Lattice-Based Succinct Arguments for NP with Polylogarithmic-Time Verification

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Abstract. Succinct arguments that rely on the Merkle-tree paradigm introduced by Kilian (STOC 92) suffer from larger proof sizes in practice due to the use of generic cryptographic primitives. In contrast, succinct arguments with the smallest proof sizes in practice exploit homomorphic commitments. However these latter are quantum insecure, unlike succinct arguments based on the Merkle-tree paradigm.
A recent line of works seeks to address this limitation, by constructing quantum-safe succinct arguments that exploit lattice-based commitments. The eventual goal is smaller proof sizes than those achieved via the Merkle-tree paradigm. Alas, known constructions lack succinct verification.
In this paper, we construct the first interactive argument system for NP with succinct verification that, departing from the Merkle-tree paradigm, exploits the homomorphic properties of lattice-based commitments. For an arithmetic circuit with N gates, our construction achieves verification time $\mathsf{polylog}(N)$ based on the hardness of the Ring Short-Integer-Solution (RSIS) problem.
The core technique in our construction is a delegation protocol built from commitment schemes based on leveled bilinear modules, a new notion that we deem of independent interest. We show that leveled bilinear modules can be realized from pre-quantum and from post-quantum cryptographic assumptions.

Keywords: succinct arguments; lattices; short-integer-solution problem

1 Introduction

Succinct arguments enable an untrusted prover to convince a skeptical verifier that a given computation is correctly executed, while incurring communication complexity, and sometimes also verification time, that is much smaller than the computation size. Succinct arguments were first constructed by Kilian in [47], and since then much research has been devoted to improving their efficiency and security. Kilian shows how to compile a PCP into a succinct argument by using a Merkle tree, given any collision-resistant hash function. This "Merkle-tree paradigm" can also be used to construct succinct arguments from IOPs [10, 62], which are more efficient generalizations of PCPs (and, in particular, are used in practice).

In anticipation of the threat of quantum computers, cryptographers have started investigating quantum-safe constructions of succinct arguments. Kilian's construction is such a construction: recent work [30] establishes that Kilian's interactive argument is quantum-safe if the used hash function is quantum-safe.

Split-and-fold techniques in the pre-quantum setting: a success story. Departing from the Merkle-tree paradigm, an approach based on *split-and-fold techniques* [25, 27, 48, 26, 50] has led to succinct arguments that are remarkably efficient and successful in practice. Even though asymptotically these constructions have similar proof sizes to constructions based on Merkle trees, in practice, they obtain smaller proofs by exploiting the algebraic structure of homomorphic commitment schemes.

This approach has several advantages over Merkle-tree constructions beyond smaller communication complexity. For example, the sumcheck protocol [52] underlies split-and-fold techniques [22], which facilitates space-efficient constructions [16, 17]. In contrast, no space-efficient constructions are known for succinct arguments based on Merkle trees.

Unfortunately, the required homomorphic commitment schemes are known *only from pre-quantum cryptography that relies on groups and bilinear groups*.

What happens in the post-quantum setting? The success story of split-and-fold techniques in the pre-quantum setting has motivated a line of work studying similar approaches in the post-quantum setting using lattices [24, 22, 7, 5]. The eventual goal is to achieve succinct arguments from lattice-based split-and-fold techniques that have better efficiency compared to their Merkle-tree-based counterparts (and possibly have other benefits such as space efficiency). In the meantime, the cited works have laid initial foundations for such succinct arguments, but more work is needed to achieve this goal.

The inspiration comes from quantum-safe constructions of signature schemes, where using the algebraic structure of lattices eventually led to shorter signatures compared to using hash functions. For instance, among the standardization candidates in the NIST Post-Quantum Competition [57], lattice-based signature schemes such as Falcon [1] and Dilithium [2] offer shorter signatures compared to hash-based signatures such as SPHINCS+ [3] and Picnic [4].

Succinct verification. The above lattice-based succinct arguments lack succinct verification (the time complexity of the verifier is at least the time of the proved computation). This is in contrast to constructions based on Merkle trees (and some pre-quantum constructions based on split-and-fold techniques [26, 50]), which offer succinct verification. This leads to the main question motivating our work:

How to construct interactive arguments with succinct verification from split-and-fold techniques based on lattices?

1.1 Our results

We answer this question in the affirmative, achieving succinct verification for R1CS, a popular circuit-like NP problem, in the *preprocessing setting*.

Definition 1 (informal)**.** *The R1CS problem over a ring $R_\bullet$ asks: given coefficient matrices $A, B, C \in R_\bullet^{N \times N}$ each containing at most $M = \Omega(N)$ non-zero entries,*

and an instance vector $\underline{x}$ over $R_\bullet$, is there a witness vector $\underline{w}$ over $R_\bullet$ such that $\underline{z} := (\underline{x}, \underline{w}) \in R_\bullet^N$ and $A\underline{z} \circ B\underline{z} = C\underline{z}$? (Here "$\circ$" is the entry-wise product.)

In the preprocessing setting, an *indexer* algorithm performs a public computation that depends on the coefficient matrices A, B and C (the "circuit description"), leading to a long proving key and a short verification key. Thereafter, anyone can use the proving/verification key to prove/verify statements for the preprocessed coefficient matrices. The argument verifier may achieve succinct verification because it only needs the verification key and the instance vector $\underline{x}$, with no need to read the (much larger) coefficient matrices. (Non-uniform computations require some form of preprocessing to enable succinct verification.)

We construct a succinct interactive argument with preprocessing for the R1CS problem over rings.

Theorem 1 (informal)**.** *Let $R := \mathbb{Z}[X]/\langle \Phi_d(X) \rangle$ where Φ_d is the d-th cyclotomic polynomial and d is a prime power. Let p, q be primes such that $p \ll q$. If the SIS problem is hard over R/qR then there is a preprocessing interactive argument of knowledge (with a transparent setup algorithm) for R1CS over $R_\bullet := R/pR$ with the following efficiency:*

- *round complexity $O(\log^2(M+N))$;*
- *communication complexity $O(\log^2(M+N))$ elements of R/qR;*
- *indexer complexity $O(M+N)$ operations in R/qR;*
- *prover complexity $O(M+N)$ operations in R/qR;*
- *verifier complexity $O(\log^2(M+N))$ operations in R/qR.*

In fact, we construct a preprocessing succinct interactive argument for R1CS based on *leveled bilinear modules*, a new abstraction with multiple instantiations that we deem of independent interest. Theorem 1 follows by instantiating this abstraction using lattices, as we now outline.

An (unleveled) bilinear module [22] consists of modules $M_{\mathrm{L}}, M_{\mathrm{R}}, M_{\mathrm{T}}$ over a ring R with an R-bilinear map $e\colon M_{\mathrm{L}} \times M_{\mathrm{R}} \to M_{\mathrm{T}}$. Example instantiations include the following.

- **Bilinear groups:** $(R, M_{\mathrm{L}}, M_{\mathrm{R}}, M_{\mathrm{T}}, e) = (\mathbb{F}_p, \mathbb{G}_0, \mathbb{G}_1, \mathbb{G}_{\mathrm{T}}, e)$, where $|\mathbb{G}_0| = |\mathbb{G}_1| = |\mathbb{G}_{\mathrm{T}}| = p$ and $e\colon \mathbb{G}_0 \times \mathbb{G}_1 \to \mathbb{G}_{\mathrm{T}}$ is a bilinear (pairing) map.
- **Lattices:** $(R, M_{\mathrm{L}}, M_{\mathrm{R}}, M_{\mathrm{T}}, e) = (R, R, R/q, R/q, e)$, where $R = \mathbb{Z}[X]/\langle \Phi_d(X) \rangle$, q is a large prime, and $e\colon R \times R/q \to R/q$ computes multiplication of ring elements modulo q.

Prior work [22] constructs commitment schemes based on bilinear modules, with messages defined over M_{L}, keys defined over M_{R}, and commitments defined over M_{T}, and gives interactive arguments of knowledge of commitment openings based on the sumcheck protocol. These arguments have linear verification costs in the length of the commitment key, which is the best one can hope for because they are not preprocessing arguments (and so the verifier must receive the long commitment key as input).

In a *leveled* bilinear module, which we introduce, the key space is associated with the message space of another bilinear module.

Definition 2 (informal)**.** *A K-**level bilinear-module system** is a collection of K bilinear modules*

$$\{(R, M_{\mathrm{L},i}, M_{\mathrm{R},i}, M_{\mathrm{T},i}, e_i)\}_{i\in[K]}$$

with the same ring R such that $M_{\mathrm{R},i}$ can be "embedded" inside $M_{\mathrm{L},i+1}$ while preserving arithmetic operations (possibly up to some correction factors).

Example instantiations of leveled bilinear modules include the following.

