

# A Note on the Security Framework of Two-key DbHtS MACs

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**Abstract.** Double-block Hash-then-Sum (DbHtS) MACs are a class of MACs achieve beyond-birthday-bound (BBB) security, including SUM-ECBC, PMAC.Plus, 3kf9 and LightMAC.Plus etc. Recently, Shen et al. (Crypto 2021) proposed a security framework for two-key DbHtS MACs in the multi-user setting, stating that when the underlying blockcipher is ideal and the universal hash function is regular and almost universal, the two-key DbHtS MACs achieve  $2n/3$ -bit security. Unfortunately, the regular and universal properties can not guarantee the BBB security of two-key DbHtS MACs. We propose three counter-examples which are proved to be  $2n/3$ -bit secure in the multi-user setting by the framework, but can be broken with probability 1 using only  $\mathcal{O}(2^{n/2})$  queries even in the single-user setting. We also point out the miscalculation in their proof leading to such a flaw. However, we haven't found attacks against 2k-SUM-ECBC, 2k-PMAC Plus and 2k-LightMAC Plus proved  $2n/3$ -bit security in their paper.

**Keywords:** MAC · DbHtS · Beyond-birthday-bound security · Multi-user security.

## 1 Introduction

**Message Authentication Code (MAC).** MAC is a symmetric-key crypto primitive to ensure integrity of messages. Most of them follow the Hash-then-Encipher (HtE) framework:

$$\text{HtE}[H, E](K_h, K, M) = E_K(H_{K_h}(M)).$$

When universal hash function  $H_{K_h}$  is a almost uiversal (AU) and  $E_K$  is a fixed-input-length PRF, such framework is a variable-input-length PRF [16] with birthday bound security (i.e., they break with  $\mathcal{O}(2^{n/2})$  queries assuming the size of every block cipher is  $n$  bits). XCBC [4], PMAC [5, 14], HMAC [2], and NMAC [2] follow this framework. However, birthday bound security is always not enough for lightweight blockciphers (PRESENT [6], GIFT [1]), whose  $n = 64$ . Because in this case, the security is only 32 bits (i.e., secure within  $2^{32}$  queries), which is practically vulnerable. So researchers make great efforts to improve the security strength of MAC.

**Table 1.** Summary of MAC frameworks.  $n$  is the length of blockcipher. ‘SUS’ means Single-User Setting. ‘MUS’ means Multi-User Setting.

Papers	Constructions	Conditions	Setting	Security of MACs
[16]	HtE	AU	SUS	$n/2$
[8]	Three-key DbHtS	Cover-Free	SUS	$2n/3$
		Block-Wise		
	Two-key DbHtS	Cover-Free	SUS	$2n/3$
	Block-Wise			
	Colliding			
[10]	Three-key DbHtS	Universal	SUS	$3n/4$
[15]	Two-key DbHtS	Regular	MUS	$2n/3$
		AU		

**Birthday-Birthday-Bound MACs.** Plenty of MACs with beyond-birthday-bound security have been put forward. Such as SUM-ECBC [17], PMAC\_Plus [18], 3kf9 [19], LightMAC\_Plus [12], and so on. At FSE 2019, Datta et al. showed they all follow the Double-block Hash-then-Sum (DbHtS) framework [8], i.e., three-key DbHtS:

$$\text{DbHtS}[H, E](K_h, K_1, K_2, M) = E_{K_1}(H_{K_{h,1}}^1(M)) \oplus E_{K_2}(H_{K_{h,2}}^2(M)),$$

where  $M$  is the message, hash key  $K_h = (K_{h,1}, K_{h,2})$ ,  $H_{K_{h,1}}^1$  and  $H_{K_{h,2}}^2$  are two universal hash functions and  $E_{K_1}$  and  $E_{K_2}$  are two blockciphers on  $n$  bits with two independent keys  $K_1, K_2$  respectively. BBB MACs following three-key DbHtS have been proved with  $2n/3$ -bit security in their primary proofs [17–19, 12] and under the framework of three-key DbHtS proposed by Datta [8]. Later, Leurent et al. [11] showed the best attacks to them cost  $\mathcal{O}(2^{3n/4})$  queries. Recently at EUROCRYPT 2020, Kim et al. [10] have proved the tight  $3n/4$ -bit security.

To facilitate key management, Datta et al. [8] also raised two-key DbHtS framework, that is to say,  $K_1 = K_2$  in DbHtS framework. They showed two-key DbHtS MACs (2K-ECBC\_Plus, 2K-PMAC\_Plus, and 2K-LightMAC\_Plus) under their framework are still  $2n/3$ -bit security.

**Two-Key DbHtS in the Multi-User Setting.** All the above MAC frameworks only considered a single user. We have put them in Table 1. In practice, the adversary can attack multiple users. For instance, MACs are core elements of real-world security protocols such as TLS, SSH, and IPsec, which are used by lots of websites with plenty of daily active users. However, by a generic reduction, all above BBB results degrade to (or even worse than) the birthday bound in the multi-user setting [15].

