Reputation Driven Dynamic Access Control Framework for IoT Atop Proof of Authority Ethereum Blockchain

Auqib Hamid Lone[1] and Roohie Naaz[1]

***Abstract*—Security and Scalability are two major challenges that IoT is currently facing. Access control to critical IoT infrastructure is considered as top security challenge that IoT faces. Data generated by IoT devices may be driving many hard real time systems, thus it is of utmost importance to guarantee integrity and authenticity of the data and resources at the first place itself. Due to heterogeneous and constrained nature of IoT devices, traditional IoT security frameworks are not able to deliver scalable, efficient and manageable mechanisms to meet the requirements of IoT devices. On the other hand Blockchain technology has shown great potential to bridge the missing gap towards building a truly decentralized, trustworthy, secure and scalable environment for IoT. Allowing access to IoT resources and data managed through Blockchain will provide an additional security layer backed by the strongest cryptographic algorithms available. In this work we present a reputation driven dynamic access control framework for IoT based on Proof of Authority Blockchain, we name it as Rep-ACM. In Rep-ACM framework we build two major services, one for Reputation building (for better IoT device behaviour regulations) and other for Misbehaviour detection (for detecting any Misbehaviour on object resource usage). Both of these services work in coordination with other services of proposed framework to determine who can access what and under what conditions access should be granted. For Proof of Concept (PoC) we created private Ethereum network consisting of two Raspberry Pi single board computers, one desktop computer and a laptop as nodes. We configured Ethereum protocol to use Istanbul Byzantine Fault Tolerance (IBFT) as Proof of Authority (PoA) consensus mechanism for performance optimization in constrained environment. We deployed our model on private network for feasibility and performance analysis.**

***Index Terms*—Reputation, Access Control, IoT, Permissioned Blockchain, Proof of Authority.**

I. Introduction

THE term "Internet of Things" (IoT) was first introduced by K. Ashton [1] in 1999. In simpler terms IoT refers to the connection of devices with constrained capabilities to the Internet. The "Things" in IoT are devices which can perform remote sensing, monitoring and actuating. The ubiquitous interconnection of physical objects greatly accelerates collection, aggregation and sharing of data with other connected devices and applications, thus widening IoT applicability in many different domains ranging from smart healthcare, home automation etc. With increased popularity of IoT and ever increasing number of smart devices with insufficient security enforcement connected to internet, access control mechanisms have become an extremely important to prevent security and privacy breaches in an untrustworthy IoT environment. Due to heterogeneous and constrained nature of IoT devices, traditional IoT security frameworks are not able to deliver scalable, efficient and manageable mechanisms to meet the requirements of IoT devices. Thus, an important question arises: how can we achieve distributed, scalable and trustworthy access control in IoT?. Fortunately, Blockchain technology has shown great potential in bridging the missing gap towards building a truly decentralized, trustworthy, secure and scalable environment for IoT. With the advent of smart contracts (a piece of executable code) Blockchain has now evolved as a promising platform for developing trustworthy applications. In this paper, we propose a reputation driven dynamic access control framework for IoT based on Proof of Authority Blockchain, we name it as Rep-ACM. We configured Ethereum protocol to use Istanbul Byzantine Fault Tolerance (IBFT) as Proof of Authority (PoA) consensus mechanism for performance optimization in constrained environment.

As a short summary, the major contributions of the proposed Rep-ACM framework include:

1) A new Rep-ACM framework integrating permissioned Blockchain and smart contract capabilities.
2) Smart contracts based policy enforcement to regulate resource access in IoT devices.
3) Reputation service for building subject reputation based on each access result, to incentivize (in case of positive reputation) or penalize (in case of negative reputation) subjects on misbehaving with object resources, thus helping in better IoT device behaviour regulations.
4) Ethereum client configured to work with IBFT as consensus protocol eliminates computational burden from nodes, thus a suitable choice for constrained devices especially in IoT environment.
5) A prototype implementation and experimentation to validate and evaluate Rep-ACM ideas.

Rest of the paper is organized as follows: section II gives related work, section III presents the proposed framework, section IV presents the implementation and performance evaluation of proposed framework, finally section V concludes the paper and references are list in the end.

II. Related Work

Traditional access control mechanisms for IoT are prone to single point of failure, as they rely on the centralized databases containing user access policies. This drives research towards

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finding alternative solutions for meeting access control requirements for heterogeneous IoT environment. Several Blockchain based access control mechanisms have been proposed in the recent past for addressing requirements of the IoT devices. Below is the brief summary of the most recent and relevant ones.

