

# Design and analysis of a distributed ECDSA signing service

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## Abstract

We present and analyze a new protocol that provides a distributed ECDSA signing service, with the following properties:

- it works in an asynchronous communication model;
- it works with  $n$  parties with up to  $f < n/3$  Byzantine corruptions;
- it provides guaranteed output delivery;
- it provides a very efficient, *non-interactive* online signing phase;
- it supports additive key derivation according to the BIP32 standard.

This service is being implemented and integrated into the architecture of the Internet Computer, enabling smart contracts running on the Internet Computer to securely hold and spend Bitcoin and other cryptocurrencies.

## 1 Introduction

The ECDSA signature scheme [NIST13] is a standard, widely used signature scheme. In recent years, mainly driven by blockchain applications, there has been a flurry of research on distributed protocols for ECDSA signing [AHS20]. Such protocols essentially provide a **distributed ECDSA signing service**, with the goal of eliminating a single point of vulnerability. Because of the important role played by the ECDSA signature scheme in blockchain applications and elsewhere, designing a practical distributed signing service based on ECDSA is an important goal, despite the fact that it is much easier to design practical distributed signing services based on other signature schemes, most notably the BLS scheme [BLS01, Bol03].

In this paper, we present and analyze a new protocol that provides a distributed ECDSA signing service, with the following properties:

1. It assumes an **asynchronous communication model**, with no *a priori* bound on the time required to deliver messages between parties.
2. It assumes a network of  $n$  parties, with at most  $f < n/3$  **Byzantine corruptions**.
3. It assumes a **public-key infrastructure**, where each party generates its own public-key/secret-key pair, and knows the public keys of all other parties, as well as a **common reference string** (which consists of a group element whose discrete logarithm is unknown), and a **random oracle** that is used to model a cryptographic hash function.

4. It makes use of a **consensus subprotocol** as well as a **random beacon**.
5. It guarantees **security**, meaning that the only signatures an adversary can obtain are those that are requested by honest parties.
6. It provides **guaranteed output delivery**, meaning that all signing requests will be fulfilled and the resulting signatures will be delivered to the honest parties (to the extent that all messages between honest parties are eventually delivered, and the consensus subprotocol provides liveness).
7. It provides a **very efficient, *non-interactive* online signing phase**, meaning that assuming an appropriate message-independent precomputation, in response to a signing request, each party simply broadcasts one “signature share”, and collects sufficiently many such “signature shares” to then compute the signature; in particular, the (more expensive) consensus subprotocol is not needed in the online signing phase.
8. It supports **BIP32-style additive key derivation** [Wui20], which means that many signing keys can be easily derived from a single signing key in a hierarchical fashion.

Our protocol is being implemented and integrated into the architecture of the Internet Computer [DFI22]. The Internet Computer is essentially a collection of communicating replicated state machines, where each such state machine is implemented as a network of nodes running a blockchain-based consensus protocol [CDH<sup>+</sup>21]. The consensus subprotocol of our new distributed ECDSA signing protocol is implemented directly using the Internet Computer’s blockchain-based consensus protocol.

A *random beacon* is a mechanism for obtaining public random values that remain unpredictable until a time determined by the protocol. The Internet Computer architecture already implements such a mechanism, and the implementation of our signing protocol makes use of this.

We analyze the security of our protocol in the UC framework [Can00], showing that it is equivalent to an ideal functionality that generates ECDSA signatures. This security proof makes fairly standard cryptographic assumptions related to the discrete logarithm problem for elliptic curves, and also models certain hash functions as random oracles (it does not rely on any assumptions related to factoring). The unforgeability property of our distributed signing service is thus reduced to the unforgeability property of ECDSA signatures under a specific attack model whose security is analyzed in detail in the companion paper [GS21].

Our new protocol is, in fact, an  $(f + 1)$ -**out-of- $n$  threshold signature scheme**. However, thinking of it as a *distributed signing service* motivates the most essential design requirements for use in the Internet Computer — namely, that it provide *security* and *guaranteed output delivery* in an *asynchronous communication model* with *Byzantine corruptions*. With these design requirements, a bound of  $f < n/3$  on the number of corruptions is unavoidable, and therefore, a reconstruction threshold higher than  $f + 1$  is not particularly useful.

Equipping the Internet Computer with a threshold ECDSA signing service has a number of applications. For example, with such a service, smart contracts running on the Internet Computer will be able to securely hold and spend Bitcoin and other cryptocurrencies. While our protocol is designed to work well on the Internet Computer, it should work quite well in other distributed computing environments.

## 1.1 More on communication models and consensus

In the design and analysis of any distributed protocol, one must specify a **communication model**, which characterizes the ability of an adversary to delay the delivery of messages between replicas. At opposite ends of the spectrum, we have the following models:

- In the **synchronous model**, there exists some known finite time bound  $\delta$ , such that for any message sent, it will be delivered in less than time  $\delta$ .
- In the **asynchronous model**, for any message sent, the adversary can delay its delivery by any finite amount of time, so that there is no bound on the time to deliver a message.

To obtain highly decentralized and secure protocols, we are mainly interested in networks whose nodes are distributed around the globe, and for such networks, the synchronous communication model would be highly unrealistic. Indeed, an attacker could compromise the correct behavior of the protocol by delaying honest nodes or the communication between them. Such an attack is generally easier to mount than gaining control over and corrupting an honest node.

**Consensus** is a basic problem that lies at the heart of many distributed protocols. In fact, any distributed signing protocol must include as a subprotocol a **distributed key generation protocol**, which itself a special case of consensus, as all parties must at least generate and agree on a public key for the signature scheme.

There are different formulations of the consensus problem, and the one we need for our new distributed signing protocol is called **Asynchronous Common Subset (ACS)**. In an ACS consensus protocol, each party contributes an input to the protocol, and obtains as output a subset of the inputs. Importantly all honest parties must obtain the same subset. The size of the subset is determined by a size parameter  $k$  to the protocol, where  $k \leq n - f$ . All honest parties should eventually obtain this subset, provided all of them participate in the protocol, and all messages between them are eventually delivered. An **external validity condition** may also be imposed, and the protocol ensures that each input satisfies this condition.

The ACS problem was first defined and explored in [BKR94]. While this work provides a theoretical proof of concept, the resulting protocol was not practical. More recent work [MXC<sup>+</sup>16, DRZ18, GLT<sup>+</sup>20] has made great strides in achieving much more practical ACS consensus protocols. Unfortunately, while these protocols attain quite impressive throughput, they still do not provide very good latency.

In the integration of our new distributed signing protocol in the Internet Computer, we use the consensus protocol already implemented in the Internet Computer to implement ACS. Like most other practical Byzantine fault tolerant consensus protocols that do not rely on synchronous communication (e.g., [CL99, BKM18, YMR<sup>+</sup>18]), the Internet Computer's consensus protocol [CDH<sup>+</sup>21] relies on a **partial synchrony communication model** [DLS88]. Such partial synchrony models can be formulated in various ways. The partial synchrony assumption used by the Internet Computer says, roughly speaking, that communication is periodically synchronous for short intervals of time; moreover, the synchrony bound  $\delta$  for message delivery does not need to be known in advance.

This partial synchrony assumption is only needed to ensure that the consensus protocol makes progress (the so-called liveness property). It is not needed to ensure correct behavior of consensus (the so-called “safety” property), nor is it needed anywhere else in the Internet Computer protocol stack. Under the assumption of partial synchrony and Byzantine faults, it is known that the bound of  $f < n/3$  on the number of faults is optimal.

While our ECDSA signing protocol as implemented indirectly relies on a partial synchrony assumption in our particular implementation of consensus, we believe that it is good practice not to rely on such assumptions outside of consensus, for a couple of reasons. First, building a consensus protocol that relies on partial synchrony and yet degrades gracefully when that assumption starts to fail (perhaps intermittently) is a challenging engineering task, and it is best to isolate this issue at the consensus level. Second, as purely asynchronous consensus protocols continue to improve, it may become practical to use such a protocol, especially since consensus is only needed in the precomputation phase, and not the signing phase where low latency is critical.

### 1.1.1 Chickens and eggs

The most practical protocols for consensus in the purely asynchronous communication model, such as [MXC<sup>+</sup>16, DRZ18, GLT<sup>+</sup>20], actually require a “special setup” to provision the keys for one or more threshold cryptographic schemes, as opposed to the “standard setup” of a public-key infrastructure. Among other things, such threshold schemes are used to implement a random beacon, as proposed in [CKS00] and used in [MXC<sup>+</sup>16, DRZ18, GLT<sup>+</sup>20]. For example, a random beacon can be efficiently implemented using a threshold BLS signature. This special setup could be done by using a one-time, trusted, centralized key-provisioning step, but this is clearly undesirable from a security point of view, as it creates a single point of vulnerability. We would prefer to use a distributed key generation protocol to perform this setup. As already mentioned, distributed key generation is itself a kind of consensus problem, so we seem to have a “chicken and egg” problem. To solve this problem, one can perform the special setup using a distributed key generation protocol that makes stronger communication assumptions, such as partial synchrony, and/or is less efficient. For example, [KHG12] gives a fairly practical protocol for distributed key generation. That protocol is built on the asynchronous verifiable secret sharing scheme of [CKLS02] and a variant of the PBFT consensus protocol [CL99], which assumes partial synchrony for liveness, but does not require special setup. One could replace the PBFT consensus protocol in [KHG12] with a purely asynchronous consensus protocol without special setup, such as the one in [BKR94], but this would yield a very impractical protocol. More recently, this “chicken and egg” problem has been much more satisfactorily resolved in the papers [KMS20, AJM<sup>+</sup>21], which directly solve the asynchronous distributed key generation problem without going through a separate consensus subprotocol, resulting in the most practical protocols to date for this problem.

In addition to consensus, our ECDSA signing protocol directly makes use of a random beacon. This random beacon is not essential for the core ECDSA signing protocol, but it allows us to achieve better concrete security bounds when combining BIP32-style additive key generation with non-interactive online signing. As already mentioned, the implementation of our ECDSA signing protocol on the Internet Computer exploits the random beacon al-

ready implemented on the latter. This random beacon is implemented using threshold BLS signatures. For these threshold BLS signatures, the Internet Computer needs a distributed key generation protocol. That key generation protocol itself uses consensus. This “chicken and egg” problem is resolved by running the Internet Computer’s consensus protocol in a “bootstrapping mode”, in which it runs without a random beacon, but with (potentially) degraded performance.

## 1.2 Related work

The ECDSA signature scheme is very similar in structure to the earlier DSA signature scheme [NIST13], and distributed protocols for DSA signing and related problems were studied in [GJKR96, GJKR99, GJKR01, GJKR03]. As already mentioned, there has been a lot of attention paid recently to distributed protocols for ECDSA signing. See the excellent survey [AHS20]. Rather than compare our work to all of the protocols discussed in [AHS20], we focus on four recent works [CMP20, GKSS20, DJN<sup>+</sup>20, GG20], which are representative.

**Precomputations and online signing phases.** Our protocol, like all of the protocols in [CMP20, GKSS20, DJN<sup>+</sup>20, GG20], make use of input-independent “signature helpers”, one of which is consumed per signing request. The generation of these signature helpers is generally referred to as a “precomputation phase”, but for a long-lived distributed signing service, it is an ongoing process. Ideally, a steady supply of signature helpers can be generated so that when a signing request comes in, one such signature helper is available and the signing request can be processed “online” in a very efficient fashion. In fact, the protocols in [CMP20, DJN<sup>+</sup>20, GG20] all have a *non-interactive online signing phase*, meaning that in response to a signing request, if a signature helper is available, each party simply broadcasts one “signature share”, and given enough shares it is possible to compute a signature. Our protocol enjoys this same property.

**Corruption bounds.** Our protocol assumes at most  $f < n/3$  corrupt parties. The protocol in [DJN<sup>+</sup>20] assumes  $f < n/2$  corrupt parties. The protocols in [CMP20, GG20] allow any number of corrupt parties. The protocol in [GKSS20] is somewhat more general: it allows an arbitrary reconstruction threshold  $1 \leq t \leq n$ , so at most  $t - 1$  parties may be corrupt, and at least  $t$  honest parties must participate in the online signing phase.

**Communication models.** All of the protocols in [CMP20, GKSS20, DJN<sup>+</sup>20, GG20], and in fact all of the protocols discussed in the survey paper [AHS20], and the older protocols in [GJKR96, GJKR99, GJKR01, GJKR03], assume a *synchronous communication model*. As mentioned in Section 1.1, we find this model to be quite unrealistic for highly decentralized networks whose nodes are distributed around the globe. The paper [KHG12] also argues why synchronous communication is unrealistic in this setting.

**Guaranteed output delivery.** All of these protocols in [CMP20, GKSS20, DJN<sup>+</sup>20, GG20] lack *guaranteed output delivery*. In particular, they can be brought to a complete halt if just a *single* node in the network loses network connectivity (or crashes) for a period of time.

Since the protocols in [CMP20, GG20] work with a dishonest majority, it is impossible for them to provide *guaranteed output delivery* (or even *fairness*). Note that the protocol in [GG20] provides a security property called “identifiable abort”, which essentially means that if the protocol fails to generate an output to all honest parties, one corrupt party will be reliably identified (this general concept was studied earlier in [IOZ15]). Unfortunately, the notion of “identifiable abort” does not translate to the asynchronous communication model, as there is no way to differentiate between a corrupt party that is unresponsive and an honest party with a slow network connection.

While protocols in [GKSS20, DJN<sup>+</sup>20] do provide *guaranteed output delivery* in the *online signing phase* (for [GKSS20], this holds if there are at least  $t$  honest parties available), they do not do so in the precomputation phase. For these protocols, if just a single node remains offline for a sufficiently long time, the supply of signature helpers will become fully depleted and signature generation will stall. Other recent protocols for general, asynchronous MPC, such as [LYK<sup>+</sup>19], which could also be used to implement a distributed ECDSA signing service, also have this unfortunate property of guaranteed output delivery in the online phase but not in the precomputation phase. In contrast, our protocol only assumes an asynchronous communication model and provides guaranteed output delivery in both the precomputation and the online signing phases.

**Communication costs.** For the online signing phase, as already mentioned, our protocol takes just a single round of communication, just as the protocols in [CMP20, DJN<sup>+</sup>20, GG20]. Also for the online signing phase, the communication complexity of our protocol (total number of bits transmitted by all parties per signing request) is  $O(n^2\lambda)$ . Here,  $\lambda$  is a security parameter that bounds the sizes of signatures, hashes, group elements, and the like. This bound matches online communication complexity of the protocols in [CMP20, GKSS20, DJN<sup>+</sup>20, GG20].

The price we pay for guaranteed output delivery in the asynchronous communication model is in extra complexity in the precomputation phase. The protocol is fairly simple, and the number of rounds of communication is constant, but the communication complexity (per signature helper) is  $O(n^3\lambda)$ .

These bounds on rounds of communication and communication complexity exclude the cost of the ACS consensus subprotocol (which is only used in the precomputation phase). If one implements ACS using, for example, the Dumbo2 protocol [GLT<sup>+</sup>20], and replace the reliable broadcast subprotocol used in Dumbo2 by one in [DXR21] or [DXR22], we get the same communication complexity bounds (with high probability). In fact, since ACS is only needed for generating signature helpers, where latency is not critical, using a protocol such as Dumbo2 is maybe not too impractical. In our actual implementation, based on the Internet Computer’s consensus protocol (and which assumes partial synchrony), these bounds do not increase (at least on the “happy path” where parties do not misbehave).

These communication complexity bounds are essentially the same as those of some older protocols, such as in [GJKR01], which assume synchronous communication, but are a factor of  $n$  higher than those of [CMP20, DJN<sup>+</sup>20, GG20], which assume synchronous communication and do not provide guaranteed output delivery. We also note that the protocols in [CMP20, GG20] rely on Paillier encryption [Pai99], which means that the value of  $\lambda$  in the communication complexity is significantly higher (typically by a factor of about

10) than in protocols, such as ours, that do not.

The communication complexity of the precomputation phase of the protocol in [GKSS20] depends on a number of details that are not presented in that paper, and so we do not make a comparison.

**Computational costs.** The computational complexity of the overall protocol, including the precomputation phase, may ultimately become the bottleneck on the throughput of the protocol, especially as  $n$  becomes large. The computational complexity of our protocol (i.e., the running time of each party) is dominated by  $O(n^2)$  exponentiations per signing request. This includes both the precomputation and online signing phases on the “happy path” where parties do not provably misbehave in a way that would likely have them expelled. These  $O(n^2)$  exponentiations are full-sized exponentiations — actually, they consist of  $O(n)$  multi-exponentiations each of length  $O(n)$ , which can be performed a bit faster than  $O(n^2)$  exponentiations; moreover, these computations can also be trivially parallelized. See Section 8.8.2 for more details.

The computational complexity of our protocol matches that of [DJN<sup>+</sup>20] — the precomputation phase of the protocol in [DJN<sup>+</sup>20] performs  $O(n)$  “interpolations in the exponent”, each of which takes  $O(n)$  exponentiations (actually, one multi-exponentiation of length  $O(n)$ ). In contrast, the protocols of [CMP20, GG20] perform only  $O(n)$  exponentiations per signing request. However, this includes  $O(n)$  exponentiations in the group associated with Paillier encryption. Because of the very high cost of exponentiations in this group, for moderately sized  $n$ , the computational cost of the protocols in [CMP20, GG20] will be significantly higher than that of our protocol.

We also sketch an optimized version of our protocol (see Section 8.8.3) that processes several signing requests at a time. If we process  $\Theta(n)$  signing requests at a time, we can reduce the computational complexity of our protocol to essentially  $O(n)$  exponentiations per signing request, which is significantly faster than any of the protocols in [CMP20, DJN<sup>+</sup>20, GG20].

The computational complexity of the protocol in [GKSS20] depends on a number of details that are not presented in that paper, and so we do not make a comparison.

**Static vs adaptive corruptions.** Our protocol, as well as those in [GKSS20, DJN<sup>+</sup>20, GG20], are analyzed assuming *static corruptions*. The protocol in [CMP20] is analyzed assuming *adaptive* corruptions. However, we do sketch a proof of security for our protocol assuming adaptive corruptions under a stronger (but still reasonable) assumption on the ECDSA signature scheme.

**Proactive security.** The protocol [CMP20] explicitly provides *proactive security*, in which parties are from time to time rebooted into a pristine state, and security holds so long as at no point in time too many parties are corrupt, even though eventually all parties may be corrupted. We also briefly sketch how our protocol can be deployed so as to provide proactive security.

### 1.3 Other related work

The importance of designing a distributed signing service that provides guaranteed output delivery in the asynchronous communication model was also noted in [RRJ<sup>+</sup>22] (which appeared shortly after this work was publicly available). The protocol in [RRJ<sup>+</sup>22] is a distributed signing service for Schnorr signatures, rather than ECDSA signatures. Their protocol is based on entirely different design principles than ours. Unlike our protocol (see Section 2.6.4), their protocol may allow the adversary to obtain several distinct signatures per signing request. Thus, even though the Schnorr signature scheme provides strong unforgeability, that property is lost in their protocol. For some applications, this property may be important. Also unlike our protocol, their protocol does not rely on a consensus subprotocol.

## 2 Overview

### 2.1 The ECDSA signature scheme

We recall the ECDSA signature scheme. This scheme is defined over a group  $\mathbb{G}$  of prime order  $q$  generated by  $g \in \mathbb{G}$ . For ECDSA, the group  $\mathbb{G}$  is defined as the group of points on an elliptic curve, and while the group operation for an elliptic curve is traditionally written using additive notation, in this paper *we will write the group operation for  $\mathbb{G}$  using multiplicative notation and denote the identity element of  $\mathbb{G}$  by 1*. We define  $\mathbb{G}^* := \mathbb{G} \setminus \{1\}$ .

The ECDSA signature scheme also defines a *conversion function*

$$C : \mathbb{G}^* \rightarrow \mathbb{Z}_q$$

and a *hash function*

$$H_{\text{dsa}} : \{0, 1\}^* \rightarrow \mathbb{Z}_q.$$

The details of  $C$  and  $H_{\text{dsa}}$  are not important in this paper.

A secret signing key is a random  $\alpha \in \mathbb{Z}_q$  and the corresponding public verification key is  $u := g^\alpha$ .

Given a secret key  $\alpha \in \mathbb{Z}_q$  and a message  $m \in \{0, 1\}^*$ , the signing algorithm runs as follows:

$$\begin{aligned} \phi &\leftarrow H_{\text{dsa}}(m) \in \mathbb{Z}_q \\ \kappa &\stackrel{\$}{\leftarrow} \mathbb{Z}_q^*, R \leftarrow g^\kappa, \rho \leftarrow C(R) \\ \sigma &\leftarrow (\phi + \rho\alpha)/\kappa \\ &\text{output the signature } (\rho, \sigma) \in \mathbb{Z}_q \times \mathbb{Z}_q \end{aligned}$$

As a technical point, the values  $\rho$  and  $\sigma$  should be nonzero. The probability that the signing algorithm outputs values that are zero is negligible, and any implementation of the signing algorithm may safely ignore this possibility. However, the signature verification algorithm must check that  $\rho$  and  $\sigma$  are nonzero.

Given a public key  $u \in \mathbb{G}$ , a message  $m$ , and a signature  $(\rho, \sigma) \in \mathbb{Z}_q^* \times \mathbb{Z}_q^*$ , the signature verification algorithm runs as follows:



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 $\phi \leftarrow H_{\text{dsa}}(m) \in \mathbb{Z}_q$ 
 $\tau \leftarrow \sigma^{-1} \in \mathbb{Z}_q^*$ 
 $R \leftarrow g^{\tau\phi} u^{\tau\rho} \in \mathbb{G}$ 
if  $R \neq 1$  and  $C(R) = \rho$ 
    then output accept
    else output reject

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Brown [Bro02] showed that under standard intractability assumptions on  $H_{\text{dsa}}$  (collision resistance and random/zero preimage resistance), ECDSA is secure in the generic group model [Nec94, Sho97]. Here, security is the standard definition of security for signature schemes: existential unforgeability under a chosen message attack [GMR88].

## 2.2 Variations on ECDSA and their application to threshold signing protocols

We outline here several variations of ECDSA. These variations are more variations on the security definitions, in particular, what we allow the attacker to do in the forgery attack game, than on the signature scheme itself. We will also discuss the relevance of these variations in the design and analysis of an ECDSA threshold signing protocol.

**Additive key derivation** is a variation of ECDSA in which we have an additive “tweak”  $\epsilon \in \mathbb{Z}_q$  so that the secret signing key is effectively  $\alpha + \epsilon$  and the corresponding public key is  $g^{\alpha+\epsilon}$ . Here, we assume that the tweak  $\epsilon$  is the output of a hash function that is modeled as a random oracle (although it is also possible to avoid a random oracle argument here). For example, Bitcoin employs a specific hash-based additive key derivation called BIP32, at least for so-called “non-hardened” derived keys (see Appendix D of [GS21] and Section A.4 for more details). In this setting, we can view each tweak as being chosen from some set of random tweaks that are chosen before the attack begins. The relevant notion of security is still existential unforgeability under a chosen message attack, extended to a multi-key setting, but where the keys are derived using additive key derivation from a single “master key”  $\alpha$ .

Additive key derivation is desirable in an ECDSA threshold signing protocol for two reasons. First, if the protocol is to be used to sign Bitcoin (or other cryptocurrency) transactions, then support for BIP32 key derivation is inherently valuable. Second, if the protocol is to sign on behalf of many entities, then instead of having one secret signing key per entity, the protocol can host just a single *master key* from which individual signing keys can be derived via additive key derivation. There is typically a significant cost to securely maintaining a secret signing key: the key must be shared among all of the nodes of the protocol, which requires the execution of a distributed key generation algorithm, and also the execution of a resharing algorithm at various times (both to support proactive security measures, and to support a change in the membership of the protocol); there may also be protocols and mechanisms to securely backup these keys. By having just a single (or a small number of) secret signing key(s), this cost is significantly reduced.

**Presignatures** is a variation in which several values of the form  $R := g^{\kappa}$  may be precomputed and given to the adversary, so that when a signing request is made, they adversary may choose one of these precomputed  $R$ -values to be used to build the signature.

The relevant notion of security is still existential unforgeability under a chosen message attack, adapted to this setting.

Presignatures typically increase the performance of an ECDSA threshold signing protocol. This is because the presignature  $R$ , and possibly other data, can be computed in advance, which allows the “online” phase to be much more efficient (this is the precomputed “signature helper” mentioned in Section 1.2). Indeed, assuming the group element  $R = g^\kappa$  is precomputed and known to all nodes running the protocol, the component  $\rho := C(R)$  of the signature has already been computed, so that when a signing request for a message  $m$  comes in, the component  $\sigma = (\phi + \rho\alpha)/\kappa$  can be much more easily computed, sometimes with just a single flow of messages. This is especially true if, along with  $R$ , other data is precomputed (such as “Beaver triples” [Bea91]) to facilitate the computation of  $\sigma$ . The use of presignatures has been advocated in a number of papers [CMP20, DJN<sup>+</sup>20, GG20]. In fact, [DJN<sup>+</sup>20] advocates the use of the combination of presignatures *and* additive key derivation. The paper [GKSS20] also uses the term “presignatures”, but this refers to a “signature helper” that does not in fact reveal  $R$  (and explains why the signing phase in [GKSS20] has more communication rounds than in [CMP20, DJN<sup>+</sup>20, GG20]).

Typically, the security of an ECDSA threshold signing protocol can be reduced to the security of an appropriate variant of non-threshold ECDSA. Indeed, the papers [DJN<sup>+</sup>20, GG20] essentially do just this, but without giving any justification for the security of these variations (presignatures for both papers, and optionally additive key derivation for [DJN<sup>+</sup>20]). However, the paper [CMP20] gives a reduction from the security of their threshold scheme to the security of ECDSA with presignatures, and they give an analysis of ECDSA with presignatures in the generic group model.

All of these ECDSA variations and their combinations are studied in [GS21]. One of the results in [GS21] is a negative result, which says that the combination of presignatures with additive key derivation is quantitatively less secure than either variation in isolation. Specifically, they show that instead of a  $\sqrt{q}$  attack on the scheme, there is actually a  $\sqrt[3]{q}$  attack, based on the 4-sum problem studied by [Wag02]. We stress that this attack requires just a single presignature and a single signature.

Because of these weaknesses, [GS21] studies another variant, called **re-randomized presignatures**, in which  $R$ -values are precomputed and given to the adversary as above, but when a signing request is made, a random value  $\delta \in \mathbb{Z}_q$  is chosen and given to the adversary, and to build the signature, the value  $\kappa$  is replaced by  $\kappa + \delta$ , and the value  $R$  is replaced by  $g^{\kappa+\delta}$ . It is essential that  $\delta$  is chosen *after* the adversary has made his signing request. The relevant notion of security is still existential unforgeability under a chosen message attack, adapted to this setting.

Our threshold ECDSA signing protocol utilizes re-randomized presignatures and additive key derivation. The paper [GS21] shows that in the generic group model, the combination of re-randomized presignatures and additive key derivation is just as secure as additive key derivation alone. They also show that additive key derivation is secure in the generic group model. Since the re-randomization is linear, in terms of working with linear secret sharing, the impact is negligible. However, the nodes will still need access to a source of public randomness to generate  $\delta$ . Accessing this public randomness may or may not introduce some extra latency, depending on details of the system. In the Internet Computer there is already a mechanism for accessing public, unpredictable randomness via a random

beacon, which is implemented using a threshold BLS signature. Moreover, in the Internet Computer architecture, when a subprotocol (such as a threshold ECDSA signing protocol) is launched, we can access this public randomness with no additional latency.

The paper [GS21] also presents another type of key derivation called **homogeneous key derivation**. Here, there are two “master” public keys  $u = g^\alpha$  and  $v = g^\beta$ . Given a tweak  $\epsilon$ , the derived public key is  $uv^\epsilon$  and the derived secret key is  $\alpha + \beta\epsilon$ . As shown in [GS21], this key derivation technique provides stronger security than additive key derivation. However, because of the importance of compatibility with the BIP32 standard, we do not use it in our threshold signing protocol. If desired, our threshold ECDSA signing protocol could be easily adapted to work with homogeneous key derivation.

### 2.3 Assumptions and basic notation

This section states assumptions and establishes notation that will be used throughout the paper.

- We have a network of parties  $P_1, \dots, P_n$ , with at most  $f < n/3$  corrupt (Byzantine) parties. We assume *static* corruptions.
- Communication between parties is *asynchronous* (but with *eventual* delivery).
- We have group  $\mathbb{G}$  of prime order  $q$  generated by  $g \in \mathbb{G}$ .

We assume the discrete logarithm problem for  $\mathbb{G}$  is hard.

For application to ECDSA, the group  $\mathbb{G}$  should be the same as used for ECDSA signatures.

### 2.4 Basic subprotocols

We will show how to implement an ideal functionality that supports sequences of the following operations.

**Random:**  $[\mu] \xleftarrow{\$} \mathbb{Z}_q$ : generate a **sharing**  $[\mu]$  of a random element  $\mu \in \mathbb{Z}_q$ .

**Open:**  $\text{Open}(\mu)$ : for a sharing  $[\mu]$ , reveal  $\mu \in \mathbb{Z}_q$  to all parties.

**OpenPower:**  $w \leftarrow v^\mu$ : a common input  $v \in \mathbb{G}$  and a sharing  $[\mu]$ , reveal  $v^\mu \in \mathbb{G}$  to all parties.

**Mul:**  $[\kappa] \leftarrow [\mu] \cdot [\nu]$ : for a sharing  $[\mu]$  and a sharing  $[\nu]$ , create a sharing  $[\kappa]$  of  $\kappa \leftarrow \mu\nu \in \mathbb{Z}_q$ .

In addition to these operations, we also want linear operations (addition of sharings and multiplication by a constant) and affine operations (adding a constant to a sharing). As usual, these will be done by local computations.

The sequence of operations performed will be driven by an atomic broadcast protocol (i.e., consensus). So all parties in the network are in agreement on the sequence of operations to be performed. Each operation creates a new sharing, computed either from scratch or as functions of old sharings.

Note that for our application to threshold ECDSA, we will only need to use **OpenPower** with the base  $v := g$ .

## 2.5 ECDSA protocols

With the above subprotocols, the ECDSA key generation and signing protocols are trivial.

### 2.5.1 Key generation

1.  $[\alpha] \xleftarrow{\$} \mathbb{Z}_q$  // **Random**
2.  $u \leftarrow g^\alpha$  // **OpenPower**

Secret key is  $\alpha \in \mathbb{Z}_q$ , public key is  $u \in \mathbb{G}$ .

### 2.5.2 Signing

Let  $H_{\text{dsa}} : \{0, 1\}^* \rightarrow \mathbb{Z}_q$  be the hash function used for ECDSA (whose output is in  $\mathbb{Z}_q$ ), and let  $C : \mathbb{G} \rightarrow \mathbb{Z}_q$  be the ECDSA conversion function.

We assume we having sharings

$$[\kappa], [\lambda], [\mu] = [\kappa\lambda] \quad \text{and} \quad [\alpha], [\lambda], [\omega] = [\alpha\lambda],$$

as well as the *presignature*  $R := g^\kappa$  (see Section 2.2 for a discussion of presignatures).

We assume all of these sharings and the value  $R$  are precomputed. Since  $\alpha$  is the signing key, the sharing  $[\alpha]$  (along with the public key  $g^\alpha$ ) is computed using the above key generation protocol (**Random** followed by **OpenPower**). The sharing  $[\kappa]$  (along with  $R := g^\kappa$ ) is also computed using the same protocol (**Random** followed by **OpenPower**). The sharing  $[\lambda]$  is computed using the **Random** protocol, and the sharings  $[\mu]$  and  $[\omega]$  are computed using the **Mul** protocol.

