# Quantum Augmented Dual Attack

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**Abstract.** We present a quantum augmented variant of the dual lattice attack on the Learning with Errors (LWE) problem, using classical memory with quantum random access (QRACM). Applying our results to lattice parameters from the literature, we find that our algorithm outperforms previous algorithms, assuming unit cost access to a QRACM. On a technical level, we show how to obtain a quantum speedup on the search for Fast Fourier Transform (FFT) coefficients above a given threshold by leveraging the relative sparseness of the FFT and using quantum amplitude estimation. We also discuss the applicability of the Quantum Fourier Transform in this context. Furthermore, we give a more rigorous analysis of the classical and quantum expected complexity of guessing part of the secret vector where coefficients follow a discrete Gaussian (mod q).

**Keywords:** Learning with Errors, Dual attack, Fast Fourier Transform, Quantum algorithms, Amplitude Estimation

# 1 Introduction

The Learning With Errors (LWE) problem was introduced by Regev [Reg05] and has since become a major ingredient for constructing basic and more advanced cryptographic primitives. It asks to find  $\mathbf{s}$  given  $(\mathbf{A}, \mathbf{b})$  with  $\mathbf{b} \equiv \mathbf{A} \cdot \mathbf{s} + \mathbf{e} \mod q$  where both  $\mathbf{s}$  and  $\mathbf{e}$  have small entries. Its conjectured hardness against quantum computers further makes all these constructions supposedly post-quantum. In NIST's Post Quantum Standardization Process, three of the six finalists rely on the conjectured hardness of algebraic variants of Learning With Errors [SSTX09,LPR10] and its variant Learning With Rounding (LWR) [BPR12] problem.

From the perspective of a cryptanalyst equipped with a quantum computer, lattice problems such as LWE are frustrating. Known quantum speedups to solving these problems are tenuous at best [AGPS20]. That is, while Grover's search offers a near quadratic quantum speedup for breaking, say, AES [JNRV20] the gains against lattice problems are significantly more modest. This is due to the rich structure of the search space in a lattice reduction algorithm that has given rise to refined structured search algorithms for these problems, e.g. [BDGL16]. As a consequence of this, the current state-of-the-art is that quantum algorithms on lattice problems can effectively be ignored when setting parameters.

The most efficient cryptanalysis techniques against LWE(-like) problems are "primal" and "dual" lattice attacks, named after whether lattice reduction is performed on the "primal" lattice related to  $\mathbf{A}$  or the "dual" lattice related to  $\{\mathbf{x} \in \mathbb{Z}_q^m \mid \mathbf{x} \cdot \mathbf{A} \equiv \mathbf{0} \bmod q\}$ . Up until recently, dual attacks were generally considered less efficient for secrets  $\mathbf{s}$  drawn from a sufficiently wide distribution. Recent developments [GJ21,MAT22] of dual attacks, however, have shown their ability to surpass primal attacks. These performance improvements are derived from combining lattice reduction on the scaled dual of a target lattice with an exhaustive search on a space related to the underlying secret  $\mathbf{s}$ . Roughly speaking, spending more resources on the exhaustive search part allows us to spend fewer resources on the lattice reduction part of the overall algorithm and vice versa.

In [GJ21] the search over part of the secret vector is realised using a Fast Fourier Transform style algorithm and the search space is significantly reduced by roughly considering only the most significant bits of this part of the secret. In [MAT22] this last step is replaced by "modulus switching" which further provides significant performance gains. These newer iterations of the dual attack relate the search space to the underlying secret in such a way that large dimensions can now be covered even when the norm of the secret vector is not very small (previous versions of the dual attack relied on, say, coefficients  $\mathbf{s}_i \in \{-1, 0, 1\}$ ).

Thus, with this new generation of dual attacks, unstructured search starts again to play a bigger role in costing attacks on LWE. It is therefore natural to ask what performance gains can be obtained by tackling this unstructured search using a Grover-like quantum algorithm. More precisely, [MAT22] relies on two different kinds of unstructured search:

- Secret guessing: part of the secret is exhaustively searched until a match is found. Since the secret is generated according to a discrete Gaussian of small width, a significant speedup can be obtained by starting the search with the most likely values of the secret first. The expected complexity of this step is known as the guessing complexity.
- FFT threshold: given a list of values in a n-dimensional array, and a threshold, the problem is to decide whether one of the coefficients of the Fourier transform of the array is above the threshold. This problem arises when trying to determine whether the secret guess was correct by distinguishing between a uniform distribution and a gaussian one.

Contributions. After some preliminaries in Section 2, we provide a quantum version of the dual attack of [MAT22]. Specifically, our improvements are twofold.

First, in Section 3 we show how to obtain a quantum speedup on the search for Fast Fourier Transform (FFT) coefficients above a given threshold. This was left as an open problem in [MAT22]. Here, we leverage the relative sparseness of the FFT and use amplitude estimation to estimate the Fourier coefficients.

Second, in Section 4 we give a more rigorous analysis of the (classical and quantum) expected complexity of guessing a vector (whose coefficients are) drawn from a modular discrete Gaussian. In [MAT22], the authors estimated

this complexity as the exponential of the entropy which is known not to be correct in general [Mas94]. We show that this complexity is indeed related to the entropy in the case of a (modular) discrete Gaussian, albeit up to a non-negligible exponential factor in the dimension.

In Section 5, we then measure the impact of our algorithm on the cost of solving lattice parameters from the literature. Following the literature, we evaluate the complexity of our algorithm under the assumption of unit-cost access to a classical memory with quantum random access (QRACM). Our algorithm provides a significant performance improvement over previous work in this model, but does not achieve a quadratic speedup overall. As a consequence, security parameters do not need to be updated in response to our findings.

In Section 6, we discuss the FFT threshold problem and its quantum complexity. Any significant speedup on this problem would yield major improvements in the complexity of the dual attack. We argue that the Quantum Fourier Transform (QFT) does not seem applicable in this context, despite being the natural approach.

#### 2 Preliminaries

Recall that  $e^{ix} = \cos(x) + i\sin(x)$ . We write [x, y] for the interval  $\{x, x + 1, \ldots, y\} \subset Z$ . We denote matrices by bold uppercase letters, e.g.  $\mathbf{A}$ , and vectors by bold lowercase letters, e.g.  $\mathbf{v}$ . We treat vectors as column matrices. We write  $\mathbf{v}^T$  for the transpose of  $\mathbf{v}$ .

#### 2.1 Lattices

A lattice  $\mathcal{L}$  is a discrete subgroup of  $\mathbb{R}^d$ . We can represent it as  $\{\sum x_i \cdot \mathbf{b}_i | x_i \in \mathbb{Z}\}$  and where  $\mathbf{b}_i$  are the columns of a matrix  $\mathbf{B}$ , we may write  $\mathcal{L}(\mathbf{B})$ . If  $\mathbf{B}$  has full column rank, we call  $\mathbf{B}$  a basis.

While the central object of this work, the dual attack, critically relies on lattice reduction, such as the BKZ algorithm, we mostly make blackbox use of these algorithms here. Thus, we refer the reader to e.g. [GJ21,MAT22] for details. In particular, the blackbox use we make of lattice reduction algorithms and, critically, lattice sieving algorithms is captured in Algorithm 1.

In Algorithm 1 the BKZ- $\beta_0$  call performs lattice reduction with parameter  $\beta_0$  where the cost of the algorithm scales at least exponentially with  $\beta_0$ . The BKZ algorithm proceeds by making polynomially many calls to an SVP oracle. In this work, this oracle is instantiated using a lattice sieving algorithm which is also called explicitly in Algorithm 1 with parameter  $\beta_1$ . Such a sieving algorithm outputs  $N_{\text{sieve}}(\beta_1)$  many short vectors in the lattice  $\mathcal{L}(\mathbf{B})$  and has a cost exponential in  $\beta_1$ . The magnitude  $N_{\text{sieve}}(\beta_1)$  also grows exponentially with  $\beta_1$  but slower than the cost of sieving. We may instantiate the lattice sieve with a classical algorithm [BDGL16] which has a cost of  $2^{0.292\,\beta_1+o(\beta_1)}$ . We may also instantiate the lattice sieve with a quantum augmented variant of sieving [LMv13,Laa15,AGPS20,CL21] which as a cost of  $2^{0.257\,\beta_1+o(\beta_1)}$ .

### Algorithm 1: Short Vectors Sampling Procedure [GJ21]

**Input:** A basis  $\mathbf{B} = [\mathbf{b}_0 \dots \mathbf{b}_{d-1}]$  for a lattice and integers  $\beta_0, \beta_1 \leq d$  and D. **Output:** A list of D vectors from the lattice.

- 1 Let N<sub>sieve</sub>(·) denote a function that returns the number of vectors returned by one call to a lattice sieving oracle.
- 2 for  $i \in [0, \lceil D/N_{\mathsf{sieve}}(\beta_1) \rceil 1]$  do
- **3** Randomise the basis **B**.
- 4 Run BKZ- $\beta_0$  to obtain a reduced basis  $\mathbf{b}'_0, \dots, \mathbf{b}'_{d-1}$ .
- Run a sieve in dimension  $\beta_2$  on the sublattice spanned by  $\mathbf{b}'_0, \dots, \mathbf{b}'_{\beta_1-1}$  to obtain a list of  $N_{\text{sieve}}(\beta_1)$  vectors and add them to L.
- 6 return L

#### 2.2 Learning with Errors

The Learning with Errors problem (LWE) is defined as follows.

**Definition 1 (LWE).** Let  $n, m, q \in \mathbb{N}$ , and let  $\chi_s, \chi_e$  be distributions over  $\mathbb{Z}_q$ . Denote by  $\mathrm{LWE}_{n,m,\chi_s,\chi_e}$  the probability distribution on  $\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m$  obtained by sampling the coordinates of the matrix  $\mathbf{A} \in \mathbb{Z}_q^{m \times n}$  independently and uniformly over  $\mathbb{Z}_q$ , sampling the coordinates of  $\mathbf{s} \in \mathbb{Z}_q^n$ ,  $\mathbf{e} \in \mathbb{Z}_q^m$  independently from  $\chi_s$  and  $\chi_e$  respectively, and outputting  $(\mathbf{A}, \mathbf{A} \cdot \mathbf{s} + \mathbf{e})$ .

We define two problems:

- Decision-LWE. Distinguish the uniform distribution over  $\mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m$  from LWE<sub>n,m,\chi\_s,\chi\_e</sub>.
- Search-LWE. Given a sample from LWE<sub> $n,m,\chi_s,\chi_e$ </sub>, recover s.

**Dual Attack.** Dual-lattice attacks, or simply "dual attacks" on LWE and related problems were introduced in [MR09]. In its simplest form it proceeds as follows. Given either  $(\mathbf{A}, \mathbf{A} \cdot \mathbf{s} + \mathbf{e})$  or  $\mathbf{A}, \mathbf{u}$  where  $(\mathbf{A}, \mathbf{u})$  are uniform and wlog  $\mathbf{s}, \mathbf{e}$  are short [ACPS09], the attack finds short  $\mathbf{x}$  s.t.  $\mathbf{x}^T \cdot \mathbf{A} \equiv \mathbf{0}$ . Then, we either obtain  $\mathbf{x}^T \cdot \mathbf{A} \cdot \mathbf{s} + \langle \mathbf{x}, \mathbf{e} \rangle = \langle \mathbf{x}, \mathbf{e} \rangle$  or  $\langle \mathbf{x}, \mathbf{u} \rangle$ . The former follows a distribution with small elements, the latter follows a uniform distribution.

In [ADPS16], the "normal form" of the dual attack was introduced which finds short  $\mathbf{x}$  such that  $\mathbf{x}^T \cdot \mathbf{A} \equiv \mathbf{y} \mod q$  with  $\mathbf{y}$  short. We then obtain  $\mathbf{x}^T \cdot \mathbf{A} \cdot \mathbf{s} + \langle \mathbf{x}, \mathbf{e} \rangle = \langle \mathbf{y}, \mathbf{s} \rangle + \langle \mathbf{x}, \mathbf{e} \rangle$ , which follows a distribution with small entries when  $\mathbf{y}, \mathbf{s}, \mathbf{x}$  and  $\mathbf{e}$  are short.

In [Alb17] a composition of the dual attack with a guessing phase (and some scaling) was introduced with a focus on vectors  $\mathbf{s}$  that are sparse and small compared to  $\mathbf{e}$ . The idea is to split  $\mathbf{A} = [\mathbf{A}_0 | \mathbf{A}_1]$  such that  $\mathbf{b} \equiv \mathbf{A}_0 \cdot \mathbf{s}_0 + \mathbf{A}_1 \cdot \mathbf{s}_1 + \mathbf{e} \mod q$ . Then the dual attack is run on  $\mathbf{A}_0$  s.t.

$$\langle \mathbf{x}, \mathbf{b} \rangle \equiv \mathbf{x}^T \cdot \mathbf{A}_0 \cdot \mathbf{s}_0 + \mathbf{x}^T \cdot \mathbf{A}_1 \cdot \mathbf{s}_1 + \langle \mathbf{x}, \mathbf{e} \rangle = \langle \mathbf{y}, \mathbf{s}_0 \rangle + \mathbf{x}^T \cdot \mathbf{A}_1 \cdot \mathbf{s}_1 + \langle \mathbf{x}, \mathbf{e} \rangle.$$

Thus, guessing  $\mathbf{s}_1$  and computing  $\langle \mathbf{x}, \mathbf{v} \rangle - \mathbf{x}^T \cdot \mathbf{A}_1 \cdot \mathbf{s}_1$  produces a elements that follow a distribution with small elements. In [EJK20] this was generalised to

more general secret distributions paired with additional improvements on the exhaustive search over  $s_1$ .

In [GJ21] further improvements were presented. In particular, the search over  $\mathbf{s}_1$  is realised using a Fast Fourier Transform style algorithm and the search space is significantly reduced by roughly considering only the most significant bits of  $\mathbf{s}_1$ . In [MAT22] this last step is replaced by "modulus switching" [BV11,AFFP14] which provides significant performance gains.<sup>1</sup>

#### 2.3 Discrete Gaussian distribution

Let  $\sigma > 0$ . For any  $\mathbf{x} \in \mathbb{R}^d$ , we let  $\rho_{\sigma}(\mathbf{x}) = \exp(-\|\mathbf{x}\|^2/2\sigma^2)$ . Note that this different from the other, also commonly used definition where  $\frac{1}{2}$  is replaced by  $\pi$  in the exponent. This change is inconsequential to our results. For any lattice  $\mathcal{L} \subset \mathbb{R}^d$ , we denote by  $D_{\mathcal{L},\sigma}$  the discrete Gaussian distribution over  $\mathcal{L}$ , define by  $D_{\mathcal{L},\sigma}(\mathbf{x}) = \rho_{\sigma}(\mathbf{x})/\rho_{\sigma}(\mathcal{L})$  for all  $\mathbf{x} \in \mathcal{L}$ .

We will also make use of the modular discrete Gaussian. For any  $q \in \mathbb{N}$ , we denote by  $D_{\mathbb{Z}_q^d,\sigma}$  the modular discrete Gaussian distribution over  $\mathbb{Z}_q^d$ , defined by

$$D_{\mathbb{Z}_q^d,\sigma}(\mathbf{x}) = \frac{\rho_{\sigma}(\mathbf{x} + q\mathbb{Z}^d)}{\rho_{\sigma}(\mathbb{Z}^d)}.$$

Note that the distribution  $D_{\mathbb{Z}_q^d,\sigma}$  is isomorphic to the distribution  $D_{\mathbb{Z}_q,\sigma}^d$ , a fact that will use often implicitly.

#### 2.4 Quantum Computing

Quantum Circuit Model. In the quantum circuit model, the time complexity is the circuit size, which is the total number of elementary quantum gates. The space complexity is the number of qubits used. We will assume that the elementary quantum gates come from a fixed universal set. Up to constant factors, the complexity does not depend on the universal set that we have chosen. Since all unitary transforms are invertible, any quantum circuit  $\mathcal{A}$  is reversible and we denote by  $\mathcal{A}^{\dagger}$  its inverse, which is also equal to its conjugate transpose when viewed as a matrix.