- **Bilinear groups:** $(R, M_{\mathrm{L},i}, M_{\mathrm{R},i}, M_{\mathrm{T},i}, e_i) = (\mathbb{F}_p, \mathbb{G}_{i \bmod 2}, \mathbb{G}_{i+1 \bmod 2}, \mathbb{G}_{\mathrm{T}}, e)$, where where $|\mathbb{G}_0| = |\mathbb{G}_1| = |\mathbb{G}_{\mathrm{T}}| = p$ and $e\colon \mathbb{G}_0 \times \mathbb{G}_1 \to \mathbb{G}_{\mathrm{T}}$ is a bilinear (pairing) map.
- **Lattices:** $(R, M_{\mathrm{L},i}, M_{\mathrm{R},i}, M_{\mathrm{T},i}, e_i) = (R, R, R/q, R/q, e)$, where $R := \mathbb{Z}[X]/\langle \Phi_d(X)\rangle$ and $e : R \times R/q \to R/q$ computes multiplication of ring elements modulo q. The "embedding map" computes the bit decomposition of ring elements viewed as vectors modulo q: it maps an element of $M_{\mathrm{R},i} := R/q$ viewed as a vector of polynomial coefficients modulo q to $\log q$ elements in $M_{\mathrm{L},i+1} := R$ with coefficients in $\{0, 1\}$.

We use leveled bilinear modules to construct delegation protocols for evaluating polynomials over $M_{\mathrm{L},1}$, which enables achieving succinct verification for commitment openings. In turn, we obtain succinct verification for R1CS from leveled bilinear modules, from which Theorem 1 follows as a special case.

Theorem 2 (informal)**.** *Let $\underline{\mathcal{M}}$ be a leveled bilinear module with $\ell = O(\log(M + N))$ levels, for which the leveled bilinear relation assumption holds. Suppose that $M_{\mathrm{L},1}$ is a ring and I a suitable ideal of $M_{\mathrm{L},1}$. There is a preprocessing interactive argument of knowledge for R1CS over $R_\bullet := M_{\mathrm{L},1}/I \simeq \mathbb{F}^k$ with the following efficiency:*

- *communication complexity $O(\log^2(M + N))$ elements of $M_{\mathrm{T},\ell}$;*
- *round complexity $O(\log^2(M + N))$;*
- *indexer complexity $O(M + N)$ operations in $M_{\mathrm{T},\ell}$ and applications of e_ℓ;*
- *prover complexity $O(M + N)$ operations in $M_{\mathrm{T},\ell}$ and applications of e_ℓ;*
- *verifier complexity $O(\log^2(M + N))$ operations in $M_{\mathrm{T},\ell}$ and applications of e_ℓ.*

The interactive argument in Theorem 2 relies on the *leveled bilinear relation assumption*. This is a falsifiable assumption on leveled bilinear modules implied by the SXDH assumption in the bilinear group instantiation, and by the SIS assumption in the lattice instantiation. For these instantiations, the interactive argument has a transparent (public-coin) setup algorithm.

1.2 Related work

We summarize work on split-and-fold techniques, lattice-based arguments, and Merkle-tree-based arguments.

Split-and-fold techniques over groups. [25, 27] construct succinct arguments in the discrete logarithm setting, but lack succinct verification. [50] constructs succinct arguments in the bilinear group setting, achieving succinct verification with preprocessing. [26, 17] construct succinct arguments in the unknown-order group setting, achieving succinct verification without preprocessing (they target uniform computations). Drawing

inspiration from [26, 17] and [50], we achieve succinct verification with preprocessing from an abstract algebraic structure (leveled bilinear modules), which in particular specializes to lattices.

Lattice-based interactive arguments. [9] construct a lattice-based zero-knowledge argument for NP with sublinear (specifically, square-root) communication complexity. [24] use split-and-fold techniques to construct an interactive argument of knowledge for commitment openings with polylogarithmic communication complexity; subsequently [5] reduced the slackness of the openings. [7, 22] extend the approach to work for NP statements. [5, 7] also provide complete security proofs for protocols in [24], while [22] shows that split-and-fold techniques are related to the sumcheck protocol [52]. Our starting point is the protocol of [22]: we construct a delegation protocol (itself also related to the sumcheck protocol) for the expensive computation of the verifier in [22]. Finally, [14] uses a more complex recursive approach to achieve logarithmic proof sizes with concrete estimates of communication complexity in the tens of kilobytes for R1CS instances of size 2^{20}. All the aforementioned lattice-based argument systems lack succinct verification.

Many other works aim to provide concretely efficient arguments for NP statements [58] and specialized applications including group/ring signatures and proofs of knowledge for lattice-based commitments [39, 60, 23, 61, 66, 8, 37, 54, 55, 38, 53].

Lattice-based non-interactive arguments. Several works construct succinct non-interactive arguments (SNARGs) based on non-falsifiable assumptions (believed to be necessary [43]) about lattices. [18, 19] construct designated-verifier SNARGs by following a paradigm based on linear PCPs [15]. These works were subsequently optimized [41, 59, 46], and a similar approach was used to obtain public-verifier SNARGs [6]. All of these works rely on a private-coin setup algorithm that samples a structured reference string with a trapdoor. This line of work is not directly comparable to our results (we construct interactive arguments from falsifiable assumptions, and moreover the bilinear group and lattice instantiations of our construction have a public-coin setup algorithm).

Merkle-tree-based interactive arguments. A long line of works [12, 13, 11, 29, 51, 44, 21, 63, 65] constructs preprocessing succinct arguments for general NP statements using the Merkle-tree paradigm. These works offer transparent setup and succinct verification with preprocessing. While some of these proof systems offer benefits such as reduced prover complexity in theory [21, 63] and practice [51, 44, 65], the communication complexity of these arguments is at present larger than split-and-fold-based proof systems built from classical assumptions (e.g., [27]), which offers communication complexity on the order of a few kilobytes.

2 Techniques

We summarize the main ideas behind our results.

2.1 Our approach

A common approach for constructing succinct arguments is to combine two ingredients: (a) a polynomial interactive oracle proof (PIOP); and (b) a suitable polynomial commit-

ment scheme. PIOPs are information-theoretic proof systems, in which the prover sends polynomials in the form of oracle messages to the verifier, who then performs polynomial evaluation queries to these oracles. The polynomial commitment scheme enables the argument prover to commit to these polynomials and subsequently authenticate answers to queries received from the argument verifier.

The succinct argument that we construct follows this common approach, and our contribution is to achieve a suitable realization of each ingredient. To obtain Theorem 2 it suffices to construct, in the preprocessing model, a PIOP for R1CS with succinct verification (an information-theoretic object) and a polynomial commitment scheme with succinct verification from leveled bilinear modules (a cryptographic object). Below we briefly discuss each ingredient, and we elaborate further on them in later sections; note that, for PIOPs, preprocessing is known as *holography*.

(a) Holographic PIOP for R1CS over product rings. We construct a holographic PIOP for R1CS over product rings $R_\bullet \simeq \mathbb{F}^k$, by extending prior constructions over finite fields $\mathbb{F}$. This is useful because cyclotomic rings commonly employed in lattice cryptography can be expressed as product rings using facts from algebraic number theory. See Section 2.6 for more details.

(b) Polynomial commitment scheme from bilinear modules. Prior constructions of polynomial commitment schemes with succinct verifier based on split-and-fold techniques [26, 17, 50] use delegation protocols and/or preprocessing. We similarly construct a delegation protocol with preprocessing, leveraging an algebraic module-theoretic abstraction called "leveled bilinear modules"; these can be obtained from lattices, for example. Drawing inspiration from [50], this abstraction captures the ability to commit to commitment keys. We explain our construction across several subsections.

- In Section 2.2, we review a polynomial commitment scheme whose proofs of polynomial evaluation, which are based on the sumcheck protocol, have linear-time verification.
- In Section 2.3, we describe a delegation protocol over bilinear groups that reduces verification time to polylogarithmic.
- In Section 2.4, we introduce leveled bilinear modules, and instantiate them using bilinear groups or lattice rings.
- In Section 2.5, we extend the delegation protocol to work over leveled bilinear modules.

Combining. In Section 2.7, we obtain our main result by combining the polynomial commitment scheme with succinct verification and the PIOP over rings.

2.2 Polynomial commitments from sumcheck arguments

Sumcheck arguments [22] are a generalization of the sumcheck protocol and of split-and-fold techniques for proving the correct opening of "sumcheck-friendly" commitments. They are used to construct succinct interactive arguments for NP over an abstract algebraic structure, which can be instantiated with lattices. This gives a succinct interactive argument for NP that exploits the structure of lattice-based commitment schemes.

Sumcheck arguments reduce the task of proving knowledge of a commitment opening to the task of evaluating a polynomial whose coefficients are derived from the commitment key. The verifier has access to the commitment key and can perform this evaluation on its own. The commitment key, however, has linear size, leading to linear verification time.

