

# Quasi-linear masking against SCA and FIA, with cost amortization

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**Abstract.** The implementation of cryptographic algorithms must be protected against physical attacks. Side-channel and fault injection analyses are two prominent such implementation-level attacks. Protections against either do exist. Against side-channel attacks, they are characterized by SNI security orders: the higher the order, the more difficult the attack.

In this paper, we leverage fast discrete Fourier transform to reduce the complexity of high-order masking. The security paradigm is that of code-based masking. Coding theory is amenable both to mask material at a prescribed order, by mixing the information, and to detect and/or correct errors purposely injected by an attacker. For the first time, we show that quasi-linear masking (pioneered by Goudarzi, Joux and Rivain at ASIACRYPT 2018) can be achieved alongside with cost amortisation. This technique consists in masking several symbols/bytes with the same masking material, therefore improving the efficiency of the masking. We provide a security proof, leveraging both coding and probing security arguments. Regarding fault detection, our masking is capable of detecting up to  $d$  faults, where  $2d + 1$  is the length of the code, at any place of the algorithm, including within gadgets. In addition to the theory, that makes use of the Frobenius Additive Fast Fourier Transform, we show performance results, in a C language implementation, which confirms in practice that the complexity is quasi-linear in the code length.

**Keywords:** Side-channel analysis (SCA) · Fault injection analysis (FIA) · Strong Non Interference (SNI) · Code-Based Masking (CBM) · Fault Detection · Frobenius Additive Fast Fourier Transform (FAFFT) · Cost amortization.

## 1 Introduction

In this article we are interested in the security of block ciphers, such as the AES. Such algorithms encrypt and decrypt data using a key, which must remain secret. Nonetheless, the implementation of cryptographic algorithms is

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35 subject to several attacks, amongst which side-channel and fault injection at-  
36 tacks are especially powerful. Side-channel attacks consist in correlating guessed  
37 (key-dependent) variables with some information leakage, whereas fault injec-  
38 tion attacks consist in correlating sensitive variables with the fault outcomes.  
39 Both attacks try exhaustively all values of a subkey, and carry out a sufficient  
40 amount of attacks so as to rebuild the complete key with a divide-and-conquer  
41 approach.

42 It is therefore paramount to protect implementations against those attacks.  
43 The protection against side-channel analysis is often based on “masking”: it con-  
44 sists in computing with randomized intermediate variables in order to provably  
45 deter attempts from an attacker to correlate on the randomized leakage. The  
46 protection against fault injection can typically rely on provable mathematical  
47 techniques, such as error detection codes.

48 Recently, the “code-based masking” (CBM) paradigm has been introduced:  
49 it leverages codes to achieve protection against the two threats at the same  
50 time. A pair of linear complementary codes allows to linearly combine sensitive  
51 information with digital random numbers in such a way the randomness has  
52 maximal decorrelation power whilst ensuring the demasking remains possible  
53 at all times. The ability to handle faults is based on redundancy kept by codes,  
54 ensuring their length is large enough to enable a detection or correction capability  
55 meeting the requirements in terms of fault injection attacks coverage.

## 56 1.1 Background on masking

57 *Masking, from a historical perspective.* A consensual protection against side-  
58 channel analyses in randomizing data representation and computations.  
59 This method is commonly referred to as masking. Several masking schemes have  
60 been proposed already.

61 Let us recap briefly the different milestones this technique has passed over  
62 the years. First of all, a proof-of-concept leveraging data randomization has  
63 been introduced by the seminal work of Kocher et al. [KJJ99]. Some early im-  
64 plementations have been proposed, and it has soon become clear that high-order  
65 attacks could defeat lower order masking schemes. Hence the research for prov-  
66 able protections against higher-order attacks. Formal definitions have been put  
67 forward by Blömer et al. in [BGK04]. A constructive scheme has been proposed  
68 by Ishai et al. [ISW03] on bits. This scheme has been subsequently extended to  
69 words (e.g., bytes) by Rivain and Prouff [RP10]. Some tools to perform auto-  
70 matic proofs for such schemes have been developed, for instance by Barthe et  
71 al. [BBD<sup>+</sup>15].

72 *Minimizing the number of multiplications.* The bottleneck in terms of perfor-  
73 mance is the number of nonlinear multiplications (that is, multiplications of  $x$   
74 by an element different from a linear combination of powers of  $x$  whose expo-  
75 nents are of the form  $2^j - 1$ ), since the addition and linear multiplications pose  
76 no problem and all S-boxes over finite fields being polynomial, the global com-

77 plexity of masking directly depends on the number of nonlinear multiplications  
78 in the unprotected algorithm.

79 Then, a great deal of research has been devoted to reducing the number of  
80 multiplications in cryptographic operations, as for instance [CPRR15]. According  
81 to the before 2020, it seemed difficult to mask one element of the field  $\mathbb{F}_{q^\ell}$  in a  
82 way ensuring a  $d^{\text{th}}$ -order probing security, with a better complexity than  $\mathcal{O}(d^2)$   
83 multiplications over  $\mathbb{F}_{q^\ell}$ . Recently, leveraging Karatsuba multiplication, Maxime  
84 Plançon [Pla22] introduced RTIK masking scheme. This masking style manages  
85 to get reduced complexity down to  $\mathcal{O}(d^{\log_2(3)})$ , i.e.,  $\mathcal{O}(d^{1.59})$ , for limited values  
86 of  $d$  only (namely  $d$  being an extension order of the field where computations  
87 takes place, when this field happens to be an extension).

88 *Cost amortization and fault detection capability.* In order to get the most from  
89 masking schemes, from a performance standpoint, some attempts have been  
90 made. One direction has been the simultaneous masking of several bytes, re-  
91 ferred to as “cost amortization”, as demonstrated constructively by Wang et  
92 al. [WMCS20]. Formerly, the same idea has been applied in the field of multi-  
93 party computation, under the name of “packed secret sharing” [DIK10]. It has  
94 required to make a difference between the number of shares ( $n$ ) and the mask-  
95 ing order ( $d$ ). Moreover, our masking is compatible with builtin fault detection  
96 capability, tightly intertwined with the CBM design.

97 *Quasi-linear masking complexity.* Another direction for reducing the cost due to  
98 multiplications is in reducing the cost of each multiplication by leveraging spec-  
99 tral representations, such as the *Number Theoretic Transform* (NTT) as put  
100 forward first by Goudarzi, Joux and Rivain (GJR [GJR18]). Quasi-linear mask-  
101 ing enables significant performance improvements on masking schemes which  
102 considerably ease their adoption by the industry. Unfortunately the NTT works  
103 only for prime fields with odd characteristics and large orders which is not con-  
104 venient in practice. Recently, the authors of [GPRV21] extended the GJR scheme  
105 of [GJR18] to the even characteristic by replacing the NTT by a Discrete Fourier  
106 Transform (abridged “DFT” in the sequel), namely the additive fast Fourier  
107 transform of Gao et al. [GM10]. (Notice that this DFT is “general” in that it  
108 operates on finite fields.) The novel masking scheme is dubbed “GJR+”.

109 The initial proposal of [GJR18] (GJR) and the modification of [GPRV21]  
110 (GJR+) considerably improved upon the state of the art, since they allowed to  
111 reduce the complexity of multiplications from quadratic ( $\mathcal{O}(d^2)$ ) to quasi-linear  
112 ( $\mathcal{O}(d \log d)$ ). This improvement is significant because the multiplication is the  
113 bottleneck in terms of computational complexity.

114 But the “DFT” in general (and NTT in particular) have a drawback: the  
115 linear operations (in the field) are no longer transparent. Instead of having a  
116 complexity  $\mathcal{O}(n)$  (linear in the number  $n$  of shares), because each share is ap-  
117 plied the linear transformation on itself, individually, an operation of quasi-linear  
118 complexity shall be applied. Still, the overall complexity remains quasi-linear.

119 *Code-Based Masking (CBM)*. Besides, CBM has been introduced as a new  
 120 paradigm to capture the security properties of masking. It describes the mask-  
 121 ing scheme as the (vector space) sum of an encoded information taken from a  
 122 code  $C$ , with an encoded mask taken from a code  $D$ , that is “disjoint” from  $C$ .  
 123 The main advantage of CBM is that the security order is simple to determine:  
 124 namely, the masking order is equal to the dual distance of the masking code min-  
 125 us the number one [PGS<sup>+</sup>17]. Computing in CBM, including multiplications,  
 126 has been put forward in [WMCS20]. Advantageously, CBM has been proven in  
 127 the same article relevant to describe the capability to detect faults on top of a  
 128 masking scheme: indeed, when the two vector spaces  $C$  and  $D$  are in direct sum  
 129 but such that  $\dim(C) + \dim(D) < n$  where  $n$  is the length of  $C$  (or  $D$ ), the  
 130 information can be encoded in a redundant manner, enabling detection or even  
 131 correction. Notice that CBM class includes as special cases Boolean masking and  
 132 inner product masking.

## 133 1.2 Analysis of the state of the art

134 We begin in this subsection with a comparison with state-of-the-art of combined  
 135 side-channel and fault injection attacks. The efficiency of side-channel analysis is  
 136 captured by the masking complexity and the ability to mask several symbols at  
 137 the same time (denoted “cost am.” for “cost amortization”). Only our proposal  
 138 enjoys this cost amortization capability. The efficiency of the protection against  
 139 fault injection is qualified according to:

- 140 1. whether the detection is end-to-end throughout the algorithm;
- 141 2. whether the detection needs to be performed at pre-defined checkpoints set at  
 142 design time or whether no detection is required (e.g., when faults are ineffective  
 143 thereby preventing an attack to exploit them). Notice that checkpoints may  
 144 be placed at strategic waypoints during the execution of the algorithm, or  
 145 only at the end prior to disclosing the demasked result.

146 Most known masking countermeasures apply either to binary fields or to prime  
 147 fields, whereas our masking can handle both binary and prime fields (and actually  
 148 any finite field in general).

149 The comparison is given in Tab. 1. Regarding the applicable field, the differ-  
 150 ent fields are denoted by  $\mathbb{F}_2$  vs  $\mathbb{F}_q$ , where  $q$  stands for any prime power. Masking  
 151 schemes compatible with  $\mathbb{F}_q$  are thus more versatile.

152 We analyze now the drawbacks of existing quasi-linear masking, in particu-  
 153 lar [GPRV21].

154 *No cost amortization nor fault detection capability*. Despite the advantages in  
 155 terms of performance of quasi-linear masking ([GJR18] and [GPRV21] as well),  
 156 the technique described in these papers does not unleash the full potential in  
 157 terms of masking efficiency and fault attack protection. Regarding the efficiency,  
 158 none of these papers addresses how to encode multiple bytes of information in  
 159 one go. Besides, these papers do not show how to correct errors (it would require  
 160 to encode redundant information, as for instance put forward in [CCG<sup>+</sup>20]).

**Table 1.** Comparison of our masking scheme with the state of the art

Scheme name	Side-channel protection			Fault protection		Field
	Complexity	Cost am.	End-to-end	Detection		
ParTI [SMG16]	Quadratic ( $\mathcal{O}(d^2)$ )	No	Yes	At checkpoints	$\mathbb{F}_2$	
CAPA [RMB <sup>+</sup> 18]	Quadratic ( $\mathcal{O}(d^2)$ )	No	Yes	At checkpoints	$\mathbb{F}_2$	
GJR [GJR18]	Quasi-linear ( $\mathcal{O}(d \log d)$ )	No	No	N/A	$\mathbb{F}_p$	
M&M [MAN <sup>+</sup> 19]	Quadratic ( $\mathcal{O}(d^2)$ )	No	Yes	Infective	$\mathbb{F}_2$	
DOMREP [GPK <sup>+</sup> 21]	Quadratic ( $\mathcal{O}(d^2)$ )	No	Yes	At checkpoints	$\mathbb{F}_2$	
GJR+ [GPRV21]	Quasi-linear ( $\mathcal{O}(d \log d)$ )	No	No	N/A	$\mathbb{F}_q$	
CINI MINIS [FRSG22]	Quadratic ( $\mathcal{O}(d^2)$ )	No	Yes	At checkpoints	$\mathbb{F}_2$	
RTIK [Pla22]	Polynomial ( $\mathcal{O}(d^{\log_2 3})$ )	No	No	N/A	$\mathbb{F}_2$	
SotA / laOla [BEF <sup>+</sup> 23]	Quadratic ( $\mathcal{O}(d^2)$ )	No	Yes	At checkpoints	$\mathbb{F}_q$	
Our work	Quasi-linear ( $\mathcal{O}(d \log d)$ )	Yes	Yes	At checkpoints	$\mathbb{F}_q$	

161 *Non-practical masking order.* It is hinted in [GPRV21] that their quasi-linear  
 162 masking “improves the efficiency of the masked cipher for a masking order  $n \geq 64$   
 163 for the MiMC block cipher and  $n \geq 512$  for the AES”. These masking orders are  
 164 non-practical. Indeed, in real life, masking order is rather low, such as 1, 2 or  
 165 maximum 3.

166 *Complex implementation.* The technique of [GPRV21] involves a randomized  
 167 Fourier transform. Namely, the primitive root of unit which defines the Fourier  
 168 transform must be chosen at random (see page 602). This is an obvious limitation  
 169 in terms of efficiency: the DFT operations must be pre-computed prior to any  
 170 cryptographic masked operation (whereas our scheme does not require any pre-  
 171 computation).

172 *Abstract specification.* In [GPRV21], the DFT is not instantiated, which limits  
 173 the ability to compare with other schemes, apple to apple, in terms of actual  
 174 performances (actually [GPRV21] only provides data complexities). As a side-  
 175 effect, this negatively impacts the clarity of the security proof (which requires  
 176 cumbersome hypotheses, such as leaving the DFT out of the scope of the security  
 177 analysis).

### 178 1.3 Our contributions

179 In this paper, we introduce a practical masking scheme, with quasi-linear com-  
 180 plexity, and fault detection/correction.

181 *Proofs of security against SCA and FIA based on code properties.* Our masking  
 182 algorithm is described as a CBM. Therefore, not only side-channel security order  
 183 is related to a dual distance, but also the capability to detect & correct faults

184 is also related to codes minimum distance. Namely, we show that our scheme  
185 features side-channel security order of  $d + 1 - t$ , detects  $d$  faults and corrects  
186  $\lfloor (d - 1)/2 \rfloor$  faults, where  $2d + 1$  is the encoding length and  $t$  is the information  
187 size ( $t \geq 1$ , and  $t > 1$  when cost amortization is enforced).

188 *Cost amortization.* Our masking algorithm allows to mask jointly several bytes,  
189 based on a proof leveraging coding theory (within the CBM paradigm). Former  
190 works involving quasi-linear masking are only concerned by masking individ-  
191 ual bytes. Notice that cost amortization also has an advantage in terms of the  
192 efficiency of fault detection capability.

193 *Practical and efficient DFT.* We thoroughly studied several DFT algorithms,  
194 and deploy an efficient one. It offers improved efficiency owing to optimization  
195 from a numeric standpoint. Namely, it relies on a sparse representation with  
196 small & simple coefficients (e.g., most often, “1”s). This DFT can be leveraged  
197 in the same time for the computation of the masking and the error detection.

198 *Implementation and performance validation.* We show that our quasi-linear  
199 masking is easily implementable. Namely, we provide performance characteri-  
200 zation in C language. In particular, it supports the effectiveness of cost amorti-  
201 zation. Our benchmarks are on the block cipher AES, but our masking can apply  
202 as well to lattice-based post-quantum cryptographic algorithms (such as Crys-  
203 tals Kyber and Dilithium, as explained in Sec. 8). We compare our performance  
204 results to others but rare are the papers on masking which actually indicated  
205 them with enough precision for allowing comparison.

