# Improved Differential and Linear Trail Bounds for ASCON

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**Abstract.** ASCON is a family of cryptographic primitives for authenticated encryption and hashing introduced in 2015. It is selected as one of the ten finalists in the NIST Lightweight Cryptography competition. Since its introduction, ASCON has been extensively cryptanalyzed, and the results of these analyses can indicate the good resistance of this family of cryptographic primitives against known attacks, like differential and linear cryptanalysis.

Proving upper bounds for the differential probability of differential trails and for the squared correlation of linear trails is a standard requirement to evaluate the security of cryptographic primitives. It can be done analytically for some primitives like AES. For other primitives, computer assistance is required to prove strong upper bounds for differential and linear trails. Computer-aided tools can be classified into two categories: tools based on general-purpose solvers and dedicated tools. General-purpose solvers such as SAT and MILP are widely used to prove these bounds, however they seem to have lower capabilities and thus yield less powerful bounds compared to dedicated tools.

In this work, we present a dedicated tool for trail search in ASCON. We arrange 21 2-round trails in a tree and traverse this tree in an efficient way using a number of new techniques we introduce. Then we extend these trails to more rounds, where we 23 also use the tree traversal technique to do it efficiently. This allows us to scan much 24 larger spaces of trails faster than the previous methods using general-purpose solvers. 25 As a result, we prove tight bounds for 3-rounds linear trails, and for both differential 26 and linear trails, we improve the existing upper bounds for other number of rounds. In particular, for the first time, we prove bounds beyond  $2^{-128}$  for 6 rounds and 28 beyond  $2^{-256}$  for 12 rounds of both differential and linear trails. 29

Keywords: Differential Trail Search · Linear Trail Search · Trail Weight Bounds ·
 ASCON

# <sup>32</sup> 1 Introduction

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ASCON is a family of cryptographic algorithms for authenticated encryption (AE) and 33 hashing [DEMS21a]. It is currently one of the ten finalists in the NIST lightweight 34 cryptography (LWC) competition for lightweight AE  $[TMC^{+}21]$  and was selected in the 35 final portfolio of the CAESAR competition [com14] as primary choice for lightweight 36 AE [DEMS16]. The AE schemes are based on the duplex construction [BDPV11a], while 37 the hashing functions are based on the sponge construction [BDPV07, BDPV08]. All family 38 members are based on the ASCON permutation, which is also used in ISAP [DEM<sup>+20</sup>], 39 another finalist in the NIST LWC competition. 40

The ASCON permutation has been extensively cryptanalyzed since its introduction, giving confidence on the security of the schemes based on it. However a thorough effort to prove bounds on the differential probability (DP) and squared correlation ( $C^2$ ) of its trails was conducted only recently [GPT21, EME22, MR22]. Before that, only bounds
for 3-round trails were proved in [DEMS15] and for more rounds, the authors performed
heuristic searches showing small DP and small C<sup>2</sup>.

Proving bounds for trails is an important task in the evaluation of the security of a 47 permutation. The cost of a differential attack based on a given trail is inversely proportional 48 to its DP. Similarly, the cost of a linear attack is inversely proportional to the  $C^2$ . Therefore, 49 the smaller the  $\overrightarrow{\mathrm{DP}}$  or  $\mathbf{C}^2$  is, the higher the cost of the attack is. Bounds on the  $\overrightarrow{\mathrm{DP}}$  or 50  $C^2$  of trails are usually proven by bounding the number of active S-boxes of the trails 51 or its weight. Roughly speaking, the weight w of a differential trail relates to its DP as 52  $DP \approx 2^{-w}$ . Similarly, the weight of a linear trail relates to its  $C^2 \approx 2^{-w}$ . Therefore, 53 the higher the number of active S-boxes or the higher the weight is, the more costly the 54 attack is. 55

For some primitives, bounds can be proved analytically. An example is the AES with 56 its simple proof that a 4-round differential trail has weight at least 150 [DR20]. For other 57 primitives they are obtained by computer-aided proofs. In this case, a program scans the 58 space of all r-round trails satisfying a given requirement. The requirement is usually that 59 the number of active S-boxes in the trail is below a given threshold, or that the weight of 60 the trail is below a given threshold. Large state size and weak alignment contribute in 61 making the search space very large and thus the cost of scanning it very costly. It follows 62 that the bounds that one can prove are limited by the capability of the tool for scanning 63 such spaces. 64

Automated tools that are often used to prove bounds on the number of active S-boxes 65 are based on general-purpose solvers like Boolean satisfiability (SAT) [MP13, EME22], 66 (mixed) integer linear programming ((M)ILP) [SHW+14, BPP+17, BJK+16, WH19] or 67 Satisfiability Modulo Theories (SMT) [DEMS15]. Dedicated tools were used to prove 68 lower bounds on the weight of trails in NOEKEON [DPAR00], KECCAK-p [DV12, MDV17], 69 X00D00 [DHVV18b], and SUBTERRANEAN [MMGD22]. Such dedicated programs allow 70 to better exploit the structural properties of the primitive and usually allow to scan 71 larger spaces, leading to better results than tools based on general-purpose solvers. Before 72 2022, the best result obtained with tools based on general-purpose solvers that we are 73 aware of is the work of Mouha and Preneel, who used a SAT-based method to scan 74 the space of all 3-round characteristics up to weight 26 in the ARX primitive Salsa20, 75 which implies a weight per round below 9 [MP13]. The dedicated search for Noekeon 76 in [DPAR00] and KECCAK-f[1600] in [DV12] both reached a weight per round of 12, while 77 the improvements of [MDV17] allowed to reach a weight per round of 15. The dedicated 78 search on SUBTERRANEAN reached a weight per round beyond 14 [MMGD22]. In the last 79 months, better results have been achieved with both solvers-based tools and dedicated 80 tools. In [EME22] Erlacher et al. reached a weight per round of 17 with their SAT-based 81 method to scan the space of trails in ASCON. While the most recent improvements to the 82 dedicated tool for XOODOO allowed to reach a weight of 21 per round [DMA22]. 83

Inspired by the previous works on dedicated tools and their results compared to automated tools based on general-purpose solvers, in this work we introduce a dedicated tool for ASCON. We present a number of techniques that deeply exploit the properties of the linear and non-linear layer of ASCON to generate trails very efficiently. Such techniques allow us to scan larger spaces of trails at a smaller computational cost compared to previous work, that results in improved bounds. In particular, we reach a weight per round of 21.

**Related work.** Exact values for the DP and C<sup>2</sup> of trails over 1 and 2 rounds of ASCON can be derived by the fact that the S-box has maximum DP of  $2^{-2}$  and maximum C<sup>2</sup> of  $2^{-2}$ , and that the linear layer has branch number  $\mathcal{B} = 4$ . For more rounds, lower bounds were proven in [DEMS15] and [EME22]. Both works are based on SAT solvers and prove bounds on the number of active S-boxes. Directly bounding the probability would require 96

a more expensive model for the SAT solver compared to bounding the number of active 95

S-boxes, which already requires a major computational effort. In [DEMS15], Dobraunig et al. presented an SMT model and used it to prove that a 97 3-round differential trail has a minimum of 15 active S-boxes and a 3-round linear trail 98 has a minimum of 13 active S-boxes. These bounds automatically give bounds of  $2^{-30}$ 99 and  $2^{-26}$  for the DP and the C<sup>2</sup> of 3-round trails, respectively. Bounds for more rounds 100 were proven later in [EME22], where Erlacher et al. presented a SAT model and used it to prove bounds on the number of active S-boxes for 4 and 6 rounds, from which they derived 102 bounds for 8 and 12 rounds. In addition, by using these results and the bound on 1 round, 103 we can derive bounds for 5, 7, 9, 10, and 11 rounds. We summarize such bounds in the 104 second column of Table 1. 105

To overcome the computational limitation of SAT solvers, the authors of [EME22] aim 106 at reducing the search space as much as possible and split it in sub-spaces that can be 107 scanned in parallel. To this end, they introduced a number of techniques similar to those 108 usually used in dedicated tools, like starting from shorter trails with minimum number of 109 active S-boxes, building long trails from short trails in an incremental way, and taking advantage of the translation symmetry of the primitive [DV12].

A significant effort has been also performed to find trails with the highest DP or  $C^2$ . Such searches are based on heuristic tools and provide upper bounds. In [DEMS15], the 113 authors used a dedicated guess-and-determine tool (nldtool) to find differential trails up 114 to 5 rounds, while a heuristic tool (lineartrails) to find linear trails for 4 and 5 rounds was introduced in [DEM15]. In [GPT21], the authors used constrained programming (CP) 116 to find best differential trails for 5 and 6 rounds. The authors in [MR22] presented an MILP-based approach that allowed them to find a new 5-round linear trail with best 118 known  $C^2$  and proved tight bound for differential trails over 3 rounds. We report the best 119 known trails found by these tools in the first column of Table 1. 120

In dedicated tools, bounds on the weight of trails are derived, instead of evaluating the number of active S-boxes. The first dedicated tool for trail search was introduced as early as 2000 for NOEKEON [DPAR00]. It was later improved and refined in [DV12] and [MDV17] for KECCAK-p and then adapted to XOODOO in [DHVV18b] and SUB-124 TERRANEAN in [MMGD22]. In each of these works, the authors presented a number of 125 techniques specific for the permutation under analysis that deeply exploit the structure 126 of its linear and non-linear layers. However, the approach underlying these works is the same and is generic, so it can in principle be applied to other ciphers. In a few words, 128 the goal of such approach is to reduce as much as possible the search space and define 129 methods to scan it efficiently. To this end, trails are split into classes where the weight 130 of trails in the same class can be easily bounded by generating only one representative trail per class, called *trail core*. By exploiting the symmetry properties of the permutation, 132 trail cores can be further split into classes where each trail core in a class is the translated version of another trail in the class and trail cores in the same class have the same weight. 134 Therefore, only one representative is generated, that is called *canonical* (or *necklace* to use 135 the terminology of [EME22]). Trail cores over multiple rounds are built by first generating 136 the shortest possible trail cores, that are those over 2 rounds, and by extending them one round at the time each time checking if the weight is below the expected limit. In [MDV17] 138 a generic method is introduced to generate such 2-round trail cores efficiently as a tree 139 search. 140

**Our contribution.** In this work we present a dedicated tool for trail search in ASCON, 141 based on the tree-based approach introduced in [MDV17]. To obtain an efficient instantia-142 tion of the tree-based approach, we introduce a number of techniques that deeply exploit 143 the structure of the linear and non-linear layers in ASCON. We also introduce methods 144 to efficiently extend trails over multiple rounds. We implemented such techniques in a 145

Table 1: Previous and new bounds for the differential probability (DP) of differential trails and squared correlation (C<sup>2</sup>) of linear trails in ASCON. R denotes the number of rounds; min #S denotes the minimum number of active S-boxes.

R		best know	n probability	р	previous lower bound			
п	DP	method	reference	DP	method	reference	DP	
1	$2^{-2}$	DDT		$2^{-2}$	DDT			
2	$2^{-8}$	$^{\rm DDT+{\cal B}}$		$2^{-8}$	$^{\mathrm{DDT}+\mathcal{B}}$			
3	$2^{-40}$	nldtool	[DEMS15]	$2^{-40}$	MILP	[MR22]		
4	$2^{-107}$	nldtool	[DEMS15]	$\leq 2^{-72}$	$SAT+min \ #S$	[EME22]	$\leq 2^{-86}$	
5	$2^{-190}$	CP	[DEMS15, GPT21]	$\leq 2^{-74}$	combine $1R+4R$		$\leq 2^{-100}$	
6	$2^{-305}$	CP	[GPT21]	$\leq 2^{-108}$	SAT+min #S	[EME22]	$\leq 2^{-129}$	
7				$\leq 2^{-110}$	combine $1R+6R$		$\leq 2^{-131}$	
8				$\leq 2^{-144}$	SAT+min #S	[EME22]	$\leq 2^{-172}$	
9				$\leq 2^{-146}$	combine $1R+8R$		$\leq 2^{-186}$	
10				$\leq 2^{-180}$	combine $4R+6R$		$\leq 2^{-215}$	
11				$\leq 2^{-182}$	combine 1R+10R		$\leq 2^{-229}$	
12				$\leq 2^{-216}$	SAT+min #S	[EME22]	$\leq 2^{-258}$	

(a) Differential trails

(b) Linear trails

P	best l	nown squared o	correlation		previous lower bound		
п	$\mathbf{C}^2$	method	reference	$C^2$	method	reference	$C^2$
1	$2^{-2}$	LAT		$2^{-2}$	DDT		
2	$2^{-8}$	$LAT + \mathcal{B}$		$2^{-8}$	$^{\mathrm{DDT}+\mathcal{B}}$		
3	$2^{-28}$	lineartrails	[DEM15]	$\leq 2^{-26}$	$SMT+min \ \#S$	[DEMS15]	$2^{-28}$
4	$2^{-98}$	lineartrails	[DEM15]	$\leq 2^{-72}$	SAT+min #S	[EME22]	$\leq 2^{-88}$
5	$2^{-184}$	MILP	[MR22]	$\leq 2^{-74}$	combine $1R+4R$		$\leq 2^{-96}$
6				$\leq 2^{-108}$	SAT+min #S	[EME22]	$\leq 2^{-132}$
7				$\leq 2^{-110}$	combine $1R+6R$		$\leq 2^{-134}$
8				$\leq 2^{-144}$	SAT+min #S	[EME22]	$\leq 2^{-176}$
9				$\leq 2^{-146}$	combine $1R+8R$		$\leq 2^{-184}$
10				$\leq 2^{-180}$	combine $4R+6R$		$\leq 2^{-220}$
11				$\leq 2^{-182}$	combine $1R+10R$		$\leq 2^{-228}$
12				$\leq 2^{-216}$	SAT+min #S	[EME22]	$\leq 2^{-264}$

dedicated tool, called AsconTrailTool, that we used to prove bounds for differential and 146 linear trails for different number of rounds. Though a comparison of the computational 147 costs of our method and the method of [EME22] is not straightforward, due to the different 148 machines employed in the two works, our techniques allowed us to scan a larger space at a 149 lower cost. The most direct consequence is that we can improve over known bounds. We 150 report our improved bounds in the third column of Table 1. Notably, for linear trails, we 151 prove tight bound for 3 rounds, closing the gap between the lower bound and the best known trail. For 4 rounds, we can prove the bound of  $2^{-86}$  for differential trails in 13 CPU days, and of  $2^{-88}$  for linear trails in 110 CPU days. Our method is more efficient in 154 comparison to the previous methods where the cost estimation for proving the bound of 155  $2^{-80}$  is 6688 CPU days in [EME22] and 3898 CPU days in [MR22]. 156

Given the aforementioned 4-round trails, proving bounds for 6 rounds required us 6 additional CPU days to prove the bound of  $2^{-129}$  for differential trails and 21 additional CPU days to prove the bound of  $2^{-132}$  for linear trails. Our method performs better than the one in [EME22] where the authors indicated that it required 2 additional CPU months to prove the bound of  $2^{-108}$ . For 12 rounds, we can prove for the first time bounds beyond  $2^{-256}$ . We also prove better bounds for other numbers of rounds, which can be useful information for designers when they have to choose the number of rounds to use in the different phases of a given construction.