We now describe how to obtain *polynomial commitment schemes* from sumcheck arguments. We restrict our attention to deterministic commitment schemes (without a hiding property) because these suffice for (non-zero-knowledge) interactive arguments. First, we present the necessary background related to the sumcheck protocol. Then, we focus on sumcheck arguments defined over finite fields $\mathbb{F}$ and discrete logarithm groups $\mathbb{G}$ of prime order. Finally, we discuss sumcheck arguments defined over bilinear modules, an abstract mathematical structure that we will use to express pairing and lattice-based commitments.

Sumcheck protocol. The prover wants to convince the verifier that a given ℓ-variate polynomial P sums to τ over the hypercube $\mathcal{H}^\ell$. While the sumcheck protocol [52] was introduced for polynomials over fields, it directly extends to work with polynomials over *modules* as we describe below. The following construction is a reduction from the claim $\sum_{\underline{\omega} \in \mathcal{H}^\ell} P(\underline{\omega}) = \tau$ to a claim of the form $P(\underline{r}) = v$.

Protocol 1: sumcheck protocol

The prover P_{SC} and the verifier V_{SC} receive an instance $\mathbb{x}_{\text{SC}} = (R, M, \mathcal{H}, \ell, \tau, \mathcal{C})$, where

- R is a ring,
- M is a module over R,
- $\mathcal{H}$ is a subset of R,
- ℓ is a number of variables,
- $\tau \in M$ is a claimed sum, and
- $\mathcal{C} \subseteq R$ is a sampling set (more about this below).

The prover P_{SC} additionally receives a polynomial $P \in M[X_1, \ldots, X_\ell]$ such that $\sum_{\underline{\omega} \in \mathcal{H}^\ell} P(\underline{\omega}) = \tau$. The protocol has ℓ rounds; in each round the prover sends a univariate polynomial $Q_i(X_i)$ and the verifier responds with a challenge r_i.

1. For $i = 1, \ldots, \ell$:
   (a) P_{SC} sends to V_{SC} the polynomial

$$Q_i(X_i) := \sum_{\omega_{i+1}, \ldots, \omega_\ell \in \mathcal{H}} P(r_1, \ldots, r_{i-1}, X_i, \omega_{i+1}, \ldots, \omega_\ell) \in M[X_i];$$

   (b) V_{SC} sends to P_{SC} a random challenge $r_i \leftarrow \mathcal{C}$.
2. V_{SC} checks that $\sum_{\omega_1 \in \mathcal{H}} Q_1(\omega_1) = \tau$ and, for $i \in \{2, \ldots, \ell\}$, that $\sum_{\omega_i \in \mathcal{H}} Q_i(\omega_i) = Q_{i-1}(r_{i-1})$.

3. If the checks pass, then V_{SC} sets $v := Q_\ell(r_\ell) \in M$ and outputs the tuple $((r_1, \ldots, r_\ell), v)$.

If $\sum_{\underline{\omega} \in \mathcal{H}^\ell} P(\underline{\omega}) = \tau$, then at the end of Protocol 1, the verifier V_{SC} will always output $((r_1, \ldots, r_\ell), v)$ satisfying $P(r_1, \ldots, r_\ell) = v$. On the other hand, if $\sum_{\underline{\omega} \in \mathcal{H}^\ell} P(\underline{\omega}) \neq \tau$, then for any malicious prover $\tilde{P_{\mathrm{SC}}}$, the verifier's output will only satisfy $P(r_1, \ldots, r_\ell) = v$ with probability at most $\frac{\ell \deg(P)}{|\mathcal{C}|}$. This follows from a strengthening of the analysis of the sumcheck protocol over finite fields, relying on the additional requirement that $\mathcal{C}$ is a "sampling set", which guarantees that non-zero polynomials of a given degree d have at most d roots. The sumcheck protocol over modules is discussed further in [22].

Polynomial commitment scheme. A polynomial commitment scheme enables a prover to commit to a polynomial and later prove that a claimed polynomial evaluation at a given point is correct. For concreteness, we consider multilinear polynomials whose coefficients are defined by a vector of elements as follows.

Definition 1. *We index the entries of a vector $\underline{v}$ of length $n = 2^\ell$ via binary strings $(i_1, \ldots, i_\ell) \in \{0,1\}^\ell$, and define the corresponding multilinear polynomial*

$$p_{\underline{v}}(X_1, \ldots, X_\ell) := \sum_{i_1, \ldots, i_\ell \in \{0,1\}} X_1^{i_1} \cdots X_\ell^{i_\ell} \cdot v_{i_1, \ldots, i_\ell}.$$

We describe a polynomial commitment scheme based on Pedersen commitments for committing to the polynomial $p_{\underline{\mathsf{m}}}(X_1, \ldots, X_{\log n})$, where $\underline{\mathsf{m}} \in \mathbb{F}^n$ and $\mathbb{F}$ is a finite field of prime order p. The commitment is an element of a group $\mathbb{G}$ of order p. In the proof of polynomial evaluation, the prover wishes to convince the verifier of the following $\mathcal{NP}$ statement:

Task 1. *Given a commitment $\mathsf{C} \in \mathbb{G}$, a commitment key $\underline{\mathsf{G}} \in \mathbb{G}^n$, an evaluation point $\underline{z} \in \mathbb{F}^{\log n}$, and a claimed evaluation $u \in \mathbb{F}$, prove knowledge of the polynomial $p_{\underline{\mathsf{m}}}$ (i.e., of the coefficients $\underline{\mathsf{m}} \in \mathbb{F}^n$) such that $p_{\underline{\mathsf{m}}}(\underline{z}) = u$ and $\mathsf{C} = \langle \underline{\mathsf{m}}, \underline{\mathsf{G}} \rangle$.*

Using Definition 1 we define the polynomial $p_{\underline{\mathsf{G}}}(X_1, \ldots, X_{\log n})$. Here, $p_{\underline{\mathsf{G}}}(\underline{X})$ defines a polynomial function $p_{\underline{\mathsf{G}}} \colon \mathbb{F}^{\log n} \to \mathbb{G}$ over $\mathbb{G}$, where addition corresponds to the group operation and multiplication with an element in $\mathbb{F}$ corresponds to scalar multiplication with the same element. Observe that $\sum_{\underline{\omega} \in \{-1,1\}^\ell} p_{\underline{\mathsf{m}}}(\underline{\omega}) p_{\underline{\mathsf{G}}}(\underline{\omega}) = 2^\ell \cdot \mathsf{C}$.

Protocol 2 is a succinct interactive argument for Task 1 based on a sumcheck argument. The only non-succinct verifier operation is colored blue.

Protocol 2: sumcheck argument for polynomial evaluation

For $n = 2^\ell$, the prover and verifier receive as input a commitment key $\underline{\mathsf{G}} \in \mathbb{G}^n$, a commitment $\mathsf{C} \in \mathbb{G}$, an evaluation point $\underline{z} := (z_1, z_2, \ldots, z_\ell) \in \mathbb{F}^\ell$, and a claimed evaluation $u \in \mathbb{F}$. The prover also receives as input an opening $\underline{\mathsf{m}} \in \mathbb{F}^n$ such that $\mathsf{C} = \langle \underline{\mathsf{m}}, \underline{\mathsf{G}} \rangle$.

The prover and verifier engage in a sumcheck protocol for the claim

$$\sum_{\underline{\omega} \in \{-1,1\}^\ell} P'(\underline{\omega}) = 2^\ell \cdot (\mathsf{C}, u) \ ,$$

where $P'(\underline{X}) := (p_{\underline{\mathsf{m}}}(\underline{X}) \cdot p_{\underline{\mathsf{G}}}(\underline{X}), p_{\underline{\mathsf{m}}}(\underline{X}) \cdot p_{\underline{\tilde{z}}}(\underline{X}))$ and $\underline{\tilde{z}} := \bigotimes_{i=1}^{\ell}(1, z_i) = (1, z_1, z_2, z_1 z_2, \ldots, z_1 \cdots z_\ell)$. As defined in Protocol 1, the sumcheck protocol uses the instance

$$\mathbb{x}_{\mathrm{SC}} := (R = \mathbb{F},\ M = \mathbb{G} \times \mathbb{F},\ \mathcal{H} = \{-1, 1\},\ \ell = \log n,\ \tau = 2^\ell \cdot (\mathsf{C}, u),\ \mathcal{C} = \mathbb{F}) \ ,$$

and the prover additionally knows the polynomial $P'(\underline{X}) \in (\mathbb{G} \times \mathbb{F})[\underline{X}]$.

After the end of the sumcheck protocol, if the verifier's checks pass, the prover learns the randomness $\underline{r} \in \mathbb{F}^\ell$ used in the protocol, and the verifier learns $(\underline{r}, v) \in \mathbb{F}^\ell \times \mathbb{F}$. Then, the prover computes and sends $w := p_{\underline{\mathsf{m}}}(\underline{r}) \in \mathbb{F}$; the verifier computes $p_{\underline{\mathsf{G}}}(\underline{r}) \in \mathbb{G}$ and $p_{\underline{\tilde{z}}}(\underline{r}) \in \mathbb{F}$ and checks that $(w \cdot p_{\underline{\mathsf{G}}}(\underline{r}), w \cdot p_{\underline{\tilde{z}}}(\underline{r})) = v$.