## 206 1.4 Outline

207 Preliminary notions are given in Sec. 2. They focus on DFT computation as it is  
208 the most complex operation in our masking. We propose in Sec. 3 to consider an  
209 original DFT method proposed by Wang and Zhu in [WZ88] which is particularly  
210 adapted to both software and hardware implementation. Indeed, the Gao and  
211 Cantor methods that we mentioned could give similar theoretical complexity  
212 but would require a huge effort of implementation in practice. We show in Sec. 4  
213 how to extend this masking to the case of simultaneous protection of several  
214 symbols. We propose in a second phase, in Sec. 5 to detect or correct errors  
215 and erasures of any codeword present anywhere in the process of the ciphering  
216 algorithm, including within gadgets. The security rationale is detailed in Sec. 6,  
217 where we provide formal proofs in the CBM and SNI models. Implementation in  
218 C language is given in Sec. 7, along with performance results. Some discussions  
219 are available in Sec. 8. Conclusions and perspectives are in Sec. 9.

220 Examples of quasi-linear DFT constructions adapted to handling bytes are  
221 given in App. A. We show the efficiency of this method on all platforms; our  
222 method definitively complies with hardware and software implementation and  
223 has a very low complexity. Namely, in App. A.1 (resp. App. A.2), we investi-  
224 gate the case of  $d = 2$  (resp.  $d = 7$ ). Those two values represent *regular* and  
225 *substantial/high* security levels.

## 2 Preliminaries

### 2.1 Finite fields

In this article, we are interested in data represented as elements from finite fields. We denote by  $\mathbb{F}_q$  the field of  $q$  elements. We recall that when  $q$  is a power of two,  $\mathbb{F}_q$  is said of characteristic two; in this case, subtraction and addition are the same operation, simply denoted by “+”. A finite field of characteristic two can be seen as a polynomial extension of degree  $\ell$  of  $\mathbb{F}_2$ , where  $q = 2^\ell$ . In this case, the addition boils down to the  $\ell$ -bit parallel XOR operation. In this article, we illustrate our results on  $\mathbb{F}_{256}$  (i.e.,  $\ell = 8$ ), which is the natural field within AES. Let  $\nu$  be a primitive element of  $\mathbb{F}_q$ , that is a generator of the multiplicative group  $\mathbb{F}_q^*$ . Let  $n$  be a positive integer. We assume that  $n$  divides  $q - 1$ , then we have that the field element  $\omega = \nu^{\frac{q-1}{n}}$  is a primitive root of the unity (i.e.  $\omega^n = 1$ ). By construction,  $n$  is odd with  $q$  is power of two. We denote  $n = 2d + 1$ .

### 2.2 Reed-Solomon codes

We denote by  $\mathbb{F}_q^n$  the vector space of  $n$  field elements. A vector subspace of  $\mathbb{F}_q^n$  is also called a linear code of length  $n$ . The Reed-Solomon code of length  $n$ , dimension  $k$  and minimal distance  $n - k + 1$  is an evaluation code for which a generator matrix can be defined as that of the evaluation of the polynomial basis  $1, X, X^2, \dots, X^{k-1}$  over the set  $1, \omega, \omega^2, \dots, \omega^{n-1}$ . We denote this code by  $\text{RS}[n, k, n - k + 1]$ .

The dual  $C^\perp$  of a linear code  $C$  is the linear code equal to the kernel of the generator matrix of  $C$ . It is well-known that the dual code of  $\text{RS}[n, k, n - k + 1]$  is a  $\text{RS}[n, n - k, k + 1]$  code.

As a consequence, we know that the matrix  $(\omega^{ij})_{0 \leq i \leq n-1, 0 \leq j \leq n-1}$ , known as the Vandermonde matrix defined over  $1, \omega, \omega^2, \dots, \omega^{n-1}$ , is a generator matrix of the  $\text{RS}[n, n, 1]$  code. We have also that the inverse of the Vandermonde matrix corresponds to the generator matrix of the  $\text{RS}[n, n, 1]$  code defined over  $1, \omega^{n-1}, \omega^{n-2}, \dots, \omega^1$ .

### 2.3 Multiplication of polynomials and DFTs in finite fields

We are interested in the multiplication of two polynomials  $P$  and  $Q$  on  $\mathbb{F}_q$  of degree less than or equal to  $d$ . The result is  $PQ$ , a polynomial of degree less than or equal to  $2d$ .

The naive computation has complexity  $\mathcal{O}(d^2)$ . However, a less complex method can be implemented.

Every polynomial is evaluated over  $\{1, \omega, \dots, \omega^{n-1}\}$ . The evaluation of  $PQ$  is the pairwise product of the evaluation of  $P$  and  $Q$ . Thus,  $PQ$  is given by the interpolation of its truth table.

Now, it is well-known that the evaluation of a polynomial is precisely its Discrete Fourier Transform (DFT). Reciprocally, the interpolation of a polynomial is given by the inverse DFT (IDFT) [Knu11, Vol 2]. Notice that the definition of

266 the DFT (and of the IDFT) is relative to the value of  $\omega$ . Whenever there can be  
 267 ambiguity, we shall write  $\text{DFT}_\omega$  (resp.  $\text{IDFT}_\omega$ ) instead of DFT (resp. IDFT).

268 Besides, the evaluation of polynomial  $P$  on its support is equivalent to multi-  
 269 plying the row  $(p_0, p_1, \dots, p_{d-1})$  made up of coefficients of  $P = \sum_{i=0}^{d-1} p_i X^i$   
 270 by the Vandermonde matrix. Reciprocally, the interpolation of a polynomial  $P$   
 271 is given by the multiplication by the row  $(P(1), P(\omega), \dots, P(\omega^{n-1}))$  with the  
 272 inverse of the Vandermonde matrix.

Thus, for any vector  $(p_0, \dots, p_{2d}) \in \mathbb{F}_q^{2d+1}$ , we can associate the polynomial  
 $P(X) = p_0 + p_1 X + \dots + p_{2d} X^{2d}$  and the discrete Fourier transform is defined  
 by:

$$\text{DFT}(p_0, \dots, p_{2d}) = \left( \sum_{i=0}^{2d} p_i \omega^{ij} \right)_{j \in \{0, \dots, 2d\}} = (P(\omega^j))_{j \in \{0, \dots, 2d\}}.$$

Then the DFT inverse is defined by:

$$\text{IDFT}(P(1), \dots, P(\omega^{2d})) = \left( \sum_{i=0}^{2d} P(\omega^i) \omega^{-ij} \right)_{j \in \{0, \dots, 2d\}} = (p_0, \dots, p_{2d}).$$

273 According to [Gao03], these operations (DFT and IDFT) can be computed  
 274 using  $\mathcal{O}(n \log(n) \log \log(n))$  operations in  $\mathbb{F}_q$  operations. The details of these  
 275 algorithms can be found in Chapters 8-11 of [vzGG13].

276 *Multiplicative DFT (see [Gao03]).* The usual DFT requires that its support  
 277 ( $n$  points, named  $a_i$ ) form a multiplicative group of order  $n$ , concretely, the  
 278 polynomial  $X^n + 1$  has  $n$  distinct roots in the underlying field. In this case  
 279 we say that the field supports DFT, and we call such a DFT multiplicative. A  
 280 multiplicative DFT has time complexity  $\mathcal{O}(n \log(n))$  and can be implemented  
 281 in parallel time  $\mathcal{O}(\log(n))$ , where the implicit constants are small. For such  
 282 abovementioned fields, we can take  $n + 1$  to be a power of 2 with  $n|(q - 1)$   
 283 and  $a_1, \dots, a_n$  to be all the roots of  $X^n + 1$ . Then a DFT and its inverse at these  
 284 points can be computed using  $\mathcal{O}(n \log(n))$  operations in  $\mathbb{F}_q$ . By using DFTs,  
 285 polynomial multiplication and division can also be computed using  $\mathcal{O}(n \log(n))$   
 286 operations. The implicit constants in all these running times are very small, so  
 287 these algorithms are practical for  $n \geq 256$ .

288 *Additive DFT (see [GM10]).* Unfortunately multiplicative DFTs are not sup-  
 289 ported by many finite fields, especially fields of characteristic two which are  
 290 preferred in practical implementations. Cantor [Can89] finds a way to use the  
 291 additive structure of the underlying field to perform a DFT over a finite field  
 292 of order  $p^\ell$  where  $\ell$  is a power of  $p$ . This method is generalized by von zur Ga-  
 293 then and Gerhard [vzGG96] to arbitrary  $\ell$ . Their additive DFTs (for  $p = 2$ )  
 294 uses  $\mathcal{O}(n \log^2 n)$  additions and  $\mathcal{O}(n \log^2 n)$  multiplications in  $\mathbb{F}_q$ . For fields of  
 295 characteristic two and for  $n = 2^\ell$ , Gao and Mateer [GM10] recently improved  
 296 on Cantor's method. When  $\ell$  is a power of 2, the above time complexity can  
 297 be improved to  $\mathcal{O}(n \log(n) \log \log(n))$ . For arbitrary  $\ell$ , there is an additive DFT  
 298 using  $\mathcal{O}(n \log^2(n))$  additions and  $\mathcal{O}(n \log(n))$  multiplications in  $\mathbb{F}_q$ . These DFTs  
 299 are highly parallel and can be implemented in parallel time  $\mathcal{O}(\log^2(n))$ .



300 **2.4 Quasi-linear DFT in practice**

301 All DFT methods presented and discussed in the previous section 2.3 can be  
 302 implemented in a pragmatic manner. Namely, first, a polynomial decomposition  
 303 binary tree is computed off-line, once for all. Second, for each invocation of DFT  
 304 or IDFT, a butterfly algorithm is executed on the pre-computed tree.

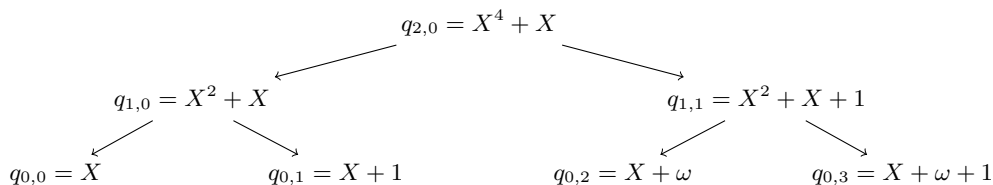
305 *Preparation of a polynomial decomposition tree.* We leverage the method put  
 306 forward by Wang and Zhu in [WZ88]. Their idea consists in remarking that  
 307  $P(\nu^i) = P(X) \bmod (X + \nu^i)$ , then it is shown that the polynomial  $X^{n+1} + X$   
 308 can be decomposed, as discussed below.

309 Let us design a binary tree of polynomials  $q_{i,j}$ , where  $i$  is the depth and  $j$  is  
 310 an index for the breadth. Let  $n$  be the size of the DFT, then  $0 \leq i \leq \lceil \log_2(n) \rceil$ ,  
 311 and  $0 \leq j \leq 2^{\lceil \log_2(n) \rceil - i}$ . The tree is defined recursively as follows:

- 312 – The root is denoted by  $q_{\lceil \log_2(n) \rceil, 0} = X^{n+1} + X$ ;
- 313 – intermediate nodes are denoted by  $q_{i,j}$  and defined as  $q_{i,j} = \prod_{k=0}^1 q_{i-1, 2j+k}$ ,  
 314 with  $\text{degree}(q_{i,j}) = 2^i$ ;
- 315 – Eventually, the leaves are  $q_{0,j} = X - \beta_j$ , where  $\beta_j$  are elements of  $\mathbb{F}_q$ .

316 By convention, the first leaf  $q_{0,0} = X$ . In fact intermediate divisors are completely  
 317 determined once the ordering of the bottom divisors  $q_{i,0}$  is fixed.

318 *Example 1.* We illustrate in this example such a binary tree, obtained from the  
 319 Frobenius Additive Fast Fourier Transform (FAFFT) put forward in [LCK<sup>+</sup>18].  
 320 We remind that  $X^4 + X = X(X + 1)(X^2 + X + 1)$ . The polynomial  $X^2 + X + 1$   
 321 is the minimal polynomial whose zero is  $\omega$  (recall that  $\omega$  is defined throughout  
 322 the article as a root of the unity of  $X^n + 1$ ). Then we have the following binary  
 tree:



323

324 With the construction of [WZ88], it is possible to show that all  $q_{i,j}$  are  
 325 either linearized or affine polynomials [MS77] (that is:  $q_{i,j}(X_1 + X_2) + q_{i,j}(0) =$   
 326  $q_{i,j}(X_1) + q_{i,j}(X_2)$ ). Consequently, polynomials  $q_{i,j}$  are sparse with at most  $i + 1$   
 327 coefficients.

328 *Computation of an efficient DFT.* Based on such a pre-computed binary tree,  
 329 we can now introduce an algorithm to efficiently compute the DFT. It is given  
 330 in Alg. 1.

331 The last step in Alg. 1 (for  $i = 0$ ) consists in a reduction modulo  $q_{0,j}$ , which  
 332 are polynomials of degree 1. Thus, the modulo operations yield a value in  $\mathbb{F}_q$ .

---

**Algorithm 1:** Quasi-linear (i.e., fast) Discrete Fourier Transform

---

**Data:** Pre-computed binary tree  $q_{i,j}$   
**Input:**  $a = (a_0, a_1, \dots, a_{n-1})$   
**Output:**  $(b_0, b_1, \dots, b_{n-1})$  the DFT of  $a$

```
1  $P_{\lceil \log_2(n) \rceil, 0} \leftarrow \sum_{i=0}^{n-1} a_i X^i$ 
2 for  $i \in \{\lceil \log_2(n) \rceil - 1, \lceil \log_2(n) \rceil - 2, \dots, 0\}$  do
3   for  $j \in \{1, \dots, 2^{\lceil \log_2(n) \rceil - i}\}$  do
4      $P_{i,j} \leftarrow P_{i+1, \lfloor j/2 \rfloor} \bmod q_{i,j}$ 
5 return  $(P_{0,j})_{0 \leq j \leq n-1} = (b_0, b_1, \dots, b_{n-1})$ 
```

---

### 333 3 Quasi-Linear Masking without Cost Amortization

334 In this section, we introduce our high-order CBM algorithm, without cost amortization. That is, we consider only the masking of  $t = 1$  element (byte). The  
335 purpose of this particular case is to explain simply the DFT-based masking with  
336 fault detection capability.  
337

#### 338 3.1 Masking construction

339 We define now the Reed-Solomon code  $RS_q[n, n, 1]$  whose generator matrix is  
340 given by the Vandermonde Matrix  $M \in \mathbb{F}_q^{n \times n}$  where  $M_{i,j} = \omega^{ij}$ . Let  $x \in \mathbb{F}_q$  be  
341 a sensitive variable. To mask it, we pick randomly  $r_0, \dots, r_{d-1}$  in  $\mathbb{F}_q$  and encode  
342 the vector  $\vec{a} = (x, r_0, \dots, r_{d-1}, 0, \dots, 0) \in \mathbb{F}_q^n$  with the Vandermonde matrix. We  
343 define:

$$\text{mask}(x) := \text{DFT}(\vec{a}) = \left( \sum_{i=0}^d a_i \omega^{ij} \right)_{j \in \{0, \dots, 2d\}} = \vec{a} \cdot M .$$

Unmasking corresponds to the computation of the inverse DFT. Namely, let us denote  $\vec{z} = \text{mask}(x)$  (i.e.  $z_j = \sum_{i=0}^d a_i \omega^{ij}$ ). We have  $\vec{a} = \text{IDFT}(\vec{z})$ . The sensitive data is  $x = a_0$ , thus we get:

$$\text{unmask}(\vec{z}) = \text{IDFT}(\vec{z})_0 = (\vec{z} \cdot M^{-1})_0 .$$

#### 344 3.2 Masking addition and scaling

345 Let us denote:  $\vec{z} = \text{mask}(x)$  and  $\vec{z}' = \text{mask}(x')$ . The following properties are  
346 satisfied:

- 347  $-\text{mask}(x + x') = \vec{z} + \vec{z}'$ ,
- 348  $-\text{mask}(\lambda x) = \lambda \cdot \vec{z}$  for any  $\lambda \in \mathbb{F}_q$ .