**Organization of the paper.** In Section 2 we first recall some concepts about trails and 165 trail cores, then we recall the strategy used in previous dedicated tools to prove trail 166 bounds and the generic tree-based method. Then, in Section 3 we present the specification 167 of ASCON round function and propagation properties through it. In Section 4, we introduce 168 the tree-based method applied to ASCON to generate 2-round trail cores and provide new 169 techniques to traverse the tree in a more efficient way. After that, we explain how we 170 efficiently perform trail core extension using the techniques introduced in Section 5. Finally, we present our practical results and improved bounds in Section 6 and in Section 7 we provide some final remarks. 173

# <sup>174</sup> 2 Trails and trail search strategy

In this section we first recall some concepts related to differential and linear cryptanalysis.
 Then we explain the general strategy for performing trail search using the tree-based approach.

#### 178 2.1 Trails and trail cores

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We start by defining differential trails and trail cores over iterative cryptographic primitives.
 Then, we do the same for linear trails and we introduce a unified notation for both cases.

#### 181 2.1.1 Differentials and differential trails

Let  $x_1$  and  $x_2$  be two inputs to a transformation  $\alpha$  over  $\mathbb{F}_2^n$ , and  $y_1 = \alpha(x_1)$  and  $y_2 = \alpha(x_2)$ be their corresponding outputs. We say  $b = x_1 \oplus x_2$  is an input difference of  $\alpha$  and  $a = y_1 \oplus y_2$  is an output difference and we call the pair (b, a) a *differential* over  $\alpha$ . The difference probability (DP) of a differential (b, a) is defined as

$$\mathrm{DP}(b,a) = \frac{\left| \left\{ x \in \mathbb{F}_2^n \mid \alpha(x-b) - \alpha(x) = a \right\} \right|}{2^n} \,.$$

<sup>187</sup> When DP(b, a) > 0, we say that a is compatible with b through  $\alpha$ . The restriction weight <sup>188</sup> of a differential, denoted by w<sub>r</sub>, is defined as

$$\mathbf{w}_{\mathbf{r}}(b,a) = -\log_2 \mathrm{DP}(b,a)$$

Let  $\alpha$  be an iterative mapping, that consists of the repetition of a number of rounds  $p_i$ :  $\alpha = p_r \circ \cdots \circ p_2 \circ p_1$ . A differential over  $p_i$  is called a *round differential*. An *r*-round *differential trail* over  $\alpha$  is a sequence of *r* round differentials.

Let the round function be defined as the composition of a linear layer  $p_L$  and a nonlinear layer  $p_S$ . We use a redundant representation of trails where we specify the difference after each layer:

$$Q = a^0 \xrightarrow{p_L} b^0 \xrightarrow{p_S} a^1 \xrightarrow{p_L} b^1 \xrightarrow{p_S} a^2 \xrightarrow{p_L} \cdots \xrightarrow{p_S} a^r.$$

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The restriction weight of a trail is the sum of the weight of its round differentials:  $w_r(Q) = \sum_{i=1}^r w_r(a^{i-1} \xrightarrow{p_i} a^i)$ . Since  $p_L$  is linear, the weight of a trail only depends on the weight over the non-linear layers:  $w_r(Q) = \sum_{i=1}^r w_r(b^{i-1} \xrightarrow{p_S} a^i)$ . If the non-linear layer  $p_S$  has algebraic degree 2 (as in ASCON), the weight of a differential over  $p_S$  only depends on its input difference b [Dae95]. Hence, the weight of the trail is given by  $w_r(Q) = \sum_{i=1}^r w_r(b^{i-1})$ . Since the weight of an r-round trail Q is independent of the first and last differences of the trail, the sequence of differences  $(b^0, a^1, \dots, a^{r-1}, b^{r-1})$  – which is Q with the first

and last differences removed – defines a set of *r*-round trails with the same weight  $w_r(Q)$ . On the other hand, for a given  $a^1$  there exist several differences  $b^0$  that are compatible with  $a^1$  through  $p_S^{-1}$ . The minimum weight over all these compatible states  $b^0$  is called the minimum reverse weight of  $a^1$  and it is denoted by  $w_{rev}(a^1)$  [DV12]. It follows that the sequence  $\tilde{Q} = (a^1, \ldots, a^{r-1}, b^{r-1})$  defines a set of *r*-round trails with weight at least  $w_{rev}(a^1) + \sum_{i=2}^r w_r(b^{i-1})$ .  $\tilde{Q}$  is called *r*-round differential trail core [DV12].

#### 210 2.1.2 Correlation and linear trails

Let  $\alpha$  be a transformation over  $\mathbb{F}_2^n$ . A linear approximation over  $\alpha$  consists of a pair (a, b) of selection vectors over  $\mathbb{F}_2^n$ , called *input mask* and *output mask*, respectively. The *correlation* C of a linear approximation (a, b) is the correlation between the Boolean functions  $a^T \cdot x$ and  $b^T \cdot \alpha(x)$ :

<sup>215</sup> 
$$C(a,b) = \frac{|\{x \in \mathbb{F}_2^n \mid a^{\mathsf{T}}x + b^{\mathsf{T}}\alpha(x) = 0|}{2^{n-1}} - 1.$$

The correlation weight is denoted by  $w_c(a, b)$  and is defined as

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$$W_{c}(a,b) = -\log_{2} C^{2}(a,b).$$

Similar to a differential trail, an *r*-round linear trail is defined as a sequence of linear masks. As in [BDPV11b, DHVV18b] we study linear propagation from the output to the input. To this end, we rephase the round function so that the trail first encounters  $p_L$  and then  $p_S$  of each round (as in the differential case). Notice that such rephasing does not affect the trail analysis.

A linear trail is represented as

$$Q = a^0 \xrightarrow{p_L^{\mathsf{T}}} b^0 \xrightarrow{p_S^{-1}} a^1 \xrightarrow{p_L^{\mathsf{T}}} b^1 \xrightarrow{p_S^{-1}} a^2 \xrightarrow{p_L^{\mathsf{T}}} \cdots \xrightarrow{p_S^{-1}} a^r \,.$$

where  $a_0$  is the output mask (after the last round) and  $a_r$  is the input mask (before the first round). A mask  $a_i$  at the output of  $p_L$  maps to a mask  $b_i = p_L^{\mathsf{T}}(a_i)$  before  $p_L$ . If the linear mapping  $p_L$  is seen as the multiplication by a matrix M, then  $p_L^{\mathsf{T}}$  denotes the linear mapping obtained by the multiplication by  $M^{\mathsf{T}}$ . To denote the propagation from the output of  $p_S$  to its input, we use  $p_S^{-1}$ .

The correlation weight of a linear trail is the sum of the correlation weights of the round linear approximations composing the trail. Given that  $p_L^{\mathsf{T}}$  is linear and that, when  $p_S$  has algebraic degree 2, the correlation weight depends only on the value of the output mask [Dae95], the weight of a linear trail is given by  $w_c(Q) = \sum_{i=1}^{r} w_c(b^{i-1})$ 

Similar to the differential case, an *r*-round linear trail core [DHVV18b] is a sequence  $\tilde{Q} = (a^1, \dots, a^{r-1}, b^{r-1})$  that defines a set of *r*-round linear trails with weight at least  $w_{\text{rev}}(a^1) + \sum_{i=2}^r w_r(b^{i-1}).$ 

#### 237 2.1.3 Unified representation of trail cores

As done in [BDPV11b] with KECCAK-*p* and in [DHVV18b] with XOODOO, we use a unified representation of trails and trail cores. In fact, also in the case of ASCON, there are strong similarities in the study of propagation of differential and linear trails. For differential
trails we consider the propagation of differences from input to output and for linear trails
we consider the propagation of masks from output to input. A trail core is specified by:

$$\tilde{Q} = a^1 \xrightarrow{p_L^*} b^1 \xrightarrow{p_S^*} a^2 \xrightarrow{p_L^*} b^2 \xrightarrow{p_S^*} a^3 \xrightarrow{p_L^*} \cdots \xrightarrow{p_S^*} b^{r-1}.$$

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•  $p_L^* = p_L$ , and  $p_S^* = p_S$  for differential trails, and

•  $p_L^* = p_L^{\mathsf{T}}$ , and  $p_S^* = p_S^{-1}$  for linear trails.

We refer to differences and masks as *state patterns*, or only *states* or *patterns*, when we generally talk about trails. A pattern  $a_i$  represents a difference at the output of  $p_S$  in a differential trail and a mask at the input of  $p_S$  in a linear trail. A pattern  $b_i$  represents a difference at the input of  $p_S$  in a differential trail and a mask at the output of  $p_S$  in a linear trail. We use the term weight, denoted by w, when we generically refer to w<sub>r</sub> and  $w_c$ .

### 253 2.2 Strategy of the trail search

In our trail search, we aim to scan the space of all r-round trails with weight below a certain 254 threshold  $T_r$ , where r is usually a small number like 3,4, or 6. A naive way to generate them 255 would be to generate all 1-round trails (i.e. round differentials and linear approximations) 256 with weight below  $|T_r/r|$  and then extend them to r rounds. The value of  $T_r$  that can be 257 achieved is limited by the quantity of such 1-round trails, which grows exponentially with 258 the weight, and the cost of extending them. The number of 1-round trails can be reduced 259 when symmetry properties are taken into account. For instance, in XOODOO it can be 260 reduced roughly by a factor 128 thanks to the fact that both the linear and non-linear 261 layers are invariant with respect to translations parallel to the planes [DHVV18b]. While 262 in KECCAK-f[1600] it can be reduced by a factor 64 thanks to the translation invariance 263 along the lanes [MDV17]. Even with such reductions, it is shown that this number still 264 grows exponentially with the weight [MDV17, DHVV18b]. 265

However, as demonstrated in [MDV17, DHVV18b], the number of trails with a given weight per round decreases with the number of rounds. That is, the number of 2-round trails with weight below  $\lfloor 2T_r/r \rfloor$  is smaller than the number of 1-round trails with weight below  $\lfloor T_r/r \rfloor$ . Therefore, a more convenient approach for KECCAK-*p* like primitives consists in starting from 2-round trails and extend them. This allows to achieve much higher values of  $T_r$  for the same number of rounds *r*.

Actually, to prove bounds, it is not necessary to generate all r-round trails. We can limit ourselves to r-round trail cores, since the weight of a trail core lower bounds the weight of all trails in it. Therefore, we can start from 2-round trail cores and extend them. 274 This strategy was used for KECCAK [DV12, MDV17], XOODOO [DHVV18b], and 275 SUBTERRANEAN [MMGD22] and we will use it also in this work. In fact, also in the case 276 of ASCON, starting from 2-round trail cores instead of 1-round trails significantly reduces the number of patterns to extend. The symmetry properties of ASCON allows us to reduce 278 the number of 1-round trails and the number of 2-round trail cores with weight per round 279 (w/#r). In particular, the linear and non-linear layers of ASCON are invariant with respect 280 to translation along the horizontal axis and it allows to reduce them by a factor 64. In 281 Fig. 1, we depict these reduced numbers with weight per round (w/#r). 282

#### 283 2.2.1 Generating 2-round trail cores as a tree search

<sup>284</sup> A method to generate all 2-round trail cores with weight below a given threshold  $T_2$ <sup>285</sup> was introduced in [DPAR00], applied to KECCAK-*p* in [DV12], and improved and refined



Figure 1: Number of 1-round trails and 2-round trail cores with weight per round (w/#r), divided by 64.

in [MDV17]. Later, similar method was applied to XOODOO in [DHVV18b] and also SUBTERRANEAN in [MMGD22].

We now recall the main idea at the basis of the refined method of [MDV17], which consists in seeing all 2-round trail cores as nodes of a tree that is properly traversed to get only those nodes with weight below  $T_2$ . In Section 4, we will explain how to instantiate it for ASCON to perform an efficient search.