The work presented in [2] proposed a new Blockchain based access control architecture for arbitrating roles and permissions in IoT. In essence a tokenized approach is proposed where access policies are enforced through smart contracts for allowing or revoking access to stored IoT data. Another token based approach to access control was proposed by the authors in [3], where access policies are written into smart contracts for granting and revoking access privileges to the users with varying roles. Another similar work was carried out by the authors in [4], where access to stored IoT data is granted or revoked by means of functions written in smart contracts. In [5], the authors propose a scheme for guaranteeing integrity of policy evaluation process utilizing Ethereum Blockchain and Intel SGX trusted hardware for cloud federations. Authors in [6] propose a Bitcoin based architecture for managing access rights through Blockchain transactions. Authors in [7] proposed a Blockchain and Machine Learning based dynamic access control policy for IoT. The proposed framework utilized Blockchain for ensuring a truly distributed infrastructure and Machine Learning algorithms (Reinforcement Learning) for achieving optimized and self adjusted security policies for IoT access control management. The work presented by authors in [8] introduced the concept of connecting local Blockchains to a public overlay Blockchain, where access policies are stored within Blockchain making them publicly verifiable detecting any unauthorized access attempts. Authors in [9] extended the idea proposed by [8] by dropping any transaction issued by an adversary from the Blockchain network altogether. In [10] authors propose a Blockchain based authentication and access control solution for IoT devices. Proposed model works in two phases: in first phase user authenticates himself to the smart contract and in second phase user receives authentication token and IoT device receives authentication token and Ethereum address of authorized user, thus authenticating user to IoT device. Another work presented by the authors in [11] proposed a Blockchain based access control scheme for off-chain data stored in Decentralized Hash Tables(DHT). The Blockchain in proposed solution stores access policies of different users for any data stored data in DHT. On access request, DHT nodes verify user access privileges from Blockchain records. Similar work was proposed by the authors in [12] by modifying InterPlanetary Filesystem (IPFS) to incorporate Blockchain based access control for file sharing. Authors in [13] proposed a smart contract based framework for distributed and trustworthy access control for IoT. The work presented by authors in [14] proposed a role based access control mechanism using smart contracts. The proposed scheme is composed of two main components namely Blockchain smart contract and the challenge response protocol. For accessing any resource, users have to provide response to a challenge given by service provider. Upon successfully verification access to desired resource is granted. Authors in [15] proposed a new method for access control in IoT based on Blockchain which helps users in accessing and controlling their data. In essence smart contracts are used for policy evaluation, to allow access to the resources. Work presented in [16] proposed a decentralized IoT system based on blockchain. Then they established a secure fine-grained access control strategies for users, devices, data, and implemented the strategies with smart contract. Authors in [17] proposed a secure fine-grained access control system for outsourced data, which supports read and write operations to the data. They have leveraged attribute-based encryption (ABE) scheme, which is regarded as a suitable to achieve access control for security and privacy (confidentiality) of outsourced data. The work presented in [18] proposed a Blockchain based access control for conflict of interest domains. They integrated a Blockchain in their architecture to make access control fair, verifiable and decentralized. Authors in [19] proposed a novel Blockchain driven decentralized architecture for permission delegation and access control for IoT application. Authors in [20] proposed a decentralized access control mechanism for IoT data using blockchain and trusted oracles. The proposed scheme uses trusted oracles as interface gateways and a provider of trusted and uniform source feeds for IoT data. The work presented by authors in [21] proposes a Hyperledger Fabric driven attribute-based access control scheme to allow flexible and fine-grained authorization for IoT devices. Authors in [22] have proposed Ethereum based authentication and access control mechanism for securing device communication in IoT networks.

The work presented in this paper is inspired by the above mentioned solutions, in particular the work done by the authors in [13], with following differences:

1) A PoA based private Blockchain network for performance optimization;
2) A single smart contract deployed by Objects for managing accesses to their resources by multiple subjects;
3) Reputation service for building subject reputation based on each access result, to incentivize (in case of positive reputation) or penalize (in case of negative reputation) subjects on misbehaving with object resources, thus helping in better IoT device behaviour regulations; and
4) Access specifiers for maintaining integrity of proposed framework.

III. Proposed Framework

In this paper we propose Rep-ACM: Reputation driven Dynamic Access Control Framework for IoT applications on the top of Proof of Authority Ethereum Blockchain. Simplified architecture of proposed framework consists of four main parts: 1) Participants (subjects and objects) 2) Front End for registering and retrieving addresses and ABI's of deployed object access control contracts 3) Smart contracts (registry and Rep-ACM) and 4) Blockchain network as shown in figure 1.

1) Participants: They are real actors in the network. Any participant in proposed framework acts as either subject or object. IoT devices under the supervision of IoT gateway act as objects in the proposed framework.

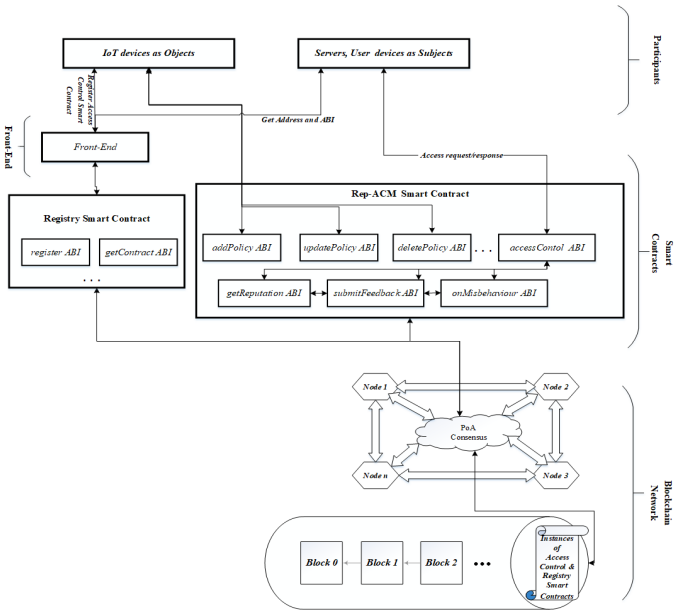


Fig. 1: Detailed Architecture of Rep-ACM

Servers, user devices and other communicating devices act as subjects. In certain situations vice versa is also possible. Subjects and Objects are either *Validator nodes* or *Lightweight nodes*. *Validator nodes* perform following jobs in the network:

- Storing a copy of the blockchain
- Validating transactions and taking part in consensus process by creating, proposing and adding blocks to Blockchain.

Lightweight nodes simply issue transactions and rely on validators for adding and validating their transactions Participants communicate with Blockchain by calling appropriate deployed smart contract ABI's.

2) Front-End: It provides interface for objects to register their deployed access control contract address and ABI in the registry contract. Subjects use front-end for retrieving desired objects access control contract address and ABI from registry contract.
3) Smart Contract: Proposed framework makes use of multiple instances of Access control smart contract (Rep-ACM) one by each object (IoT device) and a single registry contract for lookup purposes. Each object deploys Rep-ACM to control access to its resources and data.
4) Blockchain Network: It comprises of Peer-to-Peer (P2P) network of nodes and a PoA (IBFT) consensus protocol that governs the communication over P2P network.

