^b$ (with regard to a masking order) is an arithmetic circuit with $a \cdot (n + 1)$ inputs and $b \cdot (n + 1)$ outputs grouped into a vectors of shares $\overline{x}^{(1)}, \ldots, \overline{x}^{(a)}$, resp. b vectors of shares $\overline{y}^{(1)}, \ldots, \overline{y}^{(b)}$. The arithmetic circuits have five kinds of gates: the unary \oplus_{α} gate with $\alpha \in \mathbb{K}$, which on input x outputs $x \oplus \alpha$; the unary \otimes_{α} gate with $\alpha \in \mathbb{K}$, which on input

x outputs $x \otimes \alpha$; the binary \oplus gate which on inputs x, x' outputs $x \oplus x'$; the binary \otimes gate, which on inputs x, x' outputs $x \otimes x'$; and the random gate with fan-in 0 that produce a uniformly chosen random element $r \in_R \mathbb{K}$. Note that in the case of $\mathbb{K} = \operatorname{GF}(2)$, these gates directly correspond to AND, XOR, and NOT gates. The gadget needs to be correct, i.e. $G(\overline{x}^{(1)}, \ldots, \overline{x}^{(a)}) = (\overline{y}^{(1)}, \ldots, \overline{y}^{(b)})$ iff $f(x^{(1)}, \ldots, x^{(a)}) = (y^{(1)}, \ldots, y^{(b)})$ for all possible inputs and for all values generated by the random gates. The values assigned to wires that are not output wires are called *intermediate variables*.

We also make use of a statistically binding commitment scheme and will denote the commitment algorithm as Comm (see e. g. [24] for a formal definition). Throughout this paper, we omit the modulus operation mod(n + 1) to improve readability. Logarithms are always taken with base 2, i. e. $log(x) := log_2(x)$.

2.1 MPC-in-the-head Paradigm

Secure multiparty computation (MPC) is a very useful paradigm used in many cryptographic protocols. Interestingly, the number of parties in an MPC protocol is usually denoted as n, whereas the number of shares in which a secret is split is usually denoted as n+1. As we mix both approaches, we decided to use n+1parties/shares throughout this work. An MPC protocol Π_f for a function f with arity n+1 is a protocol played by n+1 parties P_0, \ldots, P_n . Party P_i has some secret x_i and the goal of the protocol is to compute $f(x_0, \ldots, x_n)$ such that P_i does not learn anything about x_j for $j \neq i$. The view $View(P_i)$ of a party P_i is a string containing the secret x_i , the randomness r_i used by P_i and all messages sent to P_i and all message sent by P_i . Two views $\operatorname{View}(P_i)$, $\operatorname{View}(P_i)$ are consistent if the messages sent from P_i to P_j are exactly the messages received by P_j from P_i and vice versa. Such a protocol Π_f is perfectly correct, if the output $\Pi_f(x_0,\ldots,x_n)$ on inputs x_0,\ldots,x_n generated by the protocol equals $f(x_0,\ldots,x_n)$ for all possible randomness used by the parties. Furthermore, a protocol Π_f is *t*-MPC-private if for all $T \subseteq [0, n]$ with $|T| \leq t$ and all x_0, \ldots, x_n , there is a simulator S that on input $(T, (x_i)_{i \in T}, f(x_0, \ldots, x_n))$ outputs a vector $(v_i)_{i \in T}$ such that $(v_i)_{i \in T}$ and $(\text{View}(P_i))_{i \in T}$ have the same distribution.

Corrupted parties aiming to break the MPC-privacy of such a protocol can either be semi-honest (they follow the rules of the protocol, but try to obtain additional information from the transcripts) or malicious (they do not need to follow the protocol at all). Surprisingly, Ishai et al. [28] showed that security against semi-honest attackers is sufficient to obtain zero-knowledge proofs.

The main idea is to use the function $f_y(x) := R(x, y)$ for an instance yand split the witness x into shares x_0, \ldots, x_n with $x = \bigoplus x_i$. The prover then simulates the MPC protocol for n + 1 parties, where party i has input x_i . For each party i, this simulations generates a view and the prover uses a commitment scheme to commit to these views and sends all of the commitments to the verifier. The verifier now requests a random subset of the views from the prover, checks whether they belong to the commitments and whether they are consistent. One important advantage of this approach is the small communication complexity dominated by the size of the views and their commitments. Figure 1 contains a more formal description.

The verifier and the prover have input $y \in L_R$. The prover knows x such that R(x, y) = 1. A perfectly correct and t-MPC-private n + 1-party MPC protocol Π_{f_y} is given $(2 \le t \le n)$

Commit The prover does the following:

- 1. Sample random vectors x_1, \ldots, x_n . Set $x_0 = x \ominus \bigoplus_{i=1}^n x_i$.
- 2. Run $\Pi_{f_y}(x_0, \dots, x_n)$ and obtain the views $w_i = \text{View}(P_i)$ for all $i \in [0, n]$.
- 3. Compute commitment $\mathbf{a} = \mathsf{Comm}(w_0, \ldots, w_n)$ and send \mathbf{a} to the verifier.

Prove The verifier chooses a subset $\mathbf{E} \subset [0, n]$ such that $|\mathbf{E}| = t$ and sends it to the prover. The prover reveals the value w_e for all $e \in \mathbf{E}$.

Verify The verifier runs the following checks:

- 1. Simulate the run of all parties $e \in \mathbf{E}$ using w_e . If $\exists e \in \mathbf{E}$ such that the output of e is not 1, output reject.
- 2. If $\exists \{i, j\} \subset \mathbf{E}$ such that w_i is not consistent with w_j , output reject.
- 3. Output accept.

Fig. 1: The MPC-in-the-head protocol

This transformation, which is called the *MPC-in-the-head paradigm* has been used for many applications, first mainly for theoretical ones such as the blackbox construction of non-malleable commitments [26] or zero-knowledge protocols having communication complexity proportional only to the square-root of the verification circuit [4], but later also for practically usable implementations including zero-knowledge proofs [23] and signature schemes for post-quantum cryptography [12, 31, 10, 16].

2.2 Zero-knowledge Proofs

A zero-knowledge proof between a prover \mathcal{P} and a verifier \mathcal{V} is a two-player game. The goal of the the prover \mathcal{P} is to convince the verifier \mathcal{V} that they know a certain secret x without revealing any information about this secret. Zeroknowledge proofs are extremely useful for different cryptographic applications such as signature schemes or multi-party computations. In this work, we only need a certain kind of well-structured protocol, called a Σ -protocol. In the following, let $R \subseteq \{0,1\}^* \times \{0,1\}^*$ be an NP-relation, i.e. for all $x, y \in \{0,1\}^*$, the value R(x, y) can be computed in polynomial time and if R(x, y) = 1, we have $|x| \leq |y|^{O(1)}$. Here, we identify the relation R with its binary characteristic function $R: \{0,1\}^* \times \{0,1\}^* \to \{0,1\}$ with R(x,y) = 1 iff $(x,y) \in R$. The value x is a witness to y. By L_R , we denote the language associated with R, i.e. $L_R = \{y \mid \exists x \text{ s.t. } R(x, y) = 1\}$. In some parts of this work, we make the relation R explicit using a function $\phi: \{0,1\}^* \to \{0,1\}^*$ with the natural interpretation of $L_{\phi} = \{y \mid \exists x \text{ s.t. } \phi(x) = y\}$. **Definition 1** (Σ -protocol [27]). The goal of the protocol $\Pi_R(y)$ between two players \mathcal{P} and \mathcal{V} is to convince \mathcal{V} that $y \in L_R$, where $y \in \{0,1\}^*$ is known to both players. Such a protocol is called a Σ -protocol for the relation R if it satisfies the following conditions:

- Π_R has the following communication pattern:
 - 1. Commit: \mathcal{P} sends a first message a to \mathcal{V} ,
 - 2. Challenge: \mathcal{V} sends a random element e to \mathcal{P} ,
 - 3. **Prove**: \mathcal{P} replies with a second message z.
- Completeness: If both players \mathcal{P} and \mathcal{V} are honest and $\mathbf{y} \in L_R$, then $Pr[(\mathcal{P}, \mathcal{V})(\mathbf{y}) = accept] = 1.$
- s-Special Soundness: For any y and any set of $s \ge 2$ of accepting conversations $\{(a, e_i, z_i)\}_{i \in [s]}$ with $e_i \ne e_j$ if $i \ne j$, a witness x for y can be efficiently computed.
- Special honest-verifier ZK: There exists a PPT simulator S such that on input $y \in L_R$ and e outputs a triple (a', e, z') with the same probability distribution as a real conversations (a, e, z) of the protocol.

Furthermore, a Σ -protocol is a *public-coin* protocol, as the verifier \mathcal{V} only sends random messages. Hence, the Fiat-Shamir transformation [21] or the Unruh transformation [46] can be used to make them non-interactive in the random oracle model. Note that the Unruh transformation always gives security against quantum adversaries, while the Fiat-Shamir transformation does not do this in general [3]. Nevertheless, recently it was shown that the Fiat-Shamir transformation is still secure against quantum adversaries for a large class of protocols [35, 17].

2.3 ZKBoo

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An important Σ -protocol based on the MPC-in-the-head paradigm is called ZKBoo [23]. The goal of the protocol is to convince the verifier that the prover has an input x to an arithmetic circuit ϕ such that $\phi(x) = y$, where ϕ and y are publicly known. The general idea behind ZKBoo is the partition of ϕ into a (2,3)*decomposition*, i.e. the computation of this circuit is split into three *branches* ϕ_0, ϕ_1, ϕ_2 . The input x to ϕ is furthermore split into three shares x_0, x_1, x_2 such that the computation of ϕ_i only depends on the shares x_i and x_{i+1} . After this computation by the prover, the verifier chooses a random index $\mathbf{e} \in_R \{0, 1, 2\}$ and is given the computations of $\phi_{\mathbf{e}}$ and $\phi_{\mathbf{e}+1}$ along with the inputs $x_{\mathbf{e}}$ and x_{e+1} . This information can be used to verify the computations on these branches without revealing the complete input x to the verifier. Due to the small size of the communication — roughly dominated by the number of multiplication gates in ϕ — the ZKBoo-protocol has seen wide use. We provide a detailed description of the protocol in Figure 2. Most famously, an optimized version called ZKB++ is the basis of the post-quantum secure Picnic signature scheme — an alternate candidate in round three of the NIST standardization process [12]. Note that Picnic2 (resp. Picnic3) also use the MPC-in-the-head paradigm, but are based on the KKW protocol [31] which allows for a preprocessing phase and better parameter tuning [30].

2.4 Strong Non-Interference

A widely adopted defense mechanism against physical attacks on cryptographic implementations, such as side-channel attacks (SCA) or other methods that allow to deduce the value of some variables, is masking [11]. Ishai et al. [29] introduced the notion of *privacy* that allows to construct and formally analyze building blocks (or *qadgets*), and introduced a scalable multiplication gadget. The definition of privacy requires the input to be uniformly distributed. Removing this requirement led to the notion of threshold non-interference (NI) in [7].¹ Informally, a gadget is *t*-non-interfering if every set of at most t probes of intermediate variables or output variables can be simulated with at most tshares of each input [9]. Intuitively, a t-non-interfering gadget is robust against attacks where the attacker can gain knowledge of t variables. Unfortunately, this notion of non-interference is not composable, i.e. the composition of two t-noninterfering gadgets is not necessarily t-non-interfering [15]. A more composable notion of non-interference, called strong non-interference (SNI) was thus proposed in [7]. Informally, a gadget is t-SNI if the knowledge of t_1 intermediate variables and t_2 output variables with $t_1 + t_2 \leq t$ only allows the leak of t_1 (not t) input variables. Hence, the adversary could just have probed the t_1 input variables. More formally, this notion is defined as follows.

