## ORTOA: One Round Trip Oblivious Access

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## ABSTRACT

Cloud based storage-as-a-service is quickly gaining popularity due to its many advantages such as scalability and pay-as-you-use cost model. However, storing data in the clear on third-party servers creates vulnerabilities, especially pertaining to data privacy. Applications typically encrypt their data before off-loading it to cloud storage to ensure data privacy. To serve a client's read or write requests, an application either reads or updates the encrypted data on the cloud, revealing the type of client access to the untrusted cloud. An adversary however can exploit this information leak to compromise a user's privacy by tracking read/write access patterns. Existing approaches (used in Oblivious RAM (ORAM) and frequency smoothing datastores) hide the type of client access by always reading the data followed by writing it, sequentially, irrespective of a read or write request, rendering one of these rounds redundant with respect to a client request. To mitigate this redundancy, we propose ORTOA- a One Round Trip Oblivious Access protocol that reads or writes data stored on remote storage in one round without revealing the type of access. To our knowledge, ORTOA is the first generalized protocol to obfuscate the type of access in a single round, reducing the communication overhead in half. ORTOA hides the type of individual access as well as the read/write workload distribution of an application, and due to its generalized design, it can be integrated with many existing obliviousness techniques that hide access patterns such as ORAM or frequency smoothing. Our experimental evaluations show that ORTOA's throughput is 2.8x that of a baseline that requires two rounds to hide the type of access; and the baseline incurs 1.9x higher latency than ORTOA. **PVLDB Reference Format:** 

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#### **1** INTRODUCTION

Due to the high cost of owning and maintaining an on-premise storage fleet, many, if not most, modern applications outsource their data storage to third party cloud providers such as Amazon AWS or Microsoft Azure. However, outsourcing an application's data in plaintext can reveal sensitive information to a potentially non-trustworthy cloud provider. Many applications protect their data with the standard technique of data encryption.

Encrypted databases such as CryptDB [37] consist of a trusted *front-end* that stores the encryption key and routes all client requests to the untrusted storage server. A simple encrypted key-value store (supporting single key GET/PUT requests) design serves client requests as follows: for read requests the front-end reads the appropriate encrypted value from the storage, decrypts the value, and responds to the client. Whereas for write requests, the front-end encrypts the value updated by the client and writes the encrypted value to the storage.

This common approach of reading and writing encrypted data allows an adversary controlling the cloud to distinguish between read and write requests since only write requests update the database. Revealing the type of access – read vs. write – can violate an end user's or an application's privacy.

At an individual level, consider a banking application example where a client either views their balance or updates it upon a purchase. Even with the balance information encrypted, an adversary learns when a user updates their balance. This information combined with location data, which many mobile applications implicitly track, can reveal with a high probability when (and where) a user transacted for goods or services, violating the user's privacy. In fact, a recent attack by John et. al. [30] utilized observing only write accesses to perform a privacy attack.

At an application level, an application is incentivized to hide the type of service it provides because side channel attacks such as [29] exploit such meta-data to reveal sensitive information. However, an application cannot maintain anonymity of its service even with encrypting its data because the read vs. write pattern of an application often reveals the type of service provided. For example, social network applications tend to be extremely read-heavy [13], whereas IoT applications lean write-heavy [16].

Essentially, revealing the type of access on encrypted data poses privacy challenges both at an individual and an application level. A straightforward approach to address this privacy challenge is to hide the type of operation by always reading an object followed by writing it, irrespective of the type of client request (oblivious datastores that use ORAM [26] or frequency smoothing [28] deploy this two round technique to hide the type of operation).

This sequential two round solution doubles the end-to-end latency for *each* client access compared to plaintext datastores. The trusted front-end, from here on referred to as *proxy*, often communicates with the untrusted storage server over WAN, aggravating the latency problem. For companies such as Amazon and Google, end-to-end latency directly impacts revenue. For example, Amazon loses 1% revenue (worth \$3.8 billion!) for every 100 ms lag in loading pages [1]; Google's traffic drops by 20% if search results take an additional 500 ms to load [5].

Furthermore, with increasing privacy laws such as GDPR [3] that prohibit data movement across continents, requiring two rounds of cross-continent communication for each request becomes too expensive. With restricted data movement and due to the high penalty of increased end-to-end latency, we believe that new protocols should trade-off sending larger amounts of data for reduced number of communication rounds.

In this work, we propose *ORTOA*, a one round trip data access protocol that hides the type of client access to efficiently address the privacy challenges caused by revealing the type of access. ORTOA hides the type of individual client access as well as the read/write distribution of an application.

# 1.1 Challenges with designing a one round access oblivious protocol

To highlight the challenges of designing a one-round access oblivious protocol, we first present two naive solutions. To hide the type of client operation from an adversary, it is necessary for both read and write requests to be indistinguishable. Hence, both operations need to read *and* write a given physical location.

More specifically, a read request should write back the same value it read, while a write request should write the new value, potentially distinct from the value it read. The two round protocol executes this as follows: (i) fetch the requested data object by performing a read, (ii) decrypt the value, (iii) either encrypt the new value for writes or re-encrypt the fetched value for reads, and (iv) write the freshly encrypted value back to the server. Note that standard encryption schemes such as AES produce different ciphertexts even if the same value is encrypted multiple times; hence, an adversary cannot distinguish between updated value encryptions or same value re-encryptions.

Reducing the two rounds of this protocol to a single round is straightforward for write requests: for each write request, the proxy encrypts the new value and propagates the encrypted value to the server without fetching the object's value first (steps i and ii). But this technique does *not* work for read requests: the proxy cannot re-encrypt an object's value (which is stored only at the server) without fetching the value first. Hence, the proxy needs to perform a read first and then write the re-encrypted value, rendering the one round approach moot.

Another naive solution to perform read-followed-by-write in a single round trip is to treat all client requests as read-modifywrite transactions. Typically, for read-modify-write transactions, a client interactively reads an object (after acquiring a write-lock), modifies the read value, and writes back the updated value. This can be converted to a non-interactive approach by modifying the storage server to support this type of operation independently without sending the read values to a client (proxy, in our case). In this naive solution, the proxy sends an encrypted new value for writes or encrypted dummy value for reads and the server executes a read-modify-write operation. But the challenge here is: the readmodify-write operation should re-write the existing value for read requests or update the value for write requests; this differentiation reveals the type of client query. Hence, a single round solution such as this cannot be used without compromising privacy.

#### **1.2 Intuitions for ORTOA**

We observe that the above discussed challenges exist primarily because of the way data values are stored at the server, i.e, using standard encryption of plaintext values, which disallows the server from updating values independently. To mitigate these challenges, ORTOA explores alternate approaches to represent and store data values. In particular, ORTOA, inspired by garbled circuit constructions [32, 42], represents plaintext values in a binary format and encodes each bit with a *secret label* generated using pseudo-random functions (PRFs); only these encoded labels are stored at the server. PRFs are deterministic encoding functions that produce the same output when invoked any number of times with the same input list. If a plaintext value for an object with id *id* is 01, then the server stores labels  $< l_0, l_1 >$ , which are the outputs of < PRF(id, 0), PRF(id, 1) >. Intuitively, ORTOA updates the labels after each access – read or write – to an object because updating the labels only for write requests will reveal the type of operation. The core idea of ORTOA lies in how the proxy and the server communicate to update the labels for both read and write requests *in a single round* (§4).

**Paper organization**: The paper is organized as follows: §2 presents the system and security model; §3 proposes a one-round oblivious access solution using an existing cryptographic primitive, fully homomorphic encryption, and discusses the impracticality of this approach. §4 then presents our novel protocol, ORTOA, to obliviously read or write in one round, followed by §5 discussing protocol optimizations. §6 presents an experimental evaluations of ORTOA. §7 discusses related work and §8 provides the security definition.

#### 2 SYSTEM AND SECURITY MODEL

#### 2.1 System Model

ORTOA is designed for key-value stores where a unique key identifies a given data object and the key-value store supports single key GET and PUT operations. The data is stored on an external server(s) managed by a third party, analogous to renting servers from third party cloud providers.

We assume the external server that stores the data to be untrusted. Furthermore, the system uses a proxy model commonly deployed in many privacy preserving data systems [28, 37–39]. The proxy is assumed to be trusted and the clients interact with the external server by routing requests through the proxy. Alternately, the system can also be viewed as a single trusted client interacting with the externally stored data on behalf of users from within the trusted domain. The proxy is a stateful entity and remains highly available; ensuring high availability of the proxy is orthogonal to the protocol presented here.

All communication channels – clients to proxy, proxy to server – are asynchronous, unreliable, and insecure. The adversary can view (encrypted) messages, delay message deliveries, or reorder messages. All communication channels use encryption mechanisms such as transport layer security [7] to mitigate message tampering.

### 2.2 Data and Storage Model

Each object consists of a unique key and a value, where all values are of equal length – an assumption necessary to avoid any leaks based on the length of the values (equal length can be achieved by padding). Neither an object's key nor its value is stored in the clear at the server. For a given key-value object < k, v >, the keys are encoded using pseudorandom functions (PRFs)<sup>1</sup>. A PRF's determinism permits a proxy to encode a given key multiple times while resulting in the same encoding; this encoding can then be used to access the value of a given key from the server. We use a procedure *Enc* to encode the values (this procedure differs from §3 to §4). For a key k and its corresponding value v, the server essentially stores < *PRF*(k), *Enc*(v) >.