Given a function  $f:\{0,1\}^n \to \{0,1\}^m$ , we say that a quantum circuit, implementing a unitary U that acts on  $n+\ell+m$  qubits, computes f with probability  $\alpha$  if for every x, a measurement on the last m qubits of  $U|x\rangle|0^\ell\rangle|0^m\rangle$  outputs f(x) with probability at least  $\alpha$ . The exact location of the qubits that we measure for the output actually does not matter, since we can also apply SWAP gates (implementable by elementary gates) to swap them to the m last positions. The extra  $\ell$  qubits that are not part of the input/output are called ancilla qubits (or work space).

<sup>&</sup>lt;sup>1</sup> Another significant gain reported in [MAT22] is due to an improvement to the lattice sieving algorithm from [BDGL16] but discussing this is out of scope of this work.

**Quantum Query Model.** We use the standard form of the quantum query model: given a unitary  $\mathcal{O}$ , we say that a circuit computes f with oracle access to  $\mathcal{O}$  if by augmenting the model with the unitary  $\mathcal{O}$ , we can construct a circuit computing f. The number of queries on  $\mathcal{O}$  is the number of unitary  $\mathcal{O}$  in the circuit. If we find an efficient algorithm for a problem in query complexity and we are given an explicit circuit realizing the black-box transformation of the oracle  $\mathcal{O}$ , we will have an efficient algorithm for an explicit computational problem.

Quantum Algorithms. We say that a quantum algorithm computes a function  $F: \{0,1\}^* \to \{0,1\}^*$  with probability  $\alpha$  if there is a classical algorithm  $\mathcal{A}$ with quantum evaluation that outputs F(w) with probability  $\alpha$  on input w. By quantum evaluation we mean that the algorithm can, any number of times during the computation, build a quantum circuit and evaluate it, that is measure the state  $U|0\rangle$  where U is the unitary implemented by the circuit. The time complexity T(n) is the classical time complexity of  $\mathcal{A}$  plus the time complexity of the circuits (that is the number of gates). The classical space complexity S(n) is the space complexity of  $\mathcal{A}$  (ignoring quantum evaluations). The quantum space complexity Q(n) is the maximal space complexity of all circuits (that is the maximum number of qubits used). In the natural way, we say that a quantum algorithm has oracle access to  $\mathcal{O}$  if it produces circuits with oracle access to  $\mathcal{O}$ . The query complexity of the algorithm is the sum of the query complexity of the circuits. Now that the quantum model of computation is properly defined, we can express the fact that every classical computation can be implemented by a quantum computer, although at a non-negligible cost.

**Theorem 1** ([Ben89,LS90]). Given any  $\varepsilon > 0$  and any classical computation with running time T and space complexity S, there exists an equivalent reversible classical computation with running time  $O(T^{1+\varepsilon}/S^{\varepsilon})$  and space complexity  $O(S(1 + \ln(T/S)))$ .

Corollary 1. Given any  $\varepsilon > 0$  and any classical computation with running time T and space complexity S, there exists an equivalent quantum circuit of size  $O(T^{1+\varepsilon}/S^{\varepsilon})$  using  $O(S(1+\ln(T/S)))$  qubits.

In principle, it is always possible to turn a classical computation into a quantum one (Corollary 1) and combine all quantum algorithms into one quantum circuit by postponing all measurements until the very end of the computation, using the so-called the *principle of deferred measurement* [NC11]. We will use this fact implicitly in the rest of the paper and just assume that we can take any classical algorithm and turn into a quantum one with the same complexity.

**Quantum Search.** One of the most well-known quantum algorithms is Grover's unstructured search algorithm [Gro96]. Suppose we have a set of objects named  $\{0, 1, \ldots, N-1\}$ , of which some are targets. We say that an oracle  $\mathcal{O}$  identifies the targets if, in the classical (resp. quantum) setting,  $\mathcal{O}(i) = 1$  (resp.  $\mathcal{O}|i\rangle = -|i\rangle$ ) when i is a target and  $\mathcal{O}(i) = 0$  (resp.  $\mathcal{O}|i\rangle = |i\rangle$ ) otherwise. Given such an

oracle  $\mathcal{O}$ , the goal is to find a target  $j \in \{0, 1, \dots, N-1\}$  by making queries to the oracle  $\mathcal{O}$ .

In the search problem, one tries to minimise the number of queries to the oracle. In the classical case, one needs O(N) queries to solve such a problem. Grover, on the other hand, provides a quantum algorithm, that solves the search problem with only  $O(\sqrt{N})$  queries [Gro96] when there is one target, and  $O(\sqrt{N/t})$  when there are exactly t targets. We present here a generalisation of Grover's algorithm called amplitude amplification [BHMT02a].

**Theorem 2 (Amplitude Amplification [BHMT02a]).** Suppose we have a set of N objects of which some are targets. Let  $\mathcal O$  be a quantum oracle that identifies the targets. Let  $\mathcal A$  be a quantum circuit using no intermediate measurements, ie  $\mathcal A$  is reversible. Let a be the initial success probability of  $\mathcal A$ , that is the probability that a measurement of  $\mathcal A |0\rangle$  outputs a target. There exists a quantum algorithm that calls  $\mathcal O\left(\sqrt{1/a}\right)$  times  $\mathcal A$ ,  $\mathcal A^\dagger$  and  $\mathcal O$ , uses as many qubits as  $\mathcal A$  and  $\mathcal O$ , and outputs a target with probability greater than 1-a.

Grover's algorithm is a particular case of this theorem where  $\mathcal{A}$  produces a uniform superposition of all objects, in which case  $a = \frac{1}{N}$ . The theorem then states that we can find a target with probability  $1 - \frac{1}{N}$  using  $O(\sqrt{N})$  calls to the oracle  $\mathcal{O}_f$ .

Theorem 3 (Amplitude Estimation [BHMT02b], Theorem 12). Given natural number M and access to an (n+1)-qubit unitary U satisfying

$$U|0^{n}\rangle|0\rangle = \sqrt{a}|\phi_{1}\rangle|1\rangle + \sqrt{1-a}|\phi_{0}\rangle|0\rangle,$$

where  $|\phi_1\rangle$  and  $|\phi_0\rangle$  are arbitrary n-qubit states and 0 < a < 1, there exists a quantum algorithm that uses M applications of U and  $U^{\dagger}$ , and outputs an estimate  $\tilde{a}$  that with probability  $\geq 2/3$  satisfies

$$|a - \tilde{a}| \le \frac{6\pi\sqrt{a(1-a)}}{M} + \frac{9\pi^2}{M^2} \le \frac{15\pi^2}{M}.$$

We will have to search for a marked element in a collection but the oracle that identifies the targets may be probabilistic and return a wrong result with small probability.

**Theorem 4 ([HMdW03]).** Given n algorithms, quantum or classical, each computing some bit-value with bounded error probability, there is a quantum algorithm that uses  $O(\sqrt{n})$  queries and with constant probability: returns the index of a "1", if there are at least one "1" among the n values; returns  $\perp$  if there are no "1".

This algorithm can easily be used to find the index of the first algorithm that returns 1, see e.g.  $[KKM^+21]$ 

**Lemma 1.** There exists a quantum algorithm A with the following property. Let N be an integer and  $f:[0,N-1] \to \{0,1\}$  a (classical or quantum with bounded error) function. Let  $n_0$  be the first index such that  $f(n_0) = 1$ , or let  $n_0 = \bot$  if no such index exists. Then  $A^f(N)$  returns  $i \in [0,N-1]$  such that f(i) = 1, or  $\bot$ . With constant probability,  $A^f(N) = n_0$ . The algorithm runs in expected time  $T = O(\sqrt{n_0})$  (or  $O(\sqrt{N})$  if  $n_0 = \bot$ ), uses a polynomial number of qubits and makes an expected number T of calls to f. Furthermore, if the algorithm returns  $i \in [0, N-1]$ , then it only queries f on values in  $[0, \min(N-1, 2i)]$ .

Access to Memory "Baseline" quantum circuits are simply built using a universal quantum gate set. A requirement for many quantum algorithms to process data efficiently is to be able to access classical data in quantum superposition. Such algorithms use quantum random-access memory, often denoted as qRAM, and require the circuit model to be augmented with the so-called "qRAM gate". These qRAM gates are assumed to have a time complexity polylogarithmic in the amount of classical data stored, so that each call is not time consuming. This model is inspired by the classical RAM model where we usually assume memory access in time O(1).<sup>2</sup>

Given an input register  $0 \le i \le r-1$ , which represents the index of a memory cell, and many quantum registers  $|x_0, \dots x_{r-1}\rangle$ , which represent stored data, the qRAM gate fetches the data from register  $x_i$ , possibly in superposition:

$$|i\rangle |x_0, \dots x_{r-1}\rangle |y\rangle \mapsto |i\rangle |x_0, \dots x_{r-1}\rangle |y \oplus x_i\rangle$$
.

Following the terminology of [Kup13], three are types of qRAMs:

- If the input i is classical, then this is the plain quantum circuit model. We can implement it using a universal quantum gate set.
- If the  $x_j$  are classical, we have classical memory with quantum random access (QRACM). The qRAM gate becomes

$$|i\rangle |y\rangle \mapsto |i\rangle |y \oplus x_i\rangle$$
.

In general, we have quantum memory with quantum random access (QRAQM).
 This is the most powerful quantum memory model where the data are also in superposition.

In our algorithm for the dual attack, we will be using QRACM. It is possible to implement a QRACM using a universal quantum gate set, albeit at a considerable cost. Given a classical data set  $\{x_0, \dots, x_{r-1}\}$ , one can construct, in time  $\tilde{O}(r)$ , a circuit using  $\tilde{O}(r)$  qubits that implements a QRACM for this data set. The obtained circuit then allows query in the form  $|i\rangle |y\rangle \mapsto |i\rangle |y\oplus x_i\rangle$  and has circuit depth O(polylog(r)) [GLM08,KP20,MGM20,HLGJ20]. Note that even low depth implementation of QRACM has at least  $\Omega(r)$  gates, hence has time complexity at least  $\Omega(r)$  by our definition. Therefore, the assumption that the qRAM gates have time complexity polylog(r) is very strong and corresponds to parallel evaluation of the circuit.

<sup>&</sup>lt;sup>2</sup> The validity of this assumption in the context of lattice reduction is hotly debated, but this assumption is commonly made.

## 2.5 The Classical Algorithm of [GJ21,MAT22]

In this section, we give an overview of the algorithm in [MAT22]. Our quantum algorithm will be a modified version that rely essentially on the same analysis for the correctness but a new analysis for the quantum complexity.

We are given a sample from  $\text{LWE}_{n,m,\chi_s,\chi_e}$ , where  $\chi_s$  and  $\chi_e$  have small variance  $\sigma_s^2$  and  $\sigma_e^2$  respectively. We partition **s** into three components:

$$\mathbf{s} = egin{pmatrix} \mathbf{s}_{ ext{enum}} \ \mathbf{s}_{ ext{flt}} \ \mathbf{s}_{ ext{lat}} \end{pmatrix}$$

where  $\mathbf{s}_{\text{enum}}$  has  $k_{\text{enum}}$  coordinates,  $\mathbf{s}_{\text{fft}}$  has  $k_{\text{fft}}$  coordinates, and  $\mathbf{s}_{\text{lat}}$  has  $k_{\text{lat}} = n - k_{\text{enum}} - k_{\text{fft}}$  coordinates. We spit A into three components accordingly as well:

$$\mathbf{A} = [\mathbf{A}_{\mathrm{enum}} | \mathbf{A}_{\mathrm{fft}} | \mathbf{A}_{\mathrm{lat}}]$$

so that  $\mathbf{A} \cdot \mathbf{s} = \mathbf{A}_{\text{enum}} \cdot \mathbf{s}_{\text{enum}} + \mathbf{A}_{\text{fft}} \cdot \mathbf{s}_{\text{fft}} + \mathbf{A}_{\text{lat}} \cdot \mathbf{s}_{\text{lat}}$ .

We define the matrix:

$$B = \begin{pmatrix} \alpha \mathbf{I}_m & 0 \\ \mathbf{A}_{\mathrm{lat}}^T \ q \mathbf{I}_{k_{\mathrm{lat}}} \end{pmatrix},$$

where  $\alpha$  is a constant equal to  $\frac{\sigma_e}{\sigma_s}$  and is used for normalization in the case that  $\mathbf{s}, \mathbf{e}$  have different distributions. We find D short vectors in the column space of  $\mathbf{B}$  using some short vectors sampling procedure (see Algorithm 1).

Given a list L of D vectors  $\begin{pmatrix} \alpha \cdot \mathbf{x}_j \\ \mathbf{y}_{j,\text{lat}} \end{pmatrix}$ , let  $\mathbf{y}_{j,\text{fft}} = \mathbf{x}_j^T \cdot \mathbf{A}_{\text{fft}}$  and  $\mathbf{y}_{j,\text{enum}} = \mathbf{x}_j^T \cdot \mathbf{A}_{\text{enum}}$ . We can then define the function  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) =$ 

$$\Re\left(\frac{1}{\psi(\tilde{\mathbf{s}}_{\text{fft}})}\sum_{j}\exp\left(\left(\left\lfloor\frac{p}{q}\cdot\mathbf{y}_{j,\text{fft}}\right\rfloor^{T}\cdot\tilde{\mathbf{s}}_{\text{fft}}+\frac{p}{q}\cdot\mathbf{y}_{j,\text{enum}}^{T}\cdot\tilde{\mathbf{s}}_{\text{enum}}-\frac{p}{q}\cdot\mathbf{x}_{j}^{T}\cdot\mathbf{b}\right)\cdot\frac{2i\pi}{p}\right)\right)$$

for all  $\tilde{\mathbf{s}}_{\text{enum}} \in \mathbb{Z}_q^{k_{\text{enum}}}$  and  $\tilde{\mathbf{s}}_{\text{fft}} \in \mathbb{Z}_p^{k_{\text{fft}}}$ , where  $\psi$  is a complex factor of norm 1 defined in [MAT22, p. 25, proof of Lemma 5.4] and easily computable. The function  $F_L$  essentially performs an FFT on values drawn from a certain distribution. Via an analysis that we do not reproduce, one can show that the function  $F_L$  above has the following properties (with high probability on the choice of the elements in L, assuming sufficiently many vectors):

- If  $\tilde{\mathbf{s}}_{\text{enum}} \neq \mathbf{s}_{\text{enum}}$  then  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) < C$  for all  $\tilde{\mathbf{s}}_{\text{fft}} \in \mathbb{Z}_p^{k_{\text{fft}}}$ .
- $-F_L(\mathbf{s}_{\text{enum}}, \mathbf{s}_{\text{fft}}) > C$
- There might be  $\tilde{\mathbf{s}}_{\text{fft}} \neq \mathbf{s}_{\text{fft}}$  such that  $F_L(\mathbf{s}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) > C$ .

The first point corresponds to a wrong guess. In this case, values on which the FFT is performed follow a uniform distribution and the expected value of  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}})$  is 0. The second point corresponds to the correct guess. In this case, values on which the FFT is performed essentially follow a normal distribution with nonzero mean and therefore the expected value of  $F_L(\mathbf{s}_{\text{enum}}, \mathbf{s}_{\text{fft}})$  is nonzero. By carefully choosing the value of C, and taking sufficiently many

samples in the list, we can ensure that those properties holds with high probability. The third point follows from the fact that [MAT22] performs a modulo switching operation that can introduce some errors and makes the analysis of  $F_L(\mathbf{s}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fit}})$  with  $\tilde{\mathbf{s}}_{\text{fit}} \neq \mathbf{s}_{\text{fit}}$  more difficult. Consequently, it is simpler to assume that one can only recover  $\mathbf{s}_{\text{enum}}$  with certainty.

We can therefore reformulate the algorithm of [MAT22] as looking for  $\tilde{\mathbf{s}}_{\text{enum}}$  such that there exists  $\tilde{\mathbf{s}}_{\text{fft}}$  such that  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) > C$ . We will rely on the following lemma in our analysis below.