Definition 2 (t-SNI Security [9]). Let G be a gadget which takes as input n + 1 shares $(x_i)_{0 \le i \le n}$ and outputs n + 1 shares $(y_i)_{0 \le i \le n}$. The gadget G is said to be t-SNI secure if for any set of t_1 probed intermediate variables and any subset $\mathcal{O} \subset [0, n]$ of output indices, such that $t_1 + |\mathcal{O}| \le t$, there exists a subset $I \subset [0, n]$ of input indices which satisfies $|I| \le t_1$, such that the t_1 intermediate variables and the output variables $y_{|\mathcal{O}|}$ can be perfectly simulated from $x_{|I|}$.

Here, perfectly simulatable means that there is a probabilistic algorithm that on input $x_{|I}$ generates t intermediate variables and $|\mathcal{O}|$ output variables with the same probability distribution as the gadget. The notion of t-SNI security is known to provide scalable protection against a broad class of side-channel attacks up to t-th order under few additional assumptions [18], where the needed number of observations grows exponentially in t. It has been widely adopted e.g. for automation of applying and checking side-channel resistance in hardware and software designs [5] and can be viewed as a reliable and fairly efficient method to achieve a desired degree of side-channel resistance. Similarly, SNI can also be used to ensure and verify protection of hardware circuits, where special care needs to be taken to account for glitches, either by extending the model or by carefully placing registers to interrupt unintended asynchronous propagation of signals [20].

Masking schemes are commonly used to protect against SCA, such as the ones achieving SNI security, are also MPC protocols. In the case of masking, the parties are just different parts of the same circuit (one might call it MPC-in-the-circuit), forcing an attacker to consider more than t parts of the circuit in

¹ We actively avoid the notion of *probing security*, which, depending on the source, might either be equivalent to NI [14] or to privacy [5].

parallel to infer about secret intermediate values. In this work, we show that the view of masking as a MPC does help to achieve protection against SCA.

3 Probing Attacks against MPC-in-the-head

In this section we analyze the MPC-in-the-head paradigm with respect to sidechannel attacks such as differential power analysis.

Let us first describe the adversarial model. We use the noisy leakage model introduced by Chari et al. [11] and extended by Prouff and Rivain [39]. In this model the adversary can obtain each intermediate value perturbed with a noisy leakage function. As given in [11], this model captures practical real-world physical leakages. Moreover, as proven by Duc et al. [18], security against probing adversaries as defined in [29] implies security in the noisy leakage model. In this setting, a probing adversary may invoke the (randomized) construction multiple times and adaptively choose the inputs. Prior to each invocation, the adversary may fix an arbitrary set of t wires of the circuit values that can be observed during that invocation. Unprotected cryptographic implementations usually are insecure and thus vulnerable to attacks probing even t = 1 wires. In the following, we will show this to be true for the MPC-in-the-head protocol. Note that a single probe will only provide a few key bits, but the attack scales linearly in the key size. Side-channel countermeasures depend on the quality of the side-channel, its signal-to-noise ratio. If the implementation is noisy or has weak side-channels, security against t = 2 can be sufficient. Currently, protection against t = 4probes is often an upper bound on security in practical systems [36].

The rest of the section is dedicated to showing why MPC-in-the-head protocols are vulnerable to DPA attacks and how this can be practically exploited. In order to get a better understanding of these vulnerabilities, we introduce some informal notations. In general, the goal of a *probing attack* (such as a DPA attack) is to reconstruct the secret input x given to some algorithm A by obtaining values used in the computation of A(x). We say that an algorithm A is k-secure, if at least k probes are needed to reconstruct the secret x. Combining the masking technique with masking order n and modifying the circuits used in A by using n-SNI gadgets results in an algorithm A' that is $n \cdot k$ -secure [7]. Now, consider the case that A' is an implementation of the (n+1)-party MPC-in-the-head zero knowledge protocol as given in Figure 1. As the protocol gives out t shares to the verifier, the security of A' drops down by t to $n \cdot k - t$, as t input shares are now known to the attacker.

In order to illustrate that this is not only a theoretical weakness, we study the ZKBoo protocol using the (2, 3)-circuit decomposition as defined in Appendix A. We first show that an attack using the opened views is indeed possible by using a single probed value. Then, we show how an adversary can construct such a probe from side-channel measurements of an implementation where sensitive intermediate values leak.

3.1 Probing ZKBoo

As described in Section 2.3, the ZKBoo protocol uses a three-party decomposition of a function ϕ and a public value y, where the prover proves the knowledge of a secret value x such that $\phi(x) = y$. As summarized in Figure 2, the prover first commits views for each party. The views contain random tapes that have been used to sample random values in the circuit as well as the vector of values for each output gadget. Depending on the challenge, the prover opens a subset of these values.

A (2,3)-decomposition of a function ϕ is given as Π_{ϕ} . The verifier and the prover have input $y \in L_{\phi}$. The prover knows x such that $y = \phi(x)$.

Commit: The prover does the following:

- 1. Generate random tapes R_0 , R_1 , R_2 .
- 2. Run $\Pi_{\phi}(x)$ with randomness R_0 , R_1 , and R_2 to obtain views w_0 , w_1 , w_2 and outputs y_0 , y_1 , y_2 .
- 3. Commit to $c_i = \mathsf{Comm}(w_i, R_i)$ for $i \in [0, 2]$.
- 4. Send $\mathbf{a} = (y_0, y_1, y_2, c_0, c_1, c_2).$
- **Prove:** The verifier chooses an index $\mathbf{e} \in [0, 2]$ and sends it to the prover. The prover answers to the verifier's challenge sending opening $c_{\mathbf{e}}$, and $c_{\mathbf{e}+1}$ thus revealing $\mathbf{z} = (R_j, w_j)_{j \in \{\mathbf{e}, \mathbf{e}+1\}}$.
- Verify: The verifier runs the following checks:
 - 1. If $Rec(y_0, y_1, y_2) \neq y$, output reject.
 - 2. If $\exists i \in {\mathbf{e}, \mathbf{e}+1}$ such that $y_i \neq \text{Output}_i(w_i)$, output reject.
 - 3. If $\exists j$ such that the *j*-th output is not equal to $w_{\mathbf{e}}^{(j)} \neq \phi_{\mathbf{e}}^{(j)}(w_{\mathbf{e}}, R_{\mathbf{e}}, w_{\mathbf{e}+1}, R_{\mathbf{e}+1})$, output reject.
 - 4. Output accept.

Fig. 2: ZKBoo protocol as defined by Giacomelli et al. [23].

From the protocol description, it can easily seen that ZKBoo reveals two out of its three shares. Note that these shares also contain two of the three input shares $x_{\mathbf{e}}, x_{\mathbf{e}+1}$. Hence, obtaining the missing input share $x_{\mathbf{e}+2}$ via a probe allows an attacker to deduce the complete secret input x to the function ϕ . In the following, we will show how such a probe can be constructed, as demonstrated by Gellersen et al. [22].

3.2 Side-Channel Analysis of ZKBoo

In side-channel analysis, *probes* are usually just a weak leakage of a sensitive intermediate value, obtained from several measurements. For ZKBoo, we assume the same scenario as in Subsection 3.1, where two of three shares (x_0, x_1) are revealed by the protocol. As described above, even in the simplest scenario, the input views can be used to implement a side-channel attack. We further assume a leakage model where an implementation leaks weak and noisy information

about each intermediate variable, separately and independently in observable measurement traces t_{ℓ} . The MPC-in-the-head measurements have a weak and noisy dependence on x_2 , which can be exploited due the revealed shares x_0 and x_1 , as shown in [22]. In order to validate the straightforward exploitability, we use a simple t-test setup, where we collect a set of synthetically generated traces that corresponds to a function evaluation that uses (2,3)-circuit decomposition of ZKBoo. The analysis uses the side-channel information of the unopened view for an adversarial probe $t_{\mathcal{A}}$ and the two opened shares of a multiplication gadget. In order to show the noisy dependence on x_2 , we first target a single multiplication gadget that gets inputs (x_0, x_1, x_2) and (y_0, y_1, y_2) and outputs three shares (z_0, z_1, z_2) . We classify the sets into two groups depending on the value of $z_0 \oplus z_1$. The result of the analysis in Figure 3 shows the clear dependence between the unrevealed share z_2 and the observable measurement traces, as the *t*-value clearly exceeds 5.



Fig. 3: A t-test based leakage analysis of a multiplication gadget in ZKBoo using the classification \mathcal{T}_i where $i = z_0 \oplus z_1$. The details of the experimental setup and formulation can found in Section 5.1.

We consider opened views as a part of probing values in Definition 2. Thus we show that an additional probe *shatters* the independence of the side-channel traces and the sensitive variables.

Formally speaking, a single adversarial probe disables the simulators capability (which is defined in Definition 2) to simulate variables using a set of independent and uniformly chosen variables. Hence the multiplication gadget used in ZKBoo is not sufficient to guarantee SCA-resistance. Using the discussion given above, we can formally restate the simulation in Definition 2 as follows:

Definition 3 ($(t_{\mathcal{A}}, t_{\mathcal{E}})$ -SNI Security for MPC-in-the-head protocol). Let G be a gadget which takes as input n + 1 shares $(x_i)_{0 \leq i \leq n}$ and outputs n + 1shares $(y_i)_{0 \leq i \leq n}$. The gadget G is said to be $(t_{\mathcal{A}}, t_{\mathcal{E}})$ -SNI secure if for any set of $t_{\mathcal{A}}$ probed intermediate variables, $t_{\mathcal{E}}$ opened variables and any subset $\mathcal{O} \subset [0, n]$ of output indices, such that $t_{\mathcal{A}} + t_{\mathcal{E}} + |\mathcal{O}| \leq t$, there exists a subset $I \subset [0, n]$ of input indices with $|I| \leq t_{\mathcal{A}}$, such that the $t_{\mathcal{A}}$ intermediate variables and the output variables $y_{|\mathcal{O}}$ can be perfectly simulated from $x_{|I}$.

Definition 3 is equivalent to Definition 2 if $t_{\mathcal{E}} = 0$, i. e. if there exist no opened values. More formally, t-SNI implies (t_1, t_2) -SNI for all $t_1 + t_2 \leq t$. On the other

hand, this leaked data might be chosen carefully such that $t_{\mathcal{E}}$ leaked output variables only give information on $t_{\mathcal{E}}/2$ input variables. In such a case, using a *t*-SNI gadget might actually give a $(t_{\mathcal{A}}, t_{\mathcal{E}})$ gadget with $t_{\mathcal{A}} + t_{\mathcal{E}}/2 = t$, giving a more fine-granular view.

The above definition captures the intuition that protocols following the MPCin-the-head paradigm leak information all by themselves due to the opening of some views. Without the presence of side-channel attacks, this is not a problem, as the privacy of the underlying MPC protocol guarantees that no information about the secret is leaked. But in the presence of side-channel attacks, this leaked information can drastically help the attacker. Using the (t_A, t_E) -SNI notion, we can design MPC-in-the-head protocols that achieve t-SNI security even if a subset of the views are revealed. In the next section we provide a circuit decomposition and define our protocol.