A. Implementation of Proposed Framework

For implementation purposes we designed private and permissioned blockchain network. The Blockchain infrastructure is implemented through Geth [23]: a popular implementation of a full Ethereum node. Geth allows to setup a private network and configure all aspects of the blockchain and the consensus protocol employed. We configured geth to work with PoA based consensus namely, the IBFT consensus protocol. On top of this blockchain infrastructure, we deploy and run a smart contract implementing the proposed access control framework The implementation of Rep-ACM framework Involves three steps: (i) the initialization of the private blockchain, (ii) the creation of the private network and (iii) the creation and deployment of the smart contract.

1) Blockchain Initialization: Initialization of any Blockchain network involves the creation of its genesis block (first block) and contains the important initial configuration parameters. The only configuration parameters that are of interest for the purposes of the proposed framework are:

- *Block Period T*: the block period of the IBFT consensus algorithm and represents the rate at which new blocks are created in the Blockchain network.
- *Block Gas Limit G*: Maximum amount of gas transactions in a block are allowed to consume
- *Validators*: The Ethereum addresses of the pre-authorized validators.

Block Period T and *Block Gas Limit G* are two important configuration parameters that greatly affects the overall performance of Blockchain network. This is due to the fact that *T* and *G* plays role in transaction latency (block inclusion latency and consensus latency) and block headers overhead, thus controlling the rate at which Blockchain grows with time.

2) Blockchain Setup: In proposed framework validators and lightweight nodes are Geth nodes. Istanbul-tools help in creating genesis block with fixed and known validators. All the nodes in the network are initialized with same genesis block and a JSON file containing the node ids of the validators. Validators once started from geth command line can never leave the network, unless they start misbehaving and consequently are expelled from then network by other validators. In contrast lightweight nodes can join/leave the network at any time. Lightweight nodes are also started from geth command, but their addresses are not included in the genesis block.

3) Smart Contract Implementation and Deployment: We implemented smart contract in Solidity contract-oriented programming language [24]. Proposed framework comprises of multiple modules that work in coordination to control access to the critical IoT infrastructure. We first define all the data structures used by the modules for maintaining the state of subjects and objects in the network.

1) ***Mapping of subjects to resource access policies***: In proposed framework subjects are mapped to corresponding access policies set by objects on their resources. Access result depends both on static policies set by objects and dynamic information related to current behaviour and reputation of the subjects. There is a many to many mapping between subjects and resource access policies as shown in Table I. Every subject has critical information pertaining to reputation and misbehaviour associated with it, as shown in Table II. On misbehaviour the latter information plays an important role in deciding penalty time to be imposed on subjects.

2) ***Deriving subject reputation from feedback trust score value***: On each access a subject receives a feedback

TABLE I: Rep-ACM Access Control Matrix

Subjects	Resources	Access Policies						
		Sub	*Res*	*Pir*	*Per*	*Act*	...	*accRes*
S_1	R_1	S_1	R_1	N/H/L	A/D	R/W/X	...	T/F
	R_2	S_1	R_2	N/H/L	A/D	R/W/X	...	T/F
	⋮	S_1	⋮	N/H/L	A/D	R/W/X	...	T/F
	R_N	S_1	R_N	N/H/L	A/D	R/W/X	...	T/F
S_2	R_1	S_2	R_1	N/H/L	A/D	R/W/X	...	T/F
	R_2	S_2	R_2	N/H/L	A/D	R/W/X	...	T/F
	⋮	S_2	⋮	N/H/L	A/D	R/W/X	...	T/F
	R_N	S_2	R_N	N/H/L	A/D	R/W/X	...	T/F
S_N	R_1	S_N	R_1	N/H/L	A/D	R/W/X	...	T/F
	R_2	S_N	R_2	N/H/L	A/D	R/W/X	...	T/F
	⋮	S_N	⋮	N/H/L	A/D	R/W/X	...	T/F
	R_N	S_N	R_N	N/H/L	A/D	R/W/X	...	T/F

containing trust score value from object. Feedbacks are classified based on net promoter score like scale defined as follows:

$$feedback(trustScore) = \begin{cases} NegativeFeedback & trustScore \leq 5 \\ NeutralFeedback & trustScore > 5 \text{ and } t_{Score}(x) \leq 8 \\ PositiveFeedback & trustScore > 8 \text{ and } t_{Score}(x) \leq 10 \end{cases}$$

Trust score value is given based on nature of access, if access is as per already defined policy a positive feedback (trust score value 10) is given by object to subject, if no policy is defined for subject then neutral feedback (trust score value 8) is given by object to subject , if access is against predefined policy or request still blocked or misbehaviour detected then negative feedback (trust score value 4) is given by object to subject, and if access is against predefined policy and misbehaviour is also detected then also negative feedback (trust score value 4) is given by object to subject. Overall reputation of subject is also derived from net promoter score like scale but on average trust score received from object feedbacks as shown below:

$$t_{Score}(x) = \lceil \frac{x + 10 + feedbackScoreOnMisbeahviour}{t_{request} + 2} \rceil \quad (1)$$

where x represents total trust score received by a subject, $feedbackScoreOnMisbeahviour$ represents a feedback score on misbehaviour, used in advance as feedback is submitted only after the completion of access request and $t_{request}$ represents total count of access requests. Every subject starts from positive reputation with a trust score of 10. With time subject reputation varies based on its behaviour while accessing object resources on the network. On misbehaviour

$$getReputaion(t_{Score}(x)) = \begin{cases} Negative\ Reputaion & t_{Score}(x) \leq 5 \\ Neutral\ Reputaion & t_{Score}(x) > 5 \text{ and } t_{Score}(x) \leq 8 \\ Positive\ Reputaion & t_{Score}(x) > 8 \text{ and } t_{Score}(x) \leq 10 \end{cases}$$

3) ***Important Functionalities of Proposed Framework***: Rep-ACM framework uses services of two smart contracts with several APIs as shown in figure 1 for performing different functions within the framework.

a) Registry Smart Contract: Registry smart contract provides two main functionalities apart from other tasks in the framework:

a) It allows objects in the system to register their deployed Rep-ACM smart contracts instances.
b) Registry smart contract also allows subjects to access desired objects resources through ABIs of registered Rep-ACM smart contract instances.