Each signing request will require a quadruple of precomputed sharings

$$[\kappa], [\lambda], [\mu], [\omega],$$

along with the presignature  $R := g^\kappa$ . A mechanism will be needed to pair with each signing request such a quadruple. It is critical that

- each quadruple is used for at most one signing request (breaking this rule would reveal the signing key), and
- once the signing protocol is initiated with a given signing request and a given quadruple, the signing protocol must be run to completion (breaking this rule would yield two signatures for the same signing request, leading to “signature malleability” issues).

Here is the signing protocol  $\Pi_{\text{ecdsa}}$ . It takes as input a message  $m$ , and a quadruple of sharings as above — note that the signing protocol only explicitly uses the sharings  $[\lambda], [\mu], [\omega]$ , and does not explicitly use the sharings  $[\alpha], [\kappa]$ . We first present the protocol without additive key derivation or re-randomized presignatures (as discussed in Section 2.2), and then show how these variations can be incorporated. Our protocol relies on the standard technique of [BB89] for computing inverses.

Let  $\rho := C(R)$ ,  $\phi := H_{\text{dsa}}(m)$ .

1.  $[\nu] \leftarrow \phi[\lambda] + \rho[\omega]$  // *local computation*
2.  $\text{Open}(\mu)$  // **Open**
3.  $\text{Open}(\nu)$  // **Open**
4.  $\sigma \leftarrow \nu\mu^{-1}$  // *local computation*
5. Output the signature  $(\rho, \sigma)$

NOTES:

1. One sees that this computes a standard ECDSA (with overwhelming probability) as follows. We have

$$\nu = \phi\lambda + \rho\omega = \phi\lambda + \rho\alpha\lambda$$

and

$$\mu = \kappa\lambda.$$

Assuming that  $\mu \neq 0$  (which happens with overwhelming probability), we therefore have

$$\sigma = \frac{\nu}{\mu} = \frac{\phi\lambda + \rho\alpha\lambda}{\kappa\lambda} = \frac{\phi + \rho\alpha}{\kappa}$$

Thus,  $(\rho, \sigma)$  is a standard ECDSA signature.

2. With *additive key derivation* (as discussed in Section 2.2), we will have an additional public “tweak”  $\epsilon \in \mathbb{Z}_q$ , derived as a hash of some strings that identify the signing key, so that the signing key is effectively  $\alpha + \epsilon$ . The computation in Step 1 then becomes

$$[\nu] \leftarrow (\phi + \rho\epsilon)[\lambda] + \rho[\omega],$$

which is still a local computation.

3. With *re-randomized presignatures* (as discussed in Section 2.2). we will re-randomize  $R$  with public randomness  $\delta \in \mathbb{Z}_q$ . That is, we will compute  $\rho := C(g^\delta R)$  and essentially replace  $\kappa$  by  $\kappa + \delta$ . This means that in Step 1, we also perform the local computation

$$[\hat{\mu}] \leftarrow [\mu] + \delta[\lambda],$$

and replace  $\mu$  by  $\hat{\mu}$  in Steps 2 and 4.

4. To implement re-randomized presignatures, we assume an ideal functionality that acts as a “random beacon”, which reveals a public random seed  $s$  upon request. This seed will be generated after the signing request has been submitted and has been paired with a quadruple. For additional robustness, we derive  $\delta$  as follows:

$$\delta \leftarrow H_{\text{delta}}(s, R, \epsilon, \phi), \tag{1}$$

where  $H_{\text{delta}}$  is a hash function that is modeled as a random oracle mapping onto  $\mathbb{Z}_q$ . (While modeling  $H_{\text{delta}}$  as a random oracle is convenient, the analysis in [GS21] shows that the only property really needed is that  $H_{\text{delta}}$  is sufficiently “entropy preserving”.) See below in Section 2.6.2 for more details.

## 2.6 Security model and proof sketch

We analyze security in the UC framework. We assume  $n$  parties  $P_1, \dots, P_n$ , of which at most  $f < n/3$  are corrupt. For simplicity, we assume corruptions are static.

### 2.6.1 Ideal world

We first describe the ideal world. As usual, we have an environment  $\mathcal{Z}$ , an ideal-world adversary, i.e., simulator,  $\mathcal{S}$ , and an ideal functionality  $\mathcal{F}_{\text{ecdsa}}$ . As per the UC framework, we assume that  $\mathcal{Z}$ ,  $\mathcal{S}$ , and  $\mathcal{F}_{\text{ecdsa}}$  are aware of the identities of the corrupt parties.

The environment  $\mathcal{Z}$  may give inputs to *honest* parties (the environment gives inputs only to *honest* parties in our model). In the ideal world, when an honest party  $P_i$  receives an input from  $\mathcal{Z}$ , that input is forwarded directly to the ideal functionality  $\mathcal{F}_{\text{ecdsa}}$ . Each input is either an *initialization request*, a *presignature request*, or a *signature request*.

- An *initialization request* is of the form `(init)`.
- A *presignature request* is of the form `(presig, presigID)`, where *presigID* is an identifier.
- A *signature request* is of the form `(sig, sigID, presigID, m,  $\epsilon$ )`, where *sigID* and *presigID* are identifiers,  $m$  is a message, and  $\epsilon \in \mathbb{Z}_q$  is a “tweak” as used for additive key derivation.

We shall assume that  $\mathcal{Z}$  is **locally consistent**, which means that it always satisfies the following constraints.

- Each honest party is given an *initialization request* only once, and it is the first input that it receives.
- Each honest party generates a presignature once before its use and uses it only once. That is, a given honest party should receive a given presignature request `(presig, presigID)` only once, and if it receives a signature request of the form `(sig, sigID, presigID, m,  $\epsilon$ )`, this must be received after the corresponding presignature request, and it should never receive another signature request of the form `(sig, sigID', presigID, m',  $\epsilon'$ )`.
- Each honest party should generate a signature only once. That is, if an honest party receives an input of the form `(sig, sigID, presigID, m,  $\epsilon$ )`, it should receive no other input of the form `(sig, sigID, presigID', m',  $\epsilon'$ )`.

These conditions can be locally checked and enforced by each party using publicly available information, and so it is not a real constraint on  $\mathcal{Z}$ .

We also assume that  $\mathcal{Z}$  is **globally consistent**, meaning that all honest parties receive the same requests in the same order. This assumption is justified by the fact that all operations performed will be driven by an atomic broadcast protocol (i.e., consensus).

Here is how  $\mathcal{F}_{\text{ecdsa}}$  works.

- Upon receiving an initialization request from  $P_i$ :  
If  $P_i$  is the first party to receive this request,  $\mathcal{F}_{\text{ecdsa}}$  runs the key generation algorithm for ECDSA to generate a public key  $u \in \mathbb{G}$  and a secret key  $\alpha \in \mathbb{Z}_q$ , records the tuple  $(\text{init}, u, \alpha)$ . In any case, it gives  $(\text{init}, i, u)$  to  $\mathcal{S}$ .
- Upon receiving a presignature request  $(\text{presig}, \text{presigID})$  from  $P_i$ :  
If  $P_i$  is the first party to receive this request,  $\mathcal{F}_{\text{ecdsa}}$  runs the presignature generation algorithm for ECDSA to get a presignature  $(R, \kappa) \in \mathbb{G} \times \mathbb{Z}_q$ , records the tuple  $(\text{presig}, \text{presigID}, R, \kappa)$ . In any case, it gives  $(\text{presig}, i, \text{presigID}, R)$  to  $\mathcal{S}$ .
- Upon receiving a signing request  $(\text{sig}, \text{sigID}, \text{presigID}, m, \epsilon)$  from  $P_i$ :  
If  $P_i$  is the first party to receive this request,  $\mathcal{F}_{\text{ecdsa}}$  fetches the corresponding tuple  $(\text{presig}, \text{presigID}, R, \kappa)$ , runs the signature generation algorithm for ECDSA to get a signature  $(\rho, \sigma)$  along with the randomizer value  $\delta$ , records the tuple  $(\text{sig}, \text{sigID}, \text{presigID}, m, \epsilon, \rho, \sigma, \delta)$ . In any case, it gives  $(\text{sig}, i, \text{sigID}, \text{presigID}, m, \epsilon, \rho, \sigma, \delta)$  to  $\mathcal{S}$ .

$\mathcal{F}_{\text{ecdsa}}$  also responds to “control messages” from  $\mathcal{S}$ , which control when outputs are delivered from *honest* parties to  $\mathcal{Z}$  (the environment receives inputs only from *honest* parties in our model).

- $(\text{output-pk}, i)$ : if  $P_i$  previously received an initialization request, fetch the recorded tuple  $(\text{init}, u, \alpha)$  and give  $(\text{output-pk}, u)$  to  $P_i$ , which forwards this directly to  $\mathcal{Z}$ .
- $(\text{output-sig}, \text{sigID}, i)$ : if  $P_i$  previously received a signing request  $(\text{sig}, \text{sigID}, \text{presigID}, m, \epsilon)$ , fetch the recorded tuple  $(\text{sig}, \text{sigID}, \text{presigID}, m, \epsilon, \rho, \sigma, \delta)$  and give  $(\text{output-sig}, \text{sigID}, \rho, \sigma)$  to  $P_i$ , which forwards this directly to  $\mathcal{Z}$ .

As usual in the UC framework, the environment  $\mathcal{Z}$  and the simulator  $\mathcal{S}$  may freely pass messages back and forth to each other.

## 2.6.2 Real world

We now describe the real world. As usual, we have an environment  $\mathcal{Z}$  and an adversary  $\mathcal{A}$ . As above, we assume that  $\mathcal{Z}$  provides inputs to the honest parties of the same form as in the ideal world, and is both locally and globally consistent, as described above. These inputs, however, are passed to machines that are running the actual protocol, as opposed to being forwarded directly to an ideal functionality. These machines will also produce the outputs  $(\text{output-pk}, u)$  and  $(\text{output-sig}, \text{sigID}, \rho, \sigma)$  that are passed to  $\mathcal{Z}$ . As usual in the UC framework, the environment  $\mathcal{Z}$  and the adversary  $\mathcal{A}$  may freely pass messages back and forth to each other.

Our “real world” is actually a “hybrid world”, in which the protocol machines and  $\mathcal{A}$  interact with three ideal functionalities.

- The first ideal functionality is a random oracle representing the hash function  $H_{\text{delta}}$ . As usual, both the protocol machines and  $\mathcal{A}$  have direct access to this random oracle, while  $\mathcal{Z}$  does not have direct access (but it can access the random oracle indirectly through  $\mathcal{A}$ ).

- The second ideal functionality is a “random beacon”  $\mathcal{F}_{\text{beacon}}$ , which generates a sequence of random seeds  $s_1, s_2, s_3, \dots$ . Each honest party issues a sequence of **next-seed** requests to  $\mathcal{F}_{\text{beacon}}$ . Upon receiving the  $j$ th **next-seed** request issued by the honest party  $P_i$ , the functionality  $\mathcal{F}_{\text{beacon}}$  gives  $(\text{next-seed}, i, j, s_j)$  to  $\mathcal{A}$ . Later,  $\mathcal{A}$  may give the control message  $(\text{output-seed}, j, i)$  to  $\mathcal{F}_{\text{beacon}}$ , which responds by giving  $(\text{output-seed}, j, s_j)$  to  $P_i$ . Note that corrupt parties may not issue **next-seed** requests.

In our protocol, whenever an honest party receives a signing request, it issues a **next-seed** request to  $\mathcal{F}_{\text{beacon}}$ , to obtain the seed  $s$ , which will be used to generate the randomizer  $\delta$  as in (1).

One way to securely implement  $\mathcal{F}_{\text{beacon}}$  is to use an  $(f + 1)$ -out-of- $n$  threshold BLS signature, which is much easier to implement than threshold ECDSA, and which provides unique signatures. We can pass the BLS signatures through a random oracle to get the seeds.

- The third ideal functionality is  $\mathcal{F}_{\text{mpc}}$ , which captures the security properties of the basic subprotocols in Section 2.4 for operating on sharings.

There are a number of details that should be specified for these subprotocols.

- Each sharing should have a unique ID associated with it, which is used to identify the use of that sharing as an input to other subprotocol instances.
- The only subprotocols that reveal *any* information to  $\mathcal{A}$  are **Open** and **OpenPower**, which reveal their outputs ( $\mu$  and  $v^\mu$ , respectively) to  $\mathcal{A}$ .
- Via control messages, the adversary also determines when the outputs of **Open** and **OpenPower** are delivered to each honest party.

We leave the specification of these details to the reader.

We also make the same type of global consistency assumption as we did for  $\mathcal{F}_{\text{ecdsa}}$ , so that each honest party initiates the same sequence of subprotocol instances in the same order.

### 2.6.3 Security theorem

**Theorem 1.** *Protocol  $\Pi_{\text{ecdsa}}$  securely realizes  $\mathcal{F}_{\text{ecdsa}}$ .*

The statement of this theorem does not rely on any cryptographic assumptions. It does rely on the fact that  $\Pi_{\text{ecdsa}}$  is a hybrid protocol built on the ideal functionalities outlined above in Section 2.6.2. It also relies on the assumption that  $q$  and the seed space for  $\mathcal{F}_{\text{beacon}}$  are large.

We sketch the proof.

- The public key  $u = g^\alpha$  obtained by  $\mathcal{S}$  in the ideal world can be used to simulate the corresponding object in the real world at the point in time when the corresponding **OpenPower** operation is performed.



- A presignature  $R = g^k$  obtained by  $\mathcal{S}$  in the ideal world can be used to simulate the corresponding object in the real world at the point in time when the corresponding OpenPower operation is performed.
- A signature  $\sigma$  obtained by  $\mathcal{S}$  in the ideal world can be used to simulate the corresponding values  $\mu$  and  $\nu$  generated during real-world signing protocol as follows:

$$\mu \stackrel{\$}{\leftarrow} \mathbb{Z}_q, \quad \nu \leftarrow \sigma\mu.$$

These values  $\mu$  and  $\nu$  can be used to simulate the corresponding Open operations.

- The simulator  $\mathcal{S}$  is also in charge of simulating the functionality  $\mathcal{F}_{\text{beacon}}$  and the random oracle  $H_{\text{delta}}$ . Whenever  $\mathcal{S}$  obtains the message  $(\text{sig}, i, \text{sigID}, \text{presigID}, m, \epsilon, \rho, \sigma, \delta)$  from  $\mathcal{F}_{\text{ecdsa}}$  for the first time, it generates a random seed  $s$  which will be used as the value for the random beacon on input  $\text{sigID}$ , and “programs” the random oracle  $H_{\text{delta}}$  so that  $H_{\text{delta}}(s, R, \epsilon, \phi) = \delta$ , where  $\delta$  is randomizer generated by the signing algorithm.

#### 2.6.4 Consequences

The ideal function  $\mathcal{F}_{\text{ecdsa}}$  was designed to match exactly the attack game described in Section 8.4 of [GS21], which analyzes the security of ECDSA with re-randomized presignatures and additive key derivation. Indeed, in that attack game, an adversary interacts with a challenger, making presignature and signature requests of exactly the same form as described here. The adversary in [GS21] corresponds directly to combined entities  $\mathcal{Z}$  and  $\mathcal{S}$  in our setting.

Theorem 6 in [GS21] guarantees *existential unforgeability*, which means that the adversary cannot feasibly create a valid signature  $(\rho^*, \sigma^*)$  for a message/tweak pair  $(m^*, \epsilon^*)$  unless it made a signing request for the same message/tweak pair. This result models  $\mathbb{G}$  as a generic group, and makes standard assumptions on  $H_{\text{dsa}}$  (collision resistance, random and zero preimage resistance). The tweaks can either be modeled as being derived from a random oracle, or alternatively, using a nonstandard collision-resistance-type assumption. See [GS21] for more details. Note that our simulator  $\mathcal{S}$  treats  $\mathbb{G}$  as a generic group, and so assuming  $\mathcal{Z}$  does the same, then Theorem 6 in [GS21] implies that  $\mathcal{Z}$  cannot forge signatures in the real world.

The discussion following Theorem 6 in [GS21] guarantees **strong unforgeability up to sign**, which means that the adversary cannot feasibly create a valid signature  $(\rho^*, \sigma^*)$  for a message/tweak pair  $(m^*, \epsilon^*)$  other than one that is **equivalent up to sign** to the response  $(\rho, \sigma)$  to some signing request on that same message/tweak pair. Here, *equivalent up to sign* means  $(\rho^*, \sigma^*) = (\rho, \pm\sigma)$ . It is well known that if  $(\rho, \sigma)$  is a valid ECDSA signature, then so is  $(\rho, -\sigma)$ , and so this form of “signature malleability” is unavoidable. What this says is that we need not worry about any other form of “signature malleability”. Moreover, even this small amount of malleability can be completely eliminated by forcing  $\sigma$  to have a “canonical sign” (this malleability issue was identified in the Bitcoin community, along with the “canonical sign” fix [Wui14]).

### 3 Tools and techniques

The main task is to securely implement the basic subprotocols `Random`, `Open`, `OpenPower`, and `Mul` described in Section 2.4, under the assumptions given in Section 2.3. We review the tools and techniques that will be used.

#### 3.1 Shamir’s secret sharing scheme

One basic tool is Shamir’s  $(f + 1)$ -out-of- $n$  secret sharing scheme [Sha79]. Here, a secret  $\alpha \in \mathbb{Z}_q$  is shared among parties  $P_1, \dots, P_n$ , as follows. Random elements  $\alpha_1, \dots, \alpha_f \in \mathbb{Z}_q$  are generated, and the polynomial

$$\omega := \alpha_0 + \alpha_1 x + \dots + \alpha_f x^f \in \mathbb{Z}_q[x]$$

is formed, where  $\alpha_0 := \alpha$ . Note that  $\omega(0) = \alpha_0 = \alpha$ . Each party  $P_j$  is given the share  $\mu_j := \omega(j) \in \mathbb{Z}_q$ . The key properties of this sharing are that

- any coalition of  $f + 1$  parties can efficiently recover the secret  $\alpha$  by polynomial interpolation, and
- any coalition of  $f$  or fewer parties learns nothing about the secret  $\alpha$ .

#### 3.2 Some convenient notation

Before going further, we introduce some useful notation. For  $\mathbf{C} = (C_0, \dots, C_f) \in \mathbb{G}^{f+1}$  and  $\beta \in \mathbb{Z}_q$ , we define

$$\mathbf{C}^{(\beta)} := C_0 C_1^\beta \dots C_f^{\beta^f}.$$

Given two such vectors  $\mathbf{C}, \mathbf{D} \in \mathbb{G}^{f+1}$ , we define their product  $\mathbf{C} \cdot \mathbf{D} \in \mathbb{G}^{f+1}$  to be their *componentwise product*.

For a polynomial  $\omega = \alpha_0 + \alpha_1 x + \dots + \alpha_f x^f \in \mathbb{Z}_q[x]$  of degree at most  $f$ , and for a group element  $u \in \mathbb{G}$ , we define

$$u^\omega := (u^{\alpha_0}, u^{\alpha_1}, \dots, u^{\alpha_f}) \in \mathbb{G}^{f+1}.$$

With these conventions, we have

$$(u^\omega)^{(\beta)} = u^{\omega(\beta)}.$$

#### 3.3 Verifiable secret sharing (VSS)

VSS is a technique that allows  $P_1, \dots, P_n$  to verify that they have received a correct dealing. One well-known such scheme is Feldman’s VSS scheme [Fel87], which works as follows. Starting with  $\omega \in \mathbb{Z}_q$  as in Shamir’s secret sharing scheme, the “dealer” computes the “polynomial commitment”  $\mathbf{C} := g^\omega \in \mathbb{G}^{f+1}$  and sends  $\mathbf{C}$  to  $P_1, \dots, P_n$  over a secure broadcast channel. In addition, for  $j = 1, \dots, n$ , the dealer sends the secret share  $\mu_j := \omega(j) \in \mathbb{Z}_q$  to party  $P_j$  over a secure point-to-point channel. Having received  $\mathbf{C}$  and a putative share  $\mu_j \in \mathbb{Z}_q$ , party  $P_j$  checks that his share is “correct” by testing if  $\mathbf{C}^{(j)} = g^{\mu_j}$ . Even if the

dealer is corrupt, if at least  $f + 1$  parties have received correct shares, they can still reconstruct the secret. The paper [Fel87] does not provide protocols that specify what actions should actually be taken when a party  $P_j$  detects an incorrect share. Pedersen [Ped91b] gives a protocol for dealing with incorrect shares sent by a corrupt dealer in Feldman’s VSS scheme (see Fig. 1 in [GJKR03] for a succinct description of Pedersen’s logic for dealing with a corrupt dealer). However, that protocol does not work in the asynchronous communication model.

Another limitation with Feldman’s VSS is that it does not completely hide the secret  $\alpha$ , as  $\mathbf{C}^{(0)} = g^\alpha$ . This may be acceptable for some applications, but not for others. Pedersen’s VSS scheme [Ped91a] is a variation of Feldman’s that information theoretically hides the secret. It works as follows. In addition to the polynomial  $\omega$  above, a random polynomial  $\omega' \in \mathbb{Z}_q[x]$  of degree at most  $f$  is generated. Party  $P_j$ ’s share is now  $(\mu_j, \mu'_j) := (\omega(j), \omega'(j))$ . As in Feldman’s VSS scheme, the dealer sends a polynomial commitment  $\mathbf{C} \in \mathbb{G}^{f+1}$  to  $P_1, \dots, P_n$  over a secure broadcast channel; however,  $\mathbf{C}$  is now defined as  $\mathbf{C} := g^\omega \cdot h^{\omega'}$ , where  $h \in \mathbb{G}$  is a random generator that is given as a system parameter or as part of a common reference string. Also, each party  $P_j$  checks that its putative share  $(\mu_j, \mu'_j)$  is correct by checking that  $\mathbf{C}^{(j)} = g^{\mu_j} h^{\mu'_j}$ . Just as for Feldman’s VSS scheme, even if the dealer is corrupt, if at least  $f + 1$  parties have received correct shares, they can still reconstruct the secret (this depends on the hardness of the discrete logarithm assumption). The same protocol from [Ped91b] can be used for dealing with incorrect shares sent by a corrupt dealer in Pedersen’s VSS scheme. Again, this protocol does not work in the asynchronous communication model.

### 3.4 Asynchronous VSS (AVSS)

As mentioned above, the techniques from [Ped91b] do not work in the asynchronous communication model, which is the setting we are in. The notion of Asynchronous VSS (AVSS) was studied in [CKLS02], where a practical AVSS protocol was also presented. We make use of a different AVSS protocol that is simpler and more efficient. Unlike the protocol in [CKLS02], ours relies on public key cryptography and only provides computational privacy.

Our AVSS scheme can be used for both Feldman and Pedersen VSS. Roughly speaking, it works as follows. We assume that each party  $P_j$  has a public-key/secret-key pair  $(pk_j, sk_j)$  for a public-key encryption scheme, and that all parties (including the dealer) have been provisioned with  $(pk_1, \dots, pk_n)$ . We also assume that all parties have signing keys, and that all parties have been provisioned with the corresponding public signature verification keys.

The dealer computes a polynomial commitment  $\mathbf{C} \in \mathbb{G}^{f+1}$  as usual. The dealer also encrypts each party  $P_j$ ’s share under  $pk_j$  to obtain a ciphertext  $c_j$ . It then sends the “dealing”  $(\mathbf{C}, c_1, \dots, c_n)$  to  $P_1, \dots, P_n$  over a secure broadcast channel. Each party  $P_j$  can decrypt  $c_j$  using  $sk_j$  to obtain its putative share. If this share is correct, it will send back to the dealer a “dealing verification share”, which is a signature on a message that attests to the correctness of its share. The dealer can aggregate  $2f + 1$  such dealing verification shares to form a “verification certificate” for this dealing. If an appropriate signature scheme is used, such as BLS, the verification certificate can be realized as a compact multi-signature [BLS01, RY07]. The dealer can then broadcast this verification certificate to all parties. Parties will only accept dealings when accompanied by a corresponding verification

certificate.

If the dealer is honest, then at least  $n - f$  parties will generate dealing verification shares, and the assumption that  $f < n/3$  guarantees that the dealer will obtain  $2f + 1$  such shares which can then be aggregated into a certificate.

If the dealer is corrupt but the dealing is accompanied by a verification certificate, this guarantees that at least  $(2f + 1) - f = f + 1$  honest parties hold correct shares. If at some later time an honest party  $P_j$  holding an incorrect share needs to obtain its correct share, it can issue a “complaint”. Such a complaint is a non-interactive zero-knowledge proof that its ciphertext  $c_j$  decrypts to an incorrect share. Party  $P_j$  can broadcast its complaints to all parties. If an honest party receives a valid complaint against the dealer, it will broadcast its share to all parties — this is safe to do, since at this point we know the dealer is corrupt. Eventually, party  $P_j$  will obtain  $f + 1$  correct shares and can reconstruct its own correct share. Thus, once a certified dealing is accepted, all honest parties will eventually obtain correct shares.

To implement the above, we need an appropriate encryption scheme as well as a corresponding non-interactive zero-knowledge proof to verifiably decrypt ciphertexts. The paper [BBS03] studies the problem of multi-recipient encryption, which is essentially the same problem we are dealing with here, except that we have the extra complication of verifiable decryption. Below, in Section 4, we propose a “multi-encryption gadget” as a stand-alone cryptographic primitive that essentially provides multi-recipient encryption with verifiable decryption. We also propose efficient instantiations that are secure under reasonable assumptions (but in the random oracle model).

Using the multi-encryption gadget presented below, the above protocol can be implemented so that its communication complexity is  $O(n^2\lambda)$ , where  $\lambda$  is the security parameter. As it stands, our protocol does not satisfy the standard definition of AVSS (see [CKLS02]). To transform it into a true AVSS protocol, we would have to layer on top of it a protocol for reliable broadcast, to ensure that if one party obtains a certified dealing, then all parties eventually obtain the same dealing. For this transformation, we could use a communication-efficient reliable broadcast protocol, such as on in [DXR21] or [DXR22], obtaining a protocol that essentially satisfies the standard definition of AVSS (it achieves only computational privacy), and still has a communication complexity of  $O(n^2\lambda)$ . We do not actually apply this transformation in our ECDSA signing protocol, as we rely on the stronger primitive of ACS to deliver these dealings (but in an implementation of ACS such as Dumbo2 [GLT<sup>+</sup>20], we would end up employing just such a communication-efficient reliable broadcast protocol for essentially the same purpose). Also, our concrete protocol has additional properties not guaranteed by the standard definition of AVSS that we will be exploiting.

We mention a few other AVSS protocols in the literature. The paper [CKLS02] gives the first practical construction of an AVSS protocol, but it has a communication complexity of  $O(n^3\lambda)$ . The paper [BDK12] gives an AVSS protocol with communication complexity  $O(n^2\lambda)$ ; however, it relies on compact polynomial commitments as in [KZG10]. As such, it requires that  $\mathbb{G}$  supports a pairing, which makes it unusable for us (ECDSA typically is used with curves that do not support pairings). The paper [AVZ21], gives an AVSS protocol with communication complexity  $O(n^2 \log n \cdot \lambda)$ . This protocol does not require that  $\mathbb{G}$  supports a pairing, but it is a bit more complicated than ours and relies on Bulletproofs [BCC<sup>+</sup>16, BBB<sup>+</sup>18]. The paper [Gro21] also gives (among other things) an AVSS protocol that is

essentially noninteractive, which is very appealing; however, the communication complexity of this protocol is significantly higher than ours. We note that the AVSS protocols in both [AVZ21] and [Gro21] allow higher reconstruction thresholds than  $f + 1$ , which is essential for some applications, but we do not need this property in our ECDSA signing protocol.

### 3.5 Sketch of Random protocol

To implement `Random`, we use the Pedersen-based AVSS scheme above. Each party generates a dealing and sends this to all other parties. Upon receiving such a dealing, if its share is correct, each party will send a verification share to all other parties. Using a consensus subprotocol (specifically, an ACS protocol as discussed in Section 1.1), the parties agree on a batch of  $f + 1$  dealings (each accompanied by a verification certificate). Each party can then obtain its share of the secret by adding up its individual shares from each dealing in the batch (using the complaint mechanism sketched above, if necessary). Since at least one of these dealings is generated by an honest party, the secret will indeed be random. Since we are using Pedersen’s VSS, the adversary can neither learn any information about the secret nor influence its distribution in any way.

### 3.6 Using Feldman instead of Pedersen and an attack on ECDSA

As outlined in Section 2.5.1, we use the above protocol for `Random`, which is based on Pedersen VSS, and then run protocol `OpenPower` to reveal the public key. One may be tempted to simplify the key generation protocol by instead using a version of `Random` based on Feldman VSS, which would allow us to skip the `OpenPower` subprotocol. While for some discrete-log based cryptosystems this may be secure (see Section A.3.6), it turns out that for ECDSA, this would be completely insecure. Here is an attack.

Suppose that during key generation step, there is a set  $S$  of  $f$  dealings generated by honest parties, and that the constant terms of the polynomial commitments of these dealings multiply out to  $R \in \mathbb{G}$ . Let  $\rho := C(R) \in \mathbb{Z}_q$ , where  $C$  is the ECDSA conversion function. Let  $\phi$  be the hash of a message  $m$  for which the adversary would like a signature. The adversary generates a dealing of the secret  $-\phi/\rho$ , and then arranges that the batch of dealings used to generate the signing key is the set  $S$  plus this dealing of  $-\phi/\rho$ . Thus, the public key is  $u := Rg^{-\phi/\rho}$ . The adversary may simply now output the signature  $(\rho, \sigma)$ , where  $\sigma := \rho$ . This is a valid signature on  $m$ , since

$$g^\phi u^\rho = g^\phi (Rg^{-\phi/\rho})^\rho = R^\rho = R^\sigma,$$

as required.

Note that a slight variation of the same attack works for the protocol in [Ped91b] based on Feldman VSS, assuming a “rushing” adversary in the synchronous communication model.

## 4 MEGa: a multi-encryption gadget

As discussed in Section 3.4, we want a cryptographic primitive that essentially provides multi-recipient encryption with verifiable decryption. We define a special multi-encryption

gadget (MEGa), with usage and security properties that does just that. As already mentioned in Section 3.4, multi-recipient encryption was studied in [BBS03]. Just like [BBS03], the goal is to make multi-recipient encryption more efficient than simply performing independent encryptions to each recipient. Also, just like [BBS03], we allow for “rogue key” and “insider” attacks, meaning that public encryption keys for corrupt parties may be chosen adversarially, possibly in a way that depends on the public keys of the honest parties. In contrast to [BBS03], we do not require parties to perform a proof of possession of their decryption keys. Our notion of a MEGa has an important additional feature not studied in [BBS03], namely, *verifiable decryption*, which allows a recipient to securely prove that a ciphertext it receives decrypts to a certain value.

**Basic syntax of a MEGa.** Formally, a MEGa  $\mathcal{E} = (G, E, D, DP, DV, DfP)$  consists of the following algorithms.

- A probabilistic **key generation algorithm**  $G$  that is invoked as  $(pk, sk) \stackrel{\$}{\leftarrow} G()$ , where  $pk$  is a **public key** and  $sk$  is a **secret key**.
- A probabilistic **encryption algorithm**  $E$  that is invoked as

$$(\chi; c_1, \dots, c_n) \stackrel{\$}{\leftarrow} E(ad; (id_1, pk_1, m_1), \dots, (id_n, pk_n, m_n)),$$

where each  $id_j \in \mathcal{ID}$  is an *identity*, each  $pk_j \in \mathcal{PK}$  is a *public key*, and each  $m_j \in \mathcal{M}$  is a *plaintext* or *message*; also,  $ad \in \mathcal{AD}$  is associated data. We call  $\mathcal{ID}$  the **identity space**,  $\mathcal{PK}$  the **public-key space**,  $\mathcal{M}$  the **message space**, and  $\mathcal{AD}$  the **associated data space**. The value  $\chi$  is called the **common component** of the ciphertext, while each  $c_j$  is called an **individual component** of the ciphertext.

Note that the value  $n$  is not a fixed parameter: the encryption algorithm may be called with any number of ID/PK/message triples.