<sup>&</sup>lt;sup>1</sup>Alternate to PRFs, searchable encryption schemes can also be used. The main requirement is to have a deterministic encoding of plaintext keys.

#### 2.3 Threat Model

As mentioned earlier, this work focuses on hiding the type of access generated by clients. We assume an honest-but-curious adversary that wants to learn the type of access performed by clients without deviating from executing the designated protocol correctly. The adversary can control the external server as well as all the communication channels – proxy to external server and clients to proxy. We further assume the adversary can access (encrypted) queries to and from a sender and can inject queries (say by compromising clients), a commonly used adversarial model [17, 34, 38, 39].

**Non-goals:** ORTOA does not hide the actual physical locations accessed by client requests and hence is vulnerable to attacks based on access patterns, similar to encrypted databases such as CryptDB [37] or Arx [36] (however, ORTOA protects encrypted databases from attacks based on exposing the type of operation). ORTOA does not aim to protect an application from timing based side channel attacks or implementation based backdoor attacks.

#### **3 FHE BASED SOLUTION**

After discussing a few non-private or infeasible one round naive solution in §1, this section presents a one round mechanism to hide the type of accesses using an existing cryptographic primitive, Fully Homomorphic Encryption (FHE) [12, 20, 23]. This is a theoretically viable but practically infeasible one round access oblivious solution. We first provide a high-level overview of FHE before presenting a one round solution.

#### **3.1 Fully Homomorphic Encryption (FHE)**

Homomorphic encryption is a form of encryption scheme that allows computing on encrypted data without having to decrypt the data, such that the result of the computation remains encrypted. Homomorphic encryption schemes add a small random term, called *noise*, during the encryption process to guarantee security. A homomorphic encryption function  $\mathcal{HE}$  takes a secret-key *sk*, a message *m*, and a noise value *n* as input and produces the ciphertext, *ct*, as output as shown in Equation 1. The corresponding decryption function  $\mathcal{HD}$  takes the secret-key and the ciphertext as input to produce message *m*:

$$ct = \mathcal{HE}(sk, m, n);$$
  $m = \mathcal{HD}(sk, ct)$  (1)

An important property of a homomorphic encryption scheme is that the noise must be small; in fact, the decryption function fails if the noise becomes greater than a threshold value, a value that depends on a given FHE scheme.

Homomorphic encryption schemes allow computing over encrypted data. Some homomorphic encryption schemes support addition [10, 35] and some other schemes support multiplication [19]. A fully homomorphic encryption (FHE) scheme supports both addition and multiplication on encrypted data [12, 20, 23]. An FHE scheme,  $\mathcal{FHE}$ , applied on two messages m1 and m2 (and two noise values n1 and n2) can perform the following two operations:

 $\mathcal{FHE}(m1;n1) + \mathcal{FHE}(m2;n2) = \mathcal{FHE}(m1+m2;n1+n2) \quad (2)$ 

$$\mathcal{FHE}(m1;n1) * \mathcal{FHE}(m2;n2) = \mathcal{FHE}(m1 * m2;n1 * n2) \quad (3)$$

For small noise values n1 and n2, decrypting  $\mathcal{FHE}(m1+m2; n1+n2)$  results in the plaintext addition of m1+m2, and similarly decrypting

 $\mathcal{FHE}(m1 * m2; n1 * n2)$  results in the plaintext multiplication of m1 \* m2. As illustrated above, each homomorphic operation increases the amount of noise included in the encrypted value.

#### 3.2 One-round oblivious read-write using FHE

We propose a technique to use FHE to execute read and write operations in a single round of communication to the external key-value store. Specifically, this section uses an FHE scheme as the encoding procedure *Enc* specified in Section 2.2 to encrypt the values of the key-value store. For a given key-value pair, the server stores  $< PRF(k), \mathcal{FHE}(v) >$ .

Let  $v_{old}$  be the current value of a given data object, which is stored only at the server (after encrypting  $\mathcal{FHE}(v_{old})$ ), and let  $v_{new}$  be the updated value of the object, for a write operation (and an 'empty' value for a read). The challenge is to develop an FHE procedure (or computation) PR with parameters  $\mathcal{FHE}(v_{old})$  and  $\mathcal{FHE}(v_{new})$  such that:

For reads : 
$$\Pr(\mathcal{FHE}(v_{old}), \mathcal{FHE}(v_{new})) = \mathcal{FHE}(v_{old})$$
  
For writes :  $\Pr(\mathcal{FHE}(v_{old}), \mathcal{FHE}(v_{new})) = \mathcal{FHE}(v_{new})$ 
(5)

With such a procedure, the external server can execute the same procedure PR for both read and write requests but the result of PR would vary depending on the type of access. If we can design such a procedure, since the server already stores  $\mathcal{FHE}(v_{old})$ , the proxy only needs to send  $\mathcal{FHE}(v_{new})$  in a single round and expect the correct result for either type of operations.

To develop such a procedure, the proxy creates a two-dimensional binary vector  $C = [c_r, c_w]$  where  $c_r$  is 1 for read operations (otherwise 0) and  $c_w$  is a 1 for write operations (otherwise 0). To see how the vector can be helpful, briefly disregard any data encryption and consider the data in the plain. We construct a procedure PR':

**PROCEDURE**  $Pr'(v_{old}, v_{new}, [c_r, c_w])$ : RETURN  $(v_{old} * c_r) + (v_{new} * c_w)$ 

For reads, when  $c_r = 1$  and  $c_w = 0$ , the result of PR' is  $v_{old}$ ; otherwise, for writes when  $c_r = 0$  and  $c_w = 1$ , the result of PR' is  $v_{new}$ . The above procedure gives us the desired functionality, albeit with no encryption. Given that FHE encrypted values can be added and multiplied, PR' can be refined to procedure PR to include FHE encrypted inputs:

#### Procedure

 $\Pr(\mathcal{FHE}(v_{old}), \mathcal{FHE}(v_{new}), [\mathcal{FHE}(c_r), \mathcal{FHE}(c_w)]):$ RETURN  $\mathcal{FHE}(v_{old}) * \mathcal{FHE}(c_r) + \mathcal{FHE}(v_{new}) * \mathcal{FHE}(c_w)$ 

With Procedure PR that results in the desired outcomes as defined in Equation 5, the next steps elaborate on the specific operations of the proxy and the server:

(1) Upon receiving either a Read(k) or a Write(k,  $v_{new}$ ) request from a client, the proxy creates vector C such that for reads, C = [1, 0] and for writes, C = [0, 1].

(2) Proxy then sends  $\mathcal{FHE}(C)$ , i.e.  $[\mathcal{FHE}(c_r), \mathcal{FHE}(c_w)]$ , along with  $\mathcal{FHE}(v_{new})$ , where  $v_{new}$  is dummy for reads. It also sends PRF(k) so that the server can identify the location to access.



Figure 1: Overview of the steps executed by the proxy and the server to access an object with key k whose value size, l, is 1.

(3) The server, upon receiving the encoded key along with the 3 encrypted entities, reads the value currently stored at key PRF(k). The server then executes Procedure PR by using the stored value  $\mathcal{FHE}(v_{old})$  and the 3 entities sent by the proxy. The server then updates its stored value to the output of the computation and sends the output back to the proxy.

(4) Given that either  $c_r$  or  $c_w$  is 0, Procedure PR's output will either be  $\mathcal{FHE}(v_{old})$  for reads or  $\mathcal{FHE}(v_{new})$  for writes. For reads, the proxy decrypts  $\mathcal{FHE}(v_{old})$  using FHE's secret-key to retrieve the data object's value. For writes, the proxy ignores the returned value.

Thus, by leveraging the properties of FHEs that allow computing on encrypted data, specifically executing Procedure PR, we theoretically showed how to read or write data in one round without revealing the type of access.

#### 3.3 Challenges with FHE based solution

Although we have shown the theoretical feasibility of using FHE to read or write data obliviously in one round, this approach is not practically feasible, mainly due to the noise n necessary for homomorphic encryption (as shown in Equations 2 and 3). As noted above, the noise increases with each homomorphic computation, with the increase being especially drastic for multiplications, which the Procedure PR requires for both read and write accesses.

To gauge the practicality of the above described FHE based solution, we developed and evaluated a prototype of the solution. The prototype used Microsoft SEAL [6] FHE library with BFV [20] scheme. The evaluation used values of size 160B and 128-bit secret keys, and BFV coefficients set to their default in the SEAL library.

Our experiments revealed that after about 10 accesses to a specific object, the noise value grew too large for the FHE decryption to succeed, essentially rendering this solution impractical for any use in real deployments. The inevitable multiplication in Procedure PR for both reads and writes is the root cause of this infeasibility. We believe that our proposed FHE solution can be used in the future if better performing FHE schemes are invented that control the amount of noise amplification.

#### 4 ORTOA

Having shown that the use of an existing cryptographic primitive, Fully Homomorphic Encryption (FHE), as-is is impractical to provide the desired one round-trip oblivious access approach, we propose a novel protocol, ORTOA, that avoids FHE.