The task to delegate. The only expensive operation that the verifier has to compute is the final multilinear polynomial evaluation $p_{\underline{\mathsf{G}}}(\underline{r})$; because $\underline{\tilde{z}} := \bigotimes_{i=1}^{\ell}(1, z_i)$, it holds that $p_{\underline{\tilde{z}}}(\underline{r}) = \prod_{i=1}^{\ell}(1 + r_i z_i)$ which can be evaluated in $O(\ell) = O(\log n)$ operations. Our goal is to reduce the verifier complexity by delegating the polynomial evaluation $p_{\underline{\mathsf{G}}}(\underline{r})$ to the prover. This means that the prover sends $\mathsf{V} \in \mathbb{G}$ and has to prove the following $\mathcal{P}$ statement to the verifier.

Task 2. *Given a commitment key $\underline{\mathsf{G}} \in \mathbb{G}^n$, an evaluation point $\underline{r} \in \mathbb{F}^{\log n}$, and a claimed evaluation $\mathsf{V} \in \mathbb{G}$, prove that $p_{\underline{\mathsf{G}}}(\underline{r}) = \mathsf{V}$.*

It is not known how to delegate this task over finite fields $\mathbb{F}$ and discrete logarithm groups $\mathbb{G}$ of prime order. However, we will show a delegation protocol for bilinear groups and lattices. First, we define bilinear modules, an algebraic abstraction that allows us to instantiate Protocol 2 in these settings.

Generalization to bilinear modules. We need the commitment scheme and sumcheck argument above to work over more general algebraic structures, specifically over bilinear modules. A bilinear module $\mathsf{BM} = (R, M_{\mathrm{L}}, M_{\mathrm{R}}, M_{\mathrm{T}}, e)$ consists of a ring R, three R-modules $M_{\mathrm{L}}, M_{\mathrm{R}}, M_{\mathrm{T}}$, and an R-bilinear map $e\colon M_{\mathrm{L}} \times M_{\mathrm{R}} \to M_{\mathrm{T}}$.

In a *generalized Pedersen commitment* over a bilinear module BM, the commitment key is a random vector $\underline{\mathsf{G}} \in M_{\mathrm{R}}^n$ and the commitment to the message $\underline{\mathsf{m}} \in M_{\mathrm{L}}^n$ is $\mathsf{C} := \langle \underline{\mathsf{m}}, \underline{\mathsf{G}} \rangle := \sum_{i=1}^{n} e(\mathsf{m}_i, \mathsf{G}_i) \in M_{\mathrm{T}}$. The commitment scheme is binding for messages of *bounded norm* if given a random vector $\underline{\mathsf{G}} \in M_{\mathrm{R}}^n$, it is hard to find $\underline{\mathsf{m}} \in M_{\mathrm{L}}^n$ with $\underline{\mathsf{m}} \neq 0$ and $\|\underline{\mathsf{m}}\| \leq B_{\mathsf{c}}$ such that $\langle \underline{\mathsf{m}}, \underline{\mathsf{G}} \rangle = 0$. We call this assumption *bilinear relation assumption*.

The generalized Protocol 2 works exactly as before, except for a new check on the norm of w to guarantee that the commitment opening is binding.

In the case of discrete logarithm groups, which is used in Protocol 2, we have $(R, M_{\mathrm{L}}, M_{\mathrm{R}}, M_{\mathrm{T}}, e) := (\mathbb{F}, \mathbb{F}, \mathbb{G}, \mathbb{G}, e)$, using group exponentiation for e. Other instantiations of bilinear modules include bilinear groups and ideal lattices. In the bilinear

group setting, $(R, M_{\mathrm{L}}, M_{\mathrm{R}}, M_{\mathrm{T}}, e) := (\mathbb{F}, \mathbb{G}_0, \mathbb{G}_1, \mathbb{G}_{\mathrm{T}}, e)$ using the bilinear (pairing) operation for e. In the lattice setting, $(R, M_{\mathrm{L}}, M_{\mathrm{R}}, M_{\mathrm{T}}, e) := (R, R, R/qR, R/qR, \times)$, where $R := \mathbb{Z}[X]/\langle \Phi_d(X) \rangle$, Φ_d is the d-th cyclotomic polynomial and $\times$ is polynomial multiplication modulo q. The bilinear relation assumption for the three instantiations corresponds to discrete logarithm, double pairing, and SIS assumptions respectively. In the discrete logarithm and the bilinear group setting, the underlying norm is such that all non-zero elements have norm 1, whereas in the ideal lattice setting we consider the ℓ_∞-norm.

2.3 Warmup: delegation over bilinear groups

Consider the setting of bilinear groups: there are three groups $\mathbb{G}_0, \mathbb{G}_1, \mathbb{G}_{\mathrm{T}}$ of prime size p and a bilinear map $e\colon \mathbb{G}_0 \times \mathbb{G}_1 \to \mathbb{G}_{\mathrm{T}}$. When the polynomial commitment scheme and sumcheck argument from Section 2.2 are realized over this instantiation of bilinear modules, Task 2 becomes the following.

Task 3. *Given a commitment key $\underline{\mathsf{G}} \in \mathbb{G}_1^n$, an evaluation point $\underline{r} \in \mathbb{F}^{\log n}$, and a claimed evaluation $\mathsf{V} \in \mathbb{G}_1$, prove that $p_{\underline{\mathsf{G}}}(\underline{r}) = \mathsf{V}$.*

We describe an interactive proof with succinct verification for this task that is based on techniques from [50] (and variants [64]). Below we review the main ideas behind these techniques, and then discuss the challenges that arise in extending them to work for more general algebraic structures.

Review: delegation ideas from [50]. Consider an additional polynomial commitment scheme whose message space is $\mathbb{G}_1^n$ and whose key space is $\mathbb{G}_0^n$:
– a commitment key is a random $\underline{\mathsf{H}} \in \mathbb{G}_0^n$;
– a message is $\underline{\mathsf{G}} \in \mathbb{G}_1^n$ (which can be the commitment key from Task 3);
– $\mathsf{C}' := \langle \underline{\mathsf{H}}, \underline{\mathsf{G}} \rangle = \sum_{i=1}^n e(\mathsf{H}_i, \mathsf{G}_i)$ is a commitment to $\underline{\mathsf{G}}$ using key $\underline{\mathsf{H}}$.
Since $\underline{\mathsf{G}}$ and $\underline{\mathsf{H}}$ are sampled during the setup phase, C' can be computed during a preprocessing phase. Then, Task 3 can be replaced by the following task.

Task 4. *Given a commitment $\mathsf{C}' = \langle \underline{\mathsf{H}}, \underline{\mathsf{G}} \rangle \in \mathbb{G}_{\mathrm{T}}$ computed in a preprocessing phase by the (honest) indexer, an evaluation point $\underline{r} \in \mathbb{F}^{\log n}$, and a claimed evaluation $\mathsf{V} \in \mathbb{G}_1$, prove that $p_{\underline{\mathsf{G}}}(\underline{r}) = \mathsf{V}$.*

This opens up the possibility of succinct verification because the verifier receives as input $\mathsf{C}' \in \mathbb{G}_{\mathrm{T}}$ rather than $\underline{\mathsf{G}} \in \mathbb{G}_1^n$. In fact, Task 4 is similar to the original task (Task 1) defined in the setting of bilinear groups. A difference is that in Task 1 the verifier is also given the commitment key. However, to achieve succinct verification the verifier here cannot receive $\underline{\mathsf{H}} \in \mathbb{G}_0^n$ as input.

Reducing the key size. With further ideas from [50], one can reduce to a *smaller* commitment key over $\mathbb{G}_0^{n/2}$, and then apply the same technique with the roles of $\mathbb{G}_0$ and $\mathbb{G}_1$ reversed. One can repeat this until the verifier need only perform a computation on a constant-size commitment key.

Instead of committing to $\underline{\mathsf{G}}$ using a commitment key of length n, split $\underline{\mathsf{G}}$ into two halves: $\underline{\mathsf{G}} := (\underline{\mathsf{G}}[L], \underline{\mathsf{G}}[R]) \in \mathbb{G}_1^{n/2} \times \mathbb{G}_1^{n/2}$. During the preprocessing phase, the indexer

computes the commitments $\mathsf{C}_L := \langle \underline{\mathsf{H}}, \underline{\mathsf{G}}[L] \rangle \in \mathbb{G}_{\mathrm{T}}$ and $\mathsf{C}_R := \langle \underline{\mathsf{H}}, \underline{\mathsf{G}}[R] \rangle \in \mathbb{G}_{\mathrm{T}}$ using the commitment key $\underline{\mathsf{H}} \in \mathbb{G}_0^{n/2}$.