To achieve the above two functionalities Registry smart contract maintains a lookup table as shown in Table III containing the following information:

- *Object address*: the address of the object or IoT gateway ;
- *Gateway address*: the address of IoT gateway (when objects are IoT devices);
- *Object*: the name of the object who is the owner of the resources for which access polices are defined;
- *Access control contract address*: the address of the smart contract
- *ABI*: the ABIs provided by the contract;

In general, objects are creators of Rep-ACM smart contract instances, however when IoT devices act as objects, local IoT gateways act as agents for deploying contracts and sending transactions on their behalf. IoT gateways associate ethereum account addresses with each of the IoT devices under its control and use their account addresses while deploying and sending transactions. The *Gateway address* lookup table entry is is filled with object address, when objects are other than IoT devices. Registry smart contract provides the following main ABIs to maintain the entries of the lookup table.

- *register()* : This ABI allows the valid objects to register details of their deployed Rep-ACM smart contract instance.
- *getContract()* : This ABI receives the object addresses and name as input and returns the address and ABIs af the registered contract instance as output.
- *updateRegister()* : This ABI after proper validation allows objects to update their exiting lookup table fields especially *Access control contract address* and *ABI*.
- *deRegister()* : This ABI receives the object address and name as input and after proper validation deletes corresponding lookup table entry.

Only creators of the corresponding Rep-ACM smart contract instances are allowed to register, update and delete the details of contract instances from the lookup table.

b) Rep-ACM Smart Contract: The heart and soul of the proposed framework is Rep-ACM smart contract, as it provides all necessary functions to facilitate smooth access control of the IoT system. Rep-ACM smart contract provides the following main ABIs to control access to object resources, maintain subject reputation and penalize subjects on misbehaviour.

- *addPolicy()* , *updatePolicy()* & *deletePolicy()* ABIs: These ABIs of Rep-ACM smart contract instance are used by objects to add, update and delete access

TABLE II: Information associated with each subject

Address	Feedback Received from Objects	Misbehaviour on Object Resources	Total Trust Score	Access Request Count	Avg Trust Score	Reputation
0xb91efd5d5f49849e95e378e19075124f35f889dc	*Feedback 1*	*Misbehaviour 1*	*X*	*N*	$\lceil (X/N) \rceil$	*Neutral/Positive/Negative*
	Feedback 2	*Misbehaviour 2*				
	. . .	. . .				

control policies for controlling access to object resources. Only creator can invoke these ABIs.

- *getAccess()* : This is the main ABI of Rep-ACM smart contract, which allows subjects to access their desired object resources. *accessControl()* ABI uses services of the other ABIs like *submitFeedback()*, *getReputation()*, and *onMisbehaviour()* to efficiently manage access control. The *getAccess()* ABI is summarized in algorithm 1. The execution flow of access control process is shown in fig 3 and actions taken on each access code are explained in algorithm 3. Steps required to be taken by subjects in order to access object resources are shown in figure 2 and explained below:
  - Step 1: The subject calls *getContract()* ABI of Registry smart contract to retrieve the desired objects registered Rep-ACM smart contract instance for access control.
  - Step 2: The Registry returns the address and ABI of the requested Rep-ACM instance to the subject.
  - Step 3: The subject then uses address and *getAccess()* ABI of Rep-ACM obtained in step 2 to access object resource. Subject sends transaction with required information by invoking *getAccess()* ABI. The validators in the Blockchain network collect such transaction and include that in the block. Transaction will be executed only if block is mined by some validator.
  - Step 4: After receiving access request from subject, object's Rep-ACM instance more specifically *getAccess()* ABI performs both static and dynamic check on access request. Subjects reputation is also taken into count, when determining penalty for subject if any misbehaviour is detected.
  - Step 5: Finally, access control terminates by returning access control result to both subject and object.
- *onMisbehaviour()* ABI: This ABI gets invoked when any misbehaviour is detected on resource access by subject. This ABI takes subject address(implicitly passed as caller of *getAccess()* ABI) as input and returns penalty(in minutes) to block subject from making any further request, until blocking time completes. *onMisbehaviour()* ABI is briefly summarised in algorithm 2.
- *getReputation()* ABI: This ABI takes subject address(implicitly passed as caller of *getAccess()* ABI) as input and returns average trust-score of the subject as output. It is invoked by *getAccess()* ABI to determine the average trust-score of the subject.

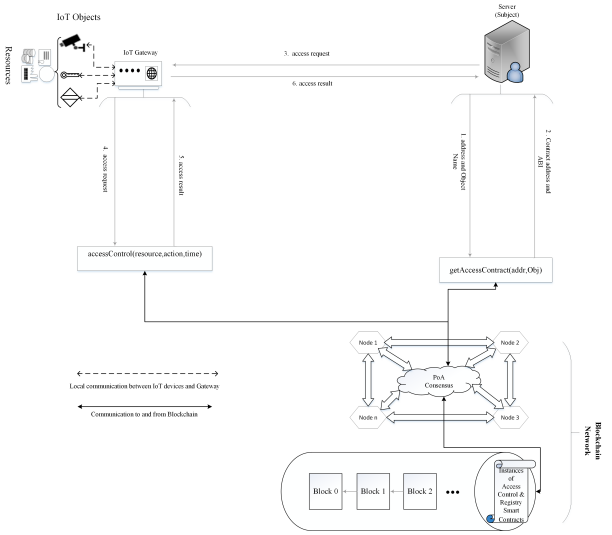


Fig. 2: Access control steps in Rep-ACM framework

Penalty received by a subject in *onMisbehaviour()* ABI is increased(multiplied) or decreased(divided) by a factor of *netAccessDiff* based on the subject reputation. Effective blocking time for a subject is:
$peanlty = \lceil \frac{penalty}{netAccessDiff} \rceil$ when positive reputation,
$peanlty = penalty * netAccessDiff$ when negative reputation
and $peanlty = penalty$ when neutral reputation. *getReputation()* ABI is briefly summarized in algorithm 5.