4 Constructing SNI-secure Decompositions

In this section, we introduce a decomposition of an arithmetic circuit secure in the SNI notion. We start with a generic decomposition definition that will be used for the circuit decomposition in the following sections. In [23], the notion of a (2,3)-decomposition was introduced. Informally, such a decomposition splits a function ϕ into three *branches* such that the computations of two of those branches are not enough to reconstruct the complete computation of the function. A formal definition is given in Appendix A. In ZKBoo, two of these branches are revealed, while the third branch stays hidden. As shown in Section 3.1, this allows DPA attacks against ZKBoo, as a single probed value from this third branch might be sufficient to reconstruct the complete computation. In this section, we thus aim to construct function decompositions that are SNIsecure and withstand such probes. We first introduce a generalization of (2,3)decompositions, called (k, n + 1)-decompositions, consisting of n + 1 branches, where each branch depends only on k branches. Defining *balanced* SNI-secure versions of the multiplication gadget and the refresh gadget allows us to construct a $(\lceil n/2 \rceil + 1, n+1)$ -decomposition for functions represented by arithmetic circuits. Moreover, we show that this is n-SNI.

4.1 Decomposing a Function

Let $\phi: X \to Y$ be an arbitrary function. The protocol is performed on an input value $x \in X$ that computes $\phi(x) = y$. We assume that the computation of ϕ can be split into d steps. For example, if ϕ is implemented via a circuit, dis the number of gates. We use a transformation on the function ϕ to split the evaluation and the secret x into n + 1 branches such that revealing n of them brings no information about the secret value x. The first step is to apply a surjective (possibly randomized) algorithm Share to x to split it into input shares x_0, \ldots, x_n . The input shares and the intermediate values for the *i*-th branch are stored in w_i , which is called a *view*, and contains (d + 1) elements $w_i^{(0)}, \ldots, w_i^{(d)}$. The 0-th value $w_i^{(0)}$ of a view w_i is simply its input share x_i . The single steps of the computation are described by a set of $(n+1) \cdot (d+1)$ functions $\mathcal{F} = \{\phi_i^{(j)} | \forall 0 \le i \le n \text{ and } 0 \le j \le d\}$. In order to guarantee that k views are sufficient to recompute a single branch, the functions $\phi_i^{(j)}$ take input from the k branches $i, i+1, \ldots, i+(k-1)$. The remaining values $w_i^{(j)}$ can be computed in the following iterative way:

$$w_i^{(j)} = \phi_i^{(j)}((w_m^{[0,j-1]}; R_m)_{i \le m \le i+(k-1)}) \text{ for } 0 \le i \le n,$$

where $w_m^{[0,j-1]} = (w_m^{(0)}, \ldots, w_m^{(j-1)})$. Here, R_i denotes the source of randomness within the *i*-th branch. As an example we can see the visual representation of $\phi^{(j)}$ for n+1=5 in Figure 4.



Fig. 4: The representation of the branches for the *j*-th gadget ϕ^{j} of the (k = 3, n = 4) decomposition for the function ϕ . Observe that each branch requires at most k = 3 views.

After evaluating the *d* functions, the output value y_i is computed from w_i by the functions Output_i , i. e. $y_i = \mathsf{Output}_i(w_i)$. Finally, the output values y_i are recombined as $\mathsf{Rec}(y_0, \ldots, y_n) = y = \phi(x)$.

Now, we can introduce the complete (k, n+1)-decomposition definition generalizing the definition given in [23]. Note that the influence of the parameter k comes from the arity of the functions $\phi_i^{(j)}$, which take input from at most k branches $i, i+1, \ldots, i+(k-1)$.

Definition 4. A (k, n+1)-decomposition \mathcal{D} of a function $\phi: X \to Y$ is a set of functions

$$\mathcal{D} = \{ Share, (Output_i)_{0 \le i \le n}, Rec \} \cup \mathcal{F},$$

such that Share, $Output_i$, Rec, and \mathcal{F} are defined as above. Let Π_{ϕ} be the evaluation protocol defined in Figure 5.

The decomposition must also have the following properties:

- Correctness: $Pr[\phi(x) = \Pi_{\phi}(x)] = 1$ for all $x \in X$, where the probability is over the random choices.

- *n*-**Privacy:** The protocol is correct and for all $e \in [0,n]$ there exists a PPT algorithm S_e such that the distribution $S_e(\phi, y)$ and the distribution $(\{R_i, w_i\}_{i \in \{e, e+1, \dots, e+(n-1)\}}, y_{e-1})$ are statistically indistinguishable.

Let $\phi: X \to Y$ be a function and \mathcal{D} be a (k, n+1)-decomposition of ϕ . For an input $x \in X$, perform the following:

- 1. Generate the random tapes R_i for $0 \le i \le n$.
- 2. Generate the secret shares: $(x_0, \ldots, x_n) \leftarrow \mathsf{Share}(x; r_1, \ldots, r_n)$ where r_i is sampled from the random tape R_i .
 - Initialise $w_i^{(0)} \leftarrow x_i$ for $0 \le i \le n$.

- For $1 \le j \le d$ compute

$$w_i^{(j)} = \phi_i^{(j)}((w_m^{[0,j-1]}; R_m)_{i \le m \le i+(k-1)}) \text{ for } 0 \le i \le n$$

3. Compute $y_i = \mathsf{Output}_i(w_i, R_i)$ for $0 \le i \le n$. 4. Output $y = \mathsf{Rec}(y_0, \dots, y_n)$.

Fig. 5: A protocol Π_{ϕ} using a decomposition \mathcal{D} to evaluate $\phi(x)$. The figure is adapted from [23].

The goal of the next subsection is the construction of (k, n+1)-decompositions for functions $\phi \colon \mathbb{K}^{\phi_{\text{in}}} \to \mathbb{K}^{\phi_{\text{out}}}$ implemented by an arithmetic circuit. Furthermore, we want this decomposition to be n-SNI to prevent the attacks described in Section 3.1. Note that the construction of a (n, n+1)-decomposition is just a simple generalization of the linear (2,3)-decomposition of [23] and still vulnerable to the same attacks. In the next section, we will thus construct a (k, n+1)decomposition for all $k \geq \lfloor n/2 \rfloor + 1$. These decomposition will allow to construct algorithms secure against n-k probes. As $k = \lfloor n/2 \rfloor + 1$ gives the best security against DPAs, we focus on this case. The main technical problem to construct an $(\lceil n/2 \rceil + 1, n + 1)$ -decomposition is the fact that each gate/function $\phi_i^{(j)}$ can have inputs only from branches $i, i+1, \ldots, i + \lceil n/2 \rceil$. Taking a closer look at the existing construction of gadgets against side-channel attacks for multiplication (for example, the ISW gadget of [29] or the more refined version of [40]) shows that the computation of the i-th branch depends on i other branches. These gadgets are thus not suited for our approach. To guarantee that each branch depends only on $\lceil n/2 \rceil$ other branches, we construct balanced gadgets.

4.2 Constructing Balanced Gadgets

Next we focus on the gadgets. As gates such as unary addition, unary multiplication, and binary addition are linear, there is no need for secure gadgets for these operations. We thus only need to examine the two essential SNI-secure gadgets needed for the multiplication operation. To obtain a secure multiplication operation, a *refresh gadget* is also needed, whenever a variable is used in multiple multiplication gates. See e.g. [5] for a more formal treatment.

We need to analyze and adapt these gadgets because all known SNI-secure gadgets have an unbalanced structure, which causes the need for more than $\lceil n/2 \rceil$ other views to compute some output share. Therefore, the main goal is to generate gadgets such that every branch needs at most $\lceil n/2 \rceil$ other input shares in order to compute the corresponding output share.

Balancing the Multiplication Gadget First, we shortly review the multiplication gadget defined in [40] and proven to be *n*-SNI in [7]. Let (x_0, \ldots, x_n) and (y_0, \ldots, y_n) be the shares of the two sensitive variables x and y. The multiplication gadget to calculate the output shares (z_0, \ldots, z_n) of z = xy can be summarized in three steps as follows:

- 1. For $0 \leq i < j \leq n$, sample $r_{i,j} \in_R \mathbb{K}$.
- 2. Calculate $r_{j,i} = (r_{i,j} \oplus x_i y_j) \oplus x_j y_i$ for $0 \le i < j \le n$.
- 3. Calculate $z_i = x_i y_i \oplus \bigoplus_{j=0, j \neq i}^n r_{i,j}$ for $0 \le i \le n$.

As seen in the description above, the calculation of z_i requires n - i fresh random values $(r_{i,j} \text{ such that } i < j)$ and i intermediate products $(r_{i,j} \text{ such that } i > j)$. In order to generate a $(\lceil n/2 \rceil + 1, n)$ -decomposition, we need to have a balanced multiplication gadget such that every index requires about the same number of random values and intermediate products.

Informally, we can illustrate the intermediate values of the multiplication gadget as a matrix **A** with $\mathbf{A}_{i,j}$ defined as (i) x_iy_i for i = j, (ii) $r_{i,j} \in_R \mathbb{K}$ for i < j, and (iii) $(r_{i,j} \oplus x_iy_j) \oplus x_jy_i$ for i > j.

Hence we can represent the output shares as $z_i = \bigoplus_{j=0}^n A_{i,j}$. Using this representation, n(n+1)/2 random values (and intermediate products) can be reorganised in such a way that each row contains at most $\lfloor n/2 \rfloor$ intermediate products. In order to do so, we define for $i \in [0, n]$ the interval J_i as follows:

- If n is even, we define $J_i = \{i + 1, \dots, i + \lfloor n/2 \rfloor\}$.
- If n is odd and i < (n+1)/2, we also define $J_i = \{i+1, \ldots, i+\lfloor n/2 \rfloor\}$.
- Finally, if n is odd and $i \ge (n+1)/2$, we define $J_i = \{i+1, \ldots, i+\lfloor n/2 \rfloor, i+\lfloor n/2 \rfloor + 1\}$.

As always, modular arithmetic is used here, i.e. $|J_i| \in \{\lfloor n/2 \rfloor, \lfloor n/2 \rfloor + 1\}$ for all *i*. In order to generate a *balanced* multiplication gadget, one can take a partial transpose of the matrix **A** with $\mathbf{A}_{i,j}$ defined as (i) $x_i y_i$ for i = j, (ii) $r_{i,j} \in_R \mathbb{K}$ for $i \neq j, j \notin J_i$, and (iii) $(r_{i,j} \oplus x_i y_j) \oplus x_j y_i$ for $j \in J_i$.

As an example, consider the multiplication gadget and the balanced multiplication gadget for n + 1 = 5 shown in Figure 6. The upper matrix **A** represents the multiplication gadget defined in [40] and matrix **A'** describes the equivalent, but balanced multiplication gadget. The parts transposed are marked in grey. We can see that in both cases $z_i = \bigoplus_{j=0}^n \mathbf{A}_{i,j}$ and $z'_i = \bigoplus_{j=0}^n \mathbf{A}'_{i,j}$. Although the shares are calculated differently i.e. $z_i \neq z'_i$, the correctness of the gadgets holds i.e. $z = xy = \bigoplus_{i=0}^n z_i = \bigoplus_{i=0}^n z'_i$. Note that row *i* of **A'** has exactly two fresh



Fig. 6: Example of A and A' for n + 1 = 5.

random values and the remaining intermediate products come from rows i + 1and i+2.