- A deterministic **decryption algorithm**  $D$  that is invoked as

$$m \leftarrow D(ad, id, sk, \chi, c),$$

where  $ad \in \mathcal{AD}$  and  $id \in \mathcal{ID}$ . The value  $sk$  is a secret key as output by  $G$ . The value  $\chi$  is a common component of the form output by  $E$ . The value  $c$  is an individual component of the form output by  $E$ . Note that  $D$  returns either  $m \in \mathcal{M}$  or a special symbol **reject**  $\notin \mathcal{M}$ .

- A probabilistic **decryption prover algorithm**  $DP$  that is invoked as

$$\pi \stackrel{\$}{\leftarrow} DP(ad, id, sk, \chi, c),$$

where  $ad \in \mathcal{AD}$  and  $id \in \mathcal{ID}$ . The value  $sk$  is a secret key as output by  $G$ . The value  $\chi$  is a common component of the form output by  $E$ . The value  $c$  is an individual component of the form output by  $E$ .

- A deterministic **decryption verification algorithm**  $DV$  that is invoked as

$$result \leftarrow DV(ad, id, pk, \chi, c, \pi),$$

where  $result \in \{\text{accept}, \text{reject}\}$ ,  $ad \in \mathcal{AD}$ , and  $id \in \mathcal{ID}$ . The value  $pk$  is a public key as output by  $G$ . The value  $\chi$  is a common component of the form output by  $E$ . The value  $c$  is an individual component of the form output by  $E$ . The value  $\pi$  is of the form output by  $DP$ .

- A deterministic **decrypt-from-proof algorithm**  $DfP$  that is invoked as

$$m \leftarrow DfP(ad, id, pk, \chi, c, \pi),$$

where  $m \in \mathcal{M} \cup \{\text{reject}\}$ ,  $ad \in \mathcal{AD}$ , and  $id \in \mathcal{ID}$ . The value  $pk$  is a public key as output by  $G$ . The value  $\chi$  is a common component of the form output by  $E$ . The value  $c$  is an individual component of the form output by  $E$ . The value  $\pi$  is of the form output by  $DP$ .

**Essential properties of a MEGa.** We next define the essential properties that any MEGa should satisfy.

**Correctness:** For all identities  $id_1, \dots, id_n \in \mathcal{ID}$ , for all plaintexts  $m_1, \dots, m_n \in \mathcal{M}$ , for each  $j^* \in \{1, \dots, n\}$ , for all possible outputs  $(pk_{j^*}, sk_{j^*})$  of  $G()$ , for all public keys  $\{pk_j\}_{j \neq j^*}$ , for all  $ad \in \mathcal{AD}$ , for all possible outputs  $(\chi; c_1, \dots, c_n)$  of  $E(ad; (id_1, pk_1, m_1), \dots, (id_n, pk_n, m_n))$  we have

$$D(ad, id_{j^*}, sk_{j^*}, \chi, c_{j^*}) = m_{j^*}.$$

**Completeness:** For all identities  $id \in \mathcal{ID}$ , for all possible outputs  $(pk, sk)$  of  $G()$ , for all  $ad \in \mathcal{AD}$ , for all possible  $\chi, c$ , we have

- $\Pr \left[ DV(ad, id, pk, \chi, c, DP(ad, id, sk, \chi, c)) = \text{accept} \right] = 1$ , and
- $\Pr \left[ DfP(ad, id, pk, \chi, c, DP(ad, id, sk, \chi, c)) = D(ad, id, sk, \chi, c) \right] = 1$ .

**Soundness:** It is infeasible for an efficient adversary to win the following game.

- The adversary chooses  $id_1, \dots, id_n \in \mathcal{ID}$ ,  $pk_1, \dots, pk_n \in \mathcal{PK}$ ,  $m_1, \dots, m_n \in \mathcal{M}$ ,  $ad \in \mathcal{AD}$ , and gives these values to the challenger.
- The challenger then computes

$$(\chi; c_1, \dots, c_n) \stackrel{\$}{\leftarrow} E(ad; (id_1, pk_1, m_1), \dots, (id_n, pk_n, m_n)),$$

and gives  $(\chi; c_1, \dots, c_n)$  to the adversary.

- The adversary outputs  $j, \pi$ , and wins the game if  $DV(ad, id_j, pk_j, \chi, c_j, \pi) = \text{accept}$  and  $DfP(ad, id_j, pk_j, \chi, c_j, \pi) \neq m_j$ .

**Associated-data-only CCA (ADO-CCA) security:** It is infeasible for an efficient adversary to guess the hidden bit  $b \in \{0, 1\}$  in the following game, in which the adversary makes a sequence of queries, each of the following form.

- *Register honest user.* Adversary gives  $id \in \mathcal{ID}$  to challenger, where  $id$  has not been previously registered to either an honest or corrupt user. Challenger generates  $(pk, sk) \xleftarrow{\$} G()$  and gives  $pk$  to adversary.
- *Register corrupt user.* Adversary gives  $id \in \mathcal{ID}, pk \in \mathcal{PK}$  to challenger, where  $id$  has not been previously registered to either an honest or corrupt user.
- *Encryption query.* Adversary gives the following to the challenger:

$$ad, id_1, m_1^{(0)}, m_1^{(1)}, \dots, id_n, m_n^{(0)}, m_n^{(1)},$$

where  $ad \in \mathcal{AD}$ ,  $id_1, \dots, id_n \in \mathcal{ID}$  are *distinct registered identities*, each  $m_j^{(0)}, m_j^{(1)} \in \mathcal{M}$ .

The challenger computes

$$(\chi; c_1, \dots, c_n) \xleftarrow{\$} E(ad; (id_1, pk_1, m_1), \dots, (id_n, pk_n, m_n)),$$

where  $pk_j$  is the public key associated with  $id_j$ , and

$$m_j := \begin{cases} m_j^{(b)} & \text{if } id_j \text{ is registered to an honest user,} \\ m_j^{(0)} & \text{if } id_j \text{ is registered to a corrupt user,} \end{cases}$$

and sends  $(\chi; c_1, \dots, c_n)$  to the adversary.

- *Decryption query.* Adversary gives  $(ad, id, \chi, c)$  to the challenger, where  $ad$  was not previously submitted as part of an encryption query, and  $id$  is registered to an honest user.

The challenger computes  $m \leftarrow D(ad, id, sk, \chi, c)$ , where  $sk$  is the secret key corresponding to  $id$ , and gives  $m$  to the adversary.

- *Decryption proof query.* Adversary gives  $(ad, id, \chi, c)$  to the challenger, where  $ad$  was not previously submitted as part of an encryption query, and  $id$  is registered to an honest user.

The challenger computes  $\pi \xleftarrow{\$} DP(ad, id, sk, \chi, c)$ , where  $sk$  is the secret key corresponding to  $id$ , and gives  $\pi$  to the adversary.

NOTES:

1. The ADO-CCA security game corresponds to a setting where there is a PKI that securely associates public keys to identities. As stated, it does not require a proof of possession, or PoP, of any kind (although this can be added, it will not be necessary for our constructions).
2. ADO-CCA security is weaker than full CCA security, in that the adversary is more restricted in its decryption (and decryption proof) queries in the former than in the latter. ADO-CCA security is sufficient for our applications.



3. ADO-CCA security is analogous to the notion of tag-based CCA security studied in [MRY04]. Indeed, one can apply the same transformation used in [MRY04], “wrapping” the entire ciphertext with a strongly secure one-time signature, to transform an ADO-CCA secure scheme into a fully CCA secure scheme.
4. While our focus here is on security in the static corruption model, in Section 10.1.1 we consider ADO-CCA security in the adaptive corruption model, and we will see that the scheme we present below in Section 4.1 is also secure in this model.

#### 4.1 A MEGa implementation

We present here a concrete MEGa  $\mathcal{E}_{\text{dh}}$ . We assume the message space  $\mathcal{M}$  of the MEGa is a group (with the group operation written additively). We assume a group  $\mathfrak{G}$  of prime order  $q$  generated by  $\mathfrak{g} \in \mathfrak{G}$ . Note that the group  $\mathfrak{G}$  does not necessarily have any relation to the group  $\mathbb{G}$  introduced in Section 2.3. We also assume three hash functions, which will be modeled as random oracles:

- a hash function  $H_{\mathcal{M}}$ , whose output space is  $\mathcal{M}$ ;
- a hash function  $H_{\mathfrak{G}}$ , whose output space is  $\mathfrak{G}$ ;
- a hash function  $H_{\text{fs}}$ , which will be used implicitly in the construction of Fiat-Shamir proofs [FS86].

**Key generation.** A secret key for the scheme is  $\alpha \in \mathbb{Z}_q$ , and the corresponding public key is  $\mathbf{u} := \mathfrak{g}^\alpha \in \mathfrak{G}$ .

**Encryption.** Given identities  $id_1, \dots, id_n \in \mathcal{ID}$ , public keys  $\mathbf{u}_1, \dots, \mathbf{u}_n \in \mathfrak{G}$ , plaintexts  $m_1, \dots, m_n \in \mathcal{M}$ , along with associated data  $ad \in \mathcal{AD}$ , the encryption algorithm first computes

$$\beta \xleftarrow{\$} \mathbb{Z}_q, \quad \mathbf{v} \leftarrow \mathfrak{g}^\beta \in \mathfrak{G},$$

along with a PoP for the ephemeral encryption key, which is computed as

$$\pi_{\text{enc}} \leftarrow (\mathbf{v}', \text{pok}_{\text{enc}}),$$

where

$$\mathfrak{g}' := H_{\mathfrak{G}}(\text{enckey-pop}, ad, \mathbf{v}) \in \mathfrak{G}, \quad \mathbf{v}' := (\mathfrak{g}')^\beta \in \mathfrak{G},$$

and

$$\text{pok}_{\text{enc}} \xleftarrow{\$} \text{PoK}[x := \beta : \mathbf{v} = \mathfrak{g}^x, \mathbf{v}' = (\mathfrak{g}')^x]$$

is a standard proof of knowledge based on the Fiat-Shamir heuristic [FS86]. The encryption algorithm then computes

$$c_j \leftarrow m_j + H_{\mathcal{M}}(\text{derive-key}, ad, id_j, \mathbf{u}_j, \mathbf{v}, \mathbf{u}_j^\beta) \in \mathcal{M} \quad (j = 1, \dots, n),$$

and outputs the ciphertext

$$(\mathbf{v}, \pi_{\text{enc}}; c_1, \dots, c_n).$$

Here,  $\chi = (\mathbf{v}, \pi_{\text{enc}})$  is the common component of the ciphertext.

**Decryption.** Given associated data  $ad \in \mathcal{AD}$ , an identity  $id$ , a secret key  $\alpha$ , along with  $\chi = (\mathbf{v}, \pi_{\text{enc}})$  and  $c \in \mathcal{M}$ , the decryption algorithm first validates the proof  $\pi_{\text{enc}}$ . If the proof is invalid, the decryption algorithm outputs **reject**. Otherwise, if the proof is valid, the decryption algorithm outputs

$$m := c - H_{\mathcal{M}}(\text{derive-key}, ad, id, \mathbf{u}, \mathbf{v}, \mathbf{v}^\alpha).$$

Similarly, on the same inputs, the decryption prover algorithm first validates the proof  $\pi_{\text{enc}}$ . If the proof is invalid, the decryption prover algorithm outputs **reject**. Otherwise, it outputs

$$\pi_{\text{dec}} \leftarrow (\mathbf{w}, \text{pok}_{\text{dec}}),$$

where

$$\mathbf{w} := \mathbf{v}^\alpha$$

and

$$\text{pok}_{\text{dec}} \stackrel{\$}{\leftarrow} \text{PoK}[x := \alpha : \mathbf{u} = \mathbf{g}^x, \mathbf{w} = \mathbf{v}^x].$$

The decryption verification algorithm validates the proof  $\pi_{\text{dec}}$ , while the decrypt-from-proof algorithm computes

$$m \leftarrow c - H_{\mathcal{M}}(\text{derive-key}, ad, id, \mathbf{u}, \mathbf{v}, \mathbf{w}),$$

unless  $\pi_{\text{dec}} = \text{reject}$ , in which case it outputs **reject**.

## 4.2 Analysis

In this section, we analyze the MEGa  $\mathcal{E}_{\text{dh}}$  described in Section 4.1. The *correctness*, *completeness*, and *soundness* properties are easy to verify, and we leave this to the reader. We focus here on proving the *ADO-CCA security* property.

**The Diffie-Hellman operator.** For  $\alpha, \beta \in \mathbb{Z}_q$ , define

$$\text{dh}(\mathbf{g}^\alpha, \mathbf{g}^\beta) := \mathbf{g}^{\alpha\beta}.$$

A triple  $(\mathbf{u}, \mathbf{v}, \mathbf{w}) \in \mathfrak{G}^3$  is called a **DH-triple** if  $\mathbf{w} = \text{dh}(\mathbf{u}, \mathbf{v})$ .

**The CDH, ICDH, and I<sup>2</sup>CDH assumptions.**

- The CDH assumption says that given random  $\mathbf{u}, \mathbf{v} \in \mathfrak{G}$ , it is infeasible to compute  $\text{dh}(\mathbf{u}, \mathbf{v})$ .
- The ICDH assumption says that given random  $\mathbf{u}, \mathbf{v} \in \mathfrak{G}$ , it is infeasible to compute  $\text{dh}(\mathbf{u}, \mathbf{v})$ , even given access to an oracle that determines whether a given triple is a DH-triple, with the restriction that one of the first two entries in the triple must be  $\mathbf{u}$ .
- The I<sup>2</sup>CDH assumption says that given random  $\mathbf{u}, \mathbf{v} \in \mathfrak{G}$ , it is infeasible to compute  $\text{dh}(\mathbf{u}, \mathbf{v})$ , even given access to an oracle that determines whether a given triple is a DH-triple, with the restriction that one of the first two entries in the triple must be either  $\mathbf{u}$  or  $\mathbf{v}$ .

NOTES:

1. ICDH is what is used in the security proof of ECIES [ABR01], and is a fairly standard assumption.
2. Both ICDH and I<sup>2</sup>CDH are *falsifiable* assumptions [Nao03].
3. Both ICDH and I<sup>2</sup>CDH are equivalent to CDH if  $\mathfrak{G}$  supports a pairing.

**Theorem 2.** *Under the ICDH assumption for  $\mathfrak{G}$ , and modeling  $H_{\mathcal{M}}$ ,  $H_{\mathfrak{G}}$ , and  $H_{\text{fs}}$  as random oracles,  $\mathcal{E}_{\text{dh}}$  is ADO-CCA secure.*

*Proof.* Given an adversary with non-negligible advantage, we are given random  $\mathbf{u}^*, \mathbf{v}^* \in \mathfrak{G}$  and we want to compute  $\mathbf{w}^* = \text{dh}(\mathbf{u}^*, \mathbf{v}^*) \in \mathfrak{G}$  with nearly the same advantage. We are also given access to an oracle that determines whether a given triple is a DH-triple, but we will only invoke this on triples of the form  $(\cdot, \mathbf{v}^*, \cdot)$ , which satisfies the constraints of the ICDH assumption.

- Whenever an honest user *id* is registered, we program its public key to be of the form  $\mathbf{u} := \mathbf{u}^* \cdot \mathbf{g}^\sigma$  for random  $\sigma \in \mathbb{Z}_q$ .
- Whenever the adversary queries the random oracle  $H_{\mathfrak{G}}$  at a new input  $(\text{enckey-pop}, ad, \mathbf{v})$ , we program  $H_{\mathfrak{G}}$  to output  $\mathbf{g}' := \mathbf{u}^* \cdot \mathbf{g}^\lambda$  for random  $\lambda \in \mathbb{Z}_q^*$  on that input.
- In processing an encryption query, we program the ephemeral public key as  $\mathbf{v} := \mathbf{v}^* \cdot \mathbf{g}^\tau$  for random  $\tau \in \mathbb{Z}_q$ . We also program the random oracle  $H_{\mathfrak{G}}$  on input  $(\text{enckey-pop}, ad, \mathbf{v})$  to output  $\mathbf{g}' := \mathbf{g}^\rho$ , for random  $\rho \in \mathbb{Z}_q$  (note that with overwhelming probability,  $H_{\mathfrak{G}}$  will not have been already programmed at this input). This allows us to compute the corresponding group element  $\mathbf{v}' := \text{dh}(\mathbf{g}', \mathbf{v}) = \mathbf{v}^\rho$ . We can generate the corresponding proof  $\text{pok}_{\text{enc}}$  using a ZK simulator.

On the one hand, when generating a ciphertext component  $c_j$  corresponding to an honest user, we simply generate  $c_j \in \mathcal{M}$  at random. Suppose this honest user has a public key  $\mathbf{u} = \mathbf{u}^* \cdot \mathbf{g}^\sigma$  as above. The adversary should never notice the difference, unless it were to evaluate the random oracle  $H_{\mathcal{M}}$  at the point  $(\text{derive-key}, ad, id, \mathbf{u}, \mathbf{v}, \mathbf{w})$ , where

$$\mathbf{w} = \text{dh}(\mathbf{u}, \mathbf{v}) = \text{dh}(\mathbf{u}^* \mathbf{g}^\sigma, \mathbf{v}^* \mathbf{g}^\tau) = \text{dh}(\mathbf{u}^*, \mathbf{v}^*) (\mathbf{u}^*)^\tau (\mathbf{v}^*)^\sigma \mathbf{g}^{\sigma\tau}.$$

This would allow us to compute  $\text{dh}(\mathbf{u}^*, \mathbf{v}^*)$ . In fact, we can even use the DH-triple oracle to efficiently recognize the solution, invoking it on the triple

$$(\mathbf{u}^*, \mathbf{v}^*, \mathbf{w} / ((\mathbf{u}^*)^\tau (\mathbf{v}^*)^\sigma \mathbf{g}^{\sigma\tau})).$$

On the other hand, when generating a ciphertext component  $c_j$  corresponding to a corrupt user, we also generate  $c_j \in \mathcal{M}$  at random; however, we have to use our oracle for recognizing DH-triples to “backpatch” the random oracle  $H_{\mathcal{M}}$  if and when the adversary ever queries it at the appropriate point  $(\text{derive-key}, ad, id, \mathbf{u}, \mathbf{v}, \mathbf{w})$ , where  $\mathbf{u}$  is the corrupt user’s public key, and where

$$\mathbf{w} = \text{dh}(\mathbf{u}, \mathbf{v}) = \text{dh}(\mathbf{u}, \mathbf{v}^* \mathbf{g}^\tau) = \text{dh}(\mathbf{u}, \mathbf{v}^*) \mathbf{u}^\tau.$$

So whenever the adversary queries  $H_{\mathcal{M}}$  at a point  $(\text{derive-key}, ad, id, \mathbf{u}, \mathbf{v}, \mathbf{w})$  for the given values  $ad, id, \mathbf{u}, \mathbf{v}$  and any  $\mathbf{w}$ , we invoke the DH-triple oracle on the triple

$$(\mathbf{u}, \mathbf{v}^*, \mathbf{w}/\mathbf{u}^\tau).$$

If this is a DH-triple, we program  $H_{\mathcal{M}}$  to return  $c_j - m_j$  at that point.

- Now consider a decryption or decryption proof query. We are given associated data  $ad \in \mathcal{AD}$ , the identity  $id$  of an honest user,  $\chi = (\mathbf{v}, \pi_{\text{enc}})$ , where  $\pi_{\text{enc}} = (\mathbf{v}', \text{pok}_{\text{enc}})$ , and  $c \in \mathcal{M}$ .

We may assume that  $H_{\mathfrak{G}}(\text{enckey-pop}, ad, \mathbf{v})$  has been programmed to output  $\mathbf{g}' := \mathbf{u}^* \cdot \mathbf{g}^\lambda$  for random  $\lambda \in \mathbb{Z}_q^*$  (since  $ad$  was not used in a previous encryption query,  $H_{\mathfrak{G}}$  will not be programmed as in an encryption query, but rather as in an adversarial query to  $H_{\mathfrak{G}}$ ). This, together with the soundness property of the PoK  $\text{pok}_{\text{enc}}$ , implies that

$$\mathbf{v}' = \text{dh}(\mathbf{g}', \mathbf{v}) = \text{dh}(\mathbf{u}^* \mathbf{g}^\lambda, \mathbf{v}) = \text{dh}(\mathbf{u}^*, \mathbf{v}) \mathbf{v}^\lambda.$$

Suppose that the public key associated with  $id$  is  $\mathbf{u} = \mathbf{u}^* \cdot \mathbf{g}^\sigma$ . To decrypt or provide a decryption proof, it suffices to compute

$$\mathbf{w} = \text{dh}(\mathbf{u}, \mathbf{v}) = \text{dh}(\mathbf{u}^* \mathbf{g}^\sigma, \mathbf{v}) = \text{dh}(\mathbf{u}^*, \mathbf{v}) \mathbf{v}^\sigma = \mathbf{v}' \mathbf{v}^{\sigma-\lambda}.$$

For the decryption proof, we also need to generate a PoK, but this can again be done using a ZK simulator.

The above is not really a complete proof. Here is a more careful sequence-of-games argument. This argument shows that for the PoKs, we only need soundness and ZK, and do not need simulation soundness. It is best to avoid simulation soundness, because with simulation soundness, more care must be taken to ensure that the implementation satisfies it (this usually means that we need to make sure enough stuff is being fed into the hash function used in Fiat-Shamir).

**Game 0:** the original attack game.

**Game 1:** program the random oracle  $H_{\mathfrak{G}}$  and the public keys as indicated, but otherwise use  $\log_{\mathbf{g}}(\mathbf{u}^*)$  and  $\log_{\mathbf{g}}(\mathbf{v}^*)$  in all computations. There is some negligible probability that programming  $H_{\mathfrak{G}}$  fails, but otherwise Games 0 and 1 are equivalent.

**Game 2:** in processing decryption proof queries, replace the generated PoKs  $\text{pok}_{\text{dec}}$  by simulated proofs.

The ZK property of  $\text{pok}_{\text{dec}}$  guarantees that Games 1 and 2 are essentially equivalent.

**Game 3:** in processing decryption and decryption proof queries, compute  $\mathbf{w}$  as  $\mathbf{v}' \mathbf{v}^{\sigma-\lambda}$  as indicated above.

The soundness of the PoKs  $\text{pok}_{\text{enc}}$  guarantees that Games 2 and 3 are essentially equivalent.

**Game 4:** in processing encryption queries, replace the generated PoKs  $pok_{\text{enc}}$  by simulated proofs.

The ZK property of  $pok_{\text{enc}}$  guarantees that Games 3 and 4 are essentially equivalent.

**Game 5:** in processing encryption queries, generate the ciphertext  $c_j$  directed towards corrupt users as indicated, backpatching the random oracle indicated. For this, we need a DH-triple oracle for triples of the form  $(\cdot, \mathbf{v}^*, \cdot)$ . We know  $\log_{\mathbf{g}}(\mathbf{v}^*)$ , so we can still process these queries ourselves.

**Game 6:** in processing encryption queries, generate the ciphertext  $c_j$  directed towards honest users at random.

Any difference between Games 5 and 6 can be used to break ICDH, using the algorithm outlined above.

One sees that in this game, the adversary’s advantage in guessing the hidden bit  $b$  is 0.

□

### 4.3 An even simpler MEGa implementation

It turns out that in our application, we can get by with a slightly weaker notion of security of a MEGa, and because of that, we can simplify the MEGa implementation.

The simplification to the MEGa  $\mathcal{E}_{\text{dh}}$  is that we can completely drop the component  $\pi_{\text{enc}}$  from the ciphertext. This means we do not need to compute  $\mathbf{g}'$ ,  $\mathbf{v}'$ , or the PoK  $pok_{\text{enc}}$ , and we do not need the hash function  $H_{\mathcal{G}}$ . Let us call this simplified MEGa  $\mathcal{E}'_{\text{dh}}$ .

The reason we can do this is essentially because in our application, an honest party will only generate a decryption proof if it knows that at least one other honest party has successfully decrypted a ciphertext with the same associated data and common component. Specifically, if an honest party needs to run  $DP(ad, id, sk, \chi, c)$ , it is guaranteed that some other honest party has run  $D(ad, id', sk', \chi, c')$ , with matching  $ad$  and  $\chi$  components, and that other party did not find “junk” there.

With regard to our particular implementation and the proof outline in Theorem 2, what this means is that in processing a decryption proof query  $(ad, id, \mathbf{v}, c)$ , we know that (with overwhelming probability) the adversary must have *already* evaluated  $H_{\mathcal{M}}$  at the point  $(\text{derive-key}, ad, id', \mathbf{u}', \mathbf{v}, \mathbf{w}')$ . In the security proof, we suppose that the honest party with identity  $id$  has public key  $\mathbf{u} = \mathbf{u}^* \mathbf{g}^\sigma$  and the other honest party with identity  $id'$  has public key  $\mathbf{u}' = \mathbf{u}^* \mathbf{g}^{\sigma'}$ , and that

$$\mathbf{w}' = \text{dh}(\mathbf{u}', \mathbf{v}) = \text{dh}(\mathbf{u}^* \mathbf{g}^{\sigma'}, \mathbf{v}) = \text{dh}(\mathbf{u}^*, \mathbf{v}) \mathbf{v}^{\sigma'},$$

and using an appropriate DH-triple oracle, we can identify this query to  $H_{\mathcal{M}}$ . Using this information, we can compute

$$\mathbf{w} = \text{dh}(\mathbf{u}, \mathbf{v}) = \text{dh}(\mathbf{u}^* \mathbf{g}^\sigma, \mathbf{v}) = \text{dh}(\mathbf{u}^*, \mathbf{v}) \mathbf{v}^\sigma = \mathbf{w}' \mathbf{v}^{\sigma - \sigma'}.$$

Note also that to process decryption queries (as opposed to decryption proof queries), we can use an appropriate DH-triple oracle to help us backpatch the random oracle  $H_{\mathcal{M}}$  — we do not need to produce a group element, like we do in processing a decryption proof query.

NOTES:

1. While the inclusion of  $ad$  in  $H_{\mathcal{M}}$  is essential for the simpler MEGa  $\mathcal{E}'_{\text{dh}}$ , it is not needed in the original MEGa  $\mathcal{E}_{\text{dh}}$ ; we included it there only to minimize the differences between the two.

#### 4.4 Formalizing a weaker security definition

To formalize this weaker security notion in a more general sense, let us suppose we have a particular, efficiently computable **sensibility predicate**  $S(m, x)$ , which takes as input a message  $m \in \mathcal{M}$  and context  $x \in \mathcal{X}$ , for some finite set  $\mathcal{X}$ . We can also define  $S(\text{reject}, x)$  to be *false* for all  $x \in \mathcal{X}$ .

The assumption we will make on  $S$  is that for every  $x \in \mathcal{X}$ , if  $m \in \mathcal{M}$  is randomly chosen, then  $S(m, x)$  is *true* with only negligible probability. In our eventual application,  $\mathcal{M} := \mathbb{Z}_q \times \mathbb{Z}_q$ ,  $\mathcal{X} := \mathbb{G}$ , and

$$S((\mu, \mu'), w) := \begin{cases} \text{true} & \text{if } g^\mu h^{\mu'} = w, \\ \text{false} & \text{otherwise.} \end{cases} \quad (2)$$

Here,  $h \in \mathbb{G}$  is the random generator used in Pedersen's VSS (see Section 3.3).

The attack game defining ADD-CCA security is modified as follows.

- A *decryption query* is now of the form  $(ad, id, \chi, c, x)$ , where  $x \in \mathcal{X}$ . The ciphertext is decrypted just as before to obtain  $m \in \mathcal{M} \cup \{\text{reject}\}$ , but if  $S(m, x)$  is *false*, the challenger returns **reject** to the adversary instead of  $m$ .
- A *decryption proof query*  $(ad, id, \chi, c)$  is allowed only if there was a previous decryption query of the form  $(ad, id', \chi, c', x')$ , that is, with matching  $ad$  and  $\chi$  components, such that the result  $m'$  of this previous decryption query was not **reject**. The other preconditions for allowing such a query remain the same.

We say that a MEGa is **ADO-CCA secure with respect to a given sensibility predicate** if any efficient adversary's advantage in guessing the hidden bit  $b$  is negligible.

**Theorem 3.** *Consider the above simplified MEGa  $\mathcal{E}'_{\text{dh}}$ , and consider any sensibility predicate  $S$  with the property that for every  $x \in \mathcal{X}$ , if  $m \in \mathcal{M}$  is chosen at random, then  $S(m, x)$  is true with negligible probability. Under the  $I^2\text{CDH}$  assumption for  $\mathfrak{G}$ , and modeling  $H_{\mathcal{M}}$  and  $H_{\text{fs}}$  as a random oracle,  $\mathcal{E}'_{\text{dh}}$  is ADO-CCA secure with respect to  $S$ .*

*Proof.* Given an adversary with non-negligible advantage, we are given random  $\mathbf{u}^*, \mathbf{v}^* \in \mathfrak{G}$  and we want to compute  $\mathbf{w}^* = \text{dh}(\mathbf{u}^*, \mathbf{v}^*) \in \mathfrak{G}$  with nearly the same advantage. We are also given access to an oracle that determines whether a given triple is a DH-triple, but we will only invoke this on triples of the form  $(\cdot, \mathbf{v}^*, \cdot)$  or  $(\mathbf{u}^*, \cdot, \cdot)$ , which satisfies the constraints of the  $I^2\text{CDH}$  assumption.

- Just as in Theorem 2, whenever an honest user  $id$  is registered, we program its public key to be of the form  $\mathbf{u} := \mathbf{u}^* \cdot \mathbf{g}^\sigma$  for random  $\sigma \in \mathbb{Z}_q$ .

- Just as in Theorem 2, in processing an encryption query, we program the ephemeral public key as  $\mathbf{v} := \mathbf{v}^* \cdot \mathbf{g}^\tau$  for random  $\tau \in \mathbb{Z}_q$ .

Ciphertext components  $c_1, \dots, c_n$  are generated just as in Theorem 2.

- Now consider a decryption query. We are given associated data  $ad \in \mathcal{AD}$ , the identity  $id$  of an honest user,  $\chi = \mathbf{v} \in \mathfrak{G}$ , and  $c \in \mathcal{M}$ . We are also given a context  $x \in \mathcal{X}$  for the sensibility predicate.

Suppose that the public key associated with  $id$  is  $\mathbf{u} = \mathbf{u}^* \cdot \mathbf{g}^\sigma$ .

To carry out the decryption, we need to be able to recognize if and when the adversary makes the random oracle query

$$H_{\mathcal{M}}(\text{derive-key}, ad, id, \mathbf{u}, \mathbf{v}, \mathbf{w}),$$

where

$$\mathbf{w} = \text{dh}(\mathbf{u}, \mathbf{v}) = \text{dh}(\mathbf{u}^* \mathbf{g}^\sigma, \mathbf{v}) = \text{dh}(\mathbf{u}^*, \mathbf{v}) \mathbf{v}^\sigma.$$

We can do this by using our DH-triple oracle to test whether

$$(\mathbf{u}^*, \mathbf{v}, \mathbf{w}/\mathbf{v}^\sigma)$$

is a DH-triple.

On the one hand, if no such random oracle query has already been made, it is safe to reply to the decryption query with **reject**, since the resulting plaintext would be random and would be nonsensical with overwhelming probability.