Since the existing encryption scheme, FHE, failed to provide the desired result, we take a step further and define a rather unique way

of encoding the data values stored at the external server. We first consider the plaintext value in its binary format. For each binary bit of the plaintext, the server stores a secret label generated by the proxy using pseudorandom functions. This idea of encoding bits using secret labels is inspired by garbled circuit constructions [32, 42]. More precisely, if k is a data object's key and v its plaintext value in binary, then the server stores:

$$< PRF(k), (sl_{b_1}^{(1)}, \dots, sl_{b_j}^{(j)}, \dots, sl_{b_\ell}^{(\ell)}) >$$

where  $\ell = |v|$ ,  $sl_{b_j}^{(j)}$  is a secret label corresponding to the  $j^{th}$  index of v from the left (indicated as the superscript) where j goes from 1 to  $\ell$ , and  $\forall j, b_j \in \{0, 1\}$  represents bit value 0 or 1 (indicated as the subscript). For example if  $\ell = 3$  and v = 101 (in binary notation), then the server stores  $(sl_1^{(1)}, sl_0^{(2)}, sl_1^{(3)})$ . The proxy generates secret labels using a pseudorandom function of the form PRF(k, j, b, ct)that takes as input the key k, position index j from left, the corresponding bit value b, and an access counter ct. Because PRFs are deterministic functions, invoking the chosen PRF with the same set of inputs any number of times will result in the same output secret label.

The goal of ORTOA is to read and write data in one round-trip, without revealing the type of access. Intuitively, it becomes evident that to hide reads from writes, every access to an object must write the data, which is what ORTOA does at a high level: it updates the secret labels of an object whenever a client accesses the object – be it for a read or a write. We use notation *ol* to represent the *old* secret label currently stored at the server and *nl* to represent the *new* label that would replace the old label. To be able to regenerate the last array of secret labels for a given object, the proxy maintains an access counter indicating the total access count of an object.

#### 4.1 An Illustrative Example

For ease of exposition, we first explain how ORTOA executes reads and writes using a simple example and Figure 1 depicts the overview. We formally present the protocol in the next section.

Recall that all data values are of the same length,  $\ell$  bits, indexed 1 to  $\ell$ . In this example, let  $\ell = 1$ , and let k be the specific key accessed by a client where the corresponding plaintext key-value tuple is  $\langle k, 0 \rangle$ , i.e., the value associated with k is 0. The server in-turn stores the corresponding encoded tuple  $\langle PRF(k), ol_0^{(1)} \rangle$  where  $ol_0^{(1)}$  is a secret label for bit value 0 (indicated as the subscript) at index 1 (indicated as the superscript).

**1.** Client: The client either sends a Req(Read, k) or a Req(Write, k, v') request to the proxy, where v' is an updated value for k. In this example, we assume v' is 1.

- 2. Proxy: The proxy, in response, executes the following steps:
  - 2.1 The proxy generates two **old** secret labels  $\langle ol_0^{(1)}, ol_1^{(1)} \rangle$  (where *ol* indicates old label) both for index 1 by calling *PRF*(*k*, 1, *b*, *ct*) where  $b \in \{0, 1\}$  and *ct* is *k*'s access counter. For each index, the proxy needs to generate labels for both bit values 0 and 1 *since it does not know the actual value, which is stored only at the server.*
  - 2.2 The proxy next generates two *new* labels  $< nl_0^{(1)}, nl_1^{(1)} >$  (where *nl* indicates new label) both for index 1 by calling

PRF(k, 1, b, ct+1) where  $b \in \{0, 1\}$  and it updates k's access count to ct + 1.

2.3 The details of this step depend on the type of access: for reads, the proxy encrypts each new secret label using the corresponding old secret label, thus generating two encryptions for index 1:  $E = [ < Enc_{ol_0^{(1)}}(nl_0^{(1)}), Enc_{ol_1^{(1)}}(nl_1^{(1)}) > ]$ 

Whereas for writes, assuming the updated value v' = 1, the proxy encrypts only the new label corresponding to the updated value v' = 1 using the old labels, i.e.:

 $E = [< Enc_{ol_{n}^{(1)}}(nl_{1}^{(1)}), Enc_{ol_{1}^{(1)}}(nl_{1}^{(1)}) >]$ 

2.4 The proxy next shuffles E pairwise, i.e, randomly reorders the two encryptions, to ensure that the first encryption does not always refer to bit 0 and the second to bit 1, and sends *E* to the external server.

**3. Server:** The external server, upon receiving *E* does the following:

3.1 For the pair of encryptions received, the server tries to decrypt both encryptions using its locally stored label. But since it stores only one old label at index 1, it succeeds in decrypting only one of the two encryptions. In this example, the server decrypts  $\mathit{Enc}_{ol_{o}^{(1)}}(\mathit{nl}_{0}^{(1)})$  for reads or

 $Enc_{ol_0^{(1)}}(nl_1^{(1)})$  for writes using the stored  $ol_0^{(1)}$ .

3.2 The server then updates index 1's secret label to the newly decrypted value, in this case,  $nl_0^{(1)}$  for reads or  $nl_1^{(1)}$  for writes. For writes, since both encryptions for an index encrypt only one new label  $nl_1^{(1)}$ , either decryptions will result in the desired, updated label that reflects the new value of < k, 1 >. Whereas for reads, the server ends up with  $nl_0^{(1)}$ , reflecting the existing value of  $\langle k, 0 \rangle$ . The server sends the output of the decryption to the proxy and since the proxy knows the mapping of secret labels to plaintext bit values, the proxy learns the value of k to be 0 for reads and ignores the output for writes.

#### 4.2 Protocol

This section formally presents the protocol described in the two functions depicted in Figure 2. Table 1 defines the variables used in explaining ORTOA.

The Init(kv) procedure describes the data initialization process in ORTOA. Upon receiving the plaintext key-value pairs as input, for each pair (line 3), the procedure generates PRF labels at each of the  $\ell$  indexes corresponding to bit *b* of the value (represented in binary form) (line 7). All the labels appended together represent the value (line 11) and the procedure returns the encoded keys and labels to be stored at the external server.

When a client sends  $\operatorname{Req}(\operatorname{Read}, k)$  or a  $\operatorname{Req}(\operatorname{Write}, k, v')$  to the proxy, the proxy and the server execute the following steps. **1. Proxy:** The proxy, upon receiving a Req(Read, k) or a Req(Write,

k, v') request from a client, where v' is an updated value for k, invokes the ProcessClientRequest procedure as defined in Figure 2, which internally executes the following steps:

1.1 The proxy retrieves key k's access counter ct (line 1).

Procedure Init(kv):

	_
$1 \ kv' \leftarrow \emptyset$	
$_2 \ ct \leftarrow 1 \ // \ indicates$ an access count of 1	
$s$ for $(k, v) \in kv$ do	
$4  labels \leftarrow \emptyset$	
5 $i \leftarrow 1 //$ starting index	
// $v$ is in binary representation	
<b>for</b> each bit $b \in v$ starting from left most position <b>do</b>	
7 $l \leftarrow PRF(k, i, b, ct)$	
$\mathbf{s} \qquad labels \stackrel{\cup}{\leftarrow} l$	
9 $i \leftarrow i+1$	
10 end	
11 $kv' \xleftarrow{\cup} \{PRF(k), labels\}$	
12 end	
13 Return kv'	

Procedure ProcessClientRequest( op, k, val )

1 Retrieve key k's ct // k's latest access count  $2 E \leftarrow \emptyset$  $i \leftarrow 1 // \text{ starting index}$ // val is in binary representation 4 **for** each bit  $b \in val$  starting from left most position **do**  $\begin{aligned} & ol_0^{(i)} \leftarrow PRF(k, i, 0, ct), \quad ol_1^{(i)} \leftarrow PRF(k, i, 1, ct) \\ & nl_0^{(i)} \leftarrow PRF(k, i, 0, ct+1), \\ & nl_1^{(i)} \leftarrow PRF(k, i, 1, ct+1) \end{aligned}$ 5 6 if op = read then 7  $E \stackrel{\cup}{\leftarrow} \{ Enc_{ol_{\alpha}^{(i)}}(nl_{0}^{(i)}), \ Enc_{ol_{\alpha}^{(i)}}(nl_{1}^{(i)}) \}$ 8 else 9  $E \stackrel{\cup}{\leftarrow} \{ Enc_{ol_{0}^{(i)}}(nl_{b_{i}}^{(i)}), \ Enc_{ol_{1}^{(i)}}(nl_{b_{i}}^{(i)}) \}$ 10 end 11  $i \leftarrow i + 1$ 12 13 end 14  $ct \leftarrow ct + 1$ 15 Return E

Figure 2: ORTOA's algorithms to initialize a set plaintext key value pairs kv and process an individual client request for operation type op, key k, and updated value val.