Lemma 2 (Adapted from Theorem 5.2 in [MAT22]). Let  $(n, m, q, \chi_s, \chi_e)$  be LWE parameters, let  $(\beta_0, \beta_1, k_{enum}, k_{fft}, k_{lat}, p, \mu)$  be parameters for Algorithm 2. Let  $\sigma_e$  be the standard deviate of  $\chi_e$ ,  $\sigma_s$  be the standard deviation of  $\chi_s$  and  $\alpha = \sigma_e/\sigma_s$ . Denote by  $\ell$  the expected Euclidean length of the vectors returned by Algorithm 1. Then, Algorithm 2 succeeds with probability at least  $1 - \mu$  for

$$C = \phi_{\text{fp}}(\mu) \cdot \sqrt{D_{\text{arg}} \cdot D}$$
 and  $D \ge D_{\text{eq}} \cdot D_{\text{round}} \cdot D_{\text{arg}} \cdot D_{\text{fpfn}}(\mu)$ 

where

$$\begin{split} D_{\text{eq}} &= e^{4\left(\frac{\pi\tau}{q}\right)^{2}} \text{ for } \tau^{2} = \frac{\alpha^{-2} \cdot \|\mathbf{e}\|^{2} + \|\mathbf{s}_{lat}\|^{2}}{m + k_{lat}} \ell^{2}, \\ D_{\text{round}} &= \left(\prod_{\substack{t=0\\s_{t} \neq 0}}^{k_{fft}-1} \left(\frac{\sin\left(\frac{\pi s_{t}}{p}\right)}{\frac{\pi s_{t}}{p}}\right)\right)^{-2} \text{ for } \mathbf{s}_{fft} = (s_{0}, \dots, s_{k_{fft}-1}), \\ D_{\text{arg}} &= \frac{1}{2} + e^{-8\left(\frac{\pi\tau}{q}\right)^{2}}, \\ D_{\text{fpfn}}(\mu) &= \left(\Phi^{-1} \left(1 - \frac{\mu}{2 \cdot N_{\text{enum}}\left(\mathbf{s}_{\text{enum}}\right) \cdot p^{k_{fft}}}\right) + \Phi^{-1} \left(1 - \frac{\mu}{2}\right)\right)^{2}. \end{split}$$

# 3 Quantum Augmented Dual Attack

We now modify the algorithm of [MAT22] to obtain a quantum speedup. At a high-level, Algorithm 3 works in the same way. First, we run a sampling algorithm to obtain short vectors in the dual. Here, we can take advantage of the existing quantum speedups for sieving [LMv13,Laa15,AGPS20,CL21].

Next, we can obtain a quadratic speedup on the search for  $\mathbf{s}_{\text{enum}}$ . Indeed, the algorithm simply enumerates them one by one until the correct one is found. By carefully choosing the order and applying a variant of Grover's search algorithm, we can obtain a quadratic speedup (see Section 4). In our case, the quantum search will call an oracle that is probabilistic so care must be taken. We use the improved version of Grover's search in Theorem 4 that can handle bounded-error inputs.

## Algorithm 2: Dual Attack of [MAT22]

```
Input: LWE parameters (n, m, q, \chi_s, \chi_e), integers \beta_0, \beta_1 \leq d, integers k_{\text{enum}}, k_{\text{fft}}, k_{\text{lat}} such that k_{\text{enum}} + k_{\text{fft}} + k_{\text{lat}} = n, an integer p \leq q, an integer D, a real number C, and an LWE pair (\mathbf{A}, \mathbf{b}) \in \mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m. Output: The first k_{\text{enum}} coordinates of \mathbf{s}.
```

- 1 Decompose **A** as  $[\mathbf{A}_{\text{enum}} \ \mathbf{A}_{\text{fft}} \ \mathbf{A}_{\text{lat}}]$  of respective dimensions  $m \times k_{\text{enum}}$ ,  $m \times k_{\text{fft}}$  and  $m \times k_{\text{lat}}$ .
- 2 Compute the matrix  $\mathbf{B} = \begin{bmatrix} \alpha \mathbf{I}_m & \mathbf{0} \\ \mathbf{A}_{\mathrm{lat}}^T & q \mathbf{I}_{k_{\mathrm{lat}}} \end{bmatrix}$  where  $\alpha = \frac{\sigma_e}{\sigma_s}$
- **3** Run Algorithm 1 on the basis **B** with parameters  $\beta_0, \beta_1, D$  to get a list L of D short vectors.
- ${\bf 4}$  for every value  $\tilde{\bf s}_{\rm enum}$  in descending order of probability according to the secret distribution  ${\bf do}$

```
Initialise a table T of dimensions \underbrace{p \times p \times \cdots p}_{k_{\mathrm{fft}} \ \mathrm{times}}

for every short vector (\alpha \mathbf{x}_{j}, \mathbf{y}_{\mathrm{lat}}) in L do

Compute \mathbf{y}_{j,\mathrm{fft}} = \mathbf{x}_{j}^{T} \cdot \mathbf{A}_{\mathrm{fft}}.

Compute \mathbf{y}_{j,\mathrm{enum}} = \mathbf{x}_{j}^{T} \cdot \mathbf{A}_{\mathrm{enum}}.

Add \exp\left((\mathbf{x}_{j}^{T} \cdot \mathbf{b} - \mathbf{y}_{j,\mathrm{enum}}^{T} \cdot \tilde{\mathbf{s}}_{\mathrm{enum}}) \cdot \frac{2i\pi}{q}\right) to cell \lfloor \frac{p}{q} \mathbf{y}_{j,\mathrm{fft}} \rfloor of T.

Perform FFT on T

if for any \tilde{\mathbf{s}}_{\mathrm{fft}}, the real part of \frac{1}{\psi(\tilde{\mathbf{s}}_{\mathrm{fft}})} T[\tilde{\mathbf{s}}_{\mathrm{fft}}] is larger than C then

return \perp
```

We now move to the most interesting part of our quantum speedup. In their algorithm [MAT22], the authors first fill a large array T, perform an FFT and then look at all the entries to check if one is larger than a given threshold C. While it is tempting to use the quantum Fourier transform (QFT), which runs in polynomial time, we do not know how to implement the second step (checking each entry) efficiently. Indeed, the QFT works on the amplitudes and, therefore, simply extracting a coefficient of the result is a nontrivial task (see Section 6). We work around this issue by observing two points:

- 1. The input array of the FFT is relatively sparse: it has D nonzero entries (out of  $p^{k_{\text{fft}}}$ ).
- 2. We can obtain a quadratic speedup on the task of evaluating a sum of cosine (Theorem 5).

Since every entry of the output of the FFT is a sum of cosine that we can evaluate efficiently, and since the sum only has D terms, we can evaluate each coefficient in reasonable time. By turning this algorithm into a quantum oracle, we can use Grover's search to obtain a further quadratic speedup on the inner part of the algorithm that looks for an entry above the threshold C.

A crucial detail of this algorithm is our use of a QRACM. Indeed, in order to apply Theorem 5 and obtain a quadratic speedup when evaluating the sums,

we need a quantum oracle access to the short vectors stored in L. Since those vectors are obtained by a classical algorithm, we store them in a QRACM to build this oracle.<sup>3</sup>

### 3.1 A Quantum Algorithm for Mean Estimation

We provide here a quantum algorithm which estimates the mean value of

$$\cos(2\pi(\langle \mathbf{w}_i, \mathbf{b} \rangle)/q)$$

used in the dual attack. The idea is inspired by [ACKS20, Theorem 47] and can be seen as a special case of quantum speedup of Monte Carlo methods [Mon15].

**Theorem 5.** Let N be a positive integer,  $W = \mathbf{w}_0, \dots, \mathbf{w}_{N-1}$  be the list of N vectors. Let  $f_W(\mathbf{b}) = \frac{1}{N} \sum_{i=0}^{N-1} \cos(2\pi(\langle \mathbf{w}_i, \mathbf{b} \rangle)/q)$ . Let  $\mathcal{O}_W : |j\rangle |0\rangle \mapsto |j\rangle |\mathbf{w}_j\rangle$ . For any  $\varepsilon, \delta > 0$ , there exists a quantum algorithm  $\mathcal{A}$  that given  $\mathbf{b} \in \mathbb{Z}_q^n$  and oracle access to  $\mathcal{O}_W$ , outputs  $\mathcal{A}^{\mathcal{O}_W}(\mathbf{b})$  which satisfy  $|\mathcal{A}^{\mathcal{O}_W}(\mathbf{b}) - f_W(\mathbf{b})| \leq \varepsilon$  with probability  $1 - \delta$ . The algorithm make  $\mathcal{O}(\varepsilon^{-1} \cdot \log \frac{1}{\delta})$  queries to  $\mathcal{O}_W$ , and requires  $\varepsilon^{-1} \cdot \log \frac{1}{\delta} \cdot \operatorname{poly}(\log n)$  elementary quantum gates.

*Proof.* We define the positive controlled rotation oracle as, for any  $a \in \mathbb{R}$ 

$$\mathcal{O}_{CR^{+}}:|a\rangle\,|0\rangle \rightarrow \begin{cases} |a\rangle\,(\sqrt{a}\,|1\rangle+\sqrt{1-a}\,|0\rangle), & \text{if } a\geq 0\\ |a\rangle\,|0\rangle\,, & \text{otherwise,} \end{cases}$$

which can be implemented up to negligible error by  $poly(\log n)$  quantum elementary gates. Also, we define the cosine inner product oracle as for any  $\mathbf{b}, \mathbf{w} \in \mathbb{Z}^n$ 

$$\mathcal{O}_{\cos}: |\mathbf{w}\rangle |\mathbf{b}\rangle |0\rangle \rightarrow |\mathbf{w}\rangle |\mathbf{b}\rangle |\cos(2\pi\langle \mathbf{w}, \mathbf{b}\rangle/q)\rangle$$

which can also be implemented by  $\operatorname{poly}(\log n)$  quantum elementary gates. Prepare the state  $\frac{1}{\sqrt{N}}\sum_{j=0}^{N-1}|j\rangle|\mathbf{0}\rangle|\mathbf{b}\rangle|0\rangle|0\rangle$ , and then we apply  $\mathcal{O}_W$  on the first and second registers (storing  $\mathbf{w}_j$  there), apply  $\mathcal{O}_{\cos}$  on the second, third, fourth registers (storing  $\cos(2\pi\langle\mathbf{w},\mathbf{b}\rangle/q)$  there), and apply  $\mathcal{O}_{CR^+}$  on the fourth and fifth registers. Writing  $\gamma_j := \cos(2\pi\langle\mathbf{w}_j,\mathbf{b}\rangle/q)$  and letting sums run over  $j \in [0,N-1]$ , we have

$$\frac{1}{\sqrt{N}} \sum_{\gamma_j > 0} |j\rangle |\mathbf{w}_j\rangle |\mathbf{b}\rangle |\gamma_j\rangle \left(\sqrt{\gamma_j} |1\rangle + \sqrt{1 - \gamma_j} |0\rangle\right) + \frac{1}{\sqrt{N}} \sum_{\gamma_j < 0} |j\rangle |\mathbf{w}_j\rangle |\mathbf{b}\rangle |\gamma_j\rangle |0\rangle.$$

By rearranging, we obtain

$$\frac{1}{\sqrt{N}} \sum_{\gamma_j \ge 0} \sqrt{\gamma_j} |j\rangle |\mathbf{w}_j\rangle |\mathbf{b}\rangle |\gamma_j\rangle |1\rangle$$

<sup>&</sup>lt;sup>3</sup> Note that quantum augmented sieving procedures still output classical lists of short vectors.

```
Algorithm 3: Quantum Augmented Dual Attack
```

```
Input: LWE parameters (n, m, q, \chi_s, \chi_e), integers \beta_0, \beta_1 \leq d, integers
                      k_{\text{enum}}, k_{\text{fft}}, k_{\text{lat}} such that k_{\text{enum}} + k_{\text{fft}} + k_{\text{lat}} = n, an integer p \leq q, an
                      integer D, a real number C, a coefficient \eta \in [0,1] and an LWE pair
                      (\mathbf{A}, \mathbf{b}) \in \mathbb{Z}_q^{m \times n} \times \mathbb{Z}_q^m.
      Output: The first k_{\text{enum}} coordinates of s.
  1 Decompose A as [\mathbf{A}_{\text{enum}} \ \mathbf{A}_{\text{flt}} \ \mathbf{A}_{\text{lat}}] of respective dimensions m \times k_{\text{enum}}, m \times k_{\text{flt}}
         and m \times k_{\text{lat}}.
 2 Compute the matrix \mathbf{B} = \begin{bmatrix} q\mathbf{I}_{k_{\text{lat}}} & \mathbf{A}_{\text{lat}}^T \\ \mathbf{0} & \alpha\mathbf{I}_m \end{bmatrix} where \alpha = \frac{\sigma_e}{\sigma_s}
  3 Run Algorithm 1 on the basis B with parameters \beta_0, \beta_1, D to get a list L of D
         short vectors.
  4 Create a QRAM O_W
      for every short vector (\alpha \cdot \mathbf{x}_j, \mathbf{y}_{j,\text{lat}}) in L do
              Add vector \mathbf{x}_j to \mathcal{O}_W at index j
  7 Use Theorem 5 to create an algorithm \mathcal{A} with \delta = \frac{1}{10}, \varepsilon = \frac{C}{D}\eta and "q" = p
      create oracle \mathcal{O}(\tilde{s}_{\mathrm{enum}}):
  8
              create oracle \hat{\mathcal{O}}(\tilde{\mathbf{s}}_{\mathrm{fft}}):
  9
                     Compute \theta such that \psi(\tilde{\mathbf{s}}_{\mathrm{fft}}) = e^{-\frac{2i\pi}{p}\theta} (recall that |\psi(\tilde{\mathbf{s}}_{\mathrm{fft}})| = 1)
10
                      create oracle \mathcal{O}'_W(j):
11
                             Get \mathbf{x}_j from \mathcal{O}_W at index j
12
                             Compute \mathbf{y}_{j,\text{fft}} = \mathbf{x}_{i}^{T} \cdot \mathbf{A}_{\text{fft}}
13
                            Compute \mathbf{y}_{j,\text{enum}} = \mathbf{x}_{j}^{T} \cdot \mathbf{A}_{\text{enum}}

return vector \left(\frac{p}{q} \cdot \mathbf{y}_{j,\text{enum}}, \left\lfloor \frac{p}{q} \cdot \mathbf{y}_{j,\text{fft}} \right\rfloor, \theta - \frac{p}{q} \cdot \mathbf{x}_{j}^{T} \cdot \mathbf{b}\right)
14
15
                     if \mathcal{A}^{\mathcal{O}'_W}((\tilde{\mathbf{s}}_{\mathrm{enum}}, \tilde{\mathbf{s}}_{\mathrm{fft}}, 1)) > (1 + \eta) \cdot \frac{C}{D} then
16
                            return 1
17
                     else
18
                            return 0
19
              Use Theorem 4 to find, with probability \frac{9}{10}, i such that \hat{\mathcal{O}}(i) = 1 or let
20
                 i = \bot if none exists
              if i \neq \bot then
\mathbf{21}
                     return 1
22
              else
23
                     return 0
24
      create oracle \tilde{\mathcal{O}}(i):
25
              Compute the i^{th} most probable \tilde{\mathbf{s}}_{\text{enum}} according to the distribution \chi_s
26
27
              return \mathcal{O}(\tilde{\mathbf{s}}_{\mathrm{enum}})
      Find, with probability \frac{9}{10}, \tilde{\mathbf{s}}_{\text{enum}} such that \mathcal{O}(\tilde{\mathbf{s}}_{\text{enum}}) = 1 using Lemma 1 with
         oracle \tilde{\mathcal{O}}, or let \tilde{\mathbf{s}}_{\text{enum}} = \bot if none is found
29 return \tilde{s}_{\rm enum}.
```

$$+\frac{1}{\sqrt{N}} \left( \sum_{\gamma_j \geq 0} \sqrt{1 - \gamma_j} |j\rangle |\mathbf{w}_j\rangle |\mathbf{b}\rangle |\gamma_j\rangle + \sum_{\gamma_j < 0} |j\rangle |\mathbf{w}_j\rangle |\mathbf{b}\rangle |\gamma_j\rangle \right) |0\rangle$$
$$= \sqrt{a^+} |\phi_1\rangle |1\rangle + \sqrt{1 - a^+} |\phi_0\rangle |0\rangle ,$$

where  $a^+ = \sum_{\gamma_j \geq 0} \frac{\gamma_j}{N}$ . By applying Theorem 3, we can estimate  $a^+$  with additive error  $\varepsilon/2$  by using  $\mathcal{O}(\varepsilon^{-1})$  applications of  $\mathcal{O}_W$ ,  $\mathcal{O}_W^\dagger$ , and  $\varepsilon^{-1} \cdot \operatorname{poly}(\log n)$  elementary quantum gates. Following the same strategy, we can also estimate  $a^- = \sum_{\gamma_j < 0} \frac{\gamma_j}{N}$  with same additive error and by using same amount of queries and quantum elementary gates. Therefore, we can estimate

$$a^{+} + a^{-} \pm \varepsilon = \sum_{j} \frac{\cos(2\pi \langle \mathbf{w}_{j}, \mathbf{b} \rangle / q)}{N}.$$

By repeating the procedure  $\Theta(\log \frac{1}{\delta})$  times and take the median among them, we finish the proof.