So at Crypto 2021, Shen et al. [15] revisited the security of two-key DbHtS framework in the multi-user setting elaborately. Their framework (Theorem 1 in [15]) states when the underlying blockcipher is ideal and the two independent universal hash functions are both regular and almost universal, the two-

key DbHtS MACs, including 2k-SUM-ECBC, achieve  $2n/3$ -bit security. They adjusted the proof of the framework for adapting to 2k-PMAC\_Plus and 2k-LightMAC\_Plus based on two dependent universal hash functions, stating they achieve  $2n/3$ -bit security, too.

**Our Contributions.** We show that *Theorem 1 in Shen et al.’s paper [15], giving the security of two-key DbHtS framework, has a critical flaw* by three counter-examples. According to their Theorem 1, these counter-examples are proved  $2n/3$ -bit security (ignoring the maximum message length and ideal-cipher queries) in the multi-user setting. However, they are all attacked successfully with only  $\mathcal{O}(2^{n/2})$  queries even in the single-user setting. We also show clearly the miscalculation in their proof leading to such a flaw.

## 2 Preliminaries

**Notation.** For a finite set  $\mathcal{X}$ , let  $X \stackrel{\$}{\leftarrow} \mathcal{X}$  denote sampling  $X$  from  $\mathcal{X}$  uniformly and randomly. Let  $|\mathcal{X}|$  be the size of the set  $\mathcal{X}$ . For a domain  $\mathcal{X}$  and a range  $\mathcal{Y}$ , let  $\text{Func}(\mathcal{X}, \mathcal{Y})$  denote the set of all functions from  $\mathcal{X}$  to  $\mathcal{Y}$ .

**Multi-User Pseudorandom Function.** Let  $F : \mathcal{K} \times \mathcal{X} \rightarrow \mathcal{Y}$  be a function. The game  $\mathbf{G}_F^{\text{prf}}(\mathcal{A})$  about adversary  $\mathcal{A}$  is defined as follows.

1. Initialize  $K_1, K_2, \dots \stackrel{\$}{\leftarrow} \mathcal{K}, f_1, f_2, \dots \stackrel{\$}{\leftarrow} \text{Func}(\mathcal{X}, \mathcal{Y})$ , and  $b \stackrel{\$}{\leftarrow} \{0, 1\}$ ;
2.  $\mathcal{A}$  queries Eval function with  $(i, X)$  and get  $\text{Eval}(i, X)$ , where  $i \in \{1, 2, \dots\}, X \in \mathcal{X}$ , and

$$\text{Eval}(i, X) = \begin{cases} F(K_i, X), & \text{if } b = 0, \\ f_i(X), & \text{if } b = 1; \end{cases}$$

3.  $\mathcal{A}$  output  $b' = b$ .

Then the advantage of the adversary  $\mathcal{A}$  against the multi-user Pseudorandom Function (PRF) security of  $F$  is

$$\text{Adv}_F^{\text{prf}}(\mathcal{A}) = 2 \Pr[\mathbf{G}_F^{\text{prf}}(\mathcal{A})] - 1.$$

**The H-Coefficient Technique.** When considering interactions between an adversary  $\mathcal{A}$  and an abstract system  $\mathbf{S}$  which answers  $\mathcal{A}$ ’s queries, let  $X_i$  denote the query from  $\mathcal{A}$  to  $\mathbf{S}$  and  $Y_i$  denote the response of  $X_i$  from  $\mathbf{S}$  to  $\mathcal{A}$ . Then the resulting interaction can be recorded with a transcript  $\tau = ((X_1, Y_1), \dots, (X_q, Y_q))$ . Let  $p_{\mathbf{S}}(\tau)$  denote the probability that  $\mathbf{S}$  produces  $\tau$ . In fact,  $p_{\mathbf{S}}(\tau)$  is the description of  $\mathbf{S}$  and independent of the adversary  $\mathcal{A}$ . Then we describe the H-coefficient technique [7, 13]. Generically, it considers an adversary that aims at distinguishing a “real” system  $\mathbf{S}_1$  from an “ideal” system  $\mathbf{S}_0$ . The interactions of the adversary with those two systems induce two transcript distributions  $D_1$  and  $D_0$  respectively. It is well known that the statistical distance  $\text{SD}(D_0, D_1)$  is an upper bound on the distinguishing advantage of  $\mathcal{A}$ .