A 2-round trail core is a pair (a, b) with weight  $w_{rev}(a) + w(b)$ . To build them we have two choices: either we build a and then compute  $b = p_L^*(a)$  or we build b and we compute  $a = p_L^{*^{-1}}$ . Each node of the tree is encoded as an ordered list of *units*, called *unit-list*. A unit is a set of *active* bits at a (if we are building a) or at b (if we are building b), where a bit is called active if it equals one, otherwise it is called *passive*. For instance, in KECCAK-p and XOODOO a type of unit is the *orbital*, which is a pair of active bits in the same column at a [MDV17, DHVV18b], while in SUBTERRANEAN a unit is a single active bit at a [MMGD22].

The choice of building first a or b, the definition of units and their order relation influence the efficiency of the 2-round trail core generation. Therefore, it requires a good understanding of the linear and non-linear layers of the round function and their propagation properties.

Traversing the tree. The tree traversal is performed in a depth-first fashion, where a program iteratively calls the function next() (Algorithm 1) to generate the next valid node, as in [MMGD22]. The traversal starts by calling next() on an empty unit-list, and ends when it results again in the empty unit list.

The function next() traverses the tree with three possible moves: toFirstChild(), 308 toSibling() and toParent(). If the node is an empty unit-list, then it adds the smallest 309 possible unit. The function toFirstChild() returns false if adding a new unit is not possible. Otherwise it returns true. Then additional conditions are checked to see if we can 311 prune the tree. If the toFirstChild() function returns false or the additional conditions 312 are not satisfied, the routine will look for the next valid node in the tree by generating a 313 sibling for the current node using the function toSibling(). The function toSibling() 314 iterates the value of the last unit of the unit-list. If a sibling is found then the additional 315 conditions are checked. If there are no valid siblings, the algorithm calls the function 316 toParent() to remove the last unit from the unit-list and look for a valid sibling of the 317 parent node in a recursive way. 318

Algorithm 1 next() function [MMGD22]
if (toFirstChild() == true) then
if (additional conditions are satisfied) then
return true;
do
while $(toSibling() == true) do$
if (additional conditions are satisfied) then
return true;
while $(toParent() == true)$
return false;

Pruning the tree. To efficiently traverse the tree, at each move we check whether the node satisfies some additional conditions or not. To this end, we make use of two tools: *canonicity* and *score*, whose definition fully depends on the specification of the linear and non-linear layers.

• Canonicity: Without considering round constant and key addition, the round function of many cryptographic primitives exhibits translation symmetry. This symmetry allows to divide the state space into equivalence classes where all patterns in a class have the same properties and weight. Therefore, we aim to generate only one pattern per equivalence class, that is called *canonical*.

• Score: The score of a node is defined as a lower bound on the weight of a node and all its descendants. This tool allows us to prune entire sub-trees as soon as we reach a node whose score is higher than  $T_2$ . It should be tight enough to allow efficient pruning, but also efficiently computable.

#### 332 2.2.2 Trail core extension

After generating all 2-round trail cores with weight below  $T_2$ , we need to extend them to generate trail cores over more rounds. Extension is done incrementally one round at the time. Namely, we first extend the 2-round trail cores by one round to generate 3-round trail cores with weight below a given  $T_3$ . Then we extend the obtained 3-round trail cores by one round to generate 4-round trail cores with weight below a given  $T_4$  and so on.

Given an *r*-round trail core  $\tilde{Q} = (a^1, b^1, \dots, b^{r-1})$ , one can extend it to (r+1) rounds in both forward and backward directions. In the term forward extension, forward means through  $p_S^*$ , so through  $p_S$  for differential trails and through  $p_S^{-1}$  for linear trails. Backward means through  $p_S^{*^{-1}}$ , so through  $p_S^{-1}$  for differential trails and through  $p_S$  for linear trails. In forward extension, we generate all patterns  $a^r$  that are compatible with  $b^{r-1}$  through  $p_S^*$ , compute  $b^r = p_L^*(a^r)$  and finally append  $(a^r, b^r)$  to the end of  $\tilde{Q}$ . The weight of the obtained cores is  $w(\tilde{Q}) + w(b^r)$ .

In backward extension, we generate all patterns  $b^0$  compatible with  $a^1$  through  $p_S^{*^{-1}}$ , then compute the corresponding  $a^0 = p_L^{*^{-1}}(b^0)$ , and prepend them to  $\tilde{Q}$ . The weight of these 347 3-round trail cores is obtained by subtracting  $w_{rev}(a^1)$  and then adding  $w_{rev}(a^0) + w(b^0)$ .

<sup>348</sup> By repeating the aforementioned process, one can extend a trail core over multiple <sup>349</sup> rounds in any direction.

# **3 The Ascon permutation**

ASCON family includes the authenticated encryption schemes ASCON-128 and ASCON-128A [DEMS21b], the hash functions ASCON-HASH and ASCON-HASHA and the extendable



(c) Linear layer  $p_L$ .

Figure 2: ASCON's round function p.

output functions (XOF) ASCON-XOF and ASCON-XOFA. The AE schemes are based on
 the duplex construction [BDPV11a], while the hashing and XOF functions are based on
 the sponge construction [BDPV07, BDPV08]. All family members are based on the ASCON
 permutation, which is also used in ISAP [DEM<sup>+</sup>20], another finalist of the NIST LWC
 competition.

# **358 3.1** Ascon round specification

The ASCON permutation operates on a state of 320 bits arranged in five 64-bit rows  $x_0, \ldots, x_4$ . The number of rounds is a tunable parameter. It is 12 in the initialization and finalization phase of all ASCON schemes, while it changes for the data processing phase. It is 6 for ASCON-128, 8 for ASCON-128A, ASCON-HASHA, and ASCON-XOFA, and 12 for ASCON-HASH and ASCON-XOF.

The round function of ASCON is denoted by p and consists of three steps:  $p = p_L \circ p_S \circ p_C$ . The function  $p_C$ , that can be seen in Fig. 2a, adds a round constant to row  $x_2$  of the state. The non-linear layer  $p_S$  applies 64 parallel 5-bit S-boxes, denoted S, to the columns of the state, as in Fig. 2b. The non-linear part of the S-box S is based on the  $\chi$  shift-invariant mapping [Dae95]. We denote  $\chi$  applied to an *n*-bit circle of bits as  $\chi_n$ , so the S-box in KECCAK-p is  $\chi_5$  [BDPV11b]. We hence can describe S as  $\chi_5$  preceded and followed by two linear mappings, each consisting of 3 bitwise additions. We depict it in Fig. 3.

Finally,  $p_L$  applies a linear function to each row independently as in Fig. 2c and is defined as follows:

$$x_{0} \leftarrow x_{0} \oplus (x_{0} \gg 19) \oplus (x_{0} \gg 28)$$

$$x_{1} \leftarrow x_{1} \oplus (x_{1} \gg 61) \oplus (x_{1} \gg 39)$$

$$x_{2} \leftarrow x_{2} \oplus (x_{2} \gg 1) \oplus (x_{2} \gg 6)$$

$$x_{3} \leftarrow x_{3} \oplus (x_{3} \gg 10) \oplus (x_{3} \gg 17)$$

$$x_{4} \leftarrow x_{4} \oplus (x_{4} \gg 7) \oplus (x_{4} \gg 41)$$

$$(1)$$

Clearly, in  $p_L$  there is no inter-row mixing and this is compensated by the linear mappings in  $p_S$ .

## **376** 3.2 Propagation properties through the round

Since the S-box S is based on the  $\chi_5$  mapping also used in KECCAK-p, it inherits some interesting properties from it that were discussed in [Dae95] and that we summarize here.

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Figure 3: Ascon's S-box  $\mathcal{S}$ .

**Difference propagation properties.** Since  $p_S$  has algebraic degree 2, given a difference 379 b at the input of  $p_S$ , the space of compatible differences a at the output of  $p_S$  form a 380 linear affine space  $\mathcal{A}(b)$  with  $2^{w_r(b)}$  elements [Dae95]. We can compute offset and basis 381 for such space starting from offset and basis over  $\chi_5$ , that are reported in [BDPV11b]. In 382 particular, for a given difference b at the input of  $\mathcal{S}$ , we map it at the input of  $\chi_5$  through 383 the first linear layer of bitwise additions, we take the offset and basis that determine the 384 affine space at the output of  $\chi_5$ , and finally we map them through the second linear layer. 385 We provide offset and basis vectors for all possible 31 non-zero differences at the input of 386  $\mathcal{S}$  in Table 7. Among the 31 non-zero differences, 5 have weight 2, 15 have weight 3, and 387 11 have weight 4. Therefore, the weight of b is at least twice the number of active columns 388 in b. 389

Difference propagating through the inverse of  $p_S$  is different. For a given difference a 390 at the output of  $p_S$ , the set of compatible differences b at the input of  $p_S$  is not an affine 391 space, but we can exhaustively list them. The list of the differences b compatible with a is 392 needed to compute  $w_{rev}(a)$  which is required for our trail search. Among the 31 non-zero 393 differences, 10 have 9 compatible differences, 10 have 10 compatible differences, 6 have 11 394 compatible differences, and 5 have 12 compatible differences. Moreover, 20 have minimum 395 reverse weight 2, and 11 have minimum reverse weight 3. 396

**Mask propagation properties.** For a given output mask b, the space of input mask a397 with a non-zero correlation with b is a linear affine space with  $2^{w_c(b)}$  elements [Dae95]. 398 Again, to build a representation of such space, we rely on the specification of offset and 300 basis over  $\chi_5$  [BDPV11b]. We provide offset and basis vectors for all possible 31 non-zero 400 masks at the output of  $\mathcal{S}$  in Table 8. Among the 31 non-zero masks, 10 have weight 2, 401 and 21 have weight 4. 402

Given a mask a at the input of  $p_S$ , we can list the compatible masks b at the output 403 of  $p_S$ , which do not form an affine space. Among the 31 non-zero masks, 10 have 10 404 compatible masks, and 20 have 13 compatible masks, and 1 has 16 compatible masks. 405 Moreover, 30 have minimum reverse weight 2, and 1 has minimum reverse weight 4. 406 407

Notice that a linear trail has always even weight.

As explained in Section 2.1.2, the propagation of masks through the linear layer  $p_L$ 408 is deterministic: an output mask b fully determines the corresponding input mask a by 409  $b = p_L^{\mathsf{T}}(b)$ . The transpose  $p_L^{\mathsf{T}}$  has the same shape as  $p_L$  itself, the only difference is that 410 the right shifts become left shifts. 411

#### 4 Generating 2-round trail cores in Ascon as tree-search 412

In this section, we explain how we generate all 2-round trail cores in ASCON, with weight 413 below a given target  $T_2$ , using the tree-based approach of Section 2.2.1. To this end, we 414 first define units and their order relation. Then we give a description of the techniques 415



Figure 4: Propagation through  $p_L$ 

<sup>416</sup> used to traverse the tree and, to do it in an efficient way, we define the score function and <sup>417</sup> discuss canonicity. After identifying the techniques used in the tree-search in Section 4.1, <sup>418</sup> we give a more detailed description on the two-level tree search in Section 4.2, and in <sup>419</sup> Section 4.3 we give a description of an alternative representation of  $p_L^*$ .

#### **4.1 Concepts and techniques**

Active bits as units. For the tree-based approach we have to define units and their ordering and the most important criteria for this choice are the ability to define an efficient score function and deal with canonicity efficiently. The linear mapping  $p_L^*$  does not have a particular structure like the column parity mixers in XOODOO or KECCAK-p, and the obvious choice for units would be (coordinates of) active bits. We can choose to have the units be active bits in a or in b. In other words, we either build the state at a and we compute  $b = p_L^*(a)$ , or we build the state at b and we compute  $a = p_L^{*^{-1}}(b)$ .