### 3.2 Analysis of the Quantum Augmented Dual Attack

We now analyse the quantum augmented dual attack given in Algorithm 3.

**Theorem 6.** Let  $(n, m, q, \chi_s, \chi_e)$  be LWE parameters, let

$$(\beta_0, \beta_1, k_{enum}, k_{fft}, k_{lat}, p, D, C, A, b, \eta)$$

be the input of Algorithm 3. Let L be the list of vectors obtained at Line 3 of Algorithm 3. For any x > 0, let  $S_x^L = \{ \tilde{\mathbf{s}}_{enum} : \exists \tilde{\mathbf{s}}_{fft}, F_L(\tilde{\mathbf{s}}_{enum}, \tilde{\mathbf{s}}_{fft}) > x \}$ . With probability at least 9/10, the algorithm returns a value in  $S_C^L \cup \{\bot\}$ . Furthermore, if  $S_{(1+2\eta)C}^L \neq \varnothing$  then the algorithm returns a value in  $S_C^L$  with probability at least 9/10.

*Proof.* Below, we will establish the following claims:

- (1) For all  $\tilde{\mathbf{s}}_{\text{enum}}$ , the oracle  $\hat{\mathcal{O}}$  inside  $\mathcal{O}(\tilde{s}_{\text{enum}})$  is such that, for all  $\tilde{\mathbf{s}}_{\text{fft}}$ , with probability at least  $1 \delta$ , if  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) > (1 + 2\eta) \cdot C$  then  $\hat{\mathcal{O}}(\tilde{\mathbf{s}}_{\text{fft}}) = 1$  and if  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{s}_{\text{fft}}) \leqslant C$  then  $\hat{\mathcal{O}}(\tilde{\mathbf{s}}_{\text{fft}}) = 0$ .
- (2) For all  $\tilde{\mathbf{s}}_{\text{enum}}$ , with probability at least 9/10, if there exists  $\tilde{\mathbf{s}}_{\text{flt}}$  such that  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{flt}}) > (1+2\eta) \cdot C$  then  $\mathcal{O}(\tilde{\mathbf{s}}_{\text{enum}}) = 1$ .
- (3) For all  $\tilde{\mathbf{s}}_{\text{enum}}$ , with probability at least 9/10, if  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) \leqslant C$  for all  $\tilde{\mathbf{s}}_{\text{enum}}$  then  $\mathcal{O}(\tilde{\mathbf{s}}_{\text{enum}}) = 0$ .
- (4) With probability at least 9/10, if the algorithm returns  $\tilde{\mathbf{s}}_{\text{enum}} \neq \bot$  then there exists  $\tilde{\mathbf{s}}_{\text{fft}}$  such that  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) > C$ .

We start by establishing the result as following from the claims and then establish these claims below. Let x be the output of the algorithm. By claim (4), if  $x \neq \bot$  then, with probability at least 9/10, there exist  $\tilde{\mathbf{s}}_{\mathrm{fft}}$  such that  $F(\tilde{\mathbf{s}}_{\mathrm{enum}}, \tilde{\mathbf{s}}_{\mathrm{fft}}) > C$ . Therefore,  $x \in S_C$ . Hence, this proves that  $x \in S_C \cup \{\bot\}$  with probability at

least 9/10. Now assume that  $S_{(1+2\eta)C} \neq \emptyset$  and let  $\tilde{\mathbf{s}}_{\text{enum}} \in S_{(1+2\eta)C}$ . Then by claim (2), with probability at least 9/10,  $\mathcal{O}(\tilde{\mathbf{s}}_{\text{enum}}) = 1$  so the algorithm will not return  $\bot$ , i.e.  $x \neq \bot$ .

**Proof of claim (1)**. Fix  $\tilde{\mathbf{s}}_{\text{enum}}$  and check that  $\hat{\mathcal{O}}(\tilde{\mathbf{s}}_{\text{fft}})$  returns 1 if and only if

$$\mathcal{A}^{\mathcal{O}'_W}((\tilde{\mathbf{s}}_{\mathrm{enum}}, \tilde{\mathbf{s}}_{\mathrm{fft}}, 1)) > (1 + \eta) \cdot \frac{C}{D}.$$

Now  $\mathcal{O}_W$  is defined in such a way that

$$\mathcal{O}_W'(j) = \left(\frac{p}{q} \cdot \mathbf{y}_{i, ext{enum}}, \left[\frac{p}{q} \cdot \mathbf{y}_{i, ext{fft}}\right], \theta - \frac{p}{q} \cdot \mathbf{x}_j^T \cdot \mathbf{b}\right)$$

where  $\mathbf{y}_{j,\text{enum}}$  and  $\mathbf{y}_{j,\text{fft}}$  are defined as expected. Therefore, by Theorem 5, with probability at least  $1 - \delta$ ,

$$\left|\mathcal{A}^{\mathcal{O}'_W}((\tilde{\mathbf{s}}_{\mathrm{enum}},\tilde{\mathbf{s}}_{\mathrm{fft}},1)) - f_W((\tilde{\mathbf{s}}_{\mathrm{enum}},\tilde{\mathbf{s}}_{\mathrm{fft}},1))\right| \leqslant \varepsilon.$$

But one checks that

$$\begin{split} \langle \mathcal{O}_W(j), (\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}, 1) \rangle &= \left\langle \left( \frac{p}{q} \cdot \mathbf{y}_{i, \text{enum}}, \left\lfloor \frac{p}{q} \cdot \mathbf{y}_{i, \text{fft}} \right\rfloor, \theta - \frac{p}{q} \cdot \mathbf{x}_j^T \cdot \mathbf{b} \right), (\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}, 1) \right\rangle \\ &= \frac{p}{q} \cdot \mathbf{y}_{i, \text{enum}}^T \cdot \tilde{\mathbf{s}}_{\text{enum}} + \left\lfloor \frac{p}{q} \cdot \mathbf{y}_{i, \text{fft}} \right\rfloor^T \cdot \tilde{\mathbf{s}}_{\text{fft}} + \theta - \frac{p}{q} \cdot \mathbf{x}_j^T \cdot \mathbf{b}. \end{split}$$

Therefore,  $f_W((\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}, 1))$ 

$$\begin{split} &= \frac{1}{D} \sum_{j} \cos \left( \frac{2\pi}{p} \left\langle \mathcal{O}_{W}(j), (\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}, 1) \right\rangle \right) \\ &= \frac{1}{D} \sum_{j} \cos \left( \frac{2\pi}{p} \left( \frac{p}{q} \cdot \mathbf{y}_{i,\text{enum}}^{T} \cdot \tilde{\mathbf{s}}_{\text{enum}} + \left\lfloor \frac{p}{q} \cdot \mathbf{y}_{i,\text{fft}}^{T} \right\rfloor^{T} \cdot \tilde{\mathbf{s}}_{\text{fft}} + \theta - \frac{p}{q} \cdot \mathbf{x}_{j}^{T} \cdot \mathbf{b} \right) + \frac{2\pi}{p} \cdot \theta \right) \\ &= \frac{1}{D} \Re \left( \sum_{j} \exp \left( \frac{2i\pi}{p} \left( \frac{p}{q} \cdot \mathbf{y}_{i,\text{enum}}^{T} \cdot \tilde{\mathbf{s}}_{\text{enum}} + \left\lfloor \frac{p}{q} \cdot \mathbf{y}_{i,\text{fft}}^{T} \right\rfloor^{T} \tilde{\mathbf{s}}_{\text{fft}} - \frac{p}{q} \cdot \mathbf{x}_{j}^{T} \cdot \mathbf{b} \right) + \frac{2i\pi}{p} \cdot \theta \right) \right) \\ &= \frac{1}{D} \Re \left( e^{\frac{2i\pi}{p}\theta} \sum_{j} \exp \left( \frac{2i\pi}{p} \left( \frac{p}{q} \cdot \mathbf{y}_{i,\text{enum}}^{T} \cdot \tilde{\mathbf{s}}_{\text{enum}} + \left\lfloor \frac{p}{q} \cdot \mathbf{y}_{i,\text{fft}}^{T} \right\rfloor^{T} \cdot \tilde{\mathbf{s}}_{\text{fft}} - \frac{p}{q} \cdot \mathbf{x}_{j}^{T} \cdot \mathbf{b} \right) \right) \right) \\ &= \frac{1}{D} F_{L}(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) \end{split}$$

since  $\theta$  was computed so that  $\psi(\tilde{\mathbf{s}}_{\text{fft}}) = e^{-\frac{2i\pi}{p}\theta}$ . It follows that, with probability at least  $1 - \delta$ ,

$$\left| \mathcal{A}^{O_W'} \left( (\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}, 1) \right) - \frac{1}{D} F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) \right| \leqslant \varepsilon = \frac{C}{D} \cdot \eta.$$

Assume that this inequality holds.

- If 
$$F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) > (1+2\eta) \cdot C$$
 then  $\mathcal{A}^{\mathcal{O}'_W}((\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}, 1)) > (1+2\eta) \cdot \frac{C}{D} - \frac{C}{D} \cdot \eta = (1+\eta) \cdot \frac{C}{D}$  so  $\hat{\mathcal{O}}(\tilde{\mathbf{s}}_{\text{fft}}) = 1$ .

- If 
$$F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) \leq C$$
 then  $\mathcal{A}^{O'_W}((\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}, 1)) \leq \frac{C}{D} + \frac{C}{D}\eta = (1 + \eta)\frac{C}{D}$  so  $\hat{\mathcal{O}}(\tilde{\mathbf{s}}_{\text{fft}}) = 0$ .

**Proof of claim (2).** Fix  $\tilde{\mathbf{s}}_{\text{enum}}$ . If there exists  $\tilde{\mathbf{s}}_{\text{fft}}$  such that  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) > (1+2\eta) \cdot C$  then by claim (1), with probability at least  $1-\delta$ ,  $\hat{\mathcal{O}}(\tilde{\mathbf{s}}_{\text{fft}}) = 1$ . It follows by Theorem 4 that the search will, with probability at least 9/10, return  $i \neq \bot$  and therefore  $\mathcal{O}(\tilde{\mathbf{s}}_{\text{enum}})$  will return 1.

**Proof of claim (3).** For  $\mathcal{O}(\tilde{\mathbf{s}}_{\text{enum}})$  to return 0, it is sufficient to have  $\hat{\mathcal{O}}(\tilde{\mathbf{s}}_{\text{fft}}) = 0$  for all  $\tilde{\mathbf{s}}_{\text{fft}}$ . By claim (1),  $\hat{\mathcal{O}}(\tilde{\mathbf{s}}_{\text{fft}}) = 0$  with probability at least  $1 - \delta$  when  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) \leq C$ . Hence, by Theorem 4, the search algorithm will return  $\bot$  with probability 9/10 and  $\mathcal{O}(\tilde{\mathbf{s}}_{\text{enum}}) = 0$ . Note here that there is no need for a union bound because of Theorem 4.

**Proof of claim (4)**. For the algorithm to return  $\tilde{\mathbf{s}}_{\text{enum}}$ , with probability 9/10, we must have  $\mathcal{O}(\tilde{\mathbf{s}}_{\text{enum}}) = 1$ . By claim (3), with probability at least 9/10, this can only happen if  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fit}}) > C$  for some  $\tilde{\mathbf{s}}_{\text{fit}}$ . Therefore the probability that the algorithm returns  $\tilde{\mathbf{s}}_{\text{enum}}$  such that  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fit}}) \leq C$  for all  $\tilde{\mathbf{s}}_{\text{fit}}$  is bounded by 1/10, by Lemma 1 This finishes the proof.

**Lemma 3.** Let  $(n, m, q, \chi_s, \chi_e)$  be LWE parameters,  $(\beta_0, \beta_1, k_{enum}, k_{fft}, k_{lat}, p)$  be a partial tuple of parameters for Algorithm 3, and let  $0 < \nu < 1$ . Fix  $(\mathbf{s}, \mathbf{e}) \in \mathbb{Z}_q^n \times \mathbb{Z}_q^m$ . Choosing the parameters C, D according to Lemma 2 with  $\mu = \nu/2$ , and  $\eta \leqslant \frac{\sqrt{2\pi}\mu}{8\phi_{fp}}$ , with probability at least  $1 - \nu$  the algorithm returns  $\mathbf{s}_{enum}$ .

*Proof.* Recall that for any list L and any x > 0, we let

$$S_x^L = \{ \tilde{\mathbf{s}}_{\text{enum}} : \exists \tilde{\mathbf{s}}_{\text{fft}}, F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) > x \}.$$

In the proof of [MAT22, Theorem 5.2], it is shown that for any threshold  $^4$  X,

$$\Pr_{L}[F_{L}(\mathbf{s}_{\text{enum}}, \mathbf{s}_{\text{fft}}) > X] \ge \Phi\left(\phi_{\text{fp}} + \phi_{\text{fn}} - \frac{X}{\sqrt{D_{\text{arg}} \cdot D}}\right).$$

and that for any  $\tilde{\mathbf{s}}_{\text{enum}} \neq \mathbf{s}_{\text{enum}}$ , any  $\tilde{\mathbf{s}}_{\text{fft}}$  and any threshold Y,

$$\Pr_{L}[F_{L}(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) > Y] \leq 1 - \varPhi\left(\frac{Y}{\sqrt{D_{\text{arg}} \cdot D}}\right).$$

We are going to apply those inequalities to  $X = (1 + 2\eta) \cdot C$  and Y = C. The second inequality, by the choice of C, gives that

$$\Pr_{L}[F_{L}(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) > C] \leqslant 1 - \varPhi\left(\frac{C}{\sqrt{D_{\text{arg}} \cdot D}}\right) = \frac{\mu}{2N_{\text{enum}}(\mathbf{s}_{\text{enum}}) \cdot p^{k_{\text{fft}}}}.$$

The number of guesses of  $\tilde{\mathbf{s}}_{\text{enum}}$  before reaching  $\mathbf{s}_{\text{enum}}$  is  $N_{\text{enum}}(\mathbf{s}_{\text{enum}})$  in the classical case. However note that Lemma 1 may call the oracle on more entries

<sup>&</sup>lt;sup>4</sup> The proof assume a particular value of C but the first three lines of the derivation in [MAT22, Theorem 5.2] holds for any value of C, which we call X here.

than  $N_{\text{enum}}(\mathbf{s}_{\text{enum}})$ . Specifically, Lemma 1 guarantees that the oracle will only call the oracle on the first  $2N_{\text{enum}}(\mathbf{s}_{\text{enum}})$  entries (with constant probability). Therefore, by a union bound,

 $\Pr_{I}[F_{L}(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) \leqslant C \text{ for the first } 2N_{\text{enum}}(\mathbf{s}_{\text{enum}}) \text{ values of } \tilde{\mathbf{s}}_{\text{enum}}] \geq 1 - \mu. \tag{1}$ 

