# A Note on the Security Framework of Two-key DbHtS MACs

Tingting  $\mathrm{Guo}^{1,2}$  and  $\mathrm{Peng}\ \mathrm{Wang}^{1,2(\boxtimes)}$ 

SKLOIS, Institute of Information Engineering, CAS
 w.rocking@gmail.com, guotingting@iie.ac.cn
 School of Cyber Security, University of Chinese Academy of Sciences

Abstract. Double-block Hash-then-Sum (DbHtS) MACs are a class of MACs achieve beyond-birthday-bound (BBB) security, including SUM-ECBC, PMAC\_Plus, 3kf9 and LightMAC\_Plus etc. Recently, Shen et al. (Crypto 2021) proposed a security framework for two-key DbHtS MACs in the multi-user setting, stating that when the underlying blockcipher is ideal and the universal hash function is regular and almost universal, the two-key DbHtS MACs achieve 2n/3-bit security. Unfortunately, the regular and universal properties can not guarantee the BBB security of two-key DbHtS MACs. We propose three counter-examples which are proved to be 2n/3-bit secure in the multi-user setting by the framework, but can be broken with probability 1 using only  $\mathcal{O}(2^{n/2})$  queries even in the single-user setting. We also point out the miscalculation in their proof leading to such a flaw. However, we haven't found attacks against 2k-SUM-ECBC, 2k-PMAC\_Plus and 2k-LightMAC\_Plus proved 2n/3-bit security in their paper.

**Keywords:** MAC  $\cdot$  DbHtS  $\cdot$  Beyond-birthday-bound security  $\cdot$  Multiuser security.

#### 1 Introduction

Message Authentication Code (MAC). MAC is a symmetric-key crypto primitive to ensure integrity of messages. Most of them follow the Hash-then-Encipher (HtE) framework:

$$HtE[H, E](K_h, K, M) = E_K(H_{K_h}(M)).$$

When keyed hash function  $H_{K_h}$  is a almost uiversal (AU) and  $E_K$  is a fixed-input-length PRF, such framework is a variable-input-length PRF [16] with birthday bound security (i.e., they break with  $\mathcal{O}(2^{n/2})$  queries assuming the size of every block cipher is n bits). XCBC [4], PMAC [5, 14], HMAC [2], and NMAC [2] follow this framework. However, birthday bound security is always not enough for lightweight blockciphers (PRESENT [6], GIFT [1]), whose n = 64. Because in this case, the security is only 32 bits (i.e., secure within  $2^{32}$  queries), which is practically vulnerable. So researchers make great efforts to improve the security strength of MAC.

**Table 1.** Summary of MAC frameworks. n is the length of blockcipher. 'SUS' means Single-User Setting. 'MUS' means Multi-User Setting.

Papers   Constructions   Conditions of Keyed Hash Functions   Setting   Security of MACs				
[16]	$_{ m HtE}$	AU	SUS	n/2
[8]	Three-key DbHtS	Weak-cover-free	SUS	2n/3
		Weak-block-wise universal		
	Two-key DbHtS	Cover-free	SUS	2n/3
		Block-wise universal	1	
		Colliding	]	
[10]	Three-key DbHtS	AU	SUS	3n/4
[15]	Two-key DbHtS	Regular	MUS	2n/3
		AU		

Birthday-Bound MACs. Plenty of MACs with beyond-birthday-bound security have been put forward. Such as SUM-ECBC [17], PMAC\_Plus [18], 3kf9 [19], LightMAC\_Plus [12], and so on. At FSE 2019, Datta et al. showed they all follow the Double-block Hash-then-Sum (DbHtS) framework [8], i.e., three-key DbHtS:

DbHtS[
$$H, E$$
]  $(K_h, K_1, K_2, M) = E_{K_1}(H^1_{K_{h-1}}(M)) \oplus E_{K_2}(H^2_{K_{h-2}}(M)),$ 

where M is the massage, hash key  $K_h = (K_{h,1}, K_{h,2})$ ,  $H^1_{K_{h,1}}$  and  $H^2_{K_{h,2}}$  are two universal hash functions and  $E_{K_1}$  and  $E_{K_2}$  are two blockciphers on n bits with two independent keys  $K_1, K_2$  respectively. BBB MACs following three-key DbHtS have been proved with 2n/3-bit security in their primary proofs [17–19, 12] and under the framework of three-key DbHtS proposed by Datta [8]. Later, Leurent et al. [11] showed the best attacks to them cost  $\mathcal{O}(2^{3n/4})$  queries. Recently at EUROCRYPT 2020, Kim et al. [10] have proved the tight 3n/4-bit security.

To facilitate key management, Datta et al. [8] also raised two-key DbHtS framework, that is to say,  $K_1 = K_2$  in DbHtS framework. They showed two-key DbHtS MACs (2K-ECBC\_Plus, 2K-PMAC\_Plus, and 2K-LightMAC\_Plus) under their framework are still 2n/3-bit security.

Two-Key DbHtS in the Multi-User Setting. All the above MAC frameworks only considered a single user. We have put them in Table 1. In practice, the adversary can attack multiple users. For instance, MACs are core elements of real-world security protocols such as TLS, SSH, and IPsec, which are used by lots of websites with plenty of daily active users. However, by a generic reduction, all above BBB results degrade to (or even worse than) the birthday bound in the multi-user setting [15].