Active bits in a as units. If the units are defined as active bits in a, adding a unit affects 428 3 bits in b. If some of these bits are active in the parent, this addition cancels them. We 429 call the effect of active bits in a parent that are not present in the child *cancellation*. The 430 inverse of the row mapping  $p_L^*$  is *dense*: it maps a row with a single active bit to a row 431 with many active bits. If the units are defined as active bits in b, adding a unit affects 432 many active bits in a, risking the cancellation of many more active bits. We illustrate this 433 asymmetry for the mapping  $p_L$  on row 0 in Fig. 4. It works similarly for  $p_L^{\mathsf{T}}$ . So with 434 units defined at a an efficient score is more likely to be easy as there is less opportunity 435 for cancellation. So we define our units as active bits in a. Note that cancellation only 436 takes place in b and an active bit in a will be present in all its children. 437

Score function based on number of active columns. The non-linear layer  $p_S$  operates 438 in parallel on 5-bit columns. This is similar to XOODOO where the non-linear layer is the 439 parallel operation of  $\chi_3$  on 3-bit columns and KECCAK-p, where it is the parallel operation 440 of  $\chi_5$  on 5-bit rows.  $\chi_3$  and  $\chi_5$  are instantiations of  $\chi$  that has the property that adding 441 an active bit to an input difference does not decrease the weight, and that adding an active 442 bit at the output does not decrease the minimum reverse weight. This also holds for linear 443 masks. In  $p_S$  this is not the case due to the presence of additional linear mappings in 444 the S-box. So, adding an active bit to a column in a may decrease its minimum reverse 445 weight and adding an active bit to a column in b may decrease its weight. Still, each active 446 column in a contributes at least 2 to its minimum reverse weight and each active column 447 in b contributes at least 2 to its weight. Moreover, adding active bits to a column in a448 or b cannot make it passive. So we can base the score function on the number of active 449 columns. 450

<sup>451</sup> **Row-index-first lexicographic ordering.** In a all the active columns can be accounted <sup>452</sup> for in the score, in b only those that cannot become passive due to cancellation when <sup>453</sup> adding units. This is where the ordering comes in. Units are defined by their coordinates

(i, j) and there are two natural orderings, both lexicographic: *i*-first or *j*-first. In *i*-first 454 the active bits in row i = 0 come before those in row 1 etc., in *j*-first those in column 455 j = 0 come before those in column 1, etc. The *i*-first ordering works well with  $p_L^*$ . This is 456 because this mapping is the parallel application of 5 linear mappings that operate on the 457 rows separately. In the *i*-first ordering units are added row by row, where units are always 458 added in the row of the last unit or after it. Let us call the *i*-coordinate of the last unit i'. 459 Then rows in a with i < i' will be the same for all descendants of a state. As  $p_L^*$  operates 460 on rows separately, this will also be the case for the rows in b with i < i'. That means 461 that we can take as score function two times the number of active columns in a plus the 462 number of columns in b that are active in the rows with i < i'. 463

**Two-level tree: active rows and active bits.** When we look at the children of a node 464 we see two kinds. Children where a unit is added to a row that already contains active 465 bits on the one hand and children where a unit is added to a row that does not on the 466 other. In the former case the last active row of b cannot be taken into account for the 467 score and in the latter case it can. We address this distinction by defining the units in a 468 two-level structure. At the top level the units are active rows, where an active row groups 469 all active bits in the same row. We will call the top level the row tree and its unit-lists 470 row-lists. This means that the children of a node in the row tree have the first active rows 471 in common with their parent, but have one more active row. The consequence is that when 472 navigating in the row tree, for the score function we can count all active rows at a and at 473 b. We call this score function the Score-state() function. An active row is a unit list 474 too, where the units are active bits (within a specific row) listed in a so called *bit-list*. The 475 consequence is that the children of a node in the row tree are also arranged according to a 476 tree, that we will call a *bit tree*. More exactly, the children of a node in the row tree with 477 last active row at i' are 4 - i' bit trees. For example if i' = 2, the children are grouped in 478 two bit trees: one that groups the states with last row at row index i = 3 and one that 479 groups the ones with last row index i = 4. The two-level tree search is detailed more in 480 Section 4.2. 481

Score in the bit tree: the case of index 2. Each bit tree contains  $2^{64} - 1$  nodes so it 482 would be good to also prune these trees using a score function. Clearly, all active columns 483 of a and the active columns at b due to all active rows but the last can be counted in 484 this score. However, this does not help in states with a single active row and also not when these rows have sparse bit-lists. We will now explain that we can also include active 486 bits from the last active row in b. Let us take a look at row i = 2. Adding a unit at 487 position j affects three bits in b, in positions j, j-1 and j-6, so it affects bits in b 488 only in the interval  $[j - 6 \mod 64, j]$ . Here we adopt the following convention for intervals 489 where we take into account the circular structure of the rows of the state: [x, y] with 490 y > x is the set of indexes  $\{x, x + 1, x + 2, \dots, y\}$  and [x, y] with y < x is the set of indexes 491  $\{x, x+1, \ldots, 63, 0, 1 \ldots y\}$ . Assume we have an active row (bit-list) where the *j*-coordinate 492 of the last active bit is j'. The range of j for the last active bit in its children is [j'+1, 63], 493 so if j' > 5 the range of corresponding affected bits in b is  $[j' - 5 \mod 64, 63]$ . In other 494 words, any bit in b in the interval [0, j'-5] will be there for all children in the bit tree and 495 therefore the corresponding active columns can be counted in the score. This becomes 496 interesting as soon as j' > 5. 497

Score in the bit tree: general case. The efficiency of this technique depends on the (circular) distance between the affected bits in b: the smaller the better. In j = 2 this distance is only 6 but for the other rows, these distances are much larger. For example for j = 0, the bit positions are 0, 19, 28 and the shortest interval that encloses all three is [0, 28]. We will call the length of this interval the *span*. For j = 1, the bit positions



Figure 5: The score of a column difference with the first two stable bits set to (1,1) is 3.

<sup>503</sup> 0, 39, 61 can be enclosed in an interval of length 25: [39, 0]. We can address this problem by <sup>504</sup> adopting an alternative representation of the row that is used to compute the score in the <sup>505</sup> so called Score-row() function. A more detailed explanation on the new representation is <sup>506</sup> given in Section 4.3.

**Refining the score of** *b***.** Computing the score based on twice the number of active 507 columns in b is sub-optimal. In fact, while we are working on row i, all active bits at rows 508 i' with i' < i are stable and thus we can consider their contribution to the weight. In 509 particular, for a given active column, only bits in rows i' with  $i' \ge i$  can be added and this 510 may potentially decrease the weight (though not below 2), but it may not. We define a 511 lower bound on the weight of each active column, that we call *score* of the column, as the 512 minimum among the weight of the column and the weight of all possible columns that can 513 be obtained by adding bits in  $i' \ge i$ . Then, the score of a state is the sum over the score 514 of all columns. 515

We illustrate an example in Fig. 5, with column differences and restriction weight. Let 516 the first two bits of column c in Fig. 5 be set to (1, 1). These bits are stable and we denote 517 them in black, while we denote in red the three bits that can become 1 later in the search. 518 On the right of c we list the six possible column values that we can obtain by adding bits 519 to c in row 2, 3 or 4. The restriction weight of each column is reported below the column 520 and we can see that the minimum weight among them is 3. So, we can define the score of 521 c to be 3. If there are several active columns whose score is higher than 2, then the score 522 of b will grow more quickly and pruning comes earlier. 523

**Pruning the tree using canonicity.** Clearly, both  $p_L$  and  $p_S$  are shift-invariant with 524 respect to horizontal shifts (along the *j*-axis). A state that is the *smallest* in its class of 525 states that are equivalent modulo horizontal shift is called *canonical*. The natural order 526 to determine which state is smallest is a lexicographical ordering on the row-list: state 527 X is smaller than state Y if the first row in its row-list is smaller than the first row in 528 the row-list of Y. If they have equal first rows, we compare the 2nd row and so on. The 529 order of rows is similarly defined using lexicographic ordering of their bit-lists, where we 530 compare *j*-coordinates of active bits starting from the first one. 531

It was proven in [MDV17] that with such an order relation, the children of a noncanonical node are not canonical. This implies that whenever a non-canonical node is encountered, the full subtree can be pruned. For an active row we can define its *period*:



Figure 6: The row  $x_0$  in the left states is canonical with different period. In the top figure, since the period of  $x_0$  equals the row length, translation results in a non-canonical state. In the bottom figure since the period of  $x_0$  is smaller than the row length, translation can result in a canonical state.

<sup>535</sup> it is the smallest offset such that a shift of the row over that offset leaves it invariant. <sup>536</sup> The period must be a divisor of 64 (the row length) and the vast majority of row values <sup>537</sup> has period 64. If the first active row of a canonical state has period 64, all its children <sup>538</sup> are canonical. This means that in that case we do not have to check for canonicity in <sup>539</sup> subsequent active rows. Otherwise, we have to check canonicity by shifting any newly <sup>540</sup> added active row over all multiples of the period and comparing. Examples are given in <sup>541</sup> Fig. 6.

In general, only if the partial state consisting of the stable rows has period smaller than the row length, these checks must be done when adding an active bit.

### 544 4.2 Two-level tree

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We represent a 2-round trail core (a, b) by the positions of its active bits in a. An active bit is determined by its coordinates (i, j) in the state with i the row coordinate and j the column coordinate and  $0 \le i < 5$  and  $0 \le j < 64$ .

The bit-list of an active row is of the following form

$$a_i = [(i, j_1), (i, j_2), \dots, (i, j_\ell)],$$
(2)

with  $j_k < j_{k+1} \forall k \in \{1, \dots, \ell - 1\}$ . We have that  $a_{i,j_k} = 1$  if and only if  $k \in \{1, \dots, \ell\}$ . At state-level, the row-list of a state a is a list of the form

$$a = [a_{i_1}, a_{i_2}, \dots, a_{i_{r-1}}, a_{i_r}] \tag{3}$$

with  $i_s < i_{s+1} \forall s \in \{1, \ldots, r-1\}$ . We have that  $a_{i,j} = 1$  if and only if  $(i, j) \in \bigcup_s a_{i_s}$ . The smallest value that an active row  $a_i$  can assume is [(i, 0)].

We use two sets of functions to walk through the tree. One is the set of functions that operate on the bit-list of the last active row. The other is the set of functions that operate on the row-list.

We start by describing the former, where we assume the bit-list of the last active row is as in Eq. (2).

toFirstChildRow() If  $1 + j_{\ell} < 64$ , it adds  $(i, 1 + j_{\ell})$  to the bit-list and returns true. It returns false otherwise.

toSiblingRow() If  $1 + j_{\ell} < 64$ , it iterates the last bit in the list, i.e.  $(i, j_{\ell})$  becomes  $(i, 1 + j_{\ell})$  and returns true. It returns false otherwise.

toParentRow() It removes the last bit of the list, resulting in  $a_i = [(i, j_1), (i, j_2), \dots, (i, j_{\ell-1})]$ . If it leaves the bit-list empty, it returns false and true otherwise.

The following functions operate on the row-list, where the row-list of the current node is as in Eq. (3). toFirstChildState() If  $1+i_r < 5$ , it adds  $a_{1+i_r} = [(1+i_r, 0)]$  to the row-list and returns true. It returns false otherwise.

ToSiblingState() It calls nextRow() on the last active row and if that returns true, it returns true. Otherwise, it checks whether the last active row is the bottom row, i.e.,  $i_r = 4$ . If so, it returns false. If not, it moves the last active row one row index down, i.e.  $i_r = 1 + i_r$ , and there takes the smallest active row value  $a_{i_r} = [(i_r, 0)]$ and returns true.

toParentState() It first removes the last active row from the list, resulting in  $a = \begin{bmatrix} a_{i_1}, a_{i_2}, \ldots, a_{i_{r-1}} \end{bmatrix}$ . If this leaves the row-list empty it returns false and the search is over. Otherwise, it returns true.

The complete search works as follows. The tree traversal starts by calling nextState() on a state with a single active row set with a single active bit at position 0 and ends when nextState() returns false, that is when the row-list is empty. Its behavior is similar to that of the function next(). To prune the row tree the procedure calls Score-state() on the current canonical state.

The function nextRow() in Algorithm 3 is called by ToSiblingState() to iterate 583 the last active row through a bit tree. It starts by checking Score-row() and if it is 584 below the budget then it calls toFirstChildRow(). Here, a canonicity check is done on 585 the whole state to only return canonical states. If there is no valid child either because 586 Score-row() is above the budget or a canonical child has not been found, the procedure 587 will look for a sibling by calling the function toSiblingRow(). Here again, a canonicity 588 check is performed and if a canonical sibling has been found then the procedure returns 589 true, otherwise the function toParentRow() is called. 590

#### **4.3** The alternative row representation

The active bits in a row are indexed by j, and we index them by an alternative coordinate k that has a relation with j as  $k = j \times q \mod 64$ , with q odd. Then, the row component function of  $p_L$  can be reformulated in terms of the new representation and this gives a mapping that only differs in the shift offsets. For a good choice of q we obtain a mapping with minimum span that we call *alternative representation*. Minimizing the span requires a specific factor q per row so, we have alternative representation for each row of ASCON. For  $a_j = a'_{jq}$  and  $b_j = b'_{jq}$ , the alternative representation is defined as follows:

 $p_L : b_j \leftarrow a_j \oplus a_{j+s} \oplus a_{j+t}$  $b'_{jq} \leftarrow a'_{jq} \oplus a'_{(j+s)q} \oplus a'_{(j+t)q}$  $b'_{jq} \leftarrow a'_{jq} \oplus a'_{jq+sq} \oplus a'_{jq+tq}$  $p'_L : b'_k \leftarrow a'_k \oplus a'_{k+sq} \oplus a'_{k+tq}$ 

Since the alternative representation has the minimum span, more active bits in b are 599 guaranteed to stay active after adding a unit. The active bits in b that remain active after 600 adding new units to a are called *stable* bits. In the alternative representation, the bits in b601 become stable sooner than in the original representation and more active columns can be 602 accounted in Score-row(). For instance,  $p_L$  acts on the first row as  $b_j \leftarrow a_j \oplus a_{j+19} \oplus a_{j+28}$ . 603 After multiplying the shift offsets by all odd numbers, we found that q = 7 results in the 604 minimum span. So, the alternative representation of the linear diffusion layer for the first 605 row is defined as  $b'_k \leftarrow a'_k \oplus (a'_k \gg 5) \oplus (a'_k \gg 4)$ . Fig. 7 provides a comparison between 606 the original and alternative representation of  $p_L$  over row 0 where the number of stable 607 bits, that are depicted by blue cells, is higher in the case of alternative representation. 608

```
Algorithm 2 Functions to navigate through a row tree
```

```
function NEXTSTATE()
   if (toFirstChildState() == true) then
       if (Score-state() < T_2) then
           return true:
   do
       while (ToSiblingState() == true) do
          if (Score-state() < T_2) then
              return true;
   while (toParentState() == true)
   return false;
end function
function toFirstChildState()
   if (i_l = 4) then
                                   \triangleright Last active row index has reached the bottom row
       return false;
   a \leftarrow a \cup [(1+i_l, 0)];
                              \triangleright Set the last active row to the smallest active row value
   return true
end function
function ToSiblingState()
   if (nextRow() == true) then
       return true;
   if (i_l = 4) then
       return false;
                                    ▷ The last active row is moved one row index down
   i_l \leftarrow 1 + i_l;
   a_{i_l} = [(i_l, 0)]
                               \triangleright Set the last active row to the smallest active row value
   return true
end function
```