1.2 For each of the  $\ell$  indexes of the value, the proxy generates the two old labels corresponding to both bit-values 0 and 1 by passing the current access counter *ct* to the PRF (line 5):  $\{ol_0^{(1)} \leftarrow PRF(k, 1, 0, ct), ol_1^{(1)} \leftarrow PRF(k, 1, 1, ct), \}$ 

 $ol_0^{(\ell)} \leftarrow PRF(k, \ell, 0, ct), ol_1^{(\ell)} \leftarrow PRF(k, \ell, 1, ct)\}$ 

1.3 For each of the  $\ell$  indexes of the value, the proxy next generates two new secret labels corresponding to both bit-values 0 and 1 by passing the updated access counter ct + 1 (accounting for the ongoing access) to the PRF (line 6):

Symbol Meaning	
$ol_{b_i}^{(j)}$ Secret label of a single bit of plaintext value	
j	Index from 1 to $\ell$ starting from the left of plaintext value
bj	Bit value (0 or 1) at index $j$ of plaintext value
ct	Access counter
$nl_{b_j}^{(j)}$	New secret label of a single bit of plaintext value

Table 1: Variables used in ORTOA.

$$\{nl_0^{(1)} \leftarrow PRF(k, 1, 0, ct+1), nl_1^{(1)} \leftarrow PRF(k, 1, 1, ct+1), \dots, (\ell)$$

$$nl_0^{(\ell)} \leftarrow PRF(k, \ell, 0, ct + 1), nl_1^{(\ell)} \leftarrow PRF(k, \ell, 1, ct + 1)\}$$
  
The details of this step depend on the type of access: for

1.4 The details of this step depend on the type of access: for reads, the proxy encrypts each new secret label using the corresponding old secret label and generates two encryptions for each of the  $\ell$  indexes (line 8):

$$\begin{split} E &= [< Enc_{ol_0^{(1)}}(nl_0^{(1)}), \ Enc_{ol_1^{(1)}}(nl_1^{(1)}) >, \dots, \\ &< Enc_{ol_0^{(\ell)}}(nl_0^{(\ell)}), \ Enc_{ol_1^{(\ell)}}(nl_1^{(\ell)}) >] \end{split}$$

For writes, assuming  $b_i$  is the updated bit value at index i, the proxy encrypts only the new labels corresponding to the updated value v' using the old labels (line 10):

$$E = [ < Enc_{ol_0^{(1)}}(nl_{b_1}^{(1)}), Enc_{ol_1^{(1)}}(nl_{b_1}^{(1)}) >, \dots ]$$
  
$$< Enc_{ol_0^{(\ell)}}(nl_{b_\ell}^{(\ell)}), Enc_{ol_1^{(\ell)}}(nl_{b_\ell}^{(\ell)}) > ]$$

....

Note that for writes, at each index *i*, both the old labels encrypt only one new label  $nl_{b_i}^{(i)}$  corresponding to v'.

- 1.5 The proxy increments *k*'s access counter (line 14).
- 1.6 The proxy pairwise shuffles each of the  $\ell$  pairs of encryptions and sends this encryption to the external server.

**2. Server:** The server upon receiving the encryption *E* from the proxy performs the following steps:

2.1 For each of the *l* pairwise encryptions, the server tries to decrypt both encryptions using the locally stored label. However, since it stores only one old label per index, it succeeds in decrypting only one of the two encryptions per index. Note that ORTOA uses authenticated encryption to ensure the server identifies successful decryptions.

At index *j*, the server either stores  $ol_0^{(j)}$  or  $ol_1^{(j)}$ , and hence, it can successfully decrypt only one of  $< Enc_{ol_0^{(j)}}(nl_0^{(j)})$ ,  $Enc_{ol_1^{(j)}}(nl_1^{(j)}) >$  obtaining  $nl_0^{(j)}$  or  $nl_1^{(j)}$  for reads. For writes, since both encryptions encrypt  $nl_{b_j}^{(j)}$ , either decryptions will result in the new label corresponding to the updated bit  $b_i$  at index *j*.

2.2 The server then updates each index's secret label to the newly decrypted value and sends the output to the proxy. Since the proxy knows the mapping of secret labels to plaintext bit values at each index, the proxy learns the value of *k* for reads and it ignores the output for writes.

The server always updates its stored secret labels after executing ORTOA to access an object. For reads, the updated labels reflect

A few plaintext bit combinations	1-label-per-bit representation
0000	$sl_0^{(1)}, sl_0^{(2)}, sl_0^{(3)}, sl_0^{(4)}$
0001	$sl_0^{(1)}, sl_0^{(2)}, sl_0^{(3)}, sl_1^{(4)}$
0010	$sl_0^{(1)}, sl_0^{(2)}, sl_1^{(3)}, sl_0^{(4)}$
0011	$sl_0^{(1)}, sl_0^{(2)}, sl_1^{(3)}, sl_1^{(4)}$

Table 2: When  $\ell = 4$  and each secret label represents one bit of plaintext data, i.e, y = 1.

the *existing value* of the object; for writes, the updated labels reflect the *updated value* of the object. Thus by choosing a unique data representation model and taking advantage of that model, OR-TOA provides a one round-trip oblivious access protocol without restricting the number of accesses, unlike the FHE approach.

#### 4.3 Complexity Analysis

#### 4.3.1 Space Analysis.

**Proxy**: The only information the proxy needs to maintain to support ORTOA is the access counter for each key in the database. While the complexity of storing access counters for all the keys is O(N), where N is the database size, the actual space it consumes is quite low. For example if a single counter requires 8 bytes, for a database of size 1 million objects, the proxy requires about 8mB space to store the counters.

*Server*: While the storage cost at the proxy is insignificant to support ORTOA, the same is not true for the server. The exact space analysis at the server is as follows: if  $\ell$  represents the length of a plaintext value (and all values have same length), r the output size (in bits) of the PRF that generates secret labels, and N the database size, then server's storage space in bits can be calculated as:

$$\underbrace{(r \cdot N)}_{\longleftarrow} \quad + \quad \underbrace{(r \cdot \ell \cdot N)}_{\longleftarrow}$$

### Space for keys Space for values

4.3.2 Communication and Computation Analysis.

Every bit of plaintext can have 2 possible values – either a 0 or a 1. Since the data values, or rather the data value encodings, are stored only at the server, the proxy generates both possible secret label encodings, and the corresponding 2 encryptions, for each bit of the plaintext. The proxy then sends 2 encryptions per bit to the server. If  $\ell$  be the length of data values and  $E_{len}$  the length of encrypted ciphertexts, for every object accessed by a client, ORTOA incurs the communication cost of:

$$\underbrace{2 \cdot E_{len}}_{2 \cdot e_{len}} \cdot \underbrace{\ell}_{\ell}$$

Encryptions per bit Number of bits

In terms of computation, the proxy and the server perform  $2 * \ell$  encryptions and decryptions, respectively.

#### **5 OPTIMIZATIONS**

#### 5.1 Space optimized solution

In this section, we discuss a technique to optimize storage space by trading off communication cost. Recall that for every bit of plaintext

A few plaintext bit combinations	1-label-per-2-bits representation
0000	$sl_{00}^{(1,2)}, sl_{00}^{(3,4)}$
0001	$sl_{00}^{(1,2)}, sl_{01}^{(3,4)}$
0010	$sl_{00}^{(1,2)}, sl_{10}^{(3,4)}$
0011	$sl_{00}^{(1,2)}, sl_{11}^{(3,4)}$

Table 3: When  $\ell = 4$  and each secret label represents two bits of plaintext data, i.e, y = 2.

data, the server stores a secret label of r bits; in other words, r bits are used to represent a single bit of plaintext data. To optimize space, the next logical question we ask is: can we use r bits to represent multiple bits of plaintext data?

**One label represents two bits of plaintext**: We start with a simple case where a single label represents two bits of plaintext data (Table 3), instead of one (Table 2). In this case, the server stores  $\ell/2$  labels for every data item (instead of  $\ell$ ), reducing the storage space by half. For example, if the plaintext value is 0010, then the server stores  $[sl_{00}^{(1,2)}, sl_{10}^{(3,4)}]$  where, say label  $sl_{10}^{(3,4)}$  corresponds to plaintext values 1 and 0 at indexes 3 and 4 respectively.

There are  $2^2 = 4$  unique bit combinations for every 2 indexes of the plaintext – 00, 01, 10, and 11. Since the proxy does not know the value, which is stored only at the server, it generates 4 secret labels for every 2-bits, i.e., labels for all possible unique bit combinations, and creates 4 corresponding encryptions for every two bits of plaintext data. The proxy then sends these 4 encryptions per 2-bits to the server, which then tries to decrypt all 4 encryptions. Since the server stores only one label per 2-bits, it succeeds in decrypting only one of the 4 encryptions per 2-bits, which becomes the new label for those 2-bits.

**One label represents** *y* **bits of plaintext**: The above approach can be further generalized where a single label represents *y* bits of plaintext. For example a label  $sl_{b_1...b_y}^{(1,...,y)}$  corresponds to bits  $b_1...b_y$  from indexes 1 to *y*. This approach reduces the storage space by a factor of *y*, i.e.,  $\ell/y$ . Note that if the length of values,  $\ell$ , is not divisible by *y*, we can pad the plaintext with a specific character to indicate the bit value at that index is invalid.

**Communication and computation complexity increase:** While the space optimized solution reduces the storage space at the server by a factor of y, it incurs increased communication and computation overhead as more labels need to be communicated from the proxy to the server, as analysed next. Recall the communication complexity of the non-space-optimized solution is  $(2 \cdot E_{len} \cdot \ell)$ . Generalising this to when one secret label represents y bits, there are  $2^y$  possible unique combinations for every y bits of plaintext and the server stores  $\ell/y$  labels. So the communication complexity becomes  $(2^y \cdot E_{len} \cdot \ell/y)$  bits and the computation complexity increases to  $2^y * \ell/y$ , i.e., a factor of  $2^y/y$  increase compared to the non-space-optimized solution.

