

A Characterization of AE Robustness as Decryption Leakage Indistinguishability

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Abstract. We introduce a novel notion, denoted as IND-rCCA, to formalize the security and robustness of authenticated encryption. This notion is an augmentation of common notions defined for AEAD schemes by considering indistinguishability of potential leakage due to decryption failure in the presence of multiple checks for errors. We further extend this notion to IND-sf-rCCA to formalize the stateful security involving out-of-order ciphertext. Additionally, we present a modification to the Encode-then-Encrypt-then-MAC (EEM) paradigm to boost its robustness. We then analyze the security of the modification and show that it satisfies IND-rCCA security.

Keywords: AE Robustness · Decryption Leakage · IND-rCCA

1 Introduction

1.1 Background and Motivation

Robustness of authenticated encryption has been defined in various ways. The most commonly accepted definition is with *robust authenticated encryption* (RAE), a term first introduced in [HKR15]. We follow the idea of RAE to say that an AEAD scheme is *robust* if confidentiality and authenticity are still guaranteed even if a nonce is inadvertently misused, or if part or all of the plaintext is leaked due to an authenticity-check failure (or other failures defined by the scheme). Additionally, an AEAD scheme qualifies as an RAE scheme when users can freely select any expansion factor τ to determine the ciphertext length relative to the plaintext, and the level of authenticity provided is contingent upon the chosen τ parameter.

The security of an RAE scheme is initially formalized in sense of a *pseudorandom injection* (PRI) in [HKR15]. Nevertheless, PRI formalizes the security in presence of decryption leakage in a very generalized way. The case where a scheme may involve multiple checks for errors has not been extensively explored with PRI. There are numerous attacks exploiting error messages, such as the notable padding oracle attack introduced by Vaudenay [Vau02], which has been further developed to target SSL/TLS [CHVV03, PRS11], IPsec [DP07, DP10], and other systems. While adopting a unified error message in the decryption function for all error types appears promising as a mitigation, there may still be decryption leakage that grants adversaries an additional advantage. That is because decryption functions typically reveal plaintext only upon successful completion of all verification steps, whereas leakage may occur prior to their completion, potentially exposing partial plaintext and error messages.

Additionally, there has been limited exploration into the stateful security with PRI for out-of-order ciphertexts. Thus our goal is to introduce a notion that views robustness

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of AE as an augmentation from conventional security notions defined for AEAD, such as IND-CCA3 proposed by Shrimpton in [Shr04], and the combination of semantic security (IND-CPA) plus ciphertext integrity (INT-CTXT) in [Rog02], allowing for an analysis that captures stateful security and security in the presence of multiple errors.

Simultaneously, many attempts towards enhancing the robustness of AEAD are to construct RAE schemes following an *enciphering-based* approach by using a VIL cipher, such as AEZ in [HKR15]. This characteristic poses challenges when attempting to boosting the robustness of generic compositions based on blockcipher modes of operation, such as Encode-then-Encrypt-then-MAC (EEM), that are more commonly used in practice. Thus our objective is to revisit the robustness of EEM as a generic composition and propose minimal modifications to it to boost its robustness.

1.2 Related Work

The security of a RAE scheme was initially formalized in sense of pseudorandom injection by the indistinguishability from a random injection $\pi_{N,A,\tau}$ such that the oracle returns M if there exists a plaintext M such that the ciphertext $C = \pi_{N,A,\tau}(M)$, and returns a plaintext which fails the authenticity check otherwise. RAE formalizes the decryption leakage in a very generalized way where the cases involving multiple checks for errors are not studied. That may not be a problem for *enciphering-based* AE since they always decipher first and the authenticity check is on the deciphered string. The deciphered string is usually meaningless if the authenticity check fails. However, for other schemes, leakage may be meaningful if multiple checks are involved.

One key part of AE robustness is to ensure security even when part of the plaintext is accidentally leaked. There are several works that introduce notions to formalize the security under decryption leakage.

In [BDPS14], Boldyreva et al. studied the situation where an encryption scheme may output multiple errors and focused on the influence of error messages but not the actual leaked plaintext. They introduced the notion of IND-CVA which gives an IND-CPA adversary an additional oracle to tell if the queried ciphertext is valid or not. They also introduced the notion of *error invariance* (INV-ERR) which requires that no efficient adversary can generate more than one of the possible error messages. Notably, [BDPS14] also extended their study to consider the stateful security.

In [ABL⁺14], Andreeva et al. introduced the notion of *release-of-unverified-plaintext* (RUP) and associated it with the ciphertext integrity (INT) notion. Specifically, in IND-RUP, the decryption algorithm always outputs a bitstring M . The adversary's goal is to make the validation function to accept a forged ciphertext given an encryption oracle and a decryption oracle.

In [BPS15], Barwell et al. introduced the notion of *subtle AE* (SAE), incorporating the idea of *error indistinguishability* (ERR-CCA) alongside IND-CPA and INT-CTXT. Specifically, ERR-CCA involves a leakage function that outputs the actual leakage. The security requirement is that an adversary should be unable to distinguish between the leakage under the same key and different keys. However, we believe that this notion may not perfectly capture the indistinguishability of leakage and there is a certain overlap with the integrity notion.

In our notion, we redefine the leakage simulator function, aiming to better separate it from the integrity notion and focus on the indistinguishability of the leakage itself. Additionally, our notion can be viewed as a stronger version by comparing the leaked plaintext with a random bitstring. We particularly consider the case where multiple checks for errors are involved. Furthermore, we extend this idea to consider stateful security when out-of-order ciphertexts are present.

1.3 Our Contribution

We introduce a novel notion denoted as IND-rCCA to formalize the robustness of AE. The IND-rCCA notion extends conventional AE notions, such as IND-CCA3 [Shr04], by incorporating a leakage simulator function inspired by subtle AE [BPS15]. This addition captures the leakage when decryption fails. Such security is necessary since the unintended revelation of plaintext or error flags (implicitly) in memory or cache could offer an adversary extra advantage in distinguishing or making forgery, even if the ultimate result is labeled as a single “decryption failure” by the decryption scheme.

Our notion exhibits better “composability” in terms of notions. We decompose our notion into IND-CPA plus INT-CTXT and plus a notion for *error indistinguishability* (IND-ERR). This enables a standalone analysis of the impact of leakage when a scheme is known to provide both confidentiality and authenticity.

In our notion, we consider the scenario where there may be multiple possible leaked plaintexts with multiple checks for errors. We associate the leaked plaintext with the error flag that it triggers. We require that an adversary should not be able to distinguish the leaked plaintext from a random bitstring of the minimum leakage length. On the top of this requirement, we introduce two sub-notions IND-rCCA1 and IND-rCCA2 about the error messages. In IND-rCCA1, we follow [BDPS14] to require that the adversary should not be able to trigger an error except for the first error.

In IND-rCCA2, we impose a stronger security requirement that there should be only one error disclosed (whether implicitly by leakage or explicitly by decryption) even there are multiple checks involved. We call this property as *error singularity*. This notion ensures that if one of the checks fails to work properly (due to implementation failure or being bypassed), the adversary should not be able to tell such a failure. This notion also captures that if adversary’s query passes one of the checks, then this does not grant the adversary with certainty that its strategy is effective in breaking the check thus to prevent such a strategy to be used in the subsequent queries.

We then extend this notion to a stronger version, IND-sf-rCCA, to formalize stateful security in scenarios involving ciphertext reordering, omissions, and deletions within stateful schemes, where the receiver and sender share the synchronous state. We follow our notion to analyze the stateful security of the Encode-then-Encipher (EtE) paradigm [BR00] which is the mainstream way to construct robust AE by using counter for nonce.

We present a minimal modification to boost the robustness of the Encode-then-Encrypt-then-MAC (EEM) paradigm. Our construction can be interpreted as a substitution of the MAC with a tweakable VIL cipher. At a high level, we encipher the segment of the message containing the encoding in the plaintext by additionally padding it with zeros for authenticity, and just encrypt the remaining segment with any symmetric encryption scheme as usual. This enables simultaneous verification for authenticity via checks for presence of correct number of zeros in the deciphered string and validation of correct encoding. Moreover, in line with the concept of authenticity from existing redundancy in message by Bellare and Rogaway in [BR00], the existing encoding can also serve as a part of authenticity. Thus the ciphertext is authentic if the encoding is correct. This also allows us to obfuscate error flags thus achieve error singularity. We provide a proof that our construction satisfies common robustness and security requirements according to our notion.

2 Preliminaries

2.1 Notation

We introduce the following notations that will be used throughout the paper. Let $\mathbb{N} = \{1, 2, \dots\}$ denote the set of natural numbers. For each $n \in \mathbb{N}$, we define the set $[n] :=$

$\{1, \dots, n\}$. Given a set S , we use the notation $S^{\geq n} := \bigcup_{i \geq n} S^i$ to denote the set of all non-empty sequences of length at least n over S , and we define $S^+ := S^{\geq 1}$. Let $x = (x_1, \dots, x_\ell) \in S^+$ with $\ell \in \mathbb{N}$ be a sequence. We denote the length of x by $|x| := \ell$. For $y = (y_1, \dots, y_{\ell'}) \in S'$ with $\ell' \in \mathbb{N}$, we define the concatenation of x and y as $x||y = (x_1, \dots, x_\ell, y_1, \dots, y_{\ell'})$. When $S = \{0, 1\}$, we refer to such sequences as bit strings. Let $i \in \{0, 1, \dots\}$, we denote the ℓ -bit string representation of i as $[i]_\ell$. We let notation $S[a..b]$ represent the substring of S that includes indices ranging from a to b . We use ε to denote empty string where $|\varepsilon| = 0$.

We model a look-up table \mathbf{T} that maps key bit strings of length k to value bit strings of length v as a function $\{0, 1\}^k \rightarrow \{0, 1\}^v \cup \{\perp\}$, where \perp is a special value not belonging to $\{0, 1\}^v$. To initialize \mathbf{T} to an empty table, we use the notation $\mathbf{T} \leftarrow []$. To assign a value V to a key K in \mathbf{T} , we use the notation $\mathbf{T}[K] \leftarrow V$. If a value has previously been assigned to K in \mathbf{T} , it will be overwritten by V . To read a value associated with a key K in \mathbf{T} and assign it to V , we use the notation $V \leftarrow \mathbf{T}[K]$. If there is no value associated with K in \mathbf{T} , V will be assigned the special value \perp .

Let S be a finite set. We define the notation $x \leftarrow_{\$} S$ to represent the selection of a value from the set S uniformly at random, which we then assign to the variable x . For an algorithm \mathcal{A} , we use the notation $y \leftarrow \mathcal{A}^{\mathcal{O}_1, \mathcal{O}_2, \dots}$ to denote running \mathcal{A} given access to oracles $\mathcal{O}_1, \mathcal{O}_2, \dots$, and then assigning of the output of \mathcal{A} to y .

2.2 Game-Based Proof

We follow the code-based game-playing framework of Bellare and Rogaway [BR06]. This framework utilizes a game G that consists of an *Initialization* procedure (INIT), a *Finalization* procedure (FINALIZE), and a set of oracle procedures, number of which varies depending on the specific game. An adversary \mathcal{A} interacts with the oracles, which return responses to the queries made by the adversary via return statements specified in the oracles' codes.

A game G is initiated with the INIT procedure, followed by the adversary's interaction with the oracle. After a number of oracle queries, the adversary halts and outputs an *adversary output*. The procedure FINALIZE is then executed to generate a *game output*. If a finalization procedure is not explicitly defined, we consider the *adversary output* as the *game output*. We denote $\Pr[\mathcal{A}^{\text{INIT}, \mathcal{O}_1, \mathcal{O}_2, \dots} \Rightarrow b]$ as the probability that the adversary \mathcal{A} outputs a value b after the INIT procedure and queries to the oracle $\mathcal{O}_1, \mathcal{O}_2, \dots$. We denote $\Pr[G(\mathcal{A}) \Rightarrow b]$ as the probability that a game G outputs b when the adversary \mathcal{A} plays game G . For simplicity, we define $\Pr[G(\mathcal{A})] := \Pr[G(\mathcal{A}) \Rightarrow 0]$.

For notion simplicity, we write $\Delta_{\mathcal{A}}(\mathcal{O}_L; \mathcal{O}_R) := \Pr[\mathcal{A}^{\mathcal{O}_L} \Rightarrow 0] - \Pr[\mathcal{A}^{\mathcal{O}_R} \Rightarrow 0]$ to denote \mathcal{A} 's advantage in distinguishing between the oracles \mathcal{O}_L and \mathcal{O}_R . We define the symbol \perp to represent an oracle that always outputs the invalid symbol \perp . We use the notation $\mathcal{S}^{\mathcal{O}}$ to refer to an oracle that, on an input X , selects a value Y' uniformly at random from the space of all possible outputs with $|Y| = |Y'|$ where $\mathcal{O}(X) = Y$, and then returns Y' . We implicitly assume that $\mathcal{S}^{\mathcal{O}}$ effectively employs lazy sampling, meaning that whenever a repeated input X is queried, $\mathcal{S}^{\mathcal{O}}(X)$ always returns the same output, and otherwise samples a fresh uniform value.

2.3 Robust Authenticated Encryption (RAE)

We present the definition for RAE since our notions can be also applied to formalize the security of RAE schemes. We extend the nonce-based definition in [HKR15] to a stateful scheme to address potential states utilized during encryption and decryption. We present two sets of definitions for *stateful* RAE (sRAE) in Definition 1 and *nonce-based* RAE (nRAE) in Definition 2.

Definition 1 (Stateful RAE (sRAE)). A stateful robust authenticated encryption (sRAE) scheme is a tuple $\Pi = (\mathcal{E}, \mathcal{D})$ specifies two *stateful* algorithms

$$\mathcal{E} : \mathcal{K} \times \mathcal{AD} \times \mathbb{N} \times \mathcal{M} \times \mathcal{ST}_{\mathcal{E}} \rightarrow \mathcal{C} \times \mathcal{ST}_{\mathcal{E}}$$

and

$$\mathcal{D} : \mathcal{K} \times \mathcal{AD} \times \mathbb{N} \times \mathcal{C} \times \mathcal{ST}_{\mathcal{D}} \rightarrow \mathcal{M} \cup \{\perp\} \times \mathcal{ST}_{\mathcal{D}}$$

where $\mathcal{K} \subseteq \{0, 1\}^*$ is the space of keys, $\mathcal{M} \subseteq \{0, 1\}^*$ is the space of plaintexts, $\mathcal{C} \subseteq \{0, 1\}^*$ is the space of ciphertexts, $\mathcal{AD} \subseteq \{0, 1\}^*$ is the space of associated data, $\mathcal{ST}_{\mathcal{E}}$ is the space of encryption states, $\mathcal{ST}_{\mathcal{D}}$ is the space of decryption states. The encryption algorithm \mathcal{E} takes a five-tuple $(K, A, \tau, M; \text{st}_{\mathcal{E}}) \in \mathcal{K} \times \mathcal{AD} \times \mathbb{N} \times \mathcal{M} \times \mathcal{ST}_{\mathcal{E}}$, returns a ciphertext-state pair $(C; \text{st}'_{\mathcal{E}}) \leftarrow \Pi.\mathcal{E}_K^{A, \tau; \text{st}_{\mathcal{E}}}(M)$, such that $C \in \mathcal{C}$ and $|C| = |M| + \tau$. The decryption algorithm \mathcal{D} takes a five-tuple $(K, A, \tau, C; \text{st}_{\mathcal{D}}) \in \mathcal{K} \times \mathcal{AD} \times \mathbb{N} \times \mathcal{C} \times \mathcal{ST}_{\mathcal{D}}$, and returns a message-state pair $(M; \text{st}'_{\mathcal{D}}) \leftarrow \Pi.\mathcal{D}_K^{A, \tau; \text{st}_{\mathcal{D}}}(C)$ such that $M \in \mathcal{M} \cup \{\perp\}$. If there is no $M \in \mathcal{M}$ such that $C = \Pi.\mathcal{E}_K^{A, \tau; \text{st}_{\mathcal{E}}}(M)$, then $\Pi.\mathcal{D}_K^{A, \tau; \text{st}_{\mathcal{D}}}(C) = \perp$.