349 **3.3 Masking the multiplication**

The multiplication is not a linear operation, so the question is how to compute  $\text{mask}(xx')$  without unmasking  $x$  or  $x'$ . We denote  $\vec{y} = \vec{z} * \vec{z}' := (z_j z'_j)_{j \in \{0, \dots, 2d\}}$  where “\*” is the term-to-term product between two vectors. For  $j \in \{1, \dots, 2d\}$ , we have:

$$\begin{aligned} y_j &= z_j z'_j = \left(x + \sum_{i=1}^d r_i \omega^{ij}\right) \left(x' + \sum_{i=1}^d r'_i \omega^{ij}\right) = xx' + \sum_{i=1}^{2d} r''_i \omega^{ij} \\ \implies \vec{y} &= \text{DFT}(xx', r''_1, \dots, r''_{2d}). \end{aligned}$$

The coefficients  $r''_i$  are obtained from the multiplication between  $Z(X) = x + \sum_{i=1}^d r_i X^i$  and  $Z'(X) = x' + \sum_{i=1}^d r'_i X^i$ . Namely,

$$r''_i = \begin{cases} \sum_{1 \leq k, l \leq d, \text{ s.t. } k+l=i} r_k r'_l + x r'_i + x' r_i & \text{when } 1 \leq i \leq d, \\ \sum_{1 \leq k, l \leq d, \text{ s.t. } k+l=i} r_k r'_l & \text{when } d+1 \leq i \leq 2d. \end{cases}$$

350 The multiplication between  $Z(X)$  and  $Z'(X)$  of degree  $d$  gives a polynomial  
 351  $Y(X) = xx' + \sum_{i=1}^{2d} r''_i X^i$  of degree  $2d$ . Thus, to get  $\text{mask}(xx')$  we need to  
 352 eliminate the coefficients  $r''_i$  for  $i \in \{d+1, \dots, 2d\}$ .

**Extracting the last coefficients** We have:

$$\begin{aligned} Y(X) &= xx' + \sum_{i=1}^{2d} r''_i X^i = xx' + \sum_{i=1}^d r''_i X^i + \sum_{i=d+1}^{2d} r''_i X^i. \\ \implies \vec{y} &= \text{DFT}(xx', r''_1, \dots, r''_d, 0, \dots, 0) + \text{DFT}(0, \dots, 0, r''_{d+1}, \dots, r''_{2d}). \\ &= \text{mask}(xx') + \text{DFT}(0, \dots, 0, r''_{d+1}, \dots, r''_{2d}). \\ \implies \text{mask}(xx') &= \vec{y} + \text{DFT}(0, \dots, 0, r''_{d+1}, \dots, r''_{2d}). \end{aligned}$$

Now to construct  $\text{DFT}(0, \dots, 0, r''_{d+1}, \dots, r''_{2d})$  we must come back to the definition of IDFT. We remind that:

$$\text{IDFT}(\vec{y}) = \left( \sum_{i=0}^{2d} y_i \omega^{-ij} \right)_{j \in \{0, \dots, 2d\}} = (xx', r''_1, \dots, r''_{2d}).$$

But in our case we are interested only by the coefficients  $r''_j$  for  $j \geq d+1$ , thus we have to evaluate:

$$r''_j = \sum_{i=0}^{2d} y_i \omega^{-ij} \quad \text{with } d+1 \leq j \leq 2d.$$

353 For  $0 \leq j \leq d-1$  we have:

$$\begin{aligned} r''_{j+d+1} &= \sum_{i=0}^{2d} y_i \omega^{-i(j+d+1)} \\ r''_{j+d+1} &= \sum_{i=0}^{2d} y_i \omega^{-i(d+1)} \omega^{-ij} \\ \implies \vec{r}'' &= \text{IDFT}(\vec{w}) \end{aligned}$$

354 where  $\vec{w} = (y_i \omega^{-i(d+1)})_{0 \leq i \leq 2d}$ .

---

**Algorithm 2: ExtractLastCoefficients** Complexity:  $n + n \log(n)$

---

**Input:** a vector  $\vec{y} \in \mathbb{F}_q^n$   
**Output:**  $\vec{r}'' \in \mathbb{F}_q^n$   
1 Build the vector  $\vec{w} = (y_i \omega^{-i(d+1)})_{0 \leq i \leq 2d}$   
2 **return**  $\vec{r}'' = IDFT(\vec{w})$

---

**Algorithm for the masked multiplication** We get:

$$\text{mask}(xx') = \vec{y} + \text{DFT}(0, \dots, 0, \vec{r}'')$$

355 This computation is summarized in Alg. 3.

356 A tedious calculation of the complexity of this algorithm in terms of the number of multiplications in  $\mathbb{F}_q$  is given in Tab. 2.

**Table 2.** Complexity of operations involved in the masked multiplication

Variable	Cost
$\vec{y}$	$n$
$\vec{r}''$	$n + n \log(n)$
$\text{mask}(xx')$	$2n(1 + \log(n))$

357

---

**Algorithm 3: oneElementMultiplication** Complexity:  $n(d + 1 + \log(n))$

---

**Input:** two masked elements  $\vec{z} = \text{mask}(x), \vec{z}' = \text{mask}(x') \in \mathbb{F}_q^n$   
**Output:**  $\text{mask}(xx') \in \mathbb{F}_q^n$   
1  $\vec{y} \in \mathbb{F}_q^n$   
2 **for**  $0 \leq i \leq n - 1$  **do**  
3      $y_i \leftarrow z_i z'_i$   
4  $\vec{r}'' = \text{ExtractLastCoefficients}(\vec{y})$      // Call to routine of Alg. 2  
5 **return**  $\vec{y} + \text{DFT}(0, \dots, 0, \vec{r}'')$

---

358 In conclusion, the complexity of addition is linear, that of multiplication  
359 is quasi-linear. Besides, masking and demasking each costs  $n \log(n)$  multiplica-  
360 tions [TL20] over  $\mathbb{F}_q$ , hence is quasi-linear as well. As a conclusion, all operations  
361 can be computed in quasi-linear complexity.

## 362 4 Quasi-linear Masking with Cost Amortization

363 Let us now extend our quasi-linear masking to several information elements (e.g.,  
 364 bytes) simultaneously. This allows to explore a tradeoff between side-channel  
 365 order (namely  $d+1-t$ ) and the amount of information processed simultaneously  
 366 (namely  $t$ ).

367 We propose then to translate this procedure in term of error correcting codes.  
 368 We consider a set  $\{u_0, u_1, \dots, u_{2d}\} \in \mathbb{F}_q^{d+1}$  with  $u_i \neq u_j \forall i, j \in \{0, \dots, 2d\}$  and  
 369 such that

$$370 \quad \{u_0, u_1, \dots, u_{2d}\} \cap \{1, \omega, \omega^2, \dots, \omega^{n-1}\} = \emptyset. \quad (1)$$

371 We want now to mask the vector  $\vec{x} = (x_0, \dots, x_{t-1}) \in \mathbb{F}_q^t$  with  $1 \leq t < d$ . (the  
 372 case  $t = 1$  has been addressed in previous section 3.)

### 373 4.1 Encoding procedure

374 First we pick randomly  $\vec{r} = (r_{t+1}, r_{t+2}, \dots, r_{d+1})$  in  $\mathbb{F}_q^{d+1-t}$ . By Lagrange inter-  
 375 polation, there exists a vector  $\vec{a} = (a_0, a_1, \dots, a_d)$  and the associated polynomial  
 376  $P_{\vec{x}}(X) = a_0 + a_1X + \dots + a_dX^d$  of degree at most  $d$  that satisfies  $P_{\vec{x}}(u_i) = x_i$   
 377 for  $i \in \{0, \dots, t-1\}$  and  $P_{\vec{x}}(u_i) = r_i$  for  $i \in \{t, \dots, d\}$ .

Let us define the matrix  $A \in \mathbb{F}_q^{(d+1) \times (d+1)}$ , where  $A_{i,j} = u_j^i$  for any  $i, j \in \{0, \dots, d\}$ . This matrix is a Vandermonde matrix which is invertible since  $u_i \neq u_j$  for  $i \neq j$ . Then we have:

$$\vec{a} = (\vec{x} \mid \vec{r}) \times A^{-1} .$$

The second step of encoding consists in computing  $\text{DFT}_{\omega}(a_0, \dots, a_d, 0, \dots, 0)$ .  
 Thus:

$$\text{mask}(\vec{x}) = \text{DFT}_{\omega}(a_0, \dots, a_d, 0, \dots, 0) = \text{DFT}_{\omega}((\vec{x} \mid \vec{r}) \times [A^{-1} \mid 0]) .$$

378 In this equation,  $(\vec{x} \mid \vec{r})$  is the row obtained by the concatenation of row vectors  
 379  $\vec{x}$  and  $\vec{r}$ , and  $[A^{-1} \mid 0]$  is the vertical concatenation of the matrices  $A^{-1}$  and 0.

380 This method is a  $\mathcal{O}((d+1)^2)$  complexity encoding procedure, but we can do  
 381 better with the following one. We can construct  $P(X) = P'(X) + P''(X)$  by first  
 382 picking randomly the polynomial  $P''(X) = a_tX^t + \dots + a_dX^d$ , then we evaluate  
 383  $P'(X) = a_0 + a_1X + \dots + a_{t-1}X^{t-1}$  over  $u_0, u_1, \dots, u_{t-1}$  which costs  $t(d-t)$   
 384 multiplications over  $\mathbb{F}_q$ .

We want now to construct  $P'(X)$  which allows to solve the following linear  
 system:

$$\begin{aligned} \underbrace{[a_0 \dots a_{t-1}]}_{\vec{a}' } \times A' &= [x_0 + P''(u_0) \dots x_t + P''(u_t) \dots x_{t-1} + P''(u_{t-1})] \\ &= \vec{x} + [P''(u_0) \dots P''(u_t) \dots P''(u_{t-1})] \\ &= \vec{x} + \underbrace{[a_t \dots a_d]}_{\vec{a}'' } \times A'' = \vec{x} + \vec{a}'' \times A'' , \end{aligned}$$

385 where:

- 386 –  $A' \in \mathbb{F}_q^{t \times t}$ , and  $A'_{i,j} = A_{i,j}$  for any  $0 \leq i, j < t$ ;
- 387 –  $A'' \in \mathbb{F}_q^{(d+1-t) \times t}$ ,  $A''_{i,j} = A_{i+t,j}$  for any  $0 \leq i < d+1-t$  and  $0 \leq j < t$ ;
- 388 –  $\vec{a}'' \in \mathbb{F}_q^{d+1-t}$  is a random vector.

389 Thus, the calculation of  $\vec{a} = (\vec{a}' \mid \vec{a}'') = ((\vec{x} + \vec{a}'' \times A'') \times A'^{-1} \mid \vec{a}'')$  costs  
 390  $t(d+1)$  multiplications over  $\mathbb{F}_q$  (we note that  $A''$  and  $A'^{-1}$  may advantageously  
 391 be pre-computed). Again, the second step of encoding consists in computing  
 392  $\text{DFT}_\omega(\vec{a} \mid \vec{0})$  of complexity  $\mathcal{O}(n \log(n))$ .

393 The overall masking procedure is given in Alg. 4. Decoding procedure follows  
 394 the same tracks: we use the inverse discrete Fourier transformation to get  $\vec{a}$ , then  
 395 we have:  $\vec{x} = \vec{a}' \times A' + \vec{a}'' \times A''$  which has the same complexity as the masking  
 396 operation.

---

**Algorithm 4: mask** Complexity:  $t(d+1) + n \log(n)$

---

**Input:** a sensitive vector  $\vec{x} \in \mathbb{F}_q^t$   
**Output:**  $\text{mask}(\vec{x}) \in \mathbb{F}_q^n$

- 1  $\vec{a}'' = (a_t, a_{t+1}, \dots, a_d) \xleftarrow{\$} \mathbb{F}_q^{d+1-t}$
- 2  $\vec{a}' \leftarrow (\vec{x} + \vec{a}'' \times A'') \times A'^{-1}$
- 3 **return**  $\text{DFT}_\omega(\vec{a}' \mid \vec{a}'' \mid \vec{0})$

---

397 The masking refresh allows to update the random part of the masked word,  
 398 it consists of adding  $\text{mask}(\vec{0})$ , namely

$$399 \quad \text{refresh}(\text{mask}(\vec{x})) = \text{mask}(\vec{x}) + \text{mask}(\vec{0}). \quad (2)$$

## 400 4.2 Masking the multiplication

Let us denote  $\vec{z} = \text{mask}(\vec{x})$  and  $\vec{z}' = \text{mask}(\vec{x}')$ . Obviously,

$$\vec{z} * \vec{z}' = \text{DFT}_\omega(a_0, \dots, a_d, 0, \dots, 0) * \text{DFT}_\omega(a'_0, \dots, a'_d, 0, \dots, 0),$$

401 where the ‘\*’ operation stands for the pairwise product.

402 The polynomial obtained by performing  $\text{DFT}_\omega^{-1}(\text{DFT}_\omega(P_{\vec{x}}) \times \text{DFT}_\omega(P_{\vec{x}'})) =$   
 403  $P_{\vec{x}}(X) \times P_{\vec{x}'}(X) = C(X) = \sum_{i=0}^{2d} c_i X^i$  is a  $2d$  degree polynomial, which satisfies  
 404  $C(u_i) = P_{\vec{x}}(u_i) \times P_{\vec{x}'}(u_i) = x_i x'_i$  for any  $i$  in  $\{0, \dots, t-1\}$ .

405 Now we have to propose a method that associates a degree  $d$  polynomial  
 406  $D(X)$  to  $C(X)$ . This polynomial must satisfy the same properties:  $D(u_i) = C(u_i)$   
 407 for all  $0 \leq i \leq t-1$ .

The authors of [GJR18] proposed the following construction for  $t = 1$ :

$$\begin{aligned} D(X) &= c_0 + c_1 X + \dots + c_d X^d + u_0^d (c_{d+1} X + \dots + c_{2d} X^d) \\ &= c_0 + (c_1 + \alpha^d c_{d+1}) X + \dots + (c_d + \alpha^d c_{2d}) X^d. \end{aligned}$$

Obviously, in this case  $D(u_0) = C(u_0) = x_1 x'_1$ . We propose to generalize this construction. Let:

$$U_j(X) = u_j^d \frac{(X - u_0) \cdots (X - u_{j-1})(X - u_{j+1}) \cdots (X - u_{t-1})}{(u_j - u_0) \cdots (u_j - u_{j-1})(u_j - u_{j+1}) \cdots (u_j - u_{t-1})}.$$

Hence, by construction,  $U_j(u_j) = u_j^d$  and  $U_j(u_i) = 0$  for any  $i$  in  $\{0, \dots, t-1\} \setminus \{j\}$  and  $\deg(U_j(X)) = t-1$ . Then we set:

$$D(X) = c_0 + c_1 X + \cdots + c_d X^d + \sum_{j=0}^{t-1} U_j(X) (c_{d+1} X + \cdots + c_{2d-t+1} X^{d-t+1}) + \sum_{j=0}^{t-1} U_j(X) \sum_{i=1}^{t-1} c_{2d-t+1+i} u_j^{d-t+1+i}.$$

408 The degree  $d$  polynomial  $D(X)$  satisfies  $D(u_i) = C(u_i) = x_i x'_i$  of any  $i \in$   
409  $\{0, \dots, t-1\}$ .