So at Crypto 2021, Shen et al. [15] revisited the security of two-key DbHtS framework in the multi-user setting elaborately. Their framework (Theorem 1 in [15]) states when the underlying blockcipher is ideal and the two independent universal hash functions are both regular and almost universal, the two-key DbHtS MACs , including 2k-SUM-ECBC, achieve 2n/3-bit security. They

adjusted the proof of the framework for adapting to 2k-PMAC\_Plus and 2k-LightMAC\_Plus based on two dependent universal hash functions, stating they achieve 2n/3-bit security, too.

Our Contributions. We show that Theorem 1 in Shen et al.'s paper [15], giving the security of two-key DbHtS framework, has a critical flaw by three counter-examples. According to their Theorem 1, these counter-examples are proved 2n/3-bit security (ignoring the maximum message length and ideal-cipher queries) in the multi-user setting. However, they are all attacked successfully with only  $\mathcal{O}(2^{n/2})$  queries even in the single-user setting. We also show clearly the miscalculation in their proof leading to such a flaw.

#### 2 Preliminaries

**Notation.** For a finite set  $\mathcal{X}$ , let  $X \stackrel{\$}{\leftarrow} \mathcal{X}$  denote sampling X from  $\mathcal{X}$  uniformly and randomly. Let  $|\mathcal{X}|$  be the size of the set  $\mathcal{X}$ . For a domain  $\mathcal{X}$  and a range  $\mathcal{Y}$ , let  $\mathsf{Func}(\mathcal{X},\mathcal{Y})$  denote the set of all functions from  $\mathcal{X}$  to  $\mathcal{Y}$ .

Multi-User Pseudorandom Function. Let  $F: \mathcal{K} \times \mathcal{X} \to \mathcal{Y}$  be a function. The game  $\mathbf{G}_F^{\mathrm{prf}}(\mathscr{A})$  about adversary  $\mathscr{A}$  is defined as follows.

- 1. Initialize  $K_1, K_2, \ldots \stackrel{\$}{\leftarrow} \mathcal{K}, f_1, f_2, \ldots \stackrel{\$}{\leftarrow} \mathsf{Func}(\mathcal{X}, \mathcal{Y}), \text{ and } b \stackrel{\$}{\leftarrow} \{0, 1\};$
- 2.  $\mathscr{A}$  queries Eval function with (i, X) and get  $\mathsf{Eval}(i, X)$ , where  $i \in \{1, 2, \ldots\}, X \in \mathcal{X}$ , and

$$\mathsf{Eval}(i,X) = \begin{cases} F(K_i,X), & \text{if } b = 0, \\ f_i(X), & \text{if } b = 1; \end{cases}$$

3.  $\mathscr{A}$  output b' = b.

Then the advantage of the adversary  $\mathscr A$  against the multi-user Pseudorandom Function (PRF) security of F is

$$\mathrm{Adv}_F^{\mathrm{prf}}(\mathscr{A}) = 2 \Pr[\mathbf{G}_F^{\mathrm{prf}}(\mathscr{A})] - 1.$$

The H-Coefficient Technique. When considering interactions between an adversary  $\mathscr A$  and an abstract system  $\mathbf S$  which answers  $\mathscr A$ 's queries, let  $X_i$  denote the query from  $\mathscr A$  to  $\mathbf S$  and  $Y_i$  denote the response of  $X_i$  from  $\mathbf S$  to  $\mathscr A$ . Then the resulting interaction can be recorded with a transcript  $\tau = ((X_1,Y_1),\ldots,(X_q,Y_q))$ . Let  $p_{\mathbf S}(\tau)$  denote the probability that  $\mathbf S$  produces  $\tau$ . In fact,  $p_{\mathbf S}(\tau)$  is the description of  $\mathbf S$  and independent of the adversary  $\mathscr A$ . Then we describe the H-coefficient technique [7,13]. Generically, it considers an adversary that aims at distinguishing a "real" system  $\mathbf S_1$  from an "ideal" system  $\mathbf S_0$ . The interactions of the adversary with those two systems induce two transcript distributions  $D_1$  and  $D_0$  respectively. It is well known that the statistical distance  $\mathsf{SD}(D_0,D_1)$  is an upper bound on the distinguishing advantage of  $\mathscr A$ .

**Lemma 1.** [7,13] Suppose that the set of attainable transcripts for the ideal system can be partitioned into good and bad ones. If there exists  $\epsilon \geq 0$  such that  $\frac{p_{\mathbf{S_1}}(\tau)}{p_{\mathbf{S_0}}(\tau)} \geq 1 - \epsilon$  for any good transcript  $\tau$ , then

$$SD(D_0, D_1) \le \epsilon + Pr[D_0 \text{ is bad}].$$

**Regular and AU.** Let  $H: \mathcal{K}_h \times \mathcal{X} \to \mathcal{Y}$  be a hash function where  $\mathcal{K}_h$  is the key space,  $\mathcal{X}$  is the domain and  $\mathcal{Y}$  is the range. Hash function  $H^i$  is said to be  $\epsilon_1$ -regular if for any  $X \in \mathcal{X}$ ,  $Y \in \mathcal{Y}$ ,

$$\Pr[K_h \stackrel{\$}{\leftarrow} \mathcal{K}_h : H_{K_h}(X) = Y] \le \epsilon_1.$$

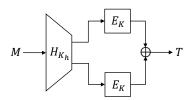
And hash function H is said to be  $\epsilon_2$ -AU if for any two distinct strings  $X, X' \in \mathcal{X}$ ,

$$\Pr[K_h \stackrel{\$}{\leftarrow} \mathcal{K}_h : H_{K_h}(X) = H_{K_h}(X')] \le \epsilon_2.$$