Al	gorithm	3	Function	$\mathrm{to}$	navigate	through	a	bit	tree
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```
function NEXTROW()
if (Score-row() < T<sub>2</sub>) then
if ((toFirstChildRow()) && (is canonical) ) then
return true;
do
while ((toSiblingRow()) && (is canonical) ) do
return true;
while (toParentRow() == true)
return false;
end function
```

We denote by  $p'_L$  the alternative linear mapping of  $p_L$  for each row such that

$$p_L = \pi_{q^{-1}} \circ p'_L \circ \pi_q$$

where  $\pi_q(j) = q \times j \mod 64$ . Fig. 8 illustrates how  $p'_L$  in the new representation works for row 0. The list of parameters for the different rows of the alternative representation of  $p_L$  with the minimum span are listed in Table 2. The alternative representation of  $p_L^{\mathsf{T}}$ corresponds to the mapping obtained with -q.



Figure 7: The grey cells at b and b' represent the span in the original and alternative representation of row  $x_0$ , respectively. The original representation (left figure) results in a lower number of stable bits at b (blue cells) compared to its alternative representation on the right.



Figure 8: The linear mapping  $p_L$  for row 0 can be seen as its alternative representation  $p'_L$ surrounded by two multiplication layers, illustrated for bit  $b_0$ .

#### 5 Extension in Ascon 615

In this section, we explain how we perform trail core extension in ASCON. We partially 616 rely on previous works on KECCAK-p [DV12, MDV17] and XOODOO [DHVV18b, DHP<sup>+</sup>20], 617 given that extension deals with the non-linear layer of ASCON  $p_S$  which is based on  $\chi_5$ . 618 Given a trail core  $\tilde{Q} = (a^1, \dots, b^{r-1})$ , we recall that forward extension by one round 619 consists in building all patterns  $a^r$  that are compatible with  $b^{r-1}$  over  $p_S^*$  and compute 620  $b^r = p_L^*(a^r)$ . While backward extension consists in building all patterns  $b^0$  that are compatible with  $a^1$  over  $p_S^*$  and compute  $a^0 = p_L^{*^{-1}}(b^0)$ . 621

622

The non-linear layer of ASCON can be seen as the parallel application of 64 5-bit S-boxes, 623 acting on each column independently. Therefore, we can treat extension at column level. 624 If  $b^0$  and  $a^1$  are compatible over  $p_S^*$ , then the *j*-th column of  $b^0$  is compatible with the 625 *j*-th column of  $a^1$  over  $\mathcal{S}$ , for any column index  $0 \leq j < 64$ . To build all states  $b^0$  that 626

	original	represen	tation	alternative representation				
row	$offset_1$	$\mathrm{offset}_2$	$\operatorname{span}$	q	$\operatorname{offset}_1$	$\mathrm{offset}_2$	$\operatorname{span}$	
0	19	28	28	7	4	5	5	
1	61	39	25	41	5	63	6	
2	1	6	6	1	1	6	6	
3	10	17	17	19	3	62	5	
4	7	41	30	47	7	9	9	

Table 2: List of parameters for the original and alternative representation of the linear diffusion layer of ASCON.

are compatible with  $a^1$ , we first need to identify the active columns in  $a^1$ , namely, the non-zero columns. Then, for each active column, we build all compatible column values at  $b^0$  through S. By combining them, we can finally build all compatible states  $b^0$ .

Similarly, we can build all compatible state patterns  $a^r$  given  $b^{r-1}$ .

#### **5.1** Extension as a tree search

Extension can be performed as a tree search [MDV17, DHVV18b], where we incrementally 632 build  $b^0$  or  $a^r$ . To this end we need to define units, their order relation, and a score function. 633 In this case we don't have to deal with canonicity since canonical 2-round trail cores yields 634 canonical r-round trail cores. A trail core is a sequence of state patterns. Translating each 635 pattern of the sequence by a fixed offset results in an equivalent trail core with the same 636 weight. We can define a canonical trail core as the smallest among its translated versions. 637 We can say that a core  $(a^1, b^1, \ldots, b^{r-1})$  is smaller than a core  $(\bar{a}^1, \bar{b}^1, \ldots, \bar{b}^{r-1})$  if  $a^1$  is 638 smaller than  $\bar{a}^1$ , or if  $a^1 = \bar{a}^1$  and  $b^1$  is smaller than  $\bar{b}^1$ , etc. However, we can choose any 639 intermediate pattern in the sequence instead of  $a^1$  to start the comparison. It is then natural to start from the (r-1)-round trail core from which the r-round core is generated. 641 We say that an r-round trail core is canonical if the (r-1)-round trail core from which it 642 is generated is canonical. It follows that the generation of only canonical 2-round trail 643 cores, yields to canonical *r*-round trail cores naturally. 644

<sup>645</sup> Differently from the tree search for the generation of 2-round trail cores where a unit <sup>646</sup> was an active bit, here units are determined by the compatible column values. At each <sup>647</sup> move in the tree, we fix the value of an active column of the state. To efficiently traverse <sup>648</sup> the tree we need a score function that lower bounds the weight of the (r + 1)-round trail <sup>649</sup> cores obtained.

In forward extension this translates into lower bounding  $w(b^r)$  while we are building  $a^r$ . The addition of a unit at  $a^r$  can cancel some bits at  $b^r$  because of the action of  $p_L^*$ . To define a good score function, we consider the stable bits at  $b^r$ , that are active bits that cannot be cancelled with the addition of any new unit. We represent stable bits by a stability mask  $\mathcal{M}$ , that is a state where a bit is 1 to indicate that the bit in that position is stable and 0 otherwise. Then  $b^r \wedge \mathcal{M}$  gives the stable bits of  $b^r$ , and also the column of  $b^r$  that will be active in all its descendants. We can define the score as twice the number of active columns in  $b^r \wedge \mathcal{M}$ .

In backward extension we have to lower bound  $w_{rev}(a^0) + w(b^0)$  while we are building  $b^0$ . While the addition of a unit at  $b^0$  cannot turn active bits into passive, adding a unit at  $b^0$  can potentially cancel many bits at  $a^0$ , since the inverse of  $p_L^*$  is dense. In KECCAK-p [DV12,MDV17], this problem was overcome by not considering the contribution of  $a^0$  and by bounding  $w_{rev}(a^0) + w(b^0)$  with a bound on  $w(b^0)$  only. However, this is sub-optimal. In this work, we use stability masks to determine the stable bits of  $a^0$  and thus consider also its contribution.

In general, the goal is to make the number of stable bits in the stability masks grow as quickly as possible while traversing the tree, so that more columns are counted in the score and pruning happens as early as possible. To this end, the order relation among the units must be carefully defined.

## **5.2 Forward Extension**

For forward extension, we follow the approach used in [DHVV18b] for XOODOO, that is the following. All patterns  $a^r$  that are compatible with  $b^{r-1}$  over  $p_S^*$  form an affine space  $\mathcal{A}(b^{r-1})$  with  $2^{w(b^{r-1})}$  elements. We represent such space through an offset and a basis. Each column at  $b^{r-1}$  defines an offset and basis for the space of compatible columns over  $\mathcal{S}$ , according to Table 7 and Table 8. The state offset, that we denote by  $\circ$ , is built by gathering together all the column offsets. It will be zero in all column positions that are <sup>676</sup> passive in  $b^{r-1}$ . For each column vector **u** specified by each active column j, we build a <sup>677</sup> state vector **v** that is all zero except column j that has value **u**. The basis has  $\mathbf{w} = \mathbf{w}(b^{r-1})$ <sup>678</sup> elements that we denote by  $\{\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_w\}$ . Therefore,  $\mathcal{A}(b^{r-1}) = \mathbf{o} + \langle \mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_w \rangle$ .

<sup>679</sup> Of course, brute-force scanning the whole affine space becomes unaffordable when <sup>680</sup> w(b<sup>r-1</sup>) is large. However, we only need to construct those states  $a^r$  such that the weight <sup>681</sup> of  $b^r$  is below a given threshold. For this reason, it is practical to directly consider the <sup>682</sup> affine space mapped through  $p_L^*$ , namely before the next  $p_S^*$ . We denote such space by <sup>683</sup>  $\mathcal{B}(b^{r-1}) = p_L^*(\mathcal{A}(b^{r-1})) = \mathfrak{o}^* + \langle \mathfrak{v}_1^*, \mathfrak{v}_2^*, \dots, \mathfrak{v}_w^* \rangle$ , with  $\mathfrak{o}^* = p_L^*(\mathfrak{o})$  and  $\mathfrak{v}_k^* = p_L^*(\mathfrak{v}_k)$ . <sup>684</sup> We scan the space  $\mathcal{B}(b^{r-1})$  through a tree-based search as follows. The root of the

We scan the space  $\mathcal{B}(b^{r-1})$  through a tree-based search as follows. The root of the tree is the offset  $o^*$ . The units are the indexes of the basis vectors, ordered by the natural number ordering. A unit-list  $\mathcal{K} = \{k_1, \ldots, k_m\}$  encodes the element of the affine space given by  $o + v_{k_1}^* + \cdots + v_{k_m}^*$ . The children of  $\mathcal{K}$  are all nodes of the form  $\mathcal{K} \cup k_{m+1}$  with  $k_{m+1} \in \{k_m + 1, \ldots, k_w\}$ .

We need to define stability masks so that the number of stable bits increases quickly 689 with k. A technique to do it consists in triangularizing the basis  $\mathcal{V}^* = \{\mathbf{v}_1^*, \mathbf{v}_2^*, \dots, \mathbf{v}_w^*\}$ . We 690 perform triangularization in ASCON as follows. We start with an empty basis  $\mathcal{T}$ . We loop 691 on all possible bit positions considering the lexicographic order relation on coordinates 692 (i, j). If a basis vector is found with an active bit in position (i, j), then such basis vector is 693 added to  $\mathcal{T}$  and removed from  $\mathcal{V}^*$ . The same vector is also added to all remaining vectors 694 in  $\mathcal{V}^*$  that have bit (i, j) active, to make it passive. After triangularization, we obtain 695 a new representation of  $\mathcal{B}(b^{r-1})$  as  $o^* + \langle t_1, t_2, \ldots, t_w \rangle$ . If the first active bit in  $t_k$  is in 696 position  $(i_k, j_k)$ , then, by construction, all bits in position  $(i, j) \leq (i_k, j_k)$  are passive in 697 all vectors  $t_{k+1}, \ldots, t_w$ . We call  $(i_k, j_k)$  the pivot position of vector  $t_k$ . For each k, we 698 define the stability mask  $\mathcal{M}_k$  as a state that is 1 in the pivot position and in all positions 699 smaller than the pivot (i.e. in all  $(i, j) \leq (i_k, j_k)$ ) and 0 otherwise. In addition we consider the position of the stable bits in the offset as  $\mathcal{O} = \bigwedge_{i=1}^{w} \overline{t_i}$ . We add them to each stability 700 701 mask:  $\mathcal{M}_k = \mathcal{M}_k \vee \mathcal{O}$ . 702

If the last unit in the list of a node  $b^r$  is k, then all bits in  $b^r \wedge \mathcal{M}_k$  will be active in all descendants of  $b^r$ . Therefore, all active columns of  $b^r \wedge \mathcal{M}_k$  will be active in all descendants of  $b^r$  and each will contribute at least 2 to the weight. We define the score as twice the number of active columns of  $b^r \wedge \mathcal{M}_k$ .

# 707 5.3 Backward Extension

Given  $a^1$ , the patterns  $b^0$  that are compatible with  $a^1$  over  $p_S^*$  do not form an affine space, so we shall use a different approach than the one for forward extension.

We present two methods to perform backward extension. In the first one, presented in Section 5.3.1, we follow the method used in [DV12] for KECCAK-p, that builds on the 711 compatible column values, and we introduce some optimizations. Notice that [MDV17] presents some optimizations for backward extension in KECCAK-p, that exploit the structure of the linear step  $\theta$ , which is a column parity mixer. Such techniques do not apply to 714 ASCON since its linear layer has a different structure. In the second method, presented 715 in Section 5.3.2, we build an envelope space that contains the set of compatible patterns, 716 with the aim of growing the number of active columns in  $a^0$  more quickly. The former method is more effective when the number of active columns in  $a^1$  is small enough, say 718 less than 12. The second method is more effective when there are many active columns in 719  $a^1$ . In our code we use both of them, considering the number of active columns at hand. 720