In order to build efficiently  $\text{DFT}_\omega(D(X))$ , let us write:

$$D(X) = c_0 + c_1 X + \cdots + c_d X^d + (c_{d+1} X + \cdots + c_{2d-t+1} X^{d-t+1}) \sum_{j=0}^{t-1} U_j(X) + \sum_{i=1}^{t-1} c_{2d-t+1+i} \sum_{j=0}^{t-1} U_j(X) u_j^{d-t+1+i}.$$

Thus:

$$\begin{aligned} \text{DFT}_\omega(D(X)) &= \text{DFT}_\omega(C(X)) \\ &\quad + \text{DFT}_\omega(c_{d+1} X^{d+1} + \cdots + c_{2d} X^{2d}) \\ &\quad + \text{DFT}_\omega(c_{d+1} X + \cdots + c_{2d-t+1} X^{d-t+1}) * \vec{U} \\ &\quad + \sum_{i=1}^{t-1} c_{2d-t+1+i} \cdot G_i \\ &= \text{mask}(\vec{x} * \vec{x}') \end{aligned}$$

where  $G_i = \text{DFT}_\omega(\sum_{j=0}^{t-1} U_j(X) u_j^{d-t+1+i})$  for  $i \in \{1, \dots, t-1\}$  and  $\vec{U} = \text{DFT}_\omega(\sum_{j=1}^t U_j(X))$  are pre-computed values, and we define now how to build the last coefficients  $c_{d+1}, \dots, c_{2d}$  without revealing some sensitive information. If we denote  $\vec{y} = (C(\omega^i))_{i \in \llbracket 0..2d \rrbracket}$ , then we have  $\text{IDFT}_\omega(y) = (c_0, \dots, c_d, \dots, c_{2d})$  and by definition, for  $0 \leq j \leq d-1$ ,

$$\begin{aligned} c_{j+d+1} &= \sum_{i=0}^{2d} y_i \omega^{-i(j+d+1)} \\ &= \sum_{i=0}^{2d} (y_i \omega^{-i(d+1)}) \omega^{-ij} \end{aligned}$$

410 where  $\vec{w} = (y_i \omega^{-i(d+1)})_{0 \leq i \leq 2d}$ . Then we can calculate:

$$411 \quad \vec{c} = (c_{d+1}, \dots, c_{2d}, \dots) = (\text{IDFT}(\vec{w})). \quad (3)$$

412 This computation is formalized as a routine in Alg. 2, which indeed extracts the  
413 coefficients of largest degree (from  $d+1$  to  $2d$ ).

If we denote

$$\begin{aligned} \phi(C, \omega) &= \text{DFT}_\omega(c_{d+1} X^{d+1} + \cdots + c_{2d} X^{2d}) \\ &\quad + \text{DFT}_\omega(c_{d+1} X + \cdots + c_{2d-t+1} X^{d-t+1}) * \vec{u}, \\ &\quad + \sum_{i=1}^{t-1} c_{2d-t+1+i} \cdot G_i \end{aligned}$$

we get

$$\text{mask}(\vec{x} * \vec{x}') = \text{mask}(\vec{x}) * \text{mask}(\vec{x}') + \phi(C, \omega).$$

---

**Algorithm 5: severalByteProduct** Complexity:  $n(3 + t + 4 \log(n))$

---

**Input:** two vectors  $\vec{z} = \text{mask}(\vec{x}) \in \mathbb{F}_q^n$  and  $\vec{z}' = \text{mask}(\vec{x}') \in \mathbb{F}_q^n$   
**Output:**  $\text{mask}(\vec{x} * \vec{x}') \in \mathbb{F}_q^n$

- 1  $\vec{y} \in \mathbb{F}_q^n$
- 2 **for**  $i \in \{0, \dots, n-1\}$  **do**
- 3      $y_i \leftarrow z_i z'_i$
- 4  $\vec{c}'' = \text{ExtractLastCoefficients}(\vec{y}) = (c_{d+1}, \dots, c_{2d})$
- 5  $\vec{c} \leftarrow (0, \dots, 0 \parallel \vec{c}'') = (0, \dots, 0, c_{d+1}, \dots, c_{2d}) \in \mathbb{F}_q^n$ .
- 6  $\vec{v} \leftarrow \vec{0} \in \mathbb{F}_q^n$
- 7 **for**  $0 \leq i < t-1$  **do**
- 8     **for**  $0 \leq j < n$  **do**
- 9          $v_j \leftarrow v_j + G_{i+1,j} \cdot c_{2d-t+2+i}$
- 10  $\vec{c}' \leftarrow \vec{0} \in \mathbb{F}_q^n$
- 11 **for**  $i \in \{1, \dots, d-t+1\}$  **do**
- 12      $c'_i \leftarrow c_{d+i}$
- 13  $\vec{w}' \leftarrow \text{DFT}(\vec{c}') \in \mathbb{F}_q^n$
- 14 **for**  $i \in \{0, \dots, n-1\}$  **do**
- 15      $w'_i \leftarrow w_i u_i$
- 16 **return**  $\text{refresh}(\vec{y} + \text{DFT}(\vec{c}) + \vec{w}' + \vec{v})$

---

### 414 4.3 Matrix product masking

It is necessary to also define the matrix product operation, as this type of operations is essential to calculate `MixColumns` or `ShiftRows` for example, with  $t \in \{4, 8, 16\}$ . Let us denote by  $L \in K^{t \times t}$  a public matrix, we need to construct an algorithm `MatrixProduct` such that:

$$\text{MatrixProduct}(\text{mask}(\vec{x}), L) = \text{mask}(\vec{x} \cdot L) .$$

415 Let us recall that the masking operation is a combination between 2 FFTs,  
416 that can be represented as a matrix product as follows:

417 
$$\text{mask}(\vec{x}) = (\vec{x}, \vec{r}, \vec{0}) \cdot N . \tag{4}$$

where:

$$N = \begin{bmatrix} A^{-1} & 0 \\ 0 & 0 \end{bmatrix} \times M \in \mathbb{F}_q^{n \times n} .$$



Let us denote  $L' = N^{-1} \cdot \begin{bmatrix} L & 0 \\ 0 & I \end{bmatrix} \cdot N$ , we have:

$$\begin{aligned} \mathbf{mask}(\vec{x}) \cdot L' &= (\vec{x}, \vec{r}, \vec{0}) \cdot (N \cdot L') \\ &= (\vec{x}, \vec{r}, \vec{0}) \cdot (N \cdot N^{-1} \cdot \begin{bmatrix} L & 0 \\ 0 & I \end{bmatrix} \cdot N) \\ &= (\vec{x} \cdot L, \vec{r}, \vec{0}) \cdot N \\ &= \mathbf{mask}(\vec{x} \cdot L) . \end{aligned}$$

Thus:

$$\mathbf{MatrixProduct}(\mathbf{mask}(\vec{x}), L) = \mathbf{mask}(\vec{x}) \cdot L' .$$

#### 418 4.4 Exponentiation algorithm

419 Let  $e$  be a power of 2, we denote  $\vec{x}^e = (x_1^e, \dots, x_{t+1}^e) \in \mathbb{F}_q^{t+1}$ . In order to  
 420 calculate **SubBytes** transformation efficiently we need to calculate  $\mathbf{mask}(\vec{x}^e)$   
 421 (see for instance [RP10, Alg. 3]). We have:

$$\begin{aligned} \mathbf{mask}(\vec{x})^e &= (\vec{x}, \vec{r}, \vec{0})^e \cdot N^e \quad (\text{where } (N^e)_{i,j} = (N_{i,j})^e) \\ \implies \mathbf{mask}(\vec{x})^e \cdot ((N^e)^{-1} \times N) &= (\vec{x}, \vec{r}, \vec{0})^e \cdot N = \mathbf{mask}(\vec{x}^e) . \end{aligned}$$

422 In this case, the order of the operations is very important. As a matter of fact, the  
 423  $\mathbf{mask}(\vec{x})^e \cdot (N^e)^{-1}$  can divulge the sensitive data if it has been done as indicated  
 424 above. This is why it is mandatory to pre-compute  $((N^e)^{-1} \times N)$  first (once for  
 425 all), and only then calculate  $\mathbf{mask}(\vec{x})^e \cdot ((N^e)^{-1} \times N)$ .

## 426 5 Detecting/correcting fault injections

### 427 5.1 Error correcting code interpretation

We note that by construction, there exists an invertible matrix  $R$  that satisfies:

$$\begin{pmatrix} a_0 \\ \vdots \\ a_{t-1} \\ a_t \\ \vdots \\ a_d \end{pmatrix} = R \times \begin{pmatrix} x_0 \\ \vdots \\ x_{t-1} \\ P(u_t) \\ \vdots \\ P(u_d) \end{pmatrix} .$$

428 We note that this DFT computation corresponds to the encoding in the Reed-  
 429 Solomon code defined by the evaluation of  $1, X, \dots, X^d$  over  $1, \omega, \omega^2, \dots, \omega^{2d}$ ,  
 430 and represented by a matrix  $V$ . Hence, we get that  $\mathbf{mask}(y) = yR^T V$ . We de-  
 431 duce that our masking algorithm corresponds to an encoding procedure with  
 432 a generalized Reed-Solomon code of minimal distance  $d + 1$ , dimension  $d$  and  
 433 length  $2d + 1$ .

434 **5.2 Error detection method**

435 We have seen previously that our masking technique corresponds to an encoding  
 436 in a Reed-Solomon code of parameters  $[n = 2d + 1, k = d + 1, d + 1]_q$ . We  
 437 propose in this section to describe a known method based on syndrome decoding  
 438 [Pet60,Mas69,Jr.65,BHP98] that does not leak sensitive information.

439 Our information on  $t$  words is included inside of  $d + 1$  words which are  
 440 then encoded in the Reed-Solomon code of length  $2d$ . Next we assume that a  
 441 reasonable number of faults is injected on this codeword  $c$ . This codeword is in  
 442 correspondence with a degree  $k - 1 = d$  polynomials  $c(X) = \text{IDFT}_\omega(c)$  in  $\mathbb{F}_q[X]$ .

443 It corresponds to the classic problem of error correction in a noisy channel.  
 444 The error can be interpreted as a vector  $e = (e_0, e_1, \dots, e_{n-1}) = \text{DFT}_\omega^{-1}(e(X))$   
 445 where  $e(X)$  is a degree  $n - 1$  polynomial over  $\mathbb{F}_q$ . We denote by  $\epsilon$  the weight  
 446 of the non-zero coefficients (positions) in  $e(X)$ . Hence, we study the vector  $y =$   
 447  $c + e = (e_j)_{j \in \llbracket 0, n-1 \rrbracket}$ .

448 To detect or correct the errors, we calculate a syndrome from  $y$ , which only  
 449 depends on the error word  $e$  and not on the codeword  $c$ . We recall that the dual  
 450 code of the RS $[n, k]$  is the RS $[n, n - k]$  code. A basis of this code is given by the  
 451 monomials  $1, X, \dots, X^{n-k-1}$  which are evaluated over the set  $1, \omega, \dots, \omega^{n-1}$ .

**Proposition 1 (Fast syndrom evaluation).** *Let  $S = (S_0, S_1, \dots, S_{n-k-1})$ .  
 It is a syndrome sequence which satisfies*

$$S = (S_j)_{j \in \llbracket 0, n-k-1 \rrbracket} = \left( \sum_{i=0}^{n-1} y_i \omega^{ij} \right)_{j \in \llbracket 0, n-k-1 \rrbracket} = \text{DFT}_\omega(y).$$

452 *Since  $\deg(c(X)) < k$ ,  $S = \text{DFT}_\omega(y) = \text{DFT}_\omega(e)$  which does not depend of  $c$ .  
 453 We deduce that detecting the presence of faults injection (i.e. checking whether  
 454  $S \neq 0$ ) can be computed in  $\mathcal{O}(n \log(n))$  multiplications.*

455 To correct these faults, we need to construct the error locator *polynomial*.  
 456 We introduce the vector  $\lambda = (\lambda_j)_{j \in \llbracket 0, n-k-1 \rrbracket}$  such that  $\lambda_j = 0$  whenever the  
 457 corresponding coefficient  $e_j$  of  $e$  is non-zero, and  $\lambda_j \neq 0$ , whenever  $e_j = 0$ . In this  
 458 way, we have  $\lambda_j \cdot e_j = 0$  for all  $j \in \{0, \dots, n - 1\}$ . If we denote  $\Lambda(X) = \text{DFT}_\omega(\lambda)$   
 459 and  $E(X) = \text{DFT}_\omega(e) = S$ , then, due to the well-known convolution theorem of  
 460 the DFT, we have

$$461 \quad E(X)\Lambda(X) = 0 \pmod{X^n - 1}. \quad (5)$$

462 The  $\epsilon$  roots  $\omega^{-j_1}, \dots, \omega^{-j_\epsilon}$  of the polynomial  $\Lambda(X)$  correspond to the locations  
 463  $j_1, \dots, j_\epsilon$  of the erroneous positions in  $y$ . Therefore  $\Lambda(X) = \Lambda_0 + \Lambda_1 X + \dots + \Lambda_\epsilon X$   
 464 is called the “error locator polynomial”.

465 Without losing in generality,  $\Lambda(X)$  can be normalized by setting  $\lambda_0 = 1$ .  
 466 Equation (5) gives rise to a linear system of  $n$  equations. From these equations,  
 467  $n - k - t$  equations only depend on the  $n - k$  coefficients from  $E(X)$ , which  
 468 coincide with the elements  $S_0, \dots, S_{n-k-1}$  of the syndrome, and the unknown  
 469 coefficients of the error locator polynomial  $\lambda(X)$ . Hence, we extract a linear  
 470 system of  $n - k - \epsilon$  equations and  $\epsilon$  unknowns:

$$\begin{array}{c}
471 \\
472 \\
473 \\
474 \\
475 \\
476
\end{array}
\begin{array}{c}
\left[ \begin{array}{cccc}
S_0 & S_1 & \cdots & S_{\epsilon-1} \\
& & & \vdots \\
& S_i & S_{i+1} & \cdots & S_{\epsilon+i-1} \\
& & & \vdots \\
\underbrace{S_{n-k-er-1} \ S_{n-k-\epsilon} \ \cdots \ S_{n-k-2}}_S & & & & 
\end{array} \right] \times \underbrace{\left[ \begin{array}{c}
A_\epsilon \\
\vdots \\
A_i \\
\vdots \\
A_1
\end{array} \right]}_A = \underbrace{\left[ \begin{array}{c}
-S_\epsilon \\
\vdots \\
-S_i \\
\vdots \\
-S_{n-k-1}
\end{array} \right]}_T. \quad (6)
\end{array}$$

Obviously, a unique solution exist as long as  $\epsilon \leq \frac{n-k}{2}$  which means than we can correct not more than  $\frac{n-k}{2} = \frac{d-1}{2}$  faults.

To avoid a large complexity to solve the system of equations (6), due to specific form of it, we can use the well-known Berlekamp-Massey algorithm that solves this system with a linear complexity.