On the other hand,

$$\Pr_{L}[F_{L}(\mathbf{s}_{\text{enum}}, \mathbf{s}_{\text{fft}}) > (1+2\eta)C] \ge \varPhi\left(\phi_{\text{fp}} + \phi_{\text{fn}} - (1+2\eta)\frac{C}{\sqrt{D_{\text{arg}} \cdot D}}\right)$$

$$= \varPhi\left(\phi_{\text{fp}} + \phi_{\text{fn}} - (1+2\eta)\phi_{\text{fp}}\right)$$

$$= \varPhi\left(\phi_{\text{fn}} - 2\eta\phi_{\text{fp}}\right).$$

It is easy to check by taking the derivative that  $\Phi$  satisfies the following inequality for all  $y \geq 0$ :

$$\Phi(y) \ge 1 - \frac{e^{-y^2/2}}{\sqrt{\pi}}.$$

Furthermore,  $\Phi$  is an increasing function so  $\Phi^{-1}$  is also increasing. Hence,

$$\Phi^{-1}(1-x) \leqslant \sqrt{-2\ln(\pi x)}$$

for all  $x \leq \frac{1}{\sqrt{\pi}}$ . Now recall that

$$\phi_{\mathrm{fp}} = \Phi^{-1} \left( 1 - \frac{\mu}{2N_{\mathrm{enum}}(\mathbf{s}_{\mathrm{enum}})p^{k_{\mathrm{fft}}}} \right), \qquad \phi_{\mathrm{fn}} = \Phi^{-1} \left( 1 - \frac{\mu}{2} \right).$$

Therefore,

$$\phi_{\rm fp} \leqslant \sqrt{-2\ln\frac{\pi\mu}{2N_{\rm enum}(\mathbf{s}_{\rm enum})p^{k_{\rm fft}}}}.$$

From this we get that  $\phi_{\rm fp}$  is a polynomial factor in all the relevant parameters. Now observe that by the integral definition of  $\Phi$ ,

$$\Phi\left(\phi_{\rm fn} - 2\eta\phi_{\rm fp}\right) = \Phi\left(\phi_{\rm fn}\right) - \frac{1}{\sqrt{2\pi}} \int_{\phi_{\rm fn} - 2\eta\phi_{\rm fp}}^{\phi_{\rm fn}} e^{-t^2/2} dt$$

$$\geq 1 - \frac{\mu}{2} - \frac{2\eta}{\sqrt{2\pi}} \phi_{\rm fp}$$

$$\geq 1 - \frac{3\mu}{4}$$

when

$$\eta \leqslant \frac{\sqrt{2\pi}\mu}{8\phi_{\rm fp}}.$$

Therefore, by Theorem 6, with probability at least  $1 - \frac{3\mu}{4}$ , we have that  $S_{(1+2\eta)C}^L \neq \emptyset$  so the algorithm returns an element from  $S_C^L$ . Furthermore, by Equation (1),

with probability at least  $1 - \mu$ , this element must be  $\mathbf{s}_{\text{enum}}$  because all the other elements satisfy  $F_L(\tilde{\mathbf{s}}_{\text{enum}}, \tilde{\mathbf{s}}_{\text{fft}}) \leq C$ . Therefore, by a union bound, the probability that the algorithm returns  $\mathbf{s}_{\text{enum}}$  is at least  $1 - \frac{3\mu}{4} - \mu \geq 1 - 2\mu \geq 1 - \nu$ . This concludes the proof.

**Lemma 4.** Let  $(n, m, q, \chi_s, \chi_e)$  be LWE parameters,  $(\beta_0, \beta_1, k_{enum}, k_{fft}, k_{lat}, p)$  be a partial tuple of parameters for Algorithm 3, and let  $0 < \nu < 1$ . Let  $\eta \leqslant \frac{\sqrt{2\pi\mu}}{8\phi_{fp}}$ , and C, D as in Lemma 2 with  $\mu = \nu/2$  and replace  $2^{k_{enum} \cdot H(\chi_s)}$  in the formula by  $G(\chi_s^{k_{enum}})$ . Then, with probability at least  $1 - \nu$  the algorithm returns  $\mathbf{s}_{enum}$ .

*Proof.* The proof is exactly the same except that we replace the inequality

$$\mathbb{E}[N_{\mathrm{enum}}(\mathbf{s}_{\mathrm{enum}})] \leqslant 2^{k_{\mathrm{enum}}H(\chi_s)}$$

by

$$\mathbb{E}[N_{\text{enum}}(\mathbf{s}_{\text{enum}})] = G(\chi_s^{k_{\text{enum}}}).$$

The reason for this replacement is that the first inequality does not appear to be justified in [MAT22] and does not hold in general. See Section 4 for more details.

**Theorem 7.** Let  $(n, m, q, \chi_s, \chi_s)$  be LWE parameters,  $(\beta_0, \beta_1, k_{enum}, k_{fft}, k_{lat}, p)$  be a partial tuple of parameters for Algorithm 3, and let  $0 < \nu < 1$ . Choosing the parameters C, D according to Lemma 2, Algorithm 3 outputs  $\mathbf{s}_{enum}$  with probability at least  $1 - \nu$  in time

$$O\left(\left\lceil \frac{D}{(\sqrt{4/3})^{\beta_1 + o(\beta_1)}} \right\rceil \cdot (T_{BKZ}(d, \beta_0) + T_{sieve}(\beta_1)) + G^{qc}(\chi_s^{k_{enum}}) \cdot p^{k_{fft}/2} \cdot \sqrt{D}\right)$$

Proof. The time complexity is clear as we need  $O(G^{qc}(\chi_s^{k_{\text{enum}}}))$  time to guess the correct value of  $\mathbf{s}_{\text{enum}}$  by Lemma 5,  $O(p^{k_{\text{fft}}/2})$  times to call the oracle  $\hat{\mathcal{O}}$ . Each call to  $\hat{\mathcal{O}}$  makes  $O(\varepsilon^{-1}\log\frac{1}{\delta})$  queries to  $\mathcal{O}'_W$  (defined inside  $\hat{\mathcal{O}}(\tilde{\mathbf{s}}_{\text{fft}})$ ) which take a polynomial time. Therefore each call to  $\hat{\mathcal{O}}$  takes  $\sqrt{D}$  times. Hence, the total complexity is (up to constant asymptotic factors)

$$G^{qc}(\chi_s^{k_{\text{enum}}}) \cdot p^{k_{\text{fft}}/2} \left(\frac{C}{D} \eta\right)^{-1} \log(10) = G^{qc}(\chi_s^{k_{\text{enum}}}) \cdot p^{k_{\text{fft}}/2} \left(\phi_{\text{fp}} \sqrt{D_{\text{arg}} \eta}\right)^{-1} \log(10)$$

where  $D_{\rm arg} \approx 1/2$ , and  $\phi_{\rm fp}$  and  $\eta$  are polynomial factors. Hence, the complexity, up to polynomials factors is

$$G^{qc}(\chi_s^{k_{\mathrm{enum}}}) \cdot p^{k_{\mathrm{fft}}/2} \cdot \sqrt{D}.$$

This completes the proof.

## 4 Quantum Guessing

Let X be a random variable on a finite or countable set. We consider the problem of guessing the value taken by X by asking questions of the form "Is X equal to x?" until the answer is yes. This problem arises when we must find the secret  $s_{\text{enum}}$  in the dual attack by asking the question "is the secret equal to  $\tilde{s}_{\text{enum}}$ ?". Let N be the number of guesses used in the guessing strategy that minimises  $\mathbb{E}[N]$ . It is clear that the best strategy is to try values of X is decreasing order of probability. Without loss of generality, we can identify the possible values of X with  $\mathbb{N}$  in such a way that  $p_0 \geq p_1 \geq p_2 \geq \cdots$  where  $p_i = \Pr[X = i]$ . The expected number of guesses of the optimal strategy is therefore

$$G(X) = \sum_{i} i \cdot p_i.$$

It is well-known that a *lower bound* on G(X) is given by the entropy of X. More precisely, Massey showed in [Mas94] that

$$G(X) \ge \frac{1}{4} \cdot 2^{H(X)} + 1$$

provided that  $H(X) \geq 2$  bits, where H denote Shannon's entropy (i.e. in base 2). On the other hand, the same paper shows that it is not, in general, possible to bound G(X) in terms of H(X) only. In Lemma 6, we heuristically show that  $G(X) \approx (\frac{2}{\sqrt{e}})^n \cdot 2^{H(X)}$  when X is distributed according to a n-dimensional discrete Gaussian. In this paper, we are interested in the quantum complexity of guessing. It can be shown (Lemma 5) that the expected number of guess in this case becomes, up to a constant factor,

$$G^{qc}(X) = \sum_{i} \sqrt{i} \cdot p_i.$$

**Lemma 5.** Let X be a random variable taking values in some (effectively describable) set E. Assume that there is an efficiently computable bijective function  $\sigma: \mathbb{N} \to E$  such that for all  $i \leq j$ ,  $\Pr_X[X = \sigma(i)] \geqslant \Pr_X[X = \sigma(j)]$ , i.e.  $\sigma$  orders E by decreasing probability according to X. Given  $x \in E$ , define the oracle  $\mathcal{O}_x$  by

$$\mathcal{O}_x(x) = 1$$
 and  $\mathcal{O}_x(y) = 0$ ,  $\forall y \in E \setminus \{x\}$ .

Then there is a quantum algorithm A, with quantum oracle access to  $\sigma$  and  $\mathcal{O}_x$  such that for all  $x \in E$ ,  $\mathcal{A}^{\sigma,\mathcal{O}_x}() = x$  with constant probability, and

$$\mathbb{E}_X[T(X)] = O(G^{qc}(X)), \qquad \mathbb{E}_X[Q(X)] = O(G^{qc}(X)),$$

where T(x) is the running time complexity of  $\mathcal{A}^{\sigma,\mathcal{O}_x}()$ , and Q(x) its query complexity.

*Proof.* Consider the following algorithm, assuming oracle access to  $\sigma$  and  $\mathcal{O}_x$  (for some unknown x). It first builds the oracle  $\mathcal{O}'(i) = \mathcal{O}_x(\sigma(i))$ . It then sets

n=1 and repeats the following until success. Call the algorithm from Lemma 1 to find the first i such that  $\mathcal{O}'(i)=1$ . If none is found, double n and repeat. Otherwise return i.

It is not hard to see that this algorithm returns x with constant probability. Indeed,  $\sigma$  is surjective so there exists i such that  $\sigma(i) = x$  and then  $\mathcal{O}'(i) = 1$ . Since,  $\sigma$  is injective,  $\sigma(j) \neq x$  for  $j \neq i$  so  $\mathcal{O}'(j) = 0$ . Hence, when the algorithm has doubled n sufficiently many times, we have i < n and the algorithm finds i with constant probability.

We now analyse its complexity. Let i be such that  $\sigma(i)=x$ . Let p be such that  $2^p < i \leqslant 2^{p+1}$ . During the first p iterations of the algorithm, we have  $n \leqslant 2^p$  so  $\mathcal{O}'(j)=0$  for all  $j \in [1,2^p]$ , hence the algorithm from Lemma 1 returns  $\bot$  so the algorithm continues. Each of those p calls has time/query complexity  $O(\sqrt{n})$ , so overall this phase has complexity

$$O\left(\sum_{k=1}^{p} \sqrt{2^k}\right) = O(\sqrt{2^p}).$$

On the  $(p+1)^{th}$  iteration, the algorithm will return i with constant probability (say 9/10) and has time/query complexity  $O(\sqrt{2^{p+1}})$ . If the algorithm fails (which happens with probability 1/10), the algorithm will run again on  $[1,2^{p+2}]$ . It then will, with probability 9/10, return i and has time/query complexity  $O(\sqrt{2^{p+2}})$ . More generally, at the  $(p+1+k)^{th}$  iteration, which only happen with probability  $(1/10)^k$ , the algorithm will return i with probability at least 9/10 and has time/query complexity  $O(\sqrt{2^{p+k+1}})$ . Hence, this part of the algorithm has expected time/query complexity

$$O\left(\sum_{k=0}^{\infty} 10^{-k} \frac{9}{10} \sqrt{2^{p+k+1}}\right) = O\left(\sqrt{2^{p+1}} \sum_{k=0}^{\infty} 10^{-k} \sqrt{2^k}\right) = O\left(\sqrt{2^{p+1}}\right) = O\left(\sqrt{i}\right).$$

Now recall that this analysis holds when the algorithm is called with an oracle  $\mathcal{O}_x$  for a given x. We now let x be chosen by X. Then every x is chosen with probability  $\Pr_X[X=x]$  and, when this is the case, the returned index is  $i=\sigma^{-1}(x)$ . Hence, the expected time/query complexity of the algorithm when given  $\mathcal{O}_X$  is

$$O\left(\sum_{x\in E}\Pr_X[X=x]\sqrt{\sigma^{-1}(x)}\right) = O\left(\sum_{i=0}^{\infty}\Pr_X[X=\sigma(i)]\sqrt{i}\right) = O(G^{qc}(X)).$$

since we assumed that  $\sigma$  orders the elements by decreasing probability.

Now we study the guessing complexity of a n-dimensional discrete Gaussian and its modular version. We also related those quantities to the entropy. The reason why we also study the (non-modular) Gaussian is that it is not clear how to order the elements by decreasing probability in the modular case, whereas it is easy in the non-modular one. Therefore we study the discrete Gaussian first and then show that its guessing complexity is an upper bound on the guessing complexity of the modular Gaussian.

**Lemma 6.** Let  $n \in \mathbb{N}$  large,  $\sigma \geqslant 0.5$  and  $X = D_{\mathbb{Z}^n, \sigma}$ . Then

$$G(X) \approx \frac{n! \cdot \left(\sigma \sqrt{2\pi}\right)^n}{2 \left. \Gamma\left(\frac{n}{2} + 1\right)^2}, \quad H(X) \approx n \log_2(\sigma \sqrt{2e\pi}), \quad G(X) \approx \frac{n! \cdot 2^{H(X)} \cdot e^{-n/2}}{2 \left. \Gamma\left(\frac{n}{2} + 1\right)^2}$$

and

$$G^{qc}(X) pprox rac{n \cdot (2\pi\sigma^2)^{n/4} \cdot \Gamma(\frac{3n}{4})}{2 \Gamma(\frac{n}{2}+1)^{3/2}}.$$

Furthermore,

$$G(X) \sim_{n \to \infty} \frac{1}{\sqrt{2\pi n}} \cdot \left(\frac{2}{\sqrt{e}}\right)^n \cdot 2^{H(X)}, \quad G^{qc}(X) \sim_{n \to \infty} \frac{\sqrt{6}}{3(\pi n)^{\frac{1}{4}}} \cdot \left(\frac{27}{8e}\right)^{\frac{n}{4}} \cdot 2^{H(X)/2}.$$

Finally, for all n and  $\sigma$ ,

$$G(D_{\mathbb{Z}_q^n,\sigma}) \leqslant 2 G(X), \qquad G^{qc}(D_{\mathbb{Z}_q^n,\sigma}) \leqslant \frac{3}{2} G^{qc}(X).$$

Proof. See Appendix A.

## 5 Application

In this section we measure the impact of our algorithm on the cost of solving lattice parameters from the literature. In particular, we consider NIST PQC Round 3 candidates Kyber and Saber [SAB+20,DKR+20] and some TFHE parameters [CGGI20]. Such a measurement is complicated by two major obstacles.

- The cost given in Theorem 7 is the sum of two costs: lattice reduction and a quantum search. Roughly speaking, lowering the first summand increases the second and vice versa. In other words, the final cost is obtained by balancing the two summands. For the first summand cost estimates in various cost models are available. In particular, estimates in quantum circuit models are available [AGPS20]. Thus, to give precise cost estimates we require quantum circuit costs for the oracles in Algorithm 3. It is clear that such costs would be substantial when compared with e.g. [AGPS20]. In the latter, the costed circuit is essentially an XOR followed by an adder. Here, we have to implement matrix vector products mod q which will cost significantly more. We consider designing and costing quantum circuits for these elementary operations beyond the scope of this paper. For this reason, we cost our algorithm in the quantum query model only, both for the oracle inside lattice reduction and our search. In this model, all oracle queries are assigned unit cost. As just outlined, this is unrealistic but gives a "best case" estimate from the perspective of an attacker.
- A second major obstacle is that our algorithm critically relies on QRACM, a
  possibly unrealistic resource as already pointed out in e.g. [AGPS20]. Thus,
  even armed with a quantum circuit for our oracle, we would have to assume

Scheme	CC	CN	С0	GE19	QN	Q0	This work (QN)	This work (Q0)
Kyber 512	139.1	134.3	115.2	139.4	124.1	102.4	118.6	94.2
Kyber 768	195.8	191.1	173.5	191.7	174.9	154.5	167.8	140.7
Kyber 1024	262.1	255.9	241.4	251.7	234.3	214.8	224.9	195.0
LightSaber	138.2	133.0	113.4	138.2	122.6	101.0	112.1	93.3
Saber	201.6	195.6	178.9	196.1	179.6	159.3	173.3	146.1
FireSaber	264.3	257.9	243.5	253.5	235.6	216.7	213.8	197.6
TFHE630	117.3	112.8	92.8	119.5	104.9	83.0	95.0	76.3
TFHE1024	121.9	117.1	95.4	123.5	108.4	84.8	101.2	80.0

Table 1. Dual attack cost estimates. All costs are logarithms to base two.

a QRACM oracle (for which we, following previous work [AGPS20], assign unit cost for querying). This would not permit us to draw conclusions about realistic costs of solving instances of lattice problems.