#### **5.3.1** Extension using compatible patterns

For each active column position j in  $a^1$ , let  $\mathcal{B}_j = \{\mathbf{v}_{j,1}, \ldots, \mathbf{v}_{j,n(j)}\}$  denote the set of compatible column patterns at the input of  $p_S^*$ . The number of compatible patterns  $b^0$ is given by  $\prod_i |\mathcal{B}_j|$ . Since n(j) ranges between 9 and 12 for compatible differences and <sup>725</sup> is 10, 13 or 16 for compatible masks, the number of patterns  $b^0$  grows very quickly with <sup>726</sup> the number of active columns in  $a^1$  and it can be unaffordable to generate all of them. <sup>727</sup> However, we need to generate only those such that  $w_{rev}(a^0) + w(b^0)$  is smaller than a given <sup>728</sup> threshold *T*. We can do it using a tree-based approach where the nodes of the tree are the <sup>729</sup> patterns  $b^0$  and units and score function are defined as follows.

The root of the tree is the fully passive state. The units are the indexes of the elements of the sets  $\mathcal{B}_j$  ordered by the lexicographic order over (j, k). A unit-list can contain at most one element per set of column patterns for a given index j. At height h in the tree, all the first h active columns are set. Only the leaves of the tree give compatible patterns.

The score function shall bound the quantity  $w_{rev}(a^0) + w(b^0)$  for a node and all its descendants. It is defined as  $score_a + score_b$  with  $score_a$  that bounds  $w_{rev}(a^0)$  and  $score_b$ that bounds  $w(b^0)$ .

<sup>737</sup> We start with the explanation of score<sub>b</sub> that we compute as in [DV12, MDV17]. We <sup>738</sup> order the elements of each  $\mathcal{B}_j$  by increasing weight so that  $w(v_{j,k}) \leq w(v_{j,k+1})$  for all k. <sup>739</sup> We denote by  $w_j$  the minimum of such weights, that is  $w_j = w(v_{j,1})$ . For a node at height <sup>740</sup> h, the first h active columns are set and their value cannot change by the addition of a <sup>741</sup> new unit. Each of the remaining active column will contribute to the weight by at least <sup>742</sup>  $w_j$ . Therefore, for a node  $b^0$  we define score<sub>b</sub> $(b^0) = w(b^0) + \sum_{h < j} w_j$ . <sup>743</sup> For KECCAK-p [DV12, MDV17], score<sub>a</sub> = 2 since a non-passive state has weight at least

For KECCAK-p [DV12, MDV17], score<sub>a</sub> = 2 since a non-passive state has weight at least 2. This is sub-optimal because it does not take into account the contribution of the active bits at  $a^0$ . In this work, we define score<sub>a</sub> based on the stable bits of  $a^0$  in the following way. We map each set  $\mathcal{B}_j$  before  $p_L^*$  obtaining  $\mathcal{A}_j = \{\mathbf{v}_{j,1}^*, \dots, \mathbf{v}_{j,n(j)}^*\}$ , where  $\mathbf{v}_{j,k}^* = p_L^{*^{-1}}(\mathbf{v}_{j,k}^*)$ . At height h, one element of each  $\mathcal{A}_j$  with  $j \leq h$  has been added to  $a^0$  and any element of  $\mathcal{A}_j$  can potentially be added for all j > h. The OR of the elements that can still be added gives the set of bits that can be potentially cancelled at  $a^0$ . Its negation gives the stable bits. Therefore, for each h, we define the stability mask

$$\mathcal{M}_h = \overline{\bigvee_{h < j} \left(\bigvee_k \mathtt{v}_{j,k}^*\right)} = \bigwedge_{h < j} \left(\bigwedge_k \overline{\mathtt{v}_{j,k}^*}\right) \;.$$

For a node  $a^0$  at height h, all bits of  $a^0 \wedge \mathcal{M}_h$  will be active in all descendants of  $a^0$ . Therefore, all active columns of  $a^0 \wedge \mathcal{M}_h$  will be active in all descendants of  $a^0$  and each will contribute at least 2 to the weight. We define score<sub>a</sub> as twice the number of active columns of the state  $a^0 \wedge \mathcal{M}_h$ .

The ordering of the elements in each  $\mathcal{B}_j$  by increasing weight implies that the rightsiblings of a node have weight (resp. score) greater than or equal to the weight (resp. score) of that node. It follows that when a node is encountered whose score is greater than the given threshold all its descendants and also all its siblings can be pruned.

As an additional optimization, we observe that during the backward extension of a trail core  $\tilde{Q}_r = (a^1, \ldots, b^{r-1})$ ,  $w_{rev}(a^1)$  is replaced by  $w(b^0)$  which can be larger than  $w_{rev}(a^1)$ . If  $w(\tilde{Q}_r) < T_r$  for a given  $T_r$ , most of the times we want  $w(\tilde{Q}_r) - w_{rev}(a^1) + w(b^0)$ to be still smaller than  $T_r$ . So, during the search we perform the additional check score<sub>b</sub> <  $T_r - (w(\tilde{Q}_r) - w_{rev}(a^1))$ .

#### <sup>765</sup> 5.3.2 Extension using the envelope space

This method aims at prioritizing the growth of the number of active columns in  $a^0$ , so that  $w_{rev}(a^0)$  grows as quickly as possible.

First, we build a space that contains the set of compatible states  $b^{0}$ 's, that we call envelope space and denote by  $\mathcal{E}$ . To do this, for each active column at  $a^{1}$  we define the envelope space of its compatible column patterns as  $0 + \langle \mathbf{e}_{0}, \mathbf{e}_{1}, \mathbf{e}_{2}, \mathbf{e}_{3}, \mathbf{e}_{4} \rangle$ , where  $\mathbf{e}_{i} \in \mathbb{F}_{2}^{5}$ has a single active bit in position *i*. The envelope space  $\mathcal{E}$  is the union of all these envelope spaces and its dimension is five times the number of active columns in  $a^{1}$ .

751

<sup>773</sup> We scan  $\mathcal{E}$  in a tree-based fashion as done in Section 5.2, where the root of the tree is <sup>774</sup> the offset (in this case the all zero state) and we iteratively add basis vectors. Since the <sup>775</sup> envelope space is much larger than the actual space of compatible states, we must define a <sup>776</sup> score function that is very efficient and allows to prune the tree as soon as possible. To <sup>777</sup> this end, we try to make the number of stable bits in  $a^0$  to grow as quickly as possible. A <sup>778</sup> way to do it is to consider the envelope space before  $p_L^*$  and triangularize its basis.

Let  $\mathcal{E} = \langle \mathbf{v}_1, \ldots, \mathbf{v}_{5n} \rangle$ , where *n* denotes the number of active columns in  $a^1$ . Since  $p_L^*$ is linear, we can transpose the envelope space  $\mathcal{E}$  before  $p_L^*$  and get  $\mathcal{E}^* = \langle \mathbf{v}_1^*, \ldots, \mathbf{v}_{5n}^* \rangle$  with  $\mathbf{v}_k^* = p_L^{*^{-1}}(\mathbf{v}_k)$ . We triangularize the basis of  $\mathcal{E}^*$  based on the lexicographic order relation on coordinates (i, j) and we modify the representation of  $\mathcal{E}$  accordingly. That is, when we add a vector  $\mathbf{v}_k^*$  to a vector  $\mathbf{v}_\ell^*$  in  $\mathcal{E}^*$ , we add  $\mathbf{v}_k$  to  $\mathbf{v}_\ell$  in  $\mathcal{E}$ . We obtain a new representation of  $\mathcal{E}^*$  as  $\langle t_1^*, \ldots, t_{5n}^* \rangle$ . By construction, the triangularized basis contains first all basis vectors with active bits in row 0, then those with active bits in row 1, etc.

For each k, we define the stability mask  $\mathcal{M}_k$  as a state that is 1 in all positions smaller or equal than the pivot position of  $t_k^*$ , and 0 otherwise. We define score<sub>a</sub> as twice the number of active columns of  $a^0 \wedge \mathcal{M}_k$ . Finally, we define score<sub>b</sub> as twice the number of active columns in  $a^1$ . In fact, the number of active columns in  $b^0$  is the same of  $a^1$  and each contributes at least 2 to the weight. On the other hand, since we are scanning the envelope space and not only the space of compatible states, we cannot use the weight of  $b^0$ , because in this case the addition of a new unit can potentially decrease it.

# **6** Practical results and improved bounds for Ascon

In this section, we report on our practical results. The improved bounds are reported in 794 Table 1. To scan the different spaces of trail cores, we follow the different strategies presented 795 in [DV12, MDV17, DHP<sup>+</sup>20, DMA22]. We used parts of KECCAKTOOLS [DHVV13] and 796 XOOTOOLS [DHVV18a] for some routines for trail extension. All our tests are run on a 797 server equipped with an AMD EPYC 7552 48-Core Processor @2.20GHz. We exploited 798 the multicore architecture to run some of our tests in parallel, but execution times are 799 reported as single core costs in the following. We round up the execution time to the 800 closest integer. 801

In some cases, we compare our execution time to that reported in [EME22], which uses machines equipped with Intel Xeon E5-2669 and E5-4669 v4 @2.20GHz. Even if the machines are different, and thus execution times are not perfectly comparable, we can observe that our methods allow us to scan larger spaces than what was possible with the solvers-based method of [EME22].

In the following, we denote by  $\mathcal{D}_r^T$  the space of all *r*-round differential trail cores with weight < T, i.e. at most T - 1. Similarly, we denote by  $\mathcal{L}_r^T$  the space of all *r*-round differential trail cores with weight < T.

### 6.1 Results on 3 rounds: tight bound and all low-weight trails

Since the best known 3-round differential and linear trails have weight 40 [DEMS15] and 28 [DEM15] respectively, we scanned the spaces  $\mathcal{D}_3^{41}$  and  $\mathcal{L}_3^{30}$  to check whether they are the lightest trails<sup>1</sup>. Our experimental results confirmed the results for differential trails in [EME22, MR22] and proved that 28 is the tight bound for linear trails. In fact, we found 2 differential trail cores of weight 40, 1 linear trail core of weight 28, and no trail cores with lower weight. The search took less than 3 minutes for differential trails and less than 4 seconds for linear trails.

<sup>&</sup>lt;sup>1</sup>Notice that to prove that they are the lightest trails, it is sufficient to scan the spaces  $\mathcal{D}_3^{40}$  and  $\mathcal{L}_3^{28}$  and prove that they are empty. To check how many differential trail cores of weight 40 and linear trail cores of weight 28 there exist, we chose to scan larger spaces.

search	# coros	timo	search deta	ails	
space	# cores	unne	$\operatorname{step}$	$\#\ {\rm cores}$	time
			$2\mathbf{w}_{\mathrm{rev}}(a_1) + \mathbf{w}(b_1) < 40$	284,561	2m
$\mathcal{D}^{41}$	2	$3\mathrm{m}$	forward extension	2	4s
$\nu_3$	2		$\mathbf{w}(b_1) + 2\mathbf{w}(b_2) \le 40$	15,252	28s
			backward extension	0	2s
			$2\mathbf{w}_{\mathrm{rev}}(a_1) + \mathbf{w}(b_1) < 28$	1,935	1s
$C^{30}$	1	4s	forward extension	1	1s
$\boldsymbol{\mathcal{L}}_3$	T		$\mathbf{w}(b_1) + 2\mathbf{w}(b_2) \le 28$	972	1s
			backward extension	0	1s

Table 3: Details on the generation of canonical 3-round differential and linear trail cores below target weight 41 and 30, respectively.

To scan the above spaces, we followed the approach used in [DHVV18b], which is the 818 following. A 3-round trail core has weight  $w_{rev}(a^1) + w(b^1) + w(b^2)$ . We split all trail cores 819 in  $\mathcal{D}_3^{41}$  (resp.  $\mathcal{L}_3^{30}$ ) into two sets based on whether  $w_{rev}(a^1) < w(b^2)$  or  $w_{rev}(a^1) \ge w(b^2)$ . 820

• The former case implies that  $2w_{rev}(a^1) + w(b^1) < 40$  (resp. < 28). Such trail cores 821 can be obtained by generating all 2-round trail cores  $(a^1, b^1)$  satisfying this inequality and extending them in the forward direction by one round up to 40 (resp. 28). 823

822

• The latter case implies that  $w(b^1) + 2w(b^2) \le 40$  (resp.  $\le 28$ ). Such trails can be 824 obtained by generating all 2-round trail cores  $(a^2, b^2)$  satisfying this inequality and 825 then extending them in the backward direction by one round up to 40 (resp. 28).

Detailed execution times are given in Table 3 together with the number of trail cores found 827 in each step of the search.

Beyond proving bounds for 3-round trails, we are also interested in the distribution of 829 low-weight 3-round trails in ASCON. To this end, we also scanned the space  $\mathcal{D}_3^{51}$  (resp. 830  $\mathcal{L}_{32}^{32}$ ), and counted all 3-round trails contained in such cores with weight below 51 (resp. 831 52). To count trails, we used the code for backward extension to build all patterns  $b^0$ 832 compatible with  $a^1$  that satisfy  $w(b^0) + w(b^1) + w(b^2) < 51$  (resp. < 52) and we count 833 each of them  $w(b_2)$  times. Results are depicted in Fig. 9. We can notice that, per given 834 (even) weight  $\geq 40$ , the ratio between the number of linear trails and differential trails 835 ranges between 9.7 (for weight 46) and 66.5 (for weight 44). This is due to the fact that 836 the LAT of the ASCON S-box is more dense than its DDT. 837