**Calculating optimal** *y* **value:** The above discussion implies that there exists a trade-off between the storage space and the amount of communication (and computation) with the increase in *y*. When *y* increases, the storage space reduces by a factor  $f_s = 1/y$  and the communication expense increases by a factor  $f_c = 2^y/y$ ,



Figure 3: Storage vs. communication overhead factor analysis to find optimal *y* value - the value that indicates how many plaintext bits are represented by a single label.

i.e., while the storage space decreases non-linearly, the amount of communication (and computation) increases exponentially.

To calculate the optimal value of y, we compare the overhead factors  $f_s$ ,  $f_c$ , and the total combined overhead of the system,  $f_s + f_c$ , as depicted in Figure 3. As expected and as seen in the figure, the storage factor reduces with increasing y, and communication factor increases with y. The total overhead plot is interesting: the overall overhead decreases for y = 2 and starts increasing from y = 3. This is because when y = 2, the storage space reduces by half, meanwhile the communication factor remains the same for y = 1 and y = 2, i.e.,  $f_c = 2$ . For any y > 2, the communication factor increases more rapidly than the storage factor reduction, causing the total overhead factor to increase with y. Since the total overhead is the least at y = 2, that becomes the optimal y for ORTOA.

#### 5.2 Reducing the number of decryptions

Given that ORTOA has the least overhead for y = 2, i,e, a single label representing 2-bits of plaintext, this implies that the proxy sends  $2^y = 2^2 = 4$  encryptions for every 2-bits of plaintext. Since the server stores a single label for every 2-bits of plaintext (Table 3), the server can successfully decrypt only one of the 4 encryptions. In the protocol presented in §4, the four encryptions per 2-bits are randomly shuffled by the proxy, and hence, the server attempts to decrypt all encryptions until it succeeds (authenticated encryption schemes used in ORTOA allows identifying successful decryptions). Essentially, the server wastes computation trying to identify the right encryption. To mitigate this inefficiency and reduce the number of potential decryptions on the server from 4 to 1 for every 2-bits of plaintext, ORTOA adapts the point-and-permute [9] optimization.

To reduce the number of decryptions, instead of sending the 4 encryptions per 2-bits in a randomly shuffled manner, the proxy generates the four entries in a deterministic way. For ease of exposition, let us assume that the 4 encryptions are sent as a table where each of the four entries are indexed in binary notation: 00, 01, 10, and 11 indicating the 1<sup>st</sup>, 2<sup>nd</sup>, 3<sup>rd</sup>, and 4<sup>th</sup> entry of the table.

Intuitively, the proxy generates two additional bits of information *per label* indicating which of the four entries to decrypt upon the next access; we term them *decryption bits*  $d_1d_2$ . The server stores bits  $d_1d_2$  along with its corresponding secret label. For example, if

the server stores a label  $(sl_{00}^{(1,2)}, \mathbf{10})$  for the plaintext indexes (1,2) of an object, the decryption bits 10 indicate that the server should decrypt only the  $10^{th}$  entry, i.e., the third entry, in the encryption table sent by the proxy for plaintext indexes (1,2). We discuss how the proxy generates the two decryption bits,  $d_1d_2$ , next.

To simplify the explanation of the optimization, let us consider  $\ell = 2$ . The server stores a single label,  $ol_{b_1b_2}$ , corresponding to two bits of plaintext of an object, and the decryption bits  $d_1d_2$ . The main constraint that the proxy needs to guarantee while generating the encryption table when a client accesses the object next is: the encryption entry at index  $d_1d_2$  should use the label  $ol_{b_1b_2}$ , i.e.,  $d_1d_2^{th}$  entry in the table is  $\mathit{Enc}_{ol_{b_1b_2}}(nl_{b_1'b_2'})$  where  $b_1'b_2'$  is  $b_1b_2$  for reads or the updated bits for writes. This constraint is necessary because with this optimization, we are stating that the server decrypts only  $d_1 d_2^{th}$  entry in the table but the server can only decrypt an encryption that used  $ol_{b_1b_2}$  (since that is the only label it stores). Essentially, the proxy needs to deterministically 'link'  $d_1d_2$  with  $b_1b_2$ but also randomize this link for every access. The proxy achieves this by leveraging two random bits,  $r_1r_2$ , which act as one-time padding bits to link encryption table indexes with labels. Note that the proxy does not store these two bits  $r_1r_2$  explicitly; they can be derived with any PRF (e.g., a PRF  ${\cal P}$  that takes the access counter *ct* and key *k* as input to generate the two bits).

First, let us consider a simplified case where ORTOA supports accessing a data object only once, and hence decryption bits  $d_1d_2$  need not be updated. To access a given object, the proxy generates the four encryption entries for the 2-bits of plaintext by first generating the old and new labels as described in Steps 1.2 and 1.3 of §4.2. Next the proxy creates  $d_1d_2^{th}$  entry and links it to the labels by xor-ing with bits  $r_1r_2$ : For reads

$$d_1 d_2^{tn} entry : Enc_{ol_d_1 d_2 \oplus r_1 r_2} (nl_{d_1 d_2 \oplus r_1 r_2})$$

For writes where  $nl_{b'_1b'_2}$  represents the label for updated value (essentially all entries encrypt the same new label, refer §4.2)):

$$d_1 d_2^{ln} entry : Enc_{ol_{d_1 d_2 \oplus r_1 r_2}} (nl_{b'_1 b'_2})$$

+1.

Generalizing this to where ORTOA supports any number of accesses to an object, the two decryption bits need to be updated after each access. Essentially, at *each* access, we update the decryption bits to  $d'_1d'_2$  indicating which entry to decrypt upon the *next* access. The proxy achieves this by generating two new bits  $r'_1$  and  $r'_2$  using the same PRF that generated  $r_1$  and  $r_2$  (e.g., invoke PRF  $\mathcal{P}$  with updated access counter ct + 1 and k). The proxy generates the encryption table with four entries as follows:

For reads:

$$d_1d_2^{th}entry: Enc_{ol_{d_1d_2\oplus r_1r_2}}(\underbrace{nl_{d_1d_2\oplus r_1r_2}}_{New \ label},\underbrace{d_1d_2\oplus r_1r_2\oplus r_1'r_2')}_{Bits\ d_1'd_2'})$$

For writes where  $nl_{b'_1b'_2}$  represents the new label :

$$d_1d_2^{th}entry: Enc_{ol_{d_1d_2 \oplus r_1r_2}}(\underbrace{nl_{b_1'b_2'}}_{New \, label}, \underbrace{d_1d_2 \oplus r_1r_2 \oplus r_1'r_2'}_{Bits \, d_1'd_2'})$$

The server upon receiving the encryption table decrypts one entry based on the decryption bits  $d_1d_2$ . A decryption yields both the new label as well as the updated bits  $d'_1d'_2$ , which determines

what entry to decrypt for the next access. This approach can be generalized to values of any arbitrary length  $\ell$ . Thus by constructing an optimization similar to point-and-permute technique, ORTOA reduces the potential number of decryptions performed by the server from 4 to 1. This reduces the server's computation complexity to  $\ell/2$ , i.e., one decryption per 2-bits of plaintext.

### **6 PROTOCOL EVALUATION**

In this section, we discuss the merits and limitations of ORTOA by conducting experimental evaluations.

**Baseline:** In evaluating ORTOA, we consider a two-round-trip (2RTT) protocol as the baseline: the baseline system also consists of a proxy necessary to maintain the encryption key, which routes client requests to the external server. The baseline proxy translates each request by a client – read or write – into a read request followed by a write request, ensuring read-write indistinguishability. This technique is on par with how most existing obliviousness solutions hide the type of operation [28, 38–40].

**Experimental Setup**: We evaluated ORTOA and its baseline on AWS. The clients were deployed on a c5.large instance with 8GiB memory and 2 cores @ 3.6GHz; the proxy a c5.2xlarge instance with 8GiB memory and 8 cores @ 3.6GHz; and the server on an r5.xlarge instance with 8GiB of memory and 4 cores @ 3.1GHz. The client and proxy were located in the US-West1 (California) datacenter and in most of our experiments, the server was hosted in the US-West2 (Oregon) datacenter. ORTOA's implementation can be found at https://github.com/ySteinhart1/ORTOA.

Unless stated otherwise, in each experiment a multi-threaded client (with a default of 64 threads) sends requests concurrently to the proxy, while each thread sends requests sequentially, i.e., it waits until its current request is answered before sending the next one. Each data point plotted in all the experiments is an average of 3 runs to account for performance variability caused by AWS. In our experiments, the servers for both ORTOA and the baseline store  $\sim 2^{17}$  (100,000) data objects of synthetic data. Unless stated otherwise, all experiments use this synthetic data for evaluations. Each client thread picks an object to access uniformly at random, and unless stated otherwise, it decides to read or write the data also uniformly at random. Most of the experiments choose a 160B value size,  $\ell = 1280$  bits (this size is in line with other obliviousness related works [17, 34] as well as with the real world data used in evaluating ORTOA). Each experiment measures latency, the time interval between when a client sends a request to when it receives the corresponding response; and throughput, the number of operations executed per one second.

**Real world datasets:** In addition to detailed experiments on synthetic data, we measure ORTOA's performance on two real world datasets: (i) An Electronic Health Record (EHR) data consisting of patients' heart disease records [2], and (ii) SmallBank [8] data focusing on single object read/write requests rather than transactional workloads. §6.4 discusses more details on the datasets and ORTOA's performance on the two datasets.