- *submitFeedback()* ABI: For each access a subject receives a feedback from object. Apart from other information feedback includes a trust-score(1-10), given based on the nature of access i.e. for positive access subject receives a trust-score of 10, for neutral access a trust-score of 8 is given to subject and for negative access a trust-score either 2 or 4 is given based on the severity of access violation e.g. if subject fails both static and dynamic checks during access, it receives a trust-score of trust-score of 4 is given to subject. Since reputation is directly proportional to trust-score, thus more the trust-score, the better the reputation of the subject in the IoT environment. The *submitFeedback()* ABI is invoked by *getAccess()*ABI and is briefly summarised in algorithm 4.
- *selfDestruct()* ABI: This is used by objects to completely remove Rep-ACM smart contract instance from Blockchain.

Algorithm 1: *getAccess()* ABI

Input: *resource,action,time*
Output: *Allows or Blocks access request based on defined policies and Reputation of subject and returns access result*
Require: defined policies on resources for subjects as *p←lookup[resource][msg.sender]*, policy check as *pCheck*←false, behaviour check as *bCheck* ←true, imposed penalty as *penalty*←0, total access requests as *totoalCount*, total negative feedbacks as *negativeCount*, total positive feedbacks as *positiveCount*, uint netAccessDiff←1, misbehaviours on resource by subject as *msbOnRes←MisbehaviourOnResource[resource][msg.sender]*, Reputation score of subject as *repScore*

```
if p.subject==msg.sender then
    if msbOnRes.timeToUnblock ≥ time then
        accessCode = 1
    else
        if msbOnRes.timeToUnblock > 0 then
            msbOnRes.timeToUnblock = 0
            p.countFR = 0
            p.lastReq = 0
        if p.per == allow && p.action== action then
            pCheck← true
        if time-p.lastReq ≤ p.minInterval then
            p.countFR++
            if p.countFR ≥ p.threshold then
                penalty = onMisbehaviour(msg.sender)
                bCheck = false
                repScore= getReputation(msg.sender)
                if totalCount ≥ 1 then
                    if repScore ≤5 then
                        netAccessDiff=
                          negativeCount-positiveCount
                        penalty = penalty ∗ netAccessDiff
                    else if repScore> 5 && repScore ≤ 8)
                      then
                        penalty = penalty
                    else
                        netAccessDiff=
                          positiveCount-negativeCount
                        penalty = ⌈penalty/netAccessDiff⌉
                else
                    penalty = 1
                misbonRes.timeToUnblock = time + penalty
                misbonRes.push(resorce,msg.sender,action,msbType,time,penalty)
        else
            p.countFR = 0
        if pCheck && bCheck then
            accessCode = 0
        if ¬pCheck && bCheck then
            accessCode = 2
        if pCheck && ¬bCheck then
            accessCode = 3
        if ¬pCheck && ¬ bCheck then
            accessCode = 4
    p.lastReq = time
    p.result = pCheck && bCheck
    p.accCode = accessCode
else
    accessCode = 5
```

Algorithm 2: *onMisbehaviour()* ABI

Input: *subject*
Output: *penalty*
Require: count of negative feedbacks received by a subject as *negativeCount*

```
if negativeCount≥ 0 then
    nCount←negativeCount[subject]
    penalty←nCount+1
    return penalty
else
    revert
```

Algorithm 3: Actions on different Access codes

Input: accessCode
Output: Appropriate actions based on access code returned on accessing resources under objects by subjects

```
if accessCode==0 then
    • submitFeedback(sub,obj,10,"Access Granted");
    • Grant access to resource under object requested by subject and
      Trigger appropriate event with Access result as true
else if accessCode==1 then
    • submitFeedback(sub,obj,4,"Requests are still Blocked");
    • Trigger appropriate event with Access result as false
else if accessCode==2 then
    • submitFeedback(sub,obj,4,"Static policy check failed");
    • Trigger appropriate event with Access result as false
else if accessCode==3 then
    • submitFeedback(sub,obj,4,"Misbehaviour detected ");
    • Trigger appropriate event with Access result as false
else if accessCode==4 then
    • submitFeedback(sub,obj,4,"Static policy check failed & Misbehaviour
      detected");
    • Trigger appropriate event with Access result as false
else
    • submitFeedback(sub,obj,8,"Invalid Subject");
    • Trigger appropriate event with Access result as false
    • Add access policy for subject after verification
```

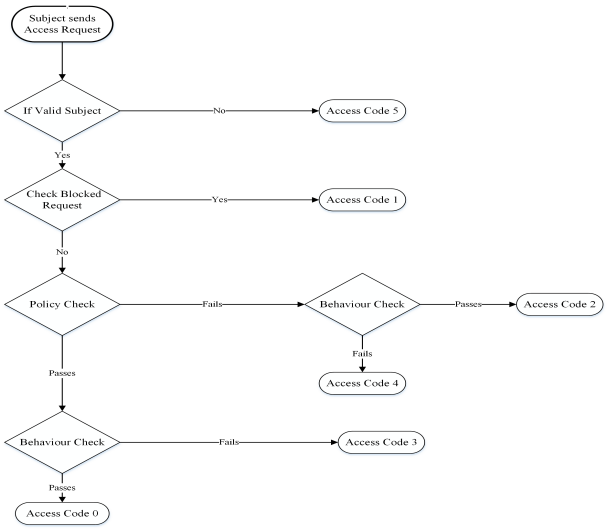


Fig. 3: Execution Flow of Rep-ACM

IV. Prototype Implementation and Performance Evaluation

In this section, we first introduce our experimental setup built for realizing Rep-ACM framework prototype and then evaluate its feasibility and performance. The algorithms defined in previous section are generic in nature and can be implemented on any Blockchain platform as long as it supports execution of smart contracts. We choose Ethereum as