#### 6.2 Results on 4 rounds: improved (non-tight) bounds 838

The best known 4-round differential and linear trails in ASCON have weight 107 and 839 98 respectively [DEMS15, DEM15], while the previously proved lower bound is 72 for 840 both [EME22]. 841

With our techniques, we scanned the spaces  $\mathcal{D}_4^{86}$  and  $\mathcal{L}_4^{88}$ . We found that both spaces 842 are empty, which implies that any 4-round differential trail has weight at least 86 and any 843 4-round linear trail has weight at least 88. This improves over known results, even if the 844 new bounds are still not tight. 845

Our search took around 13 days for differential trails and around 110 days for linear 846 trails. While in [EME22] the authors report a cost of 600 days each for differential and 847 linear trails to prove a bound of 72. Moreover, the authors in [EME22] estimate a cost of 848



Figure 9: Number of all canonical 3-round trails per weight.



Figure 10: Number of all canonical 2-round trail cores per weight.

6688 days to prove a bound of 80 whereas in [MR22], they estimate 3898 days to prove
 this bound. Therefore, with our method we could reach higher bounds with significantly
 less computational cost.

To scan the above spaces, we followed [DHVV18b]. Any 4-round differential (resp. linear) trail core with weight  $w_{rev}(a^1) + w(b^1) + w(b^2) + w(b^3) < 86$  (resp. < 88) has  $w_{rev}(a^1) + w(b^1) < 43$  (resp. < 44) or  $w(b^2) + w(b^3) < 43$  (resp. < 44). Otherwise, their sum would be at least 86 (resp. 88). We could thus generate all trail cores in  $\mathcal{D}_4^{86}$  (resp.  $\mathcal{L}_4^{88}$ ) by generating all 2-round trail cores in  $\mathcal{D}_4^{43}$  (resp.  $\mathcal{L}_2^{44}$ ) and extending them to 4 rounds below 86 (resp. 88). To perform extension to 4 rounds, we first extended to 3 rounds below 84 (resp. 86), since we know that the remaining round has weight at least 2.

Details on the number of trail cores found in each step of the search and the execution times are reported in Table 4. In Fig. 10, we report the number of all 2-round trail cores per given weight. Again, we can observe that (for even weights) the number of 2-round linear trail cores found is significantly higher than the number of 2-round differential trail cores. This difference of course reflects on the costs for extension.

search	# cores	time	search details	3	
space	T cores	unne	$\operatorname{step}$	# cores	time
			generation of $\mathcal{D}_2^{43}$	704,744,005	100h
$\mathcal{D}^{86}$	0	310h	forw.ext. to 3 rounds with $w < 84$	$2,\!421,\!335$	140h
$\nu_4$	0	51011	forw.ext. to 4 rounds with $w < 86$	0	$1\mathrm{m}$
			back.ext. to 3 rounds with $w < 84$	2,424	66h
			back.ext. to 4 rounds with $\mathrm{w} < 86$	0	3h
			generation of $\mathcal{L}_2^{44}$	11,866,934,404	397h
$C^{88}$	0	9641h	forw.ext. to 3 rounds with $w < 86$	44,850,380	1411h
$\boldsymbol{\omega}_4$	0	204111	forw.ext. to 4 rounds with $w < 88$	0	25m
			back.ext. to 3 rounds with w $< 86$	40,013	671h
			back.ext. to 4 rounds with $w < 88$	0	161h

Table 4: Details on the generation of canonical 4-round differential and linear trail cores with weight lower than 86 and 88, respectively. Timings are rounded to the closest integer.

### **6.3** Results on 5 rounds: new (non-tight) bounds

The best known differential trail over 5 rounds has weight 190 [DEMS15, GPT21], while the best known linear trail has weight 184 [MR22]. As far as we know, there are no proved lower bounds for 5-round trails, before this work. We can prove non-tight bounds of 100 for differential trails and 96 for linear trails. To this end, we scanned the spaces  $\mathcal{D}_5^{100}$  and  $\mathcal{L}_5^{96}$ , which resulted to be both empty. Our search took around 158 days for differential trails and around 127 days for linear trails.

To perform our search, we followed the approach of  $[DHP^+20]$ , to re-use the 2-round trail cores already built. We split the space  $\mathcal{D}_5^{100}$  (resp.  $\mathcal{L}_5^{96}$ ) into two sets. The first contains all 5-round trail cores with  $w_{rev}(a^1) + w(b^1) < 43$  (resp. < 44). To cover it, we extend all 2-round trail cores in  $\mathcal{D}_2^{43}$  (resp.  $\mathcal{L}_2^{44}$ ), that we already have, by 3 rounds in the forward direction below weight 100 (resp. 96). The second set contains all 5-round trail cores with  $w_{rev}(a^1) + w(b^1) \ge 43$  (resp.  $\ge 44$ ). This implies that  $w(b^2) + w(b^3) + w(b^4) < 57$  (resp. < 52). Therefore, we generated all 3-round trail cores in  $\mathcal{D}_3^{57}$  (resp.  $\mathcal{L}_3^{52}$ ) and extended them backwards below weight 100 (resp. 96).

<sup>879</sup> Details on the different steps of our search are reported in Table 5. As we didn't need to regenerate the 2-round trail cores in  $\mathcal{D}_2^{43}$  and  $\mathcal{L}_2^{44}$  (because we already generated them for the search over 4 rounds), we report the corresponding time between parentheses and we don't consider it in the total cost of this search.

# 6.4 Results on 6 rounds: improved bounds beyond $2^{-128}$

The previously proved lower bound on the weight of 6-round trails is 108, for both linear and differential trails [EME22]. With our techniques we can prove that the spaces  $\mathcal{D}_6^{129}$ and  $\mathcal{D}_6^{132}$  are both empty. It follows that any 6-round differential trail has weight at least 129 and any 6-round linear trail has weight at least 132. Even if our new bounds are still not tight, we are able to prove for the first time that 6-round trails in ASCON have differential probability or squared correlation lower than  $2^{-128}$ .

Our search took around 6 days for differential trails and around 21 days for linear trails. While in [EME22], the authors report a cost of 2 months each for differential and linear trails. Both in this work and in [EME22], results for 6 rounds are built on top of results on 3 and 4 rounds, whose cost is not included in the figures for 6 rounds. Even if we include

search	# cores	time	search details		
space	# cores	unne	$\operatorname{step}$	# cores	time
			generation of $\mathcal{D}_2^{43}$	704,744,005	(100h)
$\mathcal{D}^{100}$	0	2705h	for w.ext. by 3 rounds with $\mathrm{w} < 100$	0	3683h
$\nu_5$	0	57 5011	generation of $\mathcal{D}_3^{57}$	437	112h
			back.ext. by 2 rounds with $\mathrm{w} < 100$	0	3s
		3045h	generation of $\mathcal{L}_2^{44}$	11,866,934,404	(397h)
C <sup>96</sup>	0		for w.ext. by 3 rounds with $\mathrm{w} < 96$		3037h
$\mathcal{L}_5$	0		generation of $\mathcal{L}_3^{52}$	309	8h
			back.ext. by 2 rounds with w < 96 $$	0	1s

Table 5: Results on the generation of canonical 5-round differential and linear trail cores with weight lower than 100 and 96, respectively. Timings between parentheses mean that we can reuse previous results and they are not counted in the total amount of time.

<sup>894</sup> such costs in the total computational cost for 6 rounds, our technique still requires less
 <sup>895</sup> time compared to [EME22] to reach better bounds.

To scan the space  $\mathcal{D}_{6}^{129}$  (resp.  $\mathcal{L}_{6}^{132}$ ), we followed the approach of [DMA22]. First, we split the space in two subspaces that we denote  $\mathcal{S}_1$  and  $\mathcal{S}_2$ . The set  $\mathcal{S}_1$  contains all 6-round trail cores with  $w_{rev}(a^1) + w(b^1) + w(b^2) < 57$  (resp. < 52) or  $w(b^3) + w(b^4) + w(b^5) < 57$ (resp. < 52). The space  $\mathcal{S}_2$  is the complement of  $\mathcal{S}_1$ , that is the space of all 6-round trail cores with  $w_{rev}(a^1) + w(b^1) + w(b^2) \ge 57$  (resp.  $\ge 52$ ) and  $w(b^3) + w(b^4) + w(b^5) \ge 57$ (resp.  $\ge 52$ ).

The details of our search are summarized here.

Scanning  $S_1$  starting from  $\mathcal{D}_3^{57}$  (resp.  $\mathcal{L}_3^{52}$ ). The space  $S_1$  can be scanned by extending all 3-round trail cores in  $\mathcal{D}_3^{57}$  (resp.  $\mathcal{L}_3^{52}$ ) by 3 rounds below weight 129 (resp. 132). We 903 904 first extended all 3-round trails in the space by 3 rounds in the forward direction and then 905 by 3 rounds in the backward direction. To extend to 6 rounds, we first extended to 4 906 rounds below 121 (resp. 122) because we know that the two remaining rounds will weight 907 at least 8. Then we extended to 5 rounds below 127 (resp. 130) because we know that the 908 remaining round will weigh at least 2. For both differential and linear case, extension to 909 5 rounds resulted in an empty set. Therefore, we didn't need to perform extension to 6 910 rounds. 911

Scanning  $S_2$  starting from  $\mathcal{D}_2^{43}$  (resp.  $\mathcal{L}_2^{44}$ ). The space  $S_2$  is further split into three subsets. In fact, any 6-round trail core with weight below 129 (resp. 132) can be generated by starting from a 2-round trail core of weight below 43 (resp. 44) placed at the beginning, or in the middle, or at the end of the trail. In the first case, the 2-round trail core is extended by four rounds in the forward direction. In the second case, it is extended by two rounds in the forward direction and two rounds in the backward direction. In the last case, it is extended by four rounds in the backward direction.

- Starting from the beginning. To extend 2-round trail cores to 6 rounds, we performed extension by one round at the time each time limiting the weight up to which we perform extension, considering the minimum contribution of the remaining rounds.
- First, we extended 2-round trail cores to 3 rounds below 129 57 = 72 (resp. 132 52 = 80) because we are in the case where  $w(b^3) + w(b^4) + w(b^5) \ge 57$  (resp.

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search	# coros	timo	search details		
space	# cores	ume	$\operatorname{step}$	# cores	time
			generation of $\mathcal{D}_3^{57}$	437	112h
			for w.ext. by 3 rounds with w $< 129$	0	9h
			backw.ext. by 3 rounds with w $< 129$	0	11h
			generation of $\mathcal{D}_2^{43}$	704,744,005	(100h)
$\mathcal{D}_6^{129}$	0	135h	$\mathcal{D}_2^{43}$ at the beginning		
			- f.e. to 3 rounds with $57 \le w < 72$	43,465	(140h)
			- f.e. to 4 rounds with $w < 121$	0	3h
			$\mathcal{D}_2^{44}$ in the middle	0	-
			$\mathcal{D}_2^{44}$ at the end	0	-
			generation of $\mathcal{L}_3^{52}$	309	(8h)
			for w.ext. by 3 rounds with w $< 132$	0	7h
			backw.ext. by 3 rounds with $w < 132$	0	450h
			generation of $\mathcal{L}_2^{44}$	11,866,934,404	(397h)
<b>c</b> 132	0	409h	$\mathcal{L}_2^{44}$ at the beginning		
$\mathcal{L}_6$	0	49511	- f.e. to 3 rounds with $52 \le w < 80$	$5,\!171,\!116$	(1411h)
			- f.e. to 4 rounds with $w < 124$	14,082	36h
			- f.e. to 5 rounds with w $< 130$	0	1s
			$\mathcal{L}_2^{44}$ in the middle	0	-
			$\mathcal{L}_2^{44}$ at the end	0	-

Table 6: Results on the generation of canonical 6-round differential and linear trail cores with weight lower than 129 and 132, respectively. Timings between parentheses mean that we can reuse previous results and they are not counted in the total amount of time. - means that the step was not performed, because we know it leads to an empty space.

<sup>925</sup>  $\geq 52$ ). Among the obtained 3-round trail cores, we kept only those satisfying <sup>926</sup>  $w_{rev}(a^1) + w(b^1) + w(b^2) \geq 57$  (resp.  $\geq 52$ ) because otherwise they belong to  $S_1$ . <sup>927</sup> Notice that the set of such trail cores is a subset of the set obtained during the search <sup>928</sup> over 4 rounds. In that case in fact, we extended all trail cores in  $\mathcal{D}_2^{43}$  (resp.  $\mathcal{L}_2^{44}$ ) <sup>929</sup> to 3 rounds below weight 84 (resp. 86). Therefore, we did not need to perform this <sup>930</sup> step but we just extracted the needed trail cores from such set.

- Then, we extended the obtained 3-round trail cores to 4 rounds below 129 8 = 121(resp. 132 - 8 = 124) because we know that  $w(b^4) + w(b^5) \ge 8$ , since any 2-round trail has weight at least 8.
- The obtained 4-round trail cores were then extended to 5 rounds below 129 2 = 127(resp. 132 - 2 = 130) because we know that  $w(b^5) \ge 2$ .
- Finally, we extended the obtained 5-round trail cores to 6 rounds below 129 (resp. 132).
- Notice that, for differential trails, extension to 4 rounds already resulted in an empty
   set. Therefore, extension to 5 and 6 rounds was not performed. For linear trails, it
   is extension to 5 rounds that gave an empty set. Therefore, we could skip extension
   to 6 rounds.