Instead of C', which is a commitment to $\underline{\mathsf{G}}$, the verifier now has C_L and C_R, so we can no longer apply the sumcheck argument for polynomial evaluation (Protocol 2) to Task 4. To remedy this, we use the fact that the verifier can compute a commitment to any linear combination of $\underline{\mathsf{G}}[L]$ and $\underline{\mathsf{G}}[R]$. Then, it suffices to find a linear combination $\underline{\mathsf{G}}' \in \mathbb{G}_1^{n/2}$ and an evaluation point $\underline{r}' \in \mathbb{F}^{\log n - 1}$ such that $p_{\underline{\mathsf{G}}}(\underline{r}) = p_{\underline{\mathsf{G}}'}(\underline{r}')$.

From Definition 1, $p_{\underline{\mathsf{G}}}(\underline{X}) := \sum_{i_1,\ldots,i_{\log n} \in \{0,1\}} X_1^{i_1} \cdots X_\ell^{i_{\log n}} \cdot \mathsf{G}_{i_1,\ldots,i_{\log n}}$ where $\underline{\mathsf{G}} := (\mathsf{G}_1, \ldots, \mathsf{G}_n)$. Hence, $p_{\underline{\mathsf{G}}}(\underline{X}) = p_{\underline{\mathsf{G}}[L] + X_1 \underline{\mathsf{G}}[R]}(X_2, \ldots, X_{\log n})$ and Task 4 reduces to the following task.

Task 5. *Given a commitment* $\mathsf{C}' := \mathsf{C}_L + r_1 \mathsf{C}_R$*, where* $\mathsf{C}_L := \langle \underline{\mathsf{H}}, \underline{\mathsf{G}}[L] \rangle \in \mathbb{G}_{\mathrm{T}}$ *and* $\mathsf{C}_R = \langle \underline{\mathsf{H}}, \underline{\mathsf{G}}[R] \rangle \in \mathbb{G}_{\mathrm{T}}$ *are computed in a preprocessing phase, an evaluation point* $\underline{r}' \in \mathbb{F}^{\log n - 1}$*, and a claimed evaluation* $\mathsf{V} \in \mathbb{G}_1$*, prove that* $p_{\underline{\mathsf{G}}'}(\underline{r}') = \mathsf{V}$*, where* $\underline{\mathsf{G}}' := \underline{\mathsf{G}}[L] + r_1 \underline{\mathsf{G}}[R] \in \mathbb{G}_1^{n/2}$.

Challenge: what happens over bilinear modules? The ideas described above work over bilinear groups due to two fortunate coincidences.

- There are two bilinear modules $(\mathbb{F}, \mathbb{G}_0, \mathbb{G}_1, \mathbb{G}_{\mathrm{T}}, e)$ and $(\mathbb{F}, \mathbb{G}_1, \mathbb{G}_0, \mathbb{G}_{\mathrm{T}}, e)$ that lead to two commitment schemes *with opposite message space and key space*.
- The output claim produced by a sumcheck argument over the first bilinear module is a claim that can be proved using a sumcheck argument over the second bilinear module, and vice versa.

Unfortunately, the situation with general bilinear modules is not so straightforward. Even if the first property is satisfied (namely, both $\mathsf{BM}_1 = (R, M_{\mathrm{L}}, M_{\mathrm{R}}, M_{\mathrm{T}}, e)$ and $\mathsf{BM}_2 = (R, M_{\mathrm{R}}, M_{\mathrm{L}}, M_{\mathrm{T}}, e)$ are bilinear modules), the second property is not. Since $\underline{\mathsf{G}} \in M_{\mathrm{R}}^n$ is *random* (so to act as a commitment key over BM_1), $\underline{\mathsf{G}}$ may not have *bounded norm*. The norm bound is required in order to make a binding commitment to $\underline{\mathsf{G}}$, when it acts as a message for BM_2! *This precludes using the same repeated reduction idea over* BM_1 *and* BM_2.

2.4 Leveled bilinear modules

In order to build a delegation protocol for general bilinear modules and prove Theorem 2 (and thus Theorem 1), we want the ability to commit to commitment keys from successive reductions using new bilinear modules. To this end, we consider *multiple levels* of compatible bilinear modules, capable of mapping statements about commitment keys for "lower-level" commitment schemes to statements about messages in "higher-level" commitment schemes. We formalize this new abstract algebraic structure and call it a *leveled bilinear module system*. We also give post-quantum instantiations based on ideal lattices.

Defining leveled bilinear modules. A K-level bilinear module system is a list of K bilinear module systems over the *same* ring R, each satisfying the bilinear relation assumption:

$$\{\mathsf{BM}_i\}_{i \in [K]} = \{(R, M_{\mathrm{L},i}, M_{\mathrm{R},i}, M_{\mathrm{T},i}, e_i)\}_{i \in [K]} \ .$$

Further, to allow commitments to Pedersen commitment keys, successive levels are connected by two maps:

- an *upward map* $\mathsf{up}_i \colon M_{\mathrm{R},i} \to M_{\mathrm{L},i+1}^{\delta_{i+1}}$ that lifts keys at level i to δ_{i+1} small-norm messages at level $i+1$; and
- a *downward map* $\mathsf{dn}_i \colon M_{\mathrm{L},i+1}^{\delta_{i+1}} \to M_{\mathrm{R},i}$ that projects messages at level $i+1$ to keys at level i.

The two maps up_i and dn_i cancel each other out: $\mathsf{dn}_i \circ \mathsf{up}_i$ is the identity map on $M_{\mathrm{R},i}$. Messages produced by up_i are within the binding space of the commitment scheme at level $i+1$. For each level $i \in [K-1]$, the upward map up_i (and hence also dn_i) must satisfy some homomorphic properties:

- for every $m_1, m_2 \in M_{\mathrm{R},i}$, $\mathsf{up}_i(m_1 + m_2) = \mathsf{up}_i(m_1) + \mathsf{up}_i(m_2) \bmod \ker \mathsf{dn}_i$;
- for every $r \in R$ and $m \in M_{\mathrm{R},i}$, $\mathsf{up}_i(r \cdot m) = r \cdot \mathsf{up}_i(m) \bmod \ker \mathsf{dn}_i$.

In fact, these conditions imply that $M_{\mathrm{R},i}$ and $M_{\mathrm{L},i+1}^{\delta_{i+1}} / \ker \mathsf{dn}_i$ are isomorphic as R-modules via up_i and dn_i. Note that if "$\bmod \ker \mathsf{dn}_i$" was removed from the two conditions above, then $M_{\mathrm{R},i}$ and $M_{\mathrm{L},i+1}^{\delta_{i+1}}$ would be isomorphic as R-modules. This would be too rigid for lattice instantiations, in which for every $i \in [K-1]$ the upward map up_i takes statements about commitment keys modulo a prime q to multiple statements about integers of bounded norm, which can be messages for higher-level commitment schemes. Also, equations modulo q may not hold exactly over the integers, and working $\bmod \ker \mathsf{dn}_i$ allows for correction factors.

Using up_i, claims about polynomial evaluations over commitment key elements can be lifted from $M_{\mathrm{R},i}$ to $M_{\mathrm{L},i+1}$ to act as inputs for proof systems over BM_{i+1}. Conversely, using dn_i, statements proved about lifted polynomial evaluations reduce to similar statements about polynomial evaluations over the commitment keys. Leveled bilinear module systems neatly encapsulate the algebraic requirements for interactive arguments like [50], and facilitate extending those ideas to other cryptographic settings.

Instantiations. We describe three instantiations of leveled bilinear-module systems.

- A "2-cycle" based on bilinear groups. Given a bilinear group $(\mathbb{F}, \mathbb{G}_0, \mathbb{G}_1, \mathbb{G}_{\mathrm{T}}, e)$, we set $M_{\mathrm{L},i} := \mathbb{G}_{i \bmod 2}$, $M_{\mathrm{R},i} := \mathbb{G}_{i+1 \bmod 2}$, $M_{\mathrm{T},i} := \mathbb{G}_{\mathrm{T}}$, $\delta_i = 1$, and $e_i := e$. Hence $M_{\mathrm{R},i}$ and $M_{\mathrm{L},i+1}$ are equal. For each level $i \in [K-1]$, the upward map $\mathsf{up}_i \colon \mathbb{G}_{i \bmod 2} \to \mathbb{G}_{i+1 \bmod 2}$ and downward map $\mathsf{dn}_i \colon \mathbb{G}_{i+1 \bmod 2} \to \mathbb{G}_{i \bmod 2}$ are the identity map. At each level, the bilinear relation assumption is implied by the SXDH assumption. This instantiation works for any number of levels.
- A first instantiation based on ideal lattices. Let d be a prime power, $\Phi_d(X)$ the d-th cyclotomic polynomial, $R = \mathbb{Z}[X]/\langle \Phi_d(X) \rangle$ the corresponding cyclotomic ring, and $q_1, \ldots, q_K \in \mathbb{N}$. Let $M_{\mathrm{L},i} := R$, $M_{\mathrm{R},i} := R/q_i R$, $M_{\mathrm{T},i} := R/q_i R$, and e_i be the multiplication of ring elements modulo q_i.
  We "lift" an element m of $M_{\mathrm{R},i} = R/q_i R$ to an element of $M_{\mathrm{L},i+1} = \mathbb{Z}[X]/\langle X^d + 1 \rangle$ with norm at most q_i by viewing it as a polynomial over the integers rather than modulo q_i. For each level $i \in [K-1]$, the upward map $\mathsf{up}_i \colon R/qR \to R$ lifts polynomials modulo q to integer polynomials, and the downward map $\mathsf{dn}_i \colon R \to R/q_i R$ performs

the reverse operation, i.e., reduction modulo q_i. At each level, the bilinear relation assumption follows from the ring SIS assumption modulo q_i.