At this point we have located the errors by constructing  $\Lambda(X)$ . Reconstructing the errors can be done by the Forney algorithm. It consists in calculating the error evaluator polynomial

$$\Omega(X) = Sp(X)\Lambda(X) \bmod 2er,$$

where  $Sp(X)$  is the partial syndrome polynomial:

$$Sp(X) = s_0 + s_1X + s_2X^2 + \dots + s_{2er-1}X^{2er-1}.$$

Finally the error is given by evaluating the quantity for  $X_j = \omega^{ij}$ :

$$e_j = \frac{\Omega(X_j^{-1})}{\Lambda'(X_j^{-1})},$$

where  $\Lambda'$  is the first derivative of  $\Lambda$ . These quantities can be again evaluated by using the DFT transform, hence correcting fault injection can be done with a linear complexity.

Exemplary tradeoffs are given in table 3.

### 5.3 Positive effect of cost amortization on fault detection capability

Let us fix a field  $\mathbb{F}_q$  and a minimal distance  $d$ . Then, it is more efficient from the code length point of view to mask two (resp.  $2k$ ) symbols together than each one (resp. each  $k$ ) independently. Formally, let `BLLC` the `BestLengthLinearCode` function in Magma [Uni], which yields the minimal length of a code on  $\mathbb{F}_q$  of a given dimension and minimum distance. We have that:

$$\text{BLLC}(\mathbb{F}_q, 2 \times k, d) \leq 2 \times \text{BLLC}(\mathbb{F}_q, k, d). \quad (7)$$

For instance, on  $\mathbb{F}_{256}$ , RS codes are minimum distance separable (MDS) and thus  $\text{BLLC}(\mathbb{F}_q, k, d) = k + d - 1$ . Thus Eqn. (7) rewrites  $2k + d - 1 \leq 2(k + d - 1) \iff d \geq 1$  which is always true.

**Table 3.** Side-channel security order *versus* fault detection / correction, in  $\mathbb{F}_{256}$

$n$	$d$	$t$	SCA order ( $d + 1 - t$ )	Nb. of detected faults	Nb. of corrected faults
5	2	1	2	2	0
		2	1		
15	7	1	7	7	3
		2	6		
		$\vdots$	$\vdots$		
		7	1		
17	8	1	8	8	3
		2	7		
		$\vdots$	$\vdots$		
		8	1		

## 491 6 Security proof

492 The security of our scheme depends of our encoding procedure, our multiplication  
493 gadget and our capacity to detect fault injections during the computation steps  
494 of the encryption algorithm.

### 495 6.1 The encoding procedure

We remind that our encoding procedure of a vector  $\vec{x} = (x_0, \dots, x_{t-1})$  has been defined in subsection 4.1. It consists in picking randomly  $\vec{r} = (r_{t+1}, r_{t+2}, \dots, r_{d+1})$  in  $\mathbb{F}_q^{d+1-t}$  and performing the operation:

$$\text{mask}(\vec{x}) = DFT_\omega ((\vec{x} \parallel \vec{r}) \times [A^{-1}|0]).$$

We also recall that the matrix  $A = (u_i^j)_{i,j \in \llbracket 0..d \rrbracket}$ . A first approach consists in showing that our masking method corresponds to a special case of DSM scheme, then we propose to translate this operation in a *generic encoder* as defined in [WMCS20] (page 137, definition 13). Applying  $DFT_\omega$  corresponds to a multiplication by one Vandermonde matrix. This matrix happens to be the generator matrix of the Reed-Solomon code  $RS[n, n, 1]$  defined over  $\mathbb{F}_q$ . A generator matrix of this code is defined by the evaluation of the monomials  $(X^i)_{i \in \{0, n-1\}}$  over  $1, \omega, \dots, \omega^{n-1}$ . The multiplication by  $[A^{-1}|0]$  leads to cancel the last rows of the generator matrix of this  $RS[n, n, 1]$  code which becomes a Reed Solomon code  $RS[n, d + 1, n - d]$ . We denote  $R$  a generator matrix of this code. Hence,

$$\text{mask}(\vec{x}) = (\vec{x}, \vec{r}) \times A^{-1} \times R$$

496 *Remark 1.* Our first remark at this point it that  $A^{-1} \times R$  is still a  $RS[n, d +$   
497  $1, n - d]$  code that can detects  $n - d - 1$  errors. We propose consequently later in  
498 this section a method to detect errors without revealing sensitive information.

We can rewrite our encoding procedure as follows:

$$\mathbf{mask}(\vec{x}) = ((\vec{x}, \vec{0}) \times A^{-1} \times R) \oplus ((\vec{0}, \vec{r}) \times A^{-1} \times R) = \vec{x}G \oplus \vec{r}H,$$

499 where  $G = (Id_t, 0)A^{-1}R$  and  $H = (0, Id_{d+1-t})A^{-1}R$ .

500 **Proposition 2.** *The masking operation  $\mathbf{mask}(\vec{x})$  is a generic encoder.*

*Proof.* We have seen that  $\mathbf{mask}(\vec{x}) = \vec{x}G \oplus \vec{r}H$ . By construction,  $\text{rank}(G) = t$  and  $\text{rank}(H) = d + 1 - t$ . If we denote  $\mathcal{C}_G$ ,  $\mathcal{C}_H$  and  $\mathcal{C}_{H^{perp}}$  the codes respectively generated by the generator matrix  $G$ ,  $H$  and the kernel of  $H$ , then  $\mathcal{C}_G \cap \mathcal{C}_H = \{0\}$ . If we denote  $B = \begin{pmatrix} G \\ H \end{pmatrix}$ , then we have:

$$\mathbf{mask}(\vec{x}) = (\vec{x}, \vec{r}) \times B$$

501 and the  $B$  satisfies the definition of a generic encoder denoted  $enc_B$ .

502 If we denote by  $d'$  the minimal distance of  $\mathcal{C}_{H^{perp}}$ :  $d' = d_{\min}(\mathcal{C}_{H^{perp}})$ , then,  
503 as explained in [WMC20], a direct consequence is that the encoding procedure  
504  $enc_B$  is  $d'$ -private. Our task consists now in evaluating  $d'$  and we propose to  
505 demonstrate the following theorem:

**Theorem 1.** *Let an integer  $t$ ,  $1 \leq t \leq d$ , a Vandermonde matrix  $A$  of the form  $(u_j^i)_{i,j \in [0,d]}$  with  $u_i \neq u_j$ . Let  $R$  the generator matrix of the Reed-Solomon code  $RS[2d+1, d+1, n-d]$  of the form  $(\omega^i j)_{i \in [0,d], j \in [0,2d]}$ . We denote*

$$H = (0_t, Id_{d+1-t}) \times A^{-1} \times R.$$

Let  $\mathcal{C}_H$  the code generated by  $H$ , then,  $d_{\min}(\mathcal{C}_H^\perp)$  the minimal distance of  $\mathcal{C}_H^\perp$  satisfies

$$d + 1 - t \leq d_{\min}(\mathcal{H}^\perp) \leq d + 2 - t.$$

*Proof.* We denote by  $K$  the matrix which corresponds to the last  $d + 1 - t$  rows of  $A^{-1}$ , then

$$H = (0, Id_{d+1-t})A^{-1}R = K \times R$$

506 where  $R = RS[n, d+1, n-d]$ . By construction,  $H$  is  $(d+1-t) \times n$  matrix since  
507  $(0, Id_{d+1-t})A^{-1}$  is a full rank matrix.

508 It is well known that the parity check matrix of  $R$  that we can denote  $T$  is  
509 a Reed-Solomon code  $RS[n, d, n-d+1]$  and we have  $H^t T = 0$ . Hence,  $H^t T =$   
510  $K \times R \times {}^t T = 0$  and the subspace generated by the rows of  $T$  are included in  
511 the kernel of  $H$ .

**Study of  $K$ :** We remind that  $K = (0, Id_{d+1-t})A^{-1}$ . First of all,  $A^{-1}$  is a Reed-Solomon generator matrix as any invertible square matrix because it is equivalent (up to an invertible matrix) to a Reed-Solomon code. Hence  $K$  is a generator matrix of a sub code of a  $RS[d+1, d+1]$  code. We would like to

determine now the dual code of  $K$  and we observe the equation  $A^{-1} \times A = Id_{d+1}$ .  
By setting

$$A^{-1} = \begin{pmatrix} K'_{t \times (d+1)} \\ K_{(d+1-t) \times (d+1)} \end{pmatrix} \text{ and } A = \begin{pmatrix} B_{(d+1) \times t}, B'_{(d+1) \times (d+1-t)} \end{pmatrix},$$

we get that

$$\begin{pmatrix} K'_{t \times (d+1)} \\ K_{(d+1-t) \times (d+1)} \end{pmatrix} \times \begin{pmatrix} B_{(d+1) \times t}, B'_{(d+1) \times (d+1-t)} \end{pmatrix} = \begin{pmatrix} Id_t & 0_{t \times (d+1-t)} \\ 0_{(d+1-t) \times t} & Id_{d+1-t} \end{pmatrix}.$$

We deduce that  $K_{(d+1-t) \times (d+1)} \times B_{(d+1) \times t} = 0_{(d+1-t) \times t}$  and we know that

$$K = K_{(d+1-t) \times (d+1)} \text{ and } B = Kernel(K) = B_{(d+1) \times t} = (u_i^j)_{i \in [0..d], j \in [0..t-1]}.$$

512 By construction  ${}^t(B_{(d+1) \times t}) = {}^tB$  is a generator matrix of a code generated  
513 by the polynomials  $1, X, X^2, \dots, X^{t-1}$  defined over the set  $u_0, \dots, u_d$ : this is a  
514 Reed-Solomon code  $RS[d+1, t, d+2-t]$  of minimal distance  $d+2-t$ . We  
515 deduce that the encoder  $(x, r) \mapsto (x, r)A^{-1}$  is a generic encoder of probing order  
516  $d+1-t$ .

We want now to describe the kernel of  $K \times R$ . We can repeat the same construction for  $R$ . If we denote  $V_\omega$  the Vandermonde matrix associated to  $DFT_\omega$ :

$$V_\omega \times V_\omega^{-1} = \begin{pmatrix} R_{(d+1) \times (2d+1)} \\ R'_{d \times (2d+1)} \end{pmatrix} \times \begin{pmatrix} Ri_{(2d+1) \times (d+1)}, Ri'_{(2d+1) \times d} \end{pmatrix}, \text{ and}$$

$$V_\omega \times V_\omega^{-1} = \begin{pmatrix} Id_{d+1} & 0_{(d+1) \times d} \\ 0_{d \times (d+1)} & Id_d \end{pmatrix}.$$

517 We deduce that  $R_{(d+1) \times (2d+1)} \times Ri_{(2d+1) \times (d+1)} = Id_{d+1}$  with  $R = R_{(d+1) \times (2d+1)}$ .  
518 The matrix  $V_\omega^{-1}$  is Vandermonde matrix associated to  $IDFT_\omega$ , then  $R_i =$   
519  $Ri_{(2d+1) \times (d+1)} = (\omega^{-ij})_{i \in [0..2d], j \in [0..d]}$ . We remark that  $K \times R \times {}^tT = 0$  and  
520  $K \times R \times R_i \times B = K \times Id \times H = 0$ . Hence we can build a vector space included in  
521 the kernel of  $H = K \times R$  with  $T$  which is the generator matrix of a  $RS[2d+1, d]$   
522 code and  $D = {}^tB \times {}^tR_i$ .

523 We note that  ${}^tR_i = (\omega^{(n-i)j})_{i \in [0..d], j \in [0..2d]}$  is a generator matrix of a  
524 code generated by  $d+1$  polynomials of degree more than  $d+1$ . Then  ${}^tB =$   
525  $(u_i^j)_{i \in [0..t-1], j \in [0..d]}$ . Hence the code generated by  $D$  is an evaluation code generated  
526 by  $t$  independent polynomials of degree more than  $d+1$  whereas  $T$  is  
527 a generator matrix of a code generated by  $d$  polynomials of degree strictly less  
528 than  $d$ , then these two codes are linearly independent and we deduce that we  
529 have built the kernel of  $H$ . We have now to evaluate the minimal distance of  
530 this code  $(T \cup D)$ .

Hence, we have

$$D = {}^tB \times {}^tR_i = \begin{pmatrix} \sum_{k=0}^d u_i^k \omega^{(2d+1-k)j} \\ \end{pmatrix}_{i \in [0..t-1], j \in [0..2d]}.$$

Let

$$D_{i,j} = \sum_{k=0}^d u_i^k \omega^{(2d+1-k)j} = \omega^{(d+1)j} \sum_{k=0}^d u_i^k \omega^{(d-k)j}$$

and

$$D_{i,j} = \omega^{(d+1)j} \sum_{k=0}^d u_i^{(d-k)} \omega^{kj}.$$

Then

$$D_{i,j} = u_i^d \omega^{(d+1)j} \sum_{k=0}^d \left( \frac{\omega^j}{u_i} \right)^k = u_i^d \omega^{(d+1)j} \frac{1 - \left( \frac{\omega^j}{u_i} \right)^{d+1}}{1 - \frac{\omega^j}{u_i}}.$$

531 For  $i = 0$  (i.e  $t = 1$ ), it means that the vector  $D_0$  corresponds to the evaluation  
532 of the fraction

$$533 \frac{u_0^{d+1} X^{d+1} + X}{u_0 + X} \quad (8)$$

over  $\{1, \omega, \dots, \omega^{2d}\}$  and we are looking for a degree  $d$  polynomial  $P(X)$  that  
cancels the maximum of positions of  $D_0$ , i.e. such that  $Q(X) = (X + u_0)P(X) +$   
 $X + u_0^{d+1} X^{d+1}$  admits the maximum of zeros. We remark that  $\text{degree}(Q) \leq d+1$ ,  
then the number of zero is less than  $d+1$  which is equivalent to a minimal distance  
greater than  $2d + 1 - (d + 1) = d$ . In the same time, the Singleton bound states  
that  $d_{\min}(T \cup D_0) \leq 2d + 1 - (d + 1) + 1 = d + 1$ . We deduce that for  $D = D_0$ ,

$$d + 1 - t \leq d_{\min}(T \cup D) \leq d + 2 - t.$$

for  $t = 2$ , the Singleton bound states that  $d_{\min}(T \cup D_0 \cup D_1) \leq 2d + 1 - (d + 2) + 1 =$   
 $d = d + 2 - t$ . We want to evaluate now the minimal distance of a codeword built  
from a linear combination of  $D_{0,j}$ ,  $D_{1,j}$  and  $T$ . It means that for a fixed element  
 $\theta \in \mathbf{F}_q$  we are looking for a degree  $d$  polynomial  $P(X)$  such that for a maximum  
of input we have

$$P(X) = \frac{u_0^{d+1} X^{d+1} + X}{u_0 + X} + \theta \frac{u_1^{d+1} X^{d+1} + X}{u_1 + X}.$$

534 This is equivalent of studying the number of zero of the function  $T(X) = (X +$   
535  $u_0)(X + u_1)P(X) + (X + u_1)(u_0^{d+1} X^{d+1} + X) + \theta(X + u_0)(u_1^{d+1} X^{d+1} + X)$ . The  
536 degree of  $T(X)$  is less or equal to  $d + 2$  then  $T(X)$  has  $d + 2$  roots maximum  
537 which is equivalent to a minimal distance greater than  $2d + 1 - (d + 2) = d - 1$   
538 and we deduce:

$$d + 1 - t \leq d_{\min}(T \cup D) \leq d + 2 - t.$$

539 By induction we have that for any  $t$ ,  $d + 1 - t \leq d' \leq d + 2 - t$  and the probing  
540 security order is between  $d - t$  and  $d + 1 - t$ , thus we have demonstrated Theorem 1.