**Lemma 1.** [7, 13] *Suppose that the set of attainable transcripts for the ideal system can be partitioned into good and bad ones. If there exists  $\epsilon \geq 0$  such that*

$\frac{ps_1(\tau)}{ps_0(\tau)} \geq 1 - \epsilon$  for any good transcript  $\tau$ , then

$$\text{SD}(D_0, D_1) \leq \epsilon + \Pr[D_0 \text{ is bad}].$$

**Regular and AU.** Let  $H : \mathcal{K}_h \times \mathcal{X} \rightarrow \mathcal{Y}$  be a hash function where  $\mathcal{K}_h$  is the key space,  $\mathcal{X}$  is the domain and  $\mathcal{Y}$  is the range. Hash function  $H^i$  is said to be  $\epsilon_1$ -regular if for any  $X \in \mathcal{X}, Y \in \mathcal{Y}$ ,

$$\Pr[K_h \xleftarrow{\$} \mathcal{K}_h : H_{K_h}(X) = Y] \leq \epsilon_1.$$

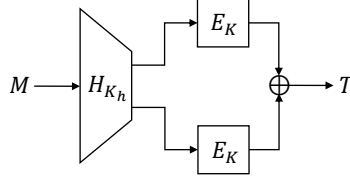
And hash function  $H$  is said to be  $\epsilon_2$ -AU if for any two distinct strings  $X, X' \in \mathcal{X}$ ,

$$\Pr[K_h \xleftarrow{\$} \mathcal{K}_h : H_{K_h}(X) = H_{K_h}(X')] \leq \epsilon_2.$$

### 3 BBB-Security framework in [15]

Let  $\mathcal{M}$  be the message space and  $\mathcal{K}_h \times \mathcal{K}$  be the key space. Let blockcipher  $E : \mathcal{K} \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  and  $\mathcal{K} = \{0, 1\}^k$ . Let hash function  $H : \mathcal{K}_h \times \mathcal{M} \rightarrow \{0, 1\}^n \times \{0, 1\}^n$ . The function  $H$  is consist of two  $n$ -bit hash functions  $H^1$  and  $H^2$ , i.e.,  $H_{K_h}(M) = (H_{K_{h,1}}^1(M), H_{K_{h,2}}^2(M))$  where  $K_h = (K_{h,1}, K_{h,2}) \in \mathcal{K}_{h,1} \times \mathcal{K}_{h,2}$  and  $K_{h,1}, K_{h,2}$  are two independent keys. Then the two-key DbHtS framework in paper [15] (see Fig.1) is

$$\text{DbHtS}[H, E](K_h, K, M) = E_K \left( H_{K_{h,1}}^1(M) \right) \oplus E_K \left( H_{K_{h,2}}^2(M) \right).$$



**Fig. 1.** The two-key DbHtS construction. Here  $H$  is a  $2n$ -bit hash function from  $\mathcal{K}_h \times \mathcal{M}$  to  $\{0, 1\}^n \times \{0, 1\}^n$ , and  $E$  is a  $n$ -bit blockcipher from  $\mathcal{K} \times \{0, 1\}^n$  to  $\{0, 1\}^n$ .

**Theorem 1 in [15].** Let  $E$  be modeled as an ideal blockcipher. Let  $H^1$  and  $H^2$  both satisfy  $\epsilon_1$ -regular and  $\epsilon_2$ -AU. Then Shen et al. [15] proved the security of two-key DbHtS in the multi-user setting as following, which is the core of their paper and they named it Theorem 1. For any adversary  $\mathcal{A}$  that makes at most  $q$  evaluation queries and  $p$  ideal-cipher queries,

$$\begin{aligned} \text{Adv}_{\text{DbHtS}}^{\text{prf}}(\mathcal{A}) &\leq \frac{2q}{2^k} + \frac{q(3q+p)(6q+2p)}{2^{2k}} + \frac{2qp\ell}{2^{n+k}} + \frac{2qp\epsilon_1}{2^k} + \frac{4qp}{2^{n+k}} \\ &\quad + \frac{4q^2\epsilon_1}{2^k} + \frac{2q^2\ell\epsilon_1}{2^k} + 2q^3(\epsilon_1 + \epsilon_2)^2 + \frac{8q^3(\epsilon_1 + \epsilon_2)}{2^n} + \frac{6q^3}{2^{2n}} \end{aligned} \quad (1)$$

where  $\ell$  is the maximal block length among these evaluation queries and assuming  $p + q\ell \leq 2^{n-1}$ .

**An Overview of the Proof of Theorem 1 in [15].** They proved Theorem 1 based on H-coefficient technique. Let  $\mathbf{S}_1$  be “real” system and  $\mathbf{S}_0$  be “ideal” system. For  $b \in \{0, 1\}$ , system  $\mathbf{S}_b$  performs the following procedure.

1. Initialize  $(K_h^1, K_1), \dots, (K_h^u, K_u) \stackrel{\$}{\leftarrow} \mathcal{K}_h \times \mathcal{K}$  if  $b = 1$ ; otherwise, initialize  $f_1, \dots, f_u \stackrel{\$}{\leftarrow} \text{Func}(\mathcal{M}, \{0, 1\}^n)$ ;
2. If an adversary  $\mathcal{A}$  queries Eval function with  $(i, M)$ , where  $i \in \{1, 2, \dots\}$ ,  $M \in \mathcal{M}$ , return

$$\text{Eval}(i, M) = \begin{cases} \text{DbHtS}[H, E](K_h^i, K_i, M), & \text{if } b = 1, \\ f_i(M), & \text{if } b = 0; \end{cases}$$