Definition 2 (Nonce-Based RAE (nRAE)). A nonce-based robust authenticated encryption (nRAE) scheme is a tuple $\Pi = (\mathcal{E}, \mathcal{D})$ specifies two algorithms

$$\mathcal{E} : \mathcal{K} \times \mathcal{N} \times \mathcal{AD} \times \mathbb{N} \times \mathcal{M} \rightarrow \mathcal{C}$$

and

$$\mathcal{D} : \mathcal{K} \times \mathcal{N} \times \mathcal{AD} \times \mathbb{N} \times \mathcal{C} \rightarrow \mathcal{M} \cup \{\perp\}$$

where $\mathcal{K} \subseteq \{0, 1\}^*$ is the space of keys, $\mathcal{N} \subseteq \{0, 1\}^*$ is the space of nonces, $\mathcal{M} \subseteq \{0, 1\}^*$ is the space of plaintexts, $\mathcal{C} \subseteq \{0, 1\}^*$ is the space of ciphertexts, $\mathcal{AD} \subseteq \{0, 1\}^*$ is the space of associated data. The encryption algorithm \mathcal{E} takes a five-tuple $(K, N, A, \tau, M) \in \mathcal{K} \times \mathcal{N} \times \mathcal{AD} \times \mathbb{N} \times \mathcal{M}$, returns a ciphertext $C \leftarrow \Pi.\mathcal{E}_K^{N, A, \tau}(M)$ such that $C \in \mathcal{C}$ and $|C| = |M| + \tau$. The decryption algorithm \mathcal{D} takes a five-tuple $(K, N, A, \tau, C) \in \mathcal{K} \times \mathcal{N} \times \mathcal{AD} \times \mathbb{N} \times \mathcal{C}$, and returns a message $M \leftarrow \Pi.\mathcal{D}_K^{N, A, \tau}(C)$ such that $M \in \mathcal{M} \cup \{\perp\}$. If there is no $M \in \mathcal{M}$ such that $C = \Pi.\mathcal{E}_K^{N, A, \tau}(M)$, then $\Pi.\mathcal{D}_K^{N, A, \tau}(C) = \perp$.

3 Security Notions

We introduce the notion IND-rCCA to formalize the security and robustness of a nonce-based AE scheme, and the notion IND-sf-rCCA for a synchronous stateful AE scheme. Our notions can be seen as a natural extension from common notions used to formalize AE security including IND-CCA3 [Shr04] for nonce-based schemes and IND-sfCCA [BKN04] for stateful schemes. Notably, we include the expansion parameter τ defined for RAE scheme in our notion. For fixed-expansion schemes, the parameter τ can be discarded.

We define the decryption function \mathcal{D} in such a way that it only generates a single error, denoted as \perp , and we let \perp be the error message explicitly revealed to the adversary. This aligns with the common strategy adopted by many schemes to mitigate security risks associated with the disclosure of multiple errors. With our notion, we aim to formalize the security when plaintexts and error flags are implicitly disclosed to the adversary. By *implicit leakage*, an example situation that can be considered is where a scheme uses multiple boolean values for error checks. Even though the decryption function only returns \perp as the output, the adversary might still deduce which error occurred by inspecting the flag values stored in memory.

We omit the discussion for errors which adversary trivially knows the result even without querying, for example, ciphertext is shorter than the minimum length supported by a scheme, or ciphertext is not a multiple of the block size etc. Such query does not grant the adversary with extra advantage in distinguishing or forging since the adversary trivially knows the result of such a query.

LEAKAGE SIMULATOR FUNCTION. Inspired by SAE notion introduced by Barwell et al. in [BPS15], we use a *leakage simulator function* \mathcal{L} to capture information that may inadvertently leak when decryption fails. We improve the definition to capture the leakage of multiple candidate plaintexts and multiple error flags. We define the leakage function \mathcal{L} as in Definition 3. Here we present the definition for a nonce-based scheme, one can replace the nonce space \mathcal{N} with decryption state space $\mathcal{ST}_{\mathcal{D}}$ for the definition for stateful schemes.

Definition 3. The leakage simulation function \mathcal{L} is a function

$$\mathcal{L} : \mathcal{K} \times \mathcal{N} \times \mathcal{AD} \times \mathbb{N} \times \mathcal{C} \rightarrow (\{0, 1\}^\ell \times \Sigma) \cup \{\top\} \cup \{\perp\}$$

where Σ is the space for error message such that the following conditions hold:

1. $\mathcal{L}_K^{N,A,\tau}(C) = \perp$ if there is no leaked plaintext *and* there is no error message implicitly revealed. This is defined by the scheme and holds *regardless of* whether $\mathcal{D}_K^{N,A,\tau}(C) = \perp$ or not for a queried ciphertext C .
2. $\mathcal{L}_K^{N,A,\tau}(C) = \top$ if C is a valid ciphertext *and* $\mathcal{L}_K^{N,A,\tau}(C) \neq \perp$.
3. $\mathcal{L}_K^{N,A,\tau}(C) = (M, \perp)$ with $|M| > 0$ if there is leaked plaintext *and* there is no error message implicitly revealed. Here M represents the leaked plaintext and \perp is the error message explicitly disclosed by the decryption function \mathcal{D} .
4. $\mathcal{L}_K^{N,A,\tau}(C) = (M_i, \sigma_i) \in \{0, 1\}^\ell \times \Sigma$ where $\ell \geq 0$ and $|\Sigma| \geq 2$ if there are *more than one* error message *implicitly* revealed to the adversary. Here M_i is the leaked plaintext and σ_i represents its corresponding error message and $\sigma_i \neq \sigma_j \neq \perp$ for all $i \neq j$. In the case where there is no leaked plaintext associated with a specific error message σ_i , we set M_i to the empty string ε . We assume the check for σ_i occurs before σ_j when $i < j$.
5. The correctness is defined by: if $\mathcal{D}_K^{N,A,\tau}(C) = \perp$, then $\mathcal{L}_K^{N,A,\tau}(C) \neq \top$, and if $\mathcal{L}_K^{N,A,\tau}(C) = \top$, then $\mathcal{D}_K^{N,A,\tau}(C) \neq \perp$.

Remark. To have an error message to be implicitly disclosed, the scheme must incorporate a minimum of two failure checks. Otherwise, the error message revealed by the leakage function is essentially equivalent to the single error \perp produced by the decryption function. This is why, in our definition of \mathcal{L} , we specify that it outputs \perp when no error message is implicitly disclosed.

Simultaneously, if the scheme defines more than one check for failure, it yields that $\sigma_i \neq \sigma_j$ for $i \neq j$ and $\sigma_i \neq \perp$ for $i \leq |\Sigma|$. This condition arises because if $\sigma_i = \sigma_j$ for $i \neq j$, all errors become identical, rendering them equivalent to \perp . Additionally, when an adversary's query fails at one of the checks, it provides information to distinguish the outcome from \perp .

Example 1. We show two examples of the outputs of the leakage simulator function as follows. Here we consider tag-based schemes and omit the expansion parameter τ .

1. Encrypt-then-MAC (EtM) [BN00]: The paradigm reveals no plaintext when decryption fails, since the tag is authenticated using the MAC scheme on the ciphertext, and the ciphertext remains undecrypted when authentication fails. It is easy to see that EtM only reveals one error message, that is, due to authenticity-check failure. Thus $\mathcal{L}_K^{N,A}(C) = \perp$.

2. Encode-then-Encrypt-then-MAC (EEM) [BKN04]: In this paradigm, the plaintext is first encoded using, for instance, PKCS padding [Hou09]. Thus the possible outputs of \mathcal{L} are $\mathcal{L}_K^{N,A}(C) = (\varepsilon, \sigma_1)$ where σ_1 indicates that the authenticity-check on tag fails, or $\mathcal{L}_K^{N,A}(C) = (M, \sigma_2)$ where $M \in \{0, 1\}^\ell$ denotes the plaintext in incorrect encoding, and σ_2 indicates an error in decoding.

ERROR MERGING. It is easy to see, if multiple error messages are associated with the same leaked plaintext M , then the scheme can “merge” those error messages into one error message, for example, using an AND statement. Consequently, M fails multiple checks simultaneously, thereby circumventing the need for checks across multiple phases.

Observation 1. *Let (M, σ_i) and (M, σ_j) with $i \neq j$ be outputs of \mathcal{L} . Then \mathcal{L} can be configured to leak (M, σ') where σ' is the merged error of σ_i and σ_j . Notably, if the all possible outputs of \mathcal{L} are (M, σ_i) for $i > 1$ where $\sigma_i \in \Sigma$ is the error defined by the scheme and M is the same for all σ_i , then the scheme can be configured to only leak (M, \perp) for a invalid ciphertext.*

3.1 IND-rCCA Security

We describe the game for IND-rCCA notion for (robust) AE as in Figure 1. We introduce an addition oracle LEAK which implements \mathcal{L}_K to capture the information leaked during a decryption failure. This notion is defined for a nonce-based scheme.

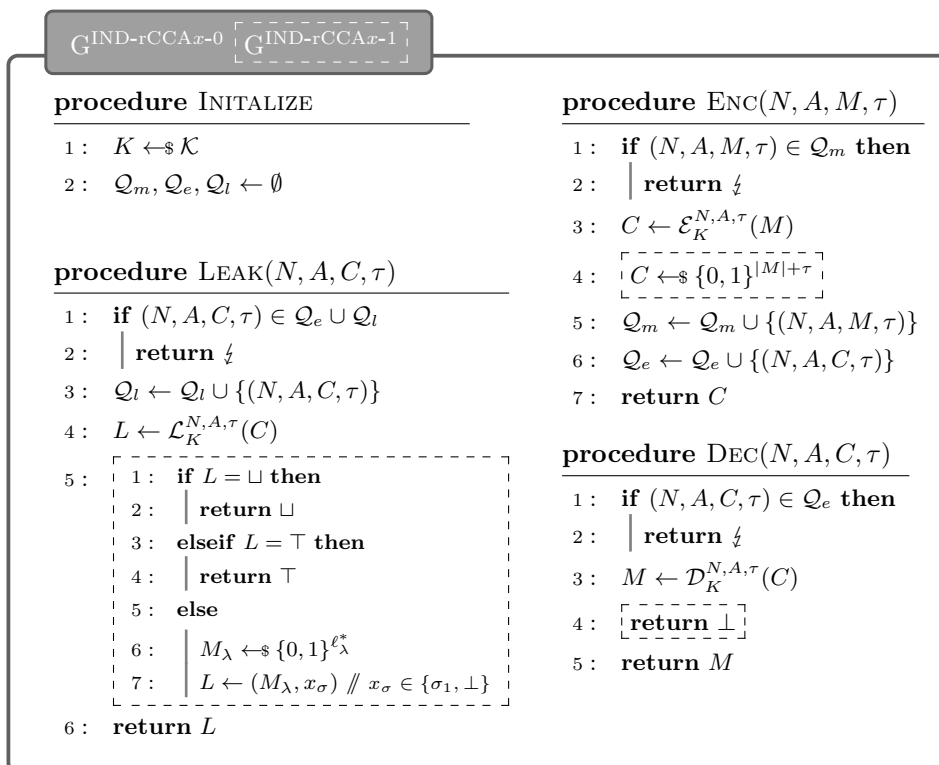


Figure 1: IND-rCCA x games for a nonce-based (robust) AE scheme Π . The dot-boxed parts are exclusive to $G^{\text{IND-rCCA}x-1}$. At Step 5 in LEAK, if it is game $G^{\text{IND-rCCA}x-1}$, then the dot-boxed code is executed. Here we define ℓ_λ^* as the minimum achievable plaintext leakage concerning a tuple (N, A, τ) and the ciphertext length $|C|$. We let $\ell_\lambda^* \geq 0$ if $x_\sigma = \sigma_1$ and $\ell_\lambda^* > 0$ if $x_\sigma = \perp$.

Definition 4 (IND-rCCA x).

$$\mathbf{Adv}_{\Pi}^{\text{IND-rCCA}x}(\mathcal{A}) := \Pr[G_{\Pi}^{\text{IND-rCCA}x-0}(\mathcal{A})] - \Pr[G_{\Pi}^{\text{IND-rCCA}x-1}(\mathcal{A})]$$

for $x \in \{1, 2\}$.

OBSERVATION ON THE NOTION. We adopt the *real-or-ideal* oracle for LEAK. In the ideal world, the oracle first checks if the leakage function returns \perp to indicate no leakage at all, or \top to indicate a valid ciphertext. In this case, the adversary should have 0 advantage in distinguishing by leakage and we return the same result. Otherwise, the oracle samples a bitstring M_{λ} uniformly at random of the length of the minimum plaintext leakage ℓ_{λ}^* defined by the scheme with respect to a tuple (N, A, τ) and a ciphertext length $|C|$ (ε if the length is 0). For example, if the output of \mathcal{L} is in $\{(M_1, \sigma_1), (M_2, \sigma_2)\}$ with $|M_1| < |M_2|$. Then the minimum length $\ell_{\lambda}^* = |M_1|$. Otherwise if \mathcal{L} output (M, \perp) , then $\ell_{\lambda}^* = |M|$.

ERROR INVARIANCE AND SINGULARITY. Based on $x_{\sigma} \in \{\sigma_1, \perp\}$, we define two sub-notions on the error flag. We name them as IND-rCCA1 and IND-rCCA2 respectively. For notation simplicity, we use IND-rCCA to denote both IND-rCCA1 and IND-rCCA2 if a result applies to both notions.

1. **IND-rCCA1 (*Error Invariance*):** The tuple (M_{λ}, σ_1) is returned in the ideal world. Our goal with this sub-notion is to ensure that 1) an adversary cannot distinguish between the leaked plaintext and a random bitstring of the minimum leakage length defined by the scheme and 2) the adversary cannot trigger an error except for the first error σ_1 . These conditions collectively signify that the adversary should not be able to induce plaintext leakage exceeding the minimum leakage length, and the leaked plaintext corresponding to the first error must be minimal. Observe that this notion can be considered as a variant of the error invariance (INV-ERR) notion in [BDPS14] by also disclosing leaked plaintext.
2. **IND-rCCA2 (*Error Singularity*):** The tuple (M_{λ}, \perp) is returned in the ideal world. With this notion, in addition to ensuring that indistinguishability of the leaked plaintext, we require that the leakage function also discloses only one error just like decryption (even there are multiple checks). With this notion, we evaluate the disparity between the actual leakage and a single-error decryption function. The intuition of the notion is to ensure that:
 - The adversary gains no meaningful plaintext information unless all checks are successful.
 - If one of the checks fails to function properly (due to implementation flaws or being bypassed by adversary), the adversary remains unaware of the failure.
 - If adversary's query passes one of the checks, then the adversary should not be certain that its strategy is effective in breaking the check.

Observe that if there is not leakage at all i.e., the output of \mathcal{L} is \perp , it trivially satisfies both IND-rCCA1 and IND-rCCA2 notion. Also, a scheme that reveals a single error \perp (both implicitly via leakage and explicitly via decryption) is trivially IND-rCCA1 secure if the leaked plaintext is minimum and indistinguishable, since there is no other error message that can be generated to be distinguished from the first and the only error \perp . Conversely, a scheme that discloses multiple errors cannot be IND-rCCA2. However, to incentivize the development of single-error schemes, in Proposition 1, we show that IND-rCCA2 is strictly stronger than IND-rCCA1 when there are at least two errors i.e., $|\Sigma| \geq 2$.

Proposition 1. IND-rCCA2 implies IND-rCCA1 for a scheme that includes at least two errors i.e., $|\Sigma| \geq 2$.

Proof (Sketch). (IND-rCCA2 \rightarrow IND-rCCA1). Suppose we have an adversary \mathcal{A} that breaks IND-rCCA1 security. Then \mathcal{A} 's query to LEAK yields (M, σ_i) with $|M| \geq |M_\lambda|$ or $\sigma_i \neq \sigma_1$ to be distinguished from (M_λ, σ_1) where M_λ is the random bitstring of the minimum leakage length. In all the cases, we can use \mathcal{A} to distinguish from (M_λ, \perp) .

(IND-CCA1 $\not\rightarrow$ IND-rCCA2). Consider an AE scheme that is IND-rCCA1 secure with two errors σ_1, σ_2 where $\sigma_1 \neq \sigma_2$ and $\sigma_1 \neq \perp$. It yields immediate distinguishing since σ_1 will be output to be distinguished from \perp for almost any query. \square

EXTRACTION OF IND-ERR. We can then extract the notion IND-ERR, enabling a focused analysis of the impact of such leakage. The adversary is granted access to the honest execution of encryption and decryption, allowing for an individual examination of the influence of the leakage. Similarly, we can define IND-ERR1 and IND-ERR2 based on $x_\sigma \in \{\sigma_1, \perp\}$ respectively. Here for notion simplicity, we let $\mathcal{E}_K, \mathcal{D}_K$ and \mathcal{L}_K be ENC, DEC and LEAK in game $G^{\text{IND-rCCA}x-0}$ in Figure 1 respectively and we let \mathcal{L} be the LEAK oracle as in Figure $G^{\text{IND-rCCA}x-1}$ in Figure 1. For notation simplicity, we use IND-ERR to denote both IND-ERR1 and IND-ERR2 if a result applies to both notions.