#### 6.1 ORTOA vs. two round trip baseline

In the first set of experiments, we compare ORTOA with the 2RTT baseline where the proxy and client are located in the US-West1 (California) datacenter and the server is placed at increasingly farther



Figure 4: (a) Throughput and latency for ORTOA and the 2RTT baseline, where the proxy lies in the California datacenter and the server is placed at increasingly farther datacenters. (b) ORTOA's throughput measured with increasing the number of concurrent clients. ORTOA's throughput peaks at 64 concurrent clients with low latency. (c) ORTOA's throughput and latency measured with increasing percent of PUTs highlights its effectiveness in hiding the read/write ratios of an application. (d) ORTOA's throughput and latency measured when the size of the values,  $\ell$ , increases from 40B to 200B (320 to 1600 bits).

	Oregon	N. Virginia	London	Mumbai
California	21.84	62.06	147.73	230.3
Table 4: DTT latancias corress different detecentars in me				

datacenters of US-West2 (Oregon), US-East1 (N. Virginia), EU-West2 (London), and AP-South1 (Mumbai). Table 4 notes the round-trip time (RTT) latencies from California to the other datacenters. The measured throughput and latency are plotted in Figure 4a. Note that we do not place the server in the same datacenter as the proxy and the client so as to mimic realistic behavior where between 79%-95% of cloud users face more than 10 ms latency when accessing a cloud server [15]. Further, this experiment runs a single-threaded client since our goal is to measure the effect of proxy-to-server distance on a given client's throughput and latency, without accounting for the performance effects due to concurrency.

As seen in Figure 4a, as the physical distance between the proxy and the server increases, latency increases and throughput decreases for both ORTOA and the 2RTT baseline. But the latency of the 2RTT baseline is about **1.9x** higher than ORTOA; and ORTOA's throughput is about **2.9x** that of the baseline. This experiment highlights the benefits of constructing a single round access oblivious protocol, as compared to the state-of-the-art two-round approach.

#### 6.2 Latency breakdown of ORTOA

Since ORTOA's computation cost is high due to generating old and new labels for every 2-bits of plaintext and performing 4 encryptions for every 2-bits of data, in this experiment, we measure the time spent by the proxy in computation vs. in communication. Similar to the last experiment, this experiment places the proxy and the client in California and the server at increasingly farther distances from California. Table 4 records the round trip time (RTT) from California to the other datacenters and Table 5 notes the average computation time vs. communication time and the total time, in milliseconds, spent by ORTOA in executing a request. As shown in Table 5, ORTOA consistently spends ~2 ms in computing the labels and encrypting the data. In the total time spent per request, ORTOA spends the majority of the time in communication. This latency breakdown also indicates when is ORTOA a better choice

	Oregon	N. Virginia	London	Mumbai
Computation (ms)	2.25	2.27	2.28	2.29
	2120	2127	2120	,
Communication (ms)	24.89	66.62	154.71	241.38
Total time (ms)	27.14	68.89	156.99	243.67

Table 5: Time spent in computation (creating old and new labels and encrypting them) vs. time spent in communication, in ms, when the proxy and client are located in California and the server is located at different datacenters.

compared to the 2RTT baseline: let *c* be the round-trip latency between the proxy and the server. If 2 \* c < 2 ms, then this indicates that two sequential rounds of communication requires less time than the computation time of ORTOA, and hence the 2RTT baseline is a better choice for an application choosing between ORTOA and the 2RTT solution. But since most cloud users face over (*c* =) 10 ms latency in accessing a cloud server [15], most applications will save latency by choosing ORTOA. This underscores our belief that having fewer rounds of communication at the cost of increased message sizes is worthwhile.

#### 6.3 Micro Benchmarking

Having compared ORTOA with its 2RTT baseline, we now evaluate ORTOA's behavior across different configurations, starting with increasing concurrent client requests. These experiments place the server in US-West2 (Oregon) and the proxy and the client in US-West1 (California) datacenters.

6.3.1 **Increasing Concurrency**. To understand how ORTOA behaves when clients' request load increases, this experiment measures the protocol's throughput and latency while the number of concurrent clients (implemented via threads) increases starting from 8, and the results are depicted in Figure 4b. As seen in the figure, the peak throughput, which is at 64 clients, is **6.5x** of the throughput at 8 clients; however, the throughput saturates at ~320 ops/sec or lowers for higher concurrency values. Since a concurrency of 64 clients has the lowest latency while providing peak throughput, the following experiments choose the concurrency of 64 clients, sending requests in parallel.



Figure 5: (a) ORTOA's throughput and latency measured while increasing the database size, i.e., number of objects, from 2<sup>10</sup> to 2<sup>20</sup> (~1M). The performance degrading is mostly due to a single server storing a large database in memory. (b) ORTOA's throughput and latency measured when the number of servers and proxies in the system are scaled up to a factor of 5. Throughput scales linearly with the scale factor, indicating the scalability of ORTOA. (c) ORTOA's throughput and latency measured for two real world datasets - i. Electronic Health Record (EHR) data consisting of heart diseases and ii. SmallBank (SM) data consisting of users and their bank balance data. The performance is measured by placing the data server at increasingly farther distances from the proxy.

6.3.2 Varying the percent of writes. This experiment measures ORTOA's throughput and latency while increasing the percent of PUT (or write) operations from 0 to 100%, as shown in Figure 4c. In this experiment, the server resides in Oregon and 64 concurrent clients read or write the data. As seen in the figure, the throughput and the latency values remain more or less constant at ~320 ops/s and 190 ms latency (a maximum difference of 15 ops/s for throughput). This experimentally demonstrates the obliviousness of ORTOA in that its performance remains the same regardless of the percentage of read or write operations in the client workload. This highlights that ORTOA protects applications from vulnerabilities exploited by observing the overall read/write ratios of an application.

6.3.3 Varying *l*: the length of values. Since the storage, communication, and computation complexity of ORTOA are directly proportional to  $\ell$  (see §4.3), in this experiment, we measure OR-TOA's throughput and latency while increasing the size of the values (where all values have the same length) from 40B to 200B (or 320 to 1600 bits) and the results are depicted in Figure 4d. 64 concurrent client threads send read or write requests in this experiment. As expected, ORTOA's performance, both in terms of throughput and latency, degrades near linearly with the increase in the value size. The primary reason for this is the increased computation both at the proxy and the server in encrypting and decrypting labels, respectively, with the increase in value size. This experiment indicates that ORTOA suits applications with smaller value sizes rather than with larger value sizes. Moreover, a popular technique to cope with increasing computation is to scale the system by adding more compute nodes.

6.3.4 **Varying** N: **the database size**. Having studied how OR-TOA's performance varies when an individual object's length increases, this experiment evaluates its performance when the overall database size, i.e., the number of objects stored, increases from  $2^{10}$ to  $2^{20}$  (~ 1 million objects) and the results are depicted in Figure 5a. As shown in the figure, throughput and latency change minimally up until  $2^{18}$  (~262,000 objects) and the performance gracefully degrades by 9.5% at 1M objects. The primary reason for this degradation is due to a single server storing increasingly larger number of objects in memory, which reduces the resources available to execute data access requests and impedes performance. This is an expected behavior of database systems and a standard approach to overcome this performance degradation is by scaling the storage.

6.3.5 Scaling ORTOA. In this set of experiments, we address the observed performance reduction due to increasing database size or individual value size by sharding the data across multiple servers and proxies, i.e., by scaling both storage and compute. This experiment increases the number of storage servers and proxies from 1 to 5, by pairing each storage server with a proxy and scaling them pairwise. Since ORTOA aims to hide the type of access performed by a client (and not the overall access pattern), the system can scale the number of proxies without compromising security. For each scaling factor s, the client concurrency is also increased by the scaling factor, i.e., by 64 \* s. This experiment places all the proxies and clients in the California datacenter and the servers in the Oregon datacenter and each server stores 100,000 objects. The resulting throughput and latency are shown in Figure 5b. As indicated in the plot, ORTOA scales linearly with the increasing number of servers and proxies: its peak throughput at a scale factor of 5 is about **5x** the throughput at a scale factor of 1. The latency remains constant (a maximum difference of 4 ms) across different scale factors. This experiment emphasizes the linear scaling of ORTOA- a highly desired property of data management protocols.

## 6.4 Real world datasets

To assess ORTOA's behavior for real world applications, this experiment initializes the database with two real world datasets: (i) An Electronic Health Record (EHR) dataset consisting of heart diseas information [2] with 14 attributes. For this dataset, we chose two attributes: a UUID to identify unique patients and their resting blood pressure data. The dataset consists of 1024 (2<sup>10</sup>) entries and the size of resting blood pressure attribute is 10B (80 bits). (ii) A SmallBank[8]-like dataset for banking applications where, although SmallBank [8] supports transactional queries, this experiment focuses on single object read/write requests from clients, which aligns with the type of requests supported by ORTOA. This dataset consists of 100,000 entries with a UUID attribute to identify bank customers and a 50B (400 bits) balance attribute.

This experiment measures the latency and throughput of ORTOA on real world datasets when the data is stored on a server at an increasingly farther distance from the proxy and the client, both of which are located in California. Table 4 notes the round-trip time (RTT) latencies from California to the other datacenters. 64 concurrent client threads generate the read/write workload in this experiment. As depicted in Figure 5c, ORTOA's throughput when the server is hosted in the Oregon datacenter is 394 ops/s and 355 ops/s for the EHR and SmallBank datasets and with latencies between 150-170ms. This indicates that for real world datasets ORTOA's performance is reasonable compared to the additional privacy it guarantees.