3. If an adversary  $\mathcal{A}$  queries Prim function with  $(J, X)$ , where  $J \in \mathcal{K}$ ,  $X \in \{+, -\} \times \{0, 1\}^n$ , return

$$\text{Prim}(J, X) = \begin{cases} E_J(x), & \text{if } X = \{+, x\}, \\ E_J^{-1}(y), & \text{if } X = \{-, y\}. \end{cases}$$

They called the query to Eval evaluation query and the query to Prim ideal-cipher query. For each query  $T \leftarrow \text{Eval}(i, M)$ , they associated it with an entry  $(eval, i, M, T)$ . The query to Prim is similar to it. Transcript  $\tau$  consisted of such entries. Then they defined bad transcripts, including fourteen cases. If a transcript is not bad then they said it’s good. Let  $D_1$  and  $D_0$  be the random variables for the transcript distributions in the system  $\mathbf{S}_1$  and  $\mathbf{S}_0$  respectively. They firstly bounded the probability that  $D_0$  is bad as follows. Let  $\text{Bad}_i$  be the event that the  $i$ -th case of bad transcripts happens. They calculated the probability  $\Pr[\text{Bad}_1], \dots, \Pr[\text{Bad}_{14}]$  in sequence. After summing up, they got

$$\begin{aligned} \Pr[D_0 \text{ is bad}] &\leq \sum_{i=1}^{14} \Pr[\text{Bad}_i] \\ &\leq \frac{2q}{2^k} + \frac{q(3q+p)(6q+2p)}{2^{2k}} + \frac{2qp\ell}{2^{k+n}} + \frac{2qp\epsilon_1}{2^k} + \frac{4qp}{2^{n+k}} \\ &\quad + \frac{4q^2\epsilon_1}{2^k} + \frac{2q^2\ell\epsilon_1}{2^k} + 2q^3(\epsilon_1 + \epsilon_2)^2 + \frac{8q^3(\epsilon_1 + \epsilon_2)}{2^n}. \end{aligned}$$

Besides, they proved the transcript ratio  $\frac{ps_1(\tau)}{ps_0(\tau)} \geq 1 - \frac{6q^3}{2^{2n}}$  for any good transcript  $\tau$ . Thus they concluded Theorem 1 by Lemma 1.

## 4 Counter-Examples

We will show three counter-examples who are two-key DbHtS constructions and satisfy  $\epsilon_1$ -regular and  $\epsilon_2$ -AU are attacked in the single-user setting with fewer queries than the security claimed by Theorem 1 [15]. So they are counter-examples against the framework of Shen et al..

#### 4.1 Counter-Example 1

Our first counter-example is a function with fixed input length. Let hash function

$$H_{K_h}(M) = (H_{K_1}^1(M), H_{K_2}^1(M)) = (M \oplus K_1, M \oplus K_2),$$

where  $M$  is the message from message space  $\{0, 1\}^n$ ,  $K_h = (K_1, K_2)$  and  $K_1, K_2 \xleftarrow{\$} \{0, 1\}^n$ . Let blockcipher  $E_K : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$ . Then we define function  $F : \{0, 1\}^{2n} \times \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  as

$$F[H, E](K_h, K, M) = E_K(H_{K_1}^1(M)) \oplus E_K(H_{K_2}^2(M)).$$

**$H^1$  and  $H^2$  are  $\frac{1}{2^n}$ -Regular and  $\frac{1}{2^n}$ -AU.** It is easy to know that for any  $M \in \{0, 1\}^n, Y \in \{0, 1\}^n$  and  $i \in \{1, 2\}$ ,

$$\Pr[K_i \xleftarrow{\$} \{0, 1\}^n : M \oplus K_i = Y] \leq \frac{1}{2^n}.$$

And for any two distinct strings  $M, M' \in \{0, 1\}^n$  and  $i \in \{1, 2\}$ ,

$$\Pr[K_i \xleftarrow{\$} \{0, 1\}^n : M \oplus K_i = M' \oplus K_i] = 0.$$

So hash functions  $H^1$  and  $H^2$  are both  $\frac{1}{2^n}$ -regular and  $\frac{1}{2^n}$ -AU.

**$2n/3$ -bit security according to [15].** According to Theorem 1 [15], function  $F$  is secure within  $\mathcal{O}(2^{2n/3})$  evaluation queries assuming ideal-cipher queries is  $\mathcal{O}(1)$  in the multi-user setting.

**Attack with  $\mathcal{O}(2^{n/2})$  query complexity.** It is easy to know that for all keys in keyspace and messages in message space,

$$\begin{aligned} F[H, E](K_h, K, M \oplus K_1 \oplus K_2) &= E_K(M \oplus K_2) \oplus E_K(M \oplus K_1) \\ &= F[H, E](K_h, K, M). \end{aligned}$$

It means  $F$  has a period  $s := K_1 \oplus K_2$ . Based on this, there is an adversary  $\mathcal{A}$  can distinguish  $F$  from random function  $f$  with only  $\mathcal{O}(2^{n/2})$  evaluation queries as follows, which is contradictory to Theorem 1 [15].