## 3 BBB-Security framework in [15]

Let  $\mathcal{M}$  be the message space and  $\mathcal{K}_h \times \mathcal{K}$  be the key space. Let blockcipher  $E: \mathcal{K} \times \{0,1\}^n \to \{0,1\}^n$  and  $\mathcal{K} = \{0,1\}^k$ . Let hash function  $H: \mathcal{K}_h \times \mathcal{M} \to \{0,1\}^n \times \{0,1\}^n$ . The function H is consist of two n-bit hash functions  $H^1$  and  $H^2$ , i.e.,  $H_{K_h}(M) = (H^1_{K_{h,1}}(M), H^2_{K_{h,2}}(M))$  where  $K_h = (K_{h,1}, K_{h,2}) \in \mathcal{K}_{h,1} \times \mathcal{K}_{h,2}$  and  $K_{h,1}, K_{h,2}$  are two independent keys. Then the two-key DbHtS framework in paper [15] (see Fig.1) is

$$DbHtS[H, E](K_h, K, M) = E_K\left(H^1_{K_{h,1}}(M)\right) \oplus E_K\left(H^2_{K_{h,2}}(M)\right).$$



**Fig. 1.** The two-key DbHtS construction. Here H is a 2n-bit hash function from  $\mathcal{K}_h \times \mathcal{M}$  to  $\{0,1\}^n \times \{0,1\}^n$ , and E is a n-bit blockcipher from  $\mathcal{K} \times \{0,1\}^n$  to  $\{0,1\}^n$ .

**Theorem 1 in [15].** Let E be modeled as an ideal blockcipher. Let  $H^1$  and  $H^2$  both satisfy  $\epsilon_1$ -regular and  $\epsilon_2$ -AU. Then Shen et al. [15] proved the security of two-key DbHtS in the multi-user setting as following, which is the core of their paper and they named it Theorem 1. For any adversary  $\mathscr A$  that makes at most q evaluation queries and p ideal-cipher queries,

$$\operatorname{Adv}_{\mathrm{DbHtS}}^{\mathrm{prf}}(\mathscr{A}) \leq \frac{2q}{2^{k}} + \frac{q(3q+p)(6q+2p)}{2^{2k}} + \frac{2qp\ell}{2^{n+k}} + \frac{2qp\epsilon_{1}}{2^{k}} + \frac{4qp}{2^{n+k}} + \frac{4q^{2}\epsilon_{1}}{2^{k}} + \frac{2q^{2}\ell\epsilon_{1}}{2^{k}} + 2q^{3}\left(\epsilon_{1} + \epsilon_{2}\right)^{2} + \frac{8q^{3}\left(\epsilon_{1} + \epsilon_{2}\right)}{2^{n}} + \frac{6q^{3}}{2^{2n}}$$

$$(1)$$

where  $\ell$  is the maximal block length among these evaluation queries and assuming  $p + q\ell < 2^{n-1}$ .

An Overview of the Proof of Theorem 1 in [15]. They proved Theorem 1 based on H-coefficient technique. Let  $S_1$  be "real" system and  $S_0$  be "ideal" system. For  $b \in \{0,1\}$ , system  $S_b$  performs the following procedure.

- 1. Initialize  $(K_h^1, K_1), \ldots, (K_h^u, K_u) \stackrel{\$}{\leftarrow} \mathcal{K}_h \times \mathcal{K}$  if b = 1; otherwise, initialize  $f_1, \ldots, f_u \stackrel{\$}{\leftarrow} \mathsf{Func}(\mathcal{M}, \{0, 1\}^n)$ ;
- 2. If an adversary  $\mathscr A$  queries Eval function with (i,M), where  $i\in\{1,2,\ldots\}$ ,  $M\in\mathcal M$ , return

$$\mathsf{Eval}(i,M) = \begin{cases} \mathsf{DbHtS}[H,E](K_h^i,K_i,M), & \text{if } b = 1, \\ f_i(M), & \text{if } b = 0; \end{cases}$$

3. If an adversary  $\mathscr A$  queries Prim function with (J,X), where  $J\in\mathcal K,X\in\{+,-\}\times\{0,1\}^n$ , return

$$Prim(J, X) = \begin{cases} E_J(x), & \text{if } X = \{+, x\}, \\ E_J^{-1}(y), & \text{if } X = \{-, y\}. \end{cases}$$

They called the query to Eval evaluation query and the query to Prim idealcipher query. For each query  $T \leftarrow \text{Eval}(i, M)$ , they associated it with an entry (eval, i, M, T). The query to Prim is similar to it. Transcript  $\tau$  consisted of such entries. Then they defined bad transcripts, including fourteen cases. If a transcript is not bad then they said it's good. Let  $D_1$  and  $D_0$  be the random variables for the transcript distributions in the system  $\mathbf{S}_1$  and  $\mathbf{S}_0$  respectively. They firstly bounded the probability that  $D_0$  is bad as follows. Let  $\text{Bad}_i$  be the event that the *i*-th case of bad transcripts happens. They calculated the probability  $\Pr[\text{Bad}_1], \ldots, \Pr[\text{Bad}_{14}]$  in sequence. After summing up, they got

$$\Pr[D_0 \text{ is bad }] \leq \sum_{i=1}^{14} \Pr[\text{Bad}_i]$$

$$\leq \frac{2q}{2^k} + \frac{q(3q+p)(6q+2p)}{2^{2k}} + \frac{2qp\ell}{2^{k+n}} + \frac{2qp\epsilon_1}{2^k} + \frac{4qp}{2^{n+k}}$$

$$+ \frac{4q^2\epsilon_1}{2^k} + \frac{2q^2\ell\epsilon_1}{2^k} + 2q^3(\epsilon_1 + \epsilon_2)^2 + \frac{8q^3(\epsilon_1 + \epsilon_2)}{2^n}$$

Besides, they proved the transcript ratio  $\frac{p_{\mathbf{S}_1}(\tau)}{p_{\mathbf{S}_0}(\tau)} \geq 1 - \frac{6q^3}{2^{2n}}$  for any good transcript  $\tau$ . Thus they concluded Theorem 1 by Lemma 1.