Finally, we formally introduce the *balanced* multiplication gadget to calculate the output shares (z_0, \ldots, z_n) of z = xy as follows:

- 1. For $0 \leq i < j \leq n$, sample $r_{i,j} \in_R \mathbb{K}$. 2. Calculate $r_{j,i} = (r_{i,j} \oplus \underset{n}{x_i y_j}) \oplus x_j y_i$ for $0 \leq i < j \leq n$.
- 3. Calculate $z_i = x_i y_i \oplus \bigoplus_{j=0; j \neq i}^n \delta_{i,j}$ for $0 \le i \le n$ where $\delta_{i,j}$ is defined as (i) $r_{i,j}$ for $j \in J_i, i < j$, (ii) $r_{i,j}$ for $j \notin J_i, i < j$, (iii) $r_{i,j}$ for $j \in J_i, i > j$, and (iv) $r_{j,i}$ for $j \notin J_i, i > j$.

Remark that the balanced multiplication gadget defined above does not bring any overhead to the scheme. The explicit description can be found in Appendix B, Algorithm 1. Furthermore, it is easy to see that the balance is achieved.

Lemma 1. In the balanced multiplication gadget, in each row i, the intermediate products $r_{i,j}$ with i > j only occur at positions $\delta_{i,j}$ with $j \in J_i$.

Proof. Consider any row i and any position $j \notin J_i$. Then, the second or fourth cases in the construction of $\delta_{i,j}$ might occur and in both cases, a fresh random element is chosen.

In the final step, we show that the balanced multiplication gadget indeed satisfies the SNI notion, as the gadget is secure against n attack probes.

Theorem 1 (n-SNI Security for balanced multiplication gadget). Let G be the balanced multiplication gadget which takes $(x_i)_{0 \le i \le n}$ and $(y_i)_{0 \le i \le n}$ as the input shares, and outputs $(z_i)_{0 \le i < n}$. For any set of $t \le n$ intermediate variables and any subset $\mathcal{O} \subset [z_0, \ldots, z_n]$ of output shares such that $t + |\mathcal{O}| \leq n$, there exists a subset $I \subset [0,n]$ of input indices which satisfies $|I| \leq t$, such that the t intermediate variables and the output variables $y_{|\mathcal{O}}$ can be perfectly simulated from $x_{|I}$.

A proof of Theorem 1 can be found in Appendix B. Note that our proof does not actually make use of the definition of $\delta_{i,j}$. Hence, we obtain the following corollary.

Corollary 1. Any partial transposition of the secure multiplication gadget presented by [40] is n-SNI.

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Balancing the RefreshMask Gadget In the next section we focus on balancing another important gadget for SNI notion: the RefreshMask gadget. The foundation of our gadget is the gadget defined in [8] and can be found in Appendix B, Algorithm 2. Remark that this gadget is an essential part of the SNI notion, due to its role in composability. Informally speaking, refresh masking gadgets are used to protect circuits where a set of inputs (x_0, \ldots, x_n) is used in more than one multiplication gadget. An example of such a circuit can be found in [40] for the function $\phi(x) = x^{254}$. Thus, the usage of RefreshMask depends on the structure of the underlying circuit.

Clearly, the total number of required randomness in Algorithm 2 in Appendix B is n(n+1)/2. Remark that the indices follow modulus operation mod(n+1) which we omit to improve the readability. Moreover, row i also requires n-i random values. Using a similar strategy as in Section 4.2, we can reformulate this gadget and generate a balanced gadget, where each index requires the same number of randomness.

Theorem 2 (*n*-SNI Security for Balanced RefreshMask Gadget). Let G be the balanced RefreshMask gadget which takes $(x_i)_{0 \le i \le n}$ and outputs $(x'_i)_{0 \le i \le n}$. For any set of $t \leq n$ intermediate variables and any subset $\mathcal{O} \subset [0, n]$ of output shares such that $t + |\mathcal{O}| \leq n$, there exists a subset $I \subset [0, n]$ of input indices which satisfies $|I| \leq t$, such that the t intermediate variables and the output variables $y_{|\mathcal{O}}$ can be perfectly simulated from $x_{|I}$.

A proof of Theorem 2 can be found in Appendix B and closely follows [7]. After introducing suitable gadgets, where each branch needs at most $\lceil n/2 \rceil$ values from other branches, we can finally introduce the complete circuit decomposition.

4.3 A $(\lceil n/2 \rceil + 1, n + 1)$ -Decomposition for Arithmetic Circuits

Let $\phi \colon \mathbb{K}^{\phi_{\text{in}}} \to \mathbb{K}^{\phi_{\text{out}}}$ be a function implementable by an arithmetic circuit with d gates. The branches for n + 1 shares are initialised by the Share algorithm that on input $x \in \mathbb{K}^{\phi_{\text{in}}}$ and random values r_1, \ldots, r_n produces the input shares x_0, \ldots, x_n with $x_i = r_i$ for $i = 1, \ldots, n$ and $x_0 = \bigoplus_{i=1}^n x_i \ominus x$. The reconstruction function $\operatorname{Rec}(y_0, \ldots, y_n)$ is defined as $\operatorname{Rec}(y_0, \ldots, y_n) = \bigoplus_{i=0}^n y_i$.

Depending on the gates used in the arithmetic circuit, we can define the set of functions $\mathcal{F} = \{\phi_i^{(j)} | \forall i \in [0, n] \text{ and } j \in [0, d] \}$ as follows:

- If the *j*-th gate corresponds to an affine function $ax \oplus b$, where $a, b \in \mathbb{K}$,

$$\phi_i^{(j)} = \begin{cases} ax_i \oplus b, & \text{for } i = 0\\ ax_i & \text{else }. \end{cases}$$

- If the *j*-th gate corresponds to the addition of two sensitive variables x and y, we set $\phi_i^{(j)} = x_i \oplus y_i$. - If the *j*-th gate is a multiplication of two sensitive variables: x and y, we
- set $\phi_i^{(j)} = x_i y_i \oplus \bigoplus_{i=0}^n \delta_{i,j}$ for $i \neq j$ and $\delta_{i,j}$ as above Note that the fresh

random values $r_{i,j}$ that are used in $\delta_{i,j}$ (i. e. $r_{i,i+\lceil n/2\rceil+1}, r_{i,i+\lceil n/2\rceil+2}, \ldots$) are sampled from R_i .

If a variable x_i is *not* used for the first time in such a multiplication, we replace x_i by

$$x_i \oplus \bigoplus_{j=1}^{\lceil n/2 \rceil} r_{i,i+j} \oplus \bigoplus_{j=1}^{\lceil n/2 \rceil} r_{i-j,i}$$

where $r_{i,j}$ is chosen as in Algorithm 3, i. e. we first apply the balanced Refresh gadget.

Finally we can define the output as $\mathsf{Output}(w_i, R_i) = w_i^{(d)}$.

Proposition 1. The decomposition

$$\mathcal{D} = \{Share, (Output_i)_{0 \le i \le n}, Rec\} \cup \mathcal{F}$$

as defined above is an $(\lceil n/2 \rceil + 1, n + 1)$ -decomposition.

Proof. We closely follow the proof for the (2, 3)-decomposition presented in [23] and start with the correctness of our protocol.

The correctness of the decomposition follows from the masking structure. Remark that the decomposition is based on well-known masking techniques and secure gadgets which are known to be functionality preserving. Since all gadgets are correct, the complete decomposition is correct, i.e. $Pr[\phi(x) = \Pi_{\phi}(x)] = 1$ over all choices of randomness.

In the second part of the proof we define the simulator S_e for an index e and on inputs ϕ and y. For the sake of simplicity we define the set of indices [e, e + n - 1] as E and denote the last remaining index as $\tilde{e} = e - 1$.

- Sample the random tapes $(\tilde{R}_i)_{i \in E}$
- Initialise $\tilde{w}_i^{(0)}$ by sampling a random value from \tilde{R}_i for $i \in E$. Then for all linear gadgets (addition and affine) calculate the values using the corresponding functions $\phi_i^{(j)}$ for all $i \in E$. If the gadget is a multiplication gadget, we do the following:
 - For all computations $\phi_i^{(j)}$ that require the view $w_{\tilde{e}}$, we randomly sample $w_i^{(j)}$.
 - For all other views, we simply compute $\phi_i^{(j)}$, since the simulation already has the knowledge of the required views.
- Calculate $\tilde{y}_i = \mathsf{Output}(\tilde{w}_i, R_i)$ for all $i \in E$.
- Calculate $\tilde{y}_{\tilde{e}} = y \ominus \bigoplus_{i \in E} \tilde{y}_i$
- Output $\mathcal{O} = ((\tilde{w}_i, \tilde{R}_i)_{i \in E}, \tilde{y}_{\tilde{e}})$

We can see that \mathcal{O} that is outputted by S_e has the same distribution as the real values $((w_i, R_i)_{i \in E}, y_{\bar{e}})$ provided by Π_{ϕ} . Observe that all the elements of S_e are calculated as the same functions in the protocol except for the multiplication gadget, when $w_{\bar{e}}$ is needed. In this case randomly sampling the required values $w_i^{(j)}$ is a valid approach since $w_{\bar{e}}$ contains a random value sampled from $R_{\bar{e}}$, which is uniformly random. We can conclude that \mathcal{D} has *n*-privacy.

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The proof of the following proposition is given in Appendix B.

Proposition 2. Let \mathcal{D} be the $(\lceil n/2 \rceil + 1, n+1)$ -decomposition of $\phi \colon \mathbb{K}^{\phi_{in}} \to \mathbb{K}^{\phi_{out}}$ as described above. Let Π_{ϕ} be the protocol described in Figure 5. Then Π_{ϕ} is n-SNI. The length of each view of Π_{ϕ} is $(\phi_{in} + N_{\otimes} + \phi_{out}) \log(|\mathbb{K}|) + \kappa$, where N_{\otimes} is the number of multiplication gates in the arithmetic circuit implementing ϕ , and κ is the security parameter to produce the random tapes.

In the next Section we provide a version of ZKBoo that can be extended to arbitrary orders and provide probing security despite of the opened views.

5 (n+1)-ZKBoo Protocol

In this section, we provide our zero knowledge proof based on the ZKBoo protocol [23] that satisfies the SNI-security notion. The main idea is using the same structure of ZKBoo, but use our new $(\lceil n/2 \rceil + 1, n + 1)$ circuit decomposition. Thus, our scheme can resist $n - (\lceil n/2 \rceil + 1)$ probing attacks with $\lceil n/2 \rceil + 1$ opened views.

A brief summary of the zero-knowledge proof can be described as follows. Assume that an $(\lceil n/2 \rceil + 1, n)$ -decomposition for the function ϕ is given. The prover uses the private input x to run the protocol given in Figure 5 that satisfies $\phi(x) = y$, where y is a public value. After running the protocol, the prover computes the commitment **a** to views $w_0 \dots, w_n$. In the second step, the verifier challenges the prover using an index $\mathbf{e} \in [0, n]$ and the prover opens views for all w_i with $i \in [\mathbf{e}, \mathbf{e} + \lceil n/2 \rceil]$. Remark that each output share depends on at most $\lceil n/2 \rceil + 1$ consecutive views $\mathbf{z} = w_{\mathbf{e}}, \dots, w_{\mathbf{e} + \lceil n/2 \rceil}$. Hence, opening $\lceil n/2 \rceil + 1$ views is enough to calculate each output value $w_{\mathbf{e}}^{(j)}$. Finally, the verifier accepts if the opened views are consistent with the committed values. The summary of the protocol can be found in Figure 7.