541 In this Theorem 1, we prove the security of the multiplicative gadget, includ-  
 542 ing the transformation of the shares into the spectral domain (back and forth).  
 543 This was left out of the scope of former work GJR+ [GPRV21]; we thus offer a  
 544 comprehensive, end-to-end, security proof of the whole computation. Notice that  
 545 in the section entitled “*Discussion on Hypothesis 1*”, page 620 of [GPRV21], the  
 546 announced security orders (obtained by exhaustive search, for some exemplary  
 547 small orders) are lower than our bound  $d + 2 - t$ . The reason is that examples  
 548 in [GPRV21] do not satisfy condition (1).

549 **Corollary 1.** *Let  $0 \leq i \leq d - 1$ . If  $u_i$  is such that  $\frac{u_i^{d+1}X^{d+1}+X}{u_i+X}$  is a degree  $d$   
 550 polynomial, i.e  $u_i + X$  divides  $u_i^{d+1}X^{d+1} + X$ , then we have  $d' = d + 2 - t$ .*

*Proof.* Without losing in generality, we can assume that  $i = 0$ . For  $t = 1$  this is  
 exactly the same proof than the previous one. For  $t > 1$ , we must evaluate the  
 number of zeros of the function:

$$T(X) = \frac{u_0^{d+1}X^{d+1} + X}{u_0 + X} + \theta_1 \frac{u_1^{d+1}X^{d+1} + X}{u_1 + X} + \dots + \theta_{t-1} \frac{u_{t-1}^{d+1}X^{d+1} + X}{u_{t-1} + X} .$$

551 As  $\frac{u_0^{d+1}X^{d+1}+X}{u_0+X}$  is a degree  $d$  polynomial, then  $(X + u_1) \cdots (X + u_{t-1})T(X)$  is a  
 552 polynomial of degree less than  $d + t - 1$  which implies that the minimal distance  
 553 is greater than  $2d + 1 - d - t + 1 = d + 2 - t$  and the singleton bound states that  
 554 it is less than  $d + 2 - t$  thus it is equal.

555 This corollary shows that our masking scheme does reach the same masking  
 556 order as [BEF+23].

557 *Example 2.* If  $u_0 = 0$ , we get  $D_{0,j} = 1$  and the property is satisfied.

As summary, we have proven in this section that given a Vandermonde matrix  
 $A = V(u) = (u_i^j)_{i,j \in [0..d]}$ , the encoder

$$x \mapsto (x, r) \mapsto (x, r)A^{-1}$$

is  $d + 1 - t$  probing secured and if  $R$  is the generator matrix  $R$  of a Reed-Solomon  
 code  $RS[2d + 1, d + 1, d + 1]$  with support in  $\{1, \omega, \dots, \omega^{n-1}\}$ , then the composed  
 encoder

$$x \mapsto (x, r) \mapsto (x, r)A^{-1}R$$

558 is at least  $d - t$  probing secure.

## 559 6.2 NI and SNI criteria

560 **Definition 1** ([MZ22]). *A function  $f$  is  $t$ -NI if, when given a total of  $s$  outputs  
 561 and internal probes,  $s \leq t$  implies a dependency with maximum  $s$  input shares. A  
 562 function  $f$  is  $t$ -SNI if  $s \leq t$  implies a dependency with maximum  $i$  input shares,  
 563 where  $i$  is the number of internal probes.*



564 **Corollary 2** ([WMCS20, Theorems 2 and 3]). *The scalar multiplication*  
 565 *gadget is  $t$ -SNI and the addition gadget masking is  $t$ -NI.*

566 *Proof.* We remind that for complexity reason, we have replaced the classical  
 567 Vandermonde matrix by the DFT algorithm. Our chosen DFT has a particular  
 568 structure, it is an iterative DFT et each step corresponds to a matrix multipli-  
 569 cation, then totally, our DFT corresponds to a classical encoder by a (sparse)  
 570 matrix. Therefore, Theorems 2 and 3 of [WMCS20] apply verbatim.

571 *Remark 2.* The refresh gadget of [GPRV21] is obviously compliant with our  
 572 procedure and it is  $(d - t)$ -SNI.

573 To claim that the complete encoder with its associate gadgets is  $(d - t)$ -  
 574 probing secured, we must prove the property for the multiplication gadget.

### 575 6.3 The multiplication gadget

576 The security of the masking *representation* is immediate owing to the number  
 577 of shares. However, to be comprehensive, we have to show now that *operations*  
 578 are also secure. Namely, the masked multiplication procedure offer also the same  
 579 level of protection. Regarding the security of this gadget. We remind that the  
 580 authors of [GPRV21] made a strong hypothesis that we convert in a theorem:

**Theorem 2 (Hypothesis (FFT Probing Security)).** *The circuits process-*  
*ing*

$$DFT_{\omega}(x||0) \mapsto r \text{ and } DFT_{\omega}^{-1}$$

581 *are  $t_n^{DFT}$ -probing secure with  $t_n^{DFT} \geq d - t$ .*

582 *Proof.* In fact the application  $DFT_{\omega}(\vec{x}||0) \mapsto r$  corresponds exactly to our mask-  
 583 ing operation  $\text{mask}(\vec{x}) = (\vec{x}, \vec{r}) \times A^{-1} \times R$  except that  $A$  is more general than  
 584 simply a Matrix of the form  $(\alpha^{ij})_{i,j}$ . We deduce that  $t_n^{DFT} \geq d - t$  in this case  
 585 since it corresponds to the theorem 1.

Regarding  $DFT_{\omega}^{-1} : u' \mapsto tt$ : if fact,  $u' = \text{refresh}(\text{mask}(\vec{x}) * \text{mask}(\vec{y}))$  where  $*$   
 represents here the multiplication term by term and not the mask multiplica-  
 tion. In our masking, by definition, we have  $u' = \text{mask}(\vec{0}) + \text{mask}(\vec{x}) * \text{mask}(\vec{y})$ .  
 $\text{mask}(\vec{0}) = \vec{r}H$  where  $\vec{r}$  is a  $d + 1 - t$  dimension vector which is random, then  
 building  $\vec{r}$  requires at least  $d + 1 - t$  positions from the vector  $\vec{r}H$ . By construc-  
 tion,  $DFT_{\omega}^{-1}(\text{mask}(\vec{0})) = (a_0(r), a_1(r), \dots, a_d(r), 0, \dots, 0) = (0, r)A^{-1}$ . Then  
 $DFT_{\omega}^{-1}(\text{mask}(\vec{x}) * \text{mask}(\vec{y})) = (c_0, \dots, c_{2d})$ . We deduce that:

$$tt = (c_0 + a_0(r), c_1 + a_1(r), \dots, c_d + a_d(r), c_{d+1}, \dots, c_{2d}).$$

586 We prove below this proof that we cannot construct a sensitive informa-  
 587 tion from  $(c_{d+1}, \dots, c_{2d})$ . The coefficients  $a_i$  of the vector  $(c_0 + a_0(r), c_1 +$   
 588  $a_1(r), \dots, c_d + a_d(r))$  depends linearly of  $r$ . We have already proven that the  
 589 encoder  $(x, r)A^{-1}$  is  $d + 1 - t$  probing secured, thus getting information from  
 590  $(c_0 + a_0(r), c_1 + a_1(r), \dots, c_d + a_d(r))$  requires to capture at least  $d + 1 - t$  positions.  
 591 We deduce the final result, the hypothesis is correct with  $t_n^{DFT} = d - t$ .

592 Then, due to the the previous demonstrated hypothesis, we deduce the following  
 593 lemma:

594 **Lemma 1.** [GPRV21] *The circuit processing  $(\mathbf{mask}(x), \mathbf{mask}(y)) \mapsto u = \mathbf{mask}(x) * \mathbf{mask}(y)$  is  $(d - t)$ -probing secured.*

596 We provide here-after a proof by reduction of our Lemma 1 to the result formu-  
 597 lated in [GPRV21, Lemma 1].

*Proof.* The authors of [GPRV21] have proven (page 619, lemma 1) that the following circuit processing

$$(x, y) \mapsto u = \text{DFT}(x \parallel 0) * \text{DFT}(y \parallel 0)$$

598 is  $t_n^{FFT}$  probing secure (In fact, we proved that the encoder  $x \mapsto (x, r)A^{-1}$  is  
 599  $d + 1 - t$  probing secure which implies that  $t_n^{FFT} = d$  in [GPRV21] context) and  
 600 we have proven that  $\mathbf{mask}(x) = \text{DFT}((x, r)A^{-1} \parallel 0)$  is at least  $d - t$  probing  
 601 secure, then we can now apply the same proof, with  $t_n^{FFT} = (d - t)$ : either  
 602 a probe gives some information about  $\mathbf{mask}(x)$  or about  $\mathbf{mask}(y)$ . Finally, each  
 603 position  $M_t[i] = \mathbf{mask}(x)[i] \times \mathbf{mask}(y)[i]$  depends symmetrically of  $\mathbf{mask}(x)[i]$  and  
 604  $\mathbf{mask}(y)[i]$  which are independent and uniformly distributed, thus less than  $d - t$   
 605 probes cannot give information about  $x$  and  $y$ .

606 *Remark 3 (Typographic mistake correction).* The proof of lemma 1 in [GPRV21]  
 607 contains twice the argument “ $w$  is added to  $\mathcal{W}_1$ ”, whereas the second occurrence  
 608 should read “ $w$  is added to  $\mathcal{W}_2$ ”.

609 We remind that the inner product  $\mathbf{mask}(x) * \mathbf{mask}(y)$  here is not the gadget multi-  
 610 plication  $\mathbf{mask}(x) \times \mathbf{mask}(y)$ . Unfortunately, we cannot claim that  $(\mathbf{mask}(x), \mathbf{mask}(y)) \mapsto$   
 611  $\mathbf{mask}(x) * \mathbf{mask}(y)$  is  $(d - t)$ -NI or SNI secured because the function  $(x, y) \mapsto x \cdot y$   
 612 does not satisfies the  $t$ -NI property and we cannot use the composition theorem.

The  $\mathbf{mask}$  multiplication (gadget) is obtained from the following computation

$$\begin{aligned} \text{DFT}_\omega(D(X)) &= \text{DFT}_\omega(C(X)) \\ &\quad + \text{DFT}_\omega(c_{d+1}X^{d+1} + \dots + c_{2d}X^{2d}) \\ &\quad + \text{DFT}_\omega\left((c_{d+1}X + \dots + c_{2d-t+1}X^{d-t+1}) * \vec{U}\right) \\ &\quad + \sum_{i=1}^{t-1} c_{2d-t+1+i} \cdot G_i \\ &= \mathbf{mask}(\vec{x} * \vec{x}') \end{aligned}$$

where  $G_i = \text{DFT}_\omega(\sum_{j=0}^{t-1} U_j(X)u_j^{d-t+1+i})$  for  $i \in \{1, \dots, t-1\}$  and  $\vec{U} = \sum_{j=1}^t U_j(X)$   
 are a pre-computed values. Then, it is clear that the computation of  $\text{DFT}_\omega(c_{d+1}X^{d+1} +$   
 $\dots + c_{2d}X^{2d})$ ,  $\text{DFT}_\omega\left((c_{d+1}X + \dots + c_{2d-t+1}X^{d-t+1}) * \vec{U}\right)$  and  $\sum_{i=1}^{t-1} c_{2d-t+1+i} \cdot$   
 $G_i$  involves only the variables  $c_{d+1}, \dots, c_{2d-t+1}$  related to the sensitive informa-  
 tion. Hence, the weakest side is obtained with the vector

$$(c_{d+1}, \dots, c_{2d}) = \text{ExtractLastCoefficients}(\vec{z} * \vec{z}').$$

Then the question is: can we get information from  $d - t$  position of the vector  $(c_{d+1}, \dots, c_{2d})$ . Our claim is that our gadget is at least  $d - t$  probing secured, then we must assume that in the model of attack, maximum  $d - t$  values can be guessed from some measures. From  $d - t$  pieces of knowledge from the vector  $(c_{d+1}, \dots, c_{2d})$ ,  $x = \text{unmask}(z)$  and  $x' = \text{unmask}(z')$  cannot be reconstructed: if an attacker has access to the following system of equations

$$\begin{cases} c_{2d} &= a_d a'_d \\ c_{2d-1} &= a_{d-1} a'_d + a_d a'_{d-1} \\ c_{2d-2} &= a_{d-2} a'_d + a'_{d-2} a_d + a'_{d-1} a_{d-1} \\ &\vdots \\ c_{2d-k} &= \sum_{i=0}^k a_{d-i} a'_{d-(k-i)} \\ &\vdots \\ c_{d+1} &= \sum_{i=0}^{d-1} a_{d-i} a'_{i+1}. \end{cases}$$

613 We can evaluate the number of potential solutions for  $(a_i)_{i \in \llbracket d..2d \rrbracket}$ : by assuming  
614 that  $c_{2d} \neq 0$ , then the equation  $c_{2d} = a_d a'_d$  admits  $2^m - 1$  solutions. If  $c_{2d} =$   
615  $0$ , then  $a_d a'_d$  admits  $2^m$  solutions. By setting  $a_d \neq 0$  and  $a'_d \neq 0$  we get the  
616 equation  $c_{2d-1} = a_{d-1} a'_d + a_d a'_{d-1}$  admits  $2^m$  solutions. By induction, we get  
617 the same property at any step  $k \leq d$ . Thus totally this system admits at least  
618  $2^{m(d-1)(2^m-1)}$  solutions for  $d$  variables  $a_i$ . This result is obviously worst with less  
619 equations, thus this system of equation does not give information from  $d + 1 - t$   
620 values of  $(a_i)$  solutions.

621 We conclude that the gadget multiplication is  $d - t$  probing secured.

622 *Remark 4.* It seems that our encoding method has similar properties than this  
623 one defined in [GPRV21] then it would be interesting to investigate if the region  
624 probing security still holds here.

## 625 6.4 Fault detection/correction

626 Fault attacks are very efficient in general [JT12]. Some fault attacks, such as  
627 Statistical Ineffective Fault Attacks (SIFA [DEG<sup>+</sup>18], inheriting from the semi-  
628 nal work of [YJ00]) can be applied despite masking against side-channel analysis  
629 and fault detection mechanisms are in place.

630 First of all, we cannot claim that our method is fully resilient against fault  
631 attack because we did not study the impact of generating a fault on the checker  
632 itself (the syndrome calculation), however, we show in this paper that we harden  
633 considerably the resilience against fault injection.

634 We considered two representative fault models, namely one where the attacker  
635 has no control over the fault (random model), and one where the attacker  
636 can inject targeted low weight faults. We recall that, in front of uniformly random  
637 faults, the detection capability is only characterized by the minimal distance.

638 Furthermore, we assume that the attacker has the ability to inject a certain  
639 number of simultaneous faults which is less than the correction capacity of the  
640 considered code, especially the Red-Solomon code involved in the gadget mul-  
641 tiplication. We consider also that all codewords present in the implementation  
642 are corrected/checked. If not, we face an open problem: the impact of the error  
643 propagation in the cipher algorithm design and this is out of the scope of this  
644 paper.

645 We recall that by construction, each masked element belongs to the code  
646  $\text{RS}[n, d + 1, n - d]$ . Intentional or accidental errors can disturb the symmetric  
647 cipher implementation. If an error appears during the first rounds of the consid-  
648 ered cipher, then its propagation shall affect dramatically the rest of calculation,  
649 making the final result wrong and non-correctible due to the excessive number of  
650 errors. It can then give information that may compromise the key. Such scenarios  
651 appear for example in case of radiation or in case of intentional fault attacks.  
652 We are also aware that such channel perturbation can lead to the presence of  
653 erasures, which means that information simply disappears. As we consider the  
654 problem of decoding Reed-Solomon codes, erasures can simply be considered  
655 as errors. Hence, a decoding algorithm that works for Reed-Solomon codes can  
656 correct erasures. Of course it is essential that our counter-measure against FIA  
657 does not weaken the counter-measure against SCA, hence we propose to show in  
658 the next subsections that our error detection based on the syndrome decoding  
659 is secured and efficient.