## 4 Counter-Examples

We will show three counter-examples who are two-key DbHtS constructions and satisfy  $\epsilon_1$ -regular and  $\epsilon_2$ -AU are attacked in the single-user setting with fewer queries than the security claimed by Theorem 1 [15]. So they are counter-examples against the framework of Shen et al..

#### 4.1 Counter-Example 1

Our first counter-example is a function with fixed input length. Let hash function

$$H_{K_h}(M) = (H_{K_1}^1(M), H_{K_2}^1(M)) = (M \oplus K_1, M \oplus K_2),$$

where M is the message from massage space  $\{0,1\}^n$ ,  $K_h = (K_1, K_2)$  and  $K_1, K_2 \leftarrow \{0,1\}^n$ . Let blockcipher  $E_K : \{0,1\}^n \times \{0,1\}^n \to \{0,1\}^n$ . Then we define function  $F : \{0,1\}^{2n} \times \{0,1\}^n \times \{0,1\}^n \to \{0,1\}^n$  as

$$F[H, E](K_h, K, M) = E_K(H^1_{K_1}(M)) \oplus E_K(H^2_{K_2}(M)).$$

 $H^1$  and  $H^2$  are  $\frac{1}{2^n}$ -Regular and  $\frac{1}{2^n}$ -AU. It is easy to know that for any  $M \in \{0,1\}^n, Y \in \{0,1\}^n$  and  $i \in \{1,2\}$ ,

$$\Pr[K_i \stackrel{\$}{\leftarrow} \{0,1\}^n : M \oplus K_i = Y] \le \frac{1}{2^n}.$$

And for any two distinct strings  $M, M' \in \{0, 1\}^n$  and  $i \in \{1, 2\}$ ,

$$\Pr[K_i \stackrel{\$}{\leftarrow} \{0,1\}^n : M \oplus K_i = M' \oplus K_i] = 0.$$

So hash functions  $H^1$  and  $H^2$  are both  $\frac{1}{2^n}$ -regular and  $\frac{1}{2^n}$ -AU.

2n/3-bit security according to [15]. According to Theorem 1 [15], function F is secure within  $\mathcal{O}(2^{2n/3})$  evaluation queries assuming ideal-cipher queries is  $\mathcal{O}(1)$  in the multi-user setting.

Attack with  $\mathcal{O}(2^{n/2})$  query complexity. It is easy to know that for all keys in keyspace and messages in message space,

$$F[H, E](K_h, K, M \oplus K_1 \oplus K_2) = E_K(M \oplus K_2) \oplus E_K(M \oplus K_1)$$
  
=  $F[H, E](K_h, K, M)$ .

It means F has a period  $s := K_1 \oplus K_2$ . Based on this, there is an adversary  $\mathscr{A}$  can distinguish F from random function f with only  $\mathcal{O}(2^{n/2})$  evaluation queries as follows, which is contradictory to Theorem 1 [15].

- 1.  $\mathscr{A}$  firstly makes  $\mathcal{O}(2^{n/2})$  evaluation queries of distinct massages  $M_1, M_2, \ldots$  chosen uniformly and randomly, and get  $T_1, T_2, \ldots$ ;
- 2.  $\mathscr{A}$  searches a message pair  $(M_i, M_j)$  for  $M_i \neq M_j, M_i, M_j \in \{M_1, M_2, \ldots\}$  which makes (i) and (ii) hold.
  - (i)  $T_i = T_i$ ;
  - (ii) After make another two evaluation queries with massages M' and  $M' \oplus M_i \oplus M_j$  for  $M' \notin \{M_i, M_j\}$ ,  $\mathscr{A}$  gets two identical answers.

If the evaluation query is to F, one can expect on average that there exists one message pair  $(M_i, M_j)$  among  $\mathcal{O}(2^{n/2})$  massages such that  $M_i = M_j \oplus s$ . Conditions (i) and (ii) in the second step of  $\mathscr{A}$  filter out such pair. However, random function f has no period. If the evaluation query is to f, on average there exists

one message pair  $(M_i, M_j)$  among  $\mathcal{O}(2^{n/2})$  massages such that  $T_i = T_j$ . However, the probability of  $f(M') = f(M' \oplus M_i \oplus M_j)$  for any  $M' \notin \{M_i, M_j\}$  is only  $1/2^n$ . So  $\mathscr A$  finds a pair  $(M_i, M_j)$  satisfying conditions (i) and (ii) with negligible probability. Thus  $\mathscr A$  distinguish F from random function with probability  $1 - 1/2^n$ .

#### 4.2 Counter-Example 2

Compared with the first counter-example with fixed input length, our second counter-example can handle variable-length input. We define the function of counter-example 2 the same as counter-example 1 except dealing with messages from  $(\{0,1\}^n)^*$  and altering two hash functions  $H^1$  and  $H^2$  to

$$H_{K_i}^i(M) = M[1] \oplus M[2]K_i \oplus M[3]K_i^2 \oplus \ldots \oplus M[m]K_i^{m-1} \oplus |M|K_i^m, i = 1, 2.$$

where  $M = M[1] \parallel M[2] \parallel \dots \parallel M[m]$  and every message block is *n*-bit. This example is a variant of PolyMAC [10].