On the other hand, if such a random oracle query has already been made, then we decrypt as usual, computing

$$m \leftarrow c - H_{\mathcal{M}}(\text{derive-key}, ad, id, \mathbf{u}, \mathbf{v}, \mathbf{w}).$$

- Now consider a decryption proof query. We are given associated data  $ad \in \mathcal{AD}$ , the identity  $id$  of an honest user,  $\chi = \mathbf{v} \in \mathfrak{G}$ , and  $c \in \mathcal{M}$ . We also assume that there was a previous corresponding decryption query  $(ad, id', \chi, c', x')$  which yielded something besides **reject**. Suppose the public key associated with  $id'$  is  $\mathbf{u}' = \mathbf{u}^* \mathbf{g}^{\sigma'}$ . This means that the adversary has already queried  $H_{\mathcal{M}}$  at the point

$$(\text{derive-key}, ad, id', \mathbf{u}', \mathbf{v}, \mathbf{w}'),$$

where

$$\mathbf{w}' = \text{dh}(\mathbf{u}', \mathbf{v}) = \text{dh}(\mathbf{u}^* \mathbf{g}^{\sigma'}, \mathbf{v}) = \text{dh}(\mathbf{u}^*, \mathbf{v}) \mathbf{v}^{\sigma'}.$$

In fact, in processing the corresponding decryption query, such a query was already identified. If  $\mathbf{u} = \mathbf{u}^* \mathbf{g}^\sigma$  is the public key associated with  $id$ , then we can compute the required group element

$$\mathbf{w} = \text{dh}(\mathbf{u}, \mathbf{v}) = \text{dh}(\mathbf{u}^* \mathbf{g}^\sigma, \mathbf{v}) = \text{dh}(\mathbf{u}^*, \mathbf{v}) \mathbf{v}^\sigma = \mathbf{w}' \mathbf{v}^{\sigma - \sigma'}.$$

□

## 5 Roadmap and setup assumptions

In this and the following sections, we show how to implement the basic subprotocols in Section 2.4. The goal is to realize an ideal functionality  $\mathcal{F}_{\text{mpc}}$  that processes sequences of operations `Random`, `Open`, `OpenPower`, `Mul`, as well as linear and affine operations. These operations were introduced in Section 2.4, and more of the details of  $\mathcal{F}_{\text{mpc}}$  were sketched in Section 2.6.2. Section 8 describes the details for the basic subprotocols

This section reviews the setup assumptions needed to realize  $\mathcal{F}_{\text{mpc}}$ . Section 6 described the precise syntactic structure of a sharing and reviews some basic notions of polynomial interpolations. Section 7 describes the precise syntax for dealings (and batches of dealings), and presents the details of the AVSS-like protocol sketched in Section 3.4, making use of the MEGa primitive described in Section 4. Section 8 describes our implementation of the basic subprotocol `Random`, `Open`, `OpenPower`, `Mul`. It also describes protocols for resharing, for settings where proactive security is desired. We do not explicitly describe protocols for linear and affine operations — as will be clear, these are trivial local computations. Section 9 provides a proof that our implementation securely realizes  $\mathcal{F}_{\text{mpc}}$ . Section 10 discusses adaptive and proactive security, and in particular, shows that our protocol is secure assuming adaptive corruptions under a stronger (but reasonable) assumption on the ECDSA signature scheme. Section A (in the appendix) presents more detailed specifications that are more specific to our implementation on the Internet Computer.

In addition to the assumptions made in Section 2.3, we make the following assumptions.

- We assume a MEGa  $\mathcal{E} = (G, E, D, DP, DV, DfP)$  that satisfies all of the security properties presented in Section 4. We assume the message space of  $\mathcal{E}$  is  $\mathbb{Z}_q \times \mathbb{Z}_q$ .
- We assume a secure multi-signature scheme. We will use this multi-signature scheme in two ways: both as an ordinary signature scheme, and as a  $(2f + 1)$ -threshold signature scheme (alternatively, we could use separate signature schemes for these purposes). For this, we can use BLS multi-signatures [BLS01, RY07]. Alternatively, instead of BLS multi-signatures, one can just use an ordinary signature scheme, in which case a  $(2f + 1)$ -threshold signature is just a collection of  $2f + 1$  ordinary signatures. While less compact than a BLS multi-signature, this implementation may be more computationally efficient.
- We assume a collision resistant hash function  $H$ .
- We assume a random generator  $h \in \mathbb{G}$  is provided as a **system parameter** or **common reference string**.

In practice,  $h$  could be computed as the output of a hash function.

- We assume **decentralized key provisioning**, meaning that
  - each honest party  $P_i$  generates a public-key/secret-key pair for both the MEGa and the multi-signature scheme, and is also assigned an ID  $id_i$  (chosen adversarially);



- the adversary is given all of the public keys from the honest parties, and chooses public keys and IDs for the corrupt parties, so that the IDs  $id_1, \dots, id_n$  are distinct;
- each honest party is given the public keys and IDs for all parties  $P_1, \dots, P_n$ .

Note that the specific ordering of the parties  $P_1, \dots, P_n$  is arbitrary, and may be determined based in the IDs of the parties after the IDs are chosen (for example, by lexicographic ordering of the IDs). This ordering is important in the ordering of the ciphertexts  $c_1, \dots, c_n$  in the MEGa, as well as in determining the evaluation points in Shamir secret sharing.

Although we describe here the system in terms of a single network comprising the parties  $P_1, \dots, P_n$ , we actually envision a system comprising many such networks. IDs should be globally unique across all networks, and in each network, less than a third of its members are corrupt.

- We assume a **random oracle** that is used to model a hash function as used in Fiat-Shamir-style proofs.
- Finally, we assume a subprotocol for **ACS consensus**. We discussed ACS very briefly in Section 1.1. We can describe the security properties of ACS very succinctly by means of an ideal functionality  $\mathcal{F}_{\text{acs}}$ :
  - Each party  $P_i$  (both honest and corrupt) inputs a message  $m_i$  to  $\mathcal{F}_{\text{acs}}$ , and  $\mathcal{F}_{\text{acs}}$  gives the message (**proposal**,  $i, m_i$ ) to the ideal-world adversary  $\mathcal{S}$ .
  - At some point after  $\mathcal{F}_{\text{acs}}$  has received inputs from a subset  $\mathcal{L}^*$  of at least  $k$  parties, where  $k \leq n - f$  is a size parameter,  $\mathcal{S}$  chooses a subset  $\mathcal{L} \subseteq \mathcal{L}^*$  of size  $k$  and sends this to  $\mathcal{F}_{\text{acs}}$ .
  - $\mathcal{F}_{\text{acs}}$  outputs  $\{m_\ell\}_{\ell \in \mathcal{L}}$  to each honest party, at a time determined by  $\mathcal{S}$ .

NOTES:

1.  $\mathcal{F}_{\text{acs}}$  does not provide privacy.
2. The size parameter  $k$  is specific to each protocol instance.
3. All input messages may be subject to an *external validity predicate*. The specifics of this predicate are application dependent, and may depend on the public keys and IDs setup by the decentralized key provisioning.
4. Besides realizing the ideal functionality  $\mathcal{F}_{\text{acs}}$ , and ACS protocol should provide **liveness**, which can be formulated as follows:
  - the communication complexity per protocol instance is polynomial bounded with overwhelming probability, and
  - if all messages associated with a protocol instance sent by honest parties to honest parties have been delivered, then all honest parties have terminated that protocol instance.

5. A protocol implementing  $\mathcal{F}_{\text{acs}}$  may have further setup assumptions of its own. The current state of the art in practical ACS is Dumbo2 [GLT<sup>+</sup>20]. With overwhelming probability, this protocol takes a constant number of rounds and has a communication complexity of  $O(n^2|m| + n^3\lambda)$ , where  $|m|$  a bound on the length of each input, and  $\lambda$  is the security parameter.
6. The most practical protocols for implementing  $\mathcal{F}_{\text{acs}}$  still rely on partial synchrony [DLS88] for liveness. Examples include [CL99, BKM18, YMR<sup>+</sup>18, CDH<sup>+</sup>21]. However, the gap between the performance of purely asynchronous and partial synchronous protocols is narrowing [GLL<sup>+</sup>22].
7. A special case of ACS, in which the size parameter  $k = 1$ , is called **Multi Valued Byzantine Agreement (MVBA)**. With overwhelming probability, the protocol in [LLTW20] takes a constant number of rounds and has a communication complexity of  $O(n|m| + n^2\lambda)$ .

## 6 Sharings and interpolation

### 6.1 Structure of a sharing

A sharing consists of **public data** (agreed upon by all parties) and **private data** (the “share” held by each party). The public data consists of

- an identifier  $\textit{sharingID}$ , and
- a polynomial commitment  $\mathbf{C} = (C_0, \dots, C_f) \in \mathbb{G}^{f+1}$ .

The private data (or share) for party  $P_j$  is  $(\mu_j, \mu'_j) \in \mathbb{Z}_q \times \mathbb{Z}_q$  satisfying

$$\mathbf{C}^{(j)} = g^{\mu_j} h^{\mu'_j}. \quad (3)$$

### 6.2 Interpolation

Let  $I \subseteq \mathbb{Z}_q$ , and let  $k := |I| > 0$ . Let  $j \in \mathbb{Z}_q$ . Then there are uniquely defined and efficiently computable **Lagrange coefficients**  $\lambda_i^{(I/j)} \in \mathbb{Z}_q$  for  $i \in I$ , which satisfy the property

$$\omega(j) = \sum_{i \in I} \lambda_i^{(I/j)} \omega(i)$$

for any polynomial  $\omega \in \mathbb{Z}_q[x]$  of degree less than  $k$ .

**Algorithm *interp***: this takes as input a nonempty set  $S$  of pairs of the form

$$(i, \nu_i) \in \mathbb{Z}_q \times \mathbb{Z}_q,$$

where the  $i$ -values are distinct. Let  $I$  be the set of all such  $i$ -values. The algorithm outputs the value  $\nu \in \mathbb{Z}_q$ , where

$$\nu \leftarrow \sum_{i \in I} \lambda_i^{(I/j)} \nu_i.$$

Thus, if  $\nu_i = f(i)$  for some polynomial  $f \in \mathbb{Z}_q[x]$  of degree less than  $|I|$ , then  $\nu = f(j)$ .

**Algorithm *interp2***: this takes as input a nonempty set  $S$  of triples of the form

$$(i, \nu_i, \nu'_i) \in \mathbb{Z}_q \times \mathbb{Z}_q \times \mathbb{Z}_q,$$

where the  $i$ -values are distinct. Let  $I$  be the set of all such  $i$ -values. The algorithm outputs the pair  $(\nu, \nu') \in \mathbb{Z}_q \times \mathbb{Z}_q$ , where

$$\nu \leftarrow \sum_{i \in I} \lambda_i^{(I/j)} \nu_i$$

and

$$\nu' \leftarrow \sum_{i \in I} \lambda_i^{(I/j)} \nu'_i.$$

Thus, if  $\nu_i = f(i)$  and  $\nu'_i = f'(i)$  for some polynomials  $f \in \mathbb{Z}_q[x]$  and  $f' \in \mathbb{Z}_q[x]$  of degree less than  $|I|$ , then  $\nu = f(j)$  and  $\nu' = f'(j)$ .

**Algorithm *interpExp***: this takes as input a nonempty set  $S$  of pairs of the form

$$(i, v_i) \in \mathbb{Z}_q \times \mathbb{G},$$

where the  $i$ -values are distinct. Let  $I$  be the set of all such  $i$ -values. The algorithm outputs the value  $v \in \mathbb{G}$ , where

$$v \leftarrow \prod_{i \in I} (v_i)^{\lambda_i^{(I/j)}}.$$

Thus, if  $v_i = g^{f(i)} \in \mathbb{G}$  for some polynomial  $f \in \mathbb{Z}_q[x]$  of degree less than  $|I|$ , then  $v = g^{f(j)} \in \mathbb{G}$ .

## 7 Dealings and batches of dealings

### 7.1 Dealings

A **dealing** is a tuple of the form

$$d = (\text{dealing}, \text{batchID}, \text{dealerID}, \mathbf{C}, (\chi; c_1, \dots, c_n)), \quad (4)$$

where

- *batchID* is an identifier that specifies the batch of dealings to which this belongs — more on this below (note that a *batchID* may be associated with a *sharingID*),
- *dealerID* is the identity of a specific party  $P_i$ ,
- $\mathbf{C} \in \mathbb{G}^{f+1}$ ,
- $(\chi; c_1, \dots, c_n)$  is a ciphertext of the form output by the MEGa.

## 7.2 Dealing generation algorithm

The input to the dealing generation algorithm is  $(\mu_0, \mu'_0) \in \mathbb{Z}_q \times \mathbb{Z}_q$ , along with a *batchID* and *dealerID*, and it runs as follows:

$$\begin{aligned}
&\alpha_0 \leftarrow \mu_0, \alpha'_0 \leftarrow \mu'_0 \\
&\alpha_1, \dots, \alpha_f \xleftarrow{\$} \mathbb{Z}_q \\
&\alpha'_1, \dots, \alpha'_f \xleftarrow{\$} \mathbb{Z}_q \\
&\omega \leftarrow \alpha_0 + \alpha_1 x + \dots + \alpha_f x^f \in \mathbb{Z}_q[x] \\
&\omega' \leftarrow \alpha'_0 + \alpha'_1 x + \dots + \alpha'_f x^f \in \mathbb{Z}_q[x] \\
&\mathbf{C} \leftarrow g^\omega \cdot h^{\omega'} \in \mathbb{G}^{f+1} \\
&(\chi; c_1, \dots, c_n) \xleftarrow{\$} E( (batchID, dealerID); (id_1, pk_1, (\omega(1), \omega'(1))), \dots, (id_n, pk_n, (\omega(n), \omega'(n)))) ) \\
&\text{output } (\mathbf{dealing}, batchID, dealerID, \mathbf{C}, (\chi; c_1, \dots, c_n))
\end{aligned}$$

If  $\mu_0$  and  $\mu'_0$  are chosen at random, then this is called a **random dealing**.

NOTES:

1.  $(batchID, dealerID)$  is used as associated data in the MEGa encryption algorithm.
2. By including *dealerID* in the associated data, we effectively prevent a corrupt dealer from copying a dealing from an honest dealer, or misusing it in any other way. This is essential, as in the security proof, for any dealing from a party  $P$ , if  $P$  is honest, we replace all encryptions to honest parties with garbage, while if  $P$  is corrupt, we decrypt all encryptions to honest parties. In the static corruption model, the ADO-CCA security property of the underlying MEGa justifies this step in the security proof.
3. Including *batchID* in the associated data is not strictly necessary, but it costs nothing and supports to a certain degree proactive security measures. Assuming *batchID* corresponds to a certain time frame, we effectively prevent corrupt dealer from copying a dealing from an honest dealer, or misusing it in any other way, even if that honest dealer is a version of itself from a previous time frame. See Section 10.2.
4. Stronger security properties could be obtained by applying the [MRY04] transformation to obtain a fully CCA secure MEGa. One could even use this technique to “wrap” the entire dealing, including the polynomial commitment. This does not really add any extra security, except possibly a somewhat tighter security reduction in the model of adaptive corruption with erasures (see Section 10.1).

## 7.3 Dealing authenticators

A **dealing authenticator** for such a dealing  $d$  is a tuple of the form

$$(\mathbf{dealing-auth}, batchID, dealerID, hash, contextualProof, \sigma),$$

where

- $hash = H(d)$ ,

- *contextualProof* is an optional NIZK whose meaning is determined by context (including *batchID*, *dealerID*, and possibly the public data from related sharings) — this is mainly used for the multiplication protocol, and
- $\sigma$  is a valid signature under party  $P_i$ 's signature verification key on the message

$$(\text{dealing-auth}, \text{batchID}, \text{dealerID}, \text{hash}, \text{contextualProof}).$$

Note that for a random dealing, the *contextualProof* is omitted.

#### 7.4 Local verification of a dealing

A party  $P_j$  may **locally verify** such a dealing  $d$  by checking that  $D((\text{batchID}, \text{dealerID}), sk, \chi, c_j) = (\mu_j, \mu'_j)$  such that

$$\mathbf{C}^{(j)} = g^{\mu_j} h^{\mu'_j}.$$

Note that  $(\text{batchID}, \text{dealerID})$  is used as associated data in the MEGa decryption algorithm.

#### 7.5 Dealing verification shares

Let  $P_j$  be a party and with identity *verifierID*. A **dealing verification share** from party  $P_j$  is a tuple of the form

$$(\text{dealing-verification-share}, \text{batchID}, \text{dealerID}, \text{hash}, \text{verifierID}, \sigma), \quad (5)$$

where  $\sigma$  is a valid signature under party  $P_j$ 's signature verification key on the message

$$(\text{dealing-verification}, \text{batchID}, \text{dealerID}, \text{hash}). \quad (6)$$

Such a dealing verification share is called a **dealing verification share for  $d$** , if  $\text{hash} = H(d)$  with  $d$  as in (4), with matching *batchID* and *dealerID* fields.

A **quorum of dealing verification shares** for a given *batchID*, *dealerID*, and *hash* is a collection of  $2f + 1$  verification shares as in (5) with distinct *verifierID* fields. Such a quorum is called a **quorum of dealing verification shares for  $d$** , if  $\text{hash} = H(d)$  with  $d$  as in (4), with matching *batchID* and *dealerID* fields.

#### 7.6 Dealing verification certificate

A **dealing verification certificate** is a tuple of the form

$$(\text{dealing-verification-cert}, \text{batchID}, \text{dealerID}, \text{hash}, \sigma), \quad (7)$$

where  $\sigma$  is a valid  $(2f + 1)$ -multi-signature (for a subset of  $2f + 1$  distinct parties among  $P_1, \dots, P_n$ ) on the message (6). Such a dealing verification certificate is called a **dealing verification certificate for  $d$** , if  $\text{hash} = H(d)$  with  $d$  as in (4), with matching *batchID* and *dealerID* fields.

## 7.7 Dealing certification protocol

The following protocol allows a party to obtain a dealing verification certificate on its own dealing. There will be one instance of the protocol per *batchID* and *dealerID*. If *dealerID* is the ID of party  $P_i$ , such an instance runs as follows:

1. Party  $P_i$  (the designated dealer) takes as input a dealing  $d$  and a dealing authenticator  $da$  for  $d$ . The dealing  $d$  should have been generated by  $P_i$  with the given *batchID* and with  $dealerID := id_i$ .

Party  $P_i$  broadcasts  $d$  and  $da$  to all parties.

2. Each party  $P_j$ : upon receiving a dealing  $d$ 
  - with the given *batchID* and *dealerID*,
  - along with a dealing authenticator  $da$  for  $d$ ,
  - such that  $d$  passes  $P_j$ 's local verification test,
  - and  $P_j$  has not already broadcast a verification share for a dealing with the given *batchID* and *dealerID*,

party  $P_j$  generates a dealing verification share  $dvs$  for  $d$ , and sends  $dvs$  to  $P_i$ .

Note that  $P_j$  generates at most one dealing verification share in any instance of the protocol.

3. Party  $P_i$  (the designated dealer) waits for a quorum of dealing verification shares for  $d$  and converts this quorum into a dealing verification certificate  $dvc$ .

NOTES:

1. If a dealing has a corresponding dealing verification certificate, it is guaranteed that  $f + 1$  honest parties have “good” shares, in the sense that their local verification test passed. This means that even if some honest parties have “bad” shares, that honest party can prove that it has a bad share (using the decryption prover algorithm of the MEGa) and request that all parties broadcast their shares, so that all honest parties can eventually obtain “good” shares. The exact mechanism for this will be discussed below.

## 7.8 Batch agreement protocol

We will use an instance of the ACS consensus protocol (see Section 1.1 as well as Section 5) to agree on a batch of dealings. For a given *batchID*, each party  $P_i$  will input to the ACS protocol a dealing  $d$  and a dealing verification certificate  $dvs$  for  $d$ , where  $d$  is of the form  $(\text{dealing}, \text{batchID}, \text{dealerID}, \dots)$  and  $dealerID = id_i$ . The ACS protocol will ensure that  $P_i$ 's input is of the correct form. At the end of the ACS protocol, each party will obtain a tuple of dealings  $(d_1, \dots, d_k)$ , where each dealing is contributed by a distinct party, and  $k \leq n - f$  is a parameter specific to this instance of the ACS protocol.

## 8 Implementing the basic subprotocols

### 8.1 Protocol Random

Protocol Random works as follows:

1. Each party is initialized with a *sharingID*.
2. Each party generates a random dealing with  $batchID := sharingID$ .
3. Each party obtains a dealing verification certificate on its dealing using the dealing certification protocol in Section 7.7, and then obtains a batch of  $k := f + 1$  dealings using the batch agreement protocol in Section 7.8.

Assume the dealings in the batch are

$$d_s = (\mathbf{dealing}, batchID, dealerID_s, \mathbf{C}_s, (\chi_s; c_{s1}, \dots, c_{sn})), \quad (8)$$

for  $s = 1, \dots, k$ .

4. Using the dealings (8), each party  $P_j$  does the following:
  - (a) compute  $m_{sj} \leftarrow D((batchID, dealerID_s), sk_j, \chi_s, c_{sj})$  for  $s = 1, \dots, k$ ; note that  $m_{sj} = \mathbf{reject}$  or is of the form  $m_{sj} = (\mu_{sj}, \mu'_{sj})$ .
  - (b) run subprotocol FixBadShares (see below);
  - (c) construct a sharing with the given *sharingID*, polynomial commitment  $\mathbf{C} \in \mathbb{G}^{f+1}$ , and private data  $(\mu_j, \mu'_j) \in \mathbb{Z}_q \times \mathbb{Z}_q$ , where

$$\mathbf{C} \leftarrow \prod_{s=1}^k \mathbf{C}_s, \quad \mu_j \leftarrow \sum_{s=1}^k \mu_{sj}, \quad \text{and} \quad \mu'_j \leftarrow \sum_{s=1}^k \mu'_{sj}.$$

### 8.2 The FixBadShares subprotocol

For a given *batchID* and a set of dealings as in (8), we define a **complaint by party  $P_j$  against the party named  $dealerID_s$**  as a tuple

$$(\mathbf{complaint}, batchID, dealerID_s, j, \pi_{sj}),$$

where

$$DV((batchID, dealerID_s), pk_j, \chi_s, c_{sj}, \pi_{sj}) = \mathbf{accept}$$

and either

$$DfP((batchID, dealerID_s), pk_j, \chi_s, c_{sj}, \pi_{sj}) = \mathbf{reject}$$

or

$$DfP((batchID, dealerID_s), pk_j, \chi_s, c_{sj}, \pi_{sj}) = (\mu_{sj}, \mu'_{sj}) \quad \text{such that} \quad g^{\mu_{sj}} h^{\mu'_{sj}} \neq \mathbf{C}_s^{(j)}.$$

Recall that  $DV$  is the decryption verification algorithm of the MEGa and  $DfP$  is the decrypt-from-proof algorithm of the MEGa.

We also define a **forced opening by party  $P_j$  against the party named  $dealerID_s$**  to be a tuple of the form

$$(\text{force-open}, \text{batchID}, \text{dealerID}_s, j, \mu_{sj}, \mu'_{sj}),$$

where

$$g^{\mu_{sj}} h^{\mu'_{sj}} = \mathbf{C}_s^{(j)}.$$

Subprotocol FixBadShares runs as follows. Each party  $P_j$  enters the subprotocol with a *batchID*, a set of dealings as in (8), and a collection of values  $m_{sj}$  for  $s = 1, \dots, k$ , where each  $m_{sj}$  is either **reject** or  $(\mu_{sj}, \mu'_{sj})$ .

Each party  $P_j$  begins by computing

$$\text{badShares}_j \leftarrow \{ s = 1, \dots, k : m_{sj} = \text{reject or } g^{\mu_{sj}} h^{\mu'_{sj}} \neq \mathbf{C}_s^{(j)} \}$$

Party  $P_j$  then computes, for each  $s \in \text{badShares}_j$ , a complaint against the party named  $dealerID_s$ , and broadcasts this complaint to all parties. Party  $P_j$  computes each such complaint as

$$(\text{complaint}, \text{batchID}, \text{dealerID}_s, j, \pi_{sj}),$$

where

$$\pi_{sj} \stackrel{\$}{\leftarrow} DP((\text{batchID}, \text{dealerID}_s), sk_j, \chi_s, c_{sj}),$$

using the decryption prover algorithm  $DP$  of the MEGa.

After that, the main thread of party  $P_j$  waits for the condition

$$\text{badShares}_j = \emptyset$$

while the following logic runs concurrently on an auxiliary separate thread:

```

processedj ← ∅
pointssj ← ∅ for each s ∈ badSharesj
repeat
  wait for either
    a valid complaint of the form (complaint, batchID, dealerIDt, i, πti)
      where t ∉ processedj:
        add t to processedj
        if t ∉ badSharesj then
          broadcast (force-open, batchID, dealerIDt, j, μtj, μ'tj) to all parties
    a forced opening of the form (force-open, batchID, dealerIDs, i, μsi, μ'si)
      where s ∈ badSharesj and pointssj does not contain a triple of the form (i, ·, ·):
        add (i, μsi, μ'si) to pointssj
        if |pointssj| = k then
          (μsj, μ'sj) ← interp2(pointssj, j) // see Section 6.2
          remove s from badSharesj
forever

```



NOTES:

1. The use of an auxiliary thread is more of a conceptual convenience — how the protocol is actually structured in terms of threads is entirely implementation dependent.
2. The auxiliary thread of subprotocol `FixBadShares` in principle runs “forever”. However, the set  $badShares_j$  will eventually become empty, allowing the super-protocol `Random` to terminate. When that happens, subprotocol `FixBadShares` must still be available just to respond to complaints from other parties. Therefore, as presented, each party  $P_j$  must maintain “in perpetuity” all of the dealings in (8). Indeed, the dealings themselves are required to validate the complaints.
3. Also as presented, party  $P_j$  must maintain “in perpetuity” all of its “good” shares  $(\mu_{t_j}, \mu'_{t_j})$ . However, this is not strictly necessary, as these can be obtained from the dealings via decryption (assuming it maintains its decryption key  $sk_j$ ).

### 8.3 Protocol Open

1. Each party is given a *sharingID* for a previously constructed sharing. So each party  $P_j$  has a polynomial commitment  $\mathbf{C} \in \mathbb{G}^{f+1}$  (common to all parties), and its share  $(\mu_j, \mu'_j) \in \mathbb{Z}_q \times \mathbb{Z}_q$ .
2. Each party  $P_j$  broadcasts the message

$$(\text{share-open}, j, \mu_j, \mu'_j).$$

3. Each party  $P_j$  waits for  $f + 1$  messages of the form

$$(\text{share-open}, i, \mu_i, \mu'_i),$$

where the  $i$ -values are distinct, and  $\mathbf{C}^{(i)} = g^{\mu_i} h^{\mu'_i}$  for each  $i$ .

Once it has such a set,  $P_j$  forms the set  $points_j$  consisting of the corresponding pairs  $(i, \mu_i)$ , and outputs

$$\mu \leftarrow \text{interp}(points_j) \in \mathbb{Z}_q.$$

### 8.4 Protocol OpenPower

1. Each party is given a *sharingID* for a previously constructed sharing. So each party  $P_j$  has a polynomial commitment  $\mathbf{C} \in \mathbb{G}^{f+1}$  (common to all parties), and its share  $(\mu_j, \mu'_j) \in \mathbb{Z}_q \times \mathbb{Z}_q$ . Each party is also given a group element  $v \in \mathbb{G}$  (common to all parties).
2. Each party  $P_j$  computes

$$w_j \leftarrow v^{\mu_j} \in \mathbb{G}$$

and

$$pok_j \stackrel{\S}{\leftarrow} \text{PoK}[x := \mu_j, x' := \mu'_j : \mathbf{C}^{(j)} = g^x h^{x'}, w_j = v^x]$$

and broadcasts the message

$$(\text{share-open-power}, j, w_j, \text{pok}_j).$$

to all parties.

3. Each party  $P_j$  waits for  $f + 1$  messages of the form

$$(\text{share-open-power}, i, w_i, \text{pok}_i),$$

where the  $i$ -values are distinct, and  $\text{pok}_i$  is a valid PoK for each  $i$ .

Once it has such a set,  $P_j$  forms the set  $\text{points}_j$  consisting of the corresponding pairs  $(i, w_i)$ , and outputs

$$w \leftarrow \text{interpExp}(\text{points}_j) \in \mathbb{G}.$$

## 8.5 Protocol Mul

1. Each party is given  $\text{sharingID}_1$  and  $\text{sharingID}_2$  for two previously constructed sharings. Each party  $P_j$  has corresponding polynomial commitments  $\mathbf{D}_1 \in \mathbb{G}^{f+1}$  and  $\mathbf{D}_2 \in \mathbb{G}^{f+1}$  (common to all parties), and corresponding shares  $(\nu_{1j}, \nu'_{1j}) \in \mathbb{Z}_q \times \mathbb{Z}_q$  and  $(\nu_{2j}, \nu'_{2j}) \in \mathbb{Z}_q \times \mathbb{Z}_q$ .

Each party is also given  $\text{sharingID}$  for a new sharing, which represents the product.

2. Each party  $P_j$  computes

$$\kappa_j \leftarrow \nu_{1j} \cdot \nu_{2j} \in \mathbb{Z}_q, \quad \kappa'_j \xleftarrow{\$} \mathbb{Z}_q,$$

and runs the dealing generation algorithm on input  $(\kappa_j, \kappa'_j)$  and with  $\text{batchID} := \text{sharingID}$ ,  $\text{dealerID} := id_j$ , to get a dealing of the form

$$(\text{dealing}, \text{sharingID}, id_j, \mathbf{C}_j, \cdot).$$

Party  $P_j$  also constructs a dealing authenticator that includes a contextual proof that shows that  $\mathbf{C}_j^{(0)}$  is a Pedersen commitment to the product of  $P_j$ 's two shares. This is a standard PoK, which can be computed as follows:

$$\text{PoK} \left[ \begin{array}{l} x_2 := \nu_{2j}, x'_2 := \nu'_{2j}, y := \kappa'_j - \nu'_{1j}\nu_{2j} : \\ g^{x_2} h^{x'_2} = \mathbf{D}_2^{(j)}, (\mathbf{D}_1^{(j)})^{x_2} h^y = \mathbf{C}_j^{(0)} \end{array} \right].$$

3. Each party obtains a dealing verification certificate on its dealing using the dealing certification protocol in Section 7.7, and then obtains a batch of  $k := 2f + 1$  dealings using the batch agreement protocol in Section 7.8. Note that in Step 2 of the dealing certification protocol, each party  $P_j$  will validate the above PoKs.

Assume the dealings in the batch are

$$d_s = (\text{dealing}, \text{batchID}, \text{dealerID}_s, \mathbf{C}_s, (\chi_s; c_{s1}, \dots, c_{sn})), \quad (9)$$

for  $s = 1, \dots, k$ .

4. Using the dealings in (9), each party  $P_j$  does the following:
  - (a) compute  $(\mu_{sj}, \mu'_{sj}) \leftarrow D((batchID, dealerID_s), sk_j, \chi_s, c_{sj})$  for  $s = 1, \dots, k$ ;
  - (b) run subprotocol FixBadShares;
  - (c) construct a sharing with the given *sharingID*, polynomial commitment  $\mathbf{C} \in \mathbb{G}^{f+1}$ , and private data  $(\mu_j, \mu'_j) \in \mathbb{Z}_q \times \mathbb{Z}_q$ , where

$$\mathbf{C} \leftarrow \prod_{s=1}^k \mathbf{C}_s^{\lambda_s}, \quad \mu_j \leftarrow \sum_{s=1}^k \lambda_s \mu_{sj}, \quad \text{and} \quad \mu'_j \leftarrow \sum_{s=1}^k \lambda_s \mu'_{sj},$$

where, for  $s = 1, \dots, k$ , if  $\text{idx}(s)$  is the index of the party named  $dealerID_s$ ,  $\lambda_s$  is the Lagrange coefficient

$$\lambda_s := \lambda_{\text{idx}(s)}^{(I/0)},$$

where  $I := \{\text{idx}(s) : s = 1, \dots, k\}$ .

## 8.6 Resharing

We present a protocol Reshare that generates a fresh sharing of an old sharing.

1. Each party is given  $sharingID_1$  for a previously constructed sharing. Each party  $P_j$  has a corresponding polynomial commitment  $\mathbf{D}_1 \in \mathbb{G}^{f+1}$  (common to all parties), and a corresponding share  $(\nu_{1j}, \nu'_{1j}) \in \mathbb{Z}_q \times \mathbb{Z}_q$ .

Each party is also given *sharingID* for a new sharing.