TABLE III: Access Control Registry

Object address	*Gateway address*	*Object*	*Access Control Contract Address*	*ABI*
0xf537a4d70b1223f7cc051db18602124c8ac9b578	0x958b10a5a104a7a2d271d8dd4240d78967c4212d	Pi-Camera	0x3f3553d6c5ebb1fa698b89498983997a251cf122	Rep-ACM 1 ABI
0x4c6009f254364ea0f49e0da18287971fa4f716dd	0x4c6009f254364ea0f49e0da18287971fa4f716dd	Object A	0xec56a3500085b15502e14288e71e5c5f0b08b33a	Rep-ACM 2 ABI
0x4b0897b0513fdc7c541b6d9d7e929c4e5364d2db	0xca35b7d915458ef540ade6068dfe2f44e8fa733c	Sensor C	0x0dcd2f752394c41875e259e00bb44fd505297caf	Rep-ACM 3 ABI
⋮	⋮	⋮	⋮	⋮

Algorithm 4: ***submitFeedback()*** **ABI**

Input: *subject, object, trustScore, message, DateTime*
Output: *Builds reputaion of subject based on access actions performed on objects*
Require: feedback list as *feedbacks*, total access requests as *totoalCount*, total negative feedbacks as *negativeCount*, total neutral feedbacks as *neutralCount*, total positive feedbacks as *positiveCount*, total trust score as *scoreSum*, feedbacks given as *feedbackGiven*, feedbacks received *feedbackReceived*

```
if trustScore≥ 0 and ≤ 10 then
    feedback←feedbacks[subject][object]
    accessCounter←totoalCount[subject]
    totalScore←scoreSum[subject]
    feedbackGivenHistory←feedbackGiven[object]
    feedbackReceivedHistory←feedbackReceived[subject]
    feedback.score ← trustScore
    feedback.date← DateTime
    feedback.message← message
    feedback.submittedBy ← object
    accessCounter++
    totalScore+=trustScore
    if trustScore ≥ 0 && trustScore ≤ 5 then
        negativeCount++
    else if trustScore > 5 && trustScore ≤ 8 then
        neutralCount++
    else if trustScore > 8 && trustScore ≤10 then
        positiveCount++
    feedbackGivenHistory.push(feedback)
    feedbackReceivedHistory.push(feedback)
else
    revert
```

Algorithm 5: ***getReputation()*** **ABI**

Input: *subject*
Output: *returns reputation of a subject either Negative, Neutral or Positive*
Require: total access requests as *totalCount*, total trust score as *scoreSum*

```
if totalCount ≥ 0 && scoreSum ≥ 0 then
    tCount←totalCount[subject]
    tScore←scoreSum[subject]
    avgScore←⌈(tScore+14)/(tCount+2)⌉
    return avgScore
else
    revert
```

Blockchain platform because it allows for better management of subject account reputations. The overall network design for proof of concept is shown in figure 4.

A. *Rep-ACM Prototype Environment Setup*

For Proof of Concept we created private Ethereum network consisting of two Raspberry Pi 3 Model B single board computers, one desktop computer and a laptop as nodes. We also have 4 static nodes running on desktop computer as validators. The desktop and laptop corresponds to the user devices in the prototype system and the single board computers correspond to the local gateways. We considered access control issue between the two single board computers in which one

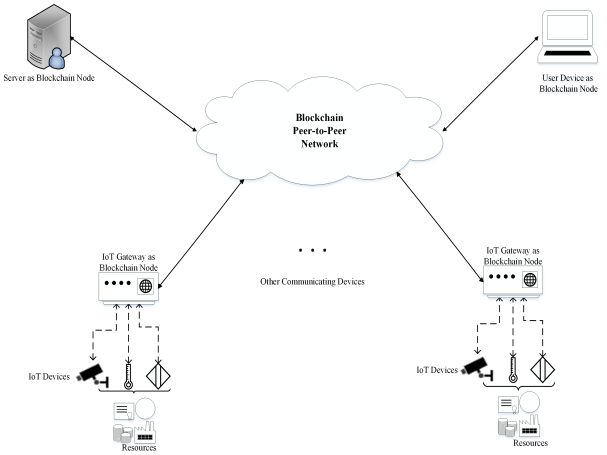


Fig. 4: Rep-ACM Network Setup

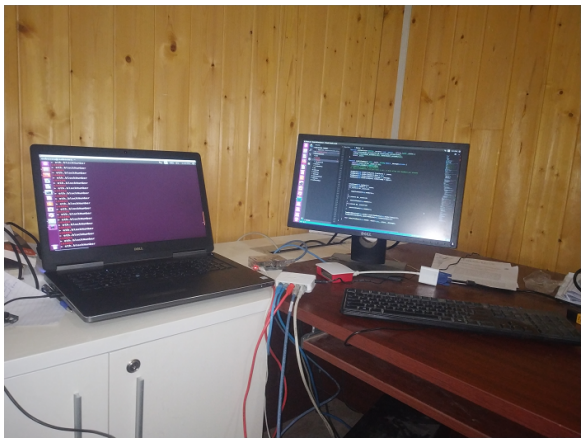


Fig. 5: Testbed used for prototype implementation

serves as object(or the agent of the object) and other as subject (or the agent of the subject). The detailed specification of devices used in prototype are listed in table IV and testbed used for prototype implementation is shown in figure 5.

Regarding the software, on each device go-ethereum client was installed to transform the device into a private Ethereum network node. With istanbul-tools [25] we created private Ethereum network running IBFT as PoA consensus protocol. There is no mining as we used Ethereum with IBFT, where known and authorized nodes called as validators do the job of miners. For prototype implementation we have chosen 4 nodes to act as validators in the Blockchain network. Any device with suitable storage capacity can act as validator, thus IoT gateways with suitable storage capacity could act as full nodes in proposed framework. For writing, compiling and deploying Rep-ACM smart contract we utilized the Remix integrated development environment(IDE) [26] which is browser-based

TABLE IV: Specification of Devices

Device	*CPU*	*Memory*	*Hard Disk*	*OS*
Raspberry Pi 3 Model B	ARMv7 Processor rev 4 (v7l), 1.2GHz	1GB SDRAM	16GB(microSD card)	Raspbian GNU/Linux 9 (stretch)
Dell OPTIPLEX 9020	Intel Core i7-4790, 3.60GHz	4GB	500GB	Ubuntu 16.04 LTS
Dell Precision 7720	Intel Core i7-6820HQ, 2.70GHz	32GB	1TB	Ubuntu 16.04 LTS

IDE for Solidity(programming language for writing Ethereum smart contracts) [24]. In addition we have installed web3.js [27] both on object and subject side to interact wit Blockchain from front-end through http connections. Web3.js allows monitoring the states of Rep-ACM framework smart contracts and sending and receiving access control requests and responses.

B. Experimentation

We conducted experiments in order to validate the consistency of smart contract execution and to test the feasibility of the framework for access control. We added access policies for subjects at object side with constant minInterval = 1000s and threshold = 2. In proposed framework misbehaviour from subjects could happen in two ways 1) either by positive frequent access requests or by 2) frequent negative accesses (policy check failures) crossing threshold. After failing two consecutive policy checks, third time a misbehaviour was detected and a penalty of 3 minutes was imposed on subject as shown in figure 6a. After completion of penalty time, subject sends access request as per policy defined by object (Resource owner) and access was granted as shown in figure 6b, thus confirming the correctness of smart contract execution. For analysing the effect of subject reputation on misbehaviour penalty, we performed several access requests to object resources from different subjects. Access requests include both positive as well as negative accesses (accesses not as per defined policy) including misbehaviours. We consider two case scenarios, in first case we consider subject doing misbehaviour after certain number of negative accesses without doing any positive access to better understand effect of negative accesses on subject reputation and penalty on misbehaviour. In first case we consider subject doing second type of misbehaviour. For second case, after doing 62 consecutive negative accesses in case first, subject does a misbehaviour only after certain number of positive accesses to better understand effect of being good in network by getting less penalty on misbehaviour with more positive accesses on object resources. For second case we consider subject doing first type of misbehaviour.

From figures 7a and 7b we can clearly observe that, with increase in negative accesses, penalty on misbehaviour increases sharply and subject reputation decreases and from figures 7c and 7d we observe that with increase in positive accesses, penalty on misbehaviour decreases drastically when subject reputation grows from negative to neutral and to positive respective.

C. Performance Evaluation

This section provides brief analysis of the proposed framework in terms of cost and security.

1) Cost Analysis: Every transaction executed on Ethereum Blockchain costs some Gas, representing unit of cost for a particular operation. In Ethereum Gas is paid in terms of Ether, as it is crypto fuel for running applications on the Blockchain network. There are transaction and execution gas costs for each function performed on the blockchain network. Execution cost includes the cost of internal storage in the smart contracts as well cost associated with any manipulation of Blockchain state. Transaction cost includes execution cost and the cost related to other factors like contract deployment and sending data to Blockchain network. Since we utilized IBFT as Blockchain consensus mechanism in proposed framework, there is no mining, thus no computational burden on Blockchain nodes.

Table V shows the average gas costs of smart contract functions of the proposed framework. The values shown in the table are approximate and can vary environment to environment. Each function listed in table V was executed 5 times to calculate the average cost. Smart contract functions are invoked by the participants (subjects and objects) of the proposed framework. Functions which does not involve any updation in the Blockchain state costs least, while as functions which considerably changes the state of smart contract variables stored on Blockchain costs the most. *constructor* function is a special function as it is related to the deployment of smart contract and is executed once for each object in the life-cycle of the proposed model. Every object deploys the smart contract for managing access to its resources by subjects.

TABLE V: Average Cost of Smart Contract Functions in Gas

Function caller	**Function Name**	**Average Transaction Cost**	**Average Execution Cost**
Object	*Rep-ACM constructor*	5641994	4226142
Object	*addPolicy*	200865	175433
Object	*updatePolicy*	36604	11364
Gateway	*registry constructor*	856385	610717
Object	*registerContract*	171269	89197
Object	*updateContract*	83524	1452
Subject	*getContract*	33722	10466
Subject	*accessControl*	282843	260381

2) Security Analysis: In this section we present brief security analysis on how our proposed solution ensure key security goals such as integrity, non-repudiation, authorization, availability and accountability.

1) Integrity: Proposed framework ensures integrity by storing traceability provenance data regarding subject access history in an immutable Blockchain infrastructure. Cryptographic hash functions make Blockchain immutable in nature.
2) Non-Repudiation: Every action is recorded in tamper-proof logs in proposed framework and all actions are linked and cryptographically signed by the initiator. No participant can deny their actions as everything is saved in the tamper-proof logs.

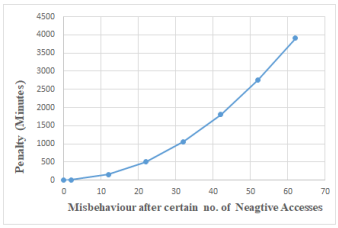
```
Contract: 0x3f3553d6c5ebb1fa698b89498983997a251cf122
Block Number: 176517
Tx Hash: 0x9e3e380aa5ecebc6a7ac0ae693ce75d15d1c0445b1619c1d65d08bfa443b1e6f
Block Hash: 0xcd2f1deed102f6fc62fe19d220de802c1c00274005d0397eac25358bafe9cf61
Subject: 0xb91efd5d5f49849e95e378e19075124f35f889dc
Time: 1575550549
Message: Static check failed! & Misbehavior detected!
Result: false
Requests are blocked for 3 Minutes!
```

(a) Misbehaviour after two consective Policy check failures

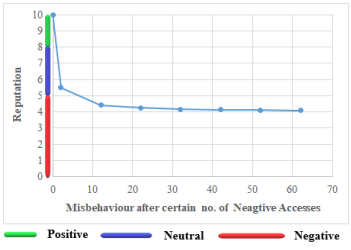
```
Contract: 0x3f3553d6c5ebb1fa698b89498983997a251cf122
Block Number: 176701
Tx Hash: 0x6a3440f9528e812f1e4bf6eb20a150252f3db02dad491c1eb97ea321b43478b2
Block Hash: 0x33ca6a6859c596ffc0f79b126b0904a0c74b6bb879bfe4acc609947cd718f026
Subject: 0xb91efd5d5f49849e95e378e19075124f35f889dc
Time: 1575551505
Message: Access Granted!
Result: true
```

(b) Access as per defined Policy

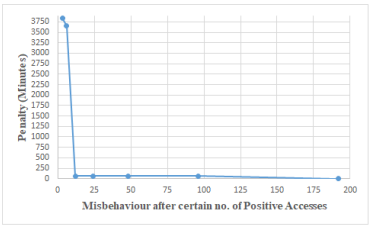
Fig. 6: Access Result at IoT Gateway (at Object side)



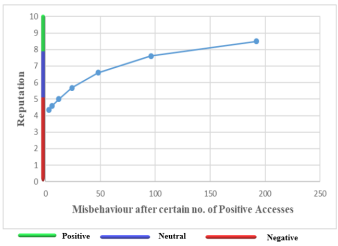
(a) Effect on Subject Penalty



(b) Effect on Reputation



(c) Effect on Subject Penalty



(d) Effect on Reputation

Fig. 7: Effect of Misbehaviour after certain number of consecutive Negative accesses and Positive accesses

3) Authorization: In proposed framework role restrictions have been strictly enforced by using solidity modifiers to ensure proper authorization checks before executing any smart contract functions.
4) Availability: Since access policies are enforced through smart contract functions, they immediately becomes available to subjects. The information stored on the Blockchain is saved in distributed and decentralized fashion and thus is immune to single point of failure.
5) Accountability and Resistance against Sybil attacks : Since Ethereum account addresses (public keys) of subjects and objects are linked with reputation score, they can be made accountable for their actions on Blockchain.

3) Comparison with Zhang et al.'s scheme [13]: A thorough comparative analysis of Rep-ACM was carried with Zhang et al. [13] scheme and it was observed that our scheme improves deployment cost by reducing the number of smart contracts to be deployed. In an IoT infrastructure with n subjects, n objects our schemes requires only n smart contracts to be deployed compared to n^2 contracts using Zhang et al.'s scheme. Also we were able to launch DoS attack against Zhang et al.'s scheme by repeatedly calling *misbehaviourJudge()* contract function externally with victims address as a subject, as a result it receives huge penalty without actually doing any misbehaviour on object resources. Furthermore we extended Zhang et al. scheme by eliminating the possibility of DoS attack by making implicit call to judge smart contract function. For throughput suppose there are 10000 IoT devices and each device has one transactions for every 10 minutes, the TPS (transactions per second) is at least 166, which is well within the range of throughput of IBFT consensus protocol. Zhang et al.'s scheme is based on PoW Ethereum, so maximum achievable throughput in their case is 15tps.

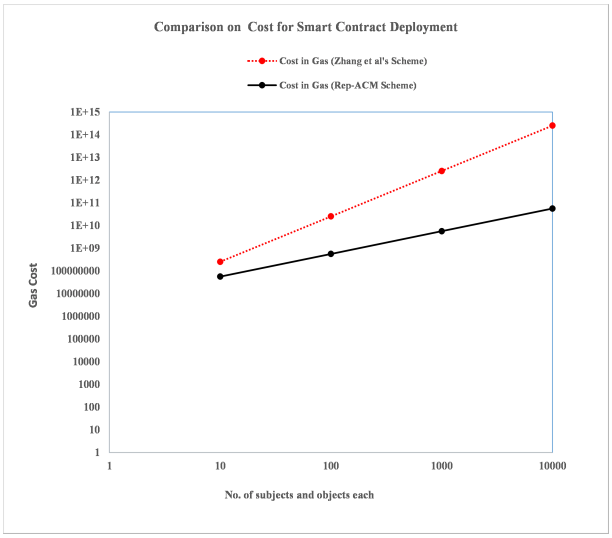


Fig. 8: Comparison on Cost in terms of Gas used for Smart Contract Deployment

V. Conclusion and Future Work

In this paper, we present a proof-of-concept architecture that adopts proof-of-authority (istanbul practical byzantine fault tolerant) Ethereum Blockchain technology to implement reputation driven dynamic access control (Rep-ACM) framework for IoT applications, where access policies are enforced through smart contracts stored on Blockchain. Proposed framework contains Rep-ACM smart contract, deployed by objects to control access to their resources and a Registry contract deployed by gateways to manage Rep-ACM smart contract

instances deployed by the objects connected to it. We implemented Rep-ACM framework and conducted experiments in order to validate the consistency of smart contract execution and to test the feasibility of the framework for access control in IoT. Experiments showed that the cost for proposed framework to integrate PoA (IBFT) Blockchain and smart contracts are within reasonable range while gaining various intrinsic benefits from permissioned Blockchain and smart contracts. As part of the future work, we aim to develop end-to-end decentralized application (DApp) that provides easy to use interface for interacting with Rep-ACM framework ABIs. As an extension to the work, it would be interesting to design functionality that allows objects to share reputation of those subjects who have accessed their resources, with other objects in the IoT environment. Sharing of subject reputations will help other objects in designing access policies dynamically. In future we also aim at making validator selection dynamic, based on reputation in the network.

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