1.  $\mathcal{A}$  firstly makes  $\mathcal{O}(2^{n/2})$  evaluation queries of distinct messages  $M_1, M_2, \dots$  chosen uniformly and randomly, and get  $T_1, T_2, \dots$ ;
2.  $\mathcal{A}$  searches a message pair  $(M_i, M_j)$  for  $M_i \neq M_j, M_i, M_j \in \{M_1, M_2, \dots\}$  which makes (i) and (ii) hold.
  - (i)  $T_i = T_j$ ;
  - (ii) After make another two evaluation queries with messages  $M'$  and  $M' \oplus M_i \oplus M_j$  for  $M' \notin \{M_i, M_j\}$ ,  $\mathcal{A}$  gets two identical answers.

If the evaluation query is to  $F$ , one can expect on average that there exists one message pair  $(M_i, M_j)$  among  $\mathcal{O}(2^{n/2})$  messages such that  $M_i = M_j \oplus s$ . Conditions (i) and (ii) in the second step of  $\mathcal{A}$  filter out such pair. However, random function  $f$  has no period. If the evaluation query is to  $f$ , on average there exists

one message pair  $(M_i, M_j)$  among  $\mathcal{O}(2^{n/2})$  messages such that  $T_i = T_j$ . However, the probability of  $f(M') = f(M' \oplus M_i \oplus M_j)$  for any  $M' \notin \{M_i, M_j\}$  is only  $1/2^n$ . So  $\mathcal{A}$  finds a pair  $(M_i, M_j)$  satisfying conditions (i) and (ii) with negligible probability. Thus  $\mathcal{A}$  distinguish  $F$  from random function with probability  $1 - 1/2^n$ .

## 4.2 Counter-Example 2

Compared with the first counter-example with fixed input length, our second counter-example can handle variable-length input. We define the function of counter-example 2 the same as counter-example 1 except dealing with messages from  $(\{0, 1\}^n)^*$  and altering two hash functions  $H^1$  and  $H^2$  to

$$H_{K_i}^i(M) = M[1] \oplus M[2]K_i \oplus M[3]K_i^2 \oplus \dots \oplus M[m]K_i^{m-1} \oplus |M|K_i^m, i = 1, 2.$$

where  $M = M[1] \parallel M[2] \parallel \dots \parallel M[m]$  and every message block is  $n$ -bit. This example is a variant of PolyMAC [10].

**$H^1$  and  $H^2$  are  $\frac{\ell}{2^n}$ -Regular and  $\frac{\ell}{2^n}$ -AU.** Assume the maximal block length of all evaluation queries is  $\ell$ . Any equation of at most  $\ell$  degree has at most  $\ell$  roots. So it is easy to know that for any  $M \in (\{0, 1\}^n)^*$ ,  $Y \in \{0, 1\}^n$  and  $i \in \{1, 2\}$ ,

$$\Pr[K_i \xleftarrow{\$} \{0, 1\}^n : H_{K_i}^i(M) = Y] \leq \frac{\ell}{2^n}.$$

And for any two distinct strings  $M, M' \in (\{0, 1\}^n)^*$  and  $i \in \{1, 2\}$ ,

$$\Pr[K_i \xleftarrow{\$} \{0, 1\}^n : H_{K_i}^i(M) = H_{K_i}^i(M')] \leq \frac{\ell}{2^n}.$$

It means  $H^1$  and  $H^2$  are both  $\frac{\ell}{2^n}$ -regular and  $\frac{\ell}{2^n}$ -AU.

**$2n/3$ -bit security according to [15].** According to Theorem 1 [15], function  $F$  is secure within  $\mathcal{O}(2^{2n/3})$  evaluation queries assuming ideal-cipher queries is  $\mathcal{O}(1)$  and  $\ell = \mathcal{O}(1)$  in the multi-user setting.

**Attack with  $\mathcal{O}(2^{n/2})$  query complexity.** Fix any arbitrary string

$$M_{fix} := M[2] \parallel M[3] \parallel \dots \parallel M[m] \in (\{0, 1\}^n)^{m-1},$$

where  $2 \leq m \leq \ell = \mathcal{O}(1)$ . Let

$$K'_i := M[2]K_i \oplus M[3]K_i^2 \oplus \dots \oplus M[m]K_i^{m-1} \oplus nmK_i^m, i = 1, 2.$$

Then it is easy to obtain for any keys in key space and  $M[1] \in \{0, 1\}^n$ ,

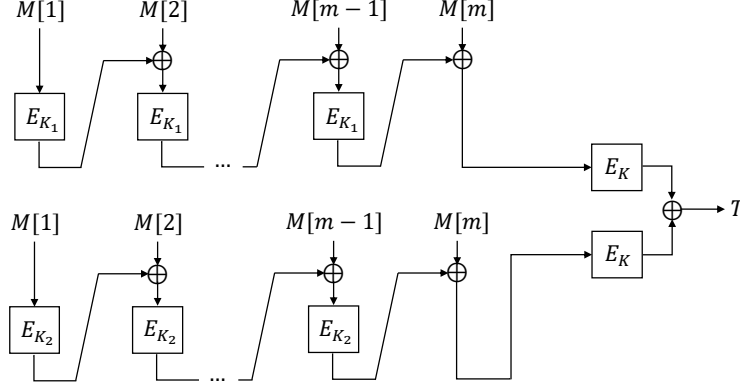
$$\begin{aligned} & F[H, E](K_h, K, (M[0] \oplus K'_1 \oplus K'_2) \parallel M_{fix}) \\ &= E_K(M[0] \oplus K'_2) \oplus E_K(M[0] \oplus K'_1) \\ &= F[H, E](K_h, K, M[0] \parallel M_{fix}). \end{aligned}$$