2. Each party  $P_j$  computes and runs the dealing generation algorithm on input  $(\nu_{1j}, \nu'_{1j})$  and with  $batchID := sharingID$ ,  $dealerID := id_j$ , to get a dealing of the form

$$(\mathbf{dealing}, sharingID, id_j, \mathbf{C}_j, \cdot).$$

3. Each party obtains a dealing verification certificate on its dealing using the dealing certification protocol in Section 7.7, and then obtains a batch of  $k := f + 1$  dealings using the batch agreement protocol in Section 7.8. Note that Step 2 of the dealing certification protocol, each party  $P_j$  will validate such a dealing from party  $P_i$  by checking that  $\mathbf{C}_i^{(0)} = \mathbf{D}_1^{(i)}$  — this ensures that this dealing corresponds to a resharing of  $P_i$ 's share.

Assume the dealings in the batch are

$$d_s = (\mathbf{dealing}, batchID, dealerID_s, \mathbf{C}_s, (\chi_s; c_{s1}, \dots, c_{sn})), \quad (10)$$

for  $s = 1, \dots, k$ .

4. Using the dealings in (10), each party  $P_j$  does the following:
  - (a) compute  $(\mu_{sj}, \mu'_{sj}) \leftarrow D((batchID, dealerID_s), sk_j, \chi_s, c_{sj})$  for  $s = 1, \dots, k$ ;
  - (b) run subprotocol FixBadShares;

- (c) construct a sharing with the given *sharingID*, polynomial commitment  $\mathbf{C} \in \mathbb{G}^{f+1}$ , and private data  $(\mu_j, \mu'_j) \in \mathbb{Z}_q \times \mathbb{Z}_q$ , where

$$\mathbf{C} \leftarrow \prod_{s=1}^k \mathbf{C}_s^{\lambda_s}, \quad \mu_j \leftarrow \sum_{s=1}^k \lambda_s \mu_{sj}, \quad \text{and} \quad \mu'_j \leftarrow \sum_{s=1}^k \lambda_s \mu'_{sj},$$

where, for  $s = 1, \dots, k$ , if  $\text{id}\mathbf{x}(s)$  is the index of the party named *dealerID*<sub>s</sub>,  $\lambda_s$  is the Lagrange coefficient

$$\lambda_s := \lambda_{\text{id}\mathbf{x}(s)}^{(I/0)},$$

where  $I := \{\text{id}\mathbf{x}(s) : s = 1, \dots, k\}$ .

NOTES:

1. The above resharing protocol can easily be modified to support network membership changes. Suppose that from time to time, the network decides change membership. In this case, the set of dealers would be different from the set of receivers, but other than that, the protocol would not change substantially. This can also be helpful in realizing proactive security — see Section 10.2.

## 8.7 Cross-network resharing

In our implementation on the Internet Computer, we shall need a protocol XNetReshare for re-sharing across networks. Suppose we have two networks. The first network has parties  $P'_1, \dots, P'_n$  and corruption bound  $f' < n'/3$ , identities  $id'_1, \dots, id'_{n'}$ , and public MEGA keys  $pk'_1, \dots, pk'_{n'}$ . The second network has parties  $P_1, \dots, P_n$  and corruption bound  $f < n/3$ .

There are two protocols. The first protocol is run on the first network.

1. Each party  $P'_j$  is given *sharingID*<sub>1</sub> for a previously constructed sharing. So each party  $P'_j$  has a corresponding polynomial commitment  $\mathbf{D}_1 \in \mathbb{G}^{f+1}$  (common to all parties) and a corresponding share  $(\nu_{1j}, \nu'_{1j}) \in \mathbb{Z}_q \times \mathbb{Z}_q$ .

Each party is also given *sharingID* for a new sharing.

2. Each party  $P'_j$  runs the dealing generation algorithm on input  $(\nu_{1j}, \nu'_{1j})$  and with *batchID* := *sharingID*, *dealerID* :=  $id'_j$ , to get a dealing of the form

$$(\text{dealing}, \text{sharingID}, id_j, \mathbf{C}_j, \cdot).$$

However, these dealings will be constructed with respect to the public keys  $pk_1, \dots, pk_n$  from the *second* network. Party  $P'_j$  also construct a dealing authenticator.

3. Using an instance of the ACS consensus protocol, the parties agree on a batch of  $k' := 2f' + 1$  dealings. These dealings have not been locally verified as in Section 7.4 nor certified as in Section 7.7. However, it is verified that a dealing from party  $P'_i$  satisfies  $\mathbf{C}_i^{(0)} = \mathbf{D}_1^{(i)}$  — this ensures that this dealing corresponds to a resharing of the original share held by  $P'_i$ .

4. From this batch, each party  $P'_j$  can locally compute a special *external batch*, which has the form

$$(\mathbf{external\text{-}batch}, batchID, (i_1, d'_1), \dots, (i_{k'}, d'_{k'})), \quad (11)$$

where each  $d'_s$  is a dealing, and  $i_s$  is the index of the party  $P'_{i_s}$  that generated the dealing.

In addition, this external batch is signed using a threshold signature or multi-signature scheme that can be used to authenticate to the second network that this external batch originated from the first network.

The external batch (11) together with an appropriate threshold signature, is then transmitted to the second network and disseminated to all of the parties  $P_1, \dots, P_n$  of the second network. The second network then runs the following interactive protocol to convert this external batch into an ordinary batch.

1. A variation of the dealing certification protocol is run, in which each party locally verifies each dealing in the external batch and broadcasts a dealing verification share if it passes the local verification test.

Each party  $P_j$  will then collect these shares from other parties and construct  $k := f' + 1$  dealing verification certificates, and constructs a list  $L_j$  of  $k$  pairs, where the first entry in the pair identifies one of the dealings in the external batch, and the second entry is a corresponding dealing verification certificate.

2. Using an instance of an MVBA protocol (i.e., an ACS protocol with size parameter 1, see Section 5), the parties agree on one of the lists  $L_j$  constructed above.

This gives us a batch consisting of dealings

$$d_s = (\mathbf{dealing}, batchID, dealerID_s, \mathbf{C}_s, (\chi_s; c_{s1}, \dots, c_{sn})), \quad (12)$$

for  $s = 1, \dots, k$ .

3. Using the dealings in (12), each party  $P_j$  does the following:

- (a) compute  $(\mu_{sj}, \mu'_{sj}) \leftarrow D((batchID, dealerID_s), sk_j, \chi_s, c_{sj})$  for  $s = 1, \dots, k$ ;
- (b) run subprotocol `FixBadShares`;
- (c) construct a sharing with the given *sharingID*, polynomial commitment  $\mathbf{C} \in \mathbb{G}^{f+1}$ , and private data  $(\mu_j, \mu'_j) \in \mathbb{Z}_q$ , where

$$\mathbf{C} \leftarrow \prod_{s=1}^k \mathbf{C}_s^{\lambda_s}, \quad \mu_j \leftarrow \sum_{s=1}^k \lambda_s \mu_{sj}, \quad \text{and} \quad \mu'_j \leftarrow \sum_{s=1}^k \lambda_s \mu'_{sj},$$

where, for  $s = 1, \dots, k$ , if  $\text{idx}(s)$  is the index of the party named  $dealerID_s$  in the first network (this index can be obtained from the data in the external batch),  $\lambda_s$  is the Lagrange coefficient

$$\lambda_s := \lambda_{\text{idx}(s)}^{(I/0)},$$

where  $I := \{\text{idx}(s) : s = 1, \dots, k\}$ .

NOTES:

1. Setting  $k' = 2f' + 1$  ensures that at least  $f' + 1$  dealings are from honest parties. This ensures that when the second network runs its protocol, it is guaranteed to obtain a batch of  $k = f' + 1$  verified dealings.
2. We include the indices of the dealers in the external batch as the parties on the second network may not have enough information to compute these.

## 8.8 Complexity estimates

We briefly summarize the communication and computational complexity of our protocols, assuming the MEGa is implemented as in Section 4.1. Note that to process a single signature request, our ECDSA signing protocol executes a constant number of `Random`, `Mul`, `Open`, and `OpenPower` protocols.

### 8.8.1 Communication complexity

The protocols `Random` and `Mul` each have a communication complexity of  $O(n^3\lambda)$ , while the protocols `Open` and `OpenPower` have a communication complexity of  $O(n^2\lambda)$ . Here,  $\lambda$  is a security parameter that bounds the sizes of signatures, hashes, group elements, and the like. By *communication complexity*, we mean the total number of *bits* sent by all honest parties, i.e., the sum over all honest parties of the number of bits sent by each such party.

A related metric is *message complexity*, which is the total number of *messages* sent by all honest parties. All of these protocols have a message complexity of  $O(n^2)$ , except when a number of parties provably misbehave, in which case the message complexity may be as large as  $O(n^3)$  — the `FixBadShares` subprotocol may generate this many messages if a batch contains  $O(n)$  bad dealings. Note, however, that even in this case, the communication complexity is still bounded by  $O(n^3\lambda)$ .

These values do not include the cost of the ACS subprotocol. Each of the protocols `Random` and `Mul` make one call to ACS, where each party submits a message of size  $O(n\lambda)$ . As mentioned in Section 1.2, ACS can be implemented so that its communication costs are dominated by the communication costs of our protocols.

### 8.8.2 Computational complexity

We consider first the “happy path”, where no parties provably misbehave and the `FixBadShares` subprotocol is not needed. The running time of a single party is dominated by the following computations:

**Combining polynomial commitments.** For `Mul`, this cost is  $O(n^2)$  exponentiations in  $\mathbb{G}$ . Actually, it is  $O(n)$  multi-exponentiations each of length  $O(n)$ , and each such multi-exponentiation can be implemented a bit faster than naively performing  $O(n)$  exponentiations. Also note that these computations are trivially parallelizable.

For `Random`, this cost is just  $O(n^2)$  *multiplications* in  $\mathbb{G}$ .

**Evaluating polynomial commitments.** In all of the protocols, each party has to perform  $O(n)$  evaluations of polynomial commitments, that is, computations of the form  $\mathbf{C}^{(j)}$ , where  $\mathbf{C} \in \mathbb{G}^{f+1}$  is a polynomial commitment and  $j \in \{1, \dots, n\}$  is the index of a party. We can perform such a polynomial commitment evaluation using a simple “Horner’s rule” evaluation strategy that performs  $f$  “short exponentiations”, each to the power  $j$ , which are much less expensive than full exponentiations. Thus, performing all of these operations takes  $O(n^2)$  short exponentiations.

**Validating polynomial commitment openings.** In each of the protocols Random, Mul, and Open, each party must validate  $O(n)$  polynomial commitment openings. Each such validation involves a computation of the form  $g^\mu h^{\mu'}$ , not including the cost of performing the corresponding polynomial commitment evaluations (which we have already accounted for above). Thus, performing all of these operations takes  $O(n)$  exponentiations in  $\mathbb{G}$ .

**Building polynomial commitments.** In each of the protocols Random and Mul, each party has to build one polynomial commitment, which takes  $O(n)$  exponentiations in  $\mathbb{G}$ .

**Validating proofs.** In the Mul and OpenPower protocols, each party must validate  $O(n)$  zero knowledge proofs. Validating one such proof takes a constant number of exponentiations in  $\mathbb{G}$ , not including the cost of performing the corresponding polynomial commitment evaluations (which we have already accounted for above). Thus, performing all of these operations takes  $O(n)$  exponentiations.

**Dealing verification shares and certificates.** For each of the protocols Random and Mul, each party has to validate  $O(n)$  verification shares on its own dealing, and each party has to validate  $O(n)$  verification certificates from other parties.

If BLS multi-signatures are used, this translates into  $O(n)$  pairings. If ordinary signatures are used, such as Schnorr signatures, this translates into  $O(n^2)$  exponentiations — actually,  $O(n)$  multi-exponentiations each of length  $O(n)$ . For moderately sized  $n$  (perhaps up to at least  $n = 100$ ), experimental data suggests that Schnorr signatures will be faster than BLS signatures.

**MEGa encryption and decryption.** In each of the protocols Random and Mul, each party must generate one MEGa ciphertext and decrypt  $O(n)$  such ciphertexts. The total cost for this is dominated by  $O(n)$  exponentiations in the group  $\mathfrak{G}$ .

**Putting it all together.** The implication for our ECDSA signing protocol is that the computational complexity (i.e., running time per party) is dominated by  $O(n^2)$  exponentiations per signature.

**The unhappy path.** The above analysis did not take into account the computations on the “unhappy path”, specifically, the cost of the FixBadShares subprotocol. In the worst case, each party has to perform  $O(n^2)$  polynomial commitment evaluations, what translates to  $O(n^3)$  short exponentiations, and  $O(n^2)$  validations of polynomial commitment openings,

which translates to  $O(n^2)$  full exponentiations. Note that for moderately sized  $n$ , one would expect that the cost of performing  $O(n^2)$  full exponentiations still dominates the total running time. In addition, whenever we have to perform any of these computations, a dishonest party can be identified and effectively removed from the protocol, and so, in terms of their effect on the throughput of the system over a long period of time, the cost of these additional computations can effectively be ignored.

### 8.8.3 An optimization: vector processing of dealings

In our ECDSA signing protocol, much of the computation is a SIMD (Single Instruction/Multiple Data) computation — for each signature, the same computations are carried out in the precomputation phase (a couple of `Random` computations and a couple of `Mul` computations), and only the final share openings differ in a message-dependent way.

Because of this, we can organize the computation so that each party generates a vector of some number, say  $k$ , dealings at a time, and verification shares and certificates are applied collectively to entire vectors of dealings. Certified vectors of dealings are gathered together to form vectors of batches. This by itself reduces communication and computational complexity associated with verification shares and certificates by a factor of  $k$  per signature request.

We can also reduce the communication and computational complexity associated with combining and evaluating polynomial commitments by a factor of  $k$  per signature request by committing to  $k$  polynomials at a time, using a generalization of the Pedersen commitment scheme (see [Gro09]). Suppose we have random generators  $g_1, \dots, g_k, h \in \mathbb{G}$ . Then to commit to polynomials  $\omega_1, \dots, \omega_k \in \mathbb{Z}_q[x]$  of degree at most  $f$ , we choose a random polynomial  $\omega' \in \mathbb{Z}_q[x]$  of degree at most  $f$ , and compute the  $k$ -wise polynomial commitment

$$\mathbf{C} := g_1^{\omega_1} \dots g_k^{\omega_k} \cdot h^{\omega'} \in \mathbb{G}^{f+1}.$$

Party  $P_j$ 's  $k$ -wise share is

$$(\mu_{j1}, \dots, \mu_{jk}, \mu'_j) = (\omega_1(j), \dots, \omega_k(j), \omega'(j)),$$

which can be checked by testing if

$$\mathbf{C}^{(j)} = g_1^{\mu_{j1}} \dots g_k^{\mu_{jk}} h^{\mu'_j}.$$

Various modifications must be made to our protocols to accommodate these changes. In particular, the `Open` protocol must be modified to use zero knowledge proofs rather than just a direct opening.

Similarly, the public-key operations performed for the MEGa encryption and decryption algorithms can be effectively reduced by a factor of  $k$  per signature request.

Let us examine the impact of this optimization on the computational complexity of our protocol. As above, we start by considering only the happy path. We shall compute the cost per *vector of batches*.

**Combining polynomial commitments:**  $O(n^2)$  exponentiations in  $\mathbb{G}$ .

**Evaluating polynomial commitments:**  $O(n^2)$  short exponentiations in  $\mathbb{G}$ .



**Validating polynomial commitment openings:**  $O(nk)$  exponentiations in  $\mathbb{G}$ . This includes both the cost of validating openings in the Random and Mul protocols, as well as the cost of validating opening proofs in the modified Open protocol.

**Building polynomial commitments:**  $O(nk)$  exponentiations in  $\mathbb{G}$ .

**Validating proofs:**  $O(nk)$  exponentiations in  $\mathbb{G}$ .

**Dealing verification shares and certificates:**  $O(n)$  pairings for BLS;  $O(n^2)$  exponentiations for Schnorr.

**MEGa encryption and decryption.**  $O(n)$  exponentiations in  $\mathfrak{G}$  (as well as  $O(nk)$  hash computations).

**Putting it all together.** The implication for our ECDSA signing protocol is that the computational complexity is essentially  $O(n + n^2/k)$  exponentiations per signature. So setting  $k := \Theta(n)$  yields a computational complexity of  $O(n)$  exponentiations per signature.

**The unhappy path.** For each vector of batches, each party has to additionally perform  $O(n^3k)$  short exponentiations and  $O(n^2k)$  full exponentiations. So per signature, this translates to  $O(n^3)$  short exponentiations and  $O(n^2)$  full exponentiations. So on the unhappy path, this optimization does not really help. However, as observed above, in terms of their effect on the throughput of the system over a long period of time, the cost of these additional computations on the unhappy path can effectively be ignored.

## 9 Proofs of security

The ideal functionality to be realized is  $\mathcal{F}_{\text{mpc}}$ , which processes sequences of operations Random, Open, OpenPower, Mul, as well as linear and affine operations (see Section 2.6.2 for more details on  $\mathcal{F}_{\text{mpc}}$ ). We also include the operation Reshare here. We do not include XNetReshare, as doing so would require more involved modeling.

The real world is actually a hybrid model, with an ideal functionality representing the *system parameter* comprising the random group element  $h \in \mathbb{G}$ , an ideal functionality  $\mathcal{F}_{\text{dkp}}$  that implements *decentralized key provisioning*, as well a random oracle that is used to model a hash function as used in Fiat-Shamir-style proofs, and an ideal functionality  $\mathcal{F}_{\text{acs}}$  for ACS. All of these setup assumptions were discussed in Section 5. In addition, as discussed Section 5, we are assuming a MEGa  $\mathcal{E}$  that satisfies all of the security properties presented in Section 4, a secure multi-signature scheme, and a collision resistant hash function  $H$ . We may also just assume a MEGa  $\mathcal{E}$  that satisfies the weaker ADO-CCA security property presented in Section 4.3, with the sensibility predicate as defined in (2). As stated in Section 2.3, we are also assuming that the discrete logarithm problem in  $\mathbb{G}$  is hard. Let  $\Pi_{\text{mpc}}$  be the real world protocol described in Section 8.

**Theorem 4.** *Under the assumptions stated above, Protocol  $\Pi_{\text{mpc}}$  securely realizes  $\mathcal{F}_{\text{mpc}}$ .*

The rest of this section sketches the proof of this theorem.

Let  $\mathcal{C}$  denote the set of corrupt parties and  $\mathcal{H}$  the set of honest parties. We assume static corruptions (in Section 10.1 we sketch how the protocol is also secure under adaptive corruptions). As usual, we assume  $|\mathcal{C}| \leq f < n/3$ . For simplicity, we assume that  $|\mathcal{C}| = f$ . It is easy to adapt the proof to the more general case where  $|\mathcal{C}| = f' \leq f$  by choosing an arbitrary set of  $f - f'$  honest parties and essentially treating them “as if” they were corrupt. We stress, however, that this technique only works because we are working in the static corruption model.

We develop the simulator  $\mathcal{S}$  by looking at a sequence of games.

**Game 0.** The real world.

**Game 1.** Define a simulator that aborts the attack if any authenticity constraints are violated. Assuming signatures are secure, this happens with negligible probability.

**Game 2.** Have the simulator arrange that honest parties do not decrypt dealings generated by honest parties, but rather, the simulator just copies plaintexts. Here we are relying on the correctness property of the MEGa.

**Game 3.** We define a simulator that keeps track of the shares belonging to corrupt parties as well as other data. Consider a batch of verified dealings that determine a sharing, consisting of dealings contributed by parties  $\mathcal{H}' \subseteq \mathcal{H}$  and  $\mathcal{C}' \subseteq \mathcal{C}$ .

- For each dealing coming from an honest party  $P_i$  with  $i \in \mathcal{H}'$ , there are *corresponding polynomials*  $\omega_i, \omega'_i \in \mathbb{Z}_q[x]$ .
- For each dealing coming from a corrupt party  $P_\ell$  with  $\ell \in \mathcal{C}'$ , there must be  $f + 1$  honest parties who decrypted the dealing to get a good share, and the simulator can perform the same decryptions and then interpolate to get the *corresponding polynomials*  $\omega_\ell, \omega'_\ell \in \mathbb{Z}_q[x]$ .

We also define *corresponding polynomials* for the sharing as a whole:

$$\omega = \sum_{\ell \in \mathcal{C}'} \lambda_\ell \omega_\ell + \sum_{i \in \mathcal{H}'} \lambda_i \omega_i, \quad \omega' = \sum_{\ell \in \mathcal{C}'} \lambda_\ell \omega'_\ell + \sum_{i \in \mathcal{H}'} \lambda_i \omega'_i,$$

where the  $\lambda_\ell$  and  $\lambda_i$  values are the appropriate coefficients used to combine dealings (either all 1 or Lagrange coefficients). The value  $\omega(0)$  is the value of the sharing and  $(\omega(i), \omega'(i))$  is the share of party  $P_i$ . In particular, the simulator can track the shares  $(\omega(j), \omega'(j))$  for corrupt  $P_j$ , and can do so based solely on the polynomials  $\omega_\ell, \omega'_\ell$  for  $\ell \in \mathcal{C}'$  and the values  $\omega_i(j)$  and  $\omega'_i(j)$  for  $i \in \mathcal{H}'$  and  $j \in \mathcal{C}$ .

The simulator also aborts whenever a corrupt party does something “inconsistent”:

- generating shares inconsistent with the polynomial  $\omega_\ell, \omega'_\ell$  computed above, but which still pass the local verification,
- opening the wrong value in Open or OpenPower,
- sharing the wrong value in Mul, or

- making a valid complaint against an honest party.

Based on the soundness property of the NIZKs (used in the `OpenPower` and `Mul` protocols), the binding property of Pedersen commitments (i.e., the hardness of the DL problem in  $\mathbb{G}$ ), and the soundness property of the MEGa, the simulator will abort with only negligible probability.

Also note that to perform these consistency checks, for each batch of verified dealings, the simulator only needs the polynomials  $\omega_\ell, \omega'_\ell$  for  $\ell \in \mathcal{C}'$  and the values  $\omega_i(j)$  and  $\omega'_i(j)$  for  $i \in \mathcal{H}'$  and  $j \in \mathcal{C}$

**Game 4.** Now we invoke the CCA security of the MEGa, and replace all encryptions from honest parties to honest parties by encryptions of a dummy value. To see why this step is justified, consider the following table:

	$\mathcal{C}$	$\mathcal{H}$
$\mathcal{C}$		decrypt
$\mathcal{H}$	real encrypt	<b>dummy encrypt</b> / no decrypt

The rows represent dealers (i.e., encryptors) and the columns represent receivers (i.e., decryptors). As we see, the honest receivers are decrypting dealings from corrupt dealers, but are not decrypting dealings from the honest dealers, just as in Game 4. Also, we see that the honest dealers are encrypting real values to the corrupt receivers, just as in Game 4. The only change from Game 4 is that now the honest dealers are encrypting dummy values instead of real values to the honest receivers. The ADO-CCA property justifies this change because the associated data bound to dealings generated by honest dealers is disjoint from the associated data bound to dealings generated by corrupt dealers, and only the latter dealings are decrypted.

Note that in the above argument, the decryptions by honest parties of dealings sent by corrupt parties includes the generation of decryption proofs as well. In the case where we are using a MEGa that satisfies the weaker ADO-CCA security property presented in Section 4.3, then we simply have to observe that a decryption proof will only be generated for a dealing that has already been verified by at least  $f + 1$  parties. That is, before an honest party complains against a dealing, we can be sure that some other honest party found a correct share for the very same dealing.

**Game 5.** Use the ZK property of the NIZKs (used in the `OpenPower` and `Mul` protocols), replace real proofs by simulated proofs.

Note that because we do not need to invoke the soundness property again, we do not require simulation soundness.

**Game 6.** In this game, the simulator makes use of the value  $\zeta := \log_g h$  and computes things in a different but entirely equivalent way. (Note that because we do not need to invoke the DL assumption again, the simulator is free to use  $\zeta$  at this point.)

For a dealing or a sharing, if  $\omega, \omega'$  are the corresponding polynomials, we define the *combined polynomial*  $\bar{\omega} := \omega + \zeta\omega'$ .

Consider a dealing generated by an honest party  $P_i$ , with corresponding polynomials  $\omega_i, \omega'_i$  and combined polynomial  $\bar{\omega}_i$ . The only information about the polynomials  $\omega_i$  and  $\omega'_i$  that the simulator will explicitly use is the value  $\bar{\omega}_i(0)$ , along with the values  $\omega_i(j)$  and  $\omega'_i(j)$  for  $j \in \mathcal{C}$ . From this information, the simulator can also compute  $\bar{\omega}$  via polynomial interpolation, as well as the polynomial commitment  $g^{\bar{\omega}} \in \mathbb{G}^{f+1}$  of the dealing.

For any dealing contributed to a batch of verified dealings by a corrupt party  $P_\ell$ , we still assume the simulator knows the corresponding polynomials  $\omega_\ell$  and  $\omega'_\ell$ , and hence the combined polynomial  $\bar{\omega}_\ell$ .

It follows that whenever a batch of dealings is agreed upon to form a sharing, if  $\omega, \omega'$  are the corresponding polynomials, and  $\bar{\omega}$  is the combined polynomial, the simulator knows  $\bar{\omega}(0)$ , as well as  $\omega(j)$  and  $\omega'(j)$  for each  $j \in \mathcal{C}$ . This implies the simulator can compute  $\bar{\omega}$  via polynomial interpolation.

We make some further observations about a dealing generated by an honest party  $P_i$ .

- The values  $\omega_i(j)$  and  $\omega'_i(j)$  for  $j \in \mathcal{C}$  are uniformly and independently distributed over  $\mathbb{Z}_q$ .
- In the Random or Mul protocols,  $\bar{\omega}_i(0)$  is uniformly distributed over  $\mathbb{Z}_q$ , independent of  $\omega_i(0)$ .
- In the Reshare protocol,  $\bar{\omega}_i(0) = \bar{\omega}(i)$ , where  $\bar{\omega}$  is the combined polynomial of the old sharing that is being reshared.

Next consider the Open protocol on a sharing. As above, we assume that  $\omega, \omega' \in \mathbb{Z}_q[x]$  are the corresponding polynomials,  $\bar{\omega} := \omega + \zeta\omega'$  is the combined polynomial, and that the simulator knows  $\bar{\omega}$  and  $\omega(j)$  and  $\omega'(j)$  for each  $j \in \mathcal{C}$ . In addition, if we give the simulator the value  $\omega(0)$ , it can solve the equation  $\bar{\omega}(0) = \omega(0) + \zeta\omega'(0)$  for  $\omega'(0)$ . So now the simulator can compute  $\omega$  and  $\omega'$  by interpolation, and compute the shares  $(\omega(i), \omega'(i))$  for all  $i \in \mathcal{H}$ , as needed by the protocol.

Next consider the OpenPower protocol. As above, we assume that  $\omega, \omega' \in \mathbb{Z}_q[x]$  are the corresponding polynomials,  $\bar{\omega} := \omega + \zeta\omega'$  is the combined polynomial, and that the simulator knows  $\bar{\omega}$  and  $\omega(j)$  and  $\omega'(j)$  for each  $j \in \mathcal{C}$ . In addition, if we give the simulator the value  $v^{\omega(0)}$ , and since it knows  $\omega(j)$  for each  $j \in \mathcal{C}$ , it can compute via interpolation in the exponent  $v^{\omega(i)}$  for all  $i \in \mathcal{H}$ , as needed by the protocol.

We observe that for the Random protocol, if  $\omega, \omega' \in \mathbb{Z}_q[x]$  are the corresponding polynomials, then  $\omega(0)$  is uniformly distributed over  $\mathbb{Z}_q$ . This follows from the fact that at least one honest party contributed to the batch.

Finally, we observe that the simulator has enough information to implement all of the consistency checks introduced in Game 3.

**The simulator  $\mathcal{S}$ .** The simulator in Game 6 is essentially the simulator  $\mathcal{S}$  used in the ideal world, but with the following changes.

First, when simulating a dealing generated by  $P_i$  with  $i \in \mathcal{H}$ :

- The values representing  $\omega_i(j)$  and  $\omega'_i(j)$  for  $j \in \mathcal{C}$  are generated at random.
- In the Random and Mul protocols, the value representing  $\bar{\omega}_i(0)$  is generated at random.

- In the Reshare protocol, the value  $\bar{\omega}_i(0)$  is computed as  $\bar{\omega}_i(0) = \bar{\omega}(i)$ .

The computations performed by the simulator for processing `Open` and `OpenPower` protocols are the same as in Game 6.

### 9.1 Additional details for the analysis of Game 3

**The OpenPower protocol.** Let us first consider the NIZK used in the `OpenPower` protocol (notation as in Section 8.4). Suppose a corrupt party  $P_j$  reveals  $w_j$  and a valid proof  $\text{pok}_j$ , but  $w_j \neq v^{\mu_j}$ , where we are assuming that the simulator in Game 3 has  $(\mu_j, \mu'_j)$  such that

$$\mathbf{C}^{(j)} = g^{\mu_j} h^{\mu'_j}.$$

We show how to use such an adversary to solve the DL problem in  $\mathbb{G}$ . By a rewinding argument, we can then extract from the adversary  $x, x'$  such that

$$\mathbf{C}^{(j)} = g^x h^{x'} \quad \text{and} \quad w_j = v^x.$$

Clearly, this can only happen if  $v \neq 1$ . That being the case, we must have  $x \neq \mu_j$ , which gives us two different representations of  $\mathbf{C}^{(j)}$  with respect to  $(g, h)$ , which allows us to solve the DL problem in  $\mathbb{G}$ .

*Generic group model analysis.* This above rewinding argument does not give a very tight reduction. One can also make a quantitatively more appealing argument in the Generic Group Model (GGM). Here, we are effectively modeling the group  $\mathbb{G}$  as the free group generated by  $g$  and  $h$ . We are also modeling the hash function used in the NIZK as a random oracle. Consider what the adversary must do to create an inconsistency as above. In the NIZK, the adversary must commit to two group elements, call them  $\hat{\mathbf{C}}^{(j)}$  and  $\hat{w}_j$ , receive a challenge  $c$ , and then compute  $x, x'$  such that

$$g^x h^{x'} = \hat{\mathbf{C}}^{(j)} (\mathbf{C}^{(j)})^c \quad \text{and} \quad v^x = \hat{w}_j (w_j)^c. \quad (13)$$

We suppose that

$$\mathbf{C}^{(j)} = g^{\mu_j} h^{\mu'_j}, \quad v = g^s h^{s'}, \quad w_j = g^t h^{t'}, \quad \hat{\mathbf{C}}^{(j)} = g^{\hat{\mu}_j} h^{\hat{\mu}'_j}, \quad \hat{w}_j = g^{\hat{t}} h^{\hat{t}'}. \quad (14)$$

Equating the  $g$ -powers and the  $h$ -powers of the two equations in (13) gives rise to four equations:

$$x = \hat{\mu}_j + \mu_j c, \quad x' = \hat{\mu}'_j + \mu'_j c, \quad sx = \hat{t} + ct, \quad s'x = \hat{t}' + ct'. \quad (15)$$

If the adversary succeeds in creating an inconsistency, then we also have

$$(t, t') \neq (\mu_j s, \mu_j s').$$

We must have either  $t \neq \mu_j s$  or  $t' \neq \mu_j s'$ . First, suppose  $t \neq \mu_j s$ . Multiplying the first equation in (15) by  $s$  and combining this with the third equation in (15), we obtain

$$\hat{t} + ct = s\hat{\mu}_j + c(\mu_j s),$$

and since  $t \neq \mu_j s$ , this can hold with probability only  $1/q$  for randomly chosen  $c \in \mathbb{Z}_q$ . Second, suppose that  $t' \neq \mu_j s'$ . Multiplying the first equation in (15) by  $s'$  and combining this with the fourth equation in (15), we obtain

$$\hat{t}' + ct' = s' \hat{\mu}_j + c(\mu_j s'),$$

and since  $t' \neq \mu_j s'$ , this can hold with probability only  $1/q$  for randomly chosen  $c \in \mathbb{Z}_q$ .