#### 6.5 Dollar cost analysis

We have shown the benefits of a single round access oblivious protocol through the above discussed experimental evaluations. Since ORTOA incurs high storage and communication overheads, in this section, we discuss the estimated dollar cost of deploying ORTOA. To calculate the estimates, we consider the storage, communication, and compute costs of Google Cloud [4, 27], whose costs are comparable to other cloud providers. Google Cloud charges \$0.02 per GB of storage per month, \$0.12 per GB of network usage, and \$0.4 per million function invocations with a 1.4 GHz CPU costing \$0.00000165 per 100ms (ORTOA needs 2 ms to encrypt/decrypt labels). In estimating the dollar cost, we consider the optimized protocol with y = 2, and PRFs that produce 128-bit labels, i.e., r = 128, with data values of size 160B, i.e.,  $\ell = 1280$ , and with encryption schemes that produce 128-bit ciphertexts, i.e.,  $E_{len} = 128$ . Please refer to §4.3 to recall the storage, communication, and compute complexity of ORTOA. With the above configuration, consider running ORTOA with a large dataset consisting of 1 million data objects. This costs an application \$1.52 in storage per month, and executing 1 million accesses will cost \$18.3 in terms of bandwidth and \$3.7 in terms of compute (function calls). Taking into account the cost of a single access, ORTOA incurs a cost of \$0.000023 per request - a comparable price considering the advantage over 2RTT baseline, which incurs 1.9x higher latency overhead and serves 2.8x less requests compared to ORTOA.

#### 7 DISCUSSION ON RELATED WORK

To the best of our knowledge, ORTOA is the only solution that tackles the problem of hiding the type of operation in a generalized manner. The literature on ORAM constructions consists of a few specialized solutions that achieve single round communication complexity [11, 22, 24, 25, 33]. All these solutions are based on Garbled-RAM which requires the server to store and evaluate a garbled circuit per request. Garbled-RAMs do not take fixed length inputs and their execution time varies based on the input size as well as value size. All these properties primarily differ from ORTOA's, which has a simple server model, fixed length inputs, and constant execution time. These solutions primarily focus on hiding the data access patterns, with mechanisms to hide the type of access tightly coupled with hiding access pattern. ORTOA on the other hand focuses on hiding the type of access in a more generalized way that can be adapted to construct obliviousness solutions such as ORAM or frequency smoothing [28]. On the other hand, although

a few ORAM based datastores that do not use Garbled-RAM such as [18, 21, 41] have single *online* rounds, they need *offline* rounds per request to write the data back. Hence, they are not truly singleround solutions. Due to hiding access patterns, all of the above ORAM schemes have a lower bound bandwidth cost of *log(N)*, where *N* is the number of data objects [26, 31] or  $\sqrt{N}$  lower bound when the data storage server performs no computations [14]. Since ORTOA focuses on obfuscating the type of access, it has a constant bandwidth cost *independent* of *N* (as discussed in §4.3).

#### 8 SECURITY OF ORTOA

This section defines the security guarantees of ORTOA and provides intuitions of the proof; the Appendix presents the formal security proof. ORTOA aims to hide the type of client access – read or write – from an adversary that controls the external database server. To capture this read or write obliviousness, we introduce a security definition called real-vs-random read-write indistinguishability or ROR-RW indistinguishability. We propose a new security definition because no existing definitions capture read-write indistinguishability.

Real(A)	Ideal(K)	
1 $output \leftarrow \emptyset$	1 output $\leftarrow \emptyset$	
<sup>2</sup> for $a_i \in A$ do	<sup>2</sup> for $k_i \in K$ do	
$3$ output $\stackrel{\cup}{\leftarrow}$ Process –	$3$ output $\stackrel{\cup}{\leftarrow}$	
$ClientRequest(a_i)$	$Simulator(k_i)$	
4 end	4 end	
5 Return output	5 Return output	

Figure 6: Security game where given a sequence of client generated accesses *A*, the Real world takes *A* as input and the Ideal world takes the sequence of keys accessed in *A* as input and both produce as output a sequence of encryptions that are sent to the external server.

Security definition: Consider a sequence of *m* client accesses

$$A = \{(op_1, k_1, val_1), \cdots, (op_i, k_i, val_i), \cdots, (op_m, k_m, val_m)\}$$

where for  $i^{th}$  request,  $op_i$  indicates the type of operation (read or write),  $k_i$  denotes the key, and  $val_i$  is either an updated value for writes or  $\perp$  for reads. We use a security game-based definition that provides the sequence of accesses A as input to both the real system and an ideal system (simulator based), where both are stateful entities, and both produce outputs  $Out_{Real}$  and  $Out_{Sim}$  respectively consisting of a sequence of accesses to the external server. A system is said to be ROR-RW secure if, given the two outputs, an adversary can distinguish between the two with negligible probability, i.e., For all probabilistic polynomial adversaries  $\mathcal{A}$ ,

$$Pr[A(Out_{Real}) \rightarrow 1] - Pr[A(Out_{Sim}) \rightarrow 1] | \le negl$$

To argue for ORTOA's correctness, we consider a game  $\mathcal{G}$ , as shown in Figure 6. We assume the length  $\ell$  of data values to be 1 for simplicity but the argument can be generalized to data values of any arbitrary length. The game either executes Real or Ideal algorithm with uniformly random probability and provides the output to an adversary. ORTOA is ROR-RW secure if the adversary, based on the

Procedure Simulator(k)

 $1 E \leftarrow \emptyset$ // Iterate over each of the  $\ell$  indexes 2 for  $(i = 0; i < \ell; i + +)$  do Retrieve the old label  $ol^{(i)}$  for k3  $nl^{(i)} \stackrel{\$}{\leftarrow} \{0,1\}^{\lambda}$ 4  $ol'^{(i)} \stackrel{\$}{\leftarrow} \{0,1\}^{\lambda}$ 5  $E \stackrel{\cup}{\leftarrow} \{ Enc_{ol^{(i)}}(nl^{(i)}), \ Enc_{ol^{\prime(i)}}(0) \}$ 6  $ol^{(i)} \leftarrow nl^{(i)}$ 7 8 end 9 Return E

#### Figure 7: Simulator pseudocode accessed in the Ideal algorithm.

received output, can identify the algorithm selected by the security game with negligible probability.

The Real algorithm invokes ORTOA's ProcessClientRequest procedure (defined in Figure 2) for each of the m accesses in A and appends the output of each access to produce Out<sub>Real</sub>. The Ideal algorithm, on the other hand, invokes a simulated function, Simulator, defined in Figure 7. The Ideal algorithm and the Simulator have no access to actual data values and generate *m* pairs of encryptions of dummy values. The collation of these dummy encryptions forms Out<sub>Sim</sub>. If we can prove that the output generated by the Real algorithm appears indistinguishable to Out<sub>Sim</sub>, it proves that ORTOA is ROR-RW secure.

**Proof intuition**: Intuitively, we first show that a read and a write access to ProcessClientRequest procedure are indistinguishable, and then show that ProcessClientRequest's output is indistinguishable from that of the Simulator. Figure 8 captures the argument for this indistinguishability. The basis of our argument lies in the PRF deployed in ORTOA: ORTOA's PRF, PRF, produces labels that are indistinguishable from a uniformly sampled random variable

 $r \leftarrow \{0,1\}^{\lambda}$ . The argument invokes *ProcessClientRequest* procedure once to read a key k and once to write a key k with the updated bit value b' (assuming the length of the value  $\ell = 1$ ). As shown in the figure, given that the server stores only one old label, say  $ol_h$ , and given PRF's security, the output produced by both invocations of ProcessClientRequest are identical.

When the Real algorithm invokes ProcessClientRequest m times (for *m* accesses in *A*), the output of the Real algorithm based on the argument shown in Figure 8 becomes indistinguishable from that of  $Out_{Sim}$ , which is essentially *m* pairs of encryptions of  $\lambda$  length random values. We utilize this intuition in developing the formal security proof using hybrids (refer Appendix).