- Starting from the middle. We can assume that  $w_{rev}(a^1) + w(b^1) \ge 43$  (resp.

 $\geq 44$ ) because the other case is covered in the previous step. First, we need to perform forward extension to 4 rounds below 129 - 43 = 86 (resp. 132 - 44 = 88) because  $w_{rev}(a^1) + w(b^1) \geq 43$  (resp.  $\geq 44$ ). Notice that we already performed this search in Section 6.2. In fact, this was part of the search to build  $\mathcal{D}_4^{86}$  (resp.  $\mathcal{L}_4^{88}$ ), which is empty. Therefore, we did not need to perform this step of the search.

Starting from the end. We can assume that w<sub>rev</sub>(a<sup>1</sup>) + w(b<sup>1</sup>) ≥ 43 (resp. ≥ 44) and w(b<sup>2</sup>) + w(b<sup>3</sup>) ≥ 43 (resp. ≥ 44), because the opposite is already covered in the two previous steps. First, we need to perform backward extension to 4 rounds below 129 - 43 = 86 (resp. 132 - 44 = 88) because w<sub>rev</sub>(a<sup>1</sup>) + w(b<sup>1</sup>) ≥ 43 (resp. ≥ 44). Again, we already performed this search in Section 6.2 to build D<sup>86</sup><sub>4</sub> (resp. L<sup>88</sup><sub>4</sub>). As we already know that this leads to an empty set, we can jump this step of the search.

Figures on the number of trail cores found in each step of the search and details on the execution time of each step are given in Table 6. When we can reuse trail cores generated in previous searches, we put the corresponding computational time between parentheses and we don't include it in the total cost. When a step is not performed because we know that it leads to an empty space, we put a dash.

# **6.5** Results on 8 rounds: improved (non-tight) bounds

Since  $\mathcal{D}_4^{86}$  and  $\mathcal{L}_4^{88}$  are empty, we can claim that also  $\mathcal{D}_8^{172}$  and  $\mathcal{L}_8^{176}$  are empty. In fact, if 960 we split any 8-round differential (resp. linear) trail with weight < 172 (resp. < 176) in 961 two 4-round trails, at least one of the two must have weight < 86 (resp. < 88). Otherwise, 962 their sum would be > 172 (resp. > 176). Therefore, all 8-round differential (resp. linear) 963 trails with weight below 172 (resp. 176) can be obtained by the extension of all 4-round 964 trails with weight below 86 (resp. 88). But, we know that such 4-round trails do not exist. 965 Therefore, also such 8-round trails do not exist. It follows that 172 is a lower bound on 966 the weight of any 8-round differential trail and 176 is a lower bound on the weight of any 967 8-round linear trail. Such bounds improve over previous known bound, which was 144 for 968 both differential and linear trails. However, they are still non-tight. 969

# $_{\scriptscriptstyle 970}$ 6.6 Results on 12 rounds: improved bounds beyond $2^{-256}$

With a reasoning similar to the one used for 8 rounds, we can prove that the spaces  $\mathcal{D}_{12}^{258}$ and  $\mathcal{L}_{12}^{264}$  are empty, given that the spaces  $\mathcal{D}_{6}^{129}$  and  $\mathcal{L}_{6}^{132}$  are empty. It follows that any 12-round differential trail has weight at least 258 and any 12-round linear trail has weight at least 264. Such bounds improve over previous known bound, which was 216 for both differential and linear trails. Even if our new bounds are still non-tight, they allow us to prove for the first time that 12-round trails in ASCON have differential probability or squared correlation lower than  $2^{-256}$ .

# **6.7** Results on 7, 9, 10, and 11 rounds: improved (non-tight) bounds

Based on the results obtained for 4, 5, and 6 rounds, we can derive bounds on 7, 9, 10,
and 11 rounds. We explain how to do it for 10 rounds by combining the results for 4 and
6 rounds. Then we show how to obtain bounds for the other numbers of rounds similarly.

We can cover the space  $\mathcal{D}_{10}^{215}$  (resp.  $\mathcal{L}_{10}^{220}$ ) in the following way. We split the set in two subsets. The first contains all 10-round trail cores with  $w_{rev}(a^1) + w(b^1) + w(b^2) + w(b^3) < 86$ (resp. 88), while the second set is its complement. We can cover the first set by extending all 4-round trail cores in  $\mathcal{D}_4^{86}$  (resp.  $\mathcal{L}_4^{88}$ ) by 6 rounds in the forward direction below 215 (resp. 220). The second set contains all 10-round trails with  $w_{rev}(a^1) + w(b^1) + w(b^2) + w(b^3) \ge 86$ (resp. 88), which implies that the other 6 rounds have weight below 129 (resp. 132). Therefore, we can cover it by extending all 6-round trail cores in  $\mathcal{D}_6^{129}$  (resp.  $\mathcal{L}_6^{132}$ ) by 4

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<sup>989</sup> rounds in the backward direction below 215 (resp. 220). Since both  $\mathcal{D}_4^{86}$  and  $\mathcal{D}_6^{129}$  (resp. <sup>990</sup>  $\mathcal{L}_4^{88}$  and  $\mathcal{L}_6^{132}$ ) are empty, then also  $\mathcal{D}_{10}^{215}$  (resp.  $\mathcal{L}_{10}^{220}$ ) is empty. Therefore, 215 and 220 <sup>991</sup> are lower bounds on the weight of 10-round differential and linear trails, respectively.

For the other numbers of rounds, we consider the combination that yields the best bounds. For 7 rounds, we can prove a bound of 131 for differential trails and 134 for linear trails, considering the results on 6 rounds and that 1 round weights at least 2. For 9 rounds, we combine the results for 4 and 5 rounds and obtain a bound of 186 for differential trails and 184 for linear trails. Finally, for 11 rounds we obtain a bound of 229 for differential trails and 228 for linear trails, by combining the results for 5 and 6 rounds.

For the sake of comparison, we can apply the same reasoning to the results presented in [EME22]. We can derive bounds for r rounds from the bounds on r-1 rounds, considering that one round has minimum weight 2. For differential and linear trails, this gives a bound of 74 for 5 rounds, of 110 for 7 rounds, of 146 for 9 rounds, and 182 for 11 rounds.

# 1003 7 Conclusions

In this work, we presented a dedicated tool for trail search in ASCON, based on the 2-round trail core generation methods given in [MDV17] and improved methods for extension based on the works done in [DV12, DHVV18b]. Using these techniques, we proved tight bound for 3-rounds linear trails and improved the existing bounds for other number of rounds. In particular, we prove for the first time bounds beyond  $2^{-128}$  for 6 rounds, and for 12 rounds bounds beyond  $2^{-256}$ . Our approach improves and proves bounds in a reasonable amount of time and it confirms that dedicated tools can still outperform methods based on general-purpose solvers.

As a takeaway from this and previous works on KECCAK-*p* [MDV17], XOODOO [DHVV18b], and SUBTERRANEAN [MMGD22] we highlight that:

• For the 2-round trail search stage, the linear layers of ASCON and SUBTERRANEAN allow a simpler definition of units compared to KECCAK-*p* and XOODOO where a more complex linear layer is used.

• A non-linear layer based on the parallel application of small S-boxes (as in KECCAK-*p*, XOODOO and ASCON) implies a simpler analysis of the propagation properties compared to the non-linear layer of SUBTERRANEAN. In the latter case, the backward extension is more complex, and the definition of the minimum reverse weight requires a thorough proof which makes it more complicated.

# **Acknowledgements**

Solane El Hirch and Silvia Mella are supported by the Cryptography Research Center of the Technology Innovation Institute (TII), Abu Dhabi (UAE), under the TII-Radboud project with title *Evaluation and Implementation of Lightweight Cryptographic Primitives* and Protocols.

<sup>1027</sup> Alireza Mehrdad and Joan Daemen are supported by the European Research Council under <sup>1028</sup> the ERC advanced grant agreement under grant ERC-2017-ADG Nr. 788980 ESCADA.

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# 1135 **A** Representation of the affine spaces over S.

<sup>1136</sup> In Table 7, for each possible column difference, we provide a representation of the affine <sup>1137</sup> space of compatible differences at the output of S, the restriction weight, and the minimum <sup>1138</sup> reverse weight. In Table 8, for each possible column mask, we provide a representation of <sup>1139</sup> the affine space of compatible masks at the input of S, the correlation weight, and the <sup>1140</sup> minimum reverse weight.

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difference	Affine space after $\mathcal{S}$	$w_{\rm r}(\cdot)$	$w_{\rm rev}(\cdot)$
00000	00000	0	0
00001	$01001 + \langle 00010, 00100, 10001 \rangle$	3	2
00010	$10001 + \langle 00010, 00100, 01000 \rangle$	3	2
00011	$00001 + \langle 00100, 01000, 10001 \rangle$	3	3
00100	$00110 + \langle 01000, 10000 \rangle$	2	2
00101	$10001 + \langle 00010, 01001, 01100 \rangle$	3	3
00110	$00001 + \langle 00010, 00100, 01000, 10000 \rangle$	4	2
00111	$00010 + \langle 00001, 00100, 01000 \rangle$	3	3
01000	$00110 + \langle 00001, 01000, 10000 \rangle$	3	2
01001	$00001 + \langle 00010, 10001, 10100, 11000 \rangle$	4	2
01010	$00001 + \langle 00101, 00110, 01000, 10000 \rangle$	4	2
01011	$00010 + \langle 00001, 00100, 01000, 10000 \rangle$	4	2
01100	$00001 + \langle 10001, 11000 \rangle$	2	2
01101	$00001 + \langle 00010, 00100, 10001, 11000 \rangle$	4	3
01110	$00001 + \langle 00101, 00110, 10000 \rangle$	3	2
01111	$01000 + \langle 00001, 00100, 10000 \rangle$	3	3
10000	$01001 + \langle 00010, 10001 \rangle$	2	2
10001	$10001 + \langle 00010, 00100 \rangle$	2	2
10010	$00001 + \langle 00010, 00100, 01000, 10001 \rangle$	4	3
10011	$00010 + \langle 00110, 01000 \rangle$	2	2
10100	$00100 + \langle 00001, 00010, 01000 \rangle$	3	3
10101	$00101 + \langle 00010, 10100, 11000 \rangle$	3	2
10110	$10000 + \langle 00001, 00010, 00100, 01000 \rangle$	4	2
10111	$00010 + \langle 00110, 01000, 10000 \rangle$	3	2
11000	$00100 + \langle 00001, 00010, 01000, 10000 \rangle$	4	2
11001	$01000 + \langle 00101, 10110, 11000 \rangle$	3	2
11010	$00001 + \langle 00100, 01001, 01010, 10000 \rangle$	4	2
11011	$00010 + \langle 00001, 00110, 01000, 10000 \rangle$	4	3
11100	$00001 + \langle 00010, 10001, 11000 \rangle$	3	3
11101	$01000 + \langle 00110, 10101, 11000 \rangle$	3	3
11110	$01000 + \langle 00001, 00010, 00100, 10000 \rangle$	4	2
11111	$00010 + \langle 00001, 00110, 10000 \rangle$	3	3

Table 7: Space of compatible differences at the output of  $p_S$ , restriction weight, and minimum reverse weight for all possible column differences.

Table 8: Space of compatible masks at the input of  $p_S$ , correlation weight, and minimum reverse weight for all possible column masks.

mask	Affine space before $\mathcal{S}$	$w_{\rm c}(\cdot)$	$w_{\rm rev}(\cdot)$
00000	00000	0	0
00001	$00011 + \langle 01000, 10001 \rangle$	2	2
00010	$01100 + \langle 00011, 10000 \rangle$	2	2
00011	$00100 + \langle 00001, 00010, 01000, 10000 \rangle$	4	2
00100	$01100 + \langle 00001, 00010 \rangle$	2	2
00101	$00100 + \langle 00001, 00010, 01000, 10000 \rangle$	4	2
00110	$00001 + \langle 10001, 10010 \rangle$	2	2
00111	$00010 + \langle 10001, 11010 \rangle$	2	2
01000	$10001 + \langle 01010, 01100 \rangle$	2	2
01001	$00001 + \langle 00010, 00100, 01000, 10001 \rangle$	4	2
01010	$00001 + \langle 01001, 01010, 01100, 10000 \rangle$	4	2
01011	$00010 + \langle 00001, 00100, 10010, 11000 \rangle$	4	2
01100	$10000 + \langle 00001, 00010, 00100, 01000 \rangle$	4	2
01101	$00001 + \langle 00010, 00101, 01000, 10000 \rangle$	4	2
01110	$00001 + \langle 10001, 10010, 10100, 11000 \rangle$	4	2
01111	$00010 + \langle 00001, 01010, 01100, 10000 \rangle$	4	2
10000	$00011 + \langle 01000, 10101 \rangle$	2	2
10001	$10001 + \langle 00100, 01000 \rangle$	2	2
10010	$00001 + \langle 00101, 00110, 01000, 10000 \rangle$	4	2
10011	$00001 + \langle 00011, 00100, 01000, 10000 \rangle$	4	2
10100	$00100 + \langle 00001, 00010, 01000, 10100 \rangle$	4	4
10101	$10000 + \langle 00001, 00010, 00100, 01000 \rangle$	4	2
10110	$00001 + \langle 00100, 01000, 10001, 10010 \rangle$	4	2
10111	$00001 + \langle 00100, 01000, 10001, 10010 \rangle$	4	2
11000	$00001 + \langle 00010, 00100, 01000, 10001 \rangle$	4	2
11001	$00100 + \langle 00010, 01100 \rangle$	2	2
11010	$00001 + \langle 00010, 00100, 10001, 11000 \rangle$	4	2
11011	$00100 + \langle 00001, 00010, 01100, 10000 \rangle$	4	2
11100	$00001 + \langle 00010, 01000, 10001, 10100 \rangle$	4	2
11101	$01000 + \langle 00010, 01101 \rangle$	2	2
11110	$00001 + \langle 00010, 10001, 10100, 11000 \rangle$	4	2
11111	$00100 + \langle 00001, 00010, 01100, 10000 \rangle$	4	2