Definition 5 (IND-ERR x).

$$\text{Adv}_{\Pi}^{\text{IND-ERR}x}(\mathcal{A}) := \Delta_{\mathcal{A}}(\mathcal{E}_K, \mathcal{D}_K, \mathcal{L}_K; \mathcal{E}_K, \mathcal{D}_K, \mathcal{L})$$

for key $K \leftarrow_{\$} \mathcal{K}$ and $x \in \{1, 2\}$.

Corollary 1. IND-ERR2 implies IND-ERR1 for a scheme that includes at least two errors i.e., $|\Sigma| \geq 2$.

Proof. Follow a similar proof of Proposition 1. \square

PROHIBITED QUERIES. We specify the following generally prohibited queries to prevent trivial wins. These prohibitions are defined for both IND-rCCA and IND-ERR notions. We let the oracles return the invalid symbol ζ for those prohibited queries.

1. The adversary is not allowed to use the output of ENC to query DEC or LEAK.
2. The adversary is not allowed to repeat a query to ENC or LEAK with the same tuple.

Also, we do allow the adversary to repeat the nonce to capture the security when a nonce is possibly misused. Additionally, we stress that, we allow an adversary to query with variable stretch parameter, that is, the adversary can query with $\tau_1 \neq \tau_2$ in different queries. Indeed, with small stretch, the adversary may trivially win the INT-CTXT game and IND-ERR game. However, this still captures the *best achievable* security with respect to a selected stretch parameter.

3.2 IND-sf-rCCA Security

We describe the game for IND-sf-rCCA notion for stateful (robust) AE as in Figure 2. This notion is specifically for the algorithm that uses synchronous states between the sender and the receiver. We make the extension from IND-sf-CCA notion introduce in [BKN04] by introducing the leakage oracle.

Notably, we consider two types of stateful algorithms: one employs synchronous states between two communicating parties, while the other does not. By ‘‘synchronous’’, we mean that at the i -th query, the exact same state is applied for both encryption and decryption. For instance, a stateful Counter mode (CTR) that utilizes states to monitor the counter used can be viewed as utilizing synchronous states. In contrast, the SCB mode [Ban22], which utilizes states to monitor block repetition, features asynchronous states between the encryption and decryption parties. This notion is specifically defined for schemes that uses synchronous states between sender and receiver. We then define IND-sf-rCCA as follows.

Definition 6 (IND-sf-rCCA x).

$$\mathbf{Adv}_{\Pi}^{\text{IND-sf-rCCA}x}(\mathcal{A}) := \Pr[G_{\Pi}^{\text{IND-sf-rCCA}x-0}(\mathcal{A})] - \Pr[G_{\Pi}^{\text{IND-sf-rCCA}x-1}(\mathcal{A})]$$

for $x \in \{1, 2\}$.

Proposition 2. IND-sf-rCCA2 implies IND-sf-rCCA1 for a scheme that includes at least two errors i.e., $|\Sigma| \geq 2$.

Proof. Follow a similar proof of Proposition 1. \square

EXTRACTION OF IND-sf-ERR. We then similarly extract the IND-sf-ERR notion from that of IND-sf-rCCA. Here for notion simplicity, we let \mathcal{E}_K , \mathcal{D}_K and \mathcal{L}_K denote the oracles ENC, DEC and LEAK respectively in game $G_{\Pi}^{\text{IND-sf-rCCA}x-0}$ in Figure 2. Additionally, we use $\mathcal{L}^{\mathcal{L}}$ to denote the oracle LEAK in game $G_{\Pi}^{\text{IND-sf-rCCA}x-1}$ in Figure 2. We define IND-sf-ERR as follows.

Definition 7 (IND-sf-ERR x).

$$\mathbf{Adv}_{\Pi}^{\text{IND-sf-ERR}x}(\mathcal{A}) := \Delta_{\mathcal{A}}(\mathcal{E}_K, \mathcal{D}_K, \mathcal{L}_K; \mathcal{E}_K, \mathcal{D}_K, \mathcal{L}^{\mathcal{L}})$$

for key $K \leftarrow_{\mathcal{K}}$ and $x \in \{1, 2\}$.

Corollary 2. IND-sf-ERR2 implies IND-sf-ERR1 for a scheme that includes at least two errors i.e., $|\Sigma| \geq 2$.

Proof. Follow a similar proof of Proposition 1. \square

PROHIBITED QUERIES. In addition to the queries to DEC or LEAK with in-order ciphertext from ENC, we prohibit the adversary from making *consecutive* repeated queries to the LEAK oracle. That is because two queries to LEAK with the same tuple and the same state yield the same result and allow the adversary to trivially win the game. Thus we require that there must be one query to DEC between two successive queries to LEAK. This restriction also aligns with real-world scenarios since leakage can only occur if the decryption function is invoked. The underlying idea is that, following each update of states, even when queried with the same tuple, the leakage should appear distinct and random. Those prohibited queries are defined for both IND-sf-rCCA and IND-sf-ERR notions. We let the oracles return the invalid symbol ζ for those prohibited queries.

3.3 Separation and Relations

DECOMPOSITION THEOREMS. We decompose IND-rCCA notion into IND-CPA plus INT-CTXT plus IND-ERR, which captures the security goals of confidentiality, authenticity, and security under decryption leakage respectively. Here we define IND-CPA as *real-or-random* security i.e., indistinguishability from random bits as defined in [AR02] and [RBBK01]. We follow the definition of INT-CTXT as in [BN00].

Theorem 1. For $x \in \{1, 2\}$, for any IND-rCCA x adversary \mathcal{A} , there exist an IND-CPA adversary \mathcal{A}_{cpa} , an INT-CTXT adversary \mathcal{A}_{int} and an IND-ERR x adversary \mathcal{A}_{err} such that

$$\begin{aligned} \mathbf{Adv}_{\Pi}^{\text{IND-rCCA}x}(\mathcal{A}) &\leq \mathbf{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{A}_{cpa}) + \mathbf{Adv}_{\Pi}^{\text{INT-CTXT}}(\mathcal{A}_{int}) \\ &\quad + \mathbf{Adv}_{\Pi}^{\text{IND-ERR}x}(\mathcal{A}_{err}). \end{aligned}$$

Proof. We rewrite the advantage as

$$\begin{aligned} \mathbf{Adv}_{\Pi}^{\text{IND-rCCA}x}(\mathcal{A}) &= \Delta_{\mathcal{A}}(\mathcal{E}_K, \mathcal{D}_K, \mathcal{L}_K; \mathcal{E}_K, \mathcal{D}_K, \mathcal{L}^{\mathcal{L}}) \\ &\quad + \Delta_{\mathcal{A}}(\mathcal{E}_K, \mathcal{D}_K, \mathcal{L}^{\mathcal{L}}; \mathcal{L}^{\mathcal{L}}, \perp, \mathcal{L}^{\mathcal{L}}). \end{aligned}$$

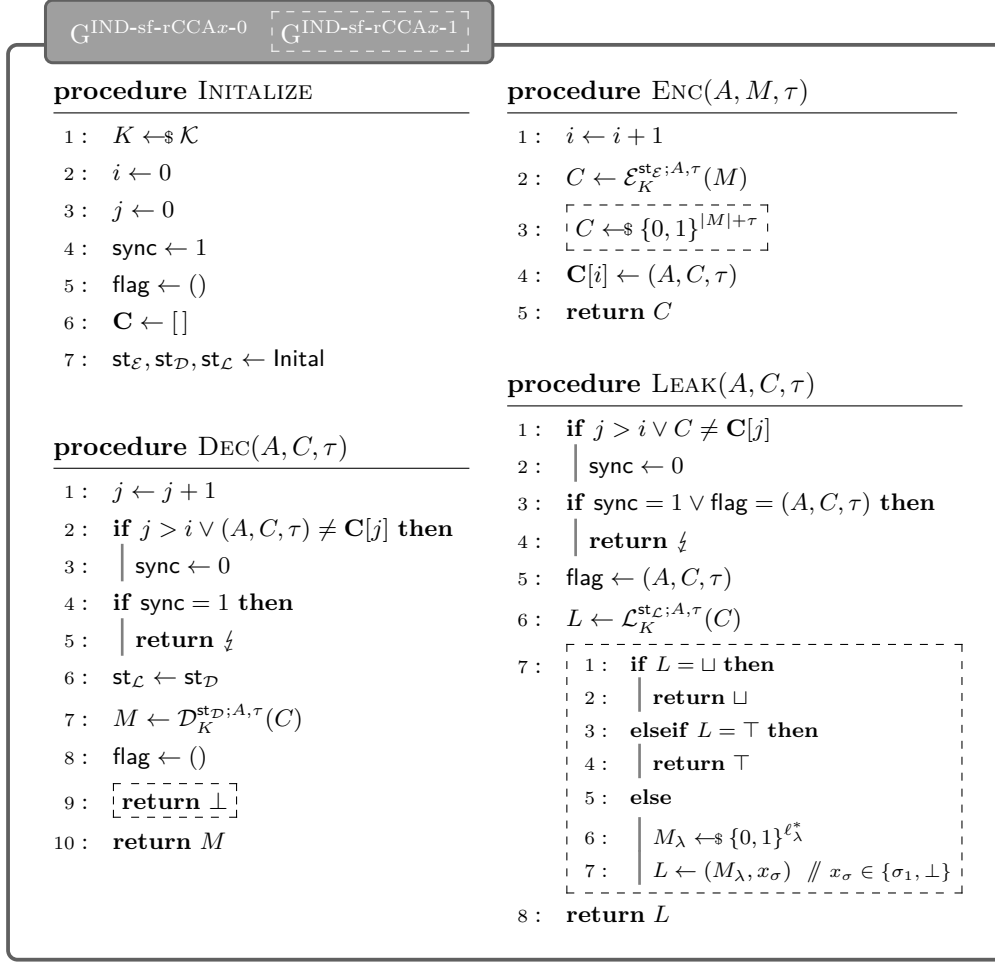


Figure 2: IND-sf-rCCA x games for a stateful (robust) AE scheme Π with synchronous states. The boxed parts are exclusively to game $G^{\text{IND-sf-rCCA}x-1}$. Here we define ℓ_{λ}^* as the minimum achievable plaintext leakage concerning a tuple (A, τ) and the ciphertext length $|C|$. We let $\ell_{\lambda}^* \geq 0$ if $x_{\sigma} = \sigma_1$ and $\ell_{\lambda}^* > 0$ if $x_{\sigma} = \perp$. Here we use **Initial** to denote the initial state. In Line 6 of DEC, we copy the decryption state $\text{st}_{\mathcal{D}}$ to the leakage state $\text{st}_{\mathcal{L}}$ for synchronization, and we still call \mathcal{D} to update $\text{st}_{\mathcal{D}}$ in ideal world and thus to update $\text{st}_{\mathcal{L}}$. Here **flag** is to ensure the adversary does not make prohibited queries.

By definition, we have that

$$\mathbf{Adv}_{\Pi}^{\text{IND-ERR}x}(\mathcal{A}_{err}) = \Delta_{\mathcal{A}_{err}}(\mathcal{E}_K, \mathcal{D}_K, \mathcal{L}_K; \mathcal{E}_K, \mathcal{D}_K, \mathcal{L}).$$

for an IND-ERR x adversary \mathcal{A}_{err} .

Now given an adversary \mathcal{A} with $\mathbf{Adv}_{\Pi}(\mathcal{A}) = \Delta_{\mathcal{A}}(\mathcal{E}_K, \mathcal{D}_K, \mathcal{L}; \mathcal{E}, \perp, \mathcal{L})$, we can then construct an IND-CCA3 adversary \mathcal{B} from \mathcal{A} . If $x = 1$, we simulate the oracle \mathcal{L} by simply returning \perp if no leakage is defined by the scheme or sampling a bitstring M_{λ} of the minimum leakage length uniformly at random and return the tuple (M_{λ}, \perp) as response to \mathcal{A} 's queries. Otherwise if $x = 2$, we return the tuple (M_{λ}, σ_1) where σ_1 is the first error message. We then have \mathcal{B} return the same bit b returned by \mathcal{A} . We can then bound the advantage of \mathcal{B} as follow.

$$\Delta_{\mathcal{A}}(\mathcal{E}_K, \mathcal{D}_K, \mathcal{L}; \mathcal{E}, \perp, \mathcal{L}) \leq \mathbf{Adv}_{\Pi}^{\text{IND-CCA3}}(\mathcal{B})$$

Now following [Shr04, Theorem 2], we can further decompose the advantage as

$$\begin{aligned} \mathbf{Adv}_{\Pi}^{\text{IND-rCCA}x}(\mathcal{A}) &\leq \mathbf{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{A}_{cpa}) + \mathbf{Adv}_{\Pi}^{\text{INT-CTXT}}(\mathcal{A}_{int}) \\ &\quad + \mathbf{Adv}_{\Pi}^{\text{IND-ERR}x}(\mathcal{A}_{err}). \end{aligned}$$

□

Similarly, we can decompose IND-sf-rCCA notion into IND-CPA plus INT-sf-CTXT plus IND-sf-ERR. Here we replace the *left-or-right* encryption oracle with a *real-or-random* oracle in the definition of IND-sfCCA advantage in [BKN04] and we follow the definition of INT-sf-CTXT as in [BKN04].

Theorem 2. *For $x \in \{1, 2\}$, for any IND-sf-rCCA x adversary \mathcal{A} , there exist an IND-CPA adversary \mathcal{A}_{cpa} , an INT-sf-CTXT adversary \mathcal{A}_{int} and an IND-sf-ERR x adversary \mathcal{A}_{err} such that*

$$\begin{aligned} \mathbf{Adv}_{\Pi}^{\text{IND-sf-rCCA}x}(\mathcal{A}) &\leq \mathbf{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{A}_{cpa}) + \mathbf{Adv}_{\Pi}^{\text{INT-sf-CTXT}}(\mathcal{A}_{int}) \\ &\quad + \mathbf{Adv}_{\Pi}^{\text{IND-sf-ERR}x}(\mathcal{A}_{err}). \end{aligned}$$

Proof. The proof follows a similar proof of Theorem 1 by replacing IND-CCA3 with IND-sfCCA. □

IMPLICATION BETWEEN NOTIONS. The following set of relationships is inherently obvious. We present them here to provide completeness and we omit proofs since they are trivial.

Proposition 3. IND-sf-rCCA x implies IND-rCCA x for $x \in \{1, 2\}$.

Corollary 3. IND-sf-ERR x implies IND-ERR x for $x \in \{1, 2\}$.

SEPARATION FROM AE NOTIONS. Note that in IND-ERR notions, we return \top both in the real world and the ideal world. This removes the overlap with integrity notion. In Proposition 4, we separate IND-ERR from INT-CTXT and IND-CCA3 by showing that there is no implication between those notions.

Proposition 4. IND-ERR does not imply INT-CTXT and IND-CCA3 does not imply IND-ERR

Proof (Sketch). (IND-ERR $\not\rightarrow$ INT-CTXT). We consider an Encrypt-then-MAC scheme where $C = M \oplus K_E$ and $T = C \oplus K_M$. The ciphertext returned is $C||T$. Note that there is no leaked plaintext since the tag is computed based on the ciphertext, and there is only one error message with tag checking. Thus both oracles will output \perp meaning that IND-ERR advantage is 0. Nevertheless, an adversary can forge a valid ciphertext by querying the encryption oracle to obtain $C||T$ and returning $C \oplus 1^n||T \oplus 1^n$ as forgery.

(IND-CCA3 $\not\rightarrow$ IND-ERR2). We consider the Encrypt-then-MAC paradigm in which the MAC scheme is strongly unforgeable. However, we consider a configuration in which the ciphertext is first decrypted before verifying the tag during the decryption process. Encrypt-then-MAC is IND-CCA3 secure as established by combining the results from [BN00] and [Shr04]. Thus the leakage tuple will be (M, \perp) where M is the plaintext. The adversary can exploit this by taking a ciphertext obtained from a previous encryption query, replacing the valid tag with an invalid one to create a new ciphertext and induce a decryption failure in the leakage oracle. Consequently, the adversary can break the IND-ERR2 security by comparing the plaintext used in that encryption query with the obtained leakage.

(IND-CCA3 $\not\rightarrow$ IND-ERR1). We again consider Encrypt-then-MAC with the “decryption first” configuration. We assume the plaintext is encoded and we let σ_1 be the error of incorrect encoding and σ_2 be the authenticity failure. Following the same strategy, the adversary can bypass the check for encoding and trigger σ_2 . □

3.4 Comparison with Existing Notions

We make a brief comparison to differentiate our notion from the existing notions, specifically RAE security from [HKR15], the error invariance from [BDPS14], and error simulatability from [BPS15].