Unfortunately, this first instantiation is somewhat inefficient, and insecure when K is super-constant. This is because in order for the ring SIS assumption modulo q_i to be hard with respect to messages of norm up to q_{i-1}, we require $q_i \gg q_{i-1}$, so that $q_K \gg \cdots \gg q_1$. Moreover, based on the parameters required by the proof system that we use, the gap between each modulus can force q_K to be exponentially large when $K = \omega(1)$, which poses problems for the hardness of ring SIS.

This motives the following improved instantiation.

– A "1-cycle" based on ideal lattices. Let d be a prime power, $\Phi_d(X)$ the d-th cyclotomic polynomial, $R = \mathbb{Z}[X]/\langle \Phi_d(X) \rangle$ the corresponding cyclotomic ring, and $q \in \mathbb{N}$. Let $M_{\mathrm{L},i} := R$, $M_{\mathrm{R},i} := R/qR$, $M_{\mathrm{T},i} := R/qR$, and e_i be the multiplication of ring elements modulo q.

  An element in R can be viewed as a polynomial with d coefficients. We "lift" an element m of $M_{\mathrm{R},i} = R/qR$ to $\log q$ elements of $M_{\mathrm{L},i+1} = \mathbb{Z}[X]/\langle X^d+1 \rangle$ with norm at most 1 by computing the bit decomposition of the coefficients of m. For each level $i \in [K-1]$, the upward map $\mathsf{up}_i \colon R/qR \to R$ lifts polynomials modulo q to integer polynomials using bit decomposition, and the downward map $\mathsf{dn}_i \colon R \to R/qR$ performs the reverse operation, i.e., bit composition modulo q. At each level, the bilinear relation assumption follows from the ring SIS assumption modulo q. This instantiation works for any number of levels.

2.4.1 Comparison with prior algebraic structures

Tiered commitment schemes. Some prior works also use leveled algebraic structures to construct argument systems. [45] constructs two-tiered commitment schemes, in which commitments in $\mathbb{G}_0$ (to messages in $\mathbb{F}$) are themselves treated as messages and used to produce "commitments to commitments" in $\mathbb{G}_{\mathrm{T}}$. [24] uses a lattice construction to "commit to commitments" over multiple levels. In contrast to our work, the focus in these works is committing to commitments, which would lead to an abstraction that is different from ours ($M_{\mathrm{T},i}$, rather than $M_{\mathrm{R},i}$, is identified with $M_{\mathrm{L},i+1}$).[4]

Graded encodings (a.k.a. multilinear maps). Leveled modules may be reminiscent of graded encoding schemes, in which elements of groups can be multiplied together up to a certain number of multiplications. We explain the main differences between graded encoding schemes and leveled bilinear-module systems.

Graded encodings of different levels usually consist of elements of the same ring, with homomorphic properties when combining encodings at different levels. By contrast, leveled bilinear modules feature different modules at each level, and the embedding maps between levels do not fully preserve homomorphism. This means that only objects at the same level can be multiplied together, and since homomorphism is limited, leveled bilinear modules cannot be used to construct a multilinear map.

Constructions of graded encoding schemes typically rely on lattice assumptions [40, 49, 42] or integer assumptions (e.g., the approximate GCD problem) [33, 32, 56] that have been subject to many attacks [36, 28, 34, 35]. By contrast, we give comparatively simple instantiations of leveled bilinear modules based on bilinear groups and ideal lattices,

[4] Of course, in our lattice instantiation, $M_{\mathrm{R},i}$ and $M_{\mathrm{T},i}$ happen to be the same.

providing the relevant security properties under standard cryptographic assumptions (SXDH and SIS respectively).

2.5 Delegation over leveled bilinear-module systems

The polynomial commitment scheme and the sumcheck argument from Section 2.2 can be defined over a bilinear module, and in particular over the first level of a leveled bilinear-module system. In this case, the prover's goal is to convince the verifier of the following $\mathcal{NP}$ statement.

Task 6. *Given a commitment* $\mathsf{C} \in M_{\mathrm{T},1}$*, a commitment key* $\underline{\mathsf{G}} \in M_{\mathrm{R},1}^n$*, an evaluation point* $\underline{z} \in R^{\log n}$*, and a claimed evaluation* $u \in M_{\mathrm{L},1}$*, prove knowledge of* $\underline{\mathsf{m}} \in M_{\mathrm{L},1}^n$ *such that* $p_{\underline{\mathsf{m}}}(\underline{z}) = u$ *and* $\mathsf{C} = \langle \underline{\mathsf{m}}, \underline{\mathsf{G}} \rangle$.

The succinct interactive protocol for the above task is a generalization of Protocol 2 over bilinear modules. Even though for certain settings (e.g., lattices) norm manipulations and selecting appropriate challenge spaces $\mathcal{C} \subseteq R$ are important, for simplicity in this overview we ignore these issues.

Protocol 3: sumcheck argument for polynomial evaluation over $\underline{\mathcal{M}}$

For $n = 2^\ell$, the prover and verifier receive as input a commitment key $\underline{\mathsf{G}} \in M_{\mathrm{R},1}^n$, a commitment $\mathsf{C} \in M_{\mathrm{T},1}$, an evaluation point $\underline{z} := (z_1, z_2, \ldots, z_\ell) \in R^\ell$, and a claimed evaluation $u \in M_{\mathrm{L},1}$. The prover also receives as input an opening $\underline{\mathsf{m}} \in M_{\mathrm{L},1}^n$ such that $\mathsf{C} = \langle \underline{\mathsf{m}}, \underline{\mathsf{G}} \rangle$.

The prover and verifier engage in a sumcheck protocol for the claim

$$\sum_{\underline{\omega} \in \{-1,1\}^\ell} P'(\underline{\omega}) = 2^\ell \cdot (\mathsf{C}, u),$$

where $P'(\underline{X}) := (p_{\underline{\mathsf{m}}}(\underline{X}) \cdot p_{\underline{\mathsf{G}}}(\underline{X}), p_{\underline{\mathsf{m}}}(\underline{X}) \cdot p_{\tilde{\underline{z}}}(\underline{X}))$ and $\tilde{\underline{z}} := \bigotimes_{i=1}^{\ell}(1, z_i) = (1, z_1, z_2, z_1 z_2, \ldots, z_1 \cdots z_\ell)$. As defined in Protocol 1, the sumcheck protocol uses the instance

$$\mathbb{x}_{\mathrm{SC}} := (R,\ M = M_{\mathrm{T},1} \times M_{\mathrm{L},1},\ \mathcal{H} = \{-1, 1\},\ \ell = \log n,\ \tau = 2^\ell \cdot (\mathsf{C}, u),\ \mathcal{C} \subseteq R)\ ,$$

and the prover additionally knows the polynomial $P'(\underline{X}) \in (M_{\mathrm{T},1} \times M_{\mathrm{L},1})[\underline{X}]$.

After the end of the sumcheck protocol, if the verifier's checks pass, the prover learns the randomness $\underline{r} \in \mathcal{C}^\ell$ used in the protocol, and the verifier learns $(\underline{r}, v) \in \mathcal{C}^\ell \times (M_{\mathrm{T},1} \times M_{\mathrm{L},1})$. Then, the prover computes and sends $w := p_{\underline{\mathsf{m}}}(\underline{r}) \in M_{\mathrm{L},1}$; the verifier computes $p_{\underline{\mathsf{G}}}(\underline{r}) \in M_{\mathrm{R},1}$ and $p_{\tilde{\underline{z}}}(\underline{r}) \in R$ and checks that $(w \cdot p_{\underline{\mathsf{G}}}(\underline{r}), w \cdot p_{\tilde{\underline{z}}}(\underline{r})) = v$.