We give the source code for and results of the comparison in Appendix B and Table 1. In our table, for each set of parameters, we give the following cost estimates.

- CC Classical cost estimates in a classical circuit model [AGPS20,SAB<sup>+</sup>20,MAT22] for Algorithm 2 using [BDGL16] as the sieving oracle. We derive these estimates by implementing the cost estimates from [MAT22].<sup>5</sup> This is the most detailed cost estimate available in the literature. However, we caution that these estimates, too, ignore the cost of memory access and thus may significantly underestimate the true cost. That is, while RAM access is expected to be considerably cheaper than QRACM it is still not "free", cf. [MAB<sup>+</sup>22]. This cost model is called "list\_decoding-classical" in [AGPS20]. We naturally do not cost our algorithm in this cost model.
- CN Classical cost estimates in a query model for Algorithm 2 using [BDGL16] as the sieving oracle. We include this cost model for completeness and for interpreting our quantum query cost model estimates. This cost model is called "list\_decoding-naive\_classical" in [AGPS20]. We naturally do not cost our algorithm in this cost model.
- C0 Classical cost estimates in the "Core-SVP" cost model [ADPS16] for Algorithm 2 using [BDGL16] as the sieving oracle. This model assumes a single SVP call suffices to reduce a lattice. It furthermore assumes that all lower-order terms in the exponent are zero. This is to enable comparison with "Q0" below.
- **GE19** Quantum costs in a circuit model based on [GE19] for Algorithm 2 using [BDGL16]. This is the most detailed quantum cost model available in the literature but we recall that here we still assume unit cost QRACM. This

<sup>&</sup>lt;sup>5</sup> This explains the minor differences in numerical results compared to [MAT22]. In particular, we have an additional exponential factor for the guessing complexity, cf. Lemma 6.

cost model is called "list\_decoding-ge19" in [AGPS20]. We do not cost our algorithm in this cost model due to the lack of a quantum circuit design for our oracles.

- QN Quantum costs in the quantum query model for Algorithm 2 using the quantum version of [BDGL16] as the sieving oracle. This cost model is called "list\_decoding-naive\_quantum" in [AGPS20].
- Q0 Quantum cost estimates in the "Core-SVP" cost model [ADPS16] for Algorithm 2 using [CL21] as the sieving oracle. This is the asymptotically fastest quantum sieving algorithm but no estimates exist in the literature for lower-order terms; hence, we only consider it in the Core-SVP model.
- This work (QN) The cost of Algorithm 3 in the quantum query model assuming the quantum version [Laa15,AGPS20] of [BDGL16]. Thus, the most natural comparison is to the column labelled "CN".
- **This work (Q0)** The cost of Algorithm 3 in the Core-SVP model assuming [CL21]. Thus, the most natural comparison is to the column labelled "C0".

On the one hand, comparing the column labelled "QN" and the last column shows that our algorithm offers a significant improvement of between 10 and 20 "bits" in complexity in the query model. On the other hand, even in this – arguably unrealistic – model our improvements do not lower the cost of solving below a square-root of the targeted security level. That is, to force a revision of lattice parameters, a quantum algorithm would have to obtain a quadratic speed-up over the classical cost given as "CN".

## 6 Open Problem

The crux of our quantum improvement is Section 3. Here we formalise the problem that this algorithm solves and a promise variant. We introduce some minor notation first. Given a finite group  $G = \mathbb{Z}_q^n$  and a list

$$L = \{(\mathbf{u}_0, w_0), \dots, (\mathbf{u}_{k-1}, w_{k-1})\}\$$

where the  $\mathbf{u}_i$  are distinct, we let  $f_L: G \to \mathbb{C}$  be defined by  $f_L(\mathbf{u}_i) = w_i$  and  $f_L(\mathbf{u}) = 0$  for all  $\mathbf{u} \in G \setminus \{\mathbf{u}_0, \dots, \mathbf{u}_{k-1}\}$ . Recall that  $\widehat{f_L}$  denotes the Fourier transform of  $f_L$ . We now introduce two problems, which we call "input sparse FFT" to avoid confusion with "sparse FFT" where the sparseness refers to the number of nonzero Fourier coefficient, not the number of nonzero inputs coefficients.

#### INPUT-SPARSE-FFT-THRESHOLD:

```
– input: G = \mathbb{Z}_q^n a finite group,
- input: \delta > 0 a threshold,
```

- input:  $L = \{(\mathbf{u}_0, w_0), \dots, (\mathbf{u}_{k-1}, w_{k-1})\}$  where the  $\mathbf{u}_i$  are distinct,
- **output:** decide whether  $\exists \mathbf{u} \in G$  such that  $\Re(\widehat{f_L}(\mathbf{u})) > \delta$ , or  $\bot$  if none exists.

#### PROMISE-INPUT-SPARSE-FFT-THRESHOLD:

- **input:**  $f: G \to \mathbb{C}$  an efficiently computable function,
- input:  $L = \{(\mathbf{u}_0, w_0), \dots, (\mathbf{u}_{k-1}, w_{k-1})\}$  where the  $\mathbf{u}_i$  are distinct,
- **promise:**  $\Re(f_L(\mathbf{u})) \notin [\delta^-, \delta^+]$  for all  $\mathbf{u} \in G$ ,
- **output:** decide whether  $\exists \mathbf{u} \in G$  such that  $\Re(\widehat{f_L}(\mathbf{u})) > \delta^+$ , or  $\bot$  if none exists.

Remark 1. To map this formulation back to our task consider Line 9 of Algorithm 2. The  $\mathbf{u}_i$  correspond to  $\lfloor \frac{p}{q} \cdot \mathbf{y}_{j,\text{fft}} \rfloor$  and  $w_i \coloneqq f_L(\mathbf{u}_i)$  is the sum over all  $\exp\left((\mathbf{x}_{j}^{T}\cdot\mathbf{b}-\mathbf{y}_{j,\mathrm{enum}}^{T}\cdot\tilde{\mathbf{s}}_{\mathrm{enum}})\cdot\frac{2i\pi}{q}\right)$  that are stored in the cell  $\lfloor\frac{p}{q}\mathbf{y}_{j,\mathrm{fft}}\rceil$  of T, i.e.  $w_i = T\left[\lfloor \frac{p}{q}\mathbf{y}_{j,\text{fft}} \rceil\right] = f_L(\mathbf{u}_i)$ . We then seek to decide if there is some  $\mathbf{u} = \tilde{\mathbf{s}}_{\text{fft}} \in G = \mathbb{Z}_n^{k_{\text{fft}}} \text{ s.t. } \Re(\widehat{f_L}(\mathbf{u})) > \delta = C, \text{ i.e. the entry in the FFT'd table } T.$ 

Our quantum (with QRACM) algorithm from Section 3 solve PROMISE-INPUT-SPARSE-FFT-THRESHOLD as follows. For every  $\mathbf{u} \in G$ , it compute an approximation of  $\Re(\widehat{f_L}(\mathbf{u}))$  with error at most  $\frac{1}{2}(\delta^+ - \delta^-)$  and then compare it to  $\delta^+$ . By the promise, this suffices to solve the problem. We then leverage two facts to obtain a quantum speedup: the search over  $\mathbf{u} \in G$  can be done using Grover's algorithm, and the approximation is done by amplitude estimation (Theorem 5). The running time of our algorithm is  $\sqrt{|G|}/(\delta^+ - \delta^-)$ , and it outputs a correct index with constant probability. In the dual algorithm, it turns out that the interesting set of parameters for this algorithm is  $\delta^+ - \delta^- = O(k^{-1/2})$ , therefore our algorithm has running time roughly  $O(\sqrt{k|G|})$  which is always better than O(|G|) and potentially much better if k is much smaller than |G|.

In the classical case, to the best of our knowledge, the best algorithm is to perform a complete FFT on the |G| coefficients, which therefore takes time  $O(|G| \log |G|)$ . While there are algorithms for "sparse" FFT (see e.g. [HIKP12]), it is not clear that their approximation guarantees would be sufficient. Indeed, the sparseness in such algorithm refers to the number of output coefficients  $f_L(\mathbf{u})$ which is assumed small. Since we expect all the output coefficients of our FFT to be small and the threshold  $\delta$  to be exponentially close to 0, it is unlikely that such an approximation would be sufficient.

In the quantum case, the situation depends on the availability of quantum memories (QRACM). Our algorithm relies on the use of a QRACM in a crucial way. In fact, without a QRACM, we are not aware of any algorithm better than the classical one. This is surprising in light of the fact that QFT can be done in polynomial time: we now explain why this fact alone is not sufficient.

Let  $L = \{(\mathbf{u}_0, w_0), \dots, (\mathbf{u}_{k-1}, w_{k-1})\}$  be a list. In order to apply the QFT, we would need to create the superposition

$$|\psi\rangle = \frac{1}{Z} \sum_{i} w_i |\mathbf{u}_i\rangle \tag{2}$$

where Z is a normalisation factor. We could then perform a QFT on  $|\psi\rangle$  to obtain

$$|\widehat{\psi}\rangle = \frac{1}{Z} \sum_{\mathbf{u} \in G} \widehat{f_L}(\mathbf{u}) |\mathbf{u}\rangle.$$

We now would like to decide if there is some  $\mathbf{u} \in G$  such that  $\widehat{f_L}(\mathbf{u}) > \delta$ . Unfortunately, there are two problems with this approach:

- it is not clear how to create the superposition in Equation (2) efficiently,
- it is not clear that we can efficiently detect whether there is an amplitude in front of some  $|\mathbf{u}\rangle$  which is above the threshold.

The first problem is the most serious one: while we can create the superposition Equation (2) in time O(k), any algorithm for the second step would probably need to repeat this step many times (see below). This would make the algorithm essentially worse than the classical one. With the use of a QRACM and some elementary operations, we could create a superposition of the form

$$\sum_{i} w_{i} \left| \mathbf{u}_{i} \right\rangle \left| w_{i} \right\rangle$$

in time O(1) after the QRACM is created (which takes time O(k) once). However note that we cannot apply the QFT on this state: we first need to "uncompute"  $|w_i\rangle$  from the state to obtain Equation (2), which is not possible in general.

The second problem may be less serious: if we could create Equation (2), a possible strategy would be to measure  $|\widehat{\psi}\rangle$  and obtain one  $\mathbf{u}$ . By repeating this algorithm a very large number of times, we can approximation the probability of the most likely  $\mathbf{u}$  and therefore recover whether there is some sufficiently large  $\widehat{f}_L(\mathbf{u})$ . Indeed, this strategy recovers  $\mathbf{u}$ . In order to approximate this quantity within  $\varepsilon$ , we would need  $1/\varepsilon^2$  samples. Since  $\varepsilon = 1/\sqrt{k}$  in the dual attack, such an algorithm would take time at least  $\Omega(k)$ . It is not clear if there is a better strategy that merely decides on the presence of some  $\mathbf{u}$  without recovering it.

In conclusion, the complexity of solving INPUT-SPARSE-FFT-THRESHOLD and PROMISE-INPUT-SPARSE-FFT-THRESHOLD is unclear in the quantum setting. We have shown how to solve the promise problem in  $O(\sqrt{|G|/(\delta^+ - \delta^-)})$  with QRACM, and  $\Omega(k)$  is a clear lower bound on the complexity since the algorithm needs to read the input in any case. Of particular relevance in the context of dual attacks are the following two questions regarding PROMISE-INPUT-SPARSE-FFT-THRESHOLD:

– When  $(\delta^+ - \delta^-)^{-1} = \Theta(\sqrt{k})$ , is the quantum complexity  $O(\sqrt{k|G|})$  optimal with QRACM?

- When  $(\delta^+ - \delta^-)^{-1} = \Theta(\sqrt{k})$ , can we achieve any quantum complexity better than  $O(|G| \log |G|)$  without QRACM?

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# Appendix

## A Proof of Lemma 6

First observe that  $D_{\mathbb{Z}^n,\sigma}(\mathbf{x})$  only depends on  $\|\mathbf{x}\|$  and decreases with  $\|\mathbf{x}\|$ . Formally,  $D_{\mathbb{Z}^n,\sigma}(\mathbf{x}) = \rho_{\sigma}(\|\mathbf{x}\|)/\rho_{\sigma}(\mathbb{Z}^n)$ . Therefore, we can rewrite the guessing complexity as

$$G(D_{\mathbb{Z}^n,\sigma}) = \sum_{\ell=0}^{\infty} \frac{\rho_{\sigma}(\sqrt{\ell})}{\rho_{\sigma}(\mathbb{Z}^n)} \sum_{i=N(\ell-1)+1}^{N(\ell)} i$$

where  $N(\ell) = \{ \mathbf{x} \in \mathbb{Z}^n : ||\mathbf{x}|| \leq \sqrt{\ell} \}$ . We let N(-1) = 0 by convention. It follows that, for  $n \geq 4$  (we need every number to be a sum of n squares so that  $N(\ell) > N(\ell - 1)$ ):

$$G(X) = \sum_{\ell=0}^{\infty} \frac{\rho_{\sigma}(\sqrt{\ell})}{\rho_{\sigma}(\mathbb{Z}^n)} \cdot \frac{(N(\ell) - N(\ell-1)) \cdot (N(\ell-1) + N(\ell) + 1)}{2}$$

$$\leq \sum_{\ell=0}^{\infty} \frac{\rho_{\sigma}(\sqrt{\ell})}{\rho_{\sigma}(\mathbb{Z}^n)} \cdot (N(\ell) - N(\ell-1)) \cdot N(\ell)$$

$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} \sum_{\ell=0}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \cdot (N(\ell) - N(\ell-1)) \cdot N(\ell).$$
(3)

By a standard estimate<sup>6</sup>, we have that (this only works for  $n \ge 3$ ):

$$N(\ell) = \operatorname{vol}(B_n) \cdot \ell^{n/2} + O(\ell^{n/2-1})$$

where  $B_n := B_n(1)$  and  $B_n(r)$  denotes the unit ball of  $\mathbb{R}^n$  for the Euclidean norm. Therefore, for  $\ell \geq 1$ ,

$$N(\ell) - N(\ell - 1) = \operatorname{vol}(B_n) \cdot (\ell^{n/2} - (\ell - 1)^{n/2}) + O(\ell^{n/2 - 1})$$
$$= \operatorname{vol}(B_n) \cdot \frac{n}{2} \cdot \ell^{n/2 - 1} + O(\ell^{n/2 - 1})$$
$$= (\operatorname{vol}(B_n) + O(1)) \cdot \frac{n}{2} \cdot \ell^{n/2 - 1}.$$

We note that the approximation above only depends on the dimension and not on  $\sigma$ . We now obtain that

$$G(D_{\mathbb{Z}^n,\sigma}) \lesssim \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} + \frac{\operatorname{vol}(B_n)^2}{\rho_{\sigma}(\mathbb{Z}^n)} \cdot \sum_{\ell=1}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \cdot \frac{n}{2} \cdot \ell^{n-1}$$
$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} + \frac{n \cdot \operatorname{vol}(B_n)^2}{2\rho_{\sigma}(\mathbb{Z}^n)} \cdot \sum_{\ell=1}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \cdot \ell^{n-1}.$$

<sup>&</sup>lt;sup>6</sup> Place a unit cube centred on each integer point: the resulting body contains  $B_n(\sqrt{\ell} - \sqrt{n}/2)$  and is contained in  $B_n(\sqrt{\ell} + \sqrt{n}/2)$ .