This shows that each attempt to form a valid proof of an inconsistent statement will succeed with probability at most  $1/q$ .

**The Mul protocol.** A similar analysis can be done for the NIZK used in the Mul protocol (notation as in Section 8.5). Suppose that a corrupt party  $P_j$  creates a dealing with a valid proof but shares the wrong value. More precisely, this means the following. The simulator has  $(\nu_{1j}, \nu'_{1j})$ ,  $(\nu_{2j}, \nu'_{2j})$ , and  $(\kappa_j, \kappa'_j)$  such that

$$\mathbf{D}_1^{(j)} = g^{\nu_{1j}} h^{\nu'_{1j}}, \quad \mathbf{D}_2^{(j)} = g^{\nu_{2j}} h^{\nu'_{2j}}, \quad \mathbf{C}_j^{(0)} = g^{\kappa_j} h^{\kappa'_j},$$

but where  $\kappa_j \neq \nu_{1j} \cdot \nu_{2j}$ . We show how to use such an adversary to solve the DL problem in  $\mathbb{G}$ . By a rewinding argument, we can extract from the adversary  $x_2, x'_2, y$  such that

$$g^{x_2} h^{x'_2} = \mathbf{D}_2^{(j)}, \quad (\mathbf{D}_1^{(j)})^{x_2} h^y = \mathbf{C}_j^{(0)}.$$

If  $(x_2, x'_2) \neq (\nu_{2j}, \nu'_{2j})$ , we would have two different representations of  $\mathbf{D}_2^{(j)}$  with respect to  $(g, h)$ , which would allow us to solve the DL problem in  $\mathbb{G}$ . So let us assume that  $(x_2, x'_2) = (\nu_{2j}, \nu'_{2j})$ . Similarly, if  $(\nu_{1j}\nu_{2j}, \nu'_{1j}\nu_{2j} + y) \neq (\kappa_j, \kappa'_j)$ , we solve the DL problem in  $\mathbb{G}$ . So we may assume that  $(\nu_{1j}\nu_{2j}, \nu'_{1j}\nu_{2j} + y) = (\kappa_j, \kappa'_j)$ , which finishes the proof.

*Generic group model analysis.* As above, we can also give an analysis in the GGM. In the NIZK, the adversary must commit to two group elements, call them  $\hat{\mathbf{D}}_2^{(j)}$  and  $\hat{\mathbf{C}}_j^{(0)}$ , receive a challenge  $c$ , and then compute  $x_2, x'_2, y$  such that

$$g^{x_2} h^{x'_2} = \hat{\mathbf{D}}_2^{(j)} (\mathbf{D}_2^{(j)})^c \quad \text{and} \quad (\mathbf{D}_1^{(j)})^{x_2} h^y = \hat{\mathbf{C}}_j^{(0)} (\mathbf{C}_j^{(0)})^c. \quad (16)$$

We suppose that

$$\hat{\mathbf{D}}_2^{(j)} = g^{\hat{\nu}_{2j}} h^{\hat{\nu}'_{2j}}, \quad \hat{\mathbf{C}}_j^{(0)} = g^{\hat{\kappa}_j} h^{\hat{\kappa}'_j}. \quad (17)$$

Equating the  $g$ -powers of the two equations in (16) gives rise to two equations:

$$x_2 = \hat{\nu}_{2j} + c\nu_{2j}, \quad \nu_{1j}x_2 = \hat{\kappa}_j + c\kappa_j. \quad (18)$$

If the adversary succeeds in creating an inconsistency, then we also have  $\kappa_j \neq \nu_{1j}\nu_{2j}$ . Multiplying the first equation in (18) by  $\nu_{1j}$  and combining this with the second equation in (18), we obtain

$$\nu_{1j}\hat{\nu}_{2j} + c(\nu_{1j}\nu_{2j}) = \hat{\kappa}_j + c\kappa_j,$$

and since  $\kappa_j \neq \nu_{1j}\nu_{2j}$ , this can hold with probability only  $1/q$  for randomly chosen  $c \in \mathbb{Z}_q$ .

## 10 Adaptive and proactive security

While the main focus of this paper is on static corruptions, in this section, we briefly consider the security of our protocol with respect to adaptive corruptions, as well as some aspects of proactive security.

### 10.1 Adaptive security

In the adaptive corruption model, an adversary may initially corrupt some number  $f' \leq f$  parties. Just as in the case of static corruptions, the initially honest parties then generate public keys, and the adversary may choose the public keys of the initially corrupt parties any way he likes (possibly based on the public keys of the initially honest parties). As the protocol execution continues, the adversary may adaptively corrupt up to  $f - f'$  additional parties. When a party is adaptively corrupted, the adversary obtains the secret key of the party as well as other data that is stored in the party's memory. One model of adaptive corruption allow a party to securely erase some memory, so that such erased data is not given to the adversary upon corruption. This is called *adaptive corruption with erasures*. At the other extreme, nothing is assumed to be erased, and the adversary sees everything. This is called *adaptive corruption without erasures*.

We sketch here a proof that our ECDSA signing protocol remains secure even in the model of *adaptive corruption without erasures*.

#### 10.1.1 Adaptively secure MEGa

We first claim that our MEGa  $\mathcal{E}_{\text{dh}}$  in Section 4.1 is adaptively secure (without erasures) under the same assumptions as Theorem 2 — the ICDH assumption for  $\mathfrak{G}$ , and modeling  $H_{\mathcal{M}}$  and  $H_{\mathfrak{G}}$  as random oracles. Note that the impossibility result of Nielsen [Nie02] does not apply here, as we model  $H_{\mathcal{M}}$  as a *programmable* random oracle.

To prove such a claim, we first have to formalize an appropriate security property. To simplify things a bit, we formalize a property that is somewhat geared towards our application (but still quite general).

**A “real world” experiment.** We first describe a “real world” experiment, in which an adversary interacts with a challenger.

- *Initialization.* The adversary performs a series of  $n$  *register honest user* and *register corrupt user* queries, as in the ADO-CCA attack game in Section 4. Of the  $n$  registered users, some are *initially honest* while the rest are *initially corrupt*. As we will see, as the experiment proceeds, initially honest users are corrupted, and the set of *honest* users may shrink and the set of *corrupt* users may grow.

The adversary also provides the challenger with a chosen ordering  $(id_1, \dots, id_n)$ , so that for  $i = 1, \dots, n$ , we can now speak of a party  $P_i$  with an ID  $id_i$ , public key  $pk_i$ . In the case where  $P_i$  is initially honest, it has a secret key  $sk_i$ .

After initialization, the adversary makes a series of queries of the following types.

- *Encryption query.* Adversary specifies an *honest* party  $P_i$  as the encryptor, along with a tag  $t$  and a vector  $(m_1, \dots, m_n)$  of messages. We require that the same tag is not used twice by the same encryptor.

The challenger computes

$$(\chi; c_1, \dots, c_n) \stackrel{\$}{\leftarrow} E((id_i, t); (id_1, pk_1, m_1), \dots, (id_n, pk_n, m_n)),$$

and sends  $(\chi; c_1, \dots, c_n)$  to the adversary.

Note that the associated data used for this encryption is  $ad = (id_i, t)$ .

- *Decryption query.* Adversary specifies a *corrupt* encryptor  $P_i$  and an *honest* decryptor  $P_j$ , along with a tag  $t$  and  $(\chi, c_j)$ .

The challenger computes  $m_j \leftarrow D((id_i, t), id_j, sk_j, \chi, c_j)$  and gives  $m_j$  to the adversary.

- *Decryption proof query.* Adversary specifies a *corrupt* encryptor  $P_i$  and an *honest* decryptor  $P_j$ , along with a tag  $t$  and  $(\chi, c_j)$ .

The challenger computes  $\pi_j \leftarrow DP((id_i, t), id_j, sk_j, \chi, c_j)$  and gives  $\pi_j$  to the adversary.

- *Corruption query.* The adversary specifies an *honest* party  $P_k$  to corrupt.

The challenger gives to the adversary the complete internal state of  $P_k$ , including the secret key  $sk_k$  and the ephemeral secrets generated in processing encryption queries where  $P_k$  was the encryptor.

As all of our security results will be in the random oracle model, we assume that the challenger also manages these random oracles and responds to *random oracle queries* appropriately.

NOTES:

1. The restriction on the form of *decryption queries* is justified by the correctness property of the MEGa, and the fact that, in our application, messages sent by an honest party  $P_i$  will be authenticated by a secure signature (whose signing key will also be leaked if  $P_i$  is corrupted),
2. The restriction on the form of *decryption proof queries* is justified by the fact that, in our application,
  - messages sent by an honest party  $P_i$  will be authenticated by a secure signature, and
  - an honest encryptor will never encrypt a value that an honest decryptor will find a need to complain about and thus generate a decryption proof.
3. The role of the tag  $t$  is useful for simplifying the “bookkeeping” in the definition. Such a tag  $t$ , together with the ID  $id_i$  of the encryptor, naturally identifies the “context” of an encryption.



**An “ideal world” experiment.** We now specify an “ideal world” in which an adversary interacts with the challenger in exactly the same way, with the same restrictions. The only difference is that now the challenger must make use of a simulator  $\mathcal{S}$  as specified below.

- *Initialization.* The simulator  $\mathcal{S}$  is invoked to process the *register honest user* and *register corrupt user* queries, and is also informed of the adversary’s chosen ordering  $(id_1, \dots, id_n)$ .

The challenger also initializes an empty set  $T$ , which will be used to track messages sent from honest parties to honest parties.

- *Encryption query.* The challenger invokes  $\mathcal{S}$  to generate  $(\chi; c_1, \dots, c_n)$ ; however,  $\mathcal{S}$  is only given  $id_i, t$ , along with  $(id_j, m_j)$  for *corrupt*  $P_j$ . (Crucially,  $\mathcal{S}$  does not get to see the messages  $m_j$  for *honest*  $P_j$ .)

The challenger also adds to  $T$  the tuples  $(id_i, t, id_j, m_j)$  for all *honest*  $P_j$ .

- *Decryption query.* The challenger invokes  $\mathcal{S}$  with  $id_i, id_j, t$ , and  $(\chi, c_j)$  to compute the result  $m_j$ .
- *Decryption proof query.* The challenger invokes  $\mathcal{S}$  with  $id_i, id_j, t$ , and  $(\chi, c_j)$  to compute the result  $\pi_j$ .
- *Corruption query.* The challenger invokes  $\mathcal{S}$  with  $id_k$  as well as the subset  $T'$  of tuples in  $T$  of the form  $(id_k, \cdot, \cdot, \cdot)$  or  $(\cdot, \cdot, id_k, \cdot)$  to compute the result. In other words, the simulator is given all messages that were either encrypted by or encrypted to  $P_k$ .

Again, as we will be working in the random oracle model, the simulator  $\mathcal{S}$  is responsible for managing the random oracle and is invoked by the challenger to process all random oracle queries made by the adversary. In the ideal world, however,  $\mathcal{S}$  is free to answer these random oracle queries in any convenient fashion (i.e., it is allowed to “program” the random oracle).

**Definition of adaptive security.** In either the real world or the ideal world, the adversary outputs a bit. We say that a MEGa is **adaptively secure** if there exists an efficient simulator  $\mathcal{S}$  such that for every efficient adversary  $\mathcal{A}$ , the quantity

$$|\Pr[\mathcal{A} \text{ outputs } 1 \text{ in real world}] - \Pr[\mathcal{A} \text{ outputs } 1 \text{ in ideal world with } \mathcal{S}]|$$

is negligible.

**Theorem 5.** *Under the ICDH assumption for  $\mathfrak{G}$ , and modeling  $H_{\mathcal{M}}$ ,  $H_{\mathfrak{G}}$ , and  $H_{\text{fs}}$  as random oracles,  $\mathcal{E}_{\text{dh}}$  is adaptively secure.*

*Proof.* We first specify the simulator  $\mathcal{S}$ .

- $\mathcal{S}$  will generate public keys and secret keys for the initially honest parties as in the real world.
- For an encryption query,  $\mathcal{S}$  will run the normal encryption algorithm and encrypt  $m_j$  for corrupt  $P_j$  as usual, but will generate the ciphertext component  $c_j$  for honest  $P_j$  at random.

- For a decryption query,  $\mathcal{S}$  will run the normal decryption and decryption prover algorithms using the secret key of  $P_j$ .
- For a corruption query,  $\mathcal{S}$  will reveal the required information, which it has on hand. In addition the adversary will “backpatch” the random oracle  $H_{\mathcal{M}}$  using the information in the set  $T'$ .

To prove that this works, we have to show that  $\mathcal{S}$  does not get “caught”, which will happen if the adversary directly makes a “bad” random oracle query

$$H_{\mathcal{M}}(\text{derive-key}, (ad_i, t), id_j, u_j, \mathbf{v}, \text{dh}(u_j, \mathbf{v}))$$

that it should not have been able to make, which means that in processing an encryption query for (honest) encryptor  $P_i$  with tag  $t$ , the ephemeral public key was  $\mathbf{v}$ , party  $P_j$  was honest, and the adversary makes this random oracle query at a time before either  $P_i$  or  $P_j$  is subsequently corrupted.

We argue that if an adversary  $\mathcal{A}$  can make such a “bad” random oracle query, we can use  $\mathcal{A}$  to solve the ICDH problem. Our ICDH adversary  $\mathcal{B}$  works as follows. It is given random  $\mathbf{u}^*, \mathbf{v}^* \in \mathfrak{G}$  and its goal is to compute  $\mathbf{w}^* = \text{dh}(\mathbf{u}^*, \mathbf{v}^*) \in \mathfrak{G}$ , given access to a DH-triple oracle that accepts inputs of the form  $(\cdot, \mathbf{v}^*, \cdot)$ . Our adversary  $\mathcal{B}$  will succeed in computing  $\mathbf{w}^*$  with probability roughly  $1/n^2$  times the probability that  $\mathcal{A}$  makes a “bad” random oracle query. To this end,  $\mathcal{B}$  guesses the index  $i^*$  of the encryptor and  $j^*$  of the decryptor corresponding to a “bad” query, will run an alternative simulator, halting if either  $P_{i^*}$  or  $P_{j^*}$  are ever corrupted. Here are the details.

- $\mathcal{B}$  sets  $u_{j^*} := \mathbf{u}^*$ .
- For all parties  $P_j$  with  $j \neq j^*$  that are initially honest,  $\mathcal{B}$  generates public and secret keys as in the real world.
- $\mathcal{B}$  programs the random oracle  $H_{\mathfrak{G}}$  for adversarial queries just as in Theorem 2.
- $\mathcal{B}$  processes encryption queries for  $P_{i^*}$  by randomizing  $\mathbf{v}^*$  and programming the random oracle  $H_{\mathfrak{G}}$  as in the proof of Theorem 2.
  - For initially corrupt  $P_j$ , it generates  $c_j$  at random and uses the DH-triple oracle to backpatch  $H_{\mathcal{M}}$  as necessary.
  - For initially honest  $P_j$ , it uses  $P_j$ ’s secret key to generate the ciphertext  $c_j$  honestly.
  - It generates  $c_{j^*}$  at random.
- For all parties  $P_i$  with  $i \neq i^*$  that are honest,  $\mathcal{B}$  processes encryption queries as in the real world, and programming the random oracle  $H_{\mathfrak{G}}$  just as for adversarial queries.
- To process decryption or decryption proof queries for  $P_{j^*}$ ,  $\mathcal{B}$  uses the same strategy as in the proof of Theorem 2, relying on the PoK to compute the shared Diffie-Hellman key  $\mathbf{w}$ . (Note that by the rules of the game, the corresponding encryptor cannot be  $P_{i^*}$ .)

- $\mathcal{B}$  processes decryption queries for honest  $P_j$  with  $j \neq j^*$  just as in the real world.

It is easy to see that if  $\mathcal{A}$  every makes a “bad” random oracle query with encryptor  $P_{i^*}$  and decryptor  $P_{j^*}$ ,  $\mathcal{B}$  will see this (using the DH-triple oracle) and output  $\mathbf{w}^*$ .  $\square$

NOTES:

1. We currently do not know how to prove that the simplified MEGa  $\mathcal{E}'_{\text{dh}}$  in Section 4.3 is adaptively secure.
2. We could easily generalize our definition to allow for one set of parties acting as encryptors and another (possibly overlapping) set of parties to act as decryptors. The only difference in the definition would be in the way *corruption queries* are processed in the ideal world: when an encryptor is corrupted, the simulator is given all of the messages encrypted by that party, and when a decryptor is corrupted, the simulator is given all of the messages encrypted to that party. Theorem 5 holds equally well in this setting (instead of  $n^2$ , the loss in the security reduction would be  $n_e n_d$ , where  $n_e$  is the number of encryptors, and  $n_d$  is the number of decryptors).

### 10.1.2 Adaptively secure $\mathcal{F}_{\text{mpc}}$ and ECDSA

Assuming the adaptive security of the MEGa  $\mathcal{E}$  used in our protocol  $\Pi_{\text{mpc}}$ , it is natural to ask if  $\Pi_{\text{mpc}}$  securely realizes  $\mathcal{F}_{\text{mpc}}$  in the adaptive corruption model. Unfortunately, this seems not to be the case. Nevertheless, we will still be able to argue that our ECDSA signing protocol  $\Pi_{\text{ecdsa}}$  provides strong security in the adaptive corruption model.

The problem is with **OpenPower** operations. To simplify matters, and since it is all we really need, let us restrict ourselves to the case where the base of the **OpenPower** operation is the generator  $g \in \mathbb{G}$ . Suppose the value of a sharing is  $\alpha \in \mathbb{Z}_q$ , and an **OpenPower** operation on this sharing reveals  $u = g^\alpha$ . In the static corruption case, we assumed  $f$  corrupt parties and that the simulator already knew their shares. Therefore, given  $u$  from the ideal functionality, the simulator could combine these  $f$  shares with  $u$  and perform interpolation in the exponent to simulate the outputs of each honest party in the protocol. This strategy fails in the adaptive corruption case. One might be tempted to employ the following strategy. If there are currently only  $f' < f$  corrupt parties, the simulator generates random shares on behalf of  $f - f'$  honest parties and performs the same calculations as above. Unfortunately, if some other party besides the  $f - f'$  parties is eventually corrupted, the simulator will get stuck — it would need to know the value  $\alpha$  itself. (Note that this strategy *does* work for **Open**.)

What we can do, however, is modify the ideal functionality  $\mathcal{F}_{\text{mpc}}$  so that it gives the simulator more information, and then argue that this additional information does not help the adversary too much.

Specifically, we modify  $\mathcal{F}_{\text{mpc}}$  as follows. Suppose that at the time **OpenPower** is performed, the simulator also specifies a parameter  $k$ . Suppose that value of this sharing is  $\alpha_0 \in \mathbb{Z}_q$ . In addition to giving  $u_0 = g^{\alpha_0}$  to the simulator, the ideal functionality computes  $\alpha_i \xleftarrow{\$} \mathbb{Z}_q$ ,  $u_i \leftarrow g^{\alpha_i}$  for  $i = 1, \dots, k$ , and gives  $u_1, \dots, u_k$  to the simulator. Moreover, the simulator is allowed to make further **linear discrete logarithm (LDL) queries** to the ideal functionality. Each LDL query is a vector  $(\lambda_0, \lambda_1, \dots, \lambda_k) \in \mathbb{Z}_q^{k+1}$ , to which the

ideal functionality responds with  $\sum_{i=0}^k \lambda_i \alpha_i$ . However, the simulator is restricted: it is not allowed to issue of LDL queries whose span includes  $(1, 0, \dots, 0)$ .

Let us call this modified functionality  $\mathcal{F}_{\text{ldl-mpc}}$ . It is straightforward to see that  $\Pi_{\text{mpc}}$  securely realizes  $\mathcal{F}_{\text{ldl-mpc}}$  in the adaptive corruption model, as the extra information provided by the ideal functionality enables us to simulate everything: we use the extra random group elements to represent the values output by  $k = f - f'$  honest parties in an `OpenPower` operation, and compute the rest by interpolation in the exponent; the LDL queries are used to obtain the data needed to simulate corruptions. There are numerous details to check, but they are all straightforward (details to be presented in a followup paper)

Now let us look at how this impacts our ECDSA signing protocol  $\Pi_{\text{ecdsa}}$ . What we can say now is that  $\Pi_{\text{ecdsa}}$  securely realizes an ideal functionality  $\mathcal{F}_{\text{ldl-ecdsa}}$  in the adaptive corruption model. The functionality  $\mathcal{F}_{\text{ldl-ecdsa}}$  is the same as  $\mathcal{F}_{\text{ecdsa}}$  except that both the public key  $u \in \mathbb{G}$  and each presignature  $R \in \mathbb{G}$  are subject to LDL queries. That is, in addition to the public key  $u$ , the simulator is given random  $u_1, \dots, u_k \in \mathbb{G}$  and the simulator is free to ask LDL queries as above. Note that the restriction on the LDL queries means that the adversary cannot trivially obtain the discrete logarithm of  $u$  by asking submitting the “right” LDL queries. Similarly, for each presignature  $R \in \mathbb{G}$ , in addition to  $R$ , the simulator is given random  $R_1, \dots, R_k \in \mathbb{G}$  and is free to ask LDL queries as above.

The security of our ECDSA signing protocol reduces then to an attack game on non-threshold ECDSA in which the public key and presignatures are subject to LDL queries. If we were analyzing the security of a threshold implementation of, say, Schnorr’s signature scheme [Sch91], then security in the adaptive setting would be reduced to an instance of the discrete logarithm problem with the help of LDL queries — essentially, a special case of the “one more discrete logarithm” problem [BNPS01], which is itself has been analyzed in the generic group model [BFP21]. However, the only proofs of security for ECDSA are in the generic group model. So one way forward is to extend the techniques and results of [GS21] to the setting where the public key and presignatures are subject to LDL queries.

In fact, this approach does indeed work (details to be presented in a followup paper). The basic idea is that in the “symbolic simulator” technique used in [GS21], we will need to introduce new variables. For example, in [GS21], the signing key is symbolically represented by a variable  $U$ . We will also need to introduce variables  $U_1, \dots, U_k$  representing the group elements  $u_1, \dots, u_k$ . Whenever the adversary makes a new LDL query that is not a linear combination of the previous LDL queries, the simulator will return a random element in  $\mathbb{Z}_q$ , and also *eliminate* one of the variables  $U_1, \dots, U_k$ , replacing it by an appropriate linear combination of  $U$  and the remaining  $U_i$ ’s plus an appropriate scalar. For each presignature, the symbolic simulator will also have to introduce and manage additional variables. The proof of Theorem 6 in [GS21] (which is the one that corresponds to our protocol) can be modified fairly easily to deal with this. Note, however, that our symbolic simulator now has many more variables to track, which increases the running time of the reduction to the preimage resistance of  $H_{\text{dsa}}$  (but it is still polynomial time). However, if we view  $H_{\text{dsa}}$  as a random oracle, the quality of the security result is the same, as the number of random oracles queries remains the same.

## 10.2 Proactive security

In the **proactive security model** [OY91], one considers a **mobile adversary** which, over the lifetime of the system, may corrupt all parties. However, from time to time, some or all parties are rebooted into a pristine state, with all internal state erased and set to a default value, and provisioned with new public/secret key pairs. In this setting, security should hold so long as no more than  $f$  parties are corrupt at any given point in time.

Although the proactive security model traditionally assumes adaptive corruptions (either with or without the ability to securely erase ephemeral secrets), it is also possible to study a *static* proactive security model. In such a model, the execution of the system is divided into *epochs*, and before an epoch starts, the adversary must decide which parties shall be corrupt for that epoch. While not as strong as the adaptive proactive security model, the static proactive security model seems like a reasonable trade-off between theory and practice in cases where the latter can be achieved with much more practical protocols than the former. Our protocol can achieve either static proactive security or adaptive proactive security (under the stronger assumptions discussed in Section 10.1.2).

The simplest and most robust way to securely realize proactive security for our protocol is to have each node in the network periodically be securely rebooted with a fresh public/secret key pairs and possibly a fresh identity (although this is not essential), and then rejoin the network with these new credentials. How this change of credentials is communicated to all other members of the network is outside the scope of this discussion. This node can then continue participating in the protocol as soon as the resharing protocol in Section 8.6 is executed, where it will initially participate only as a receiver and not as a dealer. One disadvantage to this simple approach is that while a node is being proactively rebooted, if it was honest at the time the reboot happened, it will effectively count as “crashed” until it is able to fully participate in the protocol. However, in fairly large networks, this should not be a problem. One advantage of this approach is that the network does not have to enter a special refresh phase where no useful work can be done — nodes can be slowly recycled one at a time over the lifetime of the system without disrupting service.

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## A More detailed specs

In this section, we provide additional details on the specification of our implementation on the Internet Computer. The reader may wish to refer to the whitepaper [DFI22] for more details. However, the key concepts and terms that are needed for this section is as follows:

- The Internet Computer is a network of interacting replicated state machines, each of which is called a **subnet**.
- A special subnet hosts a key/value store called the **registry**. The registry keeps track of the topology of the network and the association of public keys with parties.
- The registry may change over time, but parties may refer to the state of the registry at a particular time by specifying a **registry version**, which is a counter that increases over time.

### A.1 Dealings and batches of dealings

We assume here that the MEGa is implemented as in Section 4.1. We do not use the simplified MEGa in Section 4.3, as it does not save very much and it does not provably provide adaptive security.

We will likely also assume that the group  $\mathfrak{G}$  used for the MEGa is **secp256k1**. This is the same as the curve used for ECDSA in Bitcoin. The group size is a 256-bit number and the cofactor is 1.

We definitely want to support ECDSA with **secp256k1**. We *may* ultimately want to be able to support other schemes, such as EdDSA with **Ed25519**. The group **Ed25519** has a group size that is a 253-bit number and the cofactor is 8. This makes checking group membership a bit more challenging, but because the cofactor is small, one can alternatively “push” arbitrary points on the curve into the subgroup at low cost.

#### A.1.1 Batch specifications

To deal with the fact that the set of dealers, the set of receivers, and other aspects of dealing that will change depending on context, we need a **batch specification** which will specify all of these parameters.

- *batchID*: a string that uniquely identifies a batch.
- *registry\_version*: indicates the version of the registry.
- *dealers*: specifies the set of dealers (and their public keys, specifically, signature verification keys).
- *receivers*: specifies the set of receivers (and their public keys, specifically, encryption keys).
- *num\_dealings*: the number of verified dealings that need to be collected in a batch.
- *recvr\_thresh*: the value of  $f$  for the set of receivers.
- *verify\_thresh*: the size of a dealing verification quorum, usually  $2f + 1$
- *relatedBatches*: disjoint union:
  - $Mul(batchID_1, batchID_2)$   
— used in case this batch represents a multiplication resharing.
  - $Reshare(batchID_1)$   
— used in case this batch represents a plain resharing.
- *group\_spec*: the specifies the underlying group  $\mathbb{G}$  (currently, this is always `secp256k1`).

Such a batch specification will be used for both generating and verifying dealings.

### A.1.2 Generating a dealing

The dealing generation algorithm will take as input

- a batch specification *batch\_spec*, as in Section A.1.1,
- a pair  $(\mu_0, \mu'_0) \in \mathbb{Z}_q$ , as in Section 7.2.

The algorithm will proceed as in Section 7.2, with

- $f = batch\_spec.recvr\_thresh$ ,
- *dealerID* is the node ID of the dealing node,
- $id_i$ 's and  $pk_i$ 's of receivers are determined by *batch\_spec.receivers* and *batch\_spec.registry\_version*. (Some convention is needed for ordering these values.)

We also need to check the validity of public keys; specifically, each public key is supposed to be an element of  $\mathfrak{G}$ , and we should verify that this is the case. This does not have to be done per dealing — it can hopefully be done “once and for all” somewhere else, but we have to determine where. Note that if we use an elliptic curve with cofactor 1, testing group membership is anyway a pretty lightweight operation.

Let us look at the precise structure of a dealing. A dealing is an artifact with the following components:

- *batchID*, which is an arbitrary octet string (of some reasonably bounded length).
- *dealerID*, which is the node ID of the dealer, also an arbitrary octet string (of some reasonably bounded length).
- $\mathbf{C} \in \mathbb{G}^{f+1}$  is the polynomial commitment, where  $f = \text{batch\_spec.recvr\_thresh}$ .  
Each group element should be prefix-free encoded, and then we concatenate these and prepend with  $f + 1$  encoded as a single octet (assuming  $f + 1$  is not too big).
- $\mathbf{v} := \mathbf{g}^\beta \in \mathcal{G}$ , which is the ephemeral public key of the dealer.
- $\pi_{\text{enc}} := (\mathbf{v}', \text{pok}_{\text{enc}})$ , which is the PoP for the ephemeral encryption key, which is computed as follows.

First, the group element  $\mathbf{g}' \in \mathcal{G}$  is computed as

$$\mathbf{g}' \leftarrow \text{hash\_to\_curve}(\text{enckey-pop}; \text{batchID}, \text{dealerID}, \mathbf{v}) \in \mathcal{G},$$

where

- *hash\_to\_curve* is as defined in [FSS<sup>+</sup>22];
- the input *enckey-pop* is a domain separator;
- all other inputs to the hash should be prefix-free encoded as octet strings and then concatenated together.

Next, the group element  $\mathbf{v}' \in \mathcal{G}$  is computed as

$$\mathbf{v}' := (\mathbf{g}')^\beta \in \mathcal{G}.$$

Finally,  $\text{pok}_{\text{enc}} = (\hat{\mathbf{v}}, \hat{\mathbf{v}}', \delta)$  where

$$\rho \xleftarrow{\$} \mathbb{Z}_q, \quad \hat{\mathbf{v}} \leftarrow \mathbf{g}^\rho \in \mathcal{G}, \quad \hat{\mathbf{v}}' \leftarrow (\mathbf{v}')^\rho \in \mathcal{G}, \quad \text{and} \quad \delta \leftarrow \rho + \beta\gamma \in \mathbb{Z}_q, \quad (19)$$

where

$$\gamma \leftarrow \text{hash\_to\_field}(\text{enckey-pop-challenge}; \text{batchID}, \text{dealerID}, \mathbf{v}, \mathbf{v}', \hat{\mathbf{v}}, \hat{\mathbf{v}}') \in \mathbb{Z}_q.$$

Such a proof is validated by checking that

$$\mathbf{g}^\delta = \hat{\mathbf{v}}\mathbf{v}^\gamma \quad \text{and} \quad (\mathbf{g}')^\delta = \hat{\mathbf{v}}'(\mathbf{v}')^\gamma.$$

One can replace  $\text{pok}_{\text{enc}} = (\hat{\mathbf{v}}, \hat{\mathbf{v}}', \delta)$  by the more compact  $\text{pok}_{\text{enc}} = (\gamma, \delta)$ , and validation is then performed by checking that

$$\gamma = \text{hash\_to\_field}(\text{enckey-pop-challenge}; \text{batchID}, \text{dealerID}, \mathbf{v}, \mathbf{v}', \hat{\mathbf{v}}, \hat{\mathbf{v}}'),$$

where

$$\hat{\mathbf{v}} := \mathbf{g}^\delta / \mathbf{v}^\gamma \quad \text{and} \quad \hat{\mathbf{v}}' := (\mathbf{g}')^\delta / (\mathbf{v}')^\gamma.$$

NOTES:

1. The value  $\delta$  computed above in (19) *must* be reduced mod  $q$ .
- $(c_1, \dots, c_n)$ , which is the vector of ciphertexts, where  $n$  is determined by *batch\_spec.receivers*. Each component  $c_i$  is a pair of numbers mod  $q$ , encoded as an octet string of size  $2 \text{len}(q)$ ; here,  $\text{len}(q)$  is the number of bytes needed to encode integers in the range  $\{0, \dots, q - 1\}$ , so  $\text{len}(q) = \log_{256}(q - 1)$ ; since each  $c_i$  is a fixed length octet string, the vector  $(c_1, \dots, c_n)$  can just be encoded as the concatenation of these octet strings, prepended by an octet containing  $n$ .