660 We recall that we have:

$$\begin{aligned} \text{mask}(\vec{x} * \vec{x}') &= \text{mask}(\vec{x}) * \text{mask}(\vec{x}') \\ &+ \text{DFT}_\omega(c_{d+1}X^{d+1} + \dots + c_{2d}X^{2d}) \\ &+ \text{DFT}_\omega(c_{d+1}X + \dots + c_{2d-t+1}X^{d-t+1}) * \vec{U} \\ &+ \sum_{i=1}^{t-1} c_{2d-t+1+i} \cdot G_i \end{aligned}$$

where  $(c_d, \dots, c_{2d}) = \text{ExtractLastCoefficients}(\text{mask}(\vec{x}) * \text{mask}(\vec{x}'))$ , with  $\vec{U}_k$   
and  $G_i$  that are precomputed and we have denoted

$$\text{mask}(\vec{x} * \vec{x}') = \text{mask}(\vec{x}) * \text{mask}(\vec{x}') + \phi(C, \omega).$$

661 Obviously, introducing errors in the gadget multiplication may be a problem  
662 for the following reason:  $\text{mask}(\vec{x}) * \text{mask}(\vec{x}')$  equals  $\text{DFT}_\omega(C(X))$  where  $C$  is a  
663 degree  $2d$  polynomial thus faults on the vector  $\text{DFT}_\omega(C(X))$  cannot be detected  
664 in the  $\text{RS}[2d + 1, 2d + 1]$  code. However, we remark that the first  $d$  coefficients of  
665 the polynomials involved in  $\text{DFT}_\omega(c_{d+1}X^{d+1} + \dots + c_{2d}X^{2d}) + \text{DFT}_\omega(c_{d+1}X +$   
666  $\dots + c_{2d-t+1}X^{d-t+1}) * \vec{U} + \sum_{i=1}^{t-1} c_{2d-t+1+i} \cdot G_i$  are null by construction. We deduce  
667 that injecting a fault inside these vectors can be detected simply by a syndrome  
668 calculation (IDFT). An error may be injected in the coefficient  $c_{d+1}, \dots, c_{2d}$ , but  
669 in this case the resulting vector  $\text{mask}(\vec{x} * \vec{x}')$  does not belong to the  $\text{RS}[2d + 1, d]$   
670 code and the error will be detected. An attacker may inject simultaneously errors  
671 in both vectors, but in this case we are no longer in the random injection model  
672 and we face an open problem out of scope of this paper.

673 Finally this leads us to propose below some improvement.

## 674 6.5 Detecting faults in the gadget

675 We propose in this case to slightly modify the parameters of our encoder  $x \mapsto$   
676  $(\vec{x}, \vec{r}) \mapsto A^{-1}R$  with  $x \in \mathbb{F}_q^t$  and  $\vec{r} \in \mathbb{F}_q^{d+1-t}$ . We propose to consider some  
677  $\vec{r}' \in \mathbb{F}_q^{d+1-t-h}$  with  $h < d+1-t$ . Hence the resulting polynomial has degree  
678  $d-h$  instead of  $d$ . This modification implies that the vector  $\mathbf{mask}(\vec{x}) * \mathbf{mask}(\vec{x}') =$   
679  $DFT_\omega(C(X))$  can be checked:  $C(X)$  has degree  $2d-2h$  in this case and conse-  
680 quently, the vector  $DFT_\omega(C(X))$  belongs to the  $RS[2d+1, 2d-2h+1, 1+2h]$   
681 code of minimal distance  $1+2h$ , thus  $2h$  errors can be detected. We remind  
682 that the error detection on a codeword can be done by computing its syndrome,  
683 and computing its syndrome corresponds with our parameters to perform the  
684 IDFT algorithm: the computation of  $IDFT(\mathbf{mask}(\vec{x}) * \mathbf{mask}(\vec{x}'))$  states whether  
685 it corresponds to a degree  $d-h$  polynomial or not.

686 An attacker may inject faults in the vector  $\phi(C, \omega)$ , however, by construction  
687 this vector belongs to  $RS[2d+1, 2d-2h+1, 1+2h]$  because  $\phi(C, \omega) = \mathbf{mask}(\vec{x}) * \mathbf{mask}(\vec{x}') + \mathbf{mask}(\vec{x} * \vec{x}')$  and for this error correcting code, up to  $2h$  errors can  
688 be detected.  
689

690 Regarding the consequences for the SCA security, the probing order is clearly  
691 modified because the dimension of  $\vec{r}'$  is less than in the original encoder. By  
692 analysing carefully the proof of probing order, we observe that this modification  
693 does not modify the proof, only the security order is modified, passing from  $d-t$   
694 order to  $d-t-h$  order. We can now summarize in the following algorithm the  
695 step of detection inside the gadget multiplication:

---

**Algorithm 6:** severalByteProduct with detection Complexity:

$n(3+t+4\log(n))$

---

**Input:** two vectors  $\vec{z} = \mathbf{mask}(\vec{x}) \in \mathbb{F}_q^n$  and  $\vec{z}' = \mathbf{mask}(\vec{x}') \in \mathbb{F}_q^n$   
**Output:**  $\mathbf{mask}(\vec{x} * \vec{x}') \in \mathbb{F}_q^n$

- 1  $\vec{y} \in \mathbb{F}_q^n$
- 2 **for**  $i \in \{0, \dots, n-1\}$  **do**
- 3      $y_i \leftarrow z_i z'_i$
- 4  $\vec{c}'' = \mathbf{ExtractLastCoefficients}(\vec{y}) = (c_{d+h}, \dots, c_{2d}, c_0, \dots, c_{d+h-1})$
- 5 **Check that**  $(c_{2d-2h+1}, \dots, c_{2d})$  equals the null vector
- 6 **If not, launch a security procedure**
- 7 **Else**
- 8 **Compute**  $\vec{y} + \phi(c_{2d-2h+1}, \dots, c_{2d}, \omega)$
- 9 **Check that**  $\mathbf{degree}(IDFT(\vec{y} + \phi(c_{2d-2h+1}, \dots, c_{2d}, \omega))) \leq d-h$
- 10 **If not, launch a security procedure**
- 11 **Else**
- 12 **return refresh**  $(\vec{y} + \phi(c_{2d-2h+1}, \dots, c_{2d}, \omega))$

---

696 **About syndrome computation leakage** It is essential that our counter-  
 697 measure against FIA does not weaken the counter-measure against SCA, thus we  
 698 propose to show in this section that syndrome decoding cannot leak information.

699 Namely, we consider the possibility of either detecting or even correcting er-  
 700 rors and erasures anywhere in the calculation process where codewords are avail-  
 701 able. In general, decoding errors leads to unmasking the sensitive information,  
 702 which is of course not desired between the first and last round of the algorithm  
 703 that we must protect. For example, Sudan [GS99] and Berlekamp-Welch [RR86]  
 704 algorithms return directly the sensitive information, while syndrome decoding  
 705 does not.

706 Decoding generalized Reed-Solomon codes is classic, but we are particularly  
 707 interested in syndrome decoding which does not reveal any sensitive informa-  
 708 tion. The algorithm [Sha07,McE77,KB10] that uses the Euclidean algorithm is a  
 709 syndrome decoding algorithm. It consists in building the polynomials that cor-  
 710 respond to the error evaluator and error locator as explained in Theorem 4.3  
 711 of [Sha07] and also, as explained at the beginning of the current section 5.2.  
 712 Hence, this algorithm returns the vector corresponding to the error, that allows  
 713 to return the corrected codeword belonging to the Reed-Solomon code. Never  
 714 the sensitive information has been exposed during the process of decoding be-  
 715 cause the first step consists in cancelling the codeword coming from the encoded  
 716 information in order to construct the error as we will show later in this section.

In the previous subsection regarding the encoding procedure, we have seen  
 that masking a vector  $\vec{x}$  consists in performing

$$\mathbf{mask}(\vec{x}) = (\vec{x}, \vec{r}) \times A^{-1} \times R.$$

Hence  $\vec{z} = \mathbf{mask}(\vec{x})$  is simply a codeword belonging to the  $RS(n, d+1, d+1)$  code.  
 If we denote by  $V$  the parity check matrix of  $R$ , we have by construction  $R \times V = 0$   
 and in particular  $\mathbf{mask}(\vec{x}) \times V = 0$ . Thus, by a simple syndrome calculation,  
 if we suppose  $\vec{z}$  was modified by a fault injection attack or a radiation, then we  
 get  $\vec{z}' = \vec{z} + \vec{e}$ , and we have:

$$\vec{e} = \vec{z}' \times V = \vec{z} \times V + \vec{e} \times V = \vec{e} \times V.$$

717 Obviously the syndrome calculation does not bring any information since by  
 718 definition a codeword corresponds to information that has been masked and we  
 719 have assumed that the potential attacker has not more than  $d'$  probes, thus no  
 720 linear transformation can provide any information on the sensitive information.

721 We note however that determining the efficiency of this method when faults  
 722 take place in the decoding algorithm itself remains an open problem. But the  
 723 method is efficient when the fault injections are directed on the masked design  
 724 of the ciphered algorithm. Then each variable being encoded by our generalized  
 725 Reed-Solomon code, we may potentially check all variables (this has of course a  
 726 non negligible cost). The attacker may inject faults on the matrices  $G$  and  $H$  to  
 727 disturb the multiplication; then either the number of constructed errors is too  
 728 large and the algorithm cannot correct it, but it simply detects and alerts (to

729 enable key zeroization for instance), or the number of errors is reasonable and  
 730 the error correction algorithm can correct the disturbed multiplication.

731 Eventually, it is up to the security policy to consider the best strategy be-  
 732 tween detecting and launching a countermeasure or correcting.

### 733 6.6 Comparison with [BEF+23]

734 Recently, the authors of [BEF+23] proposed a similar solution based on poly-  
 735 nomial encoding. Their solution gives a strong resilience against SCA and si-  
 736 multaneously protects against a huge number of fault injections. We propose to  
 737 compare the solutions here. We note that our solution works for a fixed length  
 738  $n$  (number of shares) which is given by the possibility of implementing a DFT  
 739 instead of multiplying by a Vandermonde matrix whereas their solution has a  
 740 free length (number of shares) depending on the number of detected errors  $e$ :  
 741 either  $n = 2d + e + 1$  in a first version (SotA) or  $n = d + e + 1$  for the improved  
 742 version (laOla). In order to make easier the comparison, we describe our perfor-  
 743 mances with a Vandermonde matrix instead of a DFT and finally, we describe  
 744 our performances with a trick used for laOla [BEF+23].

**Table 4.** Comparison between [BEF+23] and our work.

Algorithm	SotA [BEF+23]	This work (genuine, i.e., with DFT)	This work (with Van- dermonde matrix)	This work (with DFT and the trick of [BEF+23])	laOla [BEF+23]
Nb of shares	$2d + e + 1$	$2d + 1$	$2d + e + 1$	$2d + 1$	$d + e + 1$
Cost amort.	No (1)	Yes ( $t$ )	Yes ( $t$ )	Yes ( $t$ )	No (1)
Security order	$d$	$d + 1 - t - e/2$	$d + 1 - t$	$d + 1 - t$	$d$
Detected er- rors	$e$	$e$	$e$	$d$	$e$
Amount of randomness in secure multiplica- tion	$d^2$	$d + 1 - t$	$d + 1 - t$	$d + 1 - t$	$d^2$
Multiplication gadget com- plexity	$2d^2 + d(e + 1)$	$(2d + 1)(3 + t + 4 \log(2d + 1))$	$2d(d + e + 1)$	$3(2d + 1)(3 + t + 4 \log(2d + 1))$	$3d^2 + 2d(e + 1)$
Error detec- tion (and cor- rection) com- plexity	$\mathcal{O}(d^2)$	$(2d + 1) \log(2d + 1)$	$2d(d + e + 1)$	$(2d + 1) \log(2d + 1)$	$\mathcal{O}(d^2)$

745 Table 4 compiles performance figures and/or complexities of [BEF+23] and  
746 our work. This table shows that our scheme is faster, owing to the quasi-linearity  
747 complexity of our multiplicative gadget. The difference of complexity also holds  
748 for the error detection (and correction) capability, namely quasi-linear in our  
749 case *versus* quadratic for [BEF+23]. Moreover, our scheme supports cost amort-  
750 ization, which allows for further speed-up and huge memory saving. Namely, we  
751 can process  $t$  sensitive elements altogether whereas [BEF+23] requires to repeat  
752  $t$  times the computation.

753 The only advantage we see for [BEF+23] scheme stems from its flexibility.  
754 The fault detection capability can be fine-tuned leveraging the parameter  $e$ .

755 Nonetheless, we attempted to compare our work with [BEF+23] in the con-  
756 text of parametric fault detection capability. In this respect, we had to inten-  
757 tionally degrade our scheme to turn the (quasi-linear) DFT into a (quadratic)  
758 multiplication by a Vandermonde matrix. Indeed, DFT is rigid (of fixed size)  
759 whereas matrix multiplication is naturally scalable. Despite this handicap, one  
760 can notice that our performance are similar (of same quadratic complexity) to  
761 that of SotA. Also the error detection (or correction) capability is the same in  
762 those conditions. Remarkably, our scheme with “inefficient” Fourier transform  
763 still enjoys the advantage to allow for cost amortization.

We note that the authors of [BEF+23] use an extra trick to reduce the degree  
of the polynomials while  $t < d/2$ : indeed, we can set:

$$\begin{aligned} P_x(X) &= \text{IDFT}_\omega(\text{mask}(\vec{x})) \\ &= P_0(X) + X^{d/2}P_1(X), \end{aligned}$$

and

$$\begin{aligned} P_{x'}(X) &= \text{IDFT}_\omega(\text{mask}(\vec{x}')) \\ &= P'_0(X) + X^{d/2}P'_1(X). \end{aligned}$$

The  $P_i$  and  $P'_i$  can be computed because we have proven in section 6 that the  
encoder  $x \mapsto (x, r)A^{-1}$  is  $d + 1 - t$  probing secure. We have:

$$P_{x'}(X)P_x(X) = P_0(X)P'_0(X) + X^{d/2}(P'_0(X)P_1(X) + P_0(X)P'_1(X)) + X^dP_1(X)P'_1(X),$$

764 with:

$$\begin{aligned} T(X) &= P'_0(X)P_1(X) + P_0(X)P'_1(X) \\ &= T_0(X) + X^{d/2}T_1(X). \end{aligned}$$

Then we observe that  $d$  errors can be detected on the vectors:

$$\begin{aligned} \vec{C}_0 &= \text{DFT}_\omega(P_0(X)P'_0(X)), \\ \vec{C}_1 &= \text{DFT}_\omega(X^{d/2}T_0(X)), \\ \vec{C}_2 &= \text{DFT}_\omega(X^d T_1(X)), \text{ and} \\ \vec{C}_3 &= \text{DFT}_\omega(X^d P_1(X)P'_1(X)), \end{aligned}$$

765 just by remarking that at least  $d$  identified coefficients must be zero for each cor-  
766 responding polynomial, which enables error detection by syndrom computation.



767 Finally we underline that our cost amortization capability can be applied for  
768 each vectors  $\vec{l}_i$ ,  $i \in \{0, 1, 2, 3\}$  in order to get 4 degree  $d$  polynomials  $D_0$ ,  $D_1$ ,  
769  $D_2$  and  $D_3$  that satisfy  $D = D_0 + D_1 + D_2 + D_3$ . Hence we avoid the degree  $2d$   
770 polynomial in  $C(X)$  and consequently,  $d$  errors can be detected.