Proposition 3. The (n+1)-ZKBoo protocol given in Figure 7 with two parties \mathcal{P} as prover and \mathcal{V} as verifier is a Σ -protocol for the relation $\phi(x) = y$ with n+1-special soundness.

Proof. We follow the proof of Proposition 4.2 in [23]. Clearly, the (n+1)-ZKBoo protocol follows the communication pattern of a Σ -protocol. As the MPC-in-thehead paradigm does not change the correctness of the protocol, if both parties are honest, then $Pr[(\mathcal{P}, \mathcal{V})(y) = \texttt{accept}] = 1$. Hence, the (n+1)-ZKBoo protocol is complete.

In order to prove the special soundness of the protocol, we need to analyze n+1 accepted conversations $\{(\mathbf{a}, \mathbf{e}, \mathbf{z}_{\mathbf{e}})\}$ with $\mathbf{e} = 0, \ldots, n$. Clearly, the accepted conversations reveal (R_i, w_i) for $i = 0, \ldots, n$. Thanks to the binding property of the commitment scheme, the views corresponding to the same index for different challenges are equal. That is, for two different challenges $\mathbf{z}_{\mathbf{e}}$ and $\mathbf{z}_{\mathbf{e}'}$ the views corresponding to the same index are equal, i. e. $w_i \in \mathbf{z}_{\mathbf{e}}$ and $w_i \in \mathbf{z}_{\mathbf{e}'}$ are equal. Similarly, $R_i \in \mathbf{z}_{\mathbf{e}}$ also equals $R_i \in \mathbf{z}_{\mathbf{e}'}$. As all conversations are accepted,

Fig. 7: The (n + 1)-ZKBoo protocol

An $(\lceil n/2 \rceil + 1, n+1)$ decomposition of function ϕ is given. The verifier and the prover have input $y \in L_{\phi}$. The prover knows x such that $y = \phi(x)$. Let Π_{ϕ} be the protocol given in Figure 5.

Commit: The prover does the following:

- 1. Generate random tapes R_i for $0 \le i \le n$.
- 2. Run $\Pi_{\phi}(x)$ with randomness R_0, \ldots, R_n to obtain views w_i and outputs y_i for $0 \leq i \leq n$.
- 3. Commit to $c_i = \mathsf{Comm}(w_i, R_i)$ for $0 \le i \le n$.
- 4. Send $\mathbf{a} = (y_i, c_i)_{0 \le i \le n}$.

Prove: The verifier choose an index $\mathbf{e} \in [0, n]$ and sends it to the prover. The prover answers by opening $(c_i)_{\mathbf{e} \le i \le \mathbf{e} + \lceil n/2 \rceil}$ thus revealing $\mathbf{z} = (R_i, w_i)_{\mathbf{e} \le i \le \mathbf{e} + \lceil n/2 \rceil}$.

- Verify: The verifier runs the following checks:
 - 1. If $\operatorname{Rec}(y_0, y_1, \ldots, y_n) \neq y$, output reject.

 - 2. If $\exists i \in [\mathbf{e}, \mathbf{e} + \lceil n/2 \rceil]$ such that $y_i \neq \mathsf{Output}_i(w_i)$, output reject. 3. If $\exists j$ such that $w_i^{(j)} \neq \phi_i^{(j)}((w_k, R_k)_{\mathbf{e} \leq k \leq \mathbf{e} + \lceil n/2 \rceil})$ for all $\mathbf{e} \leq i \leq \mathbf{e} + \lceil n/2 \rceil$, output reject.
 - 4. Output accept.

we have $y_i = \mathsf{Output}_i(w_i)$ for $i \in [0, n]$. Moreover, we know that every entry $w_i^{(j)}$ in w_i was computed correctly by the corresponding function $\phi_i^{(j)}$, as all branches were checked by the verifier. Hence, we can traverse the decomposition bottom-up to reconstruct all input shares $x_i = w_i^{(0)}$. Finally, we can calculate $\operatorname{Rec}(x_0,\ldots,x_n) = x$ correctly. Hence, we have $\phi(x) = y$ and the (n+1)-ZKBooprotocol thus has n + 1-special soundness.

Note that to be able to correctly calculate the input x, all the branches must be checked. Assume that the number of accepted conversations is less than n+1. Although the challenges might contain all views, not all branches were checked by the verifier. While we are now able to check the branches ourself, if any branch contains an error, we are not able reconstruct x.

For the special honest-verifier ZK, we will now construct a simulator S working on input **e** and $y \in L_{\phi}$. Its goal is to produce a triple $(\mathbf{a}', \mathbf{e}, \mathbf{z})$ with the same probability distribution as the protocol. Due to the *n*-privacy property of the decomposition, there is a simulator $S_{\mathbf{e}}$ that on input ϕ and y produces an output $(\{w_i, R_i\}_{i \in \{\mathbf{e}, \mathbf{e}+1, \dots, \mathbf{e}+(n-1)\}}, y_{\mathbf{e}-1})$ distributed as in the protocol. The simulator S now sets w_{e-1} and R_{e-1} as strings of corresponding lengths that contain only zeroes. Now, S can produce commitments $c_i = \text{Comm}(R_i, w_i)$ for all $i = 0, \ldots, n$ and send $\mathbf{a} = (y_i, c_i)_{0 \le i \le n}$. Clearly, the triple $(\mathbf{a}, \mathbf{e}, \mathbf{z})$ has the same distribution as in a real conversation, as z_e can also be easily computed. Hence, the (n+1)-ZKBoo-protocol has the special honest-verifier ZK property.

In the last part, we analyze the soundness error of the (n+1)-ZKBoo protocol which can be directly derived from special soundness. Briefly speaking, the

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soundness error can be summarized as the probability of a cheating prover to trick a honest verifier to accept the protocol on a value $y \notin L_{\phi}$.

More formally, the soundness error δ is defined as $\max_{y \notin L_{\phi}, \mathcal{P}'} \{\Pr[(\mathcal{P}', \mathcal{V})(y) = \texttt{accept}]\}$, where \mathcal{P}' is some cheating prover. As challenge **e** is chosen uniformly at random from a set of cardinality n + 1, the n + 1-special soundness implies a soundness error of at most $\delta \leq (n + 1 - 1)/(n + 1) = 1 - \frac{1}{n+1}$, as for $y \notin L$, there are at most n + 1 - 1 accepting conversations for each **a**.

Let $\phi \colon \mathbb{K}^{\phi_{\text{in}}} \to \mathbb{K}^{\phi_{\text{out}}}$ be a function that can be expressed by an $(\lceil n/2 \rceil + 1, n)$ decomposition with N strongly non-interfering gadgets such that N_{\otimes} of them are balanced multiplication gadgets as defined in Section 4. In order to attain soundness error $2^{-\kappa}$ we need to repeat the *t*-ZKBoo protocol k_n times such that,

$$2^{-\kappa} \ge (1 - \frac{1}{n+1})^{k_n} \Leftrightarrow k_n \ge -\kappa \cdot [\log(1 - \frac{1}{n+1})]^{-1}.$$
 (1)

Similar to [23], the number of bits for the opened views is

$$-\kappa \cdot \left[\log(1-\frac{1}{n+1})\right]^{-1} \cdot \left(\left\lceil \frac{n}{2} \right\rceil + 1\right) \cdot \left[\log(|\mathbb{K}|)(\phi_{\mathrm{in}} + \phi_{\mathrm{out}} + N_{\otimes}) + \kappa\right],$$

where κ is the desired security parameter.

Theorem 3. The (n+1)-ZKBoo protocol satisfies the $(n-(\lceil n/2\rceil+1), \lceil n/2\rceil+1)$ -SNI notion given in Definition 3.

Proof. As shown in Proposition 2, the evaluation protocol of the $(\lceil n/2 \rceil + 1, n+1)$ -decomposition is *n*-SNI. As we open exactly $\lceil n/2 \rceil + 1$ computed shares, the (n + 1)-ZKBoo protocol is still $n - (\lceil n/2 \rceil + 1)$ -secure.

5.1 Experimental Results

In this section, we analyze the (n + 1)-ZKBoo protocol as introduced in the previous section and compare it to other instantiations from the literature that have not been adjusted to achieve SNI security. The simulation of the traces is generated by evaluating Π_{ϕ} using a (3,5)-decomposition (i. e., n + 1 = 5) for a set of random inputs x and collecting the output shares of each node. Observe that the collected traces correspond to $w^{(j)}$ for all gadgets $0 \le j \le d$. We denote the ℓ^{th} trace (corresponding to the ℓ^{th} evaluation of the protocol) by $t_{\ell} = \{w_j^{(i)} \mid \text{ for all } i \in [0, n] \text{ and } j \in [0, d]\}$. Moreover, we assume that $\mathbf{e} = 0$ and collect the views of the $w_0, \ldots, w_{\lceil n/2 \rceil}$ to reflect the opened views as free probes.

Using synthetically generated traces, we perform the test vector leakage assessment (TVLA) leakage detection method proposed by Goodwill et al. [25]. The test is considered as a pass-fail test to decide whether an implementation is secure or not. TVLA allows to detect leakages at specific orders and comes in two flavors: the specific variant and the non-specific variant. For the non-specific variant, two different sets of side-channel traces are collected by processing either a fixed input or a random input under the same conditions in a random

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pattern. The specific variant collects random traces and sorts them according to a distinguishing function. The advantage of the specific version is that the distinguishing function pinpoints specific leakages and can easily be turned into a side-channel attack. After collecting the traces, the means (μ_0, μ_1) and standard deviations (σ_0, σ_1) for two sets are calculated in both variants. Welch's *t*-test is computed as $t = \frac{\mu_f - \mu_r}{\sqrt{(\sigma_f^2/n_f) + (\sigma_r^2/n_r)}}$, where n_0 and n_1 denote the number of traces for the two distinguished sets, respectively. Typically, it is assumed that leakage is present if a threshold of t > 4.5 or 5 is exceeded [43].

Our analysis applies the specific t-test, where the classification of the traces relies on the opened views. Thus, we manage to experimentally verify that the opened values can be used to classify traces in a meaningful way, which can then be used to recover the secret. We start with (n+1)-ZKBoo with n+1=3, where the scheme is equivalent to the original ZKBoo protocol given in [23]. As shown in Section 3, ZKBoo is vulnerable to side-channel attacks due to these opened views. Using our experimental setup, we target a multiplication gadget whose index is denoted by α and perform the classification with $\mathcal{T}_b = \{t_i | w_0^{(\alpha)} \oplus w_1^{(\alpha)} = b\}$ for $b \in \{0, 1\}$. Remark that the corresponding views $w_0^{(\alpha)}$, $w_1^{(\alpha)}$ represent the opened shares during the challenge phase. Using the two sets of traces \mathcal{T}_0 and \mathcal{T}_1 , we perform the t-test as described above. The resulting leakage is shown in Figure 3. The synthesized ZKBoo traces behave differently depending on a predictable sensitive state bit. This leakage can used as a probe to recover secret intermediate states and break the ZKBoo implementation.