Each  $c_i$  is an encryption of  $(\omega(i), \omega'(i))$ , derived as follows:

- First, we compute the group element  $u_i^\beta \in \mathfrak{G}$ , where  $u_i$  is the public key of the  $i$ th receiver.
- Second, we compute

$$(\zeta, \zeta') \leftarrow \text{hash\_to\_field}(\text{derive-key}; \text{batchID}, \text{dealerID}, id_i, u_i, v, u_i^\beta) \in \mathbb{Z}_q \times \mathbb{Z}_q;$$

here,

- \* *hash\_to\_field* is as defined in [FSS<sup>+</sup>22];
- \* it outputs a pair  $(\zeta, \zeta') \in \mathbb{Z}_q \times \mathbb{Z}_q$ ;
- \* the input **derive-key** is a domain separator;
- \* all other inputs to the hash should be prefix-free encoded as octet strings and then concatenated together.
- Finally, we compute  $c_i$  as a byte-string encoding of  $(\omega(i), \omega'(i)) + (\zeta, \zeta')$ , where all arithmetic is done mod  $q$ .
- Note that we are effectively using *hash\_to\_field* to implement  $H_{\mathcal{M}}$  in the MEGa.

NOTES:

1. In the above, specifications, we are treating the associated data of the MEGa as  $(\text{batchID}, \text{dealerID})$ , as discussed in Section 7.1.
2. In our implementation, it is recommend that this associated data include
  - the registry version associated with the batch,
  - the height of the finalized block that initiated the construction of the batch and fixed all of its parameters, and
  - the ID of the subnet that generates the dealing.

These values can either be encoded in *batchID* or encoded in the associated data in some other way. By including the registry version and height, this associates a clear timestamp with the dealing. By including the subnet ID, this ensures that a dealer with the same ID in one subnet is differentiated from a dealer with the same ID on another subnet, which includes both the case where dealer IDs are not globally unique, and the case where a dealer has actually been moved from one subnet to another.



### A.1.3 Generating a dealing authenticator

This will work exactly as in Section 7.3. The inputs are

- a batch specification  $batch\_spec$ ,
- a dealing  $d$  generated according to  $batch\_spec$ ,
- auxiliary inputs needed to generate  $contextualProof$ .

### A.1.4 Locally verifying a dealing

This is done essentially as in Section 7.4. However, we first specify how to **publicly verify a dealing**: This will be a function that takes as input a  $batch\_spec$  as in Section A.1.1 and a dealing  $d$  as in Section A.1.2. We should verify that  $d$  properly encodes the components  $batchID$ ,  $dealerID$ ,  $\mathbf{C}$ ,  $\mathbf{v}$  and  $(c_1, \dots, c_n)$ , as per the encoding conventions in Section A.1.2; in particular, we should check that:

- $dealerID$  is a member of the dealing committee, as determined by  $batch\_spec.dealers$ ;
- $\mathbf{C}$  is of the correct length, by  $f := batch\_spec.recv\_thresh$ ;
- each component of  $\mathbf{C}$  properly encodes an element of  $\mathbb{G}$ , where  $\mathbb{G}$  is determined by  $batch\_spec.group\_spec$ ;
- $\mathbf{v}$  properly encodes an element of  $\mathfrak{G}$ ;
- $\pi_{enc} = (\mathbf{v}', pok_{enc})$  is a valid PoP for the ephemeral encryption key;
- the encoding of  $(c_1, \dots, c_n)$  has the correct length, where we check that the value  $n$  is correct, (which is explicitly encoded) as determined by  $batch\_spec.receivers$ , and that the length of the octet string is  $2n \text{len}(q)$ .

Now suppose that party  $P_j$  wants to **locally verify a dealing** that has been publicly verified as above. This will be a function that takes as input a  $batch\_spec$  as in Section A.1.1 and a dealing  $d$  as in Section A.1.2.

1.  $P_j$  should determine whether it is even a member of the receiving committee for this dealings, and if so, its index  $j$  within this committee, as well as its node ID  $ID_j$  and its public key  $\mathbf{u}_j \in \mathfrak{G}$ . This is determined by  $batch\_spec.receivers$  and  $batch\_spec.registry\_version$ .
2.  $P_j$  should determine its secret key  $\alpha_j \in \mathbb{Z}_q$ . Again, this is determined by  $batch\_spec.receivers$ .
3.  $P_j$  does the following:
  - (a) compute

$$(\zeta, \zeta') \leftarrow hash\_to\_field(\text{derive-key}; batchID, dealerID, id_i, \mathbf{u}_i, \mathbf{v}, \mathbf{v}^{\alpha_j}) \in \mathbb{Z}_q \times \mathbb{Z}_q;$$

- (b) parse  $c_j$  as a pair  $(\eta, \eta') \in \mathbb{Z}_q \times \mathbb{Z}_q$ ; if this parsing step fails, return **reject**; note that this is a publicly verifiable check, which could be done separately;
- (c) set  $(\mu_j, \mu'_j) \leftarrow (\eta, \eta') - (\zeta, \zeta')$ , where arithmetic is done mod  $q$ ;
- (d) check that

$$\mathbf{C}^{(j)} = g^{\mu_j} h^{\mu'_j};$$

if not, return **reject**;

- (e) return **accept**.

### A.1.5 Complaint generation

Here we specify complaint generation, as in Section 8.2, in more detail. This could be implemented as a part of the *local verification of a dealing* function above in Section A.1.4. Note that local verification is in principle done twice: once to conditionally generate a verification share (if successful), and once to conditionally generate a complain (if unsuccessful) during the FixBadShares subprotocol. Note also that complaints are *not* broadcast right away during the initial local verification: firstly, because there is no compelling reason to do so, since by asynchrony, complaints can be arbitrarily delayed; secondly, because doing so would invalidate the security proof of our MEGa implementation if the simplified MEGa  $\mathcal{E}'_{\text{dh}}$  in Section 4.3 is used. However, if we use the MEGa  $\mathcal{E}_{\text{dh}}$  in Section 4.1, it is safe to broadcast complaints right away, if it is convenient to do so.

Depending on how the software is structured, to generate a complaint, we can define a function that takes the same inputs as the *local verification of a dealing* function above in Section A.1.4, and runs the same logic, but instead of returning **reject**, it returns a **decryption proof**  $\pi_j$ . One could also just have single function that takes an extra parameter, so that

- in one setting of the extra parameter, we return either **accept** or **reject**;
- in the other setting of the extra parameter, we return either  $(\mu_j, \mu'_j)$ , indicating success, or a decryption proof  $\pi_j$ , indicating failure.

Let  $\mathfrak{w}_j := \mathfrak{v}^{\alpha_j}$  be as computed as in the *local verification of a dealing* function in Section A.1.4, and let  $\mathfrak{u}_j := \mathfrak{g}^{\alpha_j}$  be the public key of the complaining party  $P_j$ , also as in the *local verification of a dealing* function in Section A.1.4.

The decryption proof  $\pi_j = (\mathfrak{w}_j, \mathfrak{u}', \mathfrak{w}', \delta)$ , where

$$\rho \xleftarrow{\$} \mathbb{Z}_q, \quad \mathfrak{u}' \leftarrow \mathfrak{g}^\rho \in \mathfrak{G}, \quad \mathfrak{w}' \leftarrow \mathfrak{v}^\rho \in \mathfrak{G}, \quad \text{and} \quad \delta \leftarrow \rho + \alpha_j \gamma \in \mathbb{Z}_q, \quad (20)$$

where

$$\gamma \leftarrow \text{hash\_to\_field}(\text{derive-dp-challenge}; \text{batchID}, \text{dealerID}, \text{id}_j, \mathfrak{u}_j, \mathfrak{v}, \mathfrak{w}_j, \mathfrak{u}', \mathfrak{w}') \in \mathbb{Z}_q.$$

Such a proof is validated by checking that

$$\mathfrak{g}^\delta = \mathfrak{u}' \mathfrak{u}_j^\gamma \quad \text{and} \quad \mathfrak{v}^\delta = \mathfrak{v}' \mathfrak{w}_j^\gamma.$$

One can replace  $\pi_j = (\mathfrak{w}_j, \mathfrak{u}', \mathfrak{w}', \delta)$  by the more compact  $\pi_j = (\mathfrak{w}_j, \gamma, \delta)$ , and validation is then performed by checking that

$$\gamma = \text{hash\_to\_field}(\text{derive-dp-challenge}; \text{batchID}, \text{dealerID}, \text{id}_j, \mathfrak{u}_j, \mathfrak{v}, \mathfrak{w}_j, \mathfrak{u}', \mathfrak{w}')$$

where

$$\mathfrak{u}' \leftarrow \mathfrak{g}^\delta / \mathfrak{u}_j^\gamma \quad \text{and} \quad \mathfrak{v}' \leftarrow \mathfrak{v}^\delta / \mathfrak{w}_j^\gamma.$$

NOTES:

1. The value  $\delta$  computed above in (20) *must* be reduced mod  $\mathfrak{q}$ .

## A.2 ECDSA signing protocol

We need a data structure representing a **signing request**, which should consist of

- *height*: a blockchain height associated the signing request
- *requestID*: a unique identifier associated with the signing request
  - should be unique at least among all signing requests of a given height
  - we should be able to order these, so a *requestID* could just be a counter
- *message*: the message itself
  - we may decide to store just the hash of the message here
- *signerID*: an octet string representing the signer ID, used for key derivation
  - If the master signing key is  $\alpha$ , the derived signing key is  $\alpha + \epsilon$ , where

$$\epsilon := H_{\text{dsk}}(\text{derive-signing-key}, \text{signerID}) \in \mathbb{Z}_q.$$

The function  $H_{\text{dsk}}$  should be modeled as random oracle that outputs something from  $\mathbb{Z}_q$  or a large subset of  $\mathbb{Z}_q$ . It could be (essentially) SHA256, or we could use a more general RO implementation.

- We may decide to store just  $\epsilon$  here rather than *signerID*.

As in Section 2.5.2, we assume we have precomputed sharings

$$[\kappa], [\lambda], [\mu] = [\kappa\lambda] \quad \text{and} \quad [\alpha], [\lambda], [\omega] = [\alpha\lambda],$$

where  $\alpha$  is the signing key, and  $\kappa$  and  $\lambda$  are random. Specifically, we assume we have *batches* (aka *Transcripts*) for each of these sharings, and that the batches have already been “loaded”, i.e., a party will only participate in the signing protocol if it has successfully loaded its share.

We also assume that  $R := g^\kappa$  has been obtained by running `OpenPower`. We may assume that the value  $R$  is stored when the sharing  $[\kappa]$  is “loaded”. Note that we do not explicitly need the sharings  $[\alpha]$  or  $[\kappa]$  to run the signing protocol — we only need the sharings  $[\lambda]$ ,  $[\mu]$ ,  $[\omega]$ .

We assume batch IDs  $batchID_\kappa$ ,  $batchID_\lambda$ , etc. For each such batch ID, party  $P_j$  can fetch its share  $(\kappa_j, \kappa'_j)$ ,  $(\lambda_j, \lambda'_j)$ , etc, as well as the corresponding polynomial commitments  $\mathbf{C}_\kappa$ ,  $\mathbf{C}_\lambda$ , etc. We assume corresponding batch specifications (aka *TranscriptParams*) can also be obtained. The inputs to all of these routines will include all of these batch IDs, as well as the signing request. We assume the signing request determines *height*, *requestID*, the message hash  $\phi$ , the signing tweak  $\epsilon$ , and the re-randomizer value  $\delta$ .

**Function *round0*.** This takes as input all of the transcripts and the signing request data, and performs the following computation:

1. Compute  $\rho \leftarrow C(g^\delta R)$ .
2. Compute  $\theta \leftarrow \phi + \rho\epsilon \in \mathbb{Z}_q$ .
3. Compute
 
$$\nu_j \leftarrow \theta\lambda_j + \rho\omega_j \in \mathbb{Z}_q \quad \text{and} \quad \nu'_j \leftarrow \theta\lambda'_j + \rho\omega'_j \in \mathbb{Z}_q.$$
4. Compute
 
$$\hat{\mu}_j \leftarrow \mu_j + \delta\lambda_j \in \mathbb{Z}_q \quad \text{and} \quad \hat{\mu}'_j \leftarrow \mu'_j + \delta\lambda'_j \in \mathbb{Z}_q.$$
5. Output the artifact

$$(\mathbf{round1}, height, requestID, j, \hat{\mu}_j, \hat{\mu}'_j, \nu_j, \nu'_j).$$

After computing this **round1** artifact,  $P_j$  places it in its artifact pool. We also need a function to validate a **round1** artifact of the form

$$(\mathbf{round1}, height, requestID, i, \hat{\mu}_i, \hat{\mu}'_i, \nu_i, \nu'_i).$$

Assuming that from the *height* and *requestID* values in the artifact we can obtain all other associated data, to validate this, we perform the following computations:

1. Compute  $\rho \leftarrow C(g^\delta R)$
2. Compute  $\theta \leftarrow \phi + \rho\epsilon \in \mathbb{Z}_q$ .
3. Compute  $\mathbf{C}_\nu \leftarrow \mathbf{C}_\lambda^\theta \cdot \mathbf{C}_\omega^\rho$ .
4. Compute  $\mathbf{C}_{\hat{\mu}} \leftarrow \mathbf{C}_\mu \cdot \mathbf{C}_\lambda^\delta$ .
5. Check that  $\mathbf{C}_{\hat{\mu}}^{(i)} = g^{\hat{\mu}_i} h^{\hat{\mu}'_i}$  and  $\mathbf{C}_\nu^{(i)} = g^{\nu_i} h^{\nu'_i}$ .

Party  $P_j$  will wait for a collection of  $f + 1$  valid **round1** artifacts.

Note that we do not need any of the shares of  $[\kappa]$  or the corresponding commitment  $\mathbf{C}_\kappa$  during the signing protocol itself. However, we will use these values when performing the Mul protocol to compute  $[\mu] \leftarrow [\kappa\lambda]$ . The same goes for  $[\alpha]$  — this is not explicitly needed here.

**Function *round1*.** This function takes as input the same inputs as function *round1*, plus a collection of  $f + 1$  valid *round1* artifacts and runs as follows.

1. Compute  $\rho \leftarrow C(g^\delta R)$
2. Interpolate the  $\hat{\mu}_i$ 's to get  $\hat{\mu}$ .
3. Interpolate the  $\nu_i$ 's to get  $\nu$ .
4. Compute  $\sigma \leftarrow \nu \hat{\mu}^{-1}$ .
5. Output the signature  $(\rho, \sigma)$ .

### A.3 Masked and unmasked dealings and sharings

As currently specified, the dealings are all based on Pedersen VSS, which completely hide the value being shared in the dealing. Let us call such dealings and corresponding sharings **masked**. This hiding property is essential for certain aspects of the protocol, but may be relaxed in others. In this section, we introduce the notion of an **unmasked dealing**, which is based on Feldman VSS, and the corresponding notion of an **unmasked sharing**, and how these may be used in the key generation and signing protocols.

An **unmasked dealing** has the same form as in (4), except that each  $c_i$  encrypts a single element of  $\mathbb{Z}_q$ , rather than a pair.

#### A.3.1 Generating a dealing

The dealing generation algorithm in Section A.1.2 for masked dealings is modified for generating an unmasked dealing as follows. The algorithm takes as input  $\mu_0 \in \mathbb{Z}_q$ , along with a *batchID* and *dealerID*, and runs as follows:

$$\begin{aligned}
 \alpha_0 &\leftarrow \mu_0 \\
 \alpha_1, \dots, \alpha_f &\stackrel{\$}{\leftarrow} \mathbb{Z}_q \\
 \omega &\leftarrow \alpha_0 + \alpha_1 x + \dots + \alpha_f x^f \in \mathbb{Z}_q[x] \\
 \mathbf{C} &\leftarrow g^\omega \in \mathbb{G}^{f+1} \\
 (\chi; c_1, \dots, c_n) &\stackrel{\$}{\leftarrow} E( (batchID, dealerID); (id_1, pk_1, \omega(1)), \dots, (id_n, pk_n, \omega(n)) ) \\
 &\text{output } (\mathbf{dealing}, batchID, dealerID, \mathbf{C}, (\chi; c_1, \dots, c_n))
 \end{aligned}$$

If  $\mu_0$  is chosen at random, then this is called a **random dealing** (although we will not actually be using random unmasked dealings — see Section A.3.6). The low-level details as outlined in Section A.1.2 are the same, except that *hash\_to\_field* is used to generate just a single element of  $\mathbb{Z}_q$ .

#### A.3.2 Protocol Mul

We modify the protocol in Section 8.5 so that it multiplies a masked dealing and an unmasked dealing to produce a masked dealing.

1. Each party is given  $sharingID_1$  and  $sharingID_2$  for two previously constructed sharings. Here,  $sharingID_1$  is *masked* and  $sharingID_2$  is *unmasked*. Each party  $P_j$  has corresponding polynomial commitments  $\mathbf{D}_1 \in \mathbb{G}^{f+1}$  and  $\mathbf{D}_2 \in \mathbb{G}^{f+1}$  (common to all parties), and corresponding shares  $(\nu_{1j}, \nu'_{1j}) \in \mathbb{Z}_q \times \mathbb{Z}_q$  and  $\nu_{2j} \in \mathbb{Z}_q$ .

Each party is also given  $sharingID$  for a new sharing, which represents the product.

2. Each party  $P_j$  computes

$$\kappa_j \leftarrow \nu_{1j} \cdot \nu_{2j} \in \mathbb{Z}_q, \quad \kappa'_j \xleftarrow{\$} \mathbb{Z}_q,$$

and runs the dealing generation algorithm on input  $(\kappa_j, \kappa'_j)$  and with  $batchID := sharingID$ ,  $dealerID := id_j$ , to get a dealing of the form

$$(\mathbf{dealing}, sharingID, id_j, \mathbf{C}_j, \cdot).$$

Party  $P_j$  also constructs a dealing authenticator that includes a contextual proof that shows that  $\mathbf{C}_j^{(0)}$  is a Pedersen commitment to the product of  $P_j$ 's two shares. This is a standard PoK, which can be computed as follows:

$$\text{PoK} \left[ \begin{array}{l} x_2 := \nu_{2j}, y := \kappa'_j - \nu'_{1j}\nu_{2j} : \\ g^{x_2} = \mathbf{D}_2^{(j)}, (\mathbf{D}_1^{(j)})^{x_2} h^y = \mathbf{C}_j^{(0)} \end{array} \right].$$

3. Each party obtains a dealing verification certificate on its dealing using the dealing certification protocol in Section 7.7, and then obtains a batch of  $k := 2f + 1$  dealings using the batch agreement protocol in Section 7.8. Note that in Step 2 of the dealing certification protocol, each party  $P_j$  will validate the above PoKs.

Assume the dealings in the batch are

$$d_s = (\mathbf{dealing}, batchID, dealerID_s, \mathbf{C}_s, (\chi_s; c_{s1}, \dots, c_{sn})), \quad (21)$$

for  $s = 1, \dots, k$ .

4. Using the dealings in (21), each party  $P_j$  does the following:

- (a) compute  $(\mu_{sj}, \mu'_{sj}) \leftarrow D((batchID, dealerID_s), sk_j, \chi_s, c_{sj})$  for  $s = 1, \dots, k$ ;
- (b) run subprotocol `FixBadShares`;
- (c) construct a sharing with the given  $sharingID$ , polynomial commitment  $\mathbf{C} \in \mathbb{G}^{f+1}$ , and private data  $(\mu_j, \mu'_j) \in \mathbb{Z}_q \times \mathbb{Z}_q$ , where

$$\mathbf{C} \leftarrow \prod_{s=1}^k \mathbf{C}_s^{\lambda_s}, \quad \mu_j \leftarrow \sum_{s=1}^k \lambda_s \mu_{sj}, \quad \text{and} \quad \mu'_j \leftarrow \sum_{s=1}^k \lambda_s \mu'_{sj},$$

where, for  $s = 1, \dots, k$ , if  $\text{idx}(s)$  is the index of the party named  $dealerID_s$ ,  $\lambda_s$  is the Lagrange coefficient

$$\lambda_s := \lambda_{\text{idx}(s)}^{(I/0)},$$

where  $I := \{\text{idx}(s) : s = 1, \dots, k\}$ .

### A.3.3 Resharing

We will also need variants of the Reshare protocol in Section 8.6.

The first variant will take a masked sharing  $[\mu]$  and generates a corresponding unmasked sharing. In the ideal functionality, the value  $g^\mu$  is leaked to the adversary. The protocol will work much like the resharing protocol in Section 8.6, except that

- in Step 2, the *unmasked* dealing generation algorithm is invoked on input  $\nu_{1j}$ ,
- the dealing authenticator includes the same PoK as used in the open `OpenPower` protocol (see Section 8.4) with the base  $v := g$  (but see below for an optimization),
- the `FixBadShares` subprotocol is modified to work with unmasked dealings (in particular, *complaints* and *forced openings* need to be modified appropriately), and
- the other parts of Step 5 of the Reshare protocol also need to be modified to work with unmasked dealings, as appropriate.

The second variant will simply reshare an unmasked dealing to create a new unmasked dealing. This is essentially the same as the protocol in Section 8.6, modified appropriately to work with unmasked dealings instead of masked dealings.

**An optimization.** We can actually get by with a simpler PoK for the masked to unmasked sharing. Instead of using the same PoK as used in the open `OpenPower` protocol, we can use

$$\text{PoK}[x' := \mu'_j : \mathbf{D}_1^{(j)} / \mathbf{C}_j^{(0)} = h^{x'}].$$

Here,  $\mathbf{D}_1$  is the polynomial commitment for the masked sharing that is being reshared, and  $\mathbf{C}_j$  is  $P_j$ 's dealing in the resharing protocol (following the notation in Section 8.6).

For the security proof, we proceed as in Section 9.1. We are assuming the following. Suppose  $P_j$  is a corrupt party. The simulator has  $(\mu_j, \mu'_j)$  such that

$$\mathbf{D}_1^{(j)} = g^{\mu_j} h^{\mu'_j},$$

which corresponds to the masked sharing that is being reshared. The simulator also has  $\mu_j^*$  such that

$$\mathbf{C}_j^{(0)} = g^{\mu_j^*},$$

which corresponds to  $P_j$ 's dealing in the resharing protocol. This holds because we are assuming this dealing has been validated, which means the simulator can use the decryptions of the honest parties' shares to reconstruct all of the other shares, as well as the secret  $\mu_j^*$  being shared. This is the advantage the simulator has in this setting compared to the `OpenPower` protocol. Further suppose that  $\mu_j^* \neq \mu_j$ .

We show how to use such an adversary to solve the DL problem in  $\mathbb{G}$ . By a rewinding argument, we can then extract from the adversary  $x'$  such that

$$\mathbf{D}_1^{(j)} / \mathbf{C}_j^{(0)} = h^{x'}.$$

This means that

$$g^{\mu_j} h^{\mu'_j} = \mathbf{D}_1^{(j)} = \mathbf{C}_j^{(0)} \cdot h^{x'} = g^{\mu_j^*} h^{x'}.$$

The assumption that  $\mu_j^* \neq \mu_j$  gives us two representations of  $\mathbf{D}_1^{(j)}$  with respect to  $(g, h)$ , which allows us to solve the DL problem in  $\mathbb{G}$ .

We can also give a GGM-based security proof. In the NIZK, the adversary must commit to a group element  $R$ , receive a random challenge  $c$ , and then compute  $x'$  such that

$$h^{x'} = R \cdot (\mathbf{D}_1^{(j)} / \mathbf{C}_j^{(0)})^c. \quad (22)$$

We suppose that

$$\mathbf{D}_1^{(j)} = g^{\mu_j} h^{\mu'_j}, \quad \mathbf{C}_j^{(0)} = g^{\mu_j^*}, \quad R = g^r h^{r'}. \quad (23)$$

Equating  $g$ -powers and  $h$ -powers in (22) gives us two equations:

$$0 = r + (\mu_j - \mu_j^*)c, \quad x' = r' + \mu'_j c. \quad (24)$$

Since we are assuming  $\mu_j^* \neq \mu_j$ , the equation  $0 = r + (\mu_j - \mu_j^*)c$  can hold only with probability  $1/q$ .

**Security implications of unmasked sharings.** While all of the security proofs in the static corruption model (which is our default assumption) go through essentially unchanged, in the adaptive corruption model (see Section 10.1.2), things get more complicated. The issue is that now each dealing in a sharing effectively becomes a new “LDL target”, rather than just one “LDL target” for the entire sharing, which complicates the generic group model analysis of ECDSA [GS21] and degrades the efficiency of the reduction to the preimage resistance of  $H_{\text{dsa}}$  even further.

### A.3.4 Cross-network resharing

We will also want a variant of the XNetReshare protocol in Section 8.7 that takes an unmasked sharing on one network and re-shares it to another network. This is essentially the same as the protocol in Section 8.7, modified appropriately to work with unmasked dealings instead of masked dealings.

### A.3.5 Key generation and signing

In this section, we will denote an *unmasked* sharing by  $[[\cdot]]$  and an *masked* sharing by  $[\cdot]$ , as before.

**Key generation.** We will generate a public-key/secret-key pair as follows:

1.  $[\alpha] \xleftarrow{\$} \mathbb{Z}_q$  // **Random**
2. Reshare to get an *unmasked* sharing  $[[\alpha]]$  of  $\alpha$ .

The secret key is  $\alpha \in \mathbb{Z}_q$ , and the public key is the constant term of the polynomial commitment of  $[[\alpha]]$ .

Note that it is essential to generate the masked sharing first to maintain security — see Section 3.6.



**Signing.** We assume we having sharings

$$\llbracket \kappa \rrbracket, [\lambda], [\mu] = [\kappa\lambda] \quad \text{and} \quad \llbracket \alpha \rrbracket, [\lambda], [\omega] = [\alpha\lambda],$$

as well as the **presignature**  $R := g^\kappa$ .

We assume all of these sharings and the value  $R$  are precomputed. Here,  $\alpha$  is the secret key and  $g^\alpha$  is the public key, as computed above. The values  $\kappa$  and  $R = g^\kappa$  can be computed using exactly the same protocol (for the security proofs to go through, it is essential to first generate a masked sharing of  $\kappa$  and then obtain an unmasked sharing). The sharing  $[\lambda]$  is computed using the **Random** protocol, and the sharings  $[\mu]$  and  $[\omega]$  are computed using the **Mul** protocol (using the variant  $masked \times unmasked \rightarrow unmasked$  of **Mul**).

Each signing request will require a quadruple of precomputed sharings

$$\llbracket \kappa \rrbracket, [\lambda], [\mu], [\omega],$$

along with the presignature  $R := g^\kappa$ . A mechanism will be needed to associate with each signing request such a quadruple. It is critical that

- each quadruple is used for at most one signing request (breaking this rule would reveal the signing key), and
- once the signing protocol is initiated with a given signing request and a given quadruple, the signing protocol must be run to completion (breaking this rule would yield two signatures for the same signing request, leading to “signature malleability” issues).

The actual signing protocol is *identical* to that in Section 2.5.2. It explicitly uses the sharings  $[\lambda], [\mu], [\omega]$ , but does not explicitly use the sharings  $\llbracket \alpha \rrbracket, \llbracket \kappa \rrbracket$ .

NOTES:

1. The sharing of  $\kappa$  can be either a masked or unmasked sharing as convenient. For security, it should be initially generated as a masked sharing. As described above, it is converted to an unmasked sharing and then that unmasked sharing is used as an input to the **Mul** protocol. This allows us to get by with just a single variant of the **Mul** protocol ( $masked \times unmasked \rightarrow unmasked$ ).
2. Alternatively, we could skip the step of converting the masked sharing of  $\kappa$  to an unmasked sharing. This would then require another variant of the **Mul** protocol ( $unmasked \times unmasked \rightarrow unmasked$ ). This would allow us to do the precomputation step with less latency.

### A.3.6 Random unmasked dealings

We can naturally adapt protocol **Random** in Section 8.1 so that it uses unmasked dealings and creates an unmasked sharing. In this case, the ideal functionality must inherently leak  $u := g^\mu$ . However, this is not sufficient: the ideal functionality must take into account the fact that an adversary has the ability to *bias* the shared value in a limited way.

One can prove that this protocol emulates the following ideal functionality:

- the ideal functionality generates  $\mu^* \xleftarrow{\$} \mathbb{Z}_q$  and gives  $u^* := g^{\mu^*}$  to the simulator (i.e., ideal-world adversary);
- the simulator chooses bias  $(\beta, \gamma) \in \mathbb{Z}_q^* \times \mathbb{Z}_q$  and gives  $(\beta, \gamma)$  to the ideal functionality;
- the ideal functionality sets the shared value  $\mu := \beta\mu^* + \gamma$ .

The proof of this uses the random self reducibility property of the discrete logarithm, so that given  $u^*$ , the simulator can generate a simulated dealing on behalf of an honest party  $P_i$  so that the constant term of the polynomial commitment of such a dealing is of the form  $u^* g^{\rho_i}$  for random  $\rho_i \in \mathbb{Z}_q$ . In addition, for each dealing in the batch that is contributed by a corrupt party  $P_j$ , the simulator can extract the value  $\sigma_j$  shared by that dealing. Thus, the constant term of the polynomial commitment of the resulting sharing is

$$\prod_i (u^* g^{\rho_i}) \cdot \prod_j g^{\sigma_j}.$$

The simulator sets  $\beta$  above to the number of honest dealings in the batch, and sets  $\gamma$  to

$$\gamma := \sum_i \rho_i + \sum_j \sigma_j.$$

A similar observation was made in [GJKR03] for the protocol in [Ped91b] based on Feldman VSS in the synchronous communication model.

We shall not make use of this protocol in any of our constructions here. As already observed in Section 3.6, we cannot use this protocol for ECDSA key generation. However, it may be safe to use this protocol for key generation for other cryptosystems. Also, in the adaptive corruption model, each dealing becomes an “LDL target” (see Section 10.1.2).

#### A.4 Key derivation details

Much of this section is adapted from [GS21]. In additive key derivation, we add a “tweak”  $\epsilon \in \mathbb{Z}_q$ . Here, we describe how this tweak is derived using a generalization of the BIP32 standard [Wui20] (we only consider the “non-hardened” version of BIP32). In this section, we shall assume that the group  $\mathbb{G}$  is subgroup of order  $q$  of an elliptic curve, but we shall continue to use multiplicative notation.

We first review the BIP32 standard, presented with somewhat different notation and emphasis. BIP32 makes use of the curve `secp256k1` in [Cer10]. This is a curve of prime order  $q$ , where  $q$  is of the form

$$q = 2^{256} - q',$$

where

$$0 \leq q' < 2^{129}.$$

Because of the special form of  $q$ , a randomly chosen integer in the range  $[0, 2^{256})$  will lie outside the range  $[0, q)$  with probability at most  $2^{-(256-129)} = 2^{-127}$ .

BIP32 makes use of HMAC-SHA512, which we denote here simply by HMAC. The function HMAC takes two inputs:

- the first input is the “key”;
- the second input is the “data”.

In general, both inputs are byte strings of arbitrary length. HMAC produces 64-byte outputs. It is based on a Merkle-Damgård design with a chaining variable 64 bytes, and a block size of 128 bytes.

Although HMAC was initially designed as a pseudo-random function, it is often assumed to be a random oracle (viewing *both* inputs as inputs to the oracle). [DRST13] show that HMAC is indistinguishable from a random oracle provided the set of keys is mildly restricted. Indeed, as shown in [DRST13], if an application only uses only fixed length keys of length at most 127 bytes, then HMAC is essentially as good as a random oracle. As we will see, BIP32 satisfies this restriction.

Some notation:

- Let  $B$  be the set of all bytes.
- Let  $\mathcal{S} := B^*$ , the set of all byte strings.
- Let  $\mathcal{C} := B^{32}$ , the set of all **chain codes**.
- Let  $\text{HMAC}_2$  be the function that outputs  $(a, b)$ , where  $a$  is the first 32 bytes of HMAC and  $b$  is the last 32 bytes.
- For  $s \in \mathcal{S}$ , let  $[s]$  denote the integer for which  $s$  is a base-256 representation, and let  $[s]_q$  denote the image of  $[s]$  in  $\mathbb{Z}_q$ .
- For an element  $w \in \mathbb{G}$ , let  $\langle w \rangle \in \mathcal{S}$  be the compressed SEC1 encoding of  $w$  [Cer09] — note that this is a prefix-free encoding.