771 Interestingly, this trick is compliant with our scheme. Thus, our work is also  
772 empowered to detect  $d$  faults, anywhere in any gadget, where  $2d + 1$  is the di-  
773 mension of the codes. This is reflected in the last-but-one column of Table 4.  
774 Our value of the security order benefits from Corollary 1 (i.e., it attains its max-  
775 imum value  $d + 1 - t$ ), thereby equating the probing security order of [BEF<sup>+</sup>23]  
776 schemes (SotA and laOla).

## 777 7 Software implementation

778 The implementation of a masked AES-128 allowed us to accurately measure  
779 the gain in time and memory space that can be obtained with parallel masking  
780 (that is,  $t > 1$ ). Indeed, as we can see in Fig. 1, the computation time decreases  
781 linearly according to the size of the sensitive data ( $t$ ), consistently across values  $d$   
782 (masking order). We can also witness the quasi-linearity of the computation time  
783 (this quasi-affine function depending on the value of  $d$ ), and the non-linearity  
784 (namely, the “quadricity”) of RP masking [RP10]:

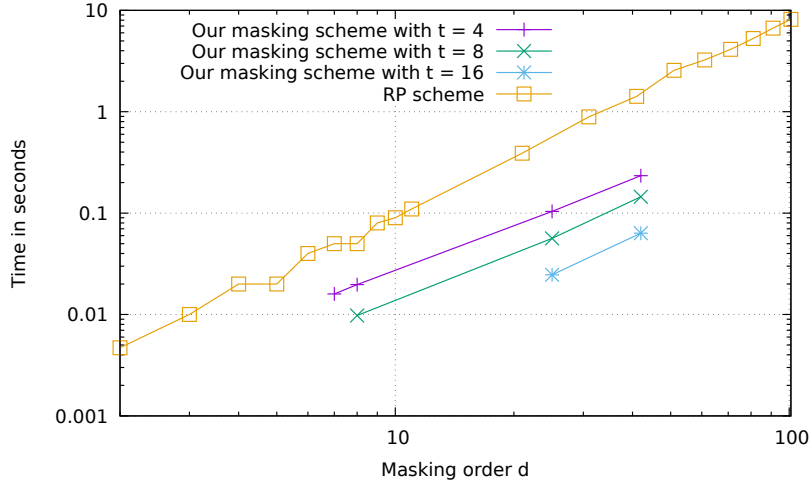
- 785 – the RP masking (in log-log scale) computation time curve grows by *two*
- 786 – decades when  $d$  grows by *one* decade,
- 787 – whereas for our scheme, the slope is less than two (and the value also is less).

788 The need for randomness is represented in Fig. 2, and same observations can  
789 be done. All values of  $d$  are represented for which there exists a DFT (namely  
790  $d \in \{1, 2, 7, 8, 25, 42\}$ ), under the condition  $d > t$ .

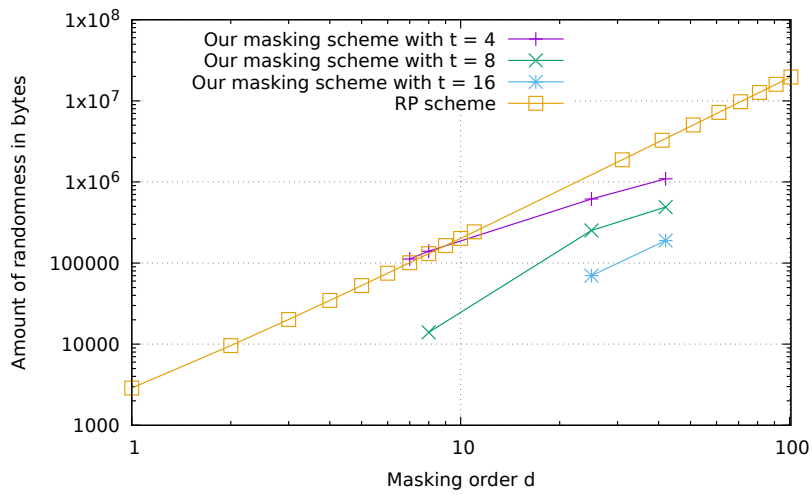
791 We had to represent speed and randomness for large values of  $d$  not be-  
792 cause practical applications requires very high masking order, but to show the  
793 asymptotic complexity.

794 We used the C code from Jean-Sébastien Coron’s `github` project [Cor] to  
795 implement RP. But we replaced the optimized log-table based multiplication  
796 by a constant-time one. Namely, hardcoded tables `sq`, `taffine`, `tsmult` in file  
797 “`aes_rp.c`” have been replaced by their algorithmic counterparts. The rationale  
798 is that masking is pointless if applied on a non-constant time implementation,  
799 because timing leakage is exploitable at 1st order [BGV21]. Obviously, we have  
800 adopted the same constant-time implementation to our schemes, hence the com-  
801 parison is fair. Such implementation of field multiplication is used alike in both  
802 schemes (RP and ours).

803 These statistics concern the calculation of 50 times an AES-128 encryption,  
804 implemented with C, compiled with `gcc`, with a refresh after each multiplication  
805 (SMult) or exponentiation, and executed on an Intel(R) Core(TM) i7-8550U,  
806 CPU 1.80 GHz processor, 16 GB of RAM, with different configurations of our  
807 scheme compared to Rivain and Prouff (RP) scheme [RP10].



**Fig. 1.** Computation time for 50 times AES calculation, with pre-calculated multiplication.



**Fig. 2.** The amount of randomness generated in terms of bytes

808 Masking with cost amortization also reduces memory usage. Indeed, with  
809  $t = 16$ , the total cost to mask a block of 16 bytes is  $n$  instead of  $16n$ . In general,  
810 the size of a masked word for AES is  $16n/t$ .

## 811 8 Limitations and Future Work

812 *Side-channel security order.* One drawback of our masking scheme is that the  
813 order of masking cannot be freely chosen. Namely  $n$  shall divide  $q - 1$  (recall  
814 Sec. 2.1) and the choice of  $n$  is further limited by Eqn. (1) (which precludes in  
815 particular that  $n = q - 1$ ). For instance, for the cases of:

- 816 – AES ( $q = 256$ ), the values of  $n$  are  $\{3, 5, 15, 17, 51, 85\}$ , i.e.  $d \in \{1, 2, 7, 8, 25, 42\}$   
817 (recall  $n = 2d + 1$ );
- 818 – Crystals Kyber ( $q = 3329$ ), the values of  $n$  are  $\{2^i, 2 \leq i \leq 8\} \cup \{13 \cdot 2^i, 0 \leq$   
819  $i \leq 7\}$ , i.e.  $d \in \{2, 4, 12, 16, 32, 64, 128, 6, 13, 26, 52, 104, 208, 416, 832\}$  (note  
820 that  $n = 2d + 1$  if  $n$  is odd but  $n = 2d$  if  $n$  is even).

## 821 9 Conclusions and perspectives

822 Code-based masking (CBM) can implement arbitrary computations based on  
823 additions and multiplications, whilst ensuring arbitrary chosen side-channel se-  
824 curity order. Besides, in terms of complexity, it has already been shown that  
825 those operations can be carried out in quasi-linear time.

826 In this article, we show for the first time that such properties can be extended  
827 to the case of multiple bytes concomitantly masking (construction known as  
828 cost amortization). We also show how such masking is compatible with error  
829 detection and/or correction, that can be nested within the code-based masking  
830 representation.

831 Furthermore, we detail the computation of the required Discrete Fourier  
832 Transform (DFT) involved in these operations. We show how it can be im-  
833 plemented efficiently for some specific DFT algorithms, which have a small  
834 implementation-level complexity.

835 We show actual implementation complexity results in software and detail our  
836 gain in terms of performance.

837 As a perspective, we intend to show results in hardware and show the gain  
838 of our masking in terms of gate size and power consumption as well.

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844 Strategy “Develop the post-quantum cryptographical offering” and the National  
845 Cyber Strategy “Development of innovative and critical cyber technologies”).

846 **A Case of the Galois field  $\mathbb{F}_{2^8}$**

847 The symmetric encryption algorithm AES is a byte-oriented block cipher. It design  
 848 leverages the irreducible polynomial  $X^8+X^4+X^3+X+1$ . The Sbox is based  
 849 on the inverse function defined over the finite field  $\mathbb{F}_{2^8} = \frac{\mathbb{F}_2[X]}{(X^8+X^4+X^3+X+1)}$ . The  
 850 canonical basis is given by  $\alpha = \bar{X}$  in  $\mathbb{F}_{2^8}$  and  $1 + \alpha$  is a primitive element of this  
 851 field. Then  $X^{256} - X = X(X^{255} - 1)$  and  $255 = 3 \times 5 \times 17$ . We can consider DFT  
 852 with  $n = 3, 5, 15, 17, 51, 85$ . The case  $n = 3$  has been described in a previous  
 853 section.

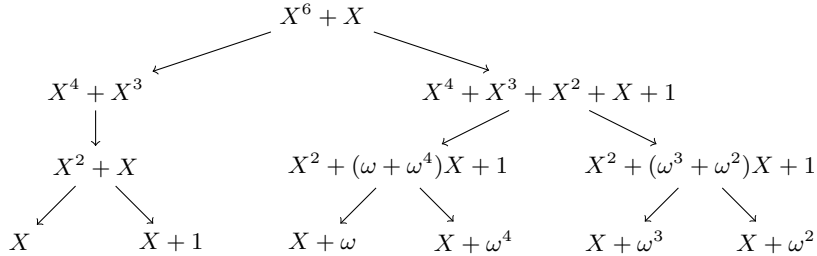
854 We note that we have not a large choice for  $n$  if we keep this method. We will  
 855 see in the next section that we can construct a DFT and its associate inverse by  
 856 observing the different trees. The **SAGE** code and the executable source code in  
 857 C language of our implementations are provided in a GitHub: [https://github.com/daif-abde/FFT\\_masking.git](https://github.com/daif-abde/FFT_masking.git).  
 858

859 **A.1 AES example with  $d = 2$**

The case  $n = 2d + 1 = 5$  corresponds to  $d + 1 - t = 3 - t$  order masking. The  
 case  $n = 5$  is not a power of two but we can propose a decomposition that leads  
 to very low complexity and we consider  $\omega = (1 + \alpha)^{\frac{255}{5}} = (1 + \alpha)^{51}$ , then

$$X^6 - X = X(X-1)(1+X+X^2+X^3+X^4) = X(X-1)(X-\omega)(X-\omega^2)(X-\omega^3)(X-\omega^4).$$

860 Hence, we can propose the polynomial decomposition tree displayed in Fig. 3.



**Fig. 3.** Polynomial decomposition tree for  $X^6 + X$  on  $\mathbb{F}_{256}$ .

We propose to evaluate precisely here the complexity of the  $\vec{r}''$  calculation  
 with

$$\vec{r}'' = \left( \text{IDFT}(\vec{\mu}, 0, \dots, 0) + \vec{\theta} + \vec{w} * \text{IDFT}(\vec{\lambda}, 0, \dots, 0) \right).$$

861 Hence this computation leads to consider a maximum degree 3 polynomial  $P(X)$   
 862 that we have to evaluate over  $\{1, \omega, \dots, \omega^4\}$ .

863 The Euclidean division of  $P(X)$  by  $X^2 + X$  costs 2 additions over  $\mathbb{F}_{2^8}$ . The  
 864 Euclidean division of  $P(X)$  by  $X^2 + (\omega + \omega^4)X + 1$  costs 2 multiplications and 4

865 additions over  $\mathbb{F}_{2^8}$ . We obviously get the same number for  $X^2 + (\omega + \omega^4)X + 1$ .  
 866 The last step consists in performing the Euclidean division by all monomials  
 867 except  $X$  which costs: 5 additions and 4 multiplications. Hence totally the DFT  
 868 cost 6 multiplications and 9 additions. For comparison,  $11 > 6 \ln(6) > 10$  and  
 869  $20 > 6 \ln^2(6) > 19$ .

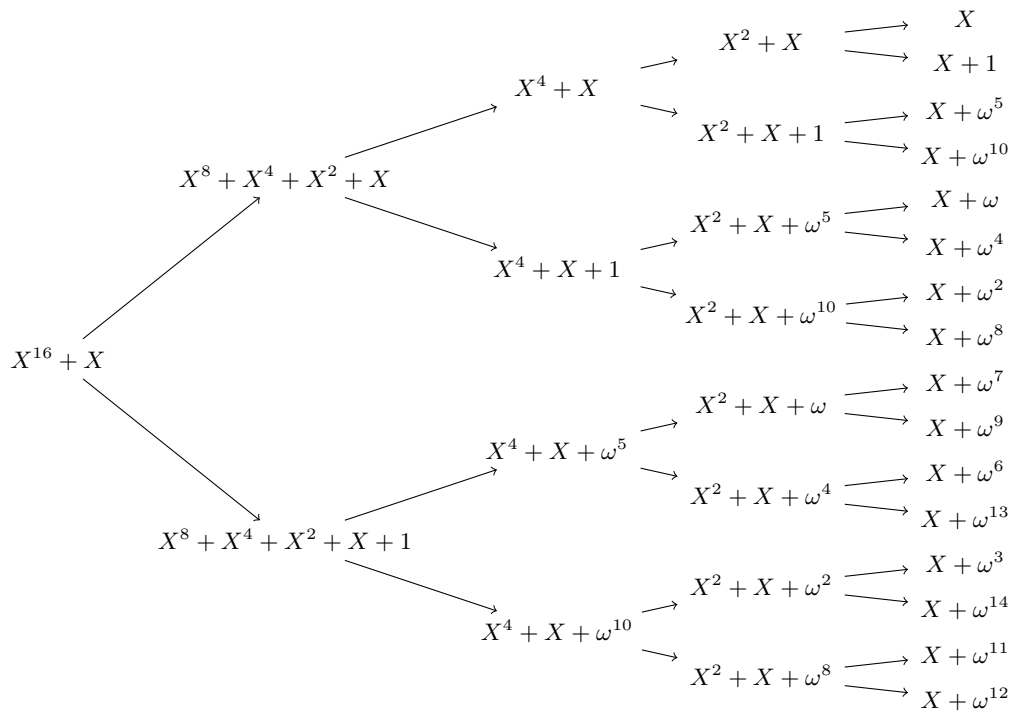
## 870 A.2 AES example with $d = 7$

The case  $n = 2d + 1 = 15$  corresponds to  $d + 1 - t = 8 - t$ -order masking maximum. The case  $n = 15$  corresponds to a power of two and we can propose a decomposition that lead to very fast complexity. Let  $\omega = (1 + \alpha)^{\frac{255}{15}} = (1 + \alpha)^{17} = 1 + \alpha^5 + \alpha^6 + \alpha^7$ . Then we get:

$$\begin{aligned} 1 + \omega^2 &= \omega^8; \\ 1 + \omega &= \omega^4; \\ 1 + \omega^7 &= \omega^9; \\ 1 + \omega^3 &= \omega^{14}; \\ 1 + \omega^5 &= \omega^{10}; \\ 1 + \omega^{11} &= \omega^{12}; \end{aligned}$$

871 thus, according to [WZ88], we get the following decomposition tree depicted in  
 872 Fig. 4. This tree is rotated so that it fits in the page limits.

873 Regarding AES, block size is 16 bytes then we can apply three Fourier trans-  
 874 forms over respectively 5 bytes, 6 bytes and 5 bytes. It means that we encode  
 875 polynomials of degree at most 7. Hence in the diagram, we start from evaluating  
 876 the division by a degree 4 polynomials.



**Fig. 4.** Polynomial decomposition tree for  $X^{16} + X$  on  $\mathbb{F}_{256}$ .

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