 $H^1$  and  $H^2$  are  $\frac{\ell}{2^n}$ -Regular and  $\frac{\ell}{2^n}$ -AU. Assume the maximal block length of all evaluation queries is  $\ell$ . Any equation of at most  $\ell$  degree has at most  $\ell$  roots. So it is easy to know that for any  $M \in (\{0,1\}^n)^*, Y \in \{0,1\}^n$  and  $i \in \{1,2\}$ ,

$$\Pr[K_i \stackrel{\$}{\leftarrow} \{0,1\}^n : H_{K_i}^i(M) = Y] \le \frac{\ell}{2^n}.$$

And for any two distinct strings  $M, M' \in (\{0, 1\}^n)^*$  and  $i \in \{1, 2\}$ ,

$$\Pr[K_i \stackrel{\$}{\leftarrow} \{0,1\}^n : H_{K_i}^i(M) = H_{K_i}^i(M')] \le \frac{\ell}{2^n}.$$

It means  $H^1$  and  $H^2$  are both  $\frac{\ell}{2^n}$ -regular and  $\frac{\ell}{2^n}$ -AU.

2n/3-bit security according to [15]. According to Theorem 1 [15], function F is secure within  $\mathcal{O}(2^{2n/3})$  evaluation queries assuming ideal-cipher queries is  $\mathcal{O}(1)$  and  $\ell = \mathcal{O}(1)$  in the multi-user setting.

Attack with  $\mathcal{O}(2^{n/2})$  query complexity. Fix any arbitrary string

$$M_{fix} := M[2] || M[3] || \dots || M[m] \in (\{0,1\}^n)^{m-1},$$

where  $2 \le m \le \ell = O(1)$ . Let

$$K_{i}^{'} := M[2]K_{i} \oplus M[3]K_{i}^{2} \oplus \dots M[m]K_{i}^{m-1} \oplus nmK_{i}^{m}, i = 1, 2.$$

Then it is easy to obtain for any keys in key space and  $M[1] \in \{0,1\}^n$ ,

$$F[H, E](K_{h}, K, (M[0] \oplus K_{1}^{'} \oplus K_{2}^{'}) \parallel M_{fix})$$

$$=E_{K}(M[0] \oplus K_{2}^{'}) \oplus E_{K}(M[0] \oplus K_{1}^{'})$$

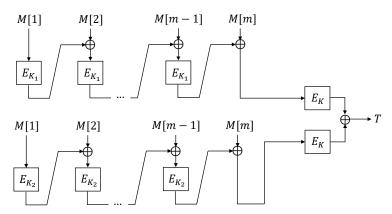
$$=F[H, E](K_{h}, K, M[0] \parallel M_{fix}).$$

It means F has a period  $s := (K'_1 \oplus K'_2) \parallel 0^{n(m-1)}$  for any  $M \in \{0,1\}^n \times \{M_{fix}\}$ . Based on this, there is an adversary  $\mathscr{A}$  can distinguish F from random function f with only  $\mathcal{O}(2^{n/2})$  evaluation queries as follows, which is contradictory to Theorem 1 [15].

- 1.  $\mathscr{A}$  firstly makes  $\mathcal{O}(2^{n/2})$  evaluation queries with distinct massages  $M_1 \parallel M_{fix}$ ,  $M_2 \parallel M_{fix}$ , ... where  $M_1, M_2, \ldots \stackrel{\$}{\leftarrow} \{0, 1\}^n$ , and get  $T_1, T_2, \ldots$ ;
- 2.  $\mathscr{A}$  searches a pair  $(M_i, M_j)$  for  $M_i \neq M_j, M_i, M_j \in \{M_1, M_2, \ldots\}$  which makes (i) and (ii) hold.
  - (i)  $T_i = T_i$ ;
  - (ii) After make another two evaluation queries with massages  $M' \parallel M_{fix}$  and  $(M' \oplus M_i \oplus M_j) \parallel M_{fix}$  for  $M' \notin \{M_i, M_j\}$ ,  $\mathscr{A}$  gets two identical answers.

The same as counter-example 1,  $\mathscr A$  distinguishes F from f with probability almost 1.

### 4.3 Counter-Example 3



**Fig. 2.** The variant of 2k-SUM-ECBC.  $K_1, K_2, K_3$  are three independent keys in  $\{0,1\}^n$ . E is a n-bit blockcipher from  $\{0,1\}^n \times \{0,1\}^n$  to  $\{0,1\}^n$ .

Unlike counter-examples 1 and 2, the third counter-example with hash functions based on block ciphers. It is a variant of 2k-SUM-ECBC [15]. Let  $E: \{0,1\}^n \times \{0,1\}^n \to \{0,1\}^n$  be a blockcipher with key  $K \in \{0,1\}^n$ . The two n-bit hash functions used in this function are two CBC MACs without the last cipherblocks, which we call as CBC'. They are keyed with two independent keys  $K_1$  and  $K_2$  respectively. And they deal with at least two message blocks respectively. For a message  $M = M[1] \parallel M[2] \parallel \ldots \parallel M[m]$  where every message block is n-bit and  $m \geq 2$ , the CBC' algorithm CBC' [E](K, M) is defined as  $Y_m$ , where

$$Y_1 = M[1],$$
  
 $Y_j = E_K(Y_{j-1}) \oplus M[j], j = 2, \dots, m.$ 

Let  $K_h = (K_1, K_2)$ . Then we define the function (see Fig.2) as

$$F[CBC'[E], E](K_h, K, M) = E_K(CBC'[E](K_1, M)) \oplus E_K(CBC'[E](K_2, M)).$$

 $\mathbf{CBC}'[E]$  is  $\left(\frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -Regular and  $\left(\frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -AU. For any two different message  $M, M' \in (\{0,1\}^n)^*$  with at most  $\ell$  blocks and the adversary making no ideal-cipher query, Ballare et al. [3] and Jha and Nandi [9] show that for  $i \in \{1,2\}$ ,