Delegation using the leveled bilinear-module system. The above protocol reduces proving that $p_{\underline{\mathsf{m}}}(\underline{z}) = u \in M_{\mathrm{L},1}$ to checking the polynomial evaluation $p_{\underline{\mathsf{G}}}(\underline{r}) = \mathsf{V} \in M_{\mathrm{R},1}$. Using the maps of the leveled bilinear-module system, we compute $\mathsf{up}_1(\underline{\mathsf{G}}) \in$

$(M_{\mathrm{L},2}^{\delta_2})^n$, where up_1 is applied to each coordinate of $\underline{\mathsf{G}}$, and $\mathsf{V}' \equiv \mathsf{up}_1(\mathsf{V}) \bmod \ker(\mathsf{dn}_1) \in M_{\mathrm{L},2}^{\delta_2}$. Then, we transform the evaluation $p_{\underline{\mathsf{G}}}(\underline{r}) = \mathsf{V} \in M_{\mathrm{R},1}$ to δ_2 evaluations over $M_{\mathrm{L},2}$:

$$p_{\mathsf{up}_1(\underline{\mathsf{G}})}(\underline{r}) = \mathsf{V}' \ .$$

The function up_1 maps an element in $M_{\mathrm{R},1}$ to multiple elements in $M_{\mathrm{L},2}$. We reduce to a single element of $M_{\mathrm{L},2}$ by computing a random linear combination using challenges sent by the verifier. For the rest of this section, we ignore this issue and focus on the case where up_i maps an element of an $M_{\mathrm{R},i}$ to a *single* element of $M_{\mathrm{L},i+1}$ (i.e., $\delta_{i+1} = 1$).

We can apply the key reduction idea presented in Section 2.3 to reduce to a statement of smaller size. During the preprocessing phase, the indexer computes the commitments $\mathsf{C}_L = \langle \mathsf{up}_1(\underline{\mathsf{G}}[L]), \underline{\mathsf{H}} \rangle \in M_{\mathrm{T},2}$ and $\mathsf{C}_R = \langle \mathsf{up}_1(\underline{\mathsf{G}}[R]), \underline{\mathsf{H}} \rangle \in M_{\mathrm{T},2}$, where $\underline{\mathsf{G}} := (\underline{\mathsf{G}}[L], \underline{\mathsf{G}}[R]) \in M_{\mathrm{R},1}^{n/2} \times M_{\mathrm{R},1}^{n/2}$. Task 6 reduces to the following.

Task 7. *Given a commitment* $\mathsf{C}' := \mathsf{C}_L + r_1 \mathsf{C}_R$*, where* $\mathsf{C}_L := \langle \mathsf{up}_1(\underline{\mathsf{G}}[L]), \underline{\mathsf{H}} \rangle \in M_{\mathrm{T},2}$ *and* $\mathsf{C}_R = \langle \mathsf{up}_1(\underline{\mathsf{G}}[R]), \underline{\mathsf{H}} \rangle \in M_{\mathrm{T},2}$ *are computed in a preprocessing phase, an evaluation point* $\underline{r}' \in R^{\log n - 1}$*, and a claimed evaluation* $\mathsf{V}' \in M_{\mathrm{L},2}$*, prove that* $p_{\underline{\mathsf{G}}'}(\underline{r}') = \mathsf{V}'$*, where* $\underline{\mathsf{G}}' := \mathsf{up}_1(\underline{\mathsf{G}}[L]) + r_1 \cdot \mathsf{up}_1(\underline{\mathsf{G}}[R]) \in M_{\mathrm{L},2}^{n/2}$.

Final protocol: delegation of polynomial evaluations with succinct verifier. Below we sketch the final protocol. There are $\ell := \log n$ iterations of Protocol 3. In the i-th iteration the instance has size $n/2^i$ and is defined over the i-th level of the leveled bilinear module. After ℓ iterations of Protocol 3, the verifier checks the evaluation of a constant polynomial, which can be done without help from the prover.

Protocol 4: delegation of polynomial evaluations over $\underline{\mathcal{M}}$

Setup. Given an upper bound n on the size of $\underline{\mathsf{m}}$ (the number of polynomial coefficients), the setup algorithm samples a leveled bilinear-module system with $\log n$ levels and commitment keys $\underline{\mathsf{G}}_i \in M_{\mathrm{R},i}^{n/2^{i-1}}$ for $i \in \{1, \ldots, \log n + 1\}$.

Indexer. In a preprocessing phase (i.e., before receiving $\underline{\mathsf{m}}$), the indexer computes

$$\mathsf{C}_{L,i} := \langle \mathsf{up}_i(\underline{\mathsf{G}}_i[L]), \underline{\mathsf{G}}_{i+1} \rangle \in M_{\mathrm{T},i+1} \ , \text{ and} \mathsf{C}_{R,i} = \langle \mathsf{up}_i(\underline{\mathsf{G}}_i[R]), \underline{\mathsf{G}}_{i+1} \rangle \in M_{\mathrm{T},i+1}$$

for $i \in \{1, \ldots, \log n\}$. Finally, the indexer sets outputs the proving key $\mathsf{ipk} := (\underline{\mathsf{G}}_i)_{i=1}^{\log n + 1}$ and verification key $\mathsf{ivk} := ((\mathsf{C}_{L,i}, \mathsf{C}_{R,i})_{i=1}^{\log n}, \underline{\mathsf{G}}_{\log n})$.

Interactive phase. For $n = 2^\ell$, the prover and verifier receive as input a commitment $\mathsf{C} \in M_{\mathrm{T},1}$, an evaluation point $\underline{z} := (z_1, z_2, \ldots, z_\ell) \in R^\ell$, and a claimed evaluation $u \in M_{\mathrm{L},1}$. The prover also receives as input the proving key ipk and an opening $\underline{\mathsf{m}} \in M_{\mathrm{L},1}^n$ such that $\mathsf{C} = \langle \underline{\mathsf{m}}, \underline{\mathsf{G}} \rangle$. The verifier also receives as input the verification key ivk.

The prover and verifier engage in $\log n$ iterations of Protocol 3. The first iteration reduces the claim $p_{\underline{\mathsf{m}}}(\underline{z}) = u$ to proving that $p_{\underline{\mathsf{G}}_1}(\underline{r}_1) = \mathsf{V}_1$, which can be reduced to the claim $p_{\underline{\mathsf{G}}'_1}(\underline{r}'_1) = \mathsf{V}'_1 \in M_{\mathrm{L},2}$ as in Task 7. Similarly, the i-th iteration reduces the claim $p_{\underline{\mathsf{G}}'_{i-1}}(\underline{r}'_{i-1}) = \mathsf{V}'_{i-1} \in M_{\mathrm{L},i}$ to proving that

$p_{\underline{\mathsf{G}}'_i}(\underline{r}'_i) = \mathsf{V}'_i \in M_{\mathrm{L},i+1}$. Finally, the last claim is $p_{\underline{\mathsf{G}}_{\log n}}(\underline{r}_{\log n}) = \mathsf{V}_{\log n}$, which the verifier can check directly using the key $\underline{\mathsf{G}}_{\log n}$.

The indexer performs $O(n)$ operations. Subsequently, the prover and verifier interact over $O(\log^2 n)$ rounds. The communication complexity is $O(\log^2 n)$ elements of the ring and modules of the leveled bilinear-module system: each iteration of the $O(\log n)$ iterations of Protocol 3 has communication complexity $O(\log n)$ elements of a bilinear module. The prover performs $O(n)$ operations over the ring and modules of the leveled bilinear-module system; and the verifier performs $O(\log^2 n)$ such operations. (Indeed, in the i-th sumcheck argument the prover performs $O(n/2^i)$ operations and the verifier performs $O(\log n - i)$ operations.)

Completeness of the protocol is straightforward, since the i-th iteration reduces a true statement about a polynomial evaluation over the i-th level into a true statement about a polynomial evaluation over the $(i+1)$-th level, using the embedding map up_i. The verifier accepts because each iteration is a sumcheck argument for a valid polynomial evaluation. In contrast, establishing soundness requires more care, as we now explain.

Soundness. The protocol consists of $\log n$ sumcheck arguments, so a starting point for arguing soundness is to follow the approach in [22]. There, a valid witness is extracted from an extraction tree (a collection of accepting transcripts with a special tree-like structure). For instance, in the case of polynomial commitments as in Protocol 2, the extraction tree is a ternary tree of depth $\log n$. An extraction tree can be obtained, from a suitable malicious prover, in time exponential in its depth (e.g. see the forking lemma in [7, Lemma 5]). While this technique works in a single iteration of Protocol 3 to prove knowledge soundness, it fails when applied in the final delegation protocol which consists of $\log n$ iterations. This is because now we would need an extraction tree of depth $\log^2 n$, and producing such a tree takes quasi-polynomial time.

An alternative approach is to start from the knowledge soundness of each iteration of Protocol 3, which is based on an extraction tree of depth only $\log n$. Informally, the soundness of the final delegation protocol then follows by a union bound on the $\log n$ iterations. This approach is used, e.g., to establish the soundness of the $O(\log^2 n)$-round version of [50] presented in [64]. However, in our case, which also captures the lattice setting, this has a negative impact in the parameters.