RAE SECURITY. In RAE security, the comparison is made with a random injection as a whole, whereas our notion focuses on the indistinguishability of the leakage itself. RAE formalize the leakage in a generalized way where a plaintext is always leaked in case of decryption failure, and the case involving multiple errors has not been studied. Regarding the plaintext leakage, one key property of RAE is to ensure that the leaked plaintext has length $|M| \neq |C| - \tau$ for a queried ciphertext C and an expansion parameter τ . Notably, our notion can also be adapted to capture that by additionally requiring ℓ_λ^* to be not equal to $|C| - \tau$.

ERROR INVARIANCE. In [BDPS14], Boldyreva et al. defined that a decryption scheme generates multiple error messages. The notion of error invariance (INV-ERR) dictates that the adversary must be unable to generate more than one of these possible error messages. Since the decryption scheme only outputs a single error message in our notion, we draw a parallel to our leakage simulator function. In IND-rCCA1, we require that the adversary cannot induce an error other than the first error message, aligning with the idea of error invariance. For IND-rCCA2, we require that the scheme should only produce a single error message, whether implicitly or explicitly. This automatically satisfies error invariance. Moreover, our notion associates the leaked plaintext with the error message, while error invariance primarily concerns the error message itself.

Proposition 5. IND-ERR *implies* INV-ERR.

Proof (Sketch). Suppose that there is an INV-ERR adversary \mathcal{A} , we can use it to construct an IND-ERR1 adversary \mathcal{B} as follows. For each of \mathcal{A} 's decryption query, we let \mathcal{B} forward it to its oracle LEAK. Then if LEAK yields σ_1 , we let \mathcal{B} response \mathcal{A} 's query with \perp . Note that \mathcal{A} eventually queries a ciphertext C yielding an error other than \perp . When \mathcal{B} queries C , it yields a new error σ_2 . Also, IND-ERR2 implies INV-ERR following IND-ERR2 implies IND-ERR1 as in Corollary 1. \square

ERROR SIMULATABILITY. In [BPS15], Barwell et al. introduced the concept of a leakage simulator function. They define the leakage function \mathcal{L} as $\mathcal{L} : \mathcal{K} \times \mathcal{N} \times \mathcal{AD} \times \mathcal{C} \rightarrow \{\top\} \cup \Lambda$ where Λ represents the leakage space that accommodates various types of leakage including multiple errors, candidate plaintexts, arbitrary string, or the classical case where nothing is leaked. The security of error indistinguishability (ERR-CCA) is formalized by comparing oracles that implement \mathcal{L} with the real key K and a different random key K' .

We observed that the definition is in a very generalized way, and the comparison does not adequately capture the impact of the leakage itself due to an overlap with the integrity notion. For a valid ciphertext C , $\mathcal{L}_K(C)$ yields \top while $\mathcal{L}_{K'}(C)$ almost for sure outputs something other than \top to be distinguished. This requires us to always consider an integrity adversary when bounding the advantage, which fails to capture the impact of the leakage itself. This is more apparent when there is no leakage. An adversary should have 0 advantage in distinguishing by leakage when there is no leakage but we have to consider an integrity adversary then. Thus to resolve this issue, we let the oracles in both real and ideal world to return \top or \perp when the leakage function yields such an output.

PLAINTEXT AWARENESS. In [ABL⁺14], Andreeva et al. introduced *plaintext awareness* to capture the indistinguishability of the plaintext where the ciphertext is always decrypted and no check is not involved at all. Particularly, we consider the stronger version PA2 security and define it in Definition 8.

Definition 8 (PA2). Let $\tilde{\mathcal{D}}$ be the decryption function without authenticity check such that $\tilde{\mathcal{D}}$ always output a plaintext, then

$$\text{Adv}_{\Pi}^{\text{PA2}}(\mathcal{A}) := \Delta_{\mathcal{A}}(\mathcal{E}_K, \tilde{\mathcal{D}}_K, ; \mathcal{E}_K, \mathcal{S}^{\tilde{\mathcal{D}}})$$

for key $K \leftarrow_{\$} \mathcal{K}$.

Notably, PA2 security consider the indistinguishability of the plaintext when all checks are bypassed, whereas our IND-ERR2 notion stresses that the adversary should not tell which error has been triggered and use it for further attack. As discussed in many studies including [Vau02, CHVV03, PRS11], the revelation of the error message alone can lead to significant attacks. In Proposition 6, we separate our IND-ERR2 notion from PA2 security. However, we acknowledge the significance of PA security in ensuring the AE robustness as it guarantees the confidentiality of the plaintext when all check mechanisms are circumvented. We conclude that an AE scheme should achieve both IND-ERR2 and PA2 to be considered robust.

Proposition 6. PA2 security does not imply IND-ERR2 security, and IND-ERR2 security does not imply PA2 security.

Proof. (IND-ERR2 $\not\rightarrow$ PA2). We consider EtM paradigm as in Example 1. We know the IND-ERR2 advantage is 0 since there is no leaked plaintext and there is only one error. However, it is trivial to break PA2 security by changing the tag of a ciphertext obtained from a previous encryption query.

(PA2 $\not\rightarrow$ IND-ERR2). We consider the Encode-then-Encipher paradigm where two errors are checked. Thus the possible outputs of \mathcal{L} are (M, σ_1) and (M, σ_2) where M is the deciphered string. Then it is PA2 secure if the cipher is secure as a tweakable pseudorandom permutation. However, it is not IND-ERR2 secure since for almost every query σ_1 will be output to be distinguished from \perp . \square

Remark. This proof may be somehow abstract. In the following discussion, we will show a better example on the separation of the two notions.

4 Stateful Security of Encode-then-Encipher

Encode-then-Encipher (EtE), proposed by Bellare and Rogaway in [BR00], is the mainstream way to construct robust AE. In [HKR15], Hoang et al. proved that EtE with VIL cipher achieves the security as a pseudorandom injection (PRI). However, there has been limited studies about the stateful security of a robust AE scheme. In [BMM⁺15], Badertscher et al. showed the security of the Encode-then-Encipher from the view of composable security with the *Constructive Cryptography* (CC) framework proposed by Maurer in [Mau11], by constructing a *random injection channel* (RIC) from a uniform random injection (which ideally models a VIL cipher) and an insecure channel. The RIC models an *ideal world* in which a counter is used as nonce, and the adversary only has knowledge of the associated data and the message length. We then follow the idea of [BMM⁺15] by also using counter as nonce and prove the security and robustness of EtE from a more generalized game-based perspective with our notion.

4.1 EtE with Tweakable Cipher

Let $E : \mathcal{K} \times \mathcal{N} \times \mathcal{AD} \times \mathcal{M} \rightarrow \mathcal{C}$ be a variable-input-length cipher, we define a robust AE scheme $\Pi = (\mathcal{E}, \mathcal{D})$ using EtE as follows. Let $C = \Pi.\mathcal{E}_K^{N,A,\tau}(M) = E_{K,N,A}(M||0^\tau)$ and return C as ciphertext. Let $M' = E_{K,N,A}^{-1}(C)$, then if M' ends with λ zeros, $\Pi.\mathcal{D}_K^{N,A,\tau}(C)$

returns M' excluding ending τ zeros as plaintext. Otherwise, $\Pi.\mathcal{D}_K^{N,A,\tau}(C)$ return decryption failure symbol \perp .

Such cipher can be formulated as a *tweakable cipher* $\tilde{E} : \mathcal{K} \times \mathcal{T} \times \mathcal{M} \rightarrow \mathcal{C}$ as described in [LRW02]. Here we set the tweak space $\mathcal{T} = \mathcal{N} \times \mathcal{AD}$. The security of a tweakable block cipher is defined as (strong) indistinguishability from *tweakable* random permutation ($(\pm)\widetilde{\text{PRP}}$), which is a random permutation parameterized by tweak T . To adapt this notion to a VIL cipher, we introduce an additional length parameter. Let $\tilde{\mathcal{P}}_\ell$ represent the set of all tweakable permutations on $\{0, 1\}^\ell$. For each pair $(T, \ell) \in \mathcal{T} \times \mathbb{N}$, we define $\tilde{\pi}_{T,\ell}(\cdot)$ as a permutation sampled independently and uniformly at random from $\tilde{\mathcal{P}}_\ell$.

Lemma 1. *If each tweak T queried by an adversary \mathcal{A} is distinct, then*

$$\Pr[\mathcal{A}^{\tilde{\pi}_{T,\ell}(\cdot)} \Rightarrow 0] - \Pr[\mathcal{A}^{\$}(\cdot) \Rightarrow 0] = 0$$

for every $\ell \geq 0$, where $\tilde{\pi}_{T,\ell}$ is a tweakable random permutation and $\$$ is an oracle that samples a bitstring uniformly at random of length ℓ .

Proof. Consider that with an oracle that samples and outputs a random bitstring, the probability that a bitstring $L \in \{0, 1\}^\ell$ is output to the adversary is $\frac{1}{2^\ell}$ at each query. On the other hand, in an oracle that implements random tweakable permutations, if the tweak does not repeat, it implies that a new tweakable random permutation is sampled for each query based on the tweak T . Thus the probability that M is mapped to the bitstring $L \in \{0, 1\}^\ell$ is also $\frac{1}{2^\ell}$ at each query. Consequently, both oracles exhibit the same distribution, meaning that the adversary has 0 advantage in distinguishing between these two oracles. \square

4.2 Proof of Security

Following [BMM⁺15], we also use counter as nonce when analyzing the stateful security. Here for simplicity we assume that $|\mathcal{N}| \geq q$ where q is the number of queries made by \mathcal{A} and one can make the proof more rigorous by bounding the probability that a counter may repeat.

Theorem 3. *For any IND-sf-rCCA2 adversary \mathcal{A} against the EtE construction Π making q_d decryption queries and q_l leakage queries, there is a $\widetilde{\pm\text{PRP}}$ adversary \mathcal{A}_{stprp} against the tweakable VIL cipher \tilde{E} making q encryption queries such that*

$$\text{Adv}_{\Pi}^{\text{IND-sf-rCCA2}}(\mathcal{A}) \leq 3 \cdot \text{Adv}_{\tilde{E}}^{\widetilde{\pm\text{PRP}}}(\mathcal{A}_{stprp}) + \frac{q_d}{2^\tau} + \frac{q_l}{2^\tau}$$

where τ is the minimum expansion parameter queried by \mathcal{A} .

Proof. The proof follows Theorem 2, and Lemma 2, 3 and 4. \square

Lemma 2. *For any IND-CPA adversary \mathcal{A} against the EtE construction Π making q encryption queries, there is a $\widetilde{\text{PRP}}$ adversary \mathcal{A}_{tprp} against the tweakable VIL cipher \tilde{E} making q encryption queries such that*

$$\text{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{A}) = \text{Adv}_{\tilde{E}}^{\widetilde{\text{PRP}}}(\mathcal{A}_{tprp})$$

Proof (Sketch). We consider three games $G_0 - G_2$ where adversary's queries are answered with the tweakable VIL cipher \tilde{E} , a tweakable random permutation $\tilde{\pi}$, and a random bitstring of length $|M| + \tau$ respectively. We have that

$$\text{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{A}) = \sum_{i=0}^1 \Pr[G_i(\mathcal{A})] - \Pr[G_{i+1}(\mathcal{A})]$$

Then we can bound $\Pr[G_0(\mathcal{A})] - \Pr[G_1(\mathcal{A})]$ by a $\widetilde{\text{PRP}}$ adversary \mathcal{A}_{stprp} . Following Lemma 1, we know that $\Pr[G_1(\mathcal{A})] - \Pr[G_2(\mathcal{A})] = 0$ since we assume counter does not repeat. \square

Lemma 3. *For any INT-sf-CTXT adversary \mathcal{A} against the EtE construction Π making q decryption queries, there is a $\pm\text{PRP}$ adversary \mathcal{A}_{stprp} against the tweakable VIL cipher \widetilde{E} making q decryption queries such that*

$$\text{Adv}_{\Pi}^{\text{INT-sf-CTXT}}(\mathcal{A}) \leq \text{Adv}_{\widetilde{E}}^{\pm\text{PRP}}(\mathcal{A}_{stprp}) + \frac{q}{2\tau}$$

where τ is the minimum expansion parameter queried by \mathcal{A} .

Proof (Sketch). We consider two games G_0 and G_1 where the adversary's encryption and decryption queries are answered with \widetilde{E} and \widetilde{E}^{-1} , and $\widetilde{\pi}$ and $\widetilde{\pi}^{-1}$ respectively. We then have that

$$\text{Adv}_{\Pi}^{\text{INT-sf-CTXT}}(\mathcal{A}) = \Pr[\mathcal{A} \text{ wins } G_0] - \Pr[\mathcal{A} \text{ wins } G_1] + \Pr[\mathcal{A} \text{ wins } G_1]$$

Similarly, we can bound $\Pr[\mathcal{A} \text{ wins } G_0] - \Pr[\mathcal{A} \text{ wins } G_1]$ by a $\pm\text{PRP}$ adversary \mathcal{A}_{stprp} . Since we assume the counter does not repeat and we have a fresh permutation for each counter, the adversary wins G_1 when its query yields a bitstring ending with τ zeros, which is of probability at most $\frac{q}{2\tau}$. \square

We first define the leakage simulator function \mathcal{L} for the EtE paradigm. Consider that during the decryption, $M' = \widetilde{E}_{K;N,\mathcal{A}}^{-1}(C)$ is first deciphered. Depending if M' ends with τ zeros, \mathcal{L} outputs either \top or M' . Notably, there is only one error which is when M' does not end with τ zeros. Thus $\mathcal{L}_K^{N,\mathcal{A},\tau}(C) = (M', \perp)$ for an invalid ciphertext C .

Lemma 4. *For any IND-sf-ERR2 adversary \mathcal{A} against the EtE construction Π making q leakage queries, there is a $\pm\text{PRP}$ adversary \mathcal{A}_{stprp} against the tweakable VIL cipher \widetilde{E} making q decryption queries such that*

$$\text{Adv}_{\Pi}^{\text{IND-sf-ERR2}}(\mathcal{A}) = \text{Adv}_{\widetilde{E}}^{\pm\text{PRP}}(\mathcal{A}_{stprp})$$

where τ is the minimum expansion parameter queried by \mathcal{A} .

Proof (Sketch). We consider three games $G_0 - G_2$ for the proof. In G_0 , \mathcal{A} 's queries are answered with \widetilde{E} and \widetilde{E}^{-1} respectively. In G_1 , \mathcal{A} 's queries are answered with $\widetilde{\pi}$ and $\widetilde{\pi}^{-1}$ respectively. In game G_2 , a bitstring M_λ is sampled uniformly at random of length $|M'|$ and the oracle LEAK returns (M_λ, \perp) to \mathcal{A} . We still answer \mathcal{A} 's encryption and decryption query with $\widetilde{\pi}$ and $\widetilde{\pi}^{-1}$ respectively. We have that

$$\text{Adv}_{\Pi}^{\text{IND-sf-ERR2}}(\mathcal{A}) = \sum_{i=0}^1 \Pr[G_i(\mathcal{A})] - \Pr[G_{i+1}(\mathcal{A})]$$

Similarly, we bound $\Pr[G_0(\mathcal{A})] - \Pr[G_1(\mathcal{A})]$ by a $\pm\text{PRP}$ adversary \mathcal{A}_{stprp} . Since we assume the counter does not repeat and follow Lemma 1, G_1 and G_2 are identical thus \mathcal{A} has 0 advantage in distinguishing between them. \square

AUTHENTICITY FROM EXISTING REDUNDANCY. One key feature of Encode-then-Encipher paradigm is that: when there exists redundancy in the plaintext, such redundancy can be exploited to establish or enhance authenticity. We define the *density* of message space \mathcal{M} to measure how redundant the message space is as in Definition 9.

Definition 9 (δ -dense). Let $v : \{0, 1\}^\ell \rightarrow \{\text{true}, \text{false}\}$ be a predicate for $\ell \in \mathbb{N}$. We say $\mathcal{M} \subseteq \{0, 1\}^\ell$ is δ -dense with respect to the predicate v if

$$\Pr[\forall M \in \mathcal{M} : v(M) = \text{true}] \leq \delta.$$

In that case, a valid forgery must pass two checks simultaneously, that is, satisfying the predicate and ending with τ zeros. Thus we obtain a new bound for the integrity as in Corollary 4.