$$\Pr[K_{i} \overset{\$}{\leftarrow} \{0,1\}^{n} : E_{K}(CBC'[E](K_{i},M)) = E_{K}(CBC'[E](K_{i},M'))]$$

$$\leq \frac{2\sqrt{\ell}}{2^{n}} + \frac{16\ell^{4}}{2^{2n}}.$$

Blockcipher  $E_K$  is a permutation. So

$$\Pr[K_i \stackrel{\$}{\leftarrow} \{0,1\}^n : \text{CBC}'[E](K_i, M) = \text{CBC}'[E](K_i, M')] \le \frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}.$$

It means  ${\rm CBC}^{'}$  is  $\left(\frac{2\sqrt{\ell}}{2^n}+\frac{16\ell^4}{2^{2n}}\right)$ -AU. Let  $M=X[1]\parallel(X[2]\oplus Y)\parallel Z\in (\{0,1\}^n)^*\times\{0,1\}^n\times\{0,1\}^n$  and  $M^{'}=0^n\parallel Z\in\{0,1\}^n\times\{0,1\}^n$ . Then

$$\Pr[K_i \overset{\$}{\leftarrow} \{0, 1\}^n : \text{CBC}'[E](K_i, X[1] \parallel X[2]) = Y]$$

$$= \Pr[K_i \overset{\$}{\leftarrow} \{0, 1\}^n : \text{CBC}'[E](K_i, M) = \text{CBC}'[E](K_i, M')]$$

$$\leq \frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}.$$

So CBC' is  $\left(\frac{2\sqrt{\ell}}{2^n} + \frac{16\ell^4}{2^{2n}}\right)$ -regular.

2n/3-bit security according to [15]. According to Theorem 1 [15], function F is secure within  $\mathcal{O}(2^{2n/3})$  evaluation queries assuming no ideal-cipher queries and  $\ell = \mathcal{O}(1)$  in the multi-user setting.

Attack with  $\mathcal{O}(2^{n/2})$  query complexity. Fix any arbitrary string  $M_{fix} \in (\{0,1\}^n)^{m-1}$  where  $2 \leq m \leq \ell = O(1)$ . Let

$$s = \text{CBC}'[E](K_1, M_{fix} \parallel 0^n) \oplus \text{CBC}'[E](K_2, M_{fix} \parallel 0^n)).$$

Then it is easy to obtain for any keys in key space and  $M[m] \in \{0,1\}^n$ ,

$$F[CBC'[E], E](K_h, K, M_{fix} \parallel (M[m] \oplus s))$$

$$=E_K(CBC'[E](K_2, M_{fix} \parallel 0^n) \oplus M[m]) \oplus$$

$$E_K(CBC'[E](K_1, M_{fix} \parallel 0^n) \oplus M[m])$$

$$=E_K(CBC'[E](K_2, M_{fix} \parallel M[m])) \oplus E_K(CBC'[E](K_1, M_{fix} \parallel M[m]))$$

$$=F[CBC'[E], E](K_h, K, M_{fix} \parallel M[m]).$$

It means F has a period  $s:=0^{n(m-1)}\parallel s$  for any  $M\in\{M_{fix}\}\times\{0,1\}^n$ . So there is an adversary  $\mathscr{A}$  distinguishes F from random function with only  $\mathcal{O}(2^{n/2})$ evaluation queries when considering single user similar as counter-example 2.

#### 5 The Flaw of the Proof of Theorem 1 in [15]

In section 3, we have shown the procedure of how Shen et al. [15] proved Theorem 1 based on H-coefficient technique. However, we find they make a critical flaw when they were calculating Pr[Bad<sub>9</sub>] in their proof, which leads to existing our counter-examples. We now show it.

Assume there are u users and the adversary make  $q_i$  evaluation queries to the i-th user in all. Let  $(eval, i, M_a^i, T_a^i)$  be the entry obtained when the adversary makes the a-th query to user i. During the computation of entry  $(eval, i, M_a^i, T_a^i)$ , let  $\Sigma_a^i$  and  $\Lambda_a^i$  be the internal outputs of hash function H in "real" system  $\mathbf{S}_1$ , namely  $\Sigma_a^i = H_{K_{b,1}}^1\left(M_a^i\right)$  and  $\Lambda_a^i = H_{K_{b,2}}^2\left(M_a^i\right)$  respectively. The ninth bad

"There is an entry (eval,  $i, M_a^i, T_a^i$ ) such that either  $\Sigma_a^i = \Sigma_b^i$  or  $\Sigma_a^i = \varLambda_b^i$ , and either  $\varLambda_a^i = \varLambda_b^i$  or  $\varLambda_a^i = \Sigma_b^i$  for some entry (eval,  $i, M_a^i, T_a^i$ )."