#### 9 **CONCLUSION AND FUTURE WORK**

Encrypted databases leak information on when a client performs a read vs. a write operation to an adversary; by observing individual read/write accesses, the adversary can learn the overall read/write workload of an application. An adversary can exploit this information leak to violate privacy at an individual user level or at an application level. Existing solutions to hide the type of operation

#### Read

1	${Enc_{ol_b}(nl_{b'}), Enc_{ol_{1-b}}(nl_{1-b'})} \leftarrow ProcessClientRequest(read, k, \perp)$
	// Because the server has only $\mathit{ol}_{\mathit{b}}$ , it cannot decrypt
	$\mathit{Enc}_{\mathit{ol}_{1-b}}(\mathit{nl}_{1-b'}).$ So it can be replaced with a random
	string.
2	$\equiv \{ Enc_{ol_{h}}(nl_{b'}), Enc_{ol_{1-h}}(\{0,1\}^{\lambda}) \}$
	// From <i>PRF</i> 's security, the new label can be replaced
	with a random string of length $\lambda.$
3	$\equiv \{Enc_{ol_{h}}(\{0,1\}^{\lambda}), Enc_{ol_{1-h}}(\{0,1\}^{\lambda})\} // From PRF's$
	security, the old labels can be replaced with
	random strings of length $\lambda$ .
4	$\equiv \{ Enc_{\{0,1\}^{\lambda}}(\{0,1\}^{\lambda}), \ Enc_{\{0,1\}^{\lambda}}(\{0,1\}^{\lambda}) \}$
	Write

1	${Enc_{ol_{b}}(nl_{b'}), Enc_{ol_{1-b}}(nl_{b'})} \leftarrow$
	ProcessClientRequest(write, k, b')
	// Because the server has only $ol_b$ , it cannot decrypt
	$\mathit{Enc}_{ol_{1-b}}(nl_{b'}).$ So it can be replaced with a random
	string.
2	$\equiv \{ Enc_{ol_b}(nl_{b'}), Enc_{ol_{1-b}}(\{0,1\}^{\lambda}) \}$
	// From <i>PRF</i> 's security, the label can be replaced with
	a random string of length $\lambda.$
3	$\equiv \{ Enc_{ol_b}(\{0,1\}^{\lambda}), Enc_{ol_{1-b}}(\{0,1\}^{\lambda}) \}$
	<pre>// From PRF's security, the old labels can be replaced</pre>
	with random strings of length $\lambda$ .
4	$\equiv \{ Enc_{\{0,1\}^{\lambda}}(\{0,1\}^{\lambda}), Enc_{\{0,1\}^{\lambda}}(\{0,1\}^{\lambda}) \}$

Figure 8: Intuition for read-write indistinguishability when a key k is accessed where the server stores label  $ol_b$  corresponding to k's plaintext value  $b \in \{1, 0\}$ . The write request updates k's value to bit b'. The PRF deployed in ORTOA generates labels of length  $\lambda$ .

(deployed in ORAM or frequency smoothing techniques) consists of always reading an object followed by writing it, irrespective of the client request. This incurs one round of redundant communication per request and doubles the end-to-end latency compared to plaintext datastores. In this work, we propose ORTOA, a One Round Trip Oblivious Access protocol that accesses data on remote storage and hides the type of access in a single round. This is the first protocol to focus on hiding access type on encrypted databases. Experimentally evaluating ORTOA and comparing it with a baseline that requires two rounds to hide the type of access confirms the benefits of designing a single round solution: the baseline incurred 1.9x higher latency and serves 2.8x less requests per second than ORTOA. This work also presents a theoretically sound one round trip oblivious access solution using Fully Homomorphic Encryption and discusses its improbability of practical use due to the expensive multiplication operation. As future work, we aim to integrate ORTOA into an end-to-end system that hides access pattern by integrating it with existing techniques such as frequency smoothing or by designing novel ORAM schemes that leverage ORTOA to access data in a single round.

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#### **APPENDIX**

We propose a new security definition called real-vs-random readwrite indistinguishability or ROR-RW to capture the goal of this work of hiding the type of access performed by a client.

*Security definition*: Consider a sequence of *m* client accesses

$$A = \{(op_1, k_1, val_1), \cdots, (op_i, k_i, val_i), \cdots, (op_m, k_m, val_m)\}$$

where for  $i^{th}$  request,  $op_i$  indicates the type of operation (read or write),  $k_i$  denotes the key, and  $val_i$  is either an updated value for writes or  $\perp$  for reads. This is a security definition based on a game  $\mathcal{G}$  defined in Figure 6. The game takes the sequence of accesses A and provides it as input to both the real system and an ideal system (simulator based), where both are stateful entities, and both produce outputs  $Out_{Real}$  and  $Out_{Sim}$  respectively consisting of a sequence of accesses to the external server. A system is said to be ROR-RW secure if, given the two outputs, an adversary can distinguish between the two with negligible probability, i.e., *For all probabilistic polynomial adversaries*  $\mathcal{A}$ ,

$$|Pr[A(Out_{Real}) \rightarrow 1] - Pr[A(Out_{Sim}) \rightarrow 1]| \le negl$$

For simplicity in arguing for ORTOA's security, the proof assumes  $\ell = 1$ ; however, the same proof argument extends to values of arbitrary length. Further, our proof considers the non-optimized protocol as presented in §4.2 but the proof easily extends to the optimized versions as well.

For Real algorithm in Figure 6, the game sends a sequence of *m* accesses in *A* produced by clients where the algorithm in-turn calls ORTOA's *ProcessClientRequest* procedure (defined in Figure 2) for each access in *A*. Note that the *ProcessClientRequest* procedure is a stateful algorithm. Let  $\lambda$  be the length of old and new labels generated by a PRF and let *Enc* be the encryption scheme deployed in the *ProcessClientRequest* procedure to encrypt new labels of length

 $\lambda$  using old labels of length  $\lambda$ . Since we assume  $\ell = 1$ , *ProcessClientRequest* produces two encryptions for each access to send to the server. The Real algorithm collates the output of *ProcessClientRequest* method, consisting of a pair of encryptions for each of the *m* accesses; this collation of encryptions is the Real algorithm's output, represented as:

$$Out_{Real} \leftarrow \{Enc_{ol_b}(nl_{b'}), Enc_{ol_{1-b}}(nl_{b''})\}^m$$

where for each read access (b' = b) and (b'' = 1 - b), and for write accesses  $(b' = b'' = \hat{b})$ , the updated bit.

For the Ideal algorithm in Figure 6, the game provides the sequence of keys accessed in A as input where the algorithm in-turn calls a Simulator defined in Figure 7. The Simulator's goal is to produce encryptions similar to the ProcessClientRequest procedure but with arbitrary values; one can notice the analogies between the two procedures. To achieve this, we assume the Simulator to be stateful and it stores one old label *ol* per index *i* of a key *k*'s value – these are the labels stored at the external server. The procedure takes key k as input and iterates over each of the  $\ell$  indexes (where  $\ell$  is the value's plaintext length). At each index, the Simulator retrieves the corresponding old label; it then generates two randomly sampled labels  $nl^{(i)}$  and  $ol'^{(i)}$  of length  $\lambda$  (same as the PRF used in *Process*-*ClientRequest*). It uses  $ol^{(i)}$  to encrypt  $nl^{(i)}$  and  $ol'^{(i)}$  to encrypt an invalid value, 0. This does not reveal any information to the adversary that controls the external server because the server only stores label  $ol^{(i)}$  and can decrypt only one of the two encryptions sent by the Simulator. The Simulator shuffles the two encryptions at each index and appends it a list E to send to the server. It also updates the old labels  $ol^{(i)}$  with the newly and randomly generated label  $nl^{(i)}$ . Because the Simulator encrypts random values of length  $\lambda$ , the Ideal algorithm's output is, assuming  $\ell = 1$ :

$$Out_{Sim} \leftarrow \{Enc_{\{0,1\}^{\lambda}}\{0,1\}^{\lambda}, Enc_{\{0,1\}^{\lambda}}\{0,1\}^{\lambda}\}^{m}$$

*Formal proof:* We now formally prove that the real and the ideal worlds are computationally indistinguishable using a standard hybrid argument.

Hybrid<sub>1</sub>: This corresponds to the real experiment and the output of this hybrid is  $Out_{Real}$ .

Hybrid<sub>2</sub>: We modify the real experiment where the labels generated using *PRF* in the *ProcessClientRequest* procedure are now sampled from the uniform distribution.

The computational indistinguishability of  $\mathsf{Hybrid}_1$  and  $\mathsf{Hybrid}_2$  follows from the security of PRF.

Hybrid<sub>3,*i*</sub> for  $i \in [m]$ : In the sequence of *m* accesses in *A*, consider the  $i^{th}$  access, in which the *ProcessClientRequest* procedure generates  $2 * \ell = 2 * 1 = 2$  encryptions ( $\ell = 1$ ). Since the server stores only one label per index and can only decrypt one of the two encryptions, the other encryption sent has no significance: let the two ciphertexts be  $CT_0$  and  $CT_1$  where both the ciphertexts are encrypted with respect to two different old labels  $ol_0$  and  $ol_1$ . Note that the server has exactly one label  $ol_b$  for some bit *b*. Replace the message in  $CT_{1-b}$  with 0s - this encryption becomes *insignificant* since the server cannot decrypt it. This hybrid replaces encryptions of all such insignificant entries with the encryptions of an invalid value, say 0.

The computational indistinguishability of  $Hybrid_{3,i}$  and  $Hybrid_{3,i-1}$  follows from the security of encryption.

 $\label{eq:Hybrid} \begin{array}{l} \mathsf{Hybrid}_4 \text{: This corresponds to the ideal experiment, i.e., } Out_{Real} \text{ is } \\ \mathsf{equivalent to } Out_{Sim}. \end{array}$ 

The hybrids  $Hybrid_4$  and  $Hybrid_{3,m}$  are identically distributed. The transition from  $Hybrid_{3,m}$  to  $Hybrid_4$  is as follows: in  $Hybrid_{3,m}$ , the labels are still associated with bits and only one of the two encryptions per index generated using the labels is valid. This implies that only one label per index has significance. But note that in  $Hybrid_{3,m}$ , the labels are independent of the bits associated with them (due to  $Hybrid_2$ ). This essentially leads to the conclusion that irrespective of the type of operation, only one of the two encryption is valid and the valid encryption encrypts a label generated uniformly at random (new label) using another label generated uniformly at random (old label). This is equivalent to the encryptions generated by the Simulator in the ideal world. Hence, the output of this hybrid corresponds to the output of the simulator,  $Out_{Sim}$ .