Corollary 4. *Assume the message space \mathcal{M} is δ -dense, then for any INT-sf-CTXT adversary \mathcal{A} against the EtE construction Π making q leakage queries, there is a $\widetilde{\pm\text{PRP}}$ adversary \mathcal{A}_{stprp} against the tweakable VIL cipher \widetilde{E} making q decryption queries such that*

$$\text{Adv}_{\Pi}^{\text{IND-sf-ERR1}}(\mathcal{A}) \leq \text{Adv}_{\widetilde{E}}^{\widetilde{\pm\text{PRP}}}(\mathcal{A}_{stprp}) + \frac{\delta q}{2^\tau}.$$

LEAKAGE WITH MULTIPLE ERRORS. Suppose that \mathcal{M} is δ -dense, the possible leakage tuples are (M', σ_1) and (M', σ_2) . Then for IND-ERR1 security which requires that the adversary should not see an error message other than σ_1 , we can obtain a bound as in Corollary 5.

Corollary 5. *Assume the message space \mathcal{M} is δ -dense, then for any IND-sf-ERR1 adversary \mathcal{A} against the EtE construction Π making q leakage queries, there is a $\widetilde{\pm\text{PRP}}$ adversary \mathcal{A}_{stprp} against the tweakable VIL cipher \widetilde{E} making q decryption queries such that*

$$\text{Adv}_{\Pi}^{\text{IND-sf-ERR1}}(\mathcal{A}) \leq \text{Adv}_{\widetilde{E}}^{\widetilde{\pm\text{PRP}}}(\mathcal{A}_{stprp}) + \frac{q}{2^\tau}$$

if σ_1 implies M' does not ends with τ zeros, or

$$\text{Adv}_{\Pi}^{\text{IND-sf-ERR1}}(\mathcal{A}) \leq \text{Adv}_{\widetilde{E}}^{\widetilde{\pm\text{PRP}}}(\mathcal{A}_{stprp}) + \delta$$

if σ_1 implies $v(M') = \text{false}$.

Observe that the leakage tuples both concern the same leaked plaintext M' . Following Observation 1, we can merge them into one leakage tuple (M', \perp) . Then it is trivially IND-sf-rCCA1 secure given that there is no other error than \perp . Observe that if M' passes one of the checks, the leaked plaintext may exhibit certain property e.g., with τ ending zeros. Nevertheless, the probability that the oracle in ideal world generates such a bitstring is the same, yielding the adversary no more advantage than flipping a coin. Thus the IND-sf-rCCA2 advantage after the merging of errors follows Lemma 4.

INDISTINGUISHABILITY OF LEAKED PLAINTEXT. Note that the adversary cannot distinguish between two worlds only from M' , even if the leaked plaintext M' passes one of the checks. That is because the probability that the oracles in the two worlds output such a string is the same. Nevertheless, the adversary can distinguish by looking for σ_2 to identify the real world (since σ_1 is always output in the ideal world). After merging two errors into one following Observation 1, \perp will be output instead of σ_2 thus the adversary can no longer distinguish by error message. This allows to reduce the adversary's advantage by removing the term $\frac{q}{2^\tau}$ and δ from the bound. This may be an abstract example of *error obfuscation*. In the following discussion, we will use this property to add robustness to EEM paradigm.

5 Modification for Robust EEM

SOME OBSERVATIONS ON EEM. We revisit the Encode-then-Encrypt-then-MAC as in Example 1. The possible outputs of leakage simulator function are (ε, σ_1) and (M, σ_2)

where σ_1 indicates the failure with check on tag, σ_2 indicates the incorrect encoding, and M represents the bitstring that has not undergone the decoding process. Following the result in [BDPS14], it is easy to see that if the encryption scheme is IND-CPA and the MAC scheme is SUF-CMA, then EEM is IND-rCCA1 secure.

We examine EEM through the intuition of IND-rCCA2 as described in Paragraph 3.1. Note that the decryption function holds back plaintext output until both checks successfully pass. Suppose the tag check fails to operate correctly (such that all ciphertexts being deemed valid), then there is an unavoidable leakage of plaintext (in incorrect encoding) since the encoding check has to be performed on plaintext. Thus the adversary sees (M, σ_2) . Then the adversary immediately knows that the tag check is broken and the only error now is with the encoding. Then the adversary can continue with other attacks based on the error message and leaked plaintext.

Similarly, if the adversary manages to forge a tag, then the adversary is certain that its strategy is successful and can adopt that in the future forgery.

Proposition 7. *EEM is not IND-rCCA2 secure.*

Proof (Sketch). We first suppose the possible leakage tuples are (ε, σ_1) and (M, σ_2) . Then it is trivially not IND-rCCA2 secure.

Now suppose the scheme successfully combines σ_1 and σ_2 to produce a single error \perp , the leakage function's output becomes (M, \perp) . In this case, the adversary can simply exploit the ciphertext obtained from an encryption query and change the tag to an invalid one to distinguish M from a random bitstring. \square

5.1 The Construction

$\text{rEEM}[\Pi, \tilde{E}, H_1, H_2]. \mathcal{E}_{K_E, K_M, K_H}^{N, A, \tau}(M)$	$\text{rEEM}[\Pi, \tilde{E}, H_1, H_2]. \mathcal{D}_{K_E, K_M, K_H}^{N, A, \tau}(C)$
1 : $M_L M_R \leftarrow M$	1 : $C_L C_R \leftarrow C$
2 : $N' \leftarrow H_{1, K_H}(N, M_R, A, \tau)$	2 : $h \leftarrow H_{2, K_H}(C_L, A, \tau)$
3 : $C_L \leftarrow \Pi. \mathcal{E}_{K_E}^{N'}(M_L)$	3 : $M'_R \leftarrow \tilde{E}_{K_M; h}^{-1}(C_R)$
4 : $M_R^* \leftarrow \text{ENCODE}(M_R)$	4 : $\ell \leftarrow M'_R $
5 : $M'_R \leftarrow M_R^* 0^\tau$	5 : $M_R^* \leftarrow M'_R[0..(\ell - \tau - 1)]$
6 : $h \leftarrow H_{2, K_H}(C_L, A, \tau)$	6 : $\text{pad} \leftarrow M'_R[(\ell - \tau)..(\ell - 1)]$
7 : $C_R \leftarrow \tilde{E}_{K_M; h}(M'_R)$	7 : if $\text{pad} \neq 0^\tau \vee \text{DECODE}(M_R^*) = \perp$ then
8 : $C \leftarrow C_L C_R$	8 : return \perp
9 : return C	9 : $M_R \leftarrow \text{DECODE}(M_R^*)$
	10 : $N' \leftarrow H_{1, K_H}(N, M_R, A, \tau)$
	11 : $M_L \leftarrow \Pi. \mathcal{D}_{K_E}^{N'}(C_L)$
	12 : return $M_L M_R$

Figure 3: Robust EEM (rEEM) as a composition of nonce-based SE and Encode-then-Encipher paradigm. In line 7 of the decryption function, we let DECODE return \perp if M_R^* does not follow the correct encoding.

We present the construction for an enhanced version of Encode-then-Encrypt-then-MAC as described in Figure 3. Following the discussion of authenticity from verifiable redundancy in [BR00], in this construction, we can take the encoding into consideration during the authenticity-check. Thus the authenticity is guaranteed if the encoding is correct. We assume that an encoding scheme $\text{Encode} = (\text{ENCODE}, \text{DECODE})$ is applied on

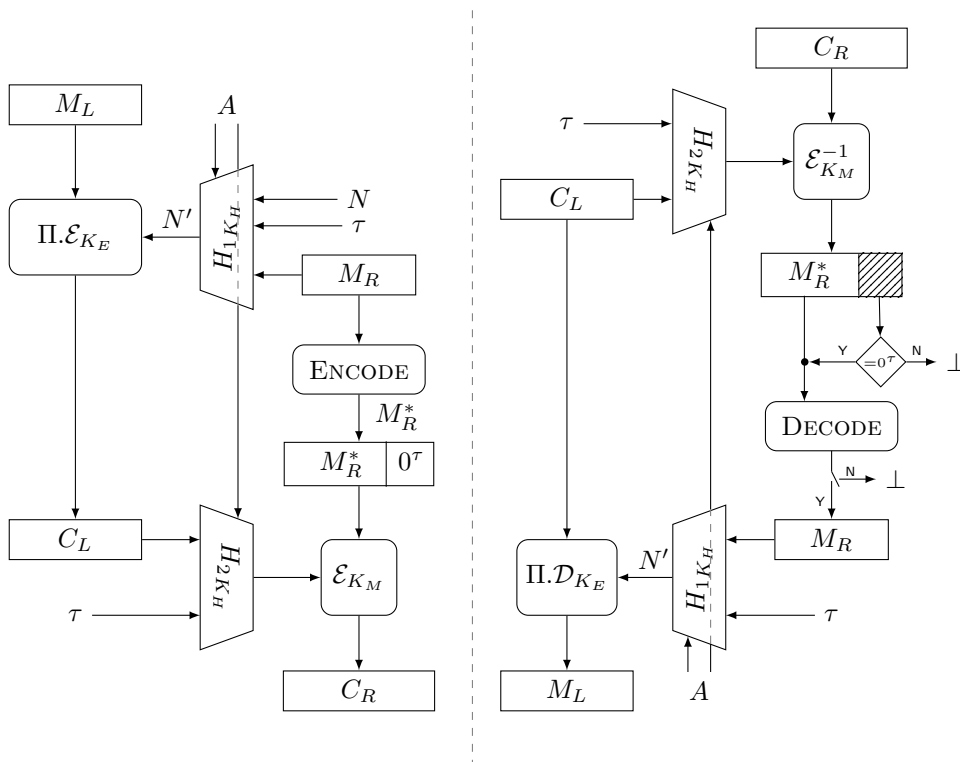


Figure 4: Graphic illustration for robust EEM. **Left:** Encryption function of rEEM. **Right:** Decryption function of rEEM.

certain part of the plaintext. As with the example of PKCS padding, we either fill the last block or create a new block full of paddings. Following Definition 9, we say **Encode** is a δ -dense encoding scheme if

$$\Pr[\forall M \in \mathcal{M} : \text{DECODE}(M) \neq \perp] \leq \delta$$

where $\text{DECODE}(M) = \perp$ means M does not follow the correct encoding.

During encryption, we first partition the plaintext into two segments M_L and M_R where M_R is to be encoded. We assume the length of M_L is a multiple of the block size. Thus we can use a symmetric encryption scheme to encrypt M_L to obtain the ciphertext C_L . Then we encode M_R and pad the encoding bitstring with τ zeros after it, where τ is the stretch selected by the user. We let the resulted bitstring be M_R^* . To connect the left and right part, we compute the hash of C_L , the associated data A , and the stretch τ . We use a tweakable VIL cipher with the hash as tweak to encipher M_R^* to obtain the ciphertext C_R . The final ciphertext is the concatenation $C_L || C_R$.

During the decryption, we similarly partition the ciphertext C into C_L and C_R . We then reverse the encryption procedure to first decipher C_R , thereby yielding bitstring M_R^* . We can then check whether M_R^* ends with τ zeroes and the rest part of M_R^* can be decoded correctly. If not, it indicates a authentication failure and the decryption process halts. Otherwise, the decryption process continues with the decryption of C_L , leading to the retrieval of the plaintext segment M_L . Finally, the concatenation of M_L and M_R forms the plaintext as output.

COMPARISON WITH STANDARD EEM. After the modification, the adversary can no longer distinguish if one of the checks fails to work since a queried ciphertext has to pass two checks at the same time. On the other hand, suppose the adversary's query passes

one check, for example, yielding a bitstring ending with τ zeros. This does not guarantee the adversary that its strategy is effective in generating a bitstring like that, following the discussion in Paragraph 4.2.

NONCE-MISUSE RESISTANCE. When instantiating our construction with a nonce-based encryption scheme, we follow the *Feistel structure* [Fei73] to provide nonce-misuse resistance. On the input of a tuple (N, M, A, τ) , we compute the hash $H(N, M_L, A, \tau)$ and use the hash as the new nonce for encrypting M_R . The Feistel structure guarantees the uniqueness of the tuple (N, M, A, τ) . Changing one of (N, M_R, A, τ) yields a new nonce. Otherwise, the adversary has to change M_L since query with a repeated tuple is not allowed.

PLAINTEXT AWARENESS SECURITY. Note that even if the adversary manages to bypass both of the two checks, as long as M_R is not recovered by the adversary, M_L still remains confidential thanks to the Feistel structure, since the nonce for decrypting C_L is computed based on M_R with a universal hash function. Observe that if M_R^* does not satisfy the encoding, the part M_R that is used to compute the nonce cannot be extracted from M_R^* . Thus further decryption is not possible.

We can consider this construction a better example to show that PA2 does not imply IND-ERR2 in Proposition 6. In contrary to rEEM construction, we encode M_L instead and just let $|M_R| > 0$. Suppose that the adversary bypasses the authenticity check. As long as the adversary does not recover M_R , then it yields a different N' to decrypt for M_L . Thus M_L is still indistinguishable. Nevertheless, we can observe that M_L fails the encoding check, which produces a σ_2 .

COMPARISON WITH EXISTING CONSTRUCTION. In contrast to the Encode-then-Encipher method, which decipheres the entire plaintext before authentication, our construction decipheres only a portion of the ciphertext for authenticity, leaving the rest undecrypted. While Encode-then-Encipher is more suitable when encoding spans the entirety of the plaintext, our method remains practical as it aligns with common scenarios where plaintext consists of both encoded elements and payload components, such as PKCS padding and UDP headers.

Additionally, in contrast to those construction that also adopts Feistel structure but instead uses IV for authenticity, such as SIV [RS06] and RIV [AFL⁺16], our construction not only minimizes the disclosure of plaintext before authenticity check but also incorporates the ciphertext by utilizing a segment of it as the tweak.

5.2 Security

Theorem 4. *Let Encode be a δ -dense encoding scheme, then for any IND-rCCA2 adversary \mathcal{A} making q_d decryption queries and q_l leakage queries against $\Psi = \text{rEEM}[\Pi, \tilde{E}, H_1, H_2]$, there exist an IND-CPA adversary \mathcal{A}_{cpa} against Π , a \pm PRP adversary \mathcal{A}_{stprp} against \tilde{E} such that*

$$\begin{aligned} \text{Adv}_{\Psi}^{\text{IND-rCCA2}}(\mathcal{A}) &\leq \text{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{A}_{cpa}) + 3 \cdot \text{Adv}_{\tilde{E}}^{\pm\text{PRP}}(\mathcal{A}_{stprp}) \\ &\quad + 3\epsilon + \frac{\delta q_d}{2\tau} + \frac{q_l^2}{2\tau} \end{aligned}$$

where τ is the minimum stretch parameter queried by \mathcal{A} .

Proof. Follows by combining Lemmas 5, 6, and 7 with Theorem 1. \square

Lemma 5. *For any IND-CPA adversary \mathcal{A} against $\Psi = \text{rEEM}[\Pi, \tilde{E}, H_1, H_2]$ making q encryption queries, there exist an IND-CPA adversary \mathcal{A}_{cpa} against Π , a PRP adversary*

\mathcal{A}_{tprp} against \widetilde{E} such that

$$\mathbf{Adv}_{\Psi}^{\text{IND-CPA}}(\mathcal{A}) \leq \mathbf{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{A}_{cpa}) + \mathbf{Adv}_{\widetilde{E}}^{\widetilde{\text{PRP}}}(\mathcal{A}_{tprp}) + 2\epsilon.$$

Proof (Sketch). We need to show that the left and the right segments of the ciphertext are both indistinguishable from a random bitstring. For C_L , if a collision happens with H , then the nonce N' repeats yielding trivial distinguishing, which is bounded by probability ϵ . Otherwise, we can reduce it to the IND-CPA security of the encryption scheme Π .

For C_R , we follow a similar proof as in Lemma 2. Notably, a tweak repeats when the collision happens with H , which happens with probability at most ϵ . \square

Lemma 6. *Let Encode be a δ -dense encoding scheme, then for any INT-CTXT adversary \mathcal{A} against $\Psi = \text{rEEM}[\Pi, \widetilde{E}, H_1, H_2]$ making q decryption queries, there exist an $\pm\text{PRP}$ adversary \mathcal{A}_{stprp} against \widetilde{E} and such that*

$$\mathbf{Adv}_{\Psi}^{\text{INT-CTXT}}(\mathcal{A}) \leq \mathbf{Adv}_{\widetilde{E}}^{\pm\widetilde{\text{PRP}}}(\mathcal{A}_{stprp}) + \epsilon + \frac{\delta q}{2\tau}$$

where τ is the minimum stretch parameter queried by \mathcal{A} .