They defined this event bad for the reason that the appearance of such entry  $(eval, i, M_a^i, T_a^i)$  is easy used to distinguish systems  $S_1$  and  $S_0$ . We call the event of either  $\Sigma_a^i = \Sigma_b^i$  or  $\Sigma_a^i = \Lambda_b^i$  as event 1, and the event of either  $\Lambda_a^i = \Lambda_b^i$  or  $\Lambda_a^i = \Sigma_b^i$  as event 2. Then we can regard the simultaneous events 1 and 2 as one of the following 4 events:

- $\begin{array}{ll} \text{ Event 3: } \Sigma_a^i = \Sigma_b^i \text{ and } \Lambda_a^i = \Lambda_b^i; \\ \text{ Event 4: } \Sigma_a^i = \Sigma_b^i \text{ and } \Lambda_a^i = \Sigma_b^i; \\ \text{ Event 5: } \Sigma_a^i = \Lambda_b^i \text{ and } \Lambda_a^i = \Lambda_b^i; \\ \text{ Event 6: } \Sigma_a^i = \Lambda_b^i \text{ and } \Lambda_a^i = \Sigma_b^i. \end{array}$

In "real" system  $S_1$ , event 4 or 5 leads to  $T_a^i = 0^n$ ; event 3 or 6 leads to  $T_a^i = T_b^i$ . However in "ideal" system  $S_0$  these happen with negligible probability by the randomness of random function  $f_i$ . Thus it is easy distinguish these two systems.

When calculating Pr[Bad<sub>9</sub>], Shen et al. [15] regarded that the event 1 is independent from event 2 when  $K_{h,1}^i, K_{h,2}^i$  are independent from each other. So by  $H^1, H^2$  are both  $\epsilon_1$ -regular and  $\epsilon_2$ -AU, they thought the probability of event 1 (resp. event 2) is at most  $\epsilon_1 + \epsilon_2$ . Note that for each user, there are at most  $q_i^2$ pairs of (a,b). So they summed among u users and got

$$\Pr[\mathrm{Bad}_9] \le \Sigma_{i=1}^u q_i^2 (\epsilon_1 + \epsilon_2)^2 \le q^2 (\epsilon_1 + \epsilon_2)^2.$$

In fact, even if  $K_{h,1}^i, K_{h,2}^i$  are independent of each other, the event 1 and event 2 may not be independent, which has been shown in counter-examples 1-3. We regard the ninth event as the union set of events 3,4,5 and 6. Event 3holds with probability at most  $\epsilon_2^2$  by the assumption that  $H^1$  and  $H^2$  are  $\epsilon_2$ -AU. Event 4 holds with probability at most  $\epsilon_1 \epsilon_2$  by the assumption that  $H^1$  is  $\epsilon_2$ -AU and  $H^2$  is  $\epsilon_1$ -regular. Event 5 holds with probability at most  $\epsilon_1\epsilon_2$  by the assumption that  $H^1$  is  $\epsilon_1$ -regular and  $H^2$  is  $\epsilon_2$ -AU. For event 6,

$$\begin{aligned} & \Pr[K_{h,1}^i \overset{\$}{\leftarrow} \mathcal{K}_{h,1}, K_{h,2}^i \overset{\$}{\leftarrow} \mathcal{K}_{h,2} : \varSigma_a^i = \varLambda_b^i, \varLambda_a^i = \varSigma_b^i] \\ & = \Pr[K_{h,1}^i \overset{\$}{\leftarrow} \mathcal{K}_{h,1}, K_{h,2}^i \overset{\$}{\leftarrow} \mathcal{K}_{h,2} : \varSigma_a^i = \varLambda_b^i | \varLambda_a^i = \varSigma_b^i] \\ & \cdot \Pr[K_{h,1}^i \overset{\$}{\leftarrow} \mathcal{K}_{h,2}, K_{h,2}^i \overset{\$}{\leftarrow} \mathcal{K}_{h,1} : \varLambda_a^i = \varSigma_b^i] \\ & \leq \epsilon_3 \epsilon_1 \end{aligned}$$

by the assumption that  $H^2$  is  $\epsilon_1$ -regular and let

$$\epsilon_3 = \Pr[K_{h,1}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,1}, K_{h,2}^i \stackrel{\$}{\leftarrow} \mathcal{K}_{h,2} : \Sigma_a^i = \Lambda_b^i | \Lambda_a^i = \Sigma_b^i].$$

So we sum among u users and got

$$\Pr[\text{Bad}_9] \le \sum_{i=1}^u q_i^2 (\epsilon_2^2 + 2\epsilon_1 \epsilon_2 + \epsilon_3 \epsilon_1) \le q^2 (\epsilon_2^2 + 2\epsilon_1 \epsilon_2 + \epsilon_3 \epsilon_1).$$

For counter-examples 1-3, it is easy to get  $\epsilon_3 = 1$ . So for these cases,  $\Pr[\text{Bad}_9] \leq q^2(\epsilon_2^2 + 2\epsilon_1\epsilon_2 + \epsilon_1)$ . If we substitute our  $\Pr[\text{Bad}_9]$  for that in paper [15], we get the security of proofs of counter-examples 1-3 should be within  $\mathcal{O}(2^{n/2})$  evaluation queries assuming ideal-cipher queries are  $\mathcal{O}(1)$  and the maximal block length of all evaluation queries is  $\mathcal{O}(1)$ , which is consistent with attacks.

### 6 Conclusion

In this paper, we find a critical flaw of the security framework of two-key DbHtS in the multi-user setting raised by Shen et al. [15] by three counter-examples. We also present the reason of existing such a flaw. This is due to the fact that the authors overlooked the dependence of  $H_{K_{h_1}}(M_1) = H_{K_{h_2}}(M_2)$  and  $H_{K_{h_2}}(M_1) = H_{K_{h_1}}(M_2)$  when  $K_{h_1}, K_{h_2}$  are independent and  $M_1, M_2$  are two different messages in the proof of Theorem 1 [15]. In their paper, they also stated 2k-SUM-ECBC, 2k-PMAC\_Plus, and 2k-LightMAC\_Plus all achieve 2n/3-bit security. For 2k-SUM-ECBC based on two independent CBC MACs, the probability  $\epsilon_3$  is about  $\frac{1}{2^n}$ . So if we substitute our Pr[Bad<sub>9</sub>] for that in paper [15], 2k-SUM-ECBC still achieves 2n/3 security. The two universal hash functions of 2k-PMAC\_Plus or 2k-LightMAC\_Plus are dependent, they adjusted the concrete proof of these two MACs from the framework. So we haven't found attacks against these three MACs.