Next we analyze the 5-ZKBoo protocol which uses a (3,5)-circuit decomposition. As shown in Section 4, the scheme is proven to be secure against first order attacks with three opened shares. We adapt the *t*-test and perform the classification as $\mathcal{T}_b = \{t_i | w_0^{(\alpha)} \oplus w_1^{(\alpha)} \oplus w_2^{(\alpha)} = b\}$ for $b \in \{0,1\}$, where $w_0^{(\alpha)}$, $w_1^{(\alpha)}$ and $w_2^{(\alpha)}$ represent the opened shares during the challenge phase that correspond to the targeted gadget. The clear leakage in Figure 3 diminishes as seen in Figure 8a, where the *t*-value remains below 4, as expected.

To compare our approach with previous unprotected approaches, we apply the same test while opening four views. Using classification $\mathcal{T}_b = \{t_i | w_0^{\alpha} \oplus w_1^{\alpha} \oplus w_2^{\alpha} \oplus w_3^{\alpha} = b\}$ we can see the resulting clear leakages in Figure 8b, where the threshold value 5 is exceeded.

6 Zero-Knowledge for Post Quantum Signature Schemes

In this section, we describe the application of the (n+1)-ZKBoo-protocol and its performance analysis. The proposed circuit decomposition brings no overhead to the previous approaches in the sense of the masking scheme, since we are using the same gadgets with a different technique. Therefore, the number of gadgets in each branch are the same as for the circuit decomposition defined in [28] or for the MPC-in-the-head idea defined in [31].



(b) TVLA-based classification using \mathcal{T}_i where $i = w_0^{\alpha} \oplus w_1^{\alpha} \oplus w_2^{\alpha} \oplus w_3^{\alpha}$.

Fig. 8: First order leakage analysis of a multiplication gadget in (n + 1)-ZKBoo reveals no leakage with three opened shares (a), but is vulnerable with four opened shares (b). Please note the differing scales on the y-axis.

6.1 Picnic Scheme using (n + 1)-ZKBoo

In this section we provide a variation of the Picnic signature scheme that can build upon our (n + 1)-ZKBoo protocol. Picnic was introduced by Chase et al. [12]. The fundamental component of Picnic is an improved and optimized version of ZKBoo called ZKB++. The scheme uses the LowMC [2] block cipher as its underlying symmetric primitive (or ϕ in our notation). LowMC is a flexible block cipher with low AND depth especially suited for Secure Multi-Party Computation, Zero-Knowledge Proofs, or Fully Homomorphic Encryption. To make the interactive protocols ZKBoo/ZKB++ non-interactive, two methods are used: The Fiat-Shamir transformation (FS) [21] or the Unruh transformation (UR) [46]. We do not focus on the transformations in this work, since our idea is to modify *only* the underlying circuit decomposition.

Table 1 shows the number of parallel repetitions required for various decompositions, and compares them to the Picnic parameter sets. To achieve probing security for L1, L3 and L5 we need to change the underlying circuit decomposition. The required number of repetitions k_n can be calculated using Equation (1) and the summary can be found in Table 1. Due to the soundness error, the required number of repetitions to obtain the appropriate security level increases with the decomposition order. Thus, a higher number of shares implies increased soundness error at the cost of increased signature size. For example, to achieve first order protection ZKBoo using a (2,3) circuit decomposition should be replaced with 5-ZKBoo using a (3,5) circuit decomposition which results in 82%

Table 1: The parameter set for the proposed circuit decomposition and the comparison between the scheme that uses ZKBoo (2,3) circuit decomposition with the probing security order $t_{\mathcal{A}}$ and with the required number of repetitions k_n . The full version of the table can be found in Appendix D. The original Picnic parameters are highlighted.

Parameter Set	Decomp.	$t_{\mathcal{A}}$	κ	k_n
Picnic-L1-FS/UR	(2,3)	0	128	219
Picnic-L1-FS/UR	(3,5)	1	128	398
Picnic-L1-FS/UR	(4,7)	2	128	576
Picnic-L1-FS/UR	(5,9)	3	128	745
Picnic-L3-FS/UR	(2,3)	0	192	329
Picnic-L3-FS/UR	(3,5)	1	192	597
Picnic-L3-FS/UR	(4,7)	2	192	864
Picnic-L3-FS/UR	(5,9)	3	192	1130
Picnic-L5-FS/UR	(2,3)	0	256	438
Picnic-L5-FS/UR	(3,5)	1	256	796
Picnic-L5-FS/UR	(4,7)	2	256	1152
Picnic-L5-FS/UR	(5,9)	3	256	1507

more repetitions. Similarly the cost of second order protection is 45% more repetitions compared to first order protection.

Secondly we can analyze the signature sizes and corresponding overhead. In this analysis we focus on the signature size of ZKBoo in order to make a fair comparison since ZKB++ improvements can be applied independently of the circuit decomposition structure. First we remark the signature size of ZKBoo. Let c denote the size of the commitments c_i and s denote the size of the randomness in bits used for each commitment (as in Figure 7). The ZKBoo signature size for a function $\phi: \mathbb{K}^{\phi_{\text{in}}} \to \mathbb{K}^{\phi_{\text{out}}}$ implemented as arithmetic circuit with N_{\otimes} multiplication gates is given by [12] as:

$$\begin{aligned} |p_z| &= k_z [3(|y_i| + |c_i|) + 2((\log(|\mathbb{K}|)(\phi_{\rm in} + \phi_{\rm out} + N_{\otimes})) + \kappa + s) \\ &= k_z [3(\phi_{\rm out} \log(|\mathbb{K}|) + c) + 2(\log(|\mathbb{K}|)(\phi_{\rm in} + \phi_{\rm out} + N_{\otimes}) + \kappa + s)] \\ &= k_z [3c + 2\kappa + 2s + \log(|\mathbb{K}|)(5\phi_{\rm out} + 2\phi_{\rm in} + 2N_{\otimes})], \end{aligned}$$

where k_z is the required number of repetitions as defined in Section 5 to achieve the desired security order κ for n + 1 = 3. Using the same idea, we can calculate the signature size of (n + 1)-ZKBoo as below. For the sake of readability, let u = n + 1 and $v = \lceil n/2 \rceil + 1$:

$$\begin{split} |p| &= k_n [u(|y_i| + |c_i|) + v((\log(|\mathbb{K}|)(\phi_{\mathrm{in}} + \phi_{\mathrm{out}} + N_{\otimes})) + \kappa + s) \\ &= k_n [u(\phi_{\mathrm{out}} \log(|\mathbb{K}|) + c) + v(\log(|\mathbb{K}|)(\phi_{\mathrm{in}} + \phi_{\mathrm{out}} + N_{\otimes}) + \kappa + s)] \\ &= k_n [uc + v\kappa + vs + \log(|\mathbb{K}|)((u + v)\phi_{\mathrm{out}} + v\phi_{\mathrm{in}} + vN_{\otimes})], \end{split}$$

where k_n is the required number of repetitions as defined in Section 5. Clearly the overhead of the higher decomposition is the number of opened views. Here, ZKBoo needs 2 views, while our decomposition requires $\lceil n/2 \rceil + 1$ views. Thus, replacing the underlying (2,3)-ZKBoo decomposition with a (3,5)-circuit decomposition roughly doubles the size of the signature.

In order to visualize this, we use the |p|-formula to compare various protocols. We assume that 128-bit security is required, corresponding to L1 ($\kappa = 128$) and ϕ function is selected as $\phi: \operatorname{GF}(2)^{128} \to \operatorname{GF}(2)^{128}$. As given in Figure 9, the size of the signature naturally increases with the circuit decomposition order and the number of multiplication within ϕ . However, the size is still smaller than the signature size of (n, n + 1) decomposition as a result of opening only $\lceil n/2 \rceil + 1$ views instead of n views.



Fig. 9: The Signature size comparison with respect to number of multiplication gadgets.

In order to compare the running times of ZKBoo with (n + 1)-ZKBoo, we give an approximate formula involving the running time T_{rand} to generate a random tape, the running time T_{comm} to compute a single commitment, and the running time T_{mult} of a single multiplication gate. In the original ZKBoo, the prover first creates 3 random tapes and later computes the commitment to 3 shares. Within the evaluation of $\Pi_{\phi}(x)$, each multiplication gate of the original circuit for ϕ is replaced by 3 multiplication gates in the (2,3)-decomposion. As there are 3 branches, the total running time of the prover is proportional to $3 \cdot (T_{rand} + T_{comm} + 3N_{\otimes}T_{mult})$, where N_{\otimes} is the number of multiplication gates in the circuit computing ϕ . The verifier in ZKBoo just needs to verify the computation of 2 branches. Hence, its running time is proportional to $6N_{\otimes}T_{mult}$.

In (n + 1)-ZKBoo, the prover creates n + 1 random tapes and computes n + 1 commitments. Furthermore, within each of the n + 1 branches, each multiplication gate of the original circuit for ϕ is replaced by n + 2 multiplication gates on average in the $(\lceil n/2 \rceil + 1, n + 1)$ -decomposition (see Section 4), as $2 \cdot [(n+1)(n+2)/2]$ multiplications are computed in total. Hence, the total running time of the prover is proportional to $(n+1) \cdot (T_{\mathsf{rand}} + T_{\mathsf{comm}} + (n+2)N_{\otimes}T_{\mathsf{mult}})$, where N_{\otimes} is the number of multiplication gates in the circuit computing ϕ . The verifier in (n + 1)-ZKBoo just needs to verify the computation of $\lceil n/2 \rceil + 1$ branches. Hence, its running time is proportional to $(\lceil n/2 \rceil + 1) \cdot (n+2)N_{\otimes}T_{\mathsf{mult}}$.

Assuming that $N_{\otimes}T_{\text{mult}}$ dominates T_{rand} and T_{comm} , we have a multiplicative overhead of (n + 1)(n + 2)/9 for the prover and $(\lceil n/2 \rceil + 1)(n + 2)/6$ for the verifier. In the case of n + 1 = 5, this is an overhead of $30/9 \approx 3.34$ for the prover and 3 for the verifier.

The costs of a naive solution In a more naive approach, the signature size would be $k_{3(n'+1)}[3(n'+1)c+2(n'+1)\kappa+2(n'+1)s+$

 $\log(|\mathbb{K}|)(5(n'+1)\phi_{\text{out}}+2(n'+1)\phi_{\text{in}}+2(n'+1)N_{\otimes})], \text{ where } n'=n-(\lceil n/2\rceil+1), \\ \text{the running time of the prover would be proportional to } 3(n'+1)\cdot(T_{\text{rand}}+T_{\text{comm}}+3(n'+1)N_{\otimes}T_{\text{mult}}), \\ \text{and the running time of the verifier would be proportional to } 6(n'+1)^2N_{\otimes}T_{\text{mult}}. \\ \text{See Appendix C for details.}$

6.2 Performance Results

In this section we give the experimental performance analysis of our scheme. We adapted the Picnic source code of the reference implementation [44] to implement the (n+1)-ZKBoo scheme. We compare the results of our scheme with the Picnic implementation with the parameters L1, L3 and L5 using both transformation i.e. UR or FS. The benchmarking is done on AMD Ryzen Threadripper 1950X CPU@3.4 GHz. The experiments covers the total clock cycle count while signing a message or verifying a signature including the size of the signature. The results are computed by taking the average over 500 signature generation and verification. The summary of the analysis can be found in Figure 10 and the exact numbers are given in Appendix D, Table 3.