It means  $F$  has a period  $s := (K'_1 \oplus K'_2) \parallel 0^{n(m-1)}$  for any  $M \in \{0, 1\}^n \times \{M_{fix}\}$ . Based on this, there is an adversary  $\mathcal{A}$  can distinguish  $F$  from random function  $f$  with only  $\mathcal{O}(2^{n/2})$  evaluation queries as follows, which is contradictory to Theorem 1 [15].

1.  $\mathcal{A}$  firstly makes  $\mathcal{O}(2^{n/2})$  evaluation queries with distinct messages  $M_1 \parallel M_{fix}, M_2 \parallel M_{fix}, \dots$  where  $M_1, M_2, \dots \stackrel{\$}{\leftarrow} \{0, 1\}^n$ , and get  $T_1, T_2, \dots$ ;
2.  $\mathcal{A}$  searches a pair  $(M_i, M_j)$  for  $M_i \neq M_j, M_i, M_j \in \{M_1, M_2, \dots\}$  which makes (i) and (ii) hold.
  - (i)  $T_i = T_j$ ;
  - (ii) After make another two evaluation queries with messages  $M' \parallel M_{fix}$  and  $(M' \oplus M_i \oplus M_j) \parallel M_{fix}$  for  $M' \notin \{M_i, M_j\}$ ,  $\mathcal{A}$  gets two identical answers.

The same as counter-example 1,  $\mathcal{A}$  distinguishes  $F$  from  $f$  with probability almost 1.

### 4.3 Counter-Example 3



**Fig. 2.** The variant of 2k-SUM-ECBC.  $K_1, K_2, K_3$  are three independent keys in  $\{0, 1\}^n$ .  $E$  is a  $n$ -bit blockcipher from  $\{0, 1\}^n \times \{0, 1\}^n$  to  $\{0, 1\}^n$ .

Unlike counter-examples 1 and 2, the third counter-example with hash functions based on block ciphers. It is a variant of 2k-SUM-ECBC [15]. Let  $E : \{0, 1\}^n \times \{0, 1\}^n \rightarrow \{0, 1\}^n$  be a blockcipher with key  $K \in \{0, 1\}^n$ . The two  $n$ -bit hash functions used in this function are two CBC MACs without the last cipherblocks, which we call as  $CBC'$ . They are keyed with two independent keys  $K_1$  and  $K_2$  respectively. And they deal with at least two message blocks respectively. For a message  $M = M[1] \parallel M[2] \parallel \dots \parallel M[m]$  where every message block is  $n$ -bit and  $m \geq 2$ , the  $CBC'$  algorithm  $CBC'[E](K, M)$  is defined as  $Y_m$ , where

$$\begin{aligned} Y_1 &= M[1], \\ Y_j &= E_K(Y_{j-1}) \oplus M[j], j = 2, \dots, m. \end{aligned}$$



Let  $K_h = (K_1, K_2)$ . Then we define the function (see Fig.2) as

$$F[\text{CBC}'[E], E](K_h, K, M) = E_K(\text{CBC}'[E](K_1, M)) \oplus E_K(\text{CBC}'[E](K_2, M)).$$

$\text{CBC}'[E]$  is  $\left(\frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -Regular and  $\left(\frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -AU. For any two different message  $M, M' \in (\{0, 1\}^n)^*$  with at most  $\ell$  blocks and the adversary making no ideal-cipher query, Ballare et al. [3] and Jha and Nandi [9] show that for  $i \in \{1, 2\}$ ,

$$\begin{aligned} & \Pr[K_i \xleftarrow{\$} \{0, 1\}^n : E_K(\text{CBC}'[E](K_i, M)) = E_K(\text{CBC}'[E](K_i, M'))] \\ & \leq \frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}. \end{aligned}$$

Blockcipher  $E_K$  is a permutation. So

$$\Pr[K_i \xleftarrow{\$} \{0, 1\}^n : \text{CBC}'[E](K_i, M) = \text{CBC}'[E](K_i, M')] \leq \frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}.$$

It means  $\text{CBC}'$  is  $\left(\frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -AU. Let  $M = X[1] \parallel (X[2] \oplus Y) \parallel Z \in (\{0, 1\}^n)^* \times \{0, 1\}^n \times \{0, 1\}^n$  and  $M' = 0^n \parallel Z \in \{0, 1\}^n \times \{0, 1\}^n$ . Then

$$\begin{aligned} & \Pr[K_i \xleftarrow{\$} \{0, 1\}^n : \text{CBC}'[E](K_i, X[1] \parallel X[2]) = Y] \\ & = \Pr[K_i \xleftarrow{\$} \{0, 1\}^n : \text{CBC}'[E](K_i, M) = \text{CBC}'[E](K_i, M')] \\ & \leq \frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}. \end{aligned}$$

So  $\text{CBC}'$  is  $\left(\frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -regular.