Proof (Sketch). Observe that the authenticity of the construction only depends on C_R . We first consider two cases when a tweak used in decryption matches with a tweak used in a previous encryption query. Let $C = C_L || C_R$ be the result of that previous encryption query. In the first case, a collision happens with the hash function H , the adversary can simply reuse C_R and change one of C_L, N and A to provoke a collision in H . This happens with probability at most ϵ . On the other hand, if \mathcal{A} reuses (C_L, A, τ) , then \mathcal{A} has to query with C'_R different from C_R . In this case, C'_R has to be deciphered with a random permutation to a bitstring that can be decoded successfully and ends with τ zeros, which happens with probability less than $\frac{\delta q}{2\tau}$.

Otherwise if each tweak used in decryption is distinct from that in previous encryption queries, we then have a fresh random permutation for every decryption query. Then \mathcal{A} wins the game only when the ciphertext deciphers to a bitstring that ends with τ leading zeros and can be decoded successfully, which is of probability at most $\frac{\delta q}{2\tau}$. \square

Observe that the leakage simulator function is similar as in EtE construction but on C_R , that is, $\mathcal{L}_K^{N, A, \tau}(C) = \mathcal{L}_K^{N, A, \tau}(C_R) = (M'_R, \perp)$. If the authenticity fails, C_L remains undecrypted, which means no leaked plaintext then.

Lemma 7. *For any IND-ERR2 adversary \mathcal{A} against $\Psi = \text{rEEM}[\Pi, \widetilde{E}, H_1, H_2]$ making q leakage queries, there exists a $\pm\text{PRP}$ adversary \mathcal{A}_{stprp} against \widetilde{E} such that*

$$\mathbf{Adv}_{\Psi}^{\text{IND-ERR2}}(\mathcal{A}) \leq \mathbf{Adv}_{\widetilde{E}}^{\pm\widetilde{\text{PRP}}}(\mathcal{A}_{stprp}) + \frac{q^2}{2\tau}$$

where τ is the minimum stretch parameter queried by \mathcal{A} .

Proof (Sketch). Observe that the leakage only concerns M'_R . If each tweak in LEAK is distinct, then adversary has 0 advantage in distinguishing following Lemma 1. Otherwise, if a tweak repeats, then the adversary may look for repeated output to distinguish the ideal world from the random world (since the real world implements a permutation), which happens when the oracle in ideal world samples the same bitstring, with probability at most $\frac{q^2 - q}{2^{|M'_R|}} \leq \frac{q^2}{2\tau}$. \square

6 Conclusion and Future Work

We introduce a new notion IND-rCCA to formalize the robustness of AEAD scheme. Our notion can be seen as an extension from commonly accepted notions including IND-CCA3 by considering an additional oracle to capture the security when there is leakage due to decryption failure. We consider the situation where there are multiple checks for errors. We associate leaked plaintext with the error message that it triggers. We pose the security requirement that the leaked plaintext is indistinguishable from a random bitstring of the minimum leakage. On top of this, we define two security notions regarding the error messages where one requires that the adversary should not be able to trigger more errors than the first error. In the other one, we introduce the concept of error singularity. This ensures that the adversary cannot distinguish a failure if one of the checks fails to work in a scheme containing multiple checks. This also ensures that the adversary cannot be certain that its attack is effective even if the query passes one of the checks.

We further extend this notion to IND-sf-rCCA to capture the stateful security where out-of-order ciphertexts are queried by the adversary. We prove the stateful security of the Encode-then-Encipher (EtE) paradigm which is a mainstream way to construct robust AE by using counter for nonce.

We revisit the robustness of Encode-then-Encrypt-then-MAC (EEM) paradigm by examining the leakage when decryption fails. We propose a modification to the paradigm by replacing the MAC with Encode-then-Encipher on partial plaintext. This modification merges two checks into one with minimal plaintext leakage, which allows the scheme to reveal only one error message to achieve error singularity.

Our notion can be useful for evaluating if the actual implementation of a cryptographic scheme is secure. Even a scheme is proven to be secure, various configuration or sequence of operation may cause the leakage that grants the adversary extra advantage. Our modification to EEM is a very preliminary step to error singularity. Further work may be done including introducing a more rigorous notion and securer scheme for error singularity.

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A Detailed Proofs

A.1 Proof of Lemma 2

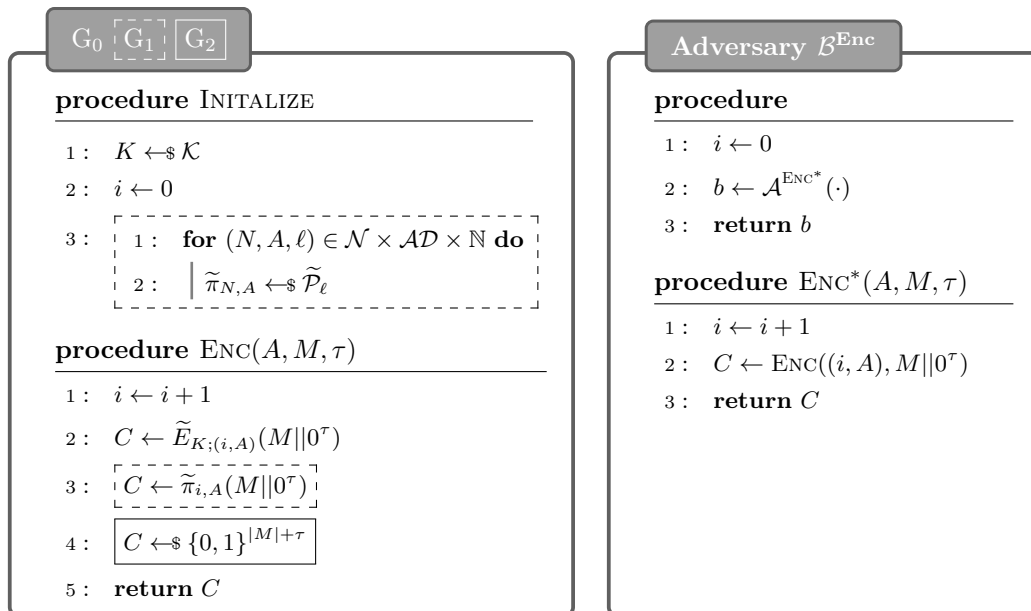


Figure 5: Left: Games $G_0 - G_2$ for proof of Lemma 2. Dot-boxed code is exclusive to G_1 and Frame-boxed code is exclusive to G_2 . Right: PRP adversary \mathcal{B} for proof for proof of Lemma 2.

Proof. We consider three games $G_0 - G_2$ as in Figure 5 and we use a counter i as nonce. In G_0 , the encryption is done with the tweakable VIL cipher \tilde{E} and the oracle first appends τ zeros after M and returns $\tilde{E}_{K;(i,A)}(M||0^\tau)$ as output. In G_1 , the oracle samples a tweakable random permutation $\tilde{\pi}$ and return $\tilde{\pi}_{i,A}(M||0^\tau)$ as output. In G_2 , the oracles sample a bitstring uniformly at random from $\{0, 1\}^{|M|+\tau}$ and returns it as output. Then we have that

$$\text{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{A}) = \sum_{i=0}^1 \Pr[G_i(\mathcal{A})] - \Pr[G_{i+1}(\mathcal{A})]$$

We can then construct a PRP adversary \mathcal{B} from \mathcal{A} as in Figure 5. We construct the simulated encryption oracle ENC^* for \mathcal{A} such that for each encryption query made by \mathcal{A} , we let \mathcal{B} append τ zeros after it and forward it to \mathcal{B} 's oracle ENC , then \mathcal{B} forwards the response from ENC to \mathcal{A} . We then let \mathcal{B} return the same b that \mathcal{A} returns. We then have that

$$\text{Adv}_{\tilde{E}}^{\text{PRP}}(\mathcal{B}) = \Pr[G_0(\mathcal{A})] - \Pr[G_1(\mathcal{A})]$$

Since we use counter for the nonce and we assume that counter does not repeat, we know that the tweak never repeats, following Lemma 1, we have that

$$\Pr[G_1(\mathcal{A})] - \Pr[G_2(\mathcal{A})] = 0$$

Finally, we have that

$$\text{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{A}) = \text{Adv}_{\tilde{E}}^{\text{PRP}}(\mathcal{B})$$

□

A.2 Proof of Lemma 3

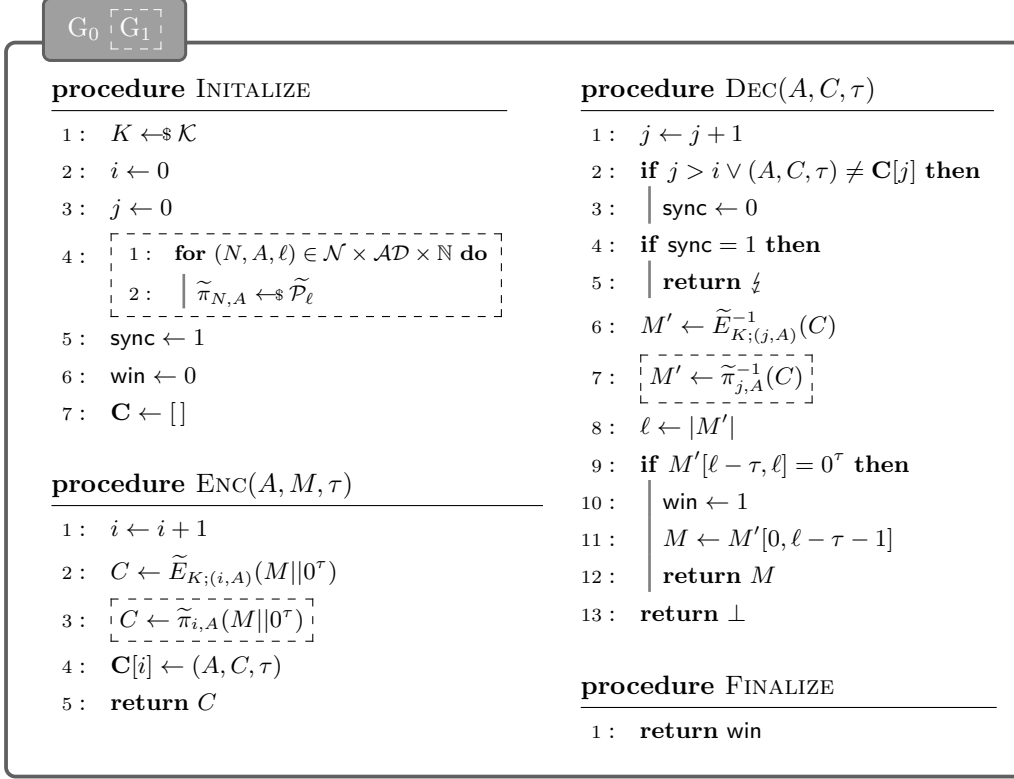


Figure 6: Games $G_0 - G_1$ for proof of Lemma 3. Dot-boxed code is exclusive to G_1 .

Proof. We consider two games G_0 and G_1 as in Figure 6 for the proof. In G_0 , \mathcal{A} 's queries are answered with \tilde{E} and \tilde{E}^{-1} respectively. In game G_1 , the oracle samples a tweakable random permutation and answer \mathcal{A} 's query with $\tilde{\pi}$ and $\tilde{\pi}^{-1}$ respectively. We then have that

$$\begin{aligned} \text{Adv}_{\Pi}^{\text{INT-sf-CTXT}}(\mathcal{A}) &= \Pr[G_0(\mathcal{A}) \Rightarrow 1] - \Pr[G_1(\mathcal{A}) \Rightarrow 1] \\ &\quad + \Pr[G_1(\mathcal{A}) \Rightarrow 1] \end{aligned}$$

Note that we can then construct a $\pm\text{PRP}$ adversary \mathcal{B} as described in Figure 7 against the tweakable VIL cipher \tilde{E} with \mathcal{A} as subroutine. We define the simulated oracle ENC^* for \mathcal{A} such that for each \mathcal{A} 's encryption query, \mathcal{B} first appends the τ zeros after the message then forwards it to its oracle ENC , and returns the result that \mathcal{B} obtains from ENC to \mathcal{A} . Similarly, we define the simulated oracle DEC^* for \mathcal{A} such that for each \mathcal{A} 's decryption query, \mathcal{B} returns \perp to \mathcal{A} if it is in-order. Otherwise, \mathcal{B} forwards the query to its oracle DEC . With the response, \mathcal{B} checks if it ends with τ zeros and return \perp or the plaintext accordingly. If \mathcal{A} makes a valid forgery, then \mathcal{B} returns 0, otherwise returns 1. We have that

$$\text{Adv}_{\tilde{E}}^{\pm\text{PRP}}(\mathcal{B}) = \Pr[G_0(\mathcal{A}) \Rightarrow 1] - \Pr[G_1(\mathcal{A}) \Rightarrow 1]$$

Now we bound the probability that \mathcal{A} wins in G_1 . We consider two cases of \mathcal{A} 's queries. In first case, \mathcal{A} queries a tuple (A, C, τ) that is the output of the oracle ENC . In this case, \mathcal{A} has to make an out-of-order query, which means that the counter has been updated and a new random permutation will be used to decipher. Note that \mathcal{A} wins if the deciphered

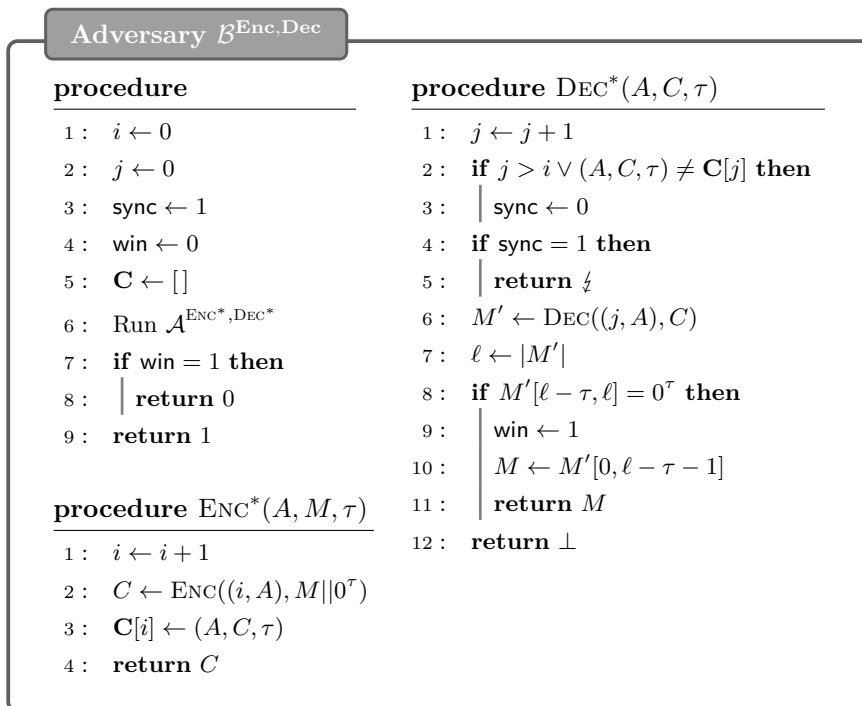


Figure 7: $\widetilde{\pm\text{PRP}}$ adversary \mathcal{B} for proof of Lemma 3.

bitstring ends with τ zeros, which is of probability $\frac{q}{2^\tau}$. In the other case, (A, C, τ) has never been an output from ENC , then C is valid only if C deciphers to a bitstring with τ ending zeros with a random permutation, which is the same as the first case. Thus we have that

$$\Pr[\text{G}_1(\mathcal{A}) \Rightarrow 1] \leq \frac{q}{2^\tau}$$

Finally, we have that

$$\text{Adv}_{\Pi}^{\text{INT-sf-CTXT}}(\mathcal{A}) \leq \text{Adv}_{\widetilde{E}}^{\pm\text{PRP}}(\mathcal{B}) + \frac{q}{2^\tau}.$$