We can approximate the sum by an integral and get that

$$\sum_{\ell=1}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \cdot \ell^{n-1} \approx \int_{1}^{\infty} \rho_{\sigma}(\sqrt{t}) \cdot t^{n-1} dt$$

$$\leq \int_{0}^{\infty} e^{-\frac{t}{2\sigma^{2}}} \cdot t^{n-1} dt$$

$$= (n-1)! \cdot (2\sigma^{2})^{n}.$$
(4)

We also bound  $\rho_{\sigma}(\mathbb{Z}^N)$  by using the Poisson summation formula as follows:

$$\rho_{\sigma}(\mathbb{Z}^n) = \left(\rho_{\sigma}(\mathbb{Z})\right)^n = \left(\sigma\sqrt{2\pi}\rho_{1/\sqrt{2}\pi\sigma}(\mathbb{Z})\right)^n > \left(\sigma\sqrt{2\pi}\right)^n$$

which is a good approximation for large  $\sigma$ . Using the standard asymptotic (in n) estimate for vol $(B_n)$ , we have that

$$G(D_{\mathbb{Z}^n,\sigma}) \lesssim \frac{n! \left(2\sigma^2\right)^n}{2 \cdot \left(\sigma \cdot \sqrt{2\pi}\right)^n} \cdot \left(\frac{\pi^{n/2}}{\Gamma(\frac{n}{2}+1)}\right)^2 = \frac{n! \left(2\pi\sigma^2\right)^{n/2}}{2 \cdot \Gamma(\frac{n}{2}+1)^2}.$$

We now argue that this formula should be relatively accurate for large n and  $\sigma$  at least 1 (with a precise that increases as  $\sigma$  gets larger). Recall that the approximation of  $N(\ell)$  only depend on the dimension n and not  $\sigma$ . Therefore, the only approximation step that depends on  $\sigma$  is (4) where we approximate the sum by an integral. Let  $f(t) = \rho_{\sigma}(\sqrt{t}) \cdot t^{n-1}$ . One easily checks that f is increasing on [0, N] and decreasing on  $[N, \infty]$  where  $N = 2(n-1)\sigma^2$ . Assume for simplicity that  $\sigma$  is an integer. Then,

$$\sum_{\ell=1}^{\infty} f(\ell) = \sum_{\ell=1}^{N-1} f(\ell)f(N) + \sum_{N+1}^{\infty} f(\ell)$$

$$\leqslant \sum_{\ell=1}^{N-1} \int_{\ell}^{\ell+1} f(t)dt + f(N) + \sum_{N+1}^{\infty} \int_{\ell-1}^{\ell} f(t)dt$$

$$= f(N) + \int_{1}^{\infty} f(t)dt$$

$$\leqslant f(N) + \int_{0}^{\infty} f(t)dt$$

$$= f(N) + (n-1)! \cdot (2\sigma^{2})^{n}.$$

Similarly,

$$\sum_{\ell=1}^{\infty} f(\ell) = \sum_{\ell=1}^{N} f(\ell) - f(N) + \sum_{N=1}^{\infty} f(\ell)$$

$$\geqslant \sum_{\ell=1}^{N} \int_{\ell-1}^{\ell} f(t) dt - f(N) + \sum_{N=1}^{\infty} \int_{\ell}^{\ell+1} f(t) dt$$

$$= -f(N) + \int_0^\infty f(t)dt$$
  
= -f(N) + (n - 1)! \cdot (2\sigma^2)^n.

Hence, we see that the error introduced by the sum/integral approximation in (4) is  $\pm f(N)$ . This term is then divided by  $\rho_{\sigma}(\mathbb{Z}^n)$  which is at least  $(\sigma\sqrt{2\pi})^n$ . Finally, this term should be compared to the approximation to obtain a relative error. Hence, the approximation's relative error is at most

$$\begin{split} \frac{\rho_{\sigma}(\sqrt{2(n-1)}\sigma) \cdot (2(n-1)\sigma^2)^{n-1}}{(\sigma\sqrt{2\pi})^n \cdot (n-1)!(2\sigma^2)^n} &= \frac{\rho_{\sigma}(\sqrt{2(n-1)}\sigma) \cdot (n-1)^{n-1}}{(\sigma\sqrt{2\pi})^n \cdot (n-1)!(2\sigma^2)} \\ &= \frac{e^{-(n-1)} \cdot (n-1)^{n-1}}{(\sigma\sqrt{2\pi})^n \cdot (n-1)!(2\sigma^2)} \\ &= \frac{1}{2\sigma(\sigma\sqrt{2\pi})^n (n-1)!} \left(\frac{n-1}{e}\right)^{n-1} \\ &\sim_{n \to \infty} \frac{1}{2\sigma(\sigma\sqrt{2\pi})^n} \frac{1}{\sqrt{2\pi(n-1)}}. \end{split}$$

Looking at this formula, we see that it is very close to 0 (for large n) as long as  $\sigma\sqrt{2\pi} > 1$ . Since  $\sqrt{2\pi} \approx 2.5$ , this means that any value of  $\sigma$  above 0.5 ensures a very good approximation.

For the quantum guessing complexity, we use the same approach to get that

$$G^{qc}(D_{\mathbb{Z}^n,\sigma}) = \sum_{\ell=0}^{\infty} \frac{\rho_{\sigma}(\sqrt{\ell})}{\rho_{\sigma}(\mathbb{Z}^n)} \sum_{i=N(\ell-1)+1}^{N(\ell)} \sqrt{i}.$$
 (5)

We now note that

$$\sum_{i=a+1}^{n} \sqrt{i} \approx \int_{a+1}^{b} \sqrt{t} dt = \frac{2}{3} \left( b^{3/2} - (a+1)^{3/2} \right).$$

This approximation can be made formal easily since the square root function is increasing, but for brevity we omit this step. Therefore,

$$G^{qc}(D_{\mathbb{Z}^n,\sigma}) \approx \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} + \frac{2}{3} \sum_{\ell=1}^{\infty} \frac{\rho_{\sigma}(\sqrt{\ell})}{\rho_{\sigma}(\mathbb{Z}^n)} \cdot \left(N(\ell)^{3/2} - (N(\ell-1)+1)^{3/2}\right)$$

$$\approx \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} + \frac{2}{3} \sum_{\ell=1}^{\infty} \frac{\rho_{\sigma}(\sqrt{\ell})}{\rho_{\sigma}(\mathbb{Z}^n)} \operatorname{vol}(B_n)^{3/2} \cdot \left(\ell^{3n/4} - (\ell-1)^{3n/4}\right)$$

$$\approx \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} + \frac{2}{3} \sum_{\ell=1}^{\infty} \frac{\rho_{\sigma}(\sqrt{\ell})}{\rho_{\sigma}(\mathbb{Z}^n)} \cdot \operatorname{vol}(B_n)^{3/2} \cdot \frac{3n}{4} \cdot \ell^{3n/4-1}$$

$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} + \frac{n \cdot \operatorname{vol}(B_n)^{3/2}}{2\rho_{\sigma}(\mathbb{Z}^n)} \cdot \sum_{\ell=1}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \cdot \ell^{3n/4-1}.$$

We can approximate the sum by an integral again and get that

$$\begin{split} \sum_{\ell=1}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \cdot \ell^{3n/4-1} &\approx \int_{1}^{\infty} \rho_{\sigma}(\sqrt{t}) \cdot t^{3n/4-1} \, \mathrm{d}t \\ &\leqslant \int_{0}^{\infty} e^{-\frac{t}{2\sigma^{2}}} t^{3n/4-1} \, \mathrm{d}t \\ &= \left(2\sigma^{2}\right)^{3n/4} \cdot \Gamma\left(\frac{3n}{4}\right). \end{split}$$

One can analyse the sum/integral approximation as above and conclude that it is sound for  $\sigma$  above 0.5. Therefore,

$$G^{qc}(X) \lesssim \frac{n \cdot \operatorname{vol}(B_n)^{3/2}}{2\rho_{\sigma}(\mathbb{Z}^n)} \cdot (2\sigma^2)^{3n/4} \cdot \Gamma(\frac{3n}{4})$$

$$\lesssim \frac{n}{2\left(\sigma\sqrt{2\pi}\right)^n} \cdot \left(\frac{\pi^{n/2}}{\Gamma(\frac{n}{2}+1)}\right)^{3/2} \cdot (2\sigma^2)^{3n/4} \cdot \Gamma(\frac{3n}{4})$$

$$= \frac{n \cdot (2\pi\sigma^2)^{n/4} \cdot \Gamma(\frac{3n}{4})}{2\Gamma(\frac{n}{2}+1)^{3/2}}.$$

Finally, we estimate the entropy of this distribution as follows:

$$H(D_{\mathbb{Z}^{n},\sigma}) = -\sum_{\mathbf{x} \in \mathbb{Z}^{n}} D_{\mathbb{Z}^{n},\sigma}(\mathbf{x}) \cdot \log_{2}(D_{\mathbb{Z}^{n},\sigma}(\mathbf{x}))$$

$$= \frac{1}{2\sigma^{2} \log(2) \cdot \rho_{\sigma}(\mathbb{Z})} \cdot \sum_{\mathbf{x} \in \mathbb{Z}^{n}} \rho_{\sigma}(\mathbf{x}) \cdot ||\mathbf{x}||^{2} + \frac{\log_{2} \rho_{\sigma}(\mathbb{Z}^{n})}{\rho_{\sigma}(\mathbb{Z}^{n})} \sum_{\mathbf{x} \in \mathbb{Z}^{n}} \rho_{\sigma}(\mathbf{x})$$

$$= \frac{1}{2\sigma^{2} \log(2) \cdot \rho_{\sigma}(\mathbb{Z}^{n})} \sum_{\mathbf{x} \in \mathbb{Z}^{n}} \rho_{\sigma}(\mathbf{x}) \cdot ||\mathbf{x}||^{2} + \log_{2} \rho_{\sigma}(\mathbb{Z}^{n})$$

By approximating the sum with the integral, we have that

$$\begin{split} H(D_{\mathbb{Z}^n,\sigma}) &\approx \frac{1}{2\sigma^2 \cdot \log(2) \cdot \rho_{\sigma}(\mathbb{Z}^n)} \int_{\mathbb{R}^n} \rho_{\sigma}(\mathbf{x}) \cdot \|\mathbf{x}\|^2 \, \mathrm{d}\mathbf{x} + \log_2(\rho_{\sigma}(\mathbb{Z}^n)) \\ &= \frac{n \cdot \sigma^2 \cdot (\sigma\sqrt{2\pi})^n}{2 \cdot \sigma^2 \log(2)\rho_{\sigma}(\mathbb{Z}^n)} + \log_2\rho_{\sigma}(\mathbb{Z}^n) \\ &\approx \frac{n \cdot \sigma^2 \cdot (\sigma\sqrt{2\pi})^n}{2 \sigma^2 \cdot \log(2) \cdot (\sigma\sqrt{2\pi})^n} + \log_2(\sigma\sqrt{2\pi})^n \\ &= \frac{n}{2\log(2)} + n\log_2(\sigma\sqrt{2\pi}) \\ &= n\log_2(\sigma\sqrt{2e\pi}). \end{split}$$

Hence, we have that, for large n,

$$G(D_{\mathbb{Z}^n,\sigma}) \approx \frac{n! \left(\sigma\sqrt{2\pi}\right)^n}{2 \Gamma\left(\frac{n}{2}+1\right)^2}$$

$$\sim_{n\to\infty} \frac{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n}{2 \left(\sqrt{2\pi \frac{n}{2}} \left(\frac{n}{2e}\right)^{n/2}\right)^2} \cdot \left(\sigma\sqrt{2\pi}\right)^n}$$

$$\sim \frac{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n}{2\pi n \left(\frac{n}{2e}\right)^n} \cdot \left(\sigma\sqrt{2\pi}\right)^n}$$

$$\sim \frac{2^n}{\sqrt{2\pi n}} \cdot \left(\sigma\sqrt{2\pi}\right)^n}$$

$$\sim \frac{1}{\sqrt{2\pi n}} \cdot \left(\frac{2}{\sqrt{e}}\right)^n \cdot \left(\sigma\sqrt{2e\pi}\right)^n}$$

$$\sim \frac{1}{\sqrt{2\pi n}} \cdot \left(\frac{2}{\sqrt{e}}\right)^n \cdot 2^{H(X)}$$

and that

$$G^{qc}(D_{\mathbb{Z}^{n},\sigma}) \approx \frac{n \cdot (2\pi\sigma^{2})^{n/4} \cdot \Gamma(\frac{3n}{4})}{2\Gamma(\frac{n}{2}+1)^{3/2}}$$

$$= \frac{n \cdot \Gamma(\frac{3n}{4})}{2\Gamma(\frac{n}{2}+1)^{3/2} \cdot e^{n/4}} \cdot 2^{H(X)/2}$$

$$\sim_{n \to \infty} \frac{n \cdot \sqrt{2\pi \frac{3n}{4}} \left(\frac{\frac{3n}{4}-1}{e}\right)^{\frac{3n}{4}-1}}{2\left(\sqrt{2\pi \frac{n}{2}} \cdot \left(\frac{n}{2e}\right)^{n/2}\right)^{\frac{3}{2}} \cdot e^{n/4}} \cdot 2^{H(X)/2}$$

$$\sim \frac{n\sqrt{2\pi \frac{3n}{4}} \left(\frac{3n}{4e}\right)^{\frac{3n}{4}-1}}{2e\left(\sqrt{2\pi \frac{n}{2}} \left(\frac{n}{2e}\right)^{n/2}\right)^{\frac{3}{2}} \cdot e^{n/4}} 2^{H(X)/2}$$

$$\sim \frac{\sqrt{6}}{3(\pi n)^{\frac{1}{4}}} \left(\frac{27}{8e}\right)^{\frac{n}{4}} \cdot 2^{H(X)/2}$$

We now consider the case of the modular discrete Gaussian. We do not know how to order the elements of  $\mathbb{Z}_q^n$  by decreasing probability so we will instead consider one possible order and bound the complexity of this order. This will prove an upper bound on G(Y).

For any  $x \in \mathbb{Z}_q$ , denote by  $\tilde{x} \in x + q\mathbb{Z}$  the unique integer such that  $|x| \leqslant \frac{q-1}{2}$ . We extend this notion to vectors in  $\mathbf{x} \in \mathbb{Z}_q^n$  componentwise. In other words,  $\tilde{\mathbf{x}}$  is the lift from  $\mathbb{Z}_q$  to  $\mathbb{Z}$  centered on 0.