As given in Table 3, we calculate the overhead of our scheme. The first order security increases the average number of clock cycles by a factor of 3.28-3.78 and the average size of the signature by 3.49-3.51 depending on the security parameter and transformation method. This factor increases with the security order as expected. The optimized Picnic implementation [41] achieves a speedup factor of 6 compared to [44], which we also expect for our protocol.

7 Conclusion

In this work we have shown that current MPC-in-the-head protocols are indeed susceptible to SCA. However, as popular side-channel countermeasures also build on MPC principles, we show how to adapt MPC-in-the-head protocols to make them side-channel resistant by simply adjusting the underlying MPC protocol.

More recently, the MPC-in-the-head approach has also been applied to protocols having an offline or preprocessing phase [31], which is for example used in the most recent version of Picnic called Picnic3 [30]. As the preprocessing phase is not an MPC protocol, it must be secured independently. Classifying which kind of preprocessing phases are allowed by the approach of [31] and obtaining a similar generic SNI-approach for this phase is a natural follow-up work and seems non-trivial.



(a) The average number of clock cycles to sign or verify.



(b) The average size of a signature in bytes.

Fig. 10: The benchmarking results of Picnic signature scheme using (3, 5), (4, 7), (5, 9)-ZKBoo decomposition with UR or FS transformations. The values are calculated by averaging the results of 500 signature generations/verifications.

Acknowledgements

The authors thank the anonymous reviewers and the paper's shepherd for their very valuable and detailed comments. This work has been supported by the German Federal Ministry of Education and Research (BMBF) under the project PQC4MED (FKZ 16KIS1045).

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A Details on **ZKBoo**

Definition 5 ((2,3) circuit decomposition [23]). A(2,3)-decomposition for the function ϕ is the set of functions;

 $\mathcal{D} = \{ Share, Output_1, Output_2, Output_3, Rec \} \cup \mathcal{F}$

where Share, Rec and Output_i as defined in Section 4. Let Π_{ϕ}^* be the algorithm described in Figure 5 with n = 2, we have the following definitions.

- Correctness: We say that \mathcal{D} is correct if $Pr[\phi(x) = \Pi^*_{\phi(x)}] = 1$ for all $x \in X$. The probability is over the choice of the random tapes R_i .
- **Privacy**: We say that \mathcal{D} has 2-privacy if it is correct and for all $e \in [0,2]$ there exists a PPT simulator S_e such that $((R_i, w_i)_{i \in \{e,e+1\}}, y_{e+2})$ and $S_e(\phi, y)$ have the same probability distribution for all $x \in X$.

B Algorithms and Proofs

Proof of Theorem 1

Proof. In order to prove the theorem, we use a similar structure as in [14] and show that every set of intermediate variables with t elements can be simulated by

Algorithm 1 Balanced Multiplication Gadget

Input: The shares (x_0, \ldots, x_n) and (y_0, \ldots, y_n) . **Output:** The vector of shares of xy as (z_0, \ldots, z_n) . 1: for $0 \le i \le n$ do 2:for $i < j \leq n$ do 3: $r_{i,j} \leftarrow rand()$ $\triangleright r_{i,j} \in_R \mathbb{K}$ $r_{j,i} = (x_i y_j \oplus r_{i,j}) \oplus x_j y_i$ 4: 5: for 0 < i < n do 6: $z_i \leftarrow x_i y_i$ 7: for $0 \le j \le n$ and $j \ne i$ do if $(j \in J_i \text{ and } i < j)$ or $(j \notin J_i \text{ and } i > j)$ then 8: 9: $z_i \leftarrow z_i \oplus r_{j,i}$ \triangleright Denoted by $z_{i,j}$ 10: else if $(j \in J_i \text{ and } i > j)$ or $(j \notin J_i \text{ and } j < j)$ then 11: \triangleright Denoted by $z_{i,j}$ $z_i \leftarrow z_i \oplus r_{i,i}$ 12: return (z_0, \ldots, z_n)

Algorithm 2 RefreshMask Gadget[7]

Input: The vector of shares (x_0, \ldots, x_n) . Output: The vector of shares of x as (x'_0, \ldots, x'_n) . 1: for $0 \le i \le n$ do 2: $x'_i \leftarrow x_i$ 3: for $0 \le i \le n$ do 4: for $i < j \le n$ do 5: $r \leftarrow rand()$ $\triangleright r \in_R \mathbb{K}$ 6: $x'_i \leftarrow x'_i \oplus r$ 7: $x'_j \leftarrow x'_j \oplus r$ 8: return (x'_0, \ldots, x'_n)

two sets of input shares $(x_i)_{i \in I}$ such that $|I| \leq t$ and $(y_j)_{j \in J}$ such that $|J| \leq t$. Let $z_{i,j}$ be the *j*-th partial sum of z_i , i. e. $z_{i,j} = x_i y_j \oplus \bigoplus_{j'=0, j' \neq i}^{j} \delta_{i,j'}$. We divide the probes in four groups:

A1: If x_i , y_i , or $x_i y_i$ is probed, add i to I and J. **A2:** If $\delta_{i,j}$ or $z_{i,k}$ is probed (for $i \neq j$), add i to I and J.

Note that after these first two groups, we have I = J and will denote this common set as U.

A3: If $x_i y_j \oplus r_{i,j}$ is probed, do the following: if $i \in U$ or $j \in U$, add $\{i, j\}$ to I and J.

A4: If $x_i y_j$ is probed (for $i \neq j$), add *i* to *I* and *j* to *J*.

Clearly, |I| and |J| have at most one index per probe, and therefore $|I| \le t$ and $|J| \le t$.

We will now define the simulator, first for the intermediate variables. We now go through the different groups:

Algorithm 3 Balanced RefreshMask Gadget

Input: The vector of shares (x_0, \ldots, x_n) . **Output:** The vector of shares of x as (x'_0, \ldots, x'_n) . 1: for $0 \le i \le n$ do 2: $x'_i \leftarrow x_i$ 3: for $0 \le i \le n$ do for $0 < j \leq \lfloor n/2 \rfloor$ do 4: 5: $r_{i,i+j} \leftarrow rand()$ $\triangleright r_{i,i+j} \in_R \mathbb{K}$ $x'_i \leftarrow x'_i \oplus r_{i,i+j}$ 6: \triangleright Denoted by $a_{i,i+i}$ $x_{i+j}' \leftarrow x_{i+j}' \oplus r_{i,i+j}$ \triangleright Denoted by $b_{i+j,i}$ 7: 8: return $(x'_0, ..., x'_n)$

- A1: To simulate x_i , y_i , or x_iy_i , we can simply use the input variables, as both x_i and y_i are known from I and J.
- A4: To simulate $x_i y_j$ we can simply use the input variables, as both x_i and y_j are known from I and J.

For the remaining groups A2 and A3 (i. e. probed variables $\delta_{i,j}$, $z_{i,j}$ or $x_i y_j \oplus r_{i,j}$), we split the proof into smaller claims.

Claim. For any *i*, if $i \notin U$, then $\delta_{i,j}$ is not probed and does not enter in the computation of any probed partial sum $z_{i,k}$.

Proof. The variable $\delta_{i,j}$ is used in all partial sums $z_{i,k}$ for $k \ge j$. As $i \notin U$, no partial sum $z_{i,k}$ was probed (A2).

Claim. If $\delta_{i,j}$ or $x_i y_j \oplus r_{i,j}$ were probed, we can simulate them perfectly. Furthermore, for all $i \in U$, we can simulate $\delta_{i,j}$ perfectly.

Proof. We consider a pair i < j and distinguish all four possibilities to simulate $\delta_{i,j}$ or $x_i y_j \oplus r_{i,j}$.

- If $\{i, j\} \subseteq U$, we can sample $r_{i,j}$ uniformly and calculate $x_i y_j$, $x_i y_j \oplus r_{i,j}$, $x_j y_i$, and $r_{j,i}$ perfectly, as $\{i, j\} \subseteq I \cap J$. Hence, we can simulate $\delta_{i,j}$, $\delta_{j,i}$ and $x_i y_j \oplus r_{i,j}$.
- If $i \in U$ and $j \notin U$, we know that $\delta_{j,i}$ was not probed and is not used in any probed computation $z_{j,k}$ by Claim B.

If $\delta_{i,j}$ is a fresh random value (i. e. $\delta_{i,j} = r_{i,j}$), we can just uniformly sample $\delta_{i,j} = r_{i,'}$. As $\delta_{j,i}$ was not probed, the only place where $\delta_{i,j}$ might occur in is $x_i y_j \oplus r_{i,j}$. If $x_i y_j \oplus r_{i,j}$ was probed, we have $i \in I$ and $j \in J$ by construction (A3) and $i \in U$ and can thus simulate $x_i y_j \oplus r_{i,j}$ perfectly.

If $\delta_{i,j}$ is not a fresh random value (i. e. $\delta_{i,j} = (x_iy_j \oplus r_{i,j}) \oplus x_jy_i$), we can also sample $\delta_{i,j}$ uniformly. As $\delta_{j,i} = r_{i,j}$ was not probed, the only place where $\delta_{j,i} = r_{i,j}$ might occur in is $x_iy_j \oplus r_{i,j}$. If $x_iy_j \oplus r_{i,j}$ is probed, we have $\{i, j\} \in I \cap J$, as $i \in U$. Hence, we know x_jy_i and can thus compute and output

$$x_i y_i \oplus \delta_{i,j} = x_i y_i \oplus (x_i y_j \oplus r_{i,j}) \oplus x_j y_i = x_i y_j \oplus r_{i,j}.$$

- If $i \notin U$ and $j \in U$, we know that $\delta_{i,j}$ was not probed and is not used in any probed computation $z_{i,k}$ by Claim B.

If $\delta_{j,i}$ is a fresh random value (i. e. $\delta_{j,i} = r_{i,j}$), we can just uniformly sample $\delta_{j,i} = r_{i,j}$. As $\delta_{i,j}$ was not probed, the only place where $\delta_{j,i}$ might occur is in $x_i y_j \oplus r_{i,j}$. If $x_i y_j \oplus r_{i,j}$ was probed, we have $j \in J$ and $i \in I$ by construction (A3) and $j \in U$ and can thus simulate $x_i y_j \oplus r_{i,j}$ perfectly.

If $\delta_{j,i}$ is not a fresh random value (i. e. $\delta_{j,i} = (x_i y_j \oplus r_{i,j}) \oplus x_j y_i$), we can also sample $\delta_{j,i}$ uniformly. As $\delta_{i,j} = r_{i,j}$ was not probed, the only place where $\delta_{i,j} = r_{i,j}$ might occur is in $x_i y_j \oplus r_{i,j}$. If $x_i y_j \oplus r_{i,j}$ is probed, we have $\{i, j\} \in I \cap J$, as $j \in U$. Hence, we know $x_j y_i$ and can thus compute and output

$$x_j y_i \oplus \delta_{j,i} = x_j y_i \oplus (x_i y_j \oplus r_{i,j}) \oplus x_j y_i = x_i y_j \oplus r_{i,j}.$$

- If $i \notin U$ and $j \notin U$, neither $\delta_{i,j}$ nor $\delta_{j,i}$ were probed or used in any probed computation $z_{i,k}$ or $z_{j,k}$. If $x_i y_j \oplus r_{i,j}$ was probed, we thus know that $r_{i,j}$ is not used anywhere else. Hence, we can sample a random value uniformly for $x_i y_j \oplus r_{i,j}$.