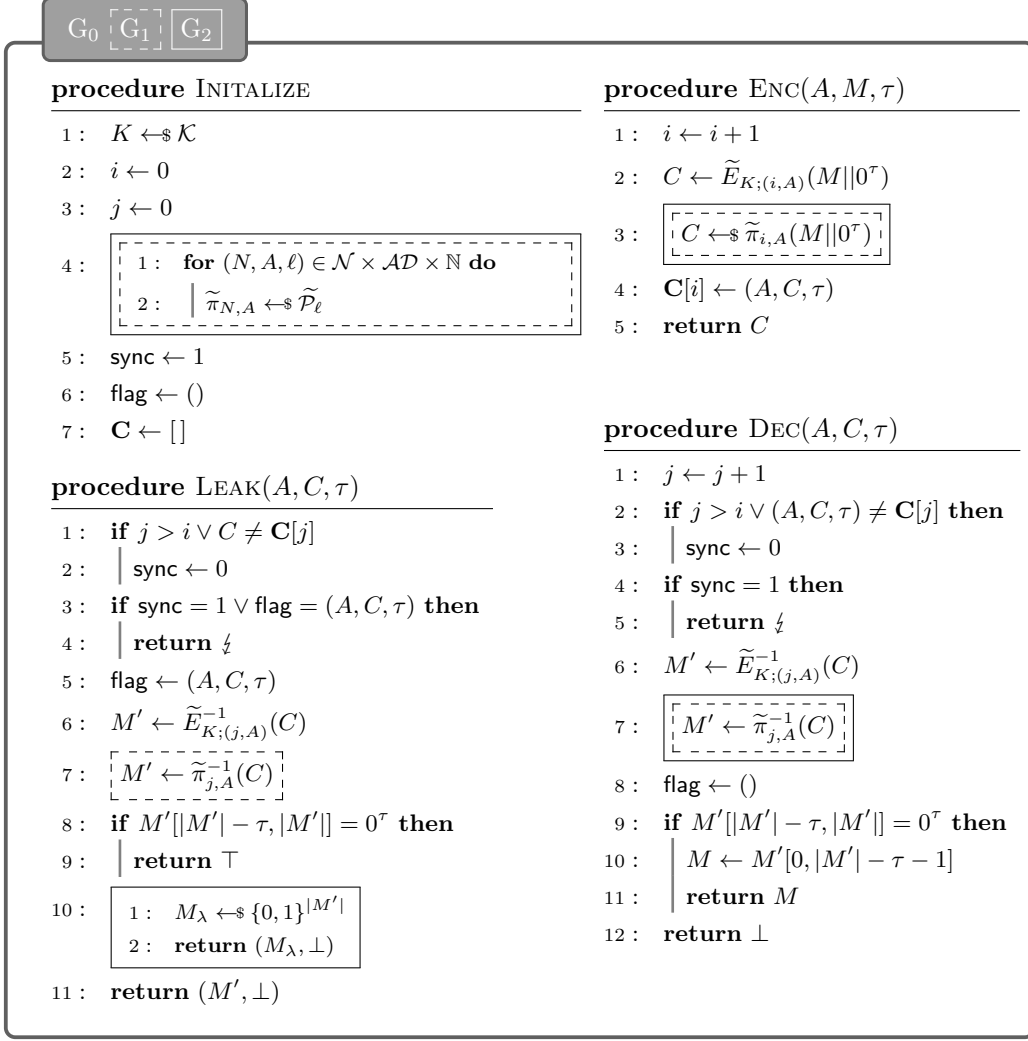
□

A.3 Proof of Lemma 4

Proof. We consider three games $\text{G}_0 - \text{G}_2$ as in Figure 8 for the proof. In G_0 , \mathcal{A} 's queries are answered with \widetilde{E} and \widetilde{E}^{-1} respectively. In G_1 , \mathcal{A} 's queries are answered with $\widetilde{\pi}$ and $\widetilde{\pi}^{-1}$ respectively. In game G_2 , a bitstring M_λ is sampled uniformly at random of length $|M'|$ and the oracle LEAK returns (M_λ, \perp) to \mathcal{A} . However, we still answer \mathcal{A} 's encryption and decryption query with $\widetilde{\pi}$ and $\widetilde{\pi}^{-1}$ respectively. We have that

$$\text{Adv}_{\Pi}^{\text{IND-sf-ERR2}}(\mathcal{A}) = \sum_{i=0}^1 \Pr[\text{G}_i(\mathcal{A})] - \Pr[\text{G}_{i+1}(\mathcal{A})]$$

Now we show that we can construct an $\widetilde{\pm\text{PRP}}$ adversary \mathcal{B} as in Figure 9. For \mathcal{A} 's encryption and decryption queries, we can construct simulated oracles ENC^* and DEC^* as described in proofs of Lemma 2 and 3. For the leakage query, \mathcal{B} forwards the ciphertext queried by \mathcal{A} to its oracle DEC . Then \mathcal{B} returns \top if it is a valid ciphertext, and otherwise



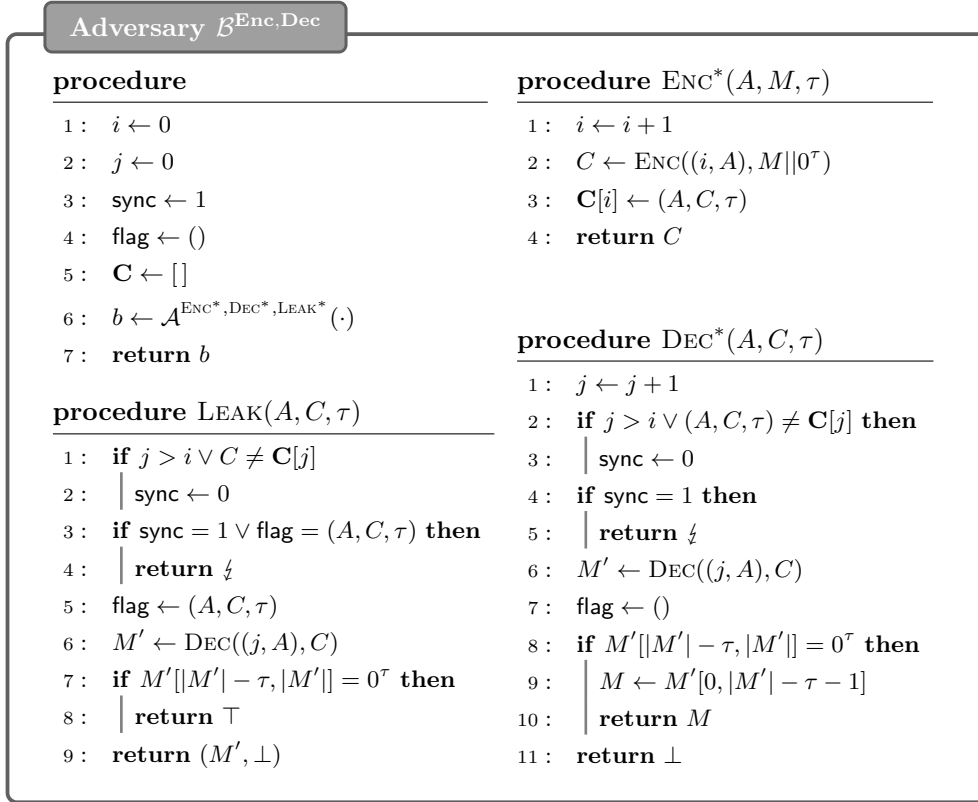


Figure 9: $\pm\text{PRP}$ adversary \mathcal{B} for the proof of Lemma 4.

Finally, we have that

$$\text{Adv}_{\Pi}^{\text{IND-sf-ERR2}}(\mathcal{A}) \leq \text{Adv}_{\widetilde{E}}^{\pm\text{PRP}}(\mathcal{B}) + \frac{q}{2^\tau}$$

□

A.4 Proof of Lemma 5

Proof. We need to show both the left and the right segments are indistinguishable from a random bitstring. We consider the games $G_0 - G_3$ as in Figure 10. We have that

$$\text{Adv}_{\Psi}^{\text{IND-CPA}}(\mathcal{A}) = \sum_{i=0}^2 \Pr[G_i(\mathcal{A})] - \Pr[G_{i+1}(\mathcal{A})]$$

In G_1 , we replace C_L with a random bitstring of the same length. In G_2 , we replace the tweakable cipher \widetilde{E} with a tweakable random permutation $\widetilde{\pi}$. In G_3 , we return a random bitstring of the length $|C_L| + |C_R|$.

Observe when the universal hash function H produces a repeated nonce, the adversary can change one of N, M_L and A and query again with M_R , which yields the same C_R as in a previous query. Otherwise, the indistinguishability of C_L depends on IND-CPA security of the encryption scheme Π . Then we can construct an IND-CPA adversary \mathcal{B}_1 as in Figure 10 against Π . By Union Bound, we have that

$$\Pr[G_0(\mathcal{A})] - \Pr[G_1(\mathcal{A})] \leq \text{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{B}_1) + \epsilon.$$

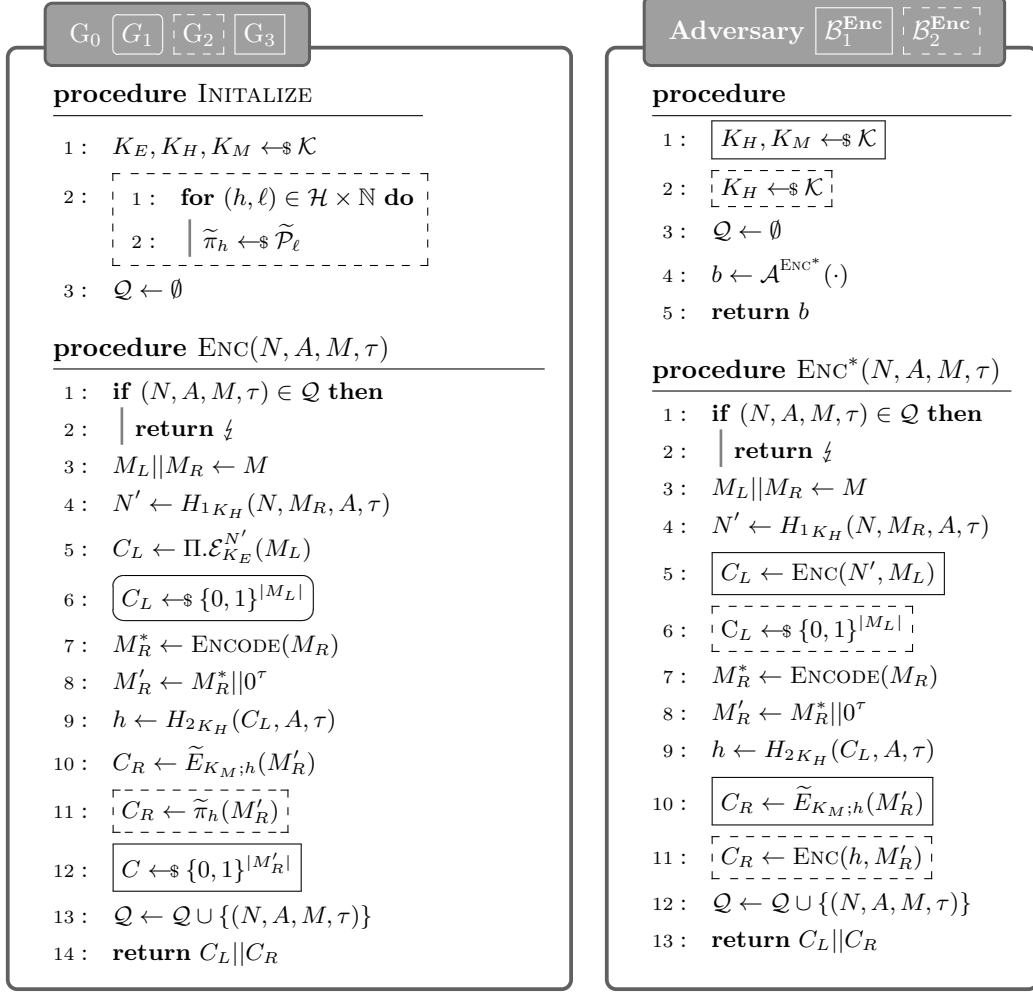


Figure 10: **Left:** Games $G_0 - G_3$ for proof of Lemma 5. Oval-boxed code is exclusive to G_1 . Dot-boxed code is exclusive to G_2 . Frame-boxed code is exclusive to G_3 . **Right:** IND-CPA adversary \mathcal{B}_1 and $\widetilde{\text{PRP}}$ adversary \mathcal{B}_2 for proof of Lemma 5. Frame-boxed code is executed if \mathcal{B}_1 and dot-boxed code is executed if \mathcal{B}_2 . Unboxed code is for both of the adversaries.

It leaves us to show that C_R is also indistinguishable from a random bitstring. We first construct a $\widetilde{\text{PRP}}$ adversary \mathcal{B}_2 as in Figure 10. We define the simulated oracle ENC^* for \mathcal{A} such that for each query of \mathcal{A} , we let \mathcal{B} sample a random bitstring C_R of length $|M_R|$, then \mathcal{B} prepends τ zeros before M_L and queries its oracle ENC to get C_L . Then \mathcal{B} returns $C_L || C_R$ to \mathcal{A} as response. We let \mathcal{B} returns the same bit b returned by \mathcal{A} . Thus we have that

$$\text{Adv}_{\widetilde{E}}^{\widetilde{\text{PRP}}}(\mathcal{B}_2) = \Pr[G_1(\mathcal{A})] - \Pr[G_2(\mathcal{A})]$$

Now following Lemma 1, we know that the behaviors of G_2 and G_3 are identical unless a tweak repeats. Thus we provide a rough bound between G_2 and G_3 by ϵ where ϵ is the probability that the universal hash function H produces a repeated tweak h .

Finally, we have that

$$\text{Adv}_{\Psi}^{\text{IND-CPA}}(\mathcal{A}) \leq \text{Adv}_{\Pi}^{\text{IND-CPA}}(\mathcal{B}_1) + \text{Adv}_{\widetilde{E}}^{\widetilde{\text{PRP}}}(\mathcal{B}_2) + 2\epsilon.$$

□

A.5 Proof of Lemma 6

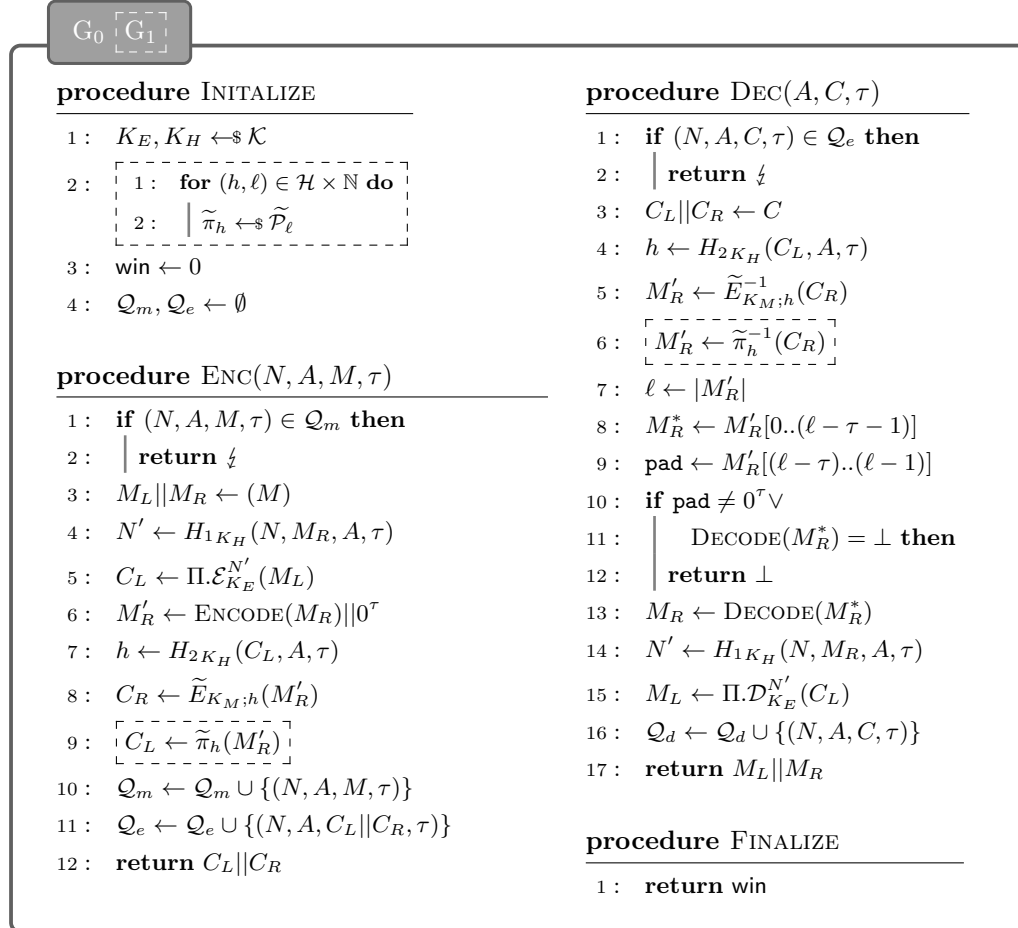


Figure 11: Games $G_0 - G_1$ for proof of Lemma 6. Dot-boxed code is exclusive to G_1 .