Let  $\tau: \mathbb{Z}_q^n \to \mathbb{N}$  be an ordering of  $\mathbb{Z}_q^n$  such that for all  $\mathbf{x}, \mathbf{y} \in \mathbb{Z}_q^n$ , if  $\|\tilde{\mathbf{x}}\| < \|\tilde{\mathbf{y}}\|$  then  $\tau(\mathbf{x}) < \tau(\mathbf{y})$ . In other words, we order points of  $\mathbb{Z}_q^n$  according to the norm of their "lift" in  $\{\frac{q-1}{2}, \ldots, \frac{q-1}{2}\}$ . Intuitively, when  $\sigma$  is much smaller than q, this will be the optimal order but we were not able to show this result. We now

have that

$$G(D_{\mathbb{Z}_q^n,\sigma}) \leqslant \sum_{\mathbf{x} \in \mathbb{Z}_q^n} D_{\mathbb{Z}_q^n,\sigma}(\mathbf{x}) \cdot \tau(\mathbf{x})$$

$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} \cdot \sum_{\mathbf{x} \in \mathbb{Z}_q^n} \tau(\mathbf{x}) \cdot \sum_{\mathbf{y} \in \mathbb{Z}^n} \rho_{\sigma}(\mathbf{x} + q \cdot \mathbf{y})$$

$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} \sum_{\mathbf{x} \in \mathbb{Z}_q^n} \sum_{\mathbf{y} \in \mathbb{Z}^n} \rho_{\sigma}(\mathbf{x} + q \cdot \mathbf{y}) \tau(\widetilde{\mathbf{x} + q \cdot \mathbf{y}}) \quad \text{since } \widetilde{\mathbf{x} + q \cdot \mathbf{y}} = \widetilde{\mathbf{x}}$$

$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} \cdot \sum_{\mathbf{x} \in \mathbb{Z}_q^n} \rho_{\sigma}(\mathbf{x}) \cdot \tau(\widetilde{\mathbf{x}}).$$

Now fix  $\mathbf{x} \in \mathbb{Z}^n$ . We now observe that by definition of the order  $\tau$ ,  $\tau(\tilde{\mathbf{x}}) < \tau(\tilde{\mathbf{y}})$  for any  $\mathbf{y} \in \mathbb{Z}_q^n$  such that  $\|\tilde{\mathbf{y}}\| > \|\tilde{\mathbf{x}}\|$ . In particular, choose  $\mathbf{y}$  such that  $\|\tilde{\mathbf{y}}\| = \|\tilde{\mathbf{x}}\|$  and  $\tau(\mathbf{y})$  is the largest possible among all such  $\mathbf{y}$ . Then

$$\begin{split} \tau(\tilde{\mathbf{y}}) &= |\left\{ \left. \mathbf{z} \in \mathbb{Z}_q^n : \|\tilde{\mathbf{z}}\| \leqslant \|\tilde{\mathbf{x}}\| \right\} \right| \\ &\leqslant |\left\{ \left. \mathbf{z} \in \mathbb{Z}^n : \|\mathbf{z}\| \leqslant \|\tilde{\mathbf{x}}\| \right\} \right| \\ &= N(\|\tilde{\mathbf{x}}\|^2) \end{split}$$

where recall that we defined  $N(\ell) = \{ \mathbf{x} \in \mathbb{Z}^n : ||\mathbf{x}|| \leq \sqrt{\ell} \}$  and N(-1) = 0 at the beginning of the proof. Therefore,

$$G(D_{\mathbb{Z}_{q}^{n},\sigma}) \leqslant \frac{1}{\rho_{\sigma}(\mathbb{Z}^{n})} \sum_{\mathbf{x} \in \mathbb{Z}^{n}} \rho_{\sigma}(\mathbf{x}) N(\|\tilde{\mathbf{x}}\|^{2})$$

$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^{n})} \sum_{\ell=0}^{\infty} \sum_{\mathbf{x} \in \mathbb{Z}^{n}: \|\mathbf{x}\|^{2} = \ell} \rho_{\sigma}(\mathbf{x}) N(\|\tilde{\mathbf{x}}\|^{2})$$

$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^{n})} \sum_{\ell=0}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \cdot N(\ell) \sum_{\mathbf{x} \in \mathbb{Z}^{n}: \|\mathbf{x}\|^{2} = \ell} 1$$

$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^{n})} \sum_{\ell=0}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \cdot N(\ell) \cdot (N(\ell) - N(\ell - 1))$$

$$\leqslant 2G(D_{\mathbb{Z}^{n},\sigma}) \qquad \text{by (3)}.$$

We now consider the case of the quantum guessing complexity. Virtually the same argument yields that

$$G^{qc}(D_{\mathbb{Z}_q^n,\sigma}) \leqslant \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} \sum_{\mathbf{x} \in \mathbb{Z}^n} \rho_{\sigma}(\mathbf{x}) \sqrt{N(\|\tilde{\mathbf{x}}\|^2)}$$

$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} \sum_{\ell=0}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \cdot \sqrt{N(\ell)} \sum_{\mathbf{x} \in \mathbb{Z}^n : \|\mathbf{x}\|^2 = \ell} 1$$

$$= \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} \sum_{\ell=1}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \cdot \sqrt{N(\ell)} \cdot (N(\ell) - N(\ell - 1)).$$

Now recall by (5) that

$$G^{qc}(D_{\mathbb{Z}^n,\sigma}) = \sum_{\ell=0}^{\infty} \frac{\rho_{\sigma}(\sqrt{\ell})}{\rho_{\sigma}(\mathbb{Z}^n)} \sum_{i=N(\ell-1)+1}^{N(\ell)} \sqrt{i}.$$

Furthermore, since  $\sqrt{\cdot}$  is an increasing function, it is not hard to see that for all  $a, b \in \mathbb{N}$ ,

$$\sum_{i=a+1}^{b} \sqrt{i} \geqslant \int_{a}^{b} \sqrt{x} \, \mathrm{d}x = \frac{2}{3} (b^{3/2} - a^{3/2}).$$

Therefore, for any  $\ell \in \mathbb{N}$ ,

$$\sum_{i=N(\ell-1)+1}^{N(\ell)} \sqrt{i} \geqslant \frac{2}{3} \left( N(\ell)^{3/2} - N(\ell-1)^{3/2} \right).$$

But check that  $N(\ell-1) \leq N(\ell)$  so that

$$\sqrt{N(\ell)}(N(\ell) - N(\ell - 1)) \leqslant N(\ell)^{3/2} - N(\ell - 1)^{3/2} \leqslant \frac{3}{2} \sum_{i=N(\ell - 1)+1}^{N(\ell)} \sqrt{i}.$$

It then follows easily that

$$G^{qc}(D_{\mathbb{Z}_q^n,\sigma}) \leqslant \frac{3}{2} \frac{1}{\rho_{\sigma}(\mathbb{Z}^n)} \sum_{\ell=1}^{\infty} \rho_{\sigma}(\sqrt{\ell}) \sum_{i=N(\ell-1)+1}^{N(\ell)} \sqrt{i} = \frac{3}{2} G^{qc}(D_{\mathbb{Z}^n,\sigma}).$$

This finishes the proof.

## B Source code

Our code relies on the modified LWE Estimator from [APS15] available at https://github.com/malb/lattice-estimator/. We also attached our code as an attachment to this PDF. Not all PDF viewers support this feature. If the reader's PDF reader does not then e.g. pdfdetach can be used to extract the source code without having to copy and paste it by hand.

```
# -*- coding: utf-8 -*-
"""
Run like this::
    sage: attach("estimates.py")
    sage: %time results = runall()
    sage: save(results, "../data/estimates.sobj")
    sage: print(results_table(results))
"""
from sage.all import sqrt, log, exp, e, pi, RR, ZZ
from estimator.estimator.cost import Cost
```

```
from estimator.estimator.lwe_parameters import LWEParameters
from estimator.estimator.reduction import delta as deltaf
from estimator.estimator.reduction import RC, ReductionCost
from estimator.estimator.conf import red_cost_model as red_cost_model_default
from estimator.estimator.util import local_minimum, early_abort_range
from estimator.estimator.io import Logging
from estimator.estimator.schemes import (
   Kyber512,
    Kyber768,
    Kyber1024,
    LightSaber,
    Saber,
    FireSaber,
from estimator.estimator.schemes import TFHE630, TFHE1024
class ChaLoy21(ReductionCost):
    __name__ = "ChaLoy21"
    short_vectors = ReductionCost._short_vectors_sieve
    def __call__(self, beta, d, B=None):
        :param beta: Block size \geq 2.
        :param d: Lattice dimension.
        :param B: Bit-size of entries.
        return ZZ(2) ** RR(0.2570 * beta)
class MATZOV:
   C_prog = 1.0 / (1 - 2.0 ** (-0.292)) # p.37 
C_mul = 32**2 # p.37 
C_add = 5 * 32 # guessing based on C_mul
    @classmethod
    def T_fftf(cls, k, p):
        The time complexity of the FFT in dimension 'k' with modulus 'p'.
        :param k: Dimension
        :param p: Modulus ≥ 2
        return cls.C_mul * k * p ** (k + 1) # Theorem 7.6, p.38
    @classmethod
    def T_tablef(cls, D):
        Time complexity of updating the table in each iteration.
        :param D: Number of nonzero entries
        return 4 * cls.C_add * D # Theorem 7.6, p.39
    def Nf(cls, params, m, beta_bkz, beta_sieve, k_enum, k_fft, p):
        Required number of samples to distinguish with advantage.
        :param params: LWE parameters
        :param beta_bkz: Block size used for BKZ reduction
```

```
:param beta_sieve: Block size used for sampling
     :param k_enum: Guessing dimension
    :param k_fft: FFT dimension
    :param p: FFT modulus
    mu = 0.5
    k_lat = params.n - k_fft - k_enum # p.15
    # p.39
    lsigma_s = (
         params.Xe.stddev ** (m / (m + k_lat))
          * (params.Xs.stddev * params.q) ** (k_lat / (m + k_lat))
         * sqrt(4 / 3.0)
         * sqrt(beta_sieve / 2 / pi / e)
         * deltaf(beta_bkz) ** (m + k_lat - beta_sieve)
    )
    # p.29, we're ignoring O()
    N = (
         exp(4 * (lsigma_s * pi / params.q) ** 2)
* exp(k_fft / 3.0 * (params.Xs.stddev * pi / p) ** 2)
* (k_enum * cls.Hf(params.Xs) + k_fft * log(p) + log(1 / mu))
    return RR(N)
@staticmethod
def Hf(Xs):
    return RR(1 / 2 + log(sqrt(2 * pi) * Xs.stddev)) / log(2.0)
@classmethod
def cost(
    cls,
    beta.
    params,
    m=None,
    p=2,
    k_enum=0,
    k_fft=0,
    beta_sieve=None,
    \verb|red_cost_model=| \verb|red_cost_model_default||,
):
    Theorem 7.6
    if m is None:
         m = params.n
    k_lat = params.n - k_fft - k_enum # p.15
    # We assume here that \beta \_{\rm sieve} \approx \beta
    N = cls.Nf(
         params,
         beta_sieve if beta_sieve else beta,
         k_enum,
         k_fft,
         р,
    rho, T_sample, _, beta_sieve = red_cost_model.short_vectors(
    beta, N=N, d=k_lat + m, sieve_dim=beta_sieve
    H = cls.Hf(params.Xs)
```

```
T_guess = (
         ((2 / sqrt(e)) ** k_enum)
         * (2 ** (k_enum * H))
         * (cls.T_fftf(k_fft, p) + cls.T_tablef(N))
    cost = Cost(rop=T_sample + T_guess, problem=params)
    cost["red"] = T_sample
cost["guess"] = T_guess
    cost["beta"] = beta
    cost["p"] = p
cost["zeta"] = k_enum
    cost["t"] = k_fft
    cost["beta_"] = beta_sieve
    cost["N"] = N
    cost["m"] = m
    cost.register_impermanent(
        \{"eta": \ {\rm False},\ "\zeta": \ {\rm False},\ "{\rm t"}: \ {\rm False}\},\ {\rm rop=True},\ {\rm p=False},\ {\rm N=False}\}
    return cost
def __call__(
    self,
    params: LWEParameters,
    red_cost_model=red_cost_model_default ,
    log_level=1,
    Optimizes cost of dual attack as presented in [Matzov22]_.
    :param params: LWE parameters
    :param red_cost_model: How to cost lattice reduction
    The returned cost dictionary has the following entries:
    - ''rop'': Total number of word operations (\approx CPU cycles).
    - ''red'': Number of word operations in lattice reduction and
    short vector sampling.

- ''guess'': Number of word operations in guessing and FFT.

- ''\beta'': BKZ block size.
    - ''\zeta'': Number of guessed coordinates.

- ''t'': Number of coordinates in FFT part mod 'p'.
    - ''d'': Lattice dimension.
    params = params.normalize()
    for p in early_abort_range(2, params.q):
         for k_enum in early_abort_range(0, params.n, 5):
              for k_{fft} in early_abort_range(0, params.n - k_{enum}[0], 5):
                  with local_minimum(
                      40, params.n, log_level=log_level + 4
                  ) as it:
                       for beta in it:
                           cost = self.cost(
                                beta,
                                params,
                                p=p[0],
                                k_enum=k_enum[0],
                                k_fft=k_fft[0],
                                red_cost_model=red_cost_model ,
                            it.update(cost)
                       Logging.log(
                            "dual",
                            log_level + 3,
                            f"t: {k_fft[0]}, {repr(it.y)}",
```

```
k_fft[1].update(it.y)
                   Logging.log(
                        "dual", log_level + 2, f"ζ: {k_enum[0]}, {repr(k_fft[1].y)}"
                   k_enum[1].update(k_fft[1].y)
              \label{logginglog} $\log("dual", \log_level + 1, f"p:{p[0]}, \{repr(k_enum[1].y)\}")$ $p[1].update(k_enum[1].y)$ }
         Logging.log("dual", log_level, f"{repr(p[1].y)}")
         return p[1].y
class QMATZOV(MATZOV):
    @classmethod
    def cost(
         cls,
         beta,
         params,
         m=None,
         p=2,
         k_enum=0,
         k_fft=0,
         beta_sieve=None,
         red_cost_model=red_cost_model_default ,
         Theorem 7.6
         11 11 11
         if m is None:
              m = params.n
         k_lat = params.n - k_fft - k_enum # p.15
         # We assume here that \beta_{\rm sieve} pprox \beta
         N = cls.Nf(
             params,
              m,
              beta,
              beta_sieve if beta_sieve else beta,
              k_enum,
              k_fft,
              р,
         rho, T_sample, _, beta_sieve = red_cost_model.short_vectors(
    beta, N=N, d=k_lat + m, sieve_dim=beta_sieve
         H = cls.Hf(params.Xs)
         T_guess = ((27 / 8 / e) ** (k_enum / 4)) * sqrt(
             2 ** (k_enum * H) * p ** (k_fft / 2.0) * cls.T_tablef(N)
         ) + N
         cost = Cost(rop=T_sample + T_guess, problem=params)
         cost["red"] = T_sample
cost["guess"] = T_guess
cost["beta"] = beta
         cost["p"] = p
         cost["zeta"] = k_enum
         cost["t"] = k_fft
cost["beta_"] = beta_sieve
         cost["M"] = N
cost["m"] = m
         cost.register_impermanent(
             \{"\beta": False, "\zeta": False, "t": False\}, rop=True, p=False, N=False
         return cost
```

```
def runall(
     schemes=(
          Kyber512,
          Kyber768,
          Kyber1024,
          LightSaber,
           Saber,
          FireSaber,
          TFHE630,
          TFHE1024,
     ),
     # schemes=(Kyber512, Kyber768, Kyber1024, LightSaber, Saber, FireSaber),
    "list_decoding-classical",
          "list_decoding-naive_quantum",
           "list_decoding-ge19",
     ),
     results = {}
     for scheme in schemes:
          results[scheme] = {}
           print(f"{repr(scheme)}")
           for nn in nns:
                cost = MATZOV()(scheme, red_cost_model=RC.MATZOV.__class__(nn=nn))
results[scheme][(nn, "classical")] = cost
print(f" nn: {nn}, cost: {repr(cost)}")
                cost = QMATZOV()(scheme, red_cost_model=RC.MATZOV.__class__(nn=nn))
print(f" nn: {nn}, qcost: {repr(cost)}")
                results[scheme][(nn, "quantum")] = cost
          cost = MATZOV()(scheme, red_cost_model=RC.ADPS16)
          rint(f" CO, cost: {repr(cost)}")
results[scheme][("CO", "classical")] = cost
          cost = MATZOV()(scheme, red_cost_model=ChaLoy21())
print(f" Q0, cost: {repr(cost)}")
results[scheme][("Q0", "classical")] = cost
          cost = QMATZOV()(scheme, red_cost_model=ChaLoy21())
          print(f"Q0, qcost: {repr(cost)}")
results[scheme][("Q0", "quantum")] = cost
     return results
def results_table(results, fmt=None):
     import tabulate
     rows = []
     def pp(cost):
           return round(log(cost["rop"], 2), 1)
     for scheme, costs in results.items():
                pp(costs[("list_decoding-classical", "classical")]),
pp(costs[("list_decoding-naive_classical", "classical")]),
                pp(costs[("C0", "classical")]),
pp(costs[("list_decoding-ge19", "classical")]),
                pp(costs[("list_decoding-naive_quantum", "classical")]),
pp(costs[("Q0", "classical")]),
pp(costs[("list_decoding-naive_quantum", "quantum")]),
                pp(costs[("Q0", "quantum")]),
```