The only remaining internal variables to simulate are the partial sums $z_{i,k}$. Whenever such a partial sum $z_{i,k}$ was sampled, (A2) implies that $i \in U$. Now Claim B implies that all $\delta_{i,j}$ can be simulated perfectly and thus all $z_{i,k}$.

In the last part of the proof, we consider the simulation of the subset of output shares $z_{|\mathcal{O}}$ from $x_{|I}$ and $y_{|J}$. Claim B already shows that for $i \in U$, all z_i can be simulated.

Now, consider all indices i with $i \notin U$ (including those not in \mathcal{O}). We construct a subset of indices V as follows: for any probed variable $x_i y_j \oplus r_{i,j}$ corresponding to (A3) with $i \notin U$ and $j \notin U$, we add j to V if $i \in \mathcal{O}$ or i to V if $i \notin \mathcal{O}$. Note that whenever we do not add an index to v (either due to $i \in U$ or $j \in U$), there is a probe corresponding to (A1) or (A2) responsible for this. As we have at most t probes of intermediate variables, we have $|U| + |V| \leq t$ and thus $|U| + |V| + |\mathcal{O}| \leq n$ by assumption that $t + |\mathcal{O}| \leq n$. Hence, there is at least one index $j^* \in \{0, \ldots, n\}$ such that $j^* \notin U \cup V \cup \mathcal{O}$.

Now, fix any $i \in \mathcal{O}$ with $i \notin U$. We can write

$$z_i = x_i y_i \oplus \bigoplus_{j=0; j \neq i}^n \delta_{i,j} = \delta_{i,j^\star} \oplus (x_i y_i \oplus \bigoplus_{j=0; j \neq i; j \neq j^\star}^n \delta_{i,j}).$$

Claim. Neither δ_{i,j^*} nor $\delta_{j^*,i}$ enter in the computation of any probed variable or another $z_{i'}$ with $i \neq i'$ and $i' \in \mathcal{O}$.

Proof. As $i \notin U$, Claim B implies that neither δ_{i,j^*} nor any $z_{i,k}$ were probed. As $j^* \notin U$, Claim B implies that neither $\delta_{j^*,i}$ nor any $z_{j^*,k}$ were probed. Now, δ_{i,j^*} or $\delta_{j^*,i}$ can only occur either in z_{j^*} , $x_i y_{j^*} \oplus r_{i,j^*}$ or in $x_{j^*} y_i \oplus r_{j^*,i}$. As $j^* \notin \mathcal{O}$, we do not need to simulate z_{j^*} . If $i < j^*$, then $x_i y_{j^*} \oplus r_{i,j^*}$ was not probed (otherwise, we would have $j^* \in V$, as $i \in \mathcal{O}$). Similarly, if $i > j^*$, then $x_{j^*} y_i \oplus r_{j^*,i}$ was not probed (otherwise, we would have $j^* \in V$, as $j^* \notin \mathcal{O}$).

Hence, we can simply simulate z_i by uniformly sampling a random value.

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Proof of Theorem 2

Proof. In order to prove the theorem, we show that every set of intermediate variables with $t \leq n$ elements can be simulated by a set of input shares $(x)_{i \in I}$ such that $|I| \leq t$. Let us first classify the variables. The intermediate variables are x_i , $r_{i,i+j}$, $a_{i,i+j}$ and $b_{i+j,i}$ and the outputs are x'_i (or $x'_{i,i+\lceil n/2 \rceil}$).

After this now we can define I as follows: for each probed variable x_i , $r_{i,i+j}$ and $a_{i,i+j}$ add i to I and $b_{i+j,i}$ add i+j to I. It is clear that I contains at most t elements since each probed value adds at most one index to I.

Now we can define the simulator. For all $i \in I$ the simulator can sample all $r_{i,i+j}$ for for $j \in [0, \lceil n/2 \rceil]$ and compute all partials sums $a_{i,i+j}$ and $b_{i+j,i}$ and thus the output x'_i .

Last, we need to consider the simulation of the output shares x'_i such that $i \notin I$. Observe that $i \notin I$ means that any random value in the partial sum of x'_i is not probed and is not involved in a partial sum of it. Hence we can simulate x'_i by a uniformly random value. As a result any set of t probed intermediate variables and any subset $\mathcal{O} \subset [0, n]$ can simulated by $x_{|I}$ such that $|I| \leq t$.

Proof of Proposition 2

Proof. Again, we follow the proof of the corresponding Proposition 4.1 in [23]. All linear gadgets are *n*-NI by definition, Theorem 1 shows that our balanced multiplication gadget is *n*-SNI, and Theorem 2 shows that our balanced refresh gadget is *n*-SNI. As each input to a multiplication gadget is used at most once (due to the RefreshMask gadget), Lemma 3 in [6] implies that Π'_{ϕ} is *n*-SNI.

Finally, we need to analyze the ingredients of a view in order to reveal the size of it. By definition, the function ϕ takes ϕ_{in} inputs and produces ϕ_{out} outputs where each value can be represented by $\log(|\mathbb{K}|)$ bits. Moreover the views need a security parameter κ to generate random tapes. Note that the computation between two multiplication gates can be compressed as in [23]. Hence, the size of w_i can be calculated as $(\phi_{in} + N_{\otimes} + \phi_{out}) \log(|\mathbb{K}|) + \kappa$.

C The costs of a naive approach

In a more naive approach, to with stand $n'=n-(\lceil n/2\rceil+1)$ probes, the prover could simply split up each of its 3 branches into n'+1 branches each. Each branch $j \in \{0,1,2\}$ creates the random tapes $R_j^{(0)},\ldots,R_j^{(n')}$ used by the individual branches. Now, the MPC protocol is performed on these 3(n'+1) parties and the outputs $y_j^{(i)}$ and the views $w_j^{(i)}$ are computed for j=0,1,2 and $i=0,1,\ldots,n'.$ The signature now contains the 3(n'+1) commitments $c_j^{(i)} = \operatorname{Comm}(w_j^{(i)},R_j^{(i)})$ and the 2(n'+1) opened views $w_{\mathbf{e}}^{(i)}$ and $w_{\mathbf{e}+1}^{(i)}.$

Hence, the signature size of this solution would be

$$k_{3(n'+1)}[3(n'+1)c + 2(n'+1)\kappa + 2(n'+1)s + \log(|\mathbb{K}|)(5(n'+1)\phi_{\text{out}} + 2(n'+1)\phi_{\text{in}} + 2(n'+1)N_{\otimes})].$$

Note that, compared with the signature size of our solution, every parameter $c,\ \kappa,\ s,\ \phi_{\rm ou},\ \phi_{\rm in},$ and N_{\otimes} has a coefficient that is at least an additive term of n/2 larger in the naive solution, as $3(n'+1) \approx (3/2)n$. Furthermore, the soundness error of the naive solution also relates to (3/2)n parties instead of *n* parties. The running time of the prover would be proportional to 3(n'+1). $(T_{\mathsf{rand}} + T_{\mathsf{comm}} + 3(n'+1)N_{\otimes}T_{\mathsf{mult}})$ and the running time of the verifier would be proportional to $6(n'+1)^2 N_{\otimes} T_{\text{mult}}$. As in the case of the signature size, every coefficient is worse compared with our solution. Note that similar optimizations as used in the construction of ZKB++ [12] can be made here as well and will shrink the signature size, e.g. by recombining the opened views. Nevertheless, the number of commitments in the signature will still be proportionate to n', as the prover cannot recombine the unopened views without introducing a leakage. We also remark that an algorithm resistant against side-channel attacks without increased signature size is always possible by doing the complete evaluation of the algorithm via an MPC protocol. Clearly, the running time of such an approach is much larger compared to the SNI-in-the-head approach or the naive approach.

D Auxiliary Tables

Table 2: The parameter set for the proposed circuit decomposition and the comparison between the scheme that uses standard (2,3) circuit decomposition (highlighted) with probing security order t_A and the required number of repetitions k. The LowMC parameters are key size L_n , number of s-boxes L_s , and number of rounds L_r . The hash functions in L1 are based on SHAKE-128 while L3 and L5 are based on SHAKE-256 SHA-3 functions [19] and ℓ_h represents the output length of hash functions.

Parameter Set	Decomp.	$t_{\mathcal{A}}$	κ	L_n	L_s	L_r	k	Hash/KDF	ℓ_h
Picnic-L1-FS/UR	(2,3)	0	128	128	10	20	219	SHAKE128	256
Picnic-L1-FS/UR	(3,5)	1	128	128	10	20	398	SHAKE128	256
Picnic-L1-FS/UR	(4,7)	2	128	128	10	20	576	SHAKE128	256
Picnic-L1-FS/UR	(5,9)	3	128	128	10	20	745	SHAKE128	256
Picnic-L3-FS/UR	(2,3)	0	192	192	10	30	329	SHAKE256	384
Picnic-L3-FS/UR	(3,5)	1	192	192	10	30	597	SHAKE256	384
Picnic-L3-FS/UR	(4,7)	2	192	192	10	30	864	SHAKE256	384
Picnic-L3-FS/UR	(5,9)	3	192	192	10	30	1130	$\mathbf{SHAKE256}$	384
Picnic-L5-FS/UR	(2,3)	0	256	256	10	38	438	SHAKE256	512
Picnic-L5-FS/UR	(3,5)	1	256	256	10	38	796	SHAKE256	512
Picnic-L5-FS/UR	(4,7)	2	256	256	10	38	1152	SHAKE256	512
Picnic-L5-FS/UR	(5,9)	3	256	256	10	38	1507	SHAKE256	512

Table 3: The benchmarking results of Picnic signature scheme using (3, 5), (4, 7), (5, 9)-ZKBoo decomposition with the transformations FS. The values are calculated by averaging the results of 500 signature generations/verifications. The overhead is calculated by comparing the respected values with the scheme using (2, 3) decomposition which corresponds to Picnic signature scheme (highlighted). The execution times are measured in millions of clock cycles.

Benchmarking						Overhead			
Parameter Set	Decomp	Sign (t_s)	Verify (t_v)	Size (s) in kilobyte	$t_s t_s / t_s^{(2,3)}$	$t_v / t_v^{(2,3)}$	$s/s^{(2,3)}$		
Picnic-L1-FS	(2,3)	88	56	33	-	-	-		
Picnic-L1-FS	(3,5)	326	212	115	3.72	3.78	3.49		
Picnic-L1-FS	(4,7)	769	466	246	8.77	8.31	7.48		
Picnic-L1-FS	(5,9)	1400	804	421	15.9	14.3	12.8		
Picnic-L3-FS	(2,3)	268	176	74	-	-	-		
Picnic-L3-FS	(3,5)	964	614	258	3.60	3.49	3.49		
Picnic-L3-FS	(4,7)	2170	1300	554	8.11	7.37	7.47		
Picnic-L3-FS	(5,9)	4040	2300	960	15.1	13.1	13		
Picnic-L5-FS	(2,3)	610	402	128	-	-	-		
Picnic-L5-FS	(3,5)	2150	1350	447	3.52	3.36	3.49		
Picnic-L5-FS	(4,7)	4670	2770	958	7.65	6.88	7.48		
Picnic-L5-FS	(5,9)	8450	4810	1660	13.9	12	13		