For example, in the lattice setting, it is only known how to prove knowledge soundness of Protocol 3 for a relaxed statement [22]. More precisely, if the verifier accepts in Protocol 3, then we can extract a *relaxed opening* $\underline{\mathsf{m}} \in M^n_{\mathrm{L},1}$ to C such that $c \cdot \mathsf{C} = \langle \underline{\mathsf{m}}, \underline{\mathsf{G}} \rangle$ and $p_{\underline{\mathsf{m}}}(\underline{z}) = u$, where c is called the *slackness*. Then, establishing soundness by simply applying the knowledge soundness property of Task 6 recursively ℓ times causes the slackness to accumulate at each extraction step. This approach can only prove that the final delegation protocol has slackness exponential in $\log n$.

We avoid the accumulation of slackness by leveraging the fact that the statement to be proved is a deterministic computation: if the prover does not send a correct evaluation of the key polynomial at the end of each iteration, then the verifier rejects (with some good probability). There is no witness to extract, since the commitment keys are part of the public parameters. In the security proof we can check whether the prover sends an incorrect evaluation in each iteration of Protocol 3. If any of the evaluations is incorrect,

then we extract a message that breaks the binding property of the commitment of this iteration. The i-th iteration of Protocol 3 has soundness error $O(\frac{\log n - i}{|\mathcal{C}|})$; hence, the soundness error of the entire protocol is $O(\frac{\log n^2}{|\mathcal{C}|})$. The final slackness remains c.

From relaxed to exact openings. Relaxed openings prove approximate statements about polynomial evaluations. This is a problem when we wish to reason about exact satisfiability of algebraic relations, such as R1CS. We modify the polynomial commitment scheme to allow us to divide out the slackness, and hence to extract exact openings. Specifically, we consider $M_{\mathrm{L},1}$ to be a ring and I an ideal of $M_{\mathrm{L},1}$ in which multiplication by slackness c is invertible. Then, intuitively, an opening of a commitment $c \cdot \mathsf{C}$ to message $\underline{\mathsf{m}} \in M_{\mathrm{L},1}^n$ can be viewed as an opening of C to $c^{-1}\underline{\mathsf{m}} \in (M_{\mathrm{L},1}/I)^n$. The message space for the modified commitment scheme is $M_{\mathrm{L},1}/I$. To commit to a polynomial with coefficients in $M_{\mathrm{L},1}/I$, we first lift them to elements in $M_{\mathrm{L},1}$ and then apply the original, unmodified commitment scheme. Specifically, our lattice-based instantiation of the leveled modules and rings leads to a polynomial commitment scheme over a ring R/pR.

2.6 Polynomial IOP for product rings

As described in Section 2.1, our succinct argument is obtained by combining the polynomial commitment scheme described in Section 2.5 and a polynomial IOP (PIOP). In a PIOP, the prover can send polynomials to the verifier as oracle messages, and the verifier's queries request evaluations of these polynomials.

While there are PIOPs that work over finite fields $\mathbb{F}$, to prove Theorem 2 we need a PIOP that works over rings satisfying $R_\bullet \simeq \mathbb{F}^k$. This suffices to prove Theorem 1 as a special case of Theorem 2 because the cyclotomic rings that arise from the lattice instantiation can be expressed as product rings using facts from algebraic number theory.[5]

PIOPs over product rings. We obtain a holographic PIOP for R1CS over product rings $R_\bullet \simeq \mathbb{F}^k$ by using k times "in parallel" an existing PIOP construction over $\mathbb{F}$, as we now explain. First, we apply the isomorphism between $R_\bullet$ and $\mathbb{F}^k$ to an R1CS instance defined over $R_\bullet$, producing k R1CS instances defined over $\mathbb{F}$. Observe that the non-zero entries in each of the k R1CS instances over $\mathbb{F}$ are a subset of the non-zero entries in the instance over $R_\bullet$. Second, we use the holographic PIOP with succinct verification for R1CS instances over $\mathbb{F}$ from prior work [20]. More precisely, we run this PIOP for the k R1CS instances over $\mathbb{F}$ using the same random verifier challenges (which are sampled from $\mathbb{F}$). This gives a PIOP with similar complexity parameters defined over $R_\bullet$ by mapping all of the prover and verifier messages back into $R_\bullet$.

This approach works because the PIOP in [20] has the following special property: the indexer, prover, and verifier can be modeled as arithmetic circuits which have hard-coded the positions of non-zero entries in the R1CS instance[6]. Since the set of non-zero

[5] In more detail, consider a cyclotomic ring of the form $R := \mathbb{Z}[X]/\langle \Phi_d(X) \rangle$ where $\Phi_d(X)$ is the d-th cyclotomic polynomial. The polynomial $\Phi_d(X)$ modulo a prime p with $\gcd(p, d) = 1$ factors into irreducible polynomials of the same degree t for some $t \in \mathbb{N}$ (e.g., from [31, Theorem 5.3]). This means that R/pR is isomorphic to $k := \phi(d)/t$ copies of $\mathbb{F}_{p^t}$.

[6] This is despite the fact that the PIOP construction in full generality sometimes uses non-algebraic operations such as linear scans.

entries in the R1CS instance over $R_\bullet$ is a superset of the non-zero entries in the k R1CS instances over $\mathbb{F}$, the arithmetic circuits for the indexer, prover, and verifier are the same for the k instances over $\mathbb{F}$. Thus, a PIOP for R1CS over $\mathbb{F}$ can be converted into a PIOP over $R_\bullet$ with the same proof size and computational complexity as the original PIOP, but measured as elements and operations over $R_\bullet$.

In sum, we obtain a ring-based PIOP with linear prover time and logarithmic verifier time.

Lemma 1 (informal)**.** *For every ring $R_\bullet$ such that $R_\bullet \simeq \mathbb{F}^k$, there is a holographic polynomial IOP for R1CS over the ring $R_\bullet$ with instances of size N with M non-zero entries, with the following properties:*
- *the round complexity is $O(\log(M+N))$;*
- *the proof length is $O(M+N)$ elements in $R_\bullet$;*
- *the query complexity is $O(1)$;*
- *the communication complexity is $O(\log(M+N))$ messages in $R_\bullet$;*
- *the indexer uses $O(M)$ operations in $R_\bullet$;*
- *the prover uses $O(N+M)$ operations in $R_\bullet$;*
- *the verifier uses $O(\log M)$ operations in $R_\bullet$.*

Here, "proof length" refers to the total number of elements of $R_\bullet$ in oracle messages, while "communication complexity" refers to the total number of (non-oracle) message elements received by the verifier.

2.7 Final protocol: combining polynomial commitments and PIOP

To obtain Theorem 2, we combine the polynomial commitment scheme described in Section 2.5 and the PIOP over product rings of Section 2.6. Then, Theorem 1 follows as a special case by using the lattice-based instantiation of a leveled bilinear module.

Protocol 5: succinct interactive argument for R1CS over $\underline{\mathcal{M}}$

Setup. On input $N \in \mathbb{N}$, the setup algorithm runs the setup algorithm for the polynomial commitment scheme to generate public parameters for committing to messages of length N. As part of this algorithm, the setup algorithm samples a levelled bilinear module with $\underline{\mathcal{M}}$, containing the description of a ring $M_{\mathrm{L},1}$, an ideal I_1, and a module $M_{\mathrm{T},\ell}$, where $\ell = \log(N)$.

Indexer. On input an R1CS instance of size N with M non-zero entries defined over the ring $R_\bullet = M_{\mathrm{L},1}/I_1 \simeq \mathbb{F}^k$, the indexer algorithm runs the indexer algorithm for the PIOP for $R_\bullet$ of Section 2.6, producing polynomial oracle messages defined over $R_\bullet$. Then the indexer runs the indexer of the polynomial commitment scheme of Section 2.5, and computes commitments to each of the polynomials. The indexer computes a proving key ipk consisting of the polynomials, their commitments, and the proving key for the polynomial commitment scheme. The indexer computes a verification key ivk consisting of the commitments and the verification key for the polynomial commitment scheme. Finally, the indexer outputs ipk and ivk.

Prover and verifier. The prover receives ipk, while the verifier receives ivk. The prover and verifier run the prover and verifier algorithms for the PIOP of Section 2.6, forwarding messages between the PIOP prover and verifier. Whenever the PIOP prover produces a polynomial oracle message over $R_\bullet$, the prover commits to it using the polynomial commitment scheme and sends the result to the verifier. Whenever the PIOP verifier makes a polynomial evaluation query, the verifier forwards it to the prover, who evaluates the polynomial, and sends the evaluation back to the verifier. The prover and verifier then use the polynomial commitment scheme to prove that the evaluation is consistent with the correct committed polynomial. The verifier accepts if all evaluations are consistent, and the PIOP verifier acccepts.

The verifier must perform $O(\log M)$ operations over $R_\bullet$ as part of the PIOP, and $O(\log^2(M+N))$ operations over $M_{\mathrm{T},\ell}$ to use the polynomial commitment scheme to verify each of the $O(1)$ PIOP query responses. The communication complexity of the argument is dominated by the $O(\log^2(M+N))$ elements of $M_{\mathrm{T},\ell}$ sent when using the polynomial commitment scheme. This yields a succinct argument with efficient verification for NP over a leveled bilinear-module system.

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