Proof. Note that the authenticity of the scheme relies on C_R . We consider games $G_0 - G_1$ in Figure 11 where the tweakable cipher \tilde{E} is used in G_0 and a tweakable random permutation $\tilde{\pi}$ is used in G_1 . We have that

$$\begin{aligned} \text{Adv}_{\Psi}^{\text{INT-CTXT}}(\mathcal{A}) &= \Pr[G_0(\mathcal{A}) \Rightarrow 1] - \Pr[G_1 \Rightarrow 1] \\ &\quad + \Pr[G_1(\mathcal{A}) \Rightarrow 1] \end{aligned}$$

We can construct a $\widetilde{\pm\text{PRP}}$ adversary \mathcal{B} as in Figure 12. We define the simulated encryption oracle ENC^* for \mathcal{A} such that for each encryption query of \mathcal{A} , we let \mathcal{B} use the encryption scheme Π to first encrypt M_L . Then \mathcal{B} pads τ zeros after M_R and queries its encryption oracle with the resulting string to get C_R . Then \mathcal{B} returns $C_L || C_R$ as the ciphertext to \mathcal{A} . Similarly we define the simulated decryption oracle DEC^* for \mathcal{A} such that for \mathcal{A} 's decryption query, we let \mathcal{B} first split the ciphertext into C_L and C_R . Then \mathcal{B} queries its decryption oracle to obtain M'_R . Depending on if M'_R ends with τ zeros and can

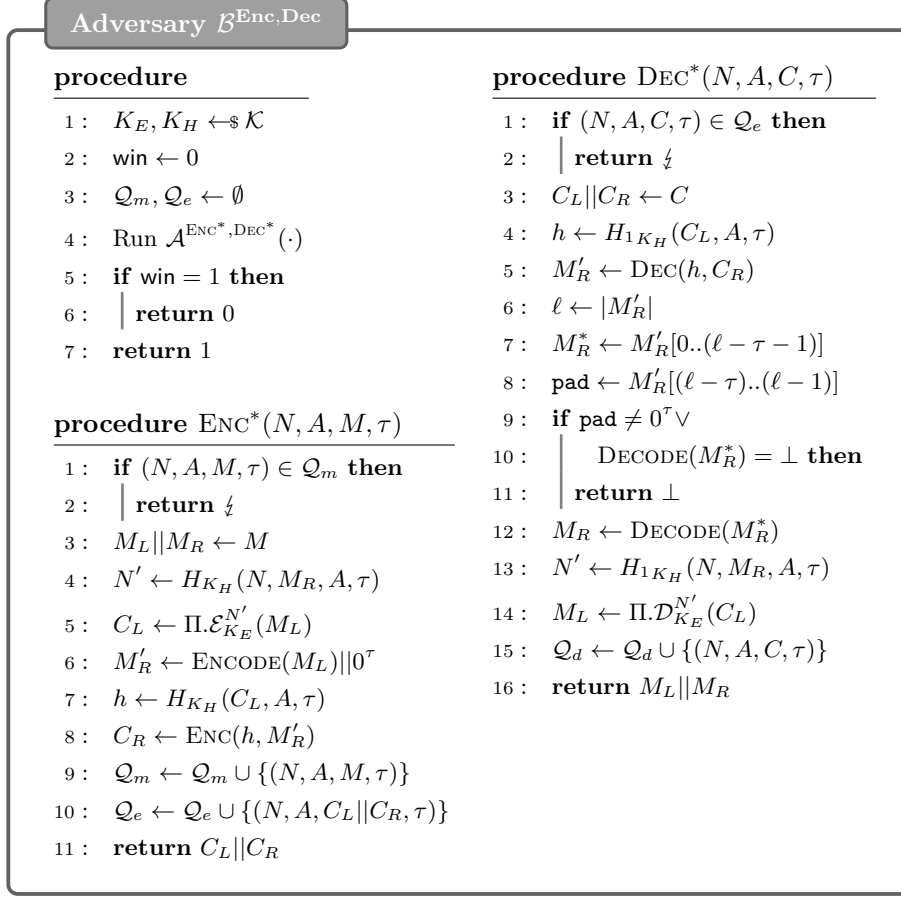


Figure 12: $\widetilde{\pm\text{PRP}}$ adversary \mathcal{B} for proof of Lemma 6. Here ENC^* and DEC^* are simulated oracles for the INT-CTXT adversary \mathcal{A} .

be decoded successfully, we let \mathcal{B} returns \perp or continues the decryption with Π . Finally, we let \mathcal{B} returns 0 if \mathcal{A} makes a valid forgery and let \mathcal{B} returns 1 otherwise. We then have that

$$\text{Adv}_{\widetilde{E}}^{\widetilde{\pm\text{PRP}}}(\mathcal{B}) = \Pr[\text{G}_0(\mathcal{A}) \Rightarrow 1] - \Pr[\text{G}_1(\mathcal{A}) \Rightarrow 1]$$

We now consider when \mathcal{A} wins in G_1 . We first consider two cases when a tweak used in decryption matches with a tweak used in a previous encryption query. Let $C = C_L || C_R$ be the result of that previous encryption query. In the first case, a collision happens with the hash function H , the the adversary can simply reuse C_R and change one of C_L , N and A to provoke a collision in H , which is of probability at most ϵ . On the other hand, if \mathcal{A} reuses (C_L, A, τ) , then \mathcal{A} has to query with C'_R different from C_R . In this case, C'_R has to be deciphered with a random permutation to a bitstring that ends with τ zeros and can be decoded successfully, which happens with probability less than $\frac{\delta q}{2^\tau}$.

Otherwise if each tweak used in decryption is distinct from that in encryption queries, we then have a fresh random permutation for every decryption query. Then \mathcal{A} wins the game only when the ciphertext deciphers to a bitstring that ends with τ zeros and can be decoded successfully, which is of probability at most $\frac{\delta q}{2^\tau}$. Thus we have that

$$\Pr[\text{G}_1(\mathcal{A}) \Rightarrow 1] \leq \frac{q}{2^\tau} + \epsilon$$

Finally, we have that

$$\mathbf{Adv}_{\Psi}^{\text{INT-CTXT}}(\mathcal{A}) \leq \mathbf{Adv}_{\widetilde{E}}^{\pm\text{PRP}}(\mathcal{B}) + \frac{q}{2^\tau} + \epsilon.$$

□

A.6 Proof of Lemma 7

Proof. We consider three games $G_0 - G_2$ as in Figure 13. In G_0 , the tweakable cipher \widetilde{E} is used. In G_1 , the tweakable random permutation $\widetilde{\pi}$ is used. In G_2 , we sample a random bitstring M_λ of the length $|M'_L|$ and returns that along with \perp as error message to the adversary. We have that

$$\mathbf{Adv}_{\Psi}^{\text{IND-ERR2}}(\mathcal{A}) = \sum_{i=0}^1 \Pr[G_i(\mathcal{A})] - \Pr[G_{i+1}(\mathcal{A})]$$

Similarly, we can construct a $\pm\text{PRP}$ adversary against $\widetilde{\Pi}$ with \mathcal{A} as subroutine as in Figure 14. For \mathcal{A} 's encryption and decryption queries, we follow the similar constructions of the simulated oracles ENC^* and DEC^* as in the proof of Lemma 6. For \mathcal{A} 's query to LEAK , we let \mathcal{B} query its oracle DEC to first decipher C_R . Then if C_R deciphers to a bitstring M'_R that ends with τ zeros and can be decoded successfully, then \mathcal{B} returns \top to \mathcal{A} . Otherwise, \mathcal{B} returns the tuple (M'_R, \perp) to \mathcal{A} . We have that

$$\mathbf{Adv}_{\widetilde{E}}^{\pm\text{PRP}}(\mathcal{B}) = \Pr[G_0(\mathcal{A})] - \Pr[G_1(\mathcal{A})]$$

We now bound the probability $\Pr[G_1(\mathcal{A})] - \Pr[G_2(\mathcal{A})]$. Observe that the leakage only concerns M'_R . If each tweak used in LEAK is distinct, then adversary has 0 advantage in distinguishing between M'_R and M_λ following Lemma 1. Otherwise, if a tweak repeats, then the oracle in the real world will never output a repeated bitstring since it is a permutation. Then the adversary may look for repeated outputs to distinguish the ideal world from the real world. This happens then the oracle in the ideal world samples the same bitstring, which happens with probability at most $\frac{q^2 - q}{2^{|M'_R|}} \leq \frac{q^2}{2^\tau}$. Thus we have that

$$\Pr[G_1(\mathcal{A})] - \Pr[G_2(\mathcal{A})] \leq \frac{q^2}{2^\tau}$$

Finally, we have that

$$\mathbf{Adv}_{\Psi}^{\text{IND-ERR2}}(\mathcal{A}) \leq \mathbf{Adv}_{\widetilde{E}}^{\pm\text{PRP}}(\mathcal{B}) + \frac{q^2}{2^\tau}$$

□

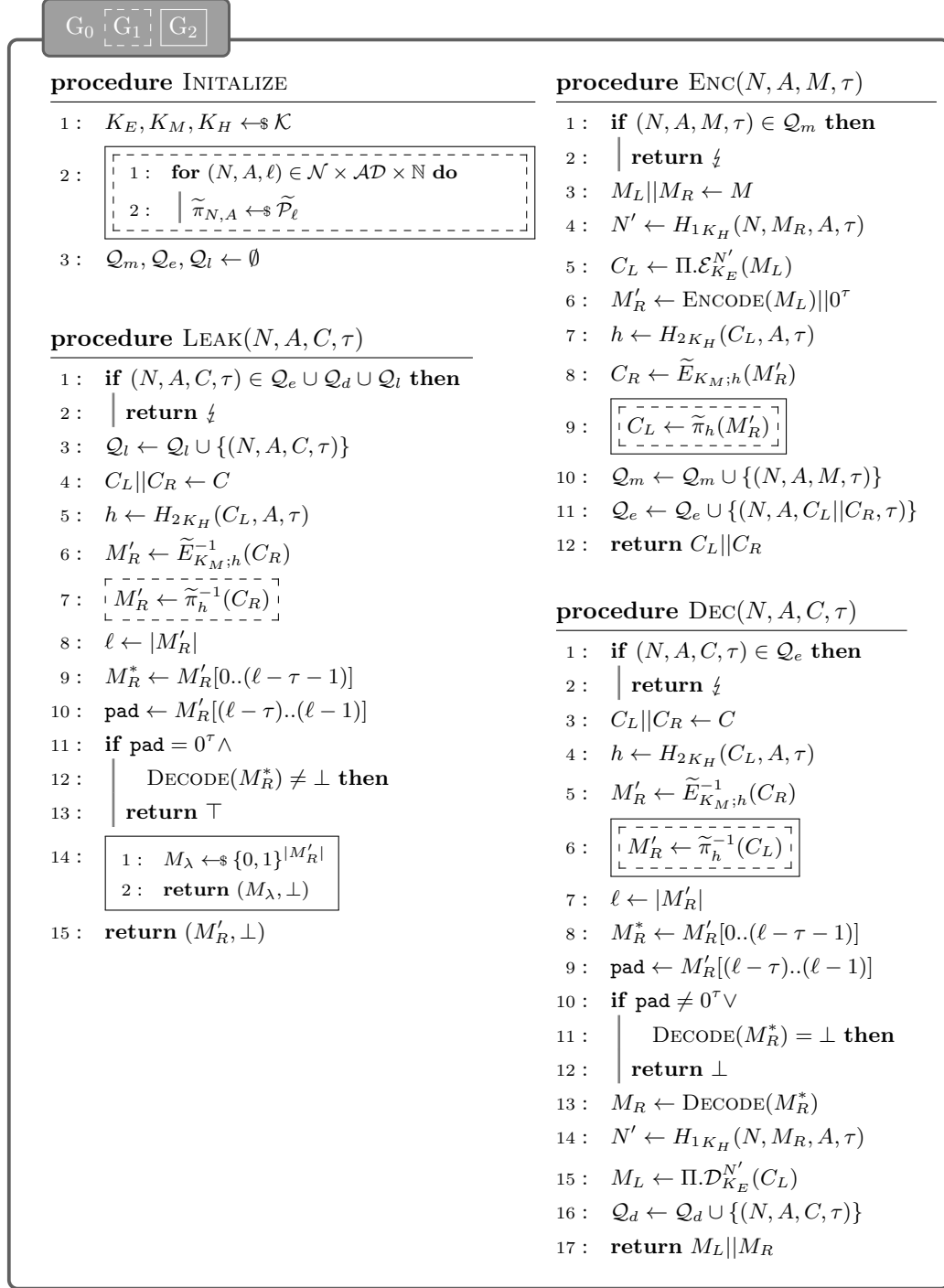


Figure 13: Game $G_0 - G_2$ for the proof of Lemma 7. Dot-boxed code is exclusive to G_1 . Frame-boxed code is exclusive to G_2 . Doubly-boxed code is for both G_1 and G_2 .

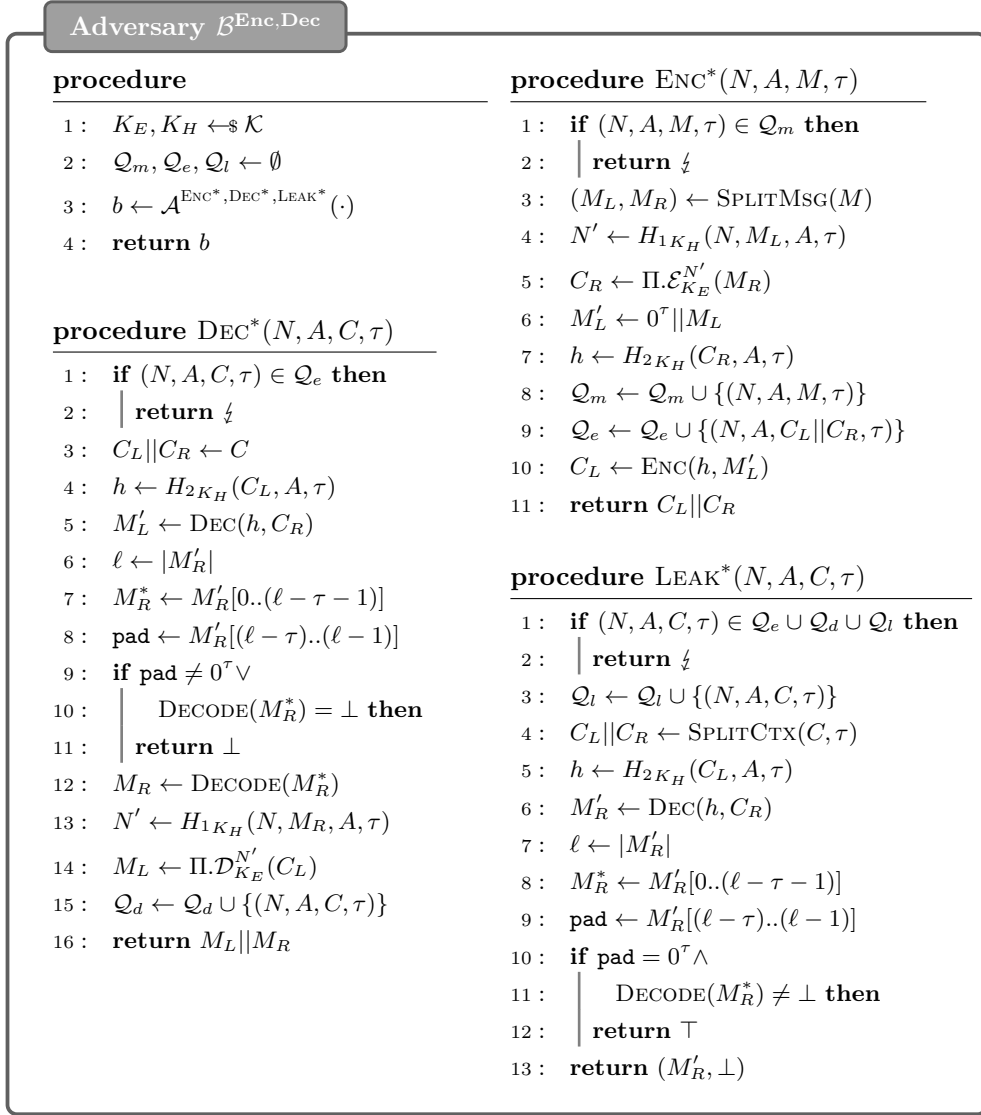


Figure 14: \pm PRP adversary \mathcal{B} for proof of Lemma 7. Here ENC^* , DEC^* and LEAK^* are simulated oracles for the IND-ERR2 adversary \mathcal{A} .