For a group element  $u \in \mathbb{G}$ , let us define the function

$$H_u : (\mathbb{Z}_q \times \mathcal{C}) \times \mathcal{S} \rightarrow \mathbb{Z}_q \times \mathcal{C} \\ (\epsilon, c, s) \mapsto (\epsilon + [a]_q, b), \text{ where } (a, b) := \text{HMAC}_2(c, \langle ug^\epsilon \rangle \| s).$$

We then define

$$H_u^* : \mathcal{S}^* \rightarrow \mathbb{Z}_q \times \mathcal{C}$$

as follows:

$$H_u^*(s_1, \dots, s_\ell) := \begin{cases} (0, \text{IV}) & \text{if } \ell = 0, \\ H_u(H_u^*(s_1, \dots, s_{\ell-1}), s_\ell) & \text{if } \ell > 0. \end{cases}$$

Here,  $\text{IV}$  is an arbitrary, fixed element of  $\mathcal{C}$  (it could be the all-zero string). Let  $H_u^+$  be  $H_u^*$  restricted to the domain  $\mathcal{S}^+$ .

This is essentially the BIP32 derivation function. The main difference is that in BIP32, the function  $H_u$  will *fail* if  $[a] \geq q$  or  $ug^{\epsilon+[a]_q} = 1_{\mathbb{G}}$ . Modeling HMAC as a random oracle, and because of the special form of  $q$ , this failure will occur with negligible probability, so we can safely ignore such failures. The only other difference is that in BIP32, the byte strings  $s_1, \dots, s_\ell$  are restricted to being exactly 4 bytes long, but this is not essential for any security properties (since the compressed SEC1 encoding is prefix free).

Given a master public key  $u \in \mathbb{G}$  and  $(s_1, \dots, s_\ell) \in \mathcal{S}^*$ , if  $H_u^*(s_1, \dots, s_\ell) = (\epsilon, c)$ , then  $ug^\epsilon$  is the corresponding public key derived from  $u$  via  $(s_1, \dots, s_\ell)$ . If  $\alpha \in \mathbb{Z}_q$  is the master secret key, so that  $u = g^\alpha$ , then  $\alpha + \epsilon$  is the corresponding derived secret key. Observe that any party who knows  $u$  and  $(s_1, \dots, s_\ell)$  (as well as  $\text{IV}$ ) can compute the derived public key. Note that some use cases of BIP32 consider  $\text{IV}$  to be private. One such use case is where users want derived public keys to be unlinkable. However, our analysis here assumes it is public, which is sufficient for analyzing the security of ECDSA against forgery using derived public keys.

**Use in the Internet Computer.** The function  $H_u^+$  will be used to derive keys, but in evaluating  $H_u^+(s_1, \dots, s_\ell)$ , the first argument  $s_\ell$  will be the *canister ID*, while arguments  $s_2, \dots, s_\ell$  are the usual BIP32 derivation indices. Note that in the BIP32 standard, the derivation indices are 32-bit integers with high-order bit 0, and these are encoded as byte strings of length 4, high-order byte first. Note that this key derivation function is only used for `secp256k1`. For other curves, we use the alternative construction of  $H_u$  (see Section A.4.2).

#### A.4.1 Security analysis of BIP32 key derivation

It would be nice to show that  $H_u^+$  is indistinguishable from a random oracle (RO), in the sense defined in [CDMP05]. However, because of extension attacks, we cannot hope to do this. However, we can still show that  $H_u^+$  is indistinguishable from a so-called **public-use random oracle (pub-RO)**, a notion defined in [DRS09]. Essentially, with a pub-RO, the adversary is allowed to ask for all queries made to the random oracle by any honest parties. This is sufficient for analyzing the security of ECDSA with tweaks derived via  $H_u^+$ , as there are no “secret” inputs to  $H_u^+$  made by the challenger in the forgery attack game.

It is shown in Theorem 7.1 in [DRS09] that the Merkle-Damgård construction applied to a pub-RO compression function is itself indistinguishable from a pub-RO. This theorem does not require any “strengthening” (i.e., a suffix-free encoding of the input).

Since  $H_u^+$  is exactly the Merkle-Damgård construction applied to the compression function  $H_u$ , we could apply this result here, provided we can show that  $H_u$  is indistinguishable from a pub-RO, where HMAC is modeled as a random oracle. Unfortunately, we cannot do this without computing discrete logs. Indeed, given an input  $(c, \langle w \rangle \parallel s)$  to HMAC, an indistinguishability simulator would have to be able to determine  $\epsilon \in \mathbb{Z}_q$  such that  $w = ug^\epsilon$ , and query the oracle representing  $H_u$  at the point  $((\epsilon, c), s)$ , just in case the adversary would later query  $H_u$  at this point.

Luckily for us, Theorem 7.1 in [DRS09] actually applies to any compression function that is indistinguishable from what [DRS09] call a **public-use guarded random oracle (pub-GRO)**. Roughly speaking, in the pub-GRO indistinguishability game for  $H_u$ , the adversary does not have unfettered access to the oracle representing  $H_u$ , but only to an input of the form  $((\epsilon, c), s)$ , where  $(\epsilon, c)$  is an **allowable pair** in the following sense: either  $(\epsilon, c) = (0, \text{IV})$  or  $(\epsilon, c)$  previously output by the oracle. This restriction avoids the problem indicated above. Indeed, given an input  $(c, \langle w \rangle \parallel s)$  to HMAC, an indistinguishability simulator can test if there is an allowable pair of the form  $(\epsilon, c)$  where  $w = ug^\epsilon$ ; if not, we can safely assume that  $H_u$  will never be queried at the corresponding point, and so the simulator can just respond

with random junk.

We claim the following: *assuming HMAC is modeled as a random oracle,  $H_u$  is indifferntiable from a pub-GRO.* To prove this, one must take into account the special form of  $q$ , which ensures that the uniform distribution on  $\{0, \dots, q-1\}$  is statistically very close to the uniform distribution on  $\{0, \dots, 2^{256}-1\}$ . One must also make use of the fact that the compressed SEC1 encoding is prefix free. From this, the claim follows.

From the claim, we may conclude that  $H_u^+$  is indifferntiable from a pub-RO.

Now consider the function

$$\begin{aligned} \pi_1 : \mathbb{Z}_q \times \mathcal{C} &\rightarrow \mathbb{Z}_q \\ (\epsilon, c) &\mapsto \epsilon, \end{aligned}$$

which projects onto its first argument. The function we are ultimately interested in is  $Hash'_u := \pi_1 \circ H_u^*$ . This function maps a variable length tuple  $(s_1, \dots, s_\ell) \in \mathcal{S}^*$  to a tweak  $\epsilon \in \mathbb{Z}_q$ . By the above observations,  $Hash'_u$  restricted to  $\mathcal{S}^+$  is indifferntiable from a pub-RO (and  $Hash'_u(\cdot) = 0$ ).

It is also easy to show that  $Hash'_u$  is collision resistant, assuming that the function  $\pi_1 \circ H_u$  is collision resistant and that it is hard to find a preimage of 0 under  $\pi_1 \circ H_u$  (the latter condition is needed, since we are not using any “strengthening” in the Merkle-Damgård construction).

#### A.4.2 An alternative construction for $H_u$

For a group element  $u \in \mathbb{G}$ , let us define the function

$$\begin{aligned} H_u : (\mathbb{Z}_q \times \mathcal{C}) \times \mathcal{S} &\rightarrow \mathbb{Z}_q \times \mathcal{C} \\ ((\epsilon, c), s) &\mapsto (\epsilon + [a]_q, b), \text{ where } (a, b) := \text{HMAC}'_2(c, \langle ug^\epsilon \parallel s \rangle). \end{aligned}$$

The function  $\text{HMAC}'_2$  is defined in terms of the function *expand\_message\_xmd* from [FSS<sup>+</sup>22] (which is also used to define the function *hash\_to\_field*, used elsewhere in this document). We define

$$\text{HMAC}'_2(c, s) := (a, b),$$

where

$$a \parallel b = \text{expand\_message\_xmd}(c \parallel s, \text{ecdsa-derive-tweak}, \text{len}).$$

Here,

- $c \in \mathcal{C}$ ,
- $s \in \mathcal{S}$ ,
- `ecdsa-derive-tweak` is a domain separator,
- $\text{len}$  is the length (in bytes) of the output of *expand\_message\_xmd*,
- $b \in \mathcal{C}$ ,
- $a \in \mathcal{S}$  is a byte string of length  $\text{len} - 32$ .

The parameter  $len$  should be chosen so that

$$\frac{q}{256^{len-32}}$$

is negligible. For example, we could choose  $len$  to be the byte length of  $q$  plus 64.

**Security analysis.** In the terminology introduced in Section A.4.1, one can show that assuming  $expand\_message\_xmd$  is a random oracle and the parameters are chosen as above, then  $H_u$  is indifferentiable from a pub-GRO, and the security analysis in Section A.4.1 goes through to establish that the resulting function  $H_u^+$  is indifferentiable from a pub-RO. Unlike the analysis in Section A.4.1, this analysis does not require that  $q$  is of a special form, which is why this construction should be used for curves other than `secp256k1`.

## A.5 Some bookkeeping details

At a high level, our implementation works as follows.

We process a sequence of *signing records*

$$SR_1, SR_2, \dots$$

Each signing record consists of

- a message  $m$  (but see Section A.5.2);
- a derivation path  $path$  that will be used to derive an additive tweak  $\epsilon$  via BIP32 key derivation, as described in Section A.4 (we actually implement the generalization of BIP32 analyzed in Section A.4, which allows arbitrary by strings as components of  $path$ );
- a seed  $s$  — this will be used to derive a randomizer  $\delta \in \mathbb{Z}_q$  as in (1).

We generate a sequence of *quadruples*

$$Q_1, Q_2, \dots$$

Each quadruple consists of sharings

$$[[\kappa]], \quad [\lambda], \quad [\mu] = [\kappa\lambda], \quad [\lambda], \quad [\omega] = [\alpha\lambda],$$

as described in Section A.3.5. Since  $[[\kappa]]$  is an unmasked sharing, it determines the presignature value  $R = g^\kappa$  as well.

Finally, we have a sharing  $[[\alpha]]$  of the signing key. Since  $[[\alpha]]$  is an unmasked sharing, it determines the public key  $u$  (the public key is needed to compute the BIP32 derivation).

The **signature generation module** will pair signing record  $SR_i$  with quadruple  $Q_i$  to generate a signature. If  $SR_i = (m, path, s)$  and  $Q_i$  determines the presignature  $R$ , the additive tweak  $\epsilon \in \mathbb{Z}_q$  is derived from the public key  $u$  and  $path$  using BIP32 key derivation, and the randomizer  $\delta \in \mathbb{Z}_q$  is derived as in (1) from the values  $s, R, \epsilon, \phi$ , where  $\phi = H_{dsa}(m)$ .

The overall design of Internet Computer is that of a replicated state machine. A blockchain-based consensus protocol is used to deliver blocks to an execution layer, which updates the replicated state.

The values  $m$  and  $path$  of a signing record are generated by an “asynchronous system call” from the execution layer, which itself is generated in response to the finalization of a particular block on the blockchain (as a deterministic function of the current replicated state and the contents of the block). The seed value  $s$  of the signing record is derived from a random beacon that is generated only *after* this block has been finalized (the random beacon is implemented using an  $(f + 1)$ -out-of- $n$  threshold BLS signature, shares of which are only broadcast after this block has been finalized). This is a crucial aspect of the design, as it guarantees that in the signature attack game, the random seed  $s$  is revealed to the adversary only *after* the adversary effectively presents the values  $m$  and  $path$  to the signing oracle. This random beacon is already built into the Internet Computer architecture, and is used for supplying unpredictable, pseudorandom bits to the execution layer. Given the current design of the Internet Computer architecture, even though the random beacon is generated only after the block that generates the asynchronous system call is finalized, this does not create any additional delay in passing the signing record to the signature generation module.

The construction of the quadruples  $Q_1, Q_2, \dots$  happens concurrently with the construction of the signing records  $SR_1, SR_2, \dots$  (using the blockchain to implement the consensus subprotocol used in implementing the subprotocols used to construct these sharings). Even though  $SR_i$  is paired with  $Q_i$ , the quadruple  $Q_i$  may be constructed either before or after the signing record  $SR_i$  is constructed. In particular, the presignature corresponding to  $Q_i$  may be revealed to the adversary before the adversary commits to the message and path values of  $SR_i$ . This is fine from a security point of view, since our attack model allows precisely for this. It is also possible that presignature corresponding to  $Q_i$  is revealed to the adversary after the adversary commits to the message and path values of  $SR_i$ . This is also fine from a security point of view, since this only makes the adversary’s attack more difficult, as the adversary must choose the message and path before seeing the presignature. However, for this argument to remain valid, it is important that the adversary cannot influence in any way the value of this presignature. This is the case in our implementation, where  $Q_1$  is the first quadruple initiated,  $Q_2$  is the second quadruple initiated, and so on. So even if we have only a single signing record  $SR_1$ , and if quadruple  $Q_2$  happens to complete before quadruple  $Q_1$  completes, we wait for  $Q_1$  to complete before it is paired with  $SR_1$  and the signature is generated. Note, however, in another situation where we have two signing records  $SR_1$  and  $SR_2$ , if quadruple  $Q_2$  happens to complete before  $Q_1$  completes, we can proceed to generate the signature for  $SR_2$  while  $SR_1$  is still waiting for  $Q_1$  to complete. This is safe because the attacker cannot influence the choice of which quadruple  $SR_1$  gets paired with.

For example, it would not be acceptable to initiate the generation of several quadruples which may complete in different orders (based on the order in which protocol messages are delivered), and then call the first quadruple to complete  $Q_1$ , the second to complete  $Q_2$ , and so on. This is because an adversary can influence the order in which these quadruples complete, and this can happen *after* the adversary has made several signing records and has already seen the corresponding random beacons. Although we know of no particular attack, this type of attack would fall outside of our formal attack model, as the adversary

could adaptively choose which presignatures to pair with which signing records *after* the corresponding random beacons have been revealed (but also after the messages and paths have been committed to, which still constrains the adversary quite a bit). Indeed, our analysis assumes that the random beacon and hence the derived randomizer  $\delta$  is only revealed to the adversary after the adversary commits to message, the path, *and* the presignature.

### A.5.1 A wrinkle: quadruple disposal

From time to time, our implementation will dispose some quadruples that have already been constructed. This may happen, for example, when the network membership changes. In this case, the secret signing key needs to be reshared among the new members. We could also reshare the sharings comprising any already constructed quadruples. Instead, our implementation will simply dispose any unused quadruples. However, to avoid the undesirable situation where the adversary may get two independent signatures on the same signing record, our implementation ensures that if the signature generation module *may* have already released a share of a signature for a signing record, then the corresponding quadruple is *not* disposed and the signing record is completed using that quadruple. We call such a quadruple **unequivocally paired** with a signing record. This may require that members of the previously configured network participate in the protocol until all such extant signing records are complete.

There still may be other quadruples that are not yet complete or not yet unequivocally paired with a signing record, but for which the corresponding presignature has already been revealed, and these will be disposed. Moreover, we assume the adversary has enough power to influence the timing of events that determine when such quadruples will be disposed.

We model this as follows. At a given point in time, we have initiated construction of quadruples

$$Q_1, \dots, Q_k$$

where quadruples  $\{Q_i\}_{i \in I}$  have been unequivocally paired with signing records. The quadruples  $Q_j$  for  $j \in J := \{1, \dots, k\} \setminus I$  have not yet been unequivocally paired with signing records — they may or may not have been fully completed. At this point in time, the adversary may choose to **dispose** some of the quadruples  $Q_j$  for  $j \in J$ . When this happens, all of these disposed quadruples will be replaced by fresh quadruples  $Q'_j$  for  $j \in J$ .

Note that when the adversary disposes  $Q_j$  for  $j \in J$ , some of the corresponding presignatures may have already been revealed to the adversary, and some of the seeds corresponding to  $SR_j$  for  $j \in J$  may have already been revealed to the adversary. Consider such a signing record  $SR_j = (m, path, s)$ , where  $s$  has already been revealed to the adversary at the point in time when  $Q_j$  is disposed. Let  $\epsilon$  be the tweak derived from  $path$  and  $\phi = H_{\text{dsa}}(m)$ . Suppose that the presignature associated with  $Q_j$  is  $R$ , which may or may not have already been revealed to the adversary. Since  $Q_j$  gets replaced by a fresh  $Q'_j$ , the corresponding presignature  $R$  is also replaced by new random presignature  $R'$ , and the old randomizer  $\delta = H_{\text{delta}}(s, R, \epsilon, \phi)$  is replaced by the new randomizer  $\delta' = H_{\text{delta}}(s, R', \epsilon, \phi)$ . Since we are modeling  $H_{\text{delta}}$  as a random oracle, and since  $R'$  is generated freshly, the value  $\delta'$  can also be modeled as a fresh random value.

With these observations, we can model quadruple disposal by slightly modifying the ideal functionality  $\mathcal{F}_{\text{ecdsa}}$  in Section 2.6 to obtain the new functionality  $\mathcal{F}'_{\text{ecdsa}}$ , defined as



follows. *Initialization* and *presignature requests* are the same as in  $\mathcal{F}_{\text{ecdsa}}$ . A *signature request* is the same as in  $\mathcal{F}_{\text{ecdsa}}$ , except that instead of giving  $\rho, \sigma, \delta$  to  $\mathcal{S}$  it only gives  $\delta$  to  $\mathcal{S}$ . For the given *sigID*, a sequence of corresponding *signature reset requests* may then be issued, each one has the effect of generating a new presignature  $R$  and a new randomizer  $\delta$  — these values replace the old values and are also given to  $\mathcal{S}$ . After this sequence of *signature reset requests*, a single *signature generate request* may be issued, which gives the signature  $(\rho, \sigma)$  to  $\mathcal{S}$ . The only other change necessary is that for the control message (`output-sig`, *sigID*,  $i$ ) that specifies when a party  $P_i$  should output a signature, the requirement is that  $P_i$  should have already received a corresponding *signature generate request*.

We can modify the attack game in Section 8.4 of [GS21] accordingly, so that the adversary interacts with a challenger by issuing a series of *presignature*, *signature*, and *signature reset*, and *signature generate* requests corresponding to analogous requests in  $\mathcal{F}'_{\text{ecdsa}}$ . We also define corresponding notions of *existential unforgeability* and *strong unforgeability up to sign* (analogous to the definitions reviewed in Section 2.6.4:

- By *existential unforgeability with resets*, we mean that the adversary cannot feasibly create a valid signature  $(\rho^*, \sigma^*)$  for a message/tweak pair  $(m^*, \epsilon^*)$  unless it made a *signature request* for the same message/tweak pair.
- By *strong unforgeability up to sign with resets*, we mean that the adversary cannot feasibly create a valid signature  $(\rho^*, \sigma^*)$  for a message/tweak pair  $(m^*, \epsilon^*)$  other than one that is *equivalent up to sign* to the response  $(\rho, \sigma)$  to some *signature generate request* on that same message/tweak pair.

Note the distinction: for *existential unforgeability* we look at *signature requests*, while for *strong unforgeability up to sign*, we look at *signature generate requests*.

We first observe that existential unforgeability implies existential unforgeability with resets. Suppose an adversary breaks existential unforgeability with resets. Then we modify the reset attack game so that the challenger immediately generates all signatures arising from *signature requests* and *signature reset requests*. This gives us an adversary that breaks existential unforgeability with the same advantage.

We next argue that strong unforgeability up to sign implies strong unforgeability up to sign with resets. Suppose an adversary breaks strong unforgeability up to sign with resets. This means he outputs a valid signature  $(\rho^*, \sigma^*)$  for a message/tweak pair  $(m^*, \epsilon^*)$  that is not *equivalent up to sign* to the response to any *signature generate request* on that same message/tweak pair. Let  $u^* := ug^{\epsilon^*}$  be the derived public key and let  $\phi^* := H_{\text{dsa}}(m^*)$  be the message hash. Let

$$\tau^* := (\sigma^*)^{-1}, \quad R^* := g^{\tau^* \phi^*} (u^*)^{\tau^* \rho^*}$$

as in the signature verification algorithm, so that  $\rho^* = C(R^*)$ .

For each *signature request* on a message/tweak pair  $(m, \epsilon)$  and presignature  $R$ , a randomizer value  $\delta$  is returned, which determines the *re-randomized presignature*  $\hat{R} := Rg^\delta$ . Each subsequent corresponding *signature reset request* generates a new presignature and a new randomizer, which determines a new re-randomized presignature. We call such a signature or signature reset request *unfulfilled* if it was not converted to a signature via a corresponding *signature generation request*. Otherwise, we call it *fulfilled*.

We consider two cases:

**Case 1:** for some unfulfilled signature or signature reset request on a message/tweak pair  $(m, \epsilon)$  and with re-randomized presignature  $\hat{R}$ , we have  $(m^*, \epsilon^*) = (m, \epsilon)$  and  $R^* = \hat{R}^{\pm 1}$ .

**Case 2:** otherwise.

Consider Case 2 first. In this case, just as we did above for existential unforgeability, we modify the reset attack game so that the challenger immediately generates all signatures arising from *signature requests* and *signature reset requests*. For those requests that ultimately remain *unfulfilled*, let us call these *extra signatures*. The key observation is that, by assumption,  $(\rho^*, \sigma^*)$  is not *equivalent up to sign* to any of the extra signatures produced for the same message/tweak pair. This gives us an adversary that breaks strong unforgeability up to sign with the same advantage.

For Case 1, we can convert the given adversary into one that breaks the discrete logarithm. This new adversary will run the attack game knowing the secret key  $\alpha$  corresponding to the public key  $u = g^\alpha$ . Given a discrete logarithm challenge  $X$ , it will guess which presignature will ultimately be the one that corresponds to the unfulfilled request defining Case 1, and set that presignature to  $R := X$ . If the discrete logarithm of  $R$  is needed to fulfill a request, then our adversary's request was wrong and gives up. The original adversary's forgery  $(\rho^*, \sigma^*)$  satisfies

$$(Xg^\delta)^{\pm 1} = R^* = g^{\tau^* \phi^*} (u^*)^{\tau^* \rho^*} = g^{\tau^* \phi^*} (g^{\alpha + \epsilon^*})^{\tau^* \rho^*},$$

where  $\delta$  is the randomizer associated with the unfulfilled request. From this, we can compute the discrete logarithm of  $X$ . Note that the security in this reduction degrades linearly with the number of presignatures.

So we have shown that an adversary that breaks strong unforgeability up to sign with resets can be used to either (a) break strong unforgeability up to sign, or (b) breaks the discrete logarithm.

### A.5.2 Another wrinkle: raw signing queries

In our implementation, the API for signing requests actually consists of a message hash  $\phi \in \mathbb{Z}_q$ , rather than a message  $m$ , along with a derivation path *path*. The first entry in *path* is a *entityID* that specifies the *entity* who controls the path and (by extension) the signing key derived by *path* via (generalized) BIP32 derivation. An *access control mechanism* is implemented that ensures that signing requests made with respect to *path* are authorized by the controlling entity.

An entity is called *honest* if it always computes  $\phi$  as  $\phi \leftarrow H_{\text{dsa}}(m)$  for some message  $m$ . A *corrupt* entity may compute  $\phi$  arbitrarily, not necessarily as the output of  $H_{\text{dsa}}$ .

It was deemed convenient to include  $\phi$  rather than  $m$  in the signing API, as this would allow us to implement remote signing requests that did not require the transmission of potentially long messages across the network. All of our protocols are easily adapted to work with message hashes instead of messages, and the security proofs for these protocols go through essentially unchanged, so security is reduced to the security of non-threshold ECDSA with the same type of signing queries, which was analyzed in [GS21].

As discussed after Theorem 6 in [GS21], the unforgeability properties of ECDSA remain unchanged even if we allow “raw signing” queries, where the inputs  $\phi$  and  $\epsilon$  are unconstrained. In this setting, (strong) unforgeability (up to sign) means that if the adversary can compute a signature on a message  $m^*$  and a path  $path^*$ , which define  $\phi^* := H_{\text{rsa}}(m^*)$  and the tweak  $\epsilon^*$  is derived from  $path^*$ , there must have been a raw signing query  $(\phi^*, \epsilon^*)$  (which output a signature equivalent up to sign to the adversary’s output signature). For our system, the implication is this: assuming the collision resistance of  $H_{\text{dsa}}$ , the collision resistance of the tweak derivation function, and the security of our access control mechanism, this raw signing request was in fact made by the honest entity controlling  $path^*$ , and this honest entity computed  $\phi^*$  as  $\phi^* \leftarrow H_{\text{rsa}}(m^*)$ .

In our system, while the  $\epsilon$  inputs to the signing queries are constrained, the  $\phi$  inputs are unconstrained. By allowing unconstrained  $\phi$  inputs, the main concern is as follows. Suppose an adversary wants to make it look like an honest entity who controls  $path^*$  signs the message  $m^*$ . Let  $\epsilon^*$  be the tweak derived from  $path^*$  and let  $\phi^*$  be the hash of  $m^*$ . Suppose the adversary controls a corrupt entity who controls  $path$ . Let  $\epsilon$  be the tweak derived from  $path$ . The adversary’s goal now would be to find a value  $\phi$  such that obtaining a signature on  $(\phi, \epsilon)$ , which it is authorized to obtain, can be converted into a signature on  $(\phi^*, \epsilon^*)$ , which it is not authorized to obtain. Without re-randomization of presignatures, this could easily be done as follows. Given a presignature  $R$  with  $\rho := C(R)$ , the adversary first solves the equation

$$\phi^* + \rho\epsilon^* = \phi + \rho\epsilon$$

for  $\phi$ . Next, the adversary obtains the signature  $(\rho, \sigma)$  on  $(\phi, \epsilon)$  using presignature  $R$ . We have

$$R^\sigma = g^\phi (ug^\epsilon)^\rho = g^{\phi + \rho\epsilon} u = g^{\phi^* + \rho\epsilon^*} u = g^{\phi^*} (ug^{\epsilon^*})^\rho$$

which means that  $(\rho, \sigma)$  is also a signature on  $(\phi^*, \epsilon^*)$ . Re-randomization of presignatures stops this attack, and, as shown in [GS21], all other attacks as well, which justifies the use of unconstrained  $\phi$  inputs.

## A.6 Some random oracle details

In our current implementation, SHA-256 is used both to implement  $H_{\text{dsa}}$  (the 256-bit output of SHA-256 is reduced modulo the 256-bit prime  $q$ ) and the random oracles used in the threshold ECDSA signing protocol. The random oracles are all implemented via the *expand\_message\_xmd* using appropriate domain separators. However, the messages hashed by  $H_{\text{dsa}}$  are not domain separated at all. This potentially creates a problem, as our simulator in the proof of UC security of our threshold ECDSA signing protocol “programs” the random oracle, and this programming could conceivably interfere with the reductions to collision resistance (CR), random preimage resistance (RPR), and zero preimage resistance (ZPR) of SHA-256 given in [GS21]. Nevertheless, we argue that at least in the case of static corruptions, these reductions still hold.

In the simulator given in Section 9, the only programming of the random oracle that is done is “benign”, in the sense defined in [Gro21]. More precisely, the programming done by the simulator is in service to the ZK simulators for the Fiat-Shamir-based proofs used in the OpenPower and Mul protocols (other programming is done indirectly to prove that

the simulator is faithful, such is in the analysis of the MEGa, but this programming is not relevant). For these ZK simulations, our simulator only needs the following “benign” programming ability: given a random output  $y$  from the random oracle, at some later time select an input  $x$  to the random oracle that outputs  $y$  (with the restriction, of course, that the value of the random oracle at  $x$  has not already been determined). As is easily seen, this type of “benign” programmability does not make CR, RPR, or ZPR any easier.

This is not quite a complete argument. One really has to take into account that SHA-256 is a Merkle-Damgård hash function, and take into account the specific design of *expand\_message\_xmd*. In this setting, we have a compression function  $C(cv, text)$  that takes a chaining-variable input  $cv$  and a text input  $text$ . Benign programmability in this setting means that given a random output  $y$  of  $C$ , the simulator is allowed to later choose an input  $(cv, text)$  and set  $C(cv, text) := y$ . (In fact, for our simulator, the programmed value  $cv$  is itself the output of a Merkle-Damgård hash chain starting at the fixed  $IV$  of the hash function, and only  $text$  is freely chosen by the simulator, but this is not essential.) For SHA-256,  $C$  is built from a symmetric cipher using the Davies-Meyer construction. To complete the proof, one must model the underlying symmetric cipher as an ideal cipher, and then derive CR, RPR, and ZPR properties of  $C$  assuming “benign” programming as above. This is straightforward.

Note that in the Fiat-Shamir-based proofs, we use *expand\_message\_xmd* to implement *hash\_to\_field*, and the latter is used directly to derive a challenge. The function *expand\_message\_xmd* comprises two calls to SHA-256: an “inner” call that performs “extraction”, and an “outer” call that performs “expansion”. The output from the outer call is reinterpreted as an integer and reduced mod  $q$ . For Fiat-Shamir-based proofs, it suffices to program the output on the inner call to SHA-256.

As presented, our simulator should also program the random oracle  $H_{\text{delta}}$ , which is used to derive the re-randomization value  $\delta$  as in (1). However, as already noted in the discussion following (1), the analysis in [GS21] shows that is only required that  $H_{\text{delta}}$  is sufficiently “entropy preserving”. This will hold even if  $H_{\text{delta}}$  is implemented using SHA-256 via *hash\_to\_field*, again, modeling SHA-256 as constructed from a benignly programmable idealized compression function.

**Adaptive corruptions.** In the adaptive corruption setting studied in Section 10.1, our simulator has to perform more aggressive random oracle programming, and so the above analysis does not apply. Nevertheless, we can still adapt the argument to this setting. Besides Fiat-Shamir ZK simulations, the simulator also has to program the random oracle to ensure that the relation  $h + m = c$  holds. Here,  $h$  is an output of the random oracle,  $m$  is a plaintext, and  $c$  is the ciphertext. Recall that for encryptions from honest parties to honest parties, the simulator would generate  $c$  at random, and then when either sender or receiver was corrupted, it would “backpatch” the random oracle, setting  $h := c - m$ . However, by construction,  $m$  is independent of  $c$ , and all of these  $h$  values are actually random, independent values — it is just that they are not physically generated by the oracle as in the above “benign” programming setting. Because of this, one can adapt the above arguments to prove security — the same arguments that establish CR, RPR, and ZPR properties of  $C$  assuming “benign” programming also hold in this somewhat less benign setting.

**Connections to globally programmable random oracles and indistinguishability.**

In the above discussion, we are essentially working in the “global random oracle” model. That model was introduced in [CJS14], while programmable variants of that model were introduced in [CDG<sup>+</sup>18]. None of these papers considered the notion of *benign* programmability used here — this notion was introduced in [Gro21], although not explicitly in the UC framework. Moreover, none of the papers [CJS14, CDG<sup>+</sup>18, Gro21] address the fact that hash functions like SHA-256 are not “monolithic” random oracles, but are best modeled as being constructed from an idealized compression via Merkle-Damgård. One might think that the right way to deal with this fact is to make use of the indistinguishability framework, as in [CDMP05]. However, while this framework makes sense for “local” random oracles, where the indistinguishability simulator is absorbed into the ideal-world simulator in the UC framework, it is not at all clear how this theory can be applied to “global” random oracles. For precisely these reasons, we approached the problem as above in a different way, making the global object a benignly programmable idealized compression function, not relying on the indistinguishability theory. Note, however, that we may still use indistinguishability theory to analyze a fully programmable random oracle in order to prove that the UC simulator in the ideal world is a faithful simulation. This is done indirectly in the analysis of the MEGa, which makes use of fully programmable random oracles.