**2n/3-bit security according to [15].** According to Theorem 1 [15], function  $F$  is secure within  $\mathcal{O}(2^{2n/3})$  evaluation queries assuming no ideal-cipher queries and  $\ell = \mathcal{O}(1)$  in the multi-user setting.

**Attack with  $\mathcal{O}(2^{n/2})$  query complexity.** Fix any arbitrary string  $M_{fix} \in (\{0, 1\}^n)^{m-1}$  where  $2 \leq m \leq \ell = \mathcal{O}(1)$ . Let

$$s = \text{CBC}'[E](K_1, M_{fix} \parallel 0^n) \oplus \text{CBC}'[E](K_2, M_{fix} \parallel 0^n).$$

Then it is easy to obtain for any keys in key space and  $M[m] \in \{0, 1\}^n$ ,

$$\begin{aligned} & F[\text{CBC}'[E], E](K_h, K, M_{fix} \parallel (M[m] \oplus s)) \\ & = E_K(\text{CBC}'[E](K_2, M_{fix} \parallel 0^n) \oplus M[m]) \oplus \\ & \quad E_K(\text{CBC}'[E](K_1, M_{fix} \parallel 0^n) \oplus M[m]) \\ & = E_K(\text{CBC}'[E](K_2, M_{fix} \parallel M[m])) \oplus E_K(\text{CBC}'[E](K_1, M_{fix} \parallel M[m])) \\ & = F[\text{CBC}'[E], E](K_h, K, M_{fix} \parallel M[m]). \end{aligned}$$

It means  $F$  has a period  $s := 0^{n(m-1)} \parallel s$  for any  $M \in \{M_{fix}\} \times \{0, 1\}^n$ . So there is an adversary  $\mathcal{A}$  distinguishes  $F$  from random function with only  $\mathcal{O}(2^{n/2})$  evaluation queries when considering single user similar as counter-example 2.

## 5 The Flaw of the Proof of Theorem 1 in [15]

In section 3, we have shown the procedure of how Shen et al. [15] proved Theorem 1 based on H-coefficient technique. However, we find they make a critical flaw when they were calculating  $\Pr[\text{Bad}_9]$  in their proof, which leads to existing our counter-examples. We now show it.

Assume there are  $u$  users and the adversary make  $q_i$  evaluation queries to the  $i$ -th user in all. Let  $(eval, i, M_a^i, T_a^i)$  be the entry obtained when the adversary makes the  $a$ -th query to user  $i$ . During the computation of entry  $(eval, i, M_a^i, T_a^i)$ , let  $\Sigma_a^i$  and  $\Lambda_a^i$  be the internal outputs of hash function  $H$  in “real” system  $\mathbf{S}_1$ , namely  $\Sigma_a^i = H_{K_{h,1}}^1(M_a^i)$  and  $\Lambda_a^i = H_{K_{h,2}}^2(M_a^i)$  respectively. The ninth bad event is

“There is an entry  $(eval, i, M_a^i, T_a^i)$  such that either  $\Sigma_a^i = \Sigma_b^i$  or  $\Sigma_a^i = \Lambda_b^i$ , and either  $\Lambda_a^i = \Lambda_b^i$  or  $\Lambda_a^i = \Sigma_b^i$  for some entry  $(eval, i, M_a^i, T_a^i)$ .”

They defined this event bad for the reason that the appearance of such entry  $(eval, i, M_a^i, T_a^i)$  is easy used to distinguish systems  $\mathbf{S}_1$  and  $\mathbf{S}_0$ . We call the event of either  $\Sigma_a^i = \Sigma_b^i$  or  $\Sigma_a^i = \Lambda_b^i$  as event 1, and the event of either  $\Lambda_a^i = \Lambda_b^i$  or  $\Lambda_a^i = \Sigma_b^i$  as event 2. Then we can regard the simultaneous events 1 and 2 as one of the following 4 events:

- Event 3:  $\Sigma_a^i = \Sigma_b^i$  and  $\Lambda_a^i = \Lambda_b^i$ ;
- Event 4:  $\Sigma_a^i = \Sigma_b^i$  and  $\Lambda_a^i = \Sigma_b^i$ ;
- Event 5:  $\Sigma_a^i = \Lambda_b^i$  and  $\Lambda_a^i = \Lambda_b^i$ ;
- Event 6:  $\Sigma_a^i = \Lambda_b^i$  and  $\Lambda_a^i = \Sigma_b^i$ .

In “real” system  $\mathbf{S}_1$ , event 4 or 5 leads to  $T_a^i = 0^n$ ; event 3 or 6 leads to  $T_a^i = T_b^i$ . However in “ideal” system  $\mathbf{S}_0$  these happen with negligible probability by the randomness of random function  $f_i$ . Thus it is easy distinguish these two systems.

When calculating  $\Pr[\text{Bad}_9]$ , Shen et al. [15] regarded that the event 1 is independent from event 2 when  $K_{h,1}^i, K_{h,2}^i$  are independent from each other. So by  $H^1, H^2$  are both  $\epsilon_1$ -regular and  $\epsilon_2$ -AU, they thought the probability of event 1 (resp. event 2) is at most  $\epsilon_1 + \epsilon_2$ . Note that for each user, there are at most  $q_i^2$  pairs of  $(a, b)$ . So they summed among  $u$  users and got

$$\Pr[\text{Bad}_9] \leq \sum_{i=1}^u q_i^2 (\epsilon_1 + \epsilon_2)^2 \leq q^2 (\epsilon_1 + \epsilon_2)^2.$$

In fact, even if  $K_{h,1}^i, K_{h,2}^i$  are independent of each other, the event 1 and event 2 may not be independent, which has been shown in counter-examples 1-3. We regard the ninth event as the union set of events 3,4,5 and 6. Event 3 holds with probability at most  $\epsilon_2^2$  by the assumption that  $H^1$  and  $H^2$  are  $\epsilon_2$ -AU. Event 4 holds with probability at most  $\epsilon_1 \epsilon_2$  by the assumption that  $H^1$  is

$\epsilon_2$ -AU and  $H^2$  is  $\epsilon_1$ -regular. Event 5 holds with probability at most  $\epsilon_1\epsilon_2$  by the assumption that  $H^1$  is  $\epsilon_1$ -regular and  $H^2$  is  $\epsilon_2$ -AU. For event 6,

$$\begin{aligned} & \Pr[K_{h,1}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,1}, K_{h,2}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,2} : \Sigma_a^i = \Lambda_b^i, \Lambda_a^i = \Sigma_b^i] \\ &= \Pr[K_{h,1}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,1}, K_{h,2}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,2} : \Sigma_a^i = \Lambda_b^i | \Lambda_a^i = \Sigma_b^i] \\ & \quad \cdot \Pr[K_{h,1}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,2}, K_{h,2}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,1} : \Lambda_a^i = \Sigma_b^i] \\ & \leq \epsilon_3 \epsilon_1 \end{aligned}$$

by the assumption that  $H^2$  is  $\epsilon_1$ -regular and let

$$\epsilon_3 = \Pr[K_{h,1}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,1}, K_{h,2}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,2} : \Sigma_a^i = \Lambda_b^i | \Lambda_a^i = \Sigma_b^i].$$

So we sum among  $u$  users and got

$$\Pr[\text{Bad}_9] \leq \sum_{i=1}^u q_i^2 (\epsilon_2^2 + 2\epsilon_1\epsilon_2 + \epsilon_3\epsilon_1) \leq q^2 (\epsilon_2^2 + 2\epsilon_1\epsilon_2 + \epsilon_3\epsilon_1).$$

For counter-examples 1-3, it is easy to get  $\epsilon_3 = 1$ . So for these cases,  $\Pr[\text{Bad}_9] \leq q^2 (\epsilon_2^2 + 2\epsilon_1\epsilon_2 + \epsilon_1)$ . If we substitute our  $\Pr[\text{Bad}_9]$  for that in paper [15], we get the security of proofs of counter-examples 1-3 should be within  $\mathcal{O}(2^{n/2})$  evaluation queries assuming ideal-cipher queries are  $\mathcal{O}(1)$  and the maximal block length of all evaluation queries is  $\mathcal{O}(1)$ , which is consistent with attacks.

## 6 Conclusion

In this paper, we find a critical flaw of the security framework of two-key DbHtS in the multi-user setting raised by Shen et al. [15] by three counter-examples. We also present the reason of existing such a flaw. This is due to the fact that the authors overlooked the dependence of  $H_{K_{h_1}}(M_1) = H_{K_{h_2}}(M_2)$  and  $H_{K_{h_2}}(M_1) = H_{K_{h_1}}(M_2)$  when  $K_{h_1}, K_{h_2}$  are independent and  $M_1, M_2$  are two different messages in the proof of Theorem 1 [15]. In their paper, they also stated 2k-SUM-ECBC, 2k-PMAC\_Plus, and 2k-LightMAC\_Plus all achieve  $2n/3$ -bit security. For 2k-SUM-ECBC based on two independent CBC MACs, the probability  $\epsilon_3$  is about  $\frac{1}{2^n}$ . So if we substitute our  $\Pr[\text{Bad}_9]$  for that in paper [15], 2k-SUM-ECBC still achieves  $2n/3$  security. The two universal hash functions of 2k-PMAC\_Plus or 2k-LightMAC\_Plus are dependent, they adjusted the concrete proof of these two MACs from the framework. So we haven't found attacks against these three MACs.

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