#### References

Banik, S., Pandey, S.K., Peyrin, T., Sasaki, Y., Sim, S.M., Todo, Y.: GIFT: A small present - towards reaching the limit of lightweight encryption. In: CHES 2017. vol. 10529, pp. 321–345. Springer (2017), https://doi.org/10.1007/978-3-319-66787-4\_16

- Bellare, M.: New proofs for NMAC and HMAC: security without collision-resistance. In: CRYPTO 2006, Proceedings. LNCS, vol. 4117, pp. 602–619. Springer (2006), https://doi.org/10.1007/11818175\_36
- Bellare, M., Pietrzak, K., Rogaway, P.: Improved security analyses for CBC macs. In: CRYPTO 2005. vol. 3621, pp. 527–545. Springer (2005), https://doi.org/10.1007/11535218\_32
- Black, J., Rogaway, P.: CBC macs for arbitrary-length messages: The three-key constructions. In: CRYPTO 2000, Proceedings. LNCS, vol. 1880, pp. 197–215.
   Springer (2000), https://doi.org/10.1007/3-540-44598-6\_12
- Black, J., Rogaway, P.: A block-cipher mode of operation for parallelizable message authentication. In: EUROCRYPT 2002, Proceedings. LNCS, vol. 2332, pp. 384– 397. Springer (2002), https://doi.org/10.1007/3-540-46035-7\_25
- Bogdanov, A., Knudsen, L.R., Leander, G., Paar, C., Poschmann, A., Robshaw, M.J.B., Seurin, Y., Vikkelsoe, C.: PRESENT: an ultra-lightweight block cipher. In: CHES 2007. vol. 4727, pp. 450–466. Springer (2007), https://doi.org/10.1007/978-3-540-74735-2\_31
- Chen, S., Steinberger, J.P.: Tight security bounds for key-alternating ciphers. In: EUROCRYPT 2014. vol. 8441, pp. 327–350. Springer (2014), https://doi.org/10.1007/978-3-642-55220-5\_19
- 8. Datta, N., Dutta, A., Nandi, M., Paul, G.: Double-block hash-then-sum: A paradigm for constructing BBB secure PRF. IACR Trans. Symmetric Cryptol. **2018**(3), 36–92 (2018), https://doi.org/10.13154/tosc.v2018.i3.36-92
- 9. Jha, A., Nandi, M.: Revisiting structure graph and its applications to CBC-MAC and EMAC. IACR Cryptol. ePrint Arch. p. 161 (2016), http://eprint.iacr.org/2016/161
- 10. Kim, S., Lee, B., Lee, J.: Tight security bounds for Double-Block Hash-then-Sum MACs. In: EUROCRYPT 2020. vol. 12105, pp. 435–465. Springer (2020),  $https://doi.org/10.1007/978-3-030-45721-1_16$
- 11. Leurent, G., Nandi, M., Sibleyras, F.: Generic attacks against beyond-birthday-bound macs. In: CRYPTO 2018. vol. 10991, pp. 306–336. Springer (2018),  $https://doi.org/10.1007/978-3-319-96884-1_11$
- 12. Naito, Y.: Blockcipher-based macs: Beyond the birthday bound without message length. In: ASIACRYPT 2017. vol. 10626, pp. 446–470. Springer (2017),  $https://doi.org/10.1007/978-3-319-70700-6\_16$
- Patarin, J.: The "coefficients h" technique. In: SAC 2008. vol. 5381, pp. 328–345.
   Springer (2008), https://doi.org/10.1007/978-3-642-04159-4\_21
- 14. Rogaway, P.: Efficient instantiations of tweakable blockciphers and refinements to modes OCB and PMAC. In: ASIACRYPT 2004, Proceedings. LNCS, vol. 3329, pp. 16–31. Springer (2004), https://doi.org/10.1007/978-3-540-30539-2\_2
- 15. Shen, Y., Wang, L., Gu, D., Weng, J.: Revisiting the security of dbhts macs: Beyond-birthday-bound in the multi-user setting. In: CRYPTO 2021. vol. 12827, pp. 309–336. Springer (2021), https://doi.org/10.1007/978-3-030-84252-9\_11
- 16. Shoup, V.: Sequences of games: a tool for taming complexity in security proofs. IACR Cryptol. ePrint Arch. p. 332 (2004), http://eprint.iacr.org/2004/332
- 17. Yasuda, K.: The sum of CBC macs is a secure PRF. In: CT-RSA 2010. vol. 5985, pp. 366–381. Springer (2010), https://doi.org/10.1007/978-3-642-11925-5\_25
- 18. Yasuda, K.: A new variant of PMAC: beyond the birthday bound. In: CRYPTO 2011. vol. 6841, pp. 596–609. Springer (2011), https://doi.org/10.1007/978-3-642-22792-9\_34

19. Zhang, L., Wu, W., Sui, H., Wang, P.: 3kf9: Enhancing 3gpp-mac beyond the birthday bound. In: ASIACRYPT 2012. vol. 7658, pp. 296–312. Springer (2012),  $https://doi.org/10.1007/978-3-642-34961-4_19$