## Lattice-based Fault Attacks on Deterministic Signature Schemes of ECDSA and EdDSA

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Abstract. The deterministic ECDSA and EdDSA signature schemes have found plenty of applications since publication and standardization. Their theoretical security can be guaranteed under certain well-designed models, while their practical risks from the flaw of random number generators can be mitigated since no randomness is required by the algorithms anymore. But the situation is not completely optimistic, since it has been gradually found that delicately designed fault attacks can threaten the practical security of the schemes.

We present a lattice-based fault analysis method to the deterministic ECDSA and EdDSA algorithms. The underlying fault injection model is a special case of the random fault model in [15]. By noticing the algebraic structures of the deterministic algorithms, we show that, when providing with some valid faulty signatures and an associated correct signature of the same input message, some instances of approximate lattice problems can be constructed to recover the signing key. This makes the allowed faulty bits close to the size of the signing key, and obviously bigger than that of the existing differential fault attacks. Moreover, the lattice-based approach supports much more alternative targets of fault injection when comparing with the existing approaches, which further improves its applicability.

Experiments are performed to validate the effectiveness of the key recovery method. It is demonstrated that, for 256-bit deterministic ECDSA and EdDSA, the signing key can be recovered efficiently with significant probability even if the targets are affected by 249 faulty bits. This is, however, impractical for the existing faulty pattern enumerating approaches.

 $\bf Keywords:$  Side channel attack, Fault attack, Lattice-based attack, Deterministic ECDSA, EdDSA

## 1 Introduction

As a fundamental building block of modern cryptography, digital signature has been widely used in practice. For its efficiency and standardization in FIPS 186

and ANSI X9.62, ECDSA has found various applications since publication. In spite of the fact that the theoretical security of ECDSA has not been prove finally, it is still believed to be secure and connected with some hard problems in mathematics. However, side channel attacks on various implementations of ECDSA have been continuously discovered during the last decades. Some of the attacks, for example, are induced by the deficiency of the ephemeral random numbers (denoted nonce hereinafter) required by the scheme. If the nonce is biased or has a few bits leaked, Bleichenbacher's attack [8] and lots of lattice-based approaches [16,21] can be employed to extract the private key by BDD [19]. This is realistic and has been demonstrated several times in real products [3,10,14,29]. Hence, an intuition on improving security is to remove the randomness requirement from the algorithms. This gave birth to a study on deterministic signature schemes. For example, in recent years deterministic ECDSA and EdDSA were introduced and standardized in RFC 6979 and RFC 8032 respectively. They have received plenty of attention in the research of applied cryptography, especially in the realization of cryptographical libraries of OpenSSH, Tor, TLS and even in specific applications like Apple AirPlay and Blockchain. Specifically, the deterministic version of ECDSA is to derive the nonce just from the private key and the input message by means of cryptographic hash or HMAC primitive. In this way, no randomness is required on the implementation platform, and it seems the threat from physical attacks are mitigated.

But the situation does not change better, since new flaws in deterministic signature algorithms have been gradually identified when considering differential fault attacks (DFA) [6,24,25,26]. DFA has been proved to be valid for different types of cryptographic schemes [7,9] in the literature. Generally, a DFA adversary manages to disturb the signature generation procedure (by means of voltage clitches, laser or electro-magnetic injection and so on [17]) to make the platform output faulty results, and exploits them to do key recovery. To have a better view about the capability and limitation of existing attacks on deterministic signature schemes, the following intends to review the known results.

Firstly, it is shown in [6] that if a fault is injected during the calculation of scalar multiplication of deterministic ECDSA or EdDSA, and results a known faulty signature (r', s'), then with the help of a correct signature (r, s) from the same signing key d and input message m, the key d can be recovered by solving some linear equations. It is noted that, though no limitation on the number of faulty bits, the approach is limited by the possible locations (or rather targets) of fault injection. As a relaxation, another approach was introduced in [6] by assuming that only limited bits of the target (e.g., the nonce k) would be randomly affected by each fault. For simplicity, the faulty value is denoted by  $k' = k + \varepsilon$  (with  $\varepsilon$  limited). Then by constructing a differential distinguisher, the private key d in deterministic ECDSA can be recovered efficiently by enumerating  $\varepsilon$ . Both attacks have been improved later, especially by those in [24,25,26], where different fault injection methods and targets are exploited and experimented on specific hardware platforms. The very recent extension was presented in [2], where several fault injection targets have been identified and analyzed.

From a common point of view, the signing key of deterministic schemes can theoretically be recovered by adjusting fault injection actions and enumerating the possible faults. The efficiency of the existing attacks is obviously constrained by the enumeration complexity, or specifically it is feasible only if the fault injection is controlled to affect very limited bits of the targets. Another limitation of the existing attacks lies in the selectability of targets that can be used for fault injection. Generally, the more targets would mean the more selectable attack paths, and thus more difficult to resist the attack. The targets that were considered in the existing attacks are very constrained. For example, the first attack in [6] only supports one possible target (i.e., the scalar multiplication kG in signatures), and although some more targets were considered later in [2], it is still far away from covering all the possible attack paths.

A promising solution is to develop lattice-based approaches. It is noticed that lattice-based attacks were used to analyze plain (EC)DSA and qDSA, in which the main purpose of fault attack is to obtain and exploit some leaked information of the random nonce k, such as those in [12,20,23,27,29]. Targets of fault injection in those approaches are usually the nonce itself or the scalar multiplication with a nonce as scalar. For those attacks to be effective, nonce in the plain signatures is supposed to be random numbers. Hence it is generally thought that deterministic ECDSA is immune to them because of deterministic nonce generation. However, this conception has been disproved by [11], where a lattice-based attack to compromise deterministic signatures was presented. That attack is specific to lattice-based cryptography, and the lattice constructed for the attack is also specific to the signature scheme. It is not known whether the method is effective to other deterministic schemes with different algebraic structures, but it casts a new light on the study of lattice-based fault attacks on more deterministic signature schemes.

In this paper, we show lattice-based fault attacks can be applied to deterministic ECDSA and EdDSA schemes. We consider the attacks in a random fault model where a fault can be characterized as a group addition with a random value. It can be regarded as a special case of the bit-wise random fault model in [15]. Under this model, a corresponding lattice-based key recovery method is proposed. Essentially, by virtue of the special algebraic structures of the signature generation algorithms, the method reduces the key recovery problem to the approximate shortest/closest problems in lattice, with the instances of the approximate problems being constructed from the deliberately collected faulty signatures. Since the approximate problems can be solved efficiently within some scale, the signing key can be recovered subsequently (provided that the faulty signatures are valid as per some criteria).

In comparison, the advantage that the proposed lattice-based method over the existing approaches [2,6,24,25] makes it more practical. This is summarized as follows.

- The proposed method allows more choices of target for fault injection. A target of fault injection is denoted by the notation of the interest intermediate and the timing of using it in computation. Since a general representation

- method of fault is adopted to remove the discrepancies of various targets, a number of possible targets are allowed by our attacks, which relatively covers more possibilities than the existing approaches. See Section 3.1 for detail.
- The proposed method can tolerate more faulty bits. The proposed lattice method is not to enumerate all the faulty patterns, but rather to solve the instances of approximate lattice problems. This makes the tolerable bits can be close to the size of the signing key. For instance, in theory, up to 253 faulty bits to a chosen target can be tolerated in the case of 256-bit deterministic ECDSA or EdDSA, while the case of faulty bits up to 249 has been validated in experiments efficiently. As discussed above, this is infeasible for the existing approaches. See Section 5 for detail.

The remainder of this paper is organized as follows: Section 2 describes the specification of deterministic ECDSA and EdDSA, and gives some results about lattices. Section 3 describes the fault model. Section 4 illustrates two representative lattice-based attacks based on the described model. Section 5 describes the experimental facets of the validity of the lattice-based key recovery method. The discussion about the corresponding countermeasures is given in Section 6. More attacks with other fault targets are introduced in Appendix A.

## 2 Preliminaries

#### 2.1 Notations

We denote by  $\mathbb{F}_q$  the finite field of prime order q,  $\mathbb{R}$  the field of real number, and  $\mathbb{Z}_n^*$  the multiplicative group of integer modulo n. Bold lowercase letters such as  $\boldsymbol{v}$  are denoted as vectors, while bold uppercase letters such as  $\mathbf{M}$  are denoted as matrix. The norm of vector  $\boldsymbol{v} = (v_1, \dots, v_N) \in \mathbb{R}^N$  is denoted by  $\|\boldsymbol{v}\| = \sqrt{\sum_i^N v_i^2}$ , while the multiplication of  $\boldsymbol{v}$  and  $\mathbf{M}$  is denoted by  $\boldsymbol{v}\mathbf{M}$ .

### 2.2 The deterministic signature algorithms

We recap the deterministic signature generation algorithms below by abstracting from some less important details in the specifications of RFC 6979 and RFC 8032 respectively. The focus steps of the analysis are the Step 6 of Algorithm 1 and Step 4 of Algorithm 2 during the signature generations.

## 2.3 Approximate problems in lattice

Since the proposed attacks on deterministic signature schemes are related to the construction and computation of some approximate problems in lattice, we select to give a basic introduction on the relevant conceptions and results.

In a nutshell, a *lattice* is a discrete subgroup of  $\mathbb{R}^m$ , generally represented as a spanned vector space of linearly independent row vectors  $\boldsymbol{b}_1, \boldsymbol{b}_2, \dots, \boldsymbol{b}_N \in \mathbb{R}^m$ 

## Algorithm 1 Signature generation of deterministic ECDSA

**Input:** The definition of a specific elliptic curve  $E(\mathbb{F}_q)$ , a base point G of the curve with order n, message m, private key d.

**Output:** Signature pair (r, s).

- 1: e = H(m), where H is a cryptographic hash function;
- 2: Generate k = F(d, e) such that  $k \in [1, n-1]$ , where F(d, e) denotes the HMAC\_DRBG function with d as the key;
- 3:  $Q(x_1, y_1) = kG$ ;
- 4:  $r = x_1 \mod n$ ;
- 5: **if** r = 0 **then** goto step 2;
- 6:  $s = k^{-1}(e + dr) \mod n$ ;
- 7: **if** s = 0 **then** goto step 2;
- 8: **return** (r,s)

## Algorithm 2 Signature generation of EdDSA

**Input:** The definition of a specific elliptic curve  $E(\mathbb{F}_q)$ , a base point G of the curve with order n, message m, private key  $(d_0, d_1)$ , and public key  $P(P = d_0 G)$ .

**Output:** Signature pair (R, s).

- 1:  $k = H(d_1, m) \mod n$ , where H means the SHA-512 hash function;
- 2:  $R(x_1, y_1) = kG$ ;
- 3:  $r = H(R, P, m) \mod n$ ;
- 4:  $s = k + rd_0 \mod n$ ;
- 5: **return** (R, s)

of matrix  $\mathbf{M} \in \mathbb{R}^{N \times m}$ , in the form of

$$\mathcal{L} = \mathcal{L}(\boldsymbol{b}_1, \boldsymbol{b}_2, ..., \boldsymbol{b}_N) = \{ \boldsymbol{z} = \sum_{i=1}^{N} x_i \cdot \boldsymbol{b}_i | x_i \in \mathbb{Z} \}.$$
 (1)

The vectors  $\boldsymbol{b}_i$ 's are called a basis of  $\mathcal{L}$ , and N is the dimension of  $\mathcal{L}$ . If m equals to N, then  $\mathcal{L}$  is full rank. Moreover, if  $\boldsymbol{b}_i$  belongs to  $\mathbb{Z}^m$  for any i=1,...,N,  $\mathcal{L}$  is called an integer lattice. In this way, it is straightforward to find that for any  $\boldsymbol{z} \in \mathcal{L}$ , there must exist  $\boldsymbol{x} \in \mathbb{Z}^N$  such that  $\boldsymbol{z} = \boldsymbol{x} \mathbf{M}$ .

In lattice, a few well-known problems have been studied, such as the *short-est vector problem*(SVP) and *closest vector problem*(CVP), which are believed to be hard in computation theoretically. Since what we are interested in here are some approximate versions of them, the following devotes to introduce the approximate problems of SVP and CVP.

**Approx-SVP**: given a basis  $b_i$ 's of  $\mathcal{L}$ , find a nonzero vector  $v \in \mathcal{L}$  such that

$$\|\mathbf{v}\| \le \rho_N \lambda_1(\mathcal{L}),\tag{2}$$

where  $\lambda_1(\mathcal{L})$  means the length of the shortest vector in  $\mathcal{L}$  and  $\rho_N$  means an approximate factor related to dimension N. It has been proven [1] that for a randomly constructed lattice  $\mathcal{L}$  it holds that

$$\lambda_1(L) \approx \sqrt{\frac{N}{2\pi e}} \text{vol}(\mathcal{L})^{\frac{1}{N}}$$
 (3)

with overwhelming probability, where  $vol(\mathcal{L})$  is the determinant of  $\mathcal{L}$ .

Regarding the solution to the approximate problem, if  $\rho_N$  is lower bounded to some extent (e.g.,  $(4/3)^{(N-1)/2}$ ), then the Approx-SVP can be solved by LLL algorithm [18] in polynomial time in N.

**Approx-CVP**: given a basis  $b_i$ 's of  $\mathcal{L}$  and a target vector  $u \in \mathbb{R}^m$ , find a nonzero vector  $v \in \mathcal{L}$  such that

$$\|\boldsymbol{v} - \boldsymbol{u}\| = \rho_N \lambda \left( \mathcal{L}, \boldsymbol{u} \right), \tag{4}$$

where  $\lambda(\mathcal{L}, \boldsymbol{u})$  is the closest distance from vector  $\boldsymbol{u}$  to lattice  $\mathcal{L}$ .

Similarly, if  $\rho_N$  is bounded well, the Approx-CVP can be solved by applying LLL-based Babai's nearest plane algorithm [5] in polynomial time. Specifically, given a target vector  $\boldsymbol{u}$ , the lattice vector  $\boldsymbol{v}$  satisfying

$$\|\boldsymbol{v} - \boldsymbol{u}\| \le \sqrt{\frac{N}{2\pi e}} vol(\mathcal{L})^{\frac{1}{N}}.$$
 (5)

can be determined in polynomial time in N.

## 3 Adversarial model

In regard to fault attacks on signature schemes, the adversary is allowed to query and at the same time disturb the signing procedure to collect the correct or faulty signatures (in the *fault injection phase*), then employs the collected signatures to recover the private key (in the *key recovery phase*). The difference between various fault attacks lies in the approaches used for both fault injection and key recovery. The following describes the adversarial model for these two phases.

## 3.1 Fault injection model

During the fault injection phase, we assume the adversary is capable of inducing transient faults to some specific intermediates in computation. That is, during the invocation of signature generation, faults can be injected to the data when it is transmitted over the physical circuit (such as buses), or stored in the memory cells or CPU registers. Then, after the invocation, the computation device will restore to a normal state and the faults won't be passed on to the next invocation. In this way, the computation may be temporarily tampered to produce available faulty results for the adversary.

The fault in this paper can be regarded as a special case of random faults defined in [15]. In detail, a fault induced to a specific intermediate  $v \in \mathbb{Z}_n^*$  can be formalized as an addition with a (bounded) random value  $\varepsilon$  in the form of  $v+\varepsilon \mod n$ . It is noted that we do not use modular 2 addition in [15] but rather the group addition in  $\mathbb{Z}_n$  to represent the effect of a fault to an intermediate. It is straightforward to find if  $\varepsilon$  is allowed to be freely valued in  $\mathbb{Z}_n$ , there is no difference between the two cases. However,  $\varepsilon$  in our model should be bounded to make the key recovery efficient, thus a difference from the model in [15] exists.

**Table 1.** The fault targets and solved problem in our attacks on deterministic ECDSA and EdDSA.

Algorithm	Target of fault injection	Related problem			
	r during the calculation of $s$	Approx-SVP			
	k before the calculation of $kG$	Approx-CVP			
	-Registers before outputting hash value $F(d, e)$				
	-Last modular additions before outputting $F(d, e)$				
	-Hash value $F(d, e)$ during the reduction of $k$				
Deterministic	$k^{-1}$ during the calculation of s	Approx-SVP			
ECDSA	k during the calculation of $s$	Approx-SVP			
	d during the calculation of $s$	Approx-SVP			
	e during the calculation of $s$	Approx-SVP			
	Registers before outputting hash value $H(m)$				
	-Last modular additions before outputting $H(m)$				
	rd during the calculation of $s$	Approx-SVP			
	e + rd during the calculation of $s$	Approx-SVP			
	r during the calculation of $s$				
	Registers before outputting hash value $H(R, P, m)$	Approx-SVP			
EdDSA	-Last modular additions before outputting $H(R, P, m)$				
	-Hash value $H(R, P, m)$ during the reduction of $r$				
	k before the calculation of $kG$				
	Registers before outputting hash value $F(d_1, m)$	Approx-CVP			
	-Last modular additions before outputting $F(d_1, m)$				
	-Hash value $F(d_1, m)$ during the reduction of $k$				

To facilitate the description, the specific intermediates which may suffer from faults are called (potential) targets of fault injection in this paper. All the potential targets that can be exploited by the proposed attacks are listed in Table 1. It is noted that a target is determined by two factors, i.e., the notation of the variant (corresponding to the intermediate), and the timing for fault injection. For example, the two items "k before the calculation of scalar multiplication kG" and "k during the calculation of s" are recognized as two different targets in this paper. In comparison, though some of the identified targets in Table 1 have also been considered in [2], not all of them can be exploited to do key recovery in their method, especially when the target is affected by lots of faulty bits.

On the other hand, different targets may be equivalent if considering the final effect of fault injection. For example, the three targets: "registers before outputting the hash values F(d,e)", "last modular additions before outputting the hash values F(d,e)" and "hash value F(d,e) during the reduction of k" are equivalent to the target "k before the calculation of k", since fault injection to the four targets will produce a same type of faulty k. Therefore, we define 'k before the calculation of k" as the representative target of the four targets, and indicate it in **bold** type in the table. For the same reason, other representative targets are also indicated in Table 1 in the same way.

In each of the proposed attack, the adversary is required to pre-determine at most one target and then fix the choice throughout the signature queries. Note that we don't consider the possibility that more than one target are chosen in a

query, since the key recovery model doesn't support this case, hence there is no guarantee that the key can be recovered successfully. In this case, a set of faulty signatures are called *valid* if they are computed with the same message as input and the same equivalent target for fault injection.

It is noted that, since the paper aims to examine the conception that some deterministic signature schemes may be threatened by lattice-based fault attacks, we don't consider the so-called instruction skipping attacks (where the execution flow is disturbed such that some instructions are skipped without being executed) and persistent faults (i.e., permanently modifying data in the memory), though the model may be somehow extended to cover these cases.

## 3.2 Key recovery by solving approximate problems in lattice

When enough valid faulty results are collected, the adversary manages to recover the signing key. This section devotes to describe the fundamental idea behind the attacks, the instantiation is left to be described in Section 4.

Intuitively, the proposed attacks in this paper exploit some special algebraic structures of the signature generation algorithm of deterministic ECDSA and EdDSA, which are discovered by the following observations.

a)**Representation of faults.** Firstly, due to the special structure of the deterministic ECDSA and EdDSA, when gathering a correct signature and N-1 valid faulty results for a common message, the adversary can construct one of the following two relations for the random faulty values  $\{\varepsilon_i\}_{i=1}^{N-1}$  (corresponding to the faulty signatures):

$$\varepsilon_i = A_i D + h_i n, \tag{6}$$

$$\varepsilon_i = A_i D + h_i n - B_i, \tag{7}$$

with  $-2^w \le \varepsilon_i \le 2^w < n$ , where  $A_i, B_i, w, n$  are known values (with n being the order of base point G), and  $D, \varepsilon_i, h_i$  are unknown values.

In detail, D is a function of the private key, the input message and some known variables. Then it is important to notice that when the input message is known, D is reversible and subsequently the key can be recovered. This is true when the input message is not affected by the injected faults, and by the fact that the input message is chosen and known to the adversary before the attack. Thus the goal of the proposed attacks is translated to recover D.

b) Key recovery using lattice. Based on the above observation, a lattice  $\mathcal{L}$  can be constructed with a basis being the row vectors of a matrix  $\mathbf{M}$  as

$$\mathbf{M} = \begin{pmatrix} n & 0 & \cdots & 0 \\ 0 & \ddots & & \vdots \\ \vdots & n & 0 \\ A_1 \cdots A_{N-1} & 2^w/n \end{pmatrix}.$$

It is noted that, under the random models of faults injection,  $\mathcal{L}$  may behave like a random lattice. Then, a target vector  $\mathbf{v} \in \mathcal{L}$  can be constructed from the

coordinate vector  $\boldsymbol{x} = (h_1, \dots, h_{N-1}, D) \in \mathbb{Z}^N$  as

$$v = xM = (A_1D + h_1n, \dots, A_{N-1}D + h_{N-1}n, D2^w/n).$$

Under the condition of  $|\varepsilon_i| \leq 2^w$ , suppose  $w < f - \log \sqrt{2\pi e}$  and  $N > 1 + \frac{f + \log \sqrt{2\pi e}}{f - w - \log \sqrt{2\pi e}}$ , one of the following relations will hold:

(i) when the faulty value is represented by equation (6), it has

$$||v|| < \sqrt{N}2^w < \sqrt{\frac{N}{2\pi e}} \operatorname{vol}(\mathcal{L})^{\frac{1}{N}}; \tag{8}$$

(ii) when the faulty value is represented by equation (7), then for vector  $\mathbf{u} = (B_1, \dots, B_{N-1}, 0) \in \mathbb{Z}^N \notin \mathcal{L}$ , it has

$$\|\boldsymbol{v} - \boldsymbol{u}\| < \sqrt{N}2^w < \sqrt{\frac{N}{2\pi e}} \operatorname{vol}(\mathcal{L})^{\frac{1}{N}},$$
 (9)

where  $\operatorname{vol}(\mathcal{L}) = \det(\mathbf{M}) = n^{N-2}2^w$  and  $f = \lceil \log n \rceil$ .

Then, it is not difficult to find that the inequalities (8) and (9) are related to some instances of Approx-SVP and Approx-CVP in  $\mathcal{L}$  respectively. By the discussion in Section 2.3, vector  $\boldsymbol{v}$  can be found efficiently by solving the approximate SVP or CVP, and then the value of D can be recovered, which immediately leaks the private key d in deterministic ECDSA or  $d_0$  in EdDSA. To have a complete view about the proposed attacks, Table 1 relates the targets with the relevant approximate problems in lattice.

# 4 Concrete lattice-based attacks on deterministic ECDSA and EdDSA algorithms

In this section, we instantiate the idea of the attacks discussed in Section 3. The key point is to show that equations (6) and (7) can be constructed when concrete targets are selected, then the lattice-based approach described in Section 3.2 can be followed to do key recovery. Since most of the attacks presented in this paper are of similar structure in description, to simplify presentation, only two representative attacks are described in this section, while other attacks, with targets shown in Table 1, are gathered in Appendix A.

### 4.1 Fault attacks with target r during the calculation of s

Suppose the adversary decides to inject fault to r before using it to calculate s. Then after getting a correct signature for a message m (chosen by the adversary in advance), the adversary manages to get N-1 valid faulty signatures with the same message m as input, and r as the target of fault injection.

#### 4.1.1 Attacks on deterministic ECDSA

## Step 1: inject fault to r during the calculation of s

During the calculation of s, if injected with a fault, r can be represented as  $r_i = r + \varepsilon_i$  for i = 1, ..., N - 1, where  $\varepsilon_i$  is a random number satisfying  $-2^w \le \varepsilon_i \le 2^w$  (by the random fault model). The correct signature  $(r, s_0)$  and N - 1 faulty results  $(r_i, s_i)$  for the same input message m can be represented as

$$\begin{cases} s_0 = k^{-1} (e + rd) \mod n \\ s_i = k^{-1} (e + (r + \varepsilon_i)d) \mod n \text{ (for } i = 1, ..., N - 1). \end{cases}$$
 (10)

## Step 2: recover the private key d by solving Approx-SVP

After reduction, equation (10) can be transformed as

$$\varepsilon_i = (s_i - s_0) d^{-1}k \mod n \tag{11}$$

Let  $A_i = s_i - s_0 \mod n$ ,  $D = d^{-1}k \mod n$ . There must exist  $h_i \in \mathbb{Z}$  for i = 1, ..., N-1 such that

$$\varepsilon_i = A_i D + h_i n, \tag{12}$$

where D is a fixed value due to the same input message m for all the signature queries.

It is clear that equation (12) is exactly equation (6). Then following the strategy described in Section 3.2, D can be found in polynomial time in N and subsequently the private key d can be recovered by virtue of the equation

$$d = (Ds_0 - r)^{-1}e \mod n.$$

### 4.1.2 Attacks on EdDSA

Before we proceed, it should be noted that the existing DFAs against Ed-DSA [2,6,24,25,26] do not recover the private key d, but rather recover the sub-keys  $d_0$  or  $d_1$ . This is still a real risk to the security of EdDSA since knowing a partial key  $d_0$  or  $d_1$  suffices to forge signatures [25].

Just like in the case of deterministic ECDSA, if the target r during the calculation of s is chosen, the correct and faulty signatures can be expressed as

$$\begin{cases}
s_0 = k + rd_0 \mod n \\
s_i = k + (r + \varepsilon_i)d_0 \mod n (i = 1, ..., N - 1).
\end{cases}$$
(13)

After reduction, there must exist  $h_i \in \mathbb{Z}$  for i = 1, ..., N-1 such that equation (13) can be transformed as

$$\varepsilon_i = A_i D + h_i n, \tag{14}$$

where  $A_i = s_i - s_0 \mod n$ , and  $D = d_0^{-1} \mod n$ .

It is clear that equation (14) is exactly equation (6). Analogously, by applying the general strategy described in Section 3.2, D can be found in polynomial time in N and subsequently the signing key  $d_0$  can be obtained.

#### 4.2 Fault attacks with target k before the calculation of kG

Suppose the adversary decides to inject fault to k before using it to calculate kG. Then after getting a correct signature for a message m (chosen by the adversary also), the adversary can manage to get N-1 valid faulty signatures with the same message m as input, and k as the target.

#### 4.2.1 Attacks on deterministic ECDSA

### Step 1: inject fault to k before the calculation of kG

When k is injected with a fault, it has  $k_i = k + \varepsilon_i$  for i = 1, ..., N - 1, where  $\varepsilon_i$  satisfying  $-2^w \le \varepsilon_i \le 2^w$  is a random number. The correct signature  $(r_0, s_0)$  and N - 1 faulty ones  $(r_i, s_i)$  for the same message m can be represented as

$$\begin{cases} k = s_0^{-1} (e + r_0 d) \bmod n \\ k + \varepsilon_i = s_i^{-1} (e + r_i d) \bmod n (i = 1, ..., N - 1). \end{cases}$$
 (15)

## Step 2: recover the private key d by solving Approx-CVP

After reduction, equation (15) can be transformed as

$$\varepsilon_i = \left(s_i^{-1}r_i - s_0^{-1}r_0\right)d - \left(s_0^{-1} - s_i^{-1}\right)e \bmod n. \tag{16}$$

Let  $A_i = \left(s_i^{-1}r_i - s_0^{-1}r_0\right) \mod n$ ,  $D = d \mod n$ ,  $B_i = \left(s_0^{-1} - s_i^{-1}\right)e \mod n$ . Then there must exist  $h_i \in \mathbb{Z}$  for i = 1, ..., N - 1 such that

$$\varepsilon_i = A_i D + h_i n - B_i. \tag{17}$$

It is clear that equation (17) is exactly equation (7). Analogously, by applying the general strategy described in Section 3.2, D, i.e., the private key d can be recovered in polynomial time in N.

### 4.2.2 Attacks on EdDSA

Just like in the case of deterministic ECDSA, if the target k before the calculation of kG is chosen, the correct and faulty signatures can be expressed as

$$\begin{cases} s_0 = k + r_0 d_0 \mod n \\ s_i = k + \varepsilon_i + r_i d_0 \mod n (i = 1, ..., N - 1), \end{cases}$$
 (18)

After reduction, there must exist  $h_i \in \mathbb{Z}$  for i = 1, ..., N-1 such that equation (18) can be transformed as

$$\varepsilon_i = A_i D - B_i + h_i n, \tag{19}$$

where  $A_i = (r_0 - r_i) \mod n$ ,  $D = d_0 \mod n$  and  $B_i = (s_0 - s_i) \mod n$ .

It is clear that equation (19) is exactly equation (7). Analogously, by applying the strategy described in Section 3.2,  $d_0$  can be obtained in polynomial time.

## 5 Experiment and complexity discussion

The validity of the proposed attacks lies in two aspects. Namely, the validity of fault injection and the validity of key recovery. Section 3 presents the conditions and allowed adversarial actions for fault injection, and it is reasonable to believe that suitable faults can be induced during the signature generation process since our adversarial model is not completely new compared to the models in [15,24,25,26]. Thus, we do not conduct concrete experiments to demonstrate the applicability of fault injection. On the other hand, experiments are performed to check the validity of lattice-based key recovery algorithms. This is helpful to understand the relations between the allowed faulty bits(w), the required number of faulty signatures (N), and the success rate ( $\gamma$ ) of the presented key recovery.

The experiments are conducted in a commonly used computer with 2.4GHz CPU, 8GB memory and Windows7 OS. The BKZ algorithm implemented in NTL library [28] is employed to solve Approx-SVP/Approx-CVP. The experimental results for deterministic ECDSA and EdDSA, under some specific elliptic curve parameterized with  $\lceil \log n \rceil = 256$ , are listed in Table 2 and Table 3 respectively.

Table 2. Experimental success rate in attacking 256-bit deterministic ECDSA

target of fault injection		w = 249		w = 245		w = 190		w = 128		w = 124	
		$\gamma$	N	$\gamma$	N	$\gamma$	N	$\gamma$	N	$\gamma$	
r during the calculation of $s$	100	43%	29	100%	6	79%	3	42%	3	66%	
k before the calculation of $kG$	100	100%	29	85%	6	100%	3	55%	3	100%	
$k^{-1}$ during the calculation of s	100	45%	29	100%	6	52%	3	45%	3	62%	
k during the calculation of $s$	100	43%	29	100%	6	82%	3	62%	3	44%	
e, rd, e + rd during the calculation of $s$	100	44%	29	100%	6	66%	3	43%	3	52%	
d during the calculation of $s$	100	45%	29	100%	6	60%	3	42%	3	49%	

Table 3. Experimental success rate in attacking 256-bit EdDSA

target of fault injection				w = 245				w = 128		= 124
		$\gamma$	N	$\gamma$	N	$\gamma$	N	$\gamma$	N	$\gamma$
r during the calculation of $s$							l .			
k before the calculation of $kG$	100	100%	29	84%	5	100%	3	20%	3	100%

Before proceeding to describe the experiment results some points need to be clarified. First, to simplify the experiments, we only conduct key recovery experiments for representative targets (defined in Section 3.1). Similarly, due to the similarity of key recovery for targets e, rd, e + rd during the calculation of s, we just conduct key recovery experiments with the target e.

Then, for each experiment of key recovery, we use a pseudo-random generator to generate the input message m and N-1 faults  $-2^w \le \varepsilon_i \le 2^w$  (limited with certain w). To simulate valid faulty signatures, the chosen target v is set

to be  $v + \varepsilon_i \mod n$ . Then the simulated faulty signatures are used to do key recovery. If the signing key can be recovered finally, the experiment is marked successful, otherwise failed. A such-designed experiment could fail because the LLL algorithm, when employed to approximate the shortest (or closest) vector, will output invalid result if the approximate factor is not bounded well, or the input basis is not suitable and so on. For simplicity, we record the success rate of the experiments as  $\gamma = \frac{\text{number of successful experiments}}{\text{total number of experiments}}$ .

Third, for each selected fault target (corresponding to each row of Table 2 and Table 3), we illustrate the validity of attacks in five groups, each of them corresponding to a specific value of parameter (w,N). Note that when n is fixed, the range of w and N can be determined from the relations  $w < f - \log \sqrt{2\pi e}$  and  $N > 1 + \frac{f + \log \sqrt{2\pi e}}{f - w - \log \sqrt{2\pi e}}$  respectively. Hence, when  $f = \lceil \log n \rceil = 256$ , the tolerant bound of w can be up to 253 in theory. Then, for each pair (w,N), a number of experiments are conducted to validate the effectiveness of key recovery.

Regarding the experiment number of each case, when w < 245, we conduct 10000 experiments to derive each success rate  $\gamma$ ; when  $245 \le w \le 249$ , only 1000 experiments is conducted since our experiment platform cannot afford the significant computational cost of LLL algorithm. In the case w = 250, recovering the signing key would need hundreds of faulty signatures to construct the lattice for solving Approx-SVP. Some experiments show that 17 hours on the average will be required to do such experiment. Hence, the maximal w in our experiments is considered as 249, which is slightly less than the tolerable bound (i.e., 253) in theory. It is hopeful that if some other improved lattice reduction algorithms, such as BKZ 2.0 [13], are utilized in the experiments, the theoretical bound (i.e., 253) could be achieved.

It is found that the success rate  $\gamma$  is tightly related to the parameters w and N. When w is set to be closed to 249, 100 valid faulty signatures suffice to recover the key with obvious success rate. However, when w is obviously less than the bound, a few valid faulty signatures suffice to recover the key with significant success rate. For example, when w=128, 1 correct signature and 2 valid faulty signatures suffice to recover the key with success rate over 20% in experimental time  $2\sim3$ ms. As a comparison, it is impractical for the existing DFAs [2,6,24,25] to break the deterministic signature when  $w\geq64$ , since exponential complexity  $O(2^w)$  is required to enumerate the faulty patterns. In addition, w will not be known in practice. Thus a conservative way is to set w as the (practical) maximum tolerable bound such that the key recovery can succeed.

Table 4. Comparison of attack complexity on 256-bit deterministic ECDSA or EdDSA

Scheme	Our attacks	Previous DFAs [2,6,24,25]		
tolerable bound of faulty bits (in $w$ )	249	$\approx 64$		
	$O(N^5(N + \log A)\log A)$			
time cost in experiments (with $w = 128$ )	$2 \sim 3 \text{ ms (with } N = 3)$	$\approx 2^{128}$ , infeasible		

To have a more complete view about the computational complexity of the proposed key recovery algorithms, we compare them with the existing attacks in Table 4. In our experiments, the block size of BKZ algorithm is set as 25, and thus the LLL-based reduction with asymptotic complexity  $O(N^5(N + \log A) \log A)$  [22] consumes the main time, where A is the maximum length in the original lattice vectors. When N is chosen as a polynomial of (f, w), the computational complexity is thus polynomial in  $\log n$  and w, which is obviously less than the exponential complexity required by the existing approaches [2,6,24,25].

As a conclusion, our approach has obvious advantages over the mentioned existing approaches in terms of the tolerance of faulty bits (characterized by w) and time complexity, which also means the proposed attacks are of higher applicability when comparing with those approaches.

## 6 Countermeasures

In this section, we discuss the effectiveness of some possible countermeasures.

-Randomization. As introduced above, the proposed attacks take advantage of the fact that k is determined by the input message and the private key, and remains unchanged during the process of signature queries. Intuitively the condition can be removed by reintroducing randomness to the derivation of k. This is the exact idea of hedged signature schemes, where the input message, secret key and a nonce are input to generate the per-signature randomness k. The security of hedged signature schemes against fault attacks has recently been proved under some limited models [4]. This strategy can theoretically defeat our attacks but it remains unclear whether it can be used to resist all fault attacks.

-Data integrity protection. Integrity protection is a natural choice for fault attacks resistance. It is a fact that the security of data transmission and storage can be consolidated by adopting error detection (or correction) code in the circuit level. However, limited by the computing power and cost factors, it is usually impossible to adopt strong integrity protection in the smart card like products. Thus the usually implemented Parity check and Cyclic Redundancy Code will leave rooms for fault injection. Namely, though they can be used to resist our attacks to some extent, more considerations are required to validate the real effectiveness of the mechanism. In addition, though the strategy that checking whether the input and output points are on the original elliptic curve can be used to resist the attacks in [2], our attacks are still effective in this case.

-Signature verification before outputting. Note the signature result of the two targeted deterministic algorithms is the form of (r, s). If r is tampered but s remains untainted, verifying the signature before outputting cannot detect the fault. This means the attack selecting k before the calculation of kG as target can survive, but other proposed attacks can be prevented.

-Consistency check of repeated computations. In this strategy, the signature calculation on an input message is repeated for two or more times, and the signature result will be output only when all the computation results are consistent. This can be effective to resist all the proposed attacks since there

is no guarantee that the fault induced each time will be the same under the random fault model. But this countermeasure may not be efficient, since in this case two scalar multiplications have to be computed, which is unaffordable for some devices (such as IoT devices) whose computing power is very limited.

-Infective computation. This strategy is graceful in that the adversary in this case cannot distinguish whether the faulty signature is valid or not, thus the key recovery can be defeated. We propose two infective countermeasures to resist the proposed attacks.

(i) For EdDSA, the last  $2^t$ -modular additions in the hash function  $H(d_1, m)$  generating k are calculated twice to obtain two identical nonces  $k_1$  and  $k_2$ , and the last  $2^t$ -modular additions in the hash function H(R, P, m) generating r are calculated twice to obtain two identical  $r_1$  and  $r_2$ ; moreover, an infective factor  $\beta$  is introduced, which has the same bit length with k, and is regenerated per signature. Then compute

$$s = (1 + \beta)(k_1 + d_0r_1) - \beta(k_2 + d_0r_2) \bmod n.$$

(ii)For deterministic ECDSA, the last  $2^t$ -modular additions in the hash function F(d, e) generating k are calculated twice to obtain two identical nonces  $k_1$  and  $k_2$ . The last  $2^t$ -modular additions in the hash function H(e) generating e are calculated twice to obtain two identical  $e_1$  and  $e_2$ . The reduction  $r = x_1$  mod n generating r is calculated twice to obtain two identical  $r_1$  and  $r_2$ . The private key d defined as  $d_1$  and  $d_2$  is invoked twice during the calculation of s, respectively. Hence,

$$s = (1+\beta)k_1^{-1}(e_1 + d_1r_1) - \beta k_2^{-1}(e_2 + d_2r_2) \bmod n.$$

## 7 Conclusion

We present a new fault analysis method to deterministic ECDSA and EdDSA algorithms. The fault injection model is a special case of the random fault model in [15]. In the new model, the resulted intermediate of fault injection can be characterized as an addition of the original intermediate with a random value. The range of the random value is determined by and close to the size of the signing key. This makes the method much more practical than the existing pattern enumerating approaches in [2,6,24,25] in terms of tolerance of faulty bits.

The advantage is guaranteed by the lattice-based key recovery method. By noticing the algebraic structures of the deterministic algorithms, we show that, when providing with some valid faulty signatures and an associated correct signature of the same input message, some instances of approximate lattice problems can be constructed to recover the signing key. Moreover, the lattice-based approach supports much more alternative targets of fault injection than the existing approaches, which further improves the applicability of the approach.

Experiments are performed to validate the effectiveness of the key recovery method. It is demonstrated that, for 256-bit deterministic ECDSA and EdDSA, the signing key can be recovered efficiently with high probability even if the

intermediates are affected by 249 faulty bits. This is, however, impractical for the existing faulty pattern enumerating approaches to achieve the same objective.

**Further Work.** For signature schemes with different algebraic structure in generating s, such as  $s = (1+d)^{-1}(k-rd) \mod n$  in SM2 signature generation, it is worth studying whether there are more fault injection targets in them. Moreover, if the nonce or the generated per-signature random number is misused in the hedged signatures, the effectiveness of our approaches deserves further analysis.

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## A Appendix

This appendix will introduce the attacks with the remaining targets listed in Table 1 to deterministic ECDSA and EdDSA, including the attacks with targets k,  $k^{-1}$ , e, rd, e+rd and d during the calculation of s and the attacks taking the hash functions generating k, e and r as fault targets.

## A.1 Fault attacks with target k during the calculation of s to deterministic ECDSA

Suppose the adversary decides to inject fault to k before using it during the calculation of s. Then after getting a correct signature for a message m (chosen by the adversary in advance), the adversary can try to get N-1 valid faulty signatures with the same message m as input, and k as the target.

## Step 1: inject fault to k during the calculation of s

When k is injected with a fault, it has  $k_i = k + \varepsilon_i$  for i = 1, ..., N - 1, where  $\varepsilon_i$  satisfying  $-2^w \le \varepsilon_i \le 2^w$  is a random number. The correct signature  $(r, s_0)$  and N - 1 faulty ones  $(r, s_i)$  for the same input message m can be represented as

$$\begin{cases} k = s_0^{-1} (e + rd) \bmod n \\ k + \varepsilon_i = s_i^{-1} (e + rd) \bmod n (i = 1, ..., N - 1) \end{cases}$$
 (20)

## Step 2: recover the private key d by solving Approx-SVP

After reduction, equation (20) can be transformed as

$$\varepsilon_i = \left(s_i^{-1} - s_0^{-1}\right) \left(e + rd\right) \bmod n. \tag{21}$$

Let  $A_i = s_i^{-1} - s_0^{-1} \mod n$ ,  $D = e + rd \mod n$ . There must exist  $h_i \in \mathbb{Z}$  for i = 1, ..., N - 1 such that

$$\varepsilon_i = A_i D + h_i n, \tag{22}$$

where D is a fixed value due to the same input message m for all the signature queries.

It is clear that equation (22) is exactly equation (6). Then following the general strategy described in Section 3.2, D can be found in polynomial time in N and subsequently the private key d can be recovered by virtue of the equation

$$d = r^{-1}(D - e) \mod n.$$

## A.2 Fault attacks with target $k^{-1}$ during the calculation of s to deterministic ECDSA

Suppose the adversary decides to inject fault to  $k^{-1}$  (after being generated by modular inversion of k) before using it during the calculation of s. Then after getting a correct signature for a message m, the adversary can try to get N-1 valid faulty signatures with the same message m as input, and  $k^{-1}$  as the target.

## Step 1: inject fault to $k^{-1} \mod n$ during the calculation of s

When  $k^{-1} \mod n$  derived by k is injected with a fault, it has  $k_i^{-1} = k^{-1} + \varepsilon_i$  for i = 1, ..., N-1, where  $\varepsilon_i$  satisfying  $-2^w \le \varepsilon_i \le 2^w$  is a random number. The correct signature  $(r, s_0)$  and N-1 faulty ones  $(r, s_i)$  for the same input message m can be represented as

$$\begin{cases} s_0 = k^{-1} (e + rd) \bmod n \\ s_i = (k^{-1} + \varepsilon_i) (e + rd) \bmod n (i = 1, ..., N - 1). \end{cases}$$
 (23)

## Step 2: recover the private key d by solving Approx-SVP

After reduction, equation (23) can be transformed as

$$\varepsilon_i = (e + rd)^{-1} (s_i - s_0) \bmod n.$$
(24)

Let  $A_i = s_i - s_0 \mod n$ ,  $D = (e + rd)^{-1} \mod n$ . There must exist  $h_i \in \mathbb{Z}$  for i = 1, ..., N-1 such that

$$\varepsilon_i = A_i D + h_i n, \tag{25}$$

where D is a fixed value due to the same input message m for all the signature queries.

It is clear that equation (25) is exactly equation (6). Then following the general strategy described in Section 3.2, D can be found in polynomial time in N and subsequently the private key d can be recovered by virtue of the equation

$$d = r^{-1}(D^{-1} - e) \mod n.$$

## A.3 Fault attacks with target d during the calculation of s to deterministic ECDSA

Suppose the adversary decides to inject fault to d before using it during the calculation of s. Then after getting a correct signature for a message m, the adversary can try to get N-1 valid faulty signatures with the same message m as input, and d as the target.

#### Step 1: inject fault to d during the calculation of s

When d is injected with a fault, it has  $d_i = d + \varepsilon_i$  for i = 1, ..., N - 1, where  $\varepsilon_i$  satisfying  $-2^w \le \varepsilon_i \le 2^w$  is a random number. The correct signature  $(r, s_0)$  and N - 1 faulty ones  $(r, s_i)$  for the same input message m can be represented as

$$\begin{cases}
s_0 = k^{-1} (e + rd) \mod n \\
s_i = k^{-1} (e + r(d + \varepsilon_i)) \mod n (i = 1, ..., N - 1).
\end{cases}$$
(26)

## Step 2: recover the private key d by solving Approx-SVP

After reduction, equation (26) can be transformed as

$$\varepsilon_i = (s_i - s_0) \, r^{-1} k \bmod n. \tag{27}$$

Let  $A_i = (s_i - s_0) r^{-1} \mod n$ ,  $D = k \mod n$ , there must exist  $h_i \in \mathbb{Z}$  for i = 1, ..., N-1 such that

$$\varepsilon_i = A_i D + h_i n, \tag{28}$$

where D is a fixed value due to the same input message m for all the signature queries.

It is clear that equation (28) is exactly equation (6). Then following the general strategy described in Section 3.2, D can be found in polynomial time in N and subsequently the private key d can be recovered by virtue of the equation

$$d = r^{-1} (Ds_0 - e) \bmod n.$$

## A.4 Fault attacks with targets e, rd and e + rd during the calculation of s to deterministic ECDSA

If the targets e, rd and e + rd targets are disturbed by fault injection, a same model of key recovery can be constructed. Therefore, for simplicity, we define mv as any one of the three targets, that is, mv could be e, rd or e + rd. Suppose the adversary decides to inject fault to mv before using it during the calculation of s. Then after getting a correct signature for a message m, the adversary can try to get N-1 valid faulty signatures with the same message m as input, and mv as the target.

## Step 1: inject fault to mv during the calculation of s

When mv is injected with a fault, it has  $mv_i = mv + \varepsilon_i$  for i = 1, ..., N-1, where  $\varepsilon_i$  satisfying  $-2^w \le \varepsilon_i \le 2^w$  is a random number. The correct signature  $(r, s_0)$  and N-1 faulty ones  $(r, s_i)$  for the same input message m can be represented as

$$\begin{cases} s_0 = k^{-1} (e + rd) \mod n \\ s_i = k^{-1} (e + rd + \varepsilon_i) \mod n (i = 1, ..., N - 1). \end{cases}$$
 (29)

## Step 2: recover the private key d by solving Approx-SVP

After reduction, equation (29) can be transformed as

$$\varepsilon_i = (s_i - s_0) k \bmod n. \tag{30}$$

Let  $A_i = (s_i - s_0) \mod n$ ,  $D = k \mod n$ , there must exist  $h_i \in \mathbb{Z}$  for i = 1, ..., N-1 such that

$$\varepsilon_i = A_i D + h_i n, \tag{31}$$

where D is a fixed value due to the same input message m for all the signature queries.

It is clear that equation (31) is exactly equation (6). Then following the general strategy described in Section 3.2, D can be found in polynomial time in N. Naturally, as mentioned above, the private key d can be recovered by virtue of D.

## A.5 Fault attacks with the targets during the calculation of k to deterministic ECDSA and EdDSA

As described in Section 4.2, if injecting a fault into "k before the calculation of kG" to obtain some valid  $k_i$ 's satisfying  $k_i = k + \varepsilon_i(-2^w \le \varepsilon_i \le 2^w, w < f - \log \sqrt{2\pi e})$ , then equation (7) can be constructed to recover the private key in deterministic ECDSA or EdDSA. Then, besides the target "k before the calculation of kG", we found some other fault targets during the calculation of k also can lead to valid  $k_i$ 's, including "registers before outputting hash value", "last modular additions before outputting hash value" and "hash value during the reduction of k".

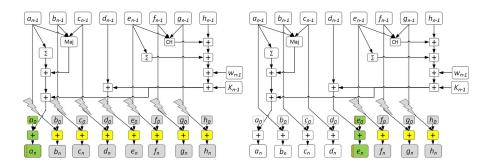
The follow will introduce the three targets and the fault injection models whose *final purpose* is to generate some valid faulty signatures satisfying  $k_i = k + \varepsilon_i (-2^w \le \varepsilon_i \le 2^w)$ , and  $w < f - \log \sqrt{2\pi e}$ .

Table 5. The tar	gets of	fault i	niection	during	the	calculation	of $k$ .
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target	algorithm	concrete location of fault injection				
registers before	deterministic ECDSA	(lower $w$ bits of $a_0, a_l$ ), $(b_0,, h_0)$				
		$(b_l,,h_l), (a_{l-1},,g_{l-1})$				
outputting hash values	EdDSA	(lower $w$ bits of $e_0$ , $e_l$ ), $(f_0, g_0, h_0)$				
		$(f_l, g_l, h_l), (e_{l-1}, f_{l-1}, g_{l-1})$				
modular additions before	deterministic ECDSA	all the modulo- $2^t$ additions				
outputting hash values	EdDSA	$2^t$ -modular additions in right half				
hash value during	deterministic ECDSA	the value of $F(d, e)$				
the reduction of $k$	EdDSA	the value of $H(d_1, m)$				

## A.5.1 Hash Function Generating k

Although different methods are employed for generating k in deterministic ECD-SA and EdDSA(for example, HMAC\_DRBG\_SHA256 F(d,e) is utilized in deterministic ECDSA and hash algorithm SHA512  $H(m,d_1)$  is utilized in EdDSA), they all belong to the family of SHA2 and have the similar modular additions before outputting the hash value to generate k. As shown in Fig.1 and Fig.2, after all the l-round calculations of Hash function, the modular additions(mod  $2^t$ , t is bit length of register) taking the registers  $(a_{l-1}, ..., g_{l-1}) \in [0, 2^t)$  and  $(a_0, ..., h_0) \in [0, 2^t)$  as inputs are calculated and their results are assigned to the registers  $(a_l, ..., h_l) \in [0, 2^t)$  as the hash values. Hence, once a fault is injected into these registers, the calculation of addition or the hash values during the following reduction, k will be affected by the faulty values.



**Fig. 1.** Fault targets in the hash function **Fig. 2.** Fault targets in the hash function of determinsic ECDSA of EdDSA

Table 5 gives an overview of all the fault targets before outputting the hash values and during the reduction of k.

## A.5.2 Fault Attacks with Target: Registers before Outputting Hash Value

#### Attacks on deterministic ECDSA

In HMAC\_DRBG\_SHA256 of deterministic ECDSA, the final output registers  $(a_l, ..., h_l)$  can be reduced into a big number  $k = T2^{8t} + a_l2^{7t} + ... + g_l2^t + h_l \mod n$ , where T is the concatenation of the previous u times HMAC values(i.e.,  $T = HMAC_0||HAMC_1||...||HAMC_u)$  which is equal to 0 in 256-bit deterministic ECDSA, and t is the bit length of register.

As shown in Fig.1, assuming that all or arbitrary one of the registers  $(a_0, ..., h_0)$ ,  $(a_{l-1}, ..., g_{l-1})$  before the last additions and  $(a_l, ..., h_l)$  before outputting the hash value are injected with a fault, the consequent k can be represented as  $k_i = T2^{8t} + (a_l2^{7t} + b_l2^{6t} + ... + g_l2^t + h_l + \varepsilon_i) \mod n$  for i = 1, ..., N-1, with a random faulty value  $\varepsilon_i$  satisfying  $-2^w \le \varepsilon_i \le 2^w$  and  $w < 8t - \log \sqrt{2\pi e} \le f - \log \sqrt{2\pi e}$  (8t = f for 256-bit deterministic ECDSA). That is,  $k_i$  which is derived from the faulty hash value and is to participate in the next calculation of kG, is equals to  $k + \varepsilon_i \mod n$ .

Similar to the key recovery with target "k before the calculation of kG", equation (7) can be constructed. Then following the general strategy described in Section 3.2, the private key d can be recovered by solving the instance of Approx-CVP in lattice.

Note that in 256-bit deterministic ECDSA, to make sure  $w < 8t - \log \sqrt{2\pi e}(8t = f)$ , the register  $h_{l-1}$  can not be viewed as target, and as listed in Table 5, at least  $\log \sqrt{2\pi e}$  high significant bits of the registers  $a_0$  and  $a_l$  can not be disturbed when a fault is injected into them. Except this, all the fault injection against the other registers are arbitrary and uncontrolled.

#### Attacks on EdDSA

In the hash algorithm SHA512  $H(m, d_1)$  of EdDSA, the final output 512-bit registers  $(a_l, ..., h_l)$  as the hash value must be reduced into the nonce  $k = a_l 2^{7t} + ... + g_l 2^t + h_l \mod n$ , where t is the bit length of register and is equals to 64 in SHA512. For 256-bit EdDSA, the modular reduction will reduce the 512-bit hash value into a 256-bit nonce k. Hence, in order to obtain valid faulty signatures, fault injection here will just take the four registers in the right half as the targets.

As shown in Fig.2, when all or arbitrary one of the registers  $(e_0,...,h_0)$ ,  $(e_{l-1},...,g_{l-1})$  before the last additions and  $(e_l,...,h_l)$  before outputting hash value is injected with a fault, the consequent k can be represented as  $k_i = a_l 2^{7t} + ... + d_l 2^{4t} + (e_l 2^{3t} + ... + h_l + \varepsilon_i) \mod n$  for i = 1,...,N-1, with a random faulty value  $\varepsilon_i$  satisfying  $-2^w \le \varepsilon_i \le 2^w$  and  $w < 4t - \log \sqrt{2\pi}e \le f - \log \sqrt{2\pi}e$  (4t = f for 256-bit EdDSA). That is,  $k_i = k + \varepsilon_i \mod n$ . Similar to the key recovery with target "k before the calculation of kG", equation (7) can be constructed. Then following the general strategy described in Section 3.2, the private key d can be recovered by solving the instance of Approx-CVP in lattice.

Note that in 256-bit EdDSA, to make sure  $w < 4t - \log \sqrt{2\pi e}(4t = f)$ , only the registers in the right half are viewed as targets, and as listed in Table 5, at least  $\log \sqrt{2\pi e}$  high significant bits of the registers  $e_0$  and  $e_l$  can not be

disturbed when a fault is injected into them. Except this, the fault injection to the remaining three registers is arbitrary and uncontrolled. In addition, if the registers in the left half are disturbed, then  $k_i = k + \varepsilon_i 2^{4t} \mod n$ . Similarly, we also can construct a similar Approx-CVP in lattice to recover the private key.

# A.5.3 Fault Attacks with Target: Last Modular Additions before Outputting Hash Value

As described in Sections A.5.1 and A.5.2, if the last  $2^t$ -modular additions as targets of fault injection are injected by a fault to lead to the final hash values  $a_l, ..., h_l$  faulty, then the nonce k reduced by the hash value has k bits disturbed, by which equation (7) can be constructed to recover the private key k.

For 256-bit deterministic ECDSA, as shown in Fig.1, all or arbitrary one of the last  $2^t$ -modular additions can be injected with a fault. Moreover, it is noted that fault injection against the left first addition must make at least  $\log \sqrt{2\pi e}$  high significant bits of  $a_l$  undisturbed.

Similarly, for 256-bit EdDSA, as shown in Fig.2, all or arbitrary one of the last modulo- $2^t$  additions in the right half can be injected with a fault. Moreover, fault injection against the first addition in the right half must make at least  $\log \sqrt{2\pi e}$  high significant bits of  $e_l$  undisturbed. In addition, similarly, if the additions in the left half are disturbed, we also can construct a similar Approx-CVP in lattice.

## A.5.4 Fault Attacks with Target: Hash Value during the Reduction of k

After calculating the last modular additions in the hash function, the final registers are combined into a big number E(E=F(d,e)) in deterministic ECDSA or  $E=H(d_1,m)$  in EdDSA), and E is needed to be reduced into nonce k, That is,  $k=E \mod n$ . Assuming that a fault is injected into E during the reduction, the reduction  $k=E \mod n$  is changed into  $k=E+\varepsilon_i \mod n$ . Hence, as long as  $\varepsilon_i$  satisfying  $-2^w \le \varepsilon_i \le 2^w$  is a random number and  $w < f - \log \sqrt{2\pi e}$ , equation (7) can be constructed. Thereby, the private key can be recovered by solving an instance of Approx-CVP in lattice.

To sum up, the three targets during the calculation of k for fault attacks are equivalent to the *representative* target "k before the calculation of kG", and thereby equation (7) can be constructed to recover the private key d in deterministic ECDSA and  $d_0$  in EdDSA.

## A.6 Fault attacks with targets during the calculation of e to deterministic ECDSA

As introduced in Appendix A.4, if injecting a fault into e during the calculation of s to obtain some valid  $e_i$ 's satisfying  $e_i = e + \varepsilon_i (-2^w < \varepsilon_i < 2^w, w < f - \log \sqrt{2\pi e})$ , then equation (6) can be constructed to recover the private key in deterministic ECDSA.

Similarly, besides directly injecting fault into the target "e during the calculation of s", there still exist two other fault targets during the calculation of e which can lead to the faulty  $e_i$ 's valid for key recovery, including "registers before outputting the hash values H(m)" and "last modular additions before outputting the hash values H(m)". The models of fault injection with these two targets are similar to the ones introduced in Appendix A.5.2 and A.5.3, and thereby equation (6) which is similar to the one with target "e during the calculation of s", can be constructed to recover the private key in deterministic ECDSA.

### A.7 Fault attacks with targets during the calculation of r to EdDSA

As introduced in Section 4.1.2, if injecting a fault into r during the calculation of s to obtain some valid  $r_i$ 's satisfying  $r_i = r + \varepsilon_i (-2^w \le \varepsilon_i \le 2^w, w < f - \log \sqrt{2\pi e})$ , equation (6) can be constructed to recover the private key in EdDSA.

Similarly, besides directly injecting fault into the target "r during the calculation of s", there still exist another two fault targets during the calculation of r can lead to the faulty  $r_i$ 's valid for key recovery, including "registers before outputting hash value H(R, P, m)", "last modular additions before outputting hash value H(R, P, m)" and "hash value H(R, P, m) during the reduction of r". The models of fault injection with these three targets are similar to the ones in Appendix A.5.2, A.5.3 and A.5.4, and thereby equation (6) which is similar to the one with target "e during the calculation of s", can be constructed to recover